

# Gravitational Form Factors at Large Momentum Transfer

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Refs: Tong, Ma, Yuan, Phys.Lett.B 823 (2021) 136751;

arXiv: 2203.13493



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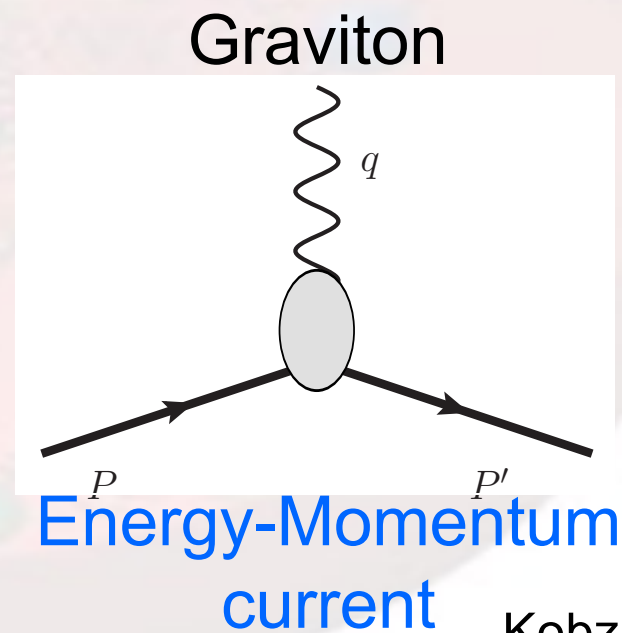
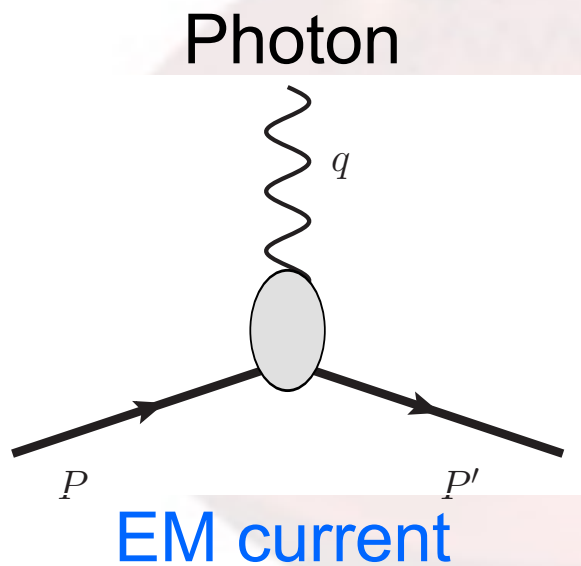
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# Outline

- What are the gravitational form factors
- Light-cone wave functions/distribution amplitudes
- Form factor calculations at large  $t$
- Implications
  - Threshold heavy quarkonium production
  - Mass distributions in coordinate space
- Discussions

# EM vs Gravitational Form Factors



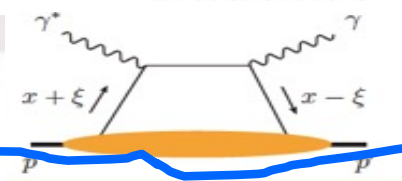
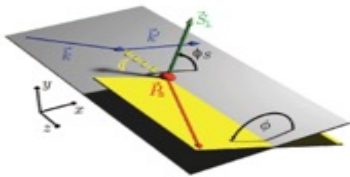
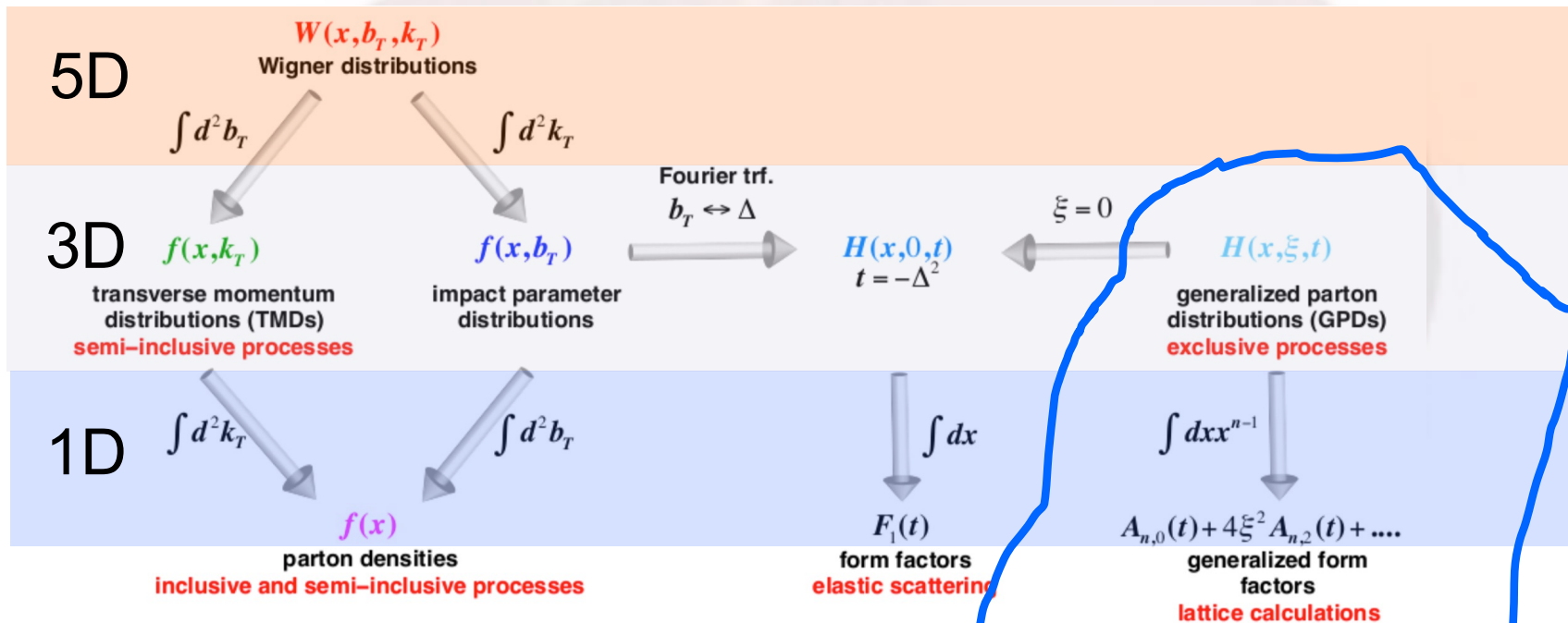
Kobzarev-Okun 1992;  
Pagels 1966; Ji 1997

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# Where to study: through GPDs

## □ Wigner distributions (Belitsky, Ji, Yuan)



# What do we learn

- My view: one aspect of the parton tomography in hadrons, because they are part of GPDs
  - Proton spin sum rule is derived from these form factors
- C-form factors
  - Pressure, shear force: Polyakov-Schweitzer 2018
  - Momentum-current gravitation multipoles: Ji-Liu, 2021
- Reconstruct the proton mass
  - Ji 1996; Ji 2021; Ji-Liu 2021
  - Hatta-Rajan-Tanaka 2018;
  - Metz-Pasquini-Rodini 2020

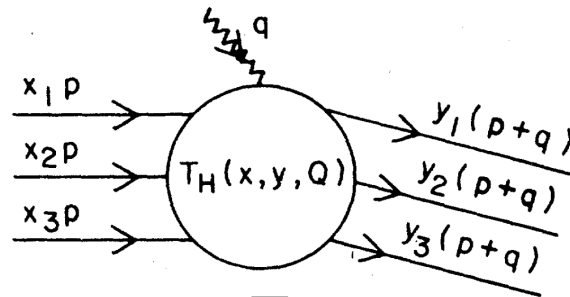
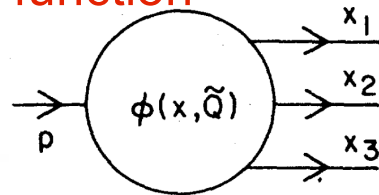
$$\begin{aligned} \langle P', s' | T_a^{\mu\nu}(0) | P, s \rangle = & \bar{u}_s(P') \left[ A_a(t) \gamma^{(\mu} \bar{P}^{\nu)} \right. \\ & + B_a(t) \frac{i \bar{P}^{(\mu} \sigma^{\nu)\rho} \Delta_\rho}{2\Lambda} + C_a(t) \frac{\Delta^\mu \Delta^\nu - g^{\mu\nu} \Delta^2}{\Lambda} \\ & \left. + \bar{C}_a(t) \Lambda g^{\mu\nu} \right] u_s(P), \end{aligned}$$

Form factors at large momentum transfer

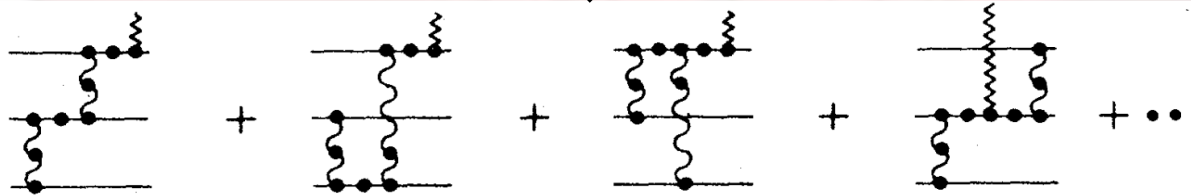
# Perturbatively, one can compute the form factors at large momentum transfer

Lepage-Brodsky 1980  
Efremov-Radyushkin 1980

Nucleon wave function  
(a)



Nucleon wave function



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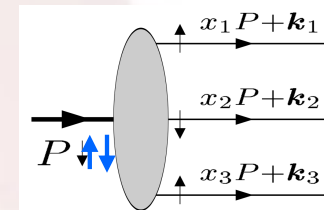
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# Light-cone Wave Functions

Lepage-Brodsky 1980

- They are building blocks for the hadron structure

$$|P\rangle = \sum_{n, \lambda_i} \int \prod_i \bar{\pi}_i \frac{dx_i d^2 k_{\perp i}}{\sqrt{x_i} 16\pi^3} \phi_n(x_i, k_{\perp i}, \lambda_i) |n : x_i, k_{\perp i}, \lambda_i\rangle$$



- Fock state of **n-partons**: momentum fractions, transverse momenta, helicities
- Can be used to calculate the form factors, GPDs, and hard exclusive scattering amplitudes, including **near threshold heavy quarkonium production**



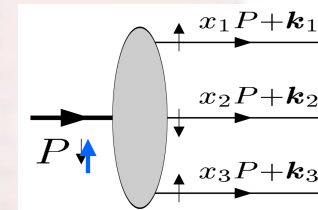
# Nucleon's 3-quarks WF

Ji, Ma, Yuan, 2002

- According to the general structure, six independent light-cone wave functions for three quarks component:

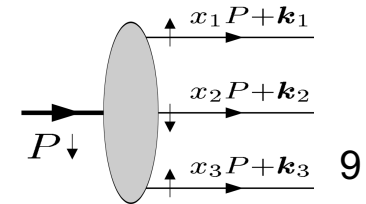
$$|P \uparrow\rangle_{1/2} = \int d[1]d[2]d[3] \left( \tilde{\psi}^{(1)}(1, 2, 3) \right) \quad L_z=0$$

$$\times \frac{\epsilon^{abc}}{\sqrt{6}} u_{a\uparrow}^\dagger(1) \left( u_{b\downarrow}^\dagger(2) d_{c\uparrow}^\dagger(3) - d_{b\downarrow}^\dagger(2) u_{c\uparrow}^\dagger(3) \right) |0\rangle$$



$$|P \downarrow\rangle_{1/2} = \int d[1]d[2]d[3] \left( (k_1^x - ik_1^y) \tilde{\psi}^{(3)}(1, 2, 3) + (k_2^x - ik_2^y) \tilde{\psi}^{(4)}(1, 2, 3) \right)$$

$$\times \frac{\epsilon^{abc}}{\sqrt{6}} \left( u_{a\downarrow}^\dagger(1) u_{b\uparrow}^\dagger(2) d_{c\uparrow}^\dagger(3) - d_{a\downarrow}^\dagger(1) u_{b\uparrow}^\dagger(2) u_{c\uparrow}^\dagger(3) \right) |0\rangle, \quad |L_z|=1$$



# Distribution amplitudes

- Integrate out the transverse momentum

- Twist-three (leading-twist)

$$\Phi_3(y_i) = -2\sqrt{6} \int \frac{d^2\vec{k}'_{1\perp} d^2\vec{k}'_{2\perp} d^2\vec{k}'_{3\perp}}{(2\pi)^6} \delta^{(2)}(\vec{k}'_{1\perp} + \vec{k}'_{2\perp} + \vec{k}'_{3\perp}) \tilde{\psi}^{(1)}(1, 2, 3)$$

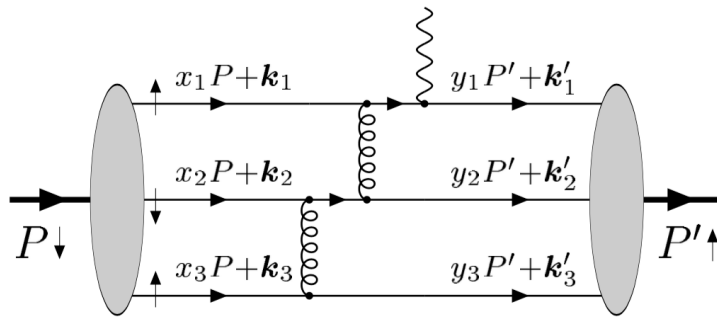
- Twist-four (Braun-Fries-Mahnke-Stein 2000)

$$\begin{aligned} \Psi_4(x_1, x_2, x_3) = & -\frac{2\sqrt{6}}{x_2 M} \int \frac{d^2\vec{k}_{1\perp} d^2\vec{k}_{2\perp} d^2\vec{k}_{3\perp}}{(2\pi)^6} \delta^{(2)}(\vec{k}_{1\perp} + \vec{k}_{2\perp} + \vec{k}_{3\perp}) \\ & \times \vec{k}_{2\perp} \cdot \left[ \vec{k}_{1\perp} \tilde{\psi}^{(3)}(1, 2, 3) + \vec{k}_{2\perp} \tilde{\psi}^{(4)}(1, 2, 3) \right] . \end{aligned}$$

$$\begin{aligned} \Phi_4(x_2, x_1, x_3) = & -\frac{2\sqrt{6}}{x_3 M} \int \frac{d^2\vec{k}_{1\perp} d^2\vec{k}_{2\perp} d^2\vec{k}_{3\perp}}{(2\pi)^6} \delta^{(2)}(\vec{k}_{1\perp} + \vec{k}_{2\perp} + \vec{k}_{3\perp}) \\ & \times \vec{k}_{3\perp} \cdot \left[ \vec{k}_{1\perp} \tilde{\psi}^{(3)}(1, 2, 3) + \vec{k}_{2\perp} \tilde{\psi}^{(4)}(1, 2, 3) \right] . \end{aligned}$$

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# Form factor calculations



Compute the partonic scattering amplitudes, convert to hadron's  
**Leading-twist: direct integration of  $k_t$ , higher-twist: need  $k_t$ -expansion**

- Two gluon exchanges are needed to generate large momentum transfer
- Helicity-non-flip has power behavior,  $F_1 \sim 1/t^2$
- Helicity-flip amplitude has power behavior,  $F_2 \sim 1/t^3$

# Gravitational form factors:

No much difference, only some surprises

## ■ Pion case

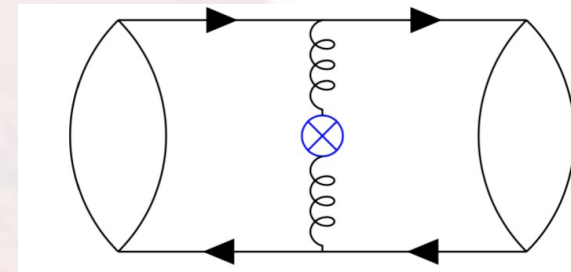
$$\langle P' | T_g^{\mu\nu} | P \rangle = 2\bar{P}^\mu \bar{P}^\nu A_g^\pi(t) + \frac{1}{2}(\Delta^\mu \Delta^\nu - g^{\mu\nu} \Delta^2) C_g^\pi(t) + 2m^2 g^{\mu\nu} \bar{C}_g^\pi(t)$$

$$A_g^\pi(t) = C_g^\pi(t) = \frac{4m^2}{t} \bar{C}_g^\pi(t) = \frac{4\pi\alpha_s C_F}{-t} \int dx_1 dy_1 \phi^*(y_1) \phi(x_1) \left( \frac{1}{x_1 \bar{x}_1} + \frac{1}{y_1 \bar{y}_1} \right)$$

□  $A_g = C_g$ !!

□  $\bar{C}$  cancels between quarks and gluons

- Quark part from GPD quark at large-t (Hoodbhoy-Ji-Yuan 2003)



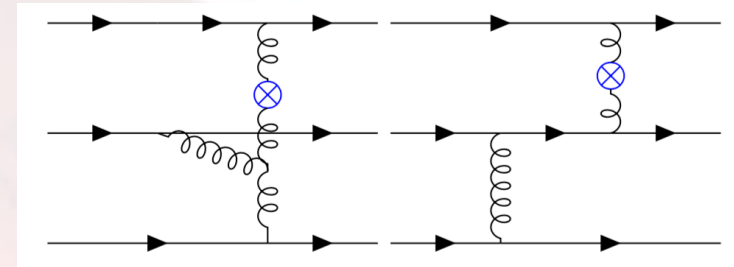
Tong-Ma-Yuan, 2103.12047;  
Different from Tanaka, PRD 2018

➤ May introduce difficulty in the interpretation, since integral over  $t$  is not convergent

Polyakov-Schweitzer 2018  
Freese-Miller 2021

$$\begin{aligned}
\langle P', s' | T_a^{\mu\nu}(0) | P, s \rangle = & \bar{u}_s(P') \left[ A_a(t) \gamma^{(\mu} \bar{P}^{\nu)} \right. \\
& + B_a(t) \frac{i \bar{P}^{(\mu} \sigma^{\nu)\rho} \Delta_\rho}{2\Lambda} + C_a(t) \frac{\Delta^\mu \Delta^\nu - g^{\mu\nu} \Delta^2}{\Lambda} \\
& \left. + \bar{C}_a(t) \Lambda g^{\mu\nu} \right] u_s(P),
\end{aligned}$$

## Nucleon case



- No contribution from three-gluon vertex diagram
- $A_g \sim 1/t^2$
- $B_g, C_g$  scale as  $1/t^3$ ,  $\bar{C}_g$  scales as  $1/t^2$

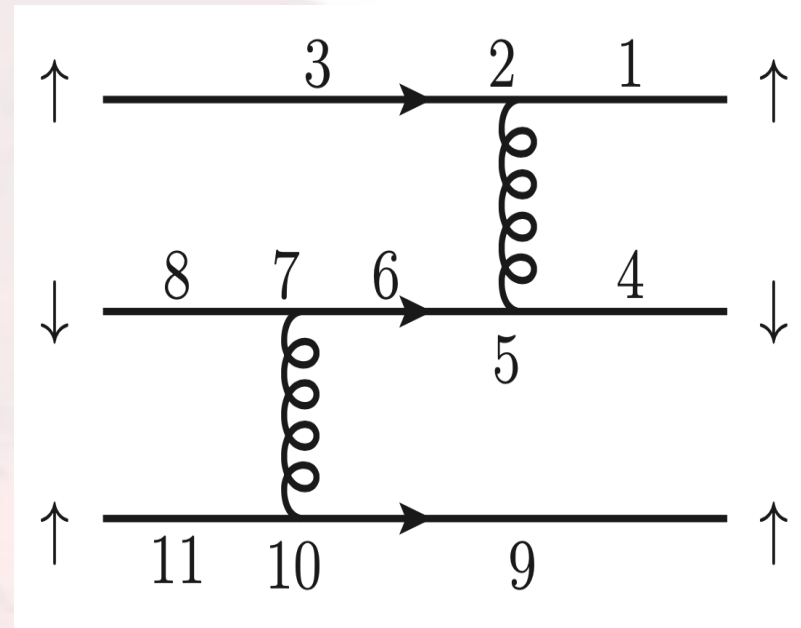
$$\mathcal{A} = \frac{4\pi^2 \alpha_s^2 C_B^2}{3t^2} \left( I_{13} + I_{12} + I_{31} + I_{32} \right),$$

$$I_{ij} = \frac{x_i + y_i}{\bar{x}_i \bar{y}_i x_i x_j y_i y_j}$$

Tong-Ma-Yuan, 2103.12047

## Important cross checks

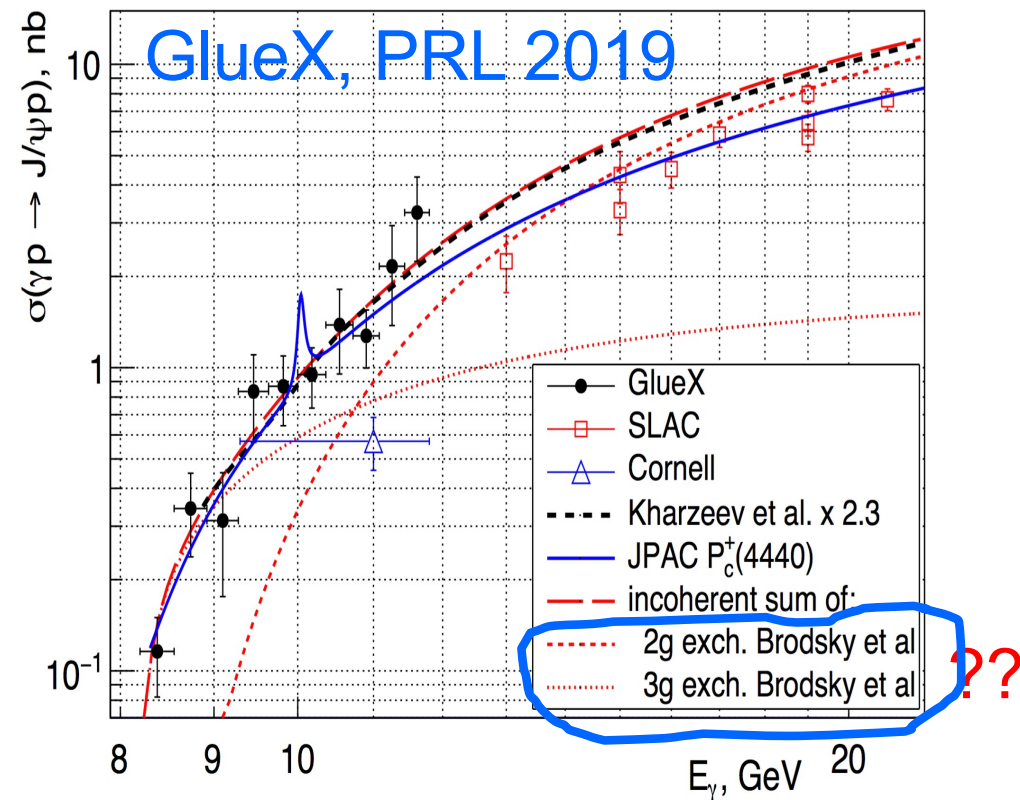
- $\bar{c}$  from quarks and gluon cancel out
- $A_q$  form factors agree with the GPD calculations at large- $t$ 
  - Hoodbhoy-Ji-Yuan, 2003



Applications: Threshold photoproduction of  
heavy quarkonium

## Different methods have been applied

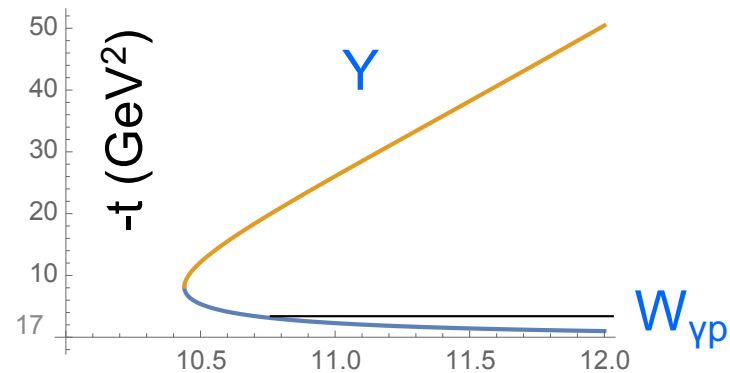
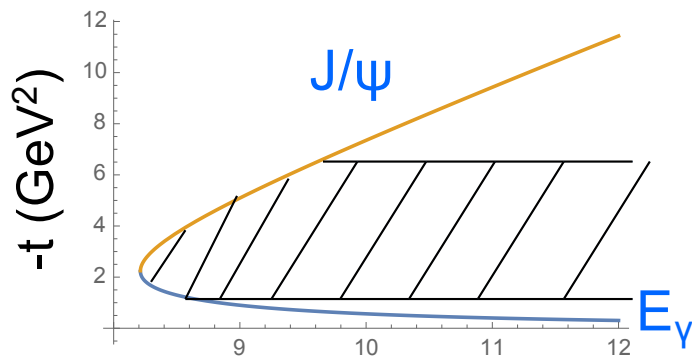
- Which gravitational form factors contribute
  - VDM: scalar gravitational form factor, Kharzeev and others
  - Holographic model and QCD analysis: all form factors, maybe dominated by C-form factor, Hatta et al, Ji et al, Zahed et al
- Two-gluon or three-gluon?



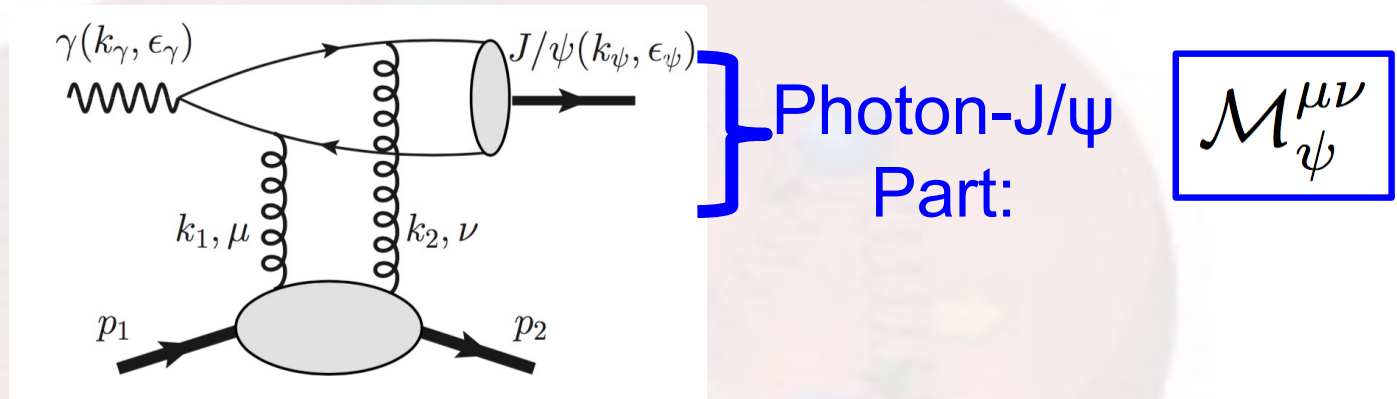


## Large momentum transfer is relevant

- We can compute both the cross section and the form factors separately in **perturbative QCD**, then we can check that if there is/not a direct connection between the near threshold production and the gluonic gravitational form factors (and how)



# Near threshold production: kinematics



## ■ Two limits

□ Threshold:  $\chi = \frac{M_V^2 + 2\tilde{M}_p M_V}{W_{\gamma p}^2 - M_p^2} \rightarrow 1$ ,  $(1-\chi)$  a small parameter, Brodsky et al 2001

□ Heavy quark limits

$$W_{\gamma p}^2 \sim M_V^2 \gg (-t) \gg \Lambda_{QCD}^2,$$

$$p_1 \cdot k_\gamma \sim p_1 \cdot k_\psi \sim M_V^2$$

$$p_2 \cdot k_\gamma \sim p_2 \cdot k_\psi \ll M_V^2$$

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$\mathcal{M}_{\psi}^{\mu\nu}$ 

- NRQCD for heavy quarkonium production Bodwin-Braaten-Lepage 1995
- Propagators are of order heavy quark mass,  $\sim 1/M_V$
- Take transverse polarization for the incoming photon

$$\mathcal{M}_{\psi,ab}^{\mu\nu} = \frac{\delta^{ab} N_{\psi} \left[ \epsilon_{\psi}^* \cdot \epsilon_{\gamma} \mathcal{W}_T^{\mu\nu} + \epsilon_{\psi}^* \cdot k \mathcal{W}_L^{\mu\nu} + \mathcal{W}_S^{\mu\nu} \right]}{k_1 \cdot k_{\gamma} k_2 \cdot k_{\gamma}}$$

$$\mathcal{W}_T^{\mu\nu} = -k_1 \cdot k_{\gamma} k_2 \cdot k_{\gamma} g^{\mu\nu} - k_1 \cdot k_2 k_{\gamma}^{\mu} k_{\gamma}^{\nu} + k_1 \cdot k_{\gamma} k_2^{\mu} k_{\gamma}^{\nu} + k_2 \cdot k_{\gamma} k_1^{\nu} k_{\gamma}^{\mu}$$

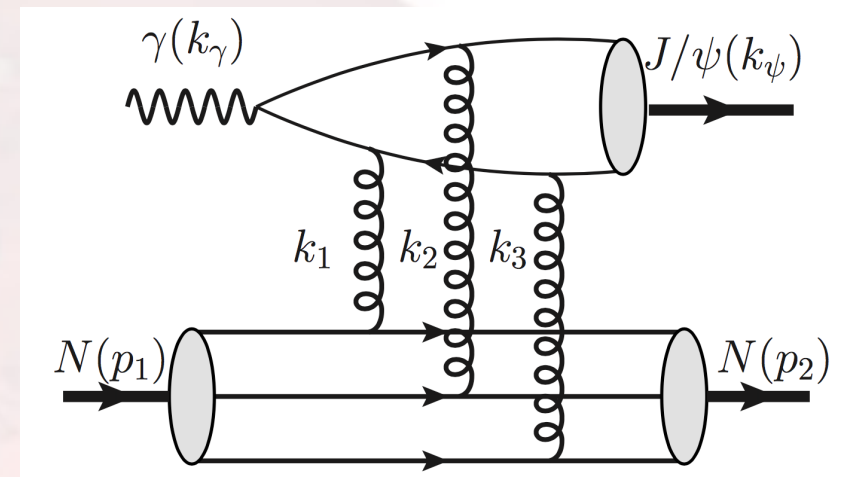
$$\mathcal{W}_L^{\mu\nu} = k_1 \cdot k_{\gamma} \epsilon_{\gamma}^{\nu} k_2^{\mu} + k_2 \cdot k_{\gamma} \epsilon_{\gamma}^{\mu} k_1^{\nu}$$

$$\mathcal{W}_S^{\mu\nu} = -k_1 \cdot k_2 \left( k_1 \cdot k_{\gamma} \epsilon_{\psi}^{*\mu} \epsilon_{\gamma}^{\nu} + k_2 \cdot k_{\gamma} \epsilon_{\psi}^{*\nu} \epsilon_{\gamma}^{\mu} + k_1 \cdot \epsilon_{\psi}^* k_{\gamma}^{\nu} \epsilon_{\gamma}^{\mu} + k_2 \cdot \epsilon_{\psi}^* k_{\gamma}^{\mu} \epsilon_{\gamma}^{\nu} \right) .$$

Leading terms

# Vanishing of three-gluon exchange

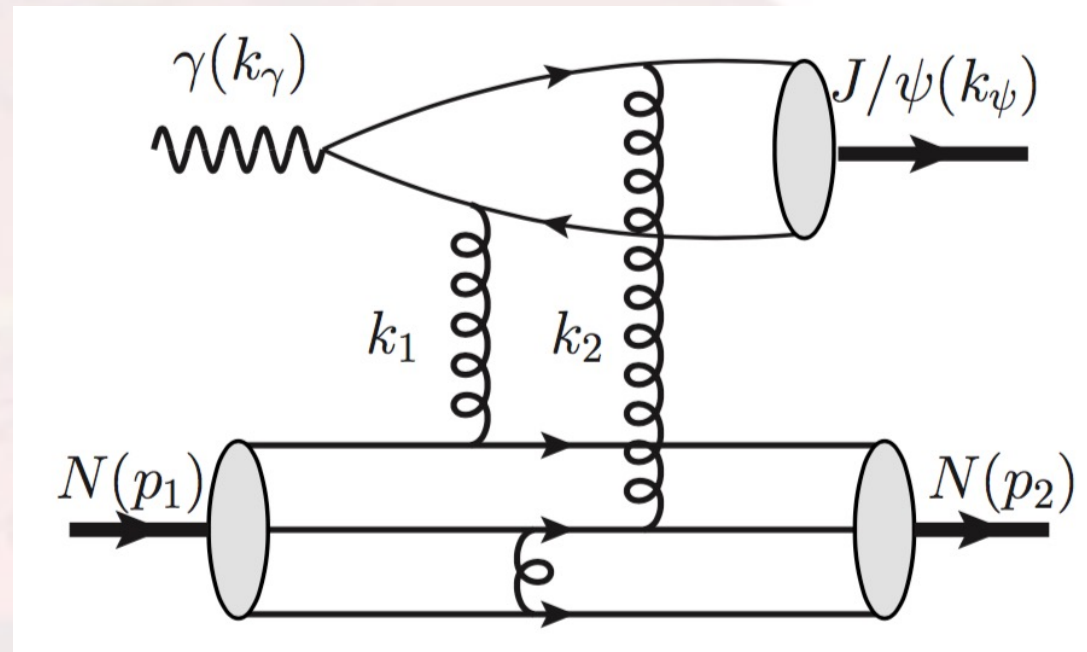
- Suggested by Brodsky et al, 2001, and widely accepted by exp. and claimed that
  - Two-gluon exchange suppressed by  $(1-x)^2$ , where three-gluon dominates at threshold
- Due to C-parity conservation, there is no contribution from the three-gluon exchange



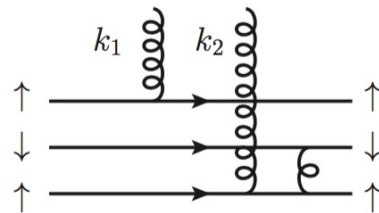
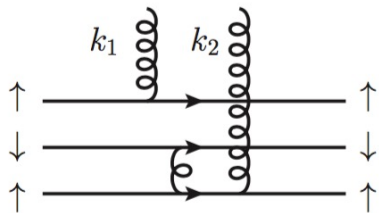
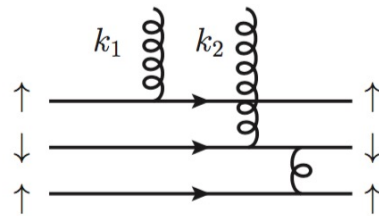
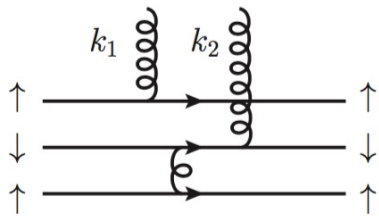
$$\epsilon^{ijk} \epsilon^{lmn} T_{il}^a T_{jm}^b T_{kn}^c \propto d^{abc}$$

# Couple to the Nucleon

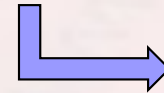
- Additional gluon exchange to generate large- $t$
- Nucleon spin configurations
  - Helicity conserved
  - Helicity-flip



# Partonic scattering: I



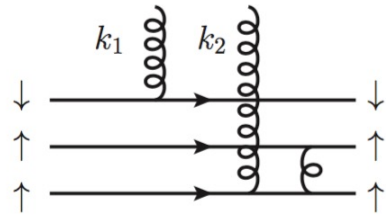
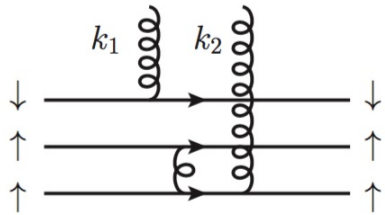
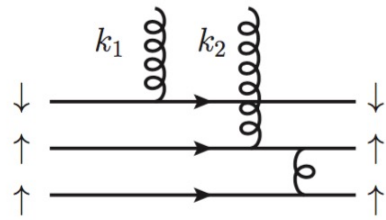
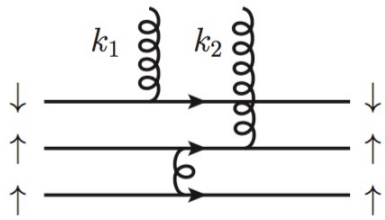
$$\bar{U}(p_2)\gamma^\mu U(p_1)\text{Tr}\left[\frac{1+\gamma_5}{2}\not{p}_2\cdots\gamma^\nu\cdots\frac{1+\gamma_5}{2}\not{p}_1\cdots\right]$$



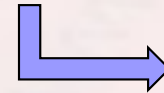
$$\bar{U}(p_2)\gamma^\mu U(p_1)\bar{P}^\nu$$

- $k_1$  attaches the helicity-up quark line

# Partonic scattering: II



$$\bar{U}(p_2)\gamma^\rho U(p_1)\text{Tr}\left[\frac{1+\gamma_5}{2}\not{p}_2\cdots\gamma^\nu\cdots\frac{1+\gamma_5}{2}\not{p}_1\cdots\gamma^\rho\cdots\right]$$



$$\bar{U}(p_2)\gamma^\mu U(p_1)\bar{P}^\nu$$

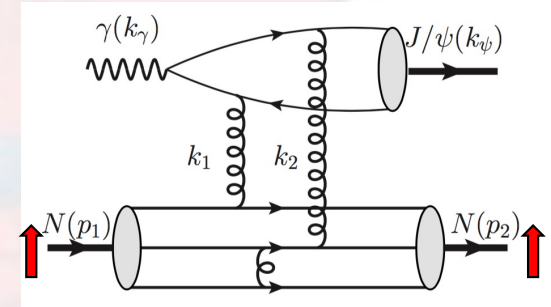
- $k_1$  attaches the helicity-down quark line

# Final amplitude

$$\begin{aligned}
 \mathcal{A}_3 &= \langle J/\psi(\epsilon_\psi), N'_\uparrow | \gamma(\epsilon_\gamma), N_\uparrow \rangle \\
 &= \int [dx][dy] \Phi(x_1, x_2, x_3) \Phi^*(y_1, y_2, y_3) \frac{1}{(-t)^2} \\
 &\quad \times \bar{U}_\uparrow(p_2) \not{k}_\gamma U_\uparrow(p_1) \mathcal{M}_\psi^{(3)}(\epsilon_\gamma, \epsilon_\psi, \{x_i\}, \{y_i\}),
 \end{aligned}$$

$$\mathcal{M}^{(3)} = \epsilon_\psi^* \cdot \epsilon_\gamma \frac{8e_c e g_s^6}{27 \sqrt{3} M_\psi^7} \psi_J(0) (2\mathcal{H}_3 + \mathcal{H}'_3)$$

- Similar structure as  $A_g$  form factor or GPD  $H_g$  contribution



$$\mathcal{H}_3 = I_{13} + I_{31} + I_{12} + I_{32}, \quad I_{ij} = \frac{1}{x_i x_j y_i y_j \bar{x}_i^2 \bar{y}_i}$$



## Amplitude squared

$$|\overline{\mathcal{A}}_3|^2 = (1 - \chi) G_\psi G_{p3}(t) G_{p3}^*(t) \quad G_\psi = |N_\psi|^2 = \frac{384\pi^2 e_c^2 \alpha (4\pi\alpha_s)^2}{N_c^2 M_\psi^3} \langle 0 | \mathcal{O}^\psi(^3S_1^{(1)}) | 0 \rangle$$

$$G_{p3}(t) = \frac{8\pi^2 \alpha_s^2 C_B^2}{3t^2} \int [dx][dy] \Phi_3(\{x\}) \Phi_3^*(\{y\}) [2\mathcal{H}_3 + \mathcal{H}'_3]$$

- Suppressed at the threshold,  $\chi \rightarrow 1$
- This behavior is similar to  $H_g$  contribution to  $J/\psi$  production in the GPD formalism with  $1-\xi$  suppression factor
  - Hoodbhoy 1996, see also, Koempel-Kroll-Metz-Zhou 2012, Guo-Ji-Liu 2021

- Power behavior of  $1/t^4$



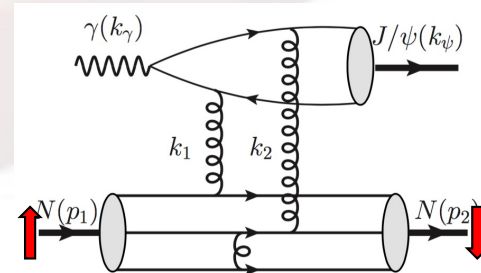
# Twist-four contribution

$$\begin{aligned} \mathcal{A}_4 &= \langle J/\psi(\epsilon_\psi), N'_\uparrow | \gamma(\epsilon_\gamma), N_\downarrow \rangle \\ &= \int [dx][dy] \Psi_4(\{x\}) \Phi_3^*(\{y\}) \mathcal{M}_\psi^{(4)}(\{x\}, \{y\}) \\ &\quad \times \bar{U}_\uparrow(p_2) U_\downarrow(p_1) \frac{M_p}{(-t)^3}, \end{aligned}$$

$$|\overline{\mathcal{A}}_4|^2 = \tilde{m}_t^2 G_\psi G_{p4}(t) G_{p4}^*(t) \quad \tilde{m}_t^2 = M_p^2 / (-t)$$

$$\begin{aligned} G_{p4}(t) &= \frac{C_B^2 (4\pi\alpha_s)^2}{12t^2} \int [dx][dy] \Phi_3(y_1, y_2, y_3) \\ &\quad \times \{ x_3 \Phi_4(x_1, x_2, x_3) T_{4\Phi}(\{x\}, \{y\}) \\ &\quad + x_1 \Psi_4(x_2, x_1, x_3) T_{4\Psi}(\{x\}, \{y\}) \}, \end{aligned}$$

- Helicity-flip amplitude
- kt-expansion, similar to  $F_2$  form factor
- There is no interference between twist-3 and twist-4
- Power behavior  $\sim 1/t^5$



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# There is no direct connection to the gluonic gravitational form factors

## ■ Scattering amplitude

$$G_{p3}(t) = \int [dx][dy] \Phi_3(x_1, x_2, x_3) \Phi_3^*(y_1, y_2, y_3) \times [2\mathcal{H}_3 + \mathcal{H}'_3] ,$$

$$\mathcal{H}_3 = \frac{8\pi^2 \alpha_s^2 C_B^2}{3t^2} (I_{13} + I_{31} + I_{12} + I_{32})$$

$$I_{ij} = \frac{1}{x_i x_j y_i y_j \bar{x}_i^2 \bar{y}_i}$$

## ■ Gluonic Form Factors

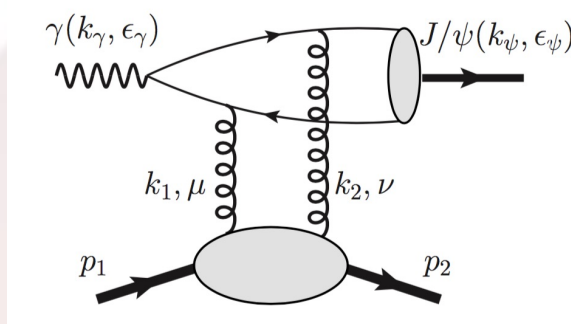
$$A_g(t) = \int [dx][dy] \Phi_3(x_1, x_2, x_3) \Phi_3^*(y_1, y_2, y_3) \times [2\mathcal{A}_3 + \mathcal{A}'_3] ,$$

$$\mathcal{A} = \frac{4\pi^2 \alpha_s^2 C_B^2}{3t^2} (I_{13} + I_{12} + I_{31} + I_{32}) ,$$

$$I_{ij} = \frac{x_i + y_i}{\bar{x}_i \bar{y}_i x_i x_j y_i y_j}$$

# Discussion: construct the gluon operators

- Take the leading contribution of heavy quark mass limit



} Photon- $J/\psi$   
Part:

$$\mathcal{M}_\psi^{\mu\nu}$$

$$\mathcal{M}_\psi^{\mu\nu} = N_\psi \epsilon_\psi^* \cdot \epsilon_\gamma \frac{k_{\gamma,\alpha} k_{\gamma,\beta}}{k_1 \cdot k_\gamma k_2 \cdot k_\gamma} \mathcal{W}_T^{\alpha\beta\mu\nu}$$

$$\mathcal{W}_T^{\alpha\beta\mu\nu} = -k_1^\alpha k_2^\beta g^{\mu\nu} - k_1 \cdot k_2 g^{\alpha\mu} g^{\beta\nu} + k_1^\nu k_2^\beta g^{\alpha\mu} + k_2^\mu k_1^\alpha g^{\beta\nu},$$

6/13/22

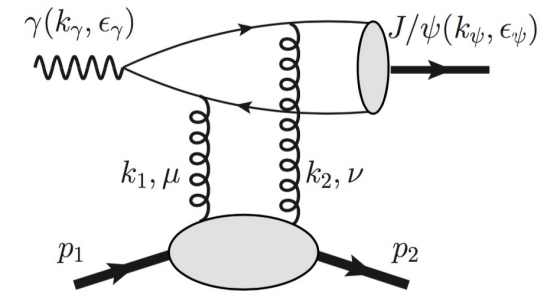
28

## Connect to gravitational form factors?

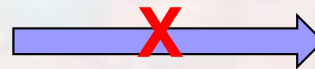
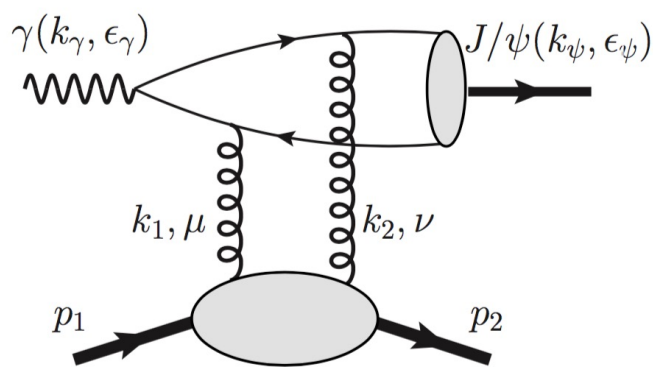
$$A \propto \int d^4\eta_1 d^4\eta_2 d^4k_1 d^4k_2 e^{ik_1 \cdot \eta_1 + ik_2 \cdot \eta_2} \frac{k_\gamma^\alpha k_\gamma^\beta}{k_1 \cdot k_\gamma k_2 \cdot k_\gamma} \times \langle N' | F^\alpha{}_\rho(\eta_1) F^{\beta\rho}(\eta_2) | N \rangle . \quad ($$

- We have to make approximations: the two gluons in the t-channel carry the same momentum

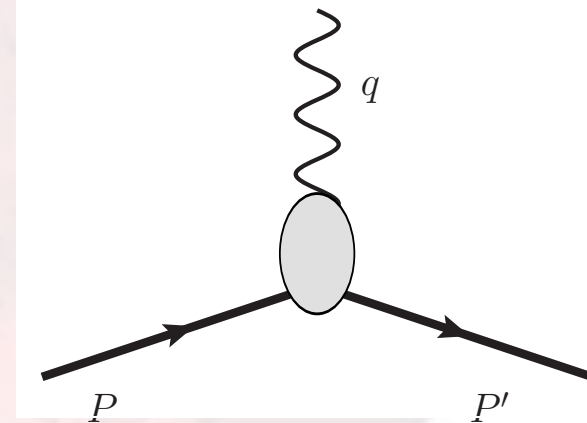
$$A \propto \frac{k_\gamma^\alpha k_\gamma^\beta}{\langle k_1 \cdot k_\gamma k_2 \cdot k_\gamma \rangle} \langle T_g^{\alpha\beta} \rangle$$



It is a long stretch to make this connection



Graviton



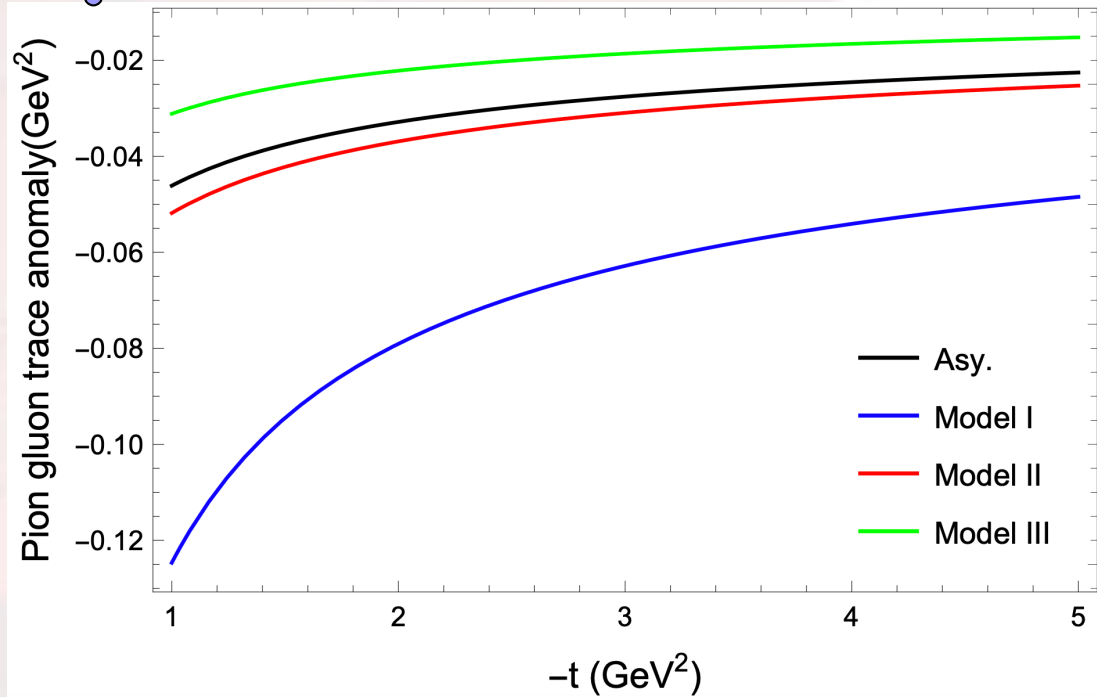
- The QCD dynamics involved in the **on-shell** photon (massless) transition to a **massive** heavy quarkonium does not allow a simple interpretation

Implication: Mass distribution of hadrons  
( $F^2$  term)

# Scalar form factor of Pion

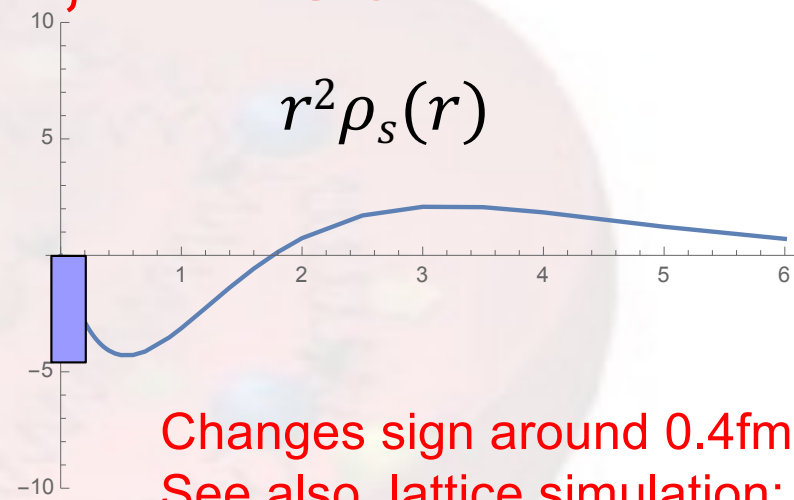
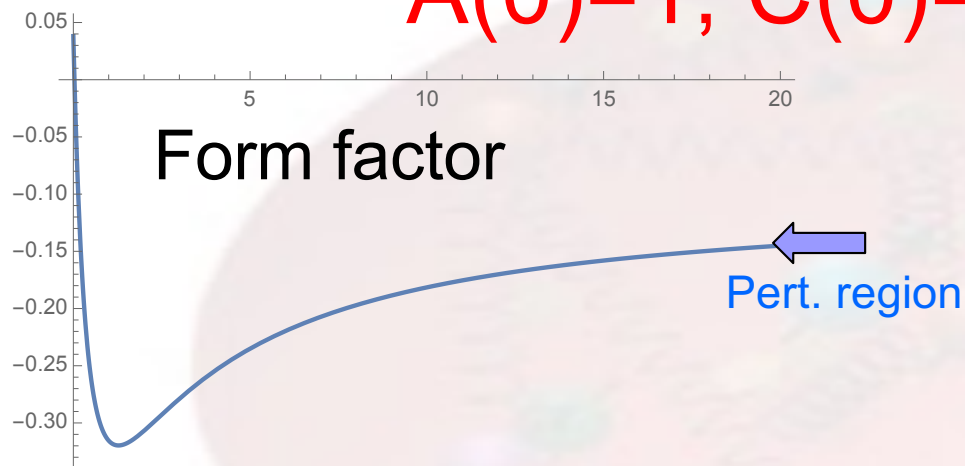
In the perturbative region:

$$\langle P' | \frac{\beta(g)}{2g} F^2 | P \rangle = -3\alpha_s^2(-t) C_F \left( 11 - \frac{2n_f}{3} \right) f_\pi^2 \times \left[ 1 + \sum_{n=1}^{\infty} a_{2n}(\mu_0^2) L_{2n}^{\gamma_{2n}/b}(-t) \right]^2,$$





# A simple dipole model for $A(t)$ and $C(t)$ : $A(0)=1, C(0)=-1, \Lambda=1\text{ GeV}$



Changes sign around 0.4fm  
 See also, lattice simulation:  
 He et al, 2101.04942

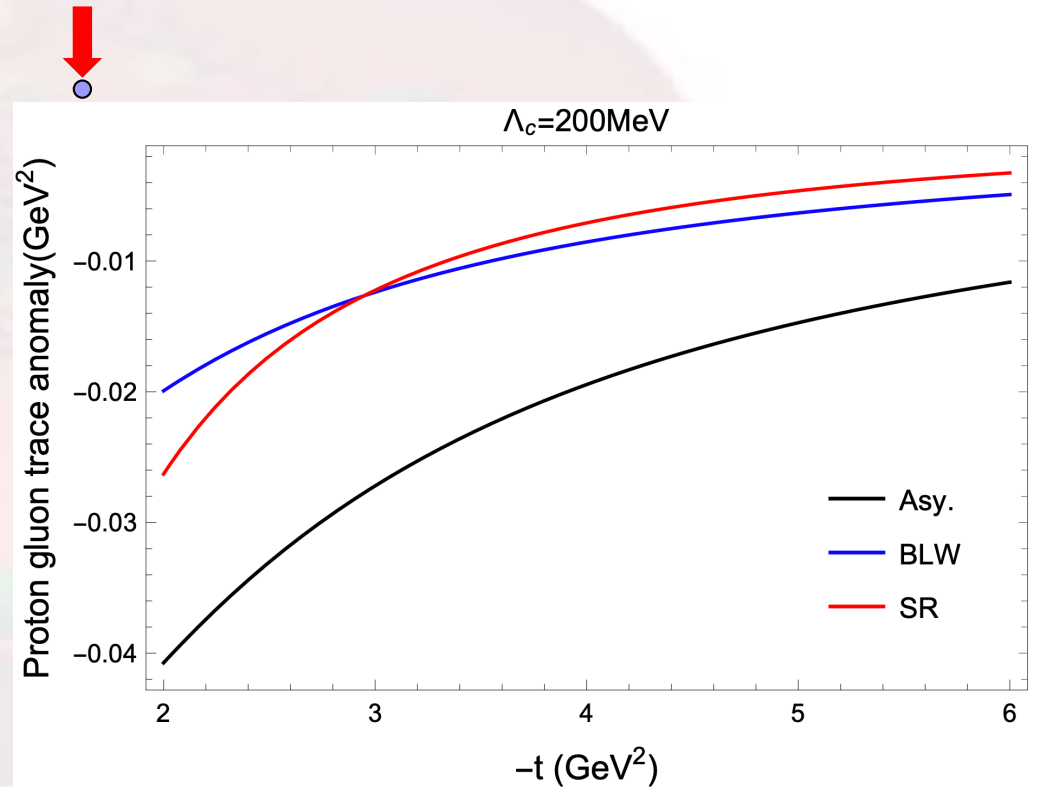
$$\rho_{s,m}(r) = \int \frac{d^3 \vec{\Delta}}{(2\pi)^3} e^{-i\vec{\Delta} \cdot \vec{r}} G_{s,m}(-\vec{\Delta}^2)$$

# Scalar form factor of Nucleon

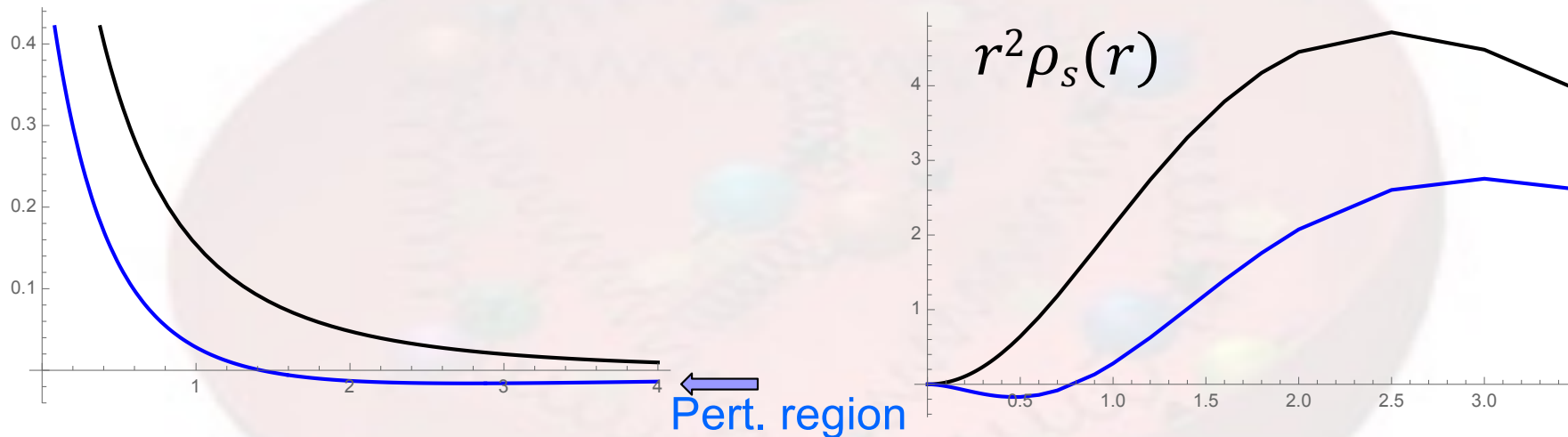
In the perturbative region:

$$G_p(t) = \int [dx][dy] \{ x_3 \Phi_4(x_1, x_2, x_3) \mathcal{G}_\Phi(\{x\}, \{y\}) + x_1 \Psi_4(x_2, x_1, x_3) \mathcal{G}_\Psi(\{x\}, \{y\}) \} \Phi_3(y_1, y_2, y_3)$$

$$\mathcal{H}_\Psi = \frac{C_B^2 M_p}{6t^2} (4\pi\alpha_s)^2 \left[ x_3 ((y_1 - y_3) \bar{x}_1 + x_1 y_2) T_1 - T_3 + \bar{x}_3 (y_3 \bar{x}_3 + x_3 \bar{y}_3) \tilde{T}_1 + x_3 (y_2 \bar{x}_2 + x_2 \bar{y}_2) (\tilde{T}_2 - T_2) + x_3 (y_1 - \bar{y}_1) (T_4 + T_5) + (y_3 \bar{x}_3 + x_3 \bar{y}_3) (\tilde{T}_4 + \tilde{T}_5) \right]$$



# Simple model for proton dipole for A(t) and tripole for C(t)



$$\rho_{s,m}(r) = \int \frac{d^3 \vec{\Delta}}{(2\pi)^3} e^{-i\vec{\Delta} \cdot \vec{r}} G_{s,m}(-\vec{\Delta}^2)$$

## Summary

- Power behavior of the gravitational form factors derived in perturbative QCD at large momentum transfer
  - Imply that the scalar field distribution may change sign
- It is hard to build a direct connection between the near threshold photoproduction of heavy quarkonium and the gluonic gravitational form factors
- Looking forward: phenomenological study in terms of the gluon GPDs is greatly needed
  - Indirect connection to the gluonic gravitational form factors