

# Probing initial dense gluonic states with ultra-peripheral collisions at the LHC

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Rice University



U.S. DEPARTMENT OF  
**ENERGY**

Office of Science

INT PROGRAM INT-23-1A

Intersection of nuclear structure and high-energy nuclear collisions

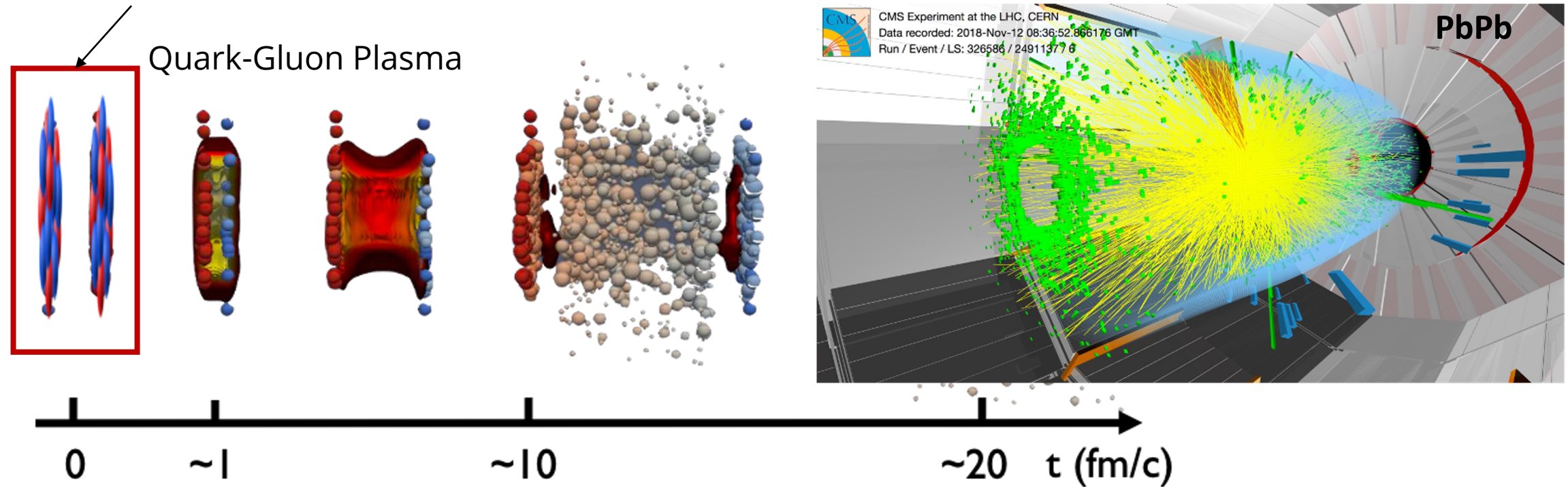
Jan. 23 - Feb 24, 2023



RICE

# Understanding The Initial Stages of HIC

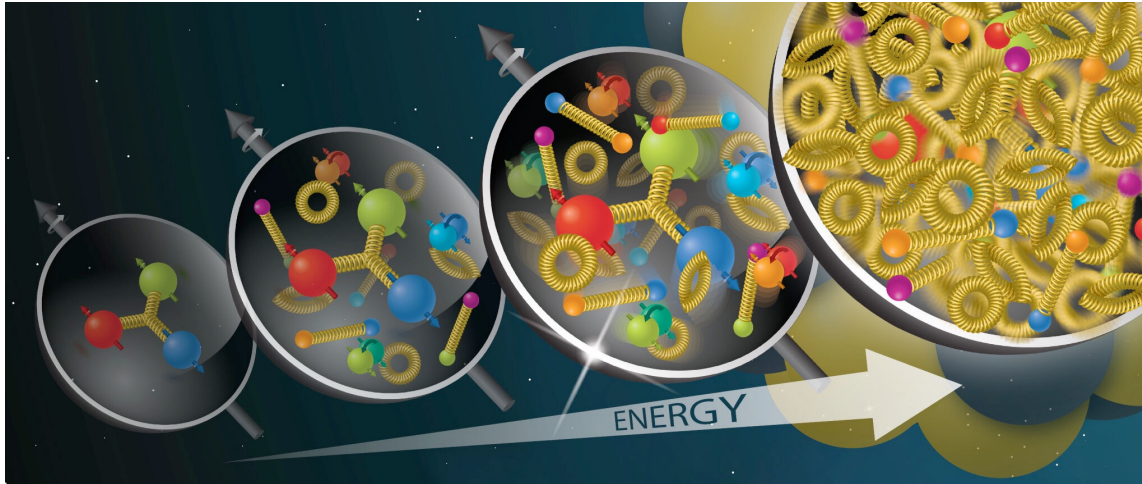
Main theme of this workshop



How are IS at high energy and nuclear structure at low energy connected?

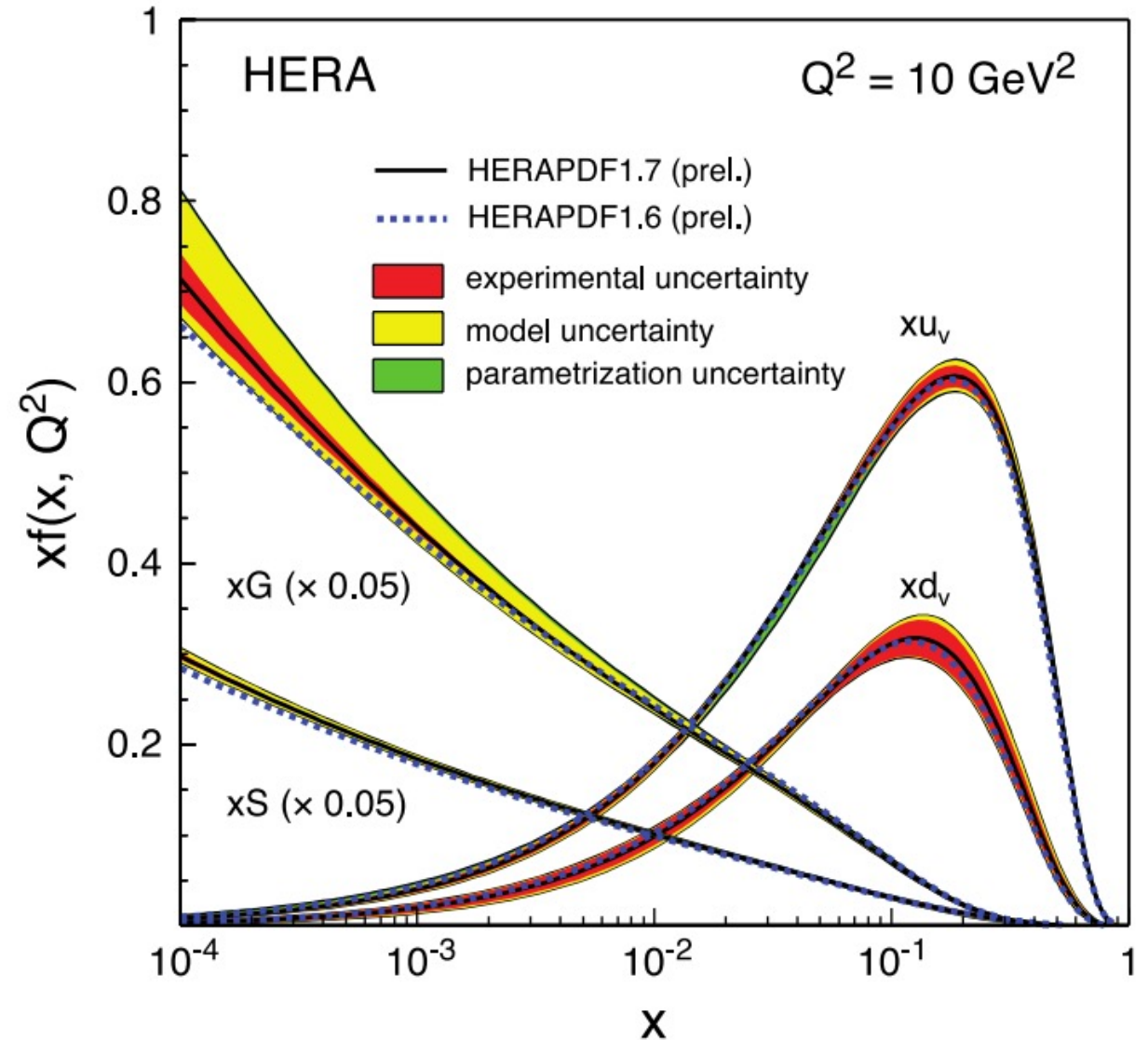
Relevant *d.o.f.* evolves with energy and gluons dominate at high energy (small  $x$ ).

# Glue that binds us all



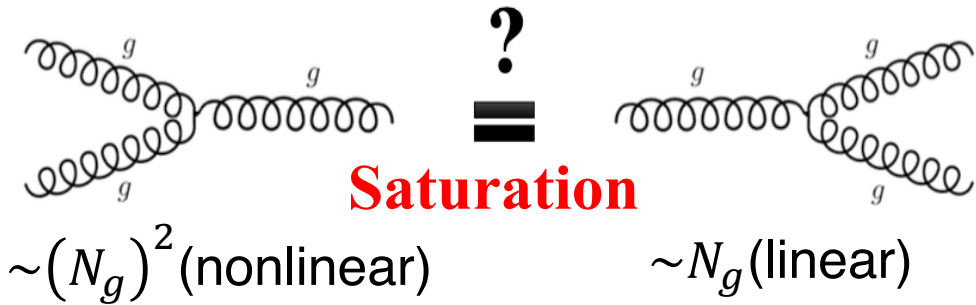
DIS results show a seemingly “indefinite rise” in gluon PDF

What is the fate of gluons at extreme densities (small  $x$ )?

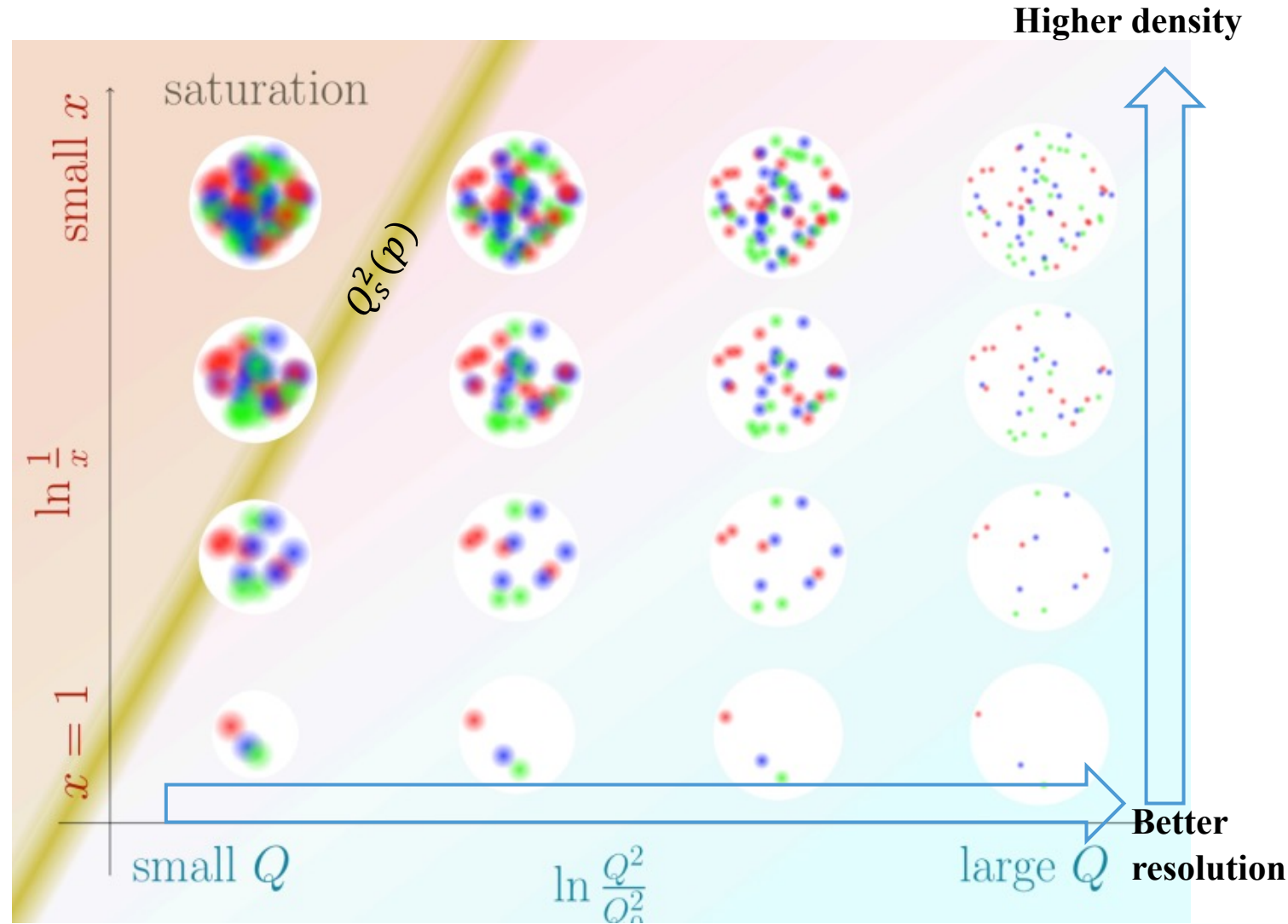


# Glue that binds us all

QCD unitarity: Growth of gluon density can't continue indefinitely!



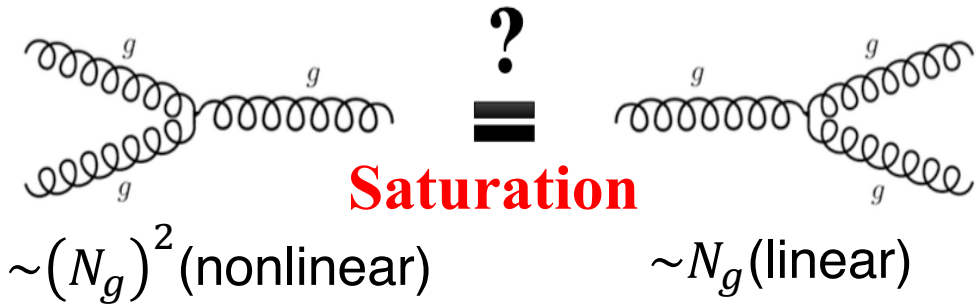
**No conclusive evidence yet!**





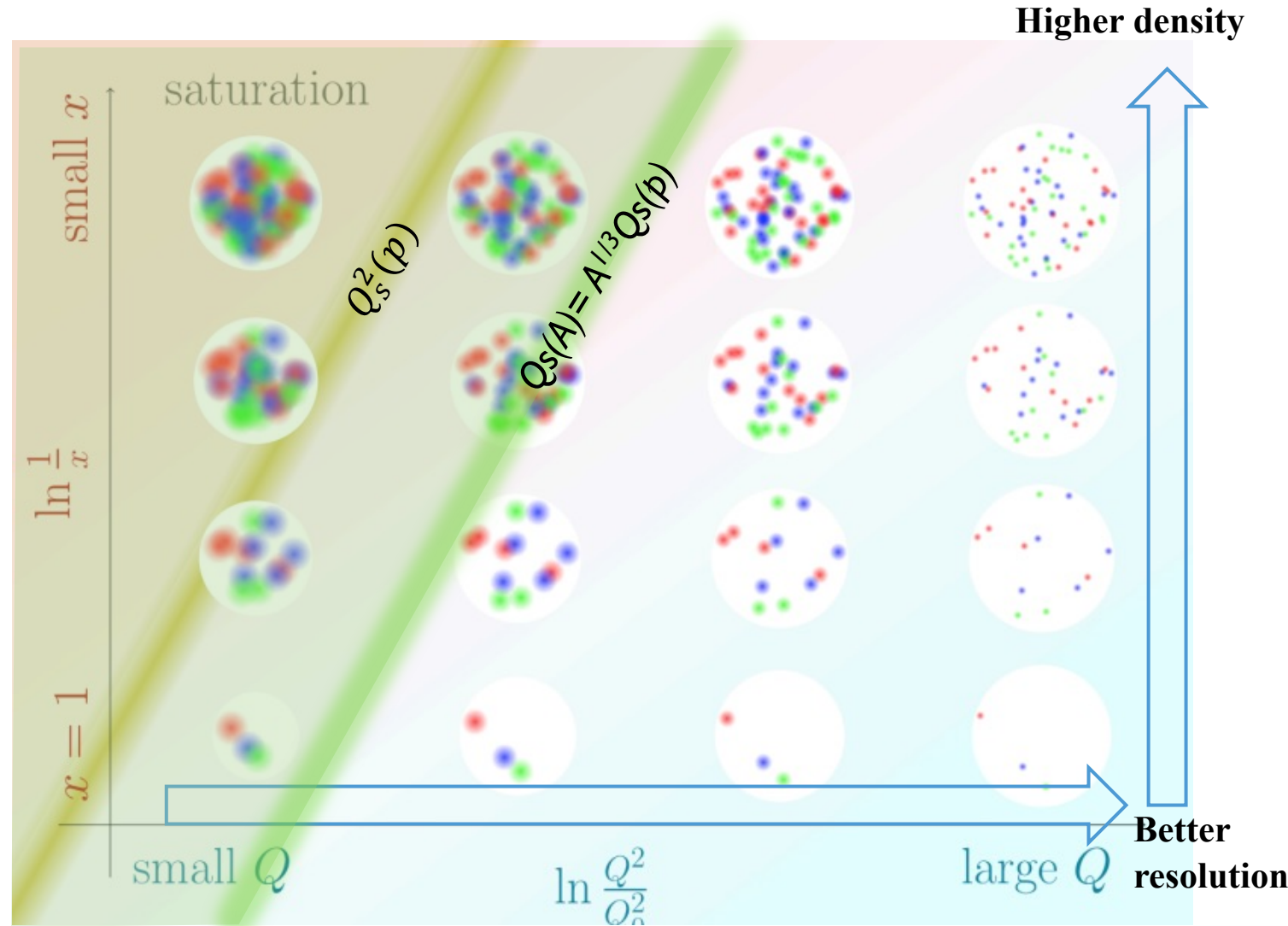
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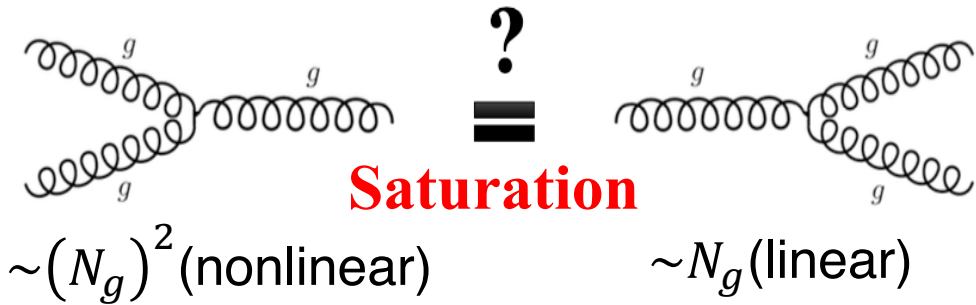
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**Better chance of observing the saturation in heavy nuclei!**



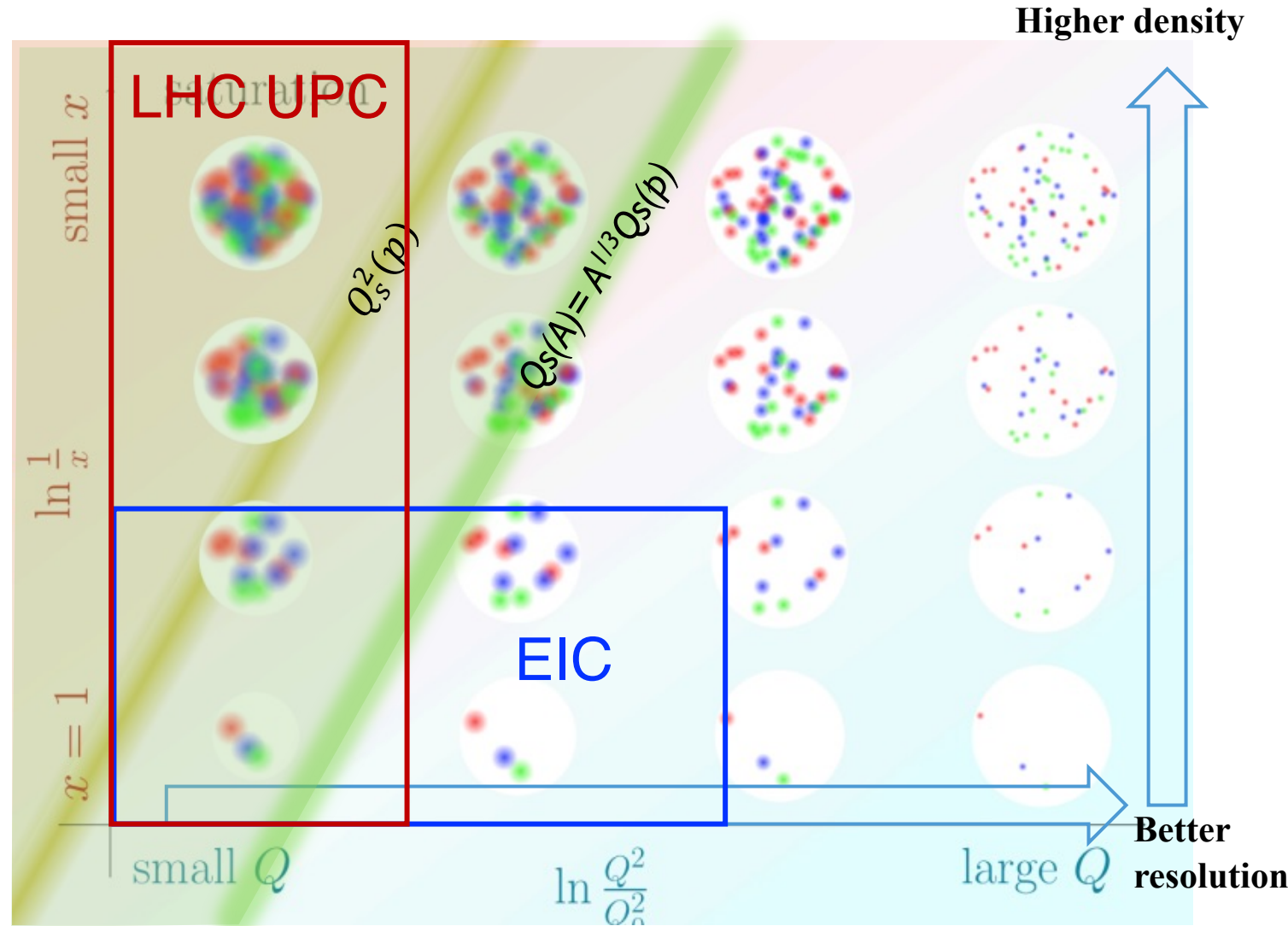
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**No conclusive evidence yet!**

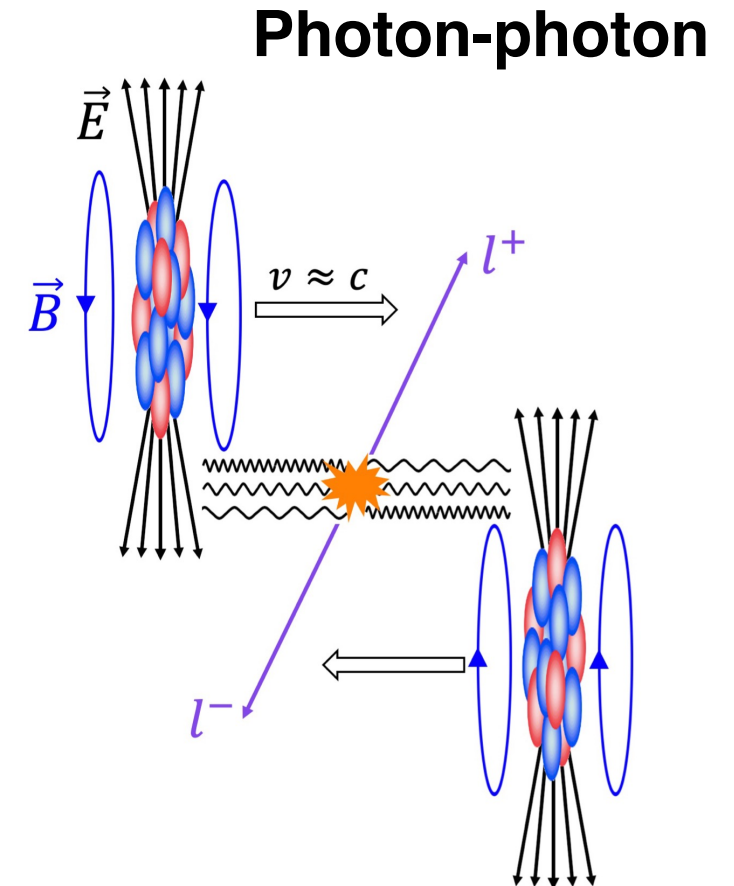
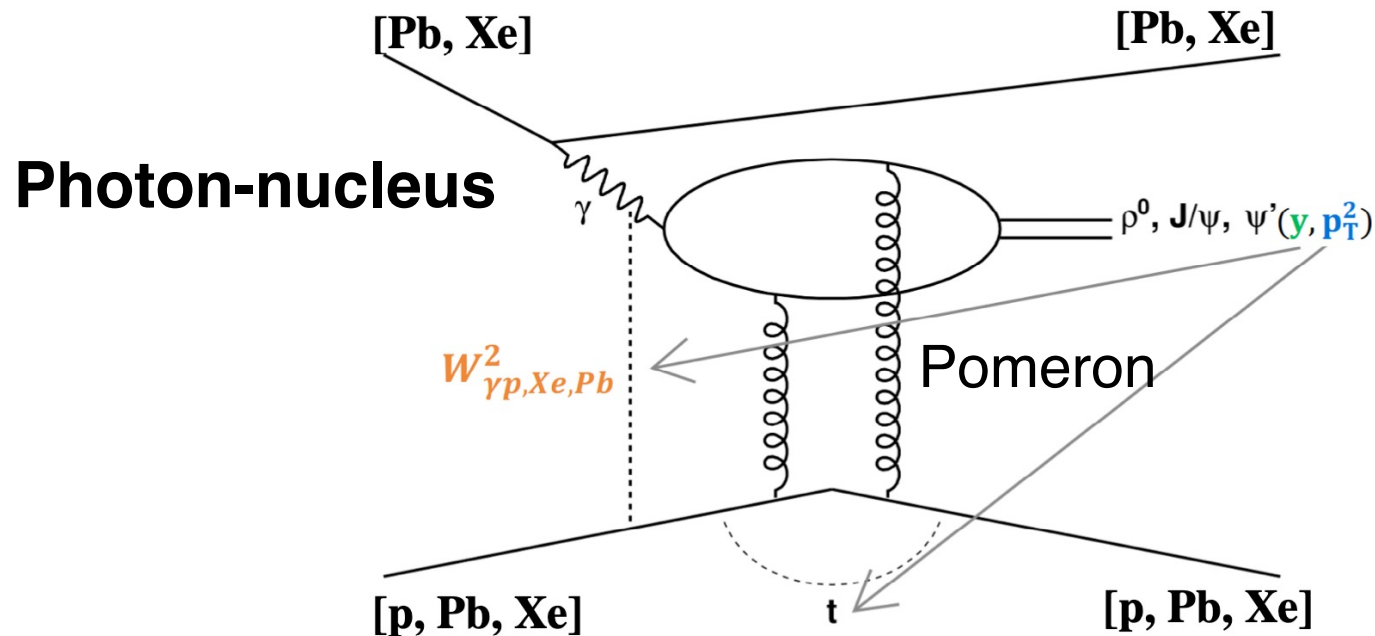
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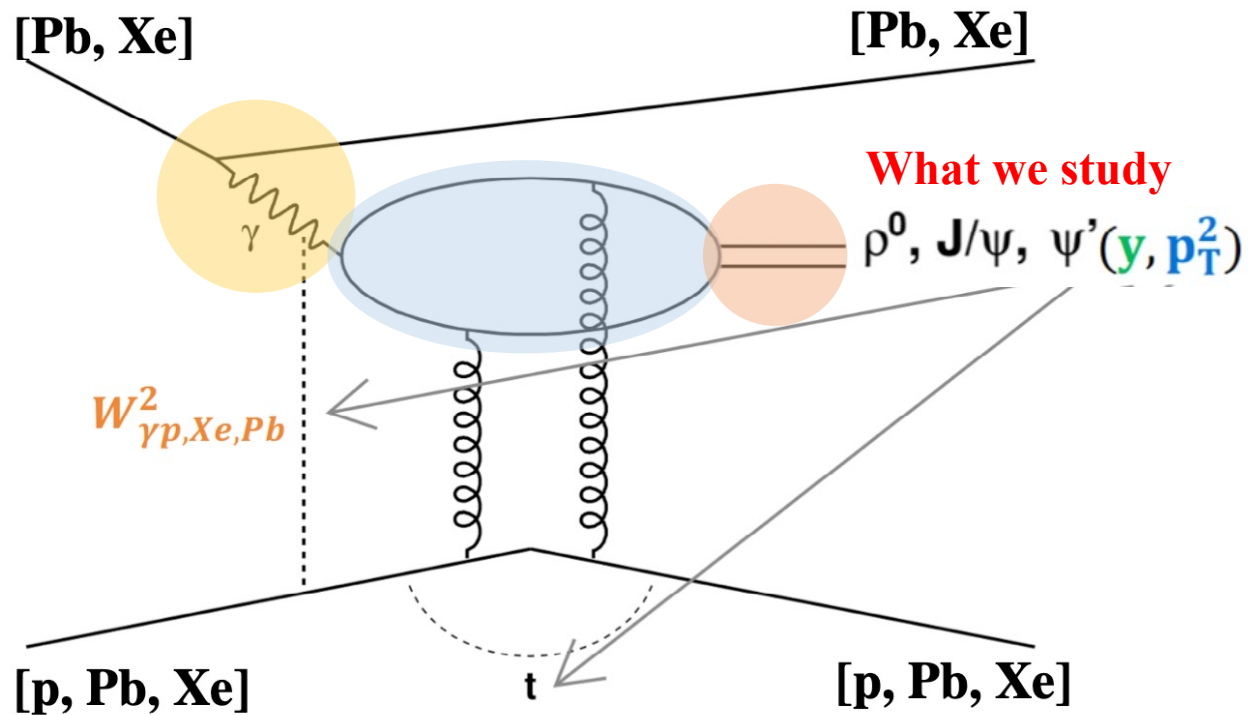
# Ultra-Peripheral Collision (UPC)

Nuclei “miss” each other ( $b > R_A + R_B$ )

- Boosted EM field of nuclei are source of photons
- Interactions via photon-photon (QED) or photon-nucleus (QCD)



# VM Photoproduction In UPCs



Well-defined kinematics:

$$(y, p_T^2) \rightarrow (W_{\gamma p}^2, t)$$

$$W^2 = M_{VM} \sqrt{s_{NN}} \cdot e^{\pm y}$$

$$x = \frac{M_{VM}}{\sqrt{s_{NN}}} e^{\mp y}$$

Cross section  $\propto (xg(x, Q^2))^2$  at LO QCD

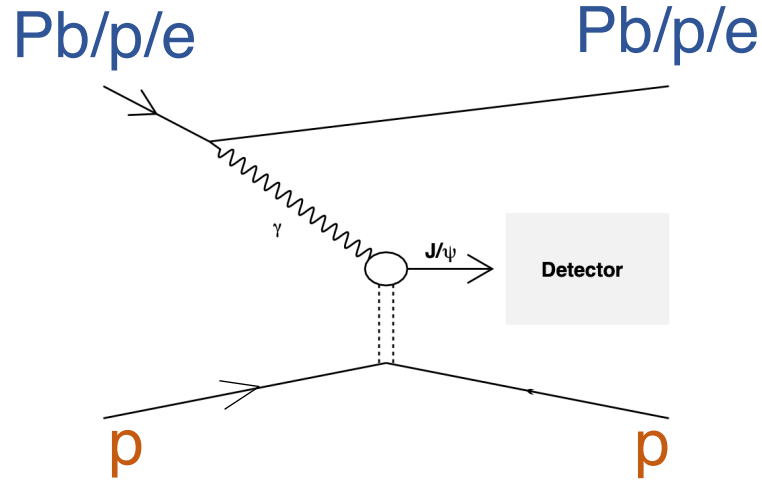
- Coherent: average distribution
- Incoherent: event-by-event fluctuations



**Excellent probe of gluonic IS**



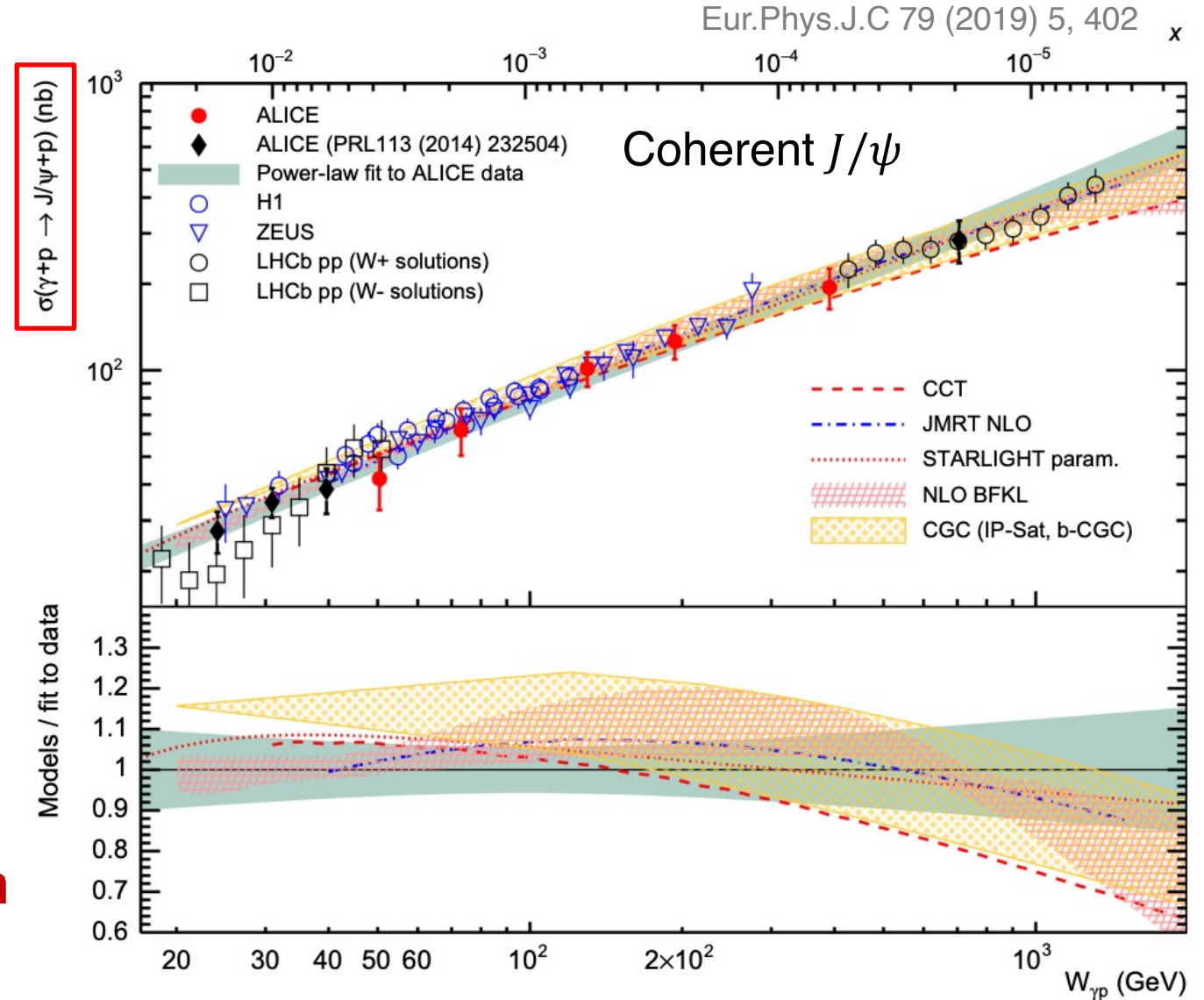
# $J/\psi$ photoproduction via $\gamma p$



$\sigma(W_{\gamma p})$  follows a universal power-law rise from HERA to the LHC.

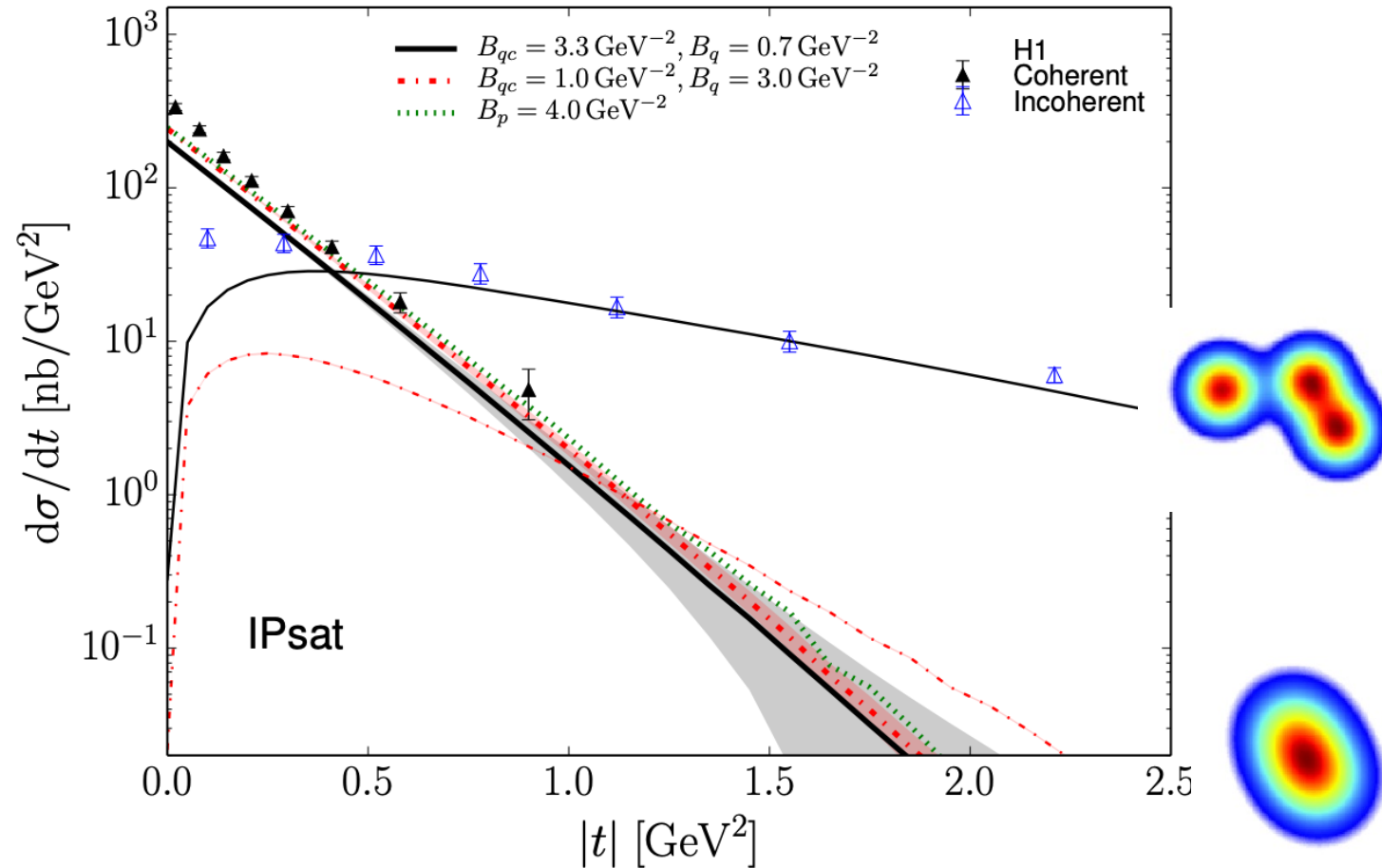
Data described by theories with no/little saturation effects.

**No clear signs of gluon saturation inside a proton to  $x \sim 10^{-5}$ !**



# $J/\psi$ photoproduction via $\gamma p$

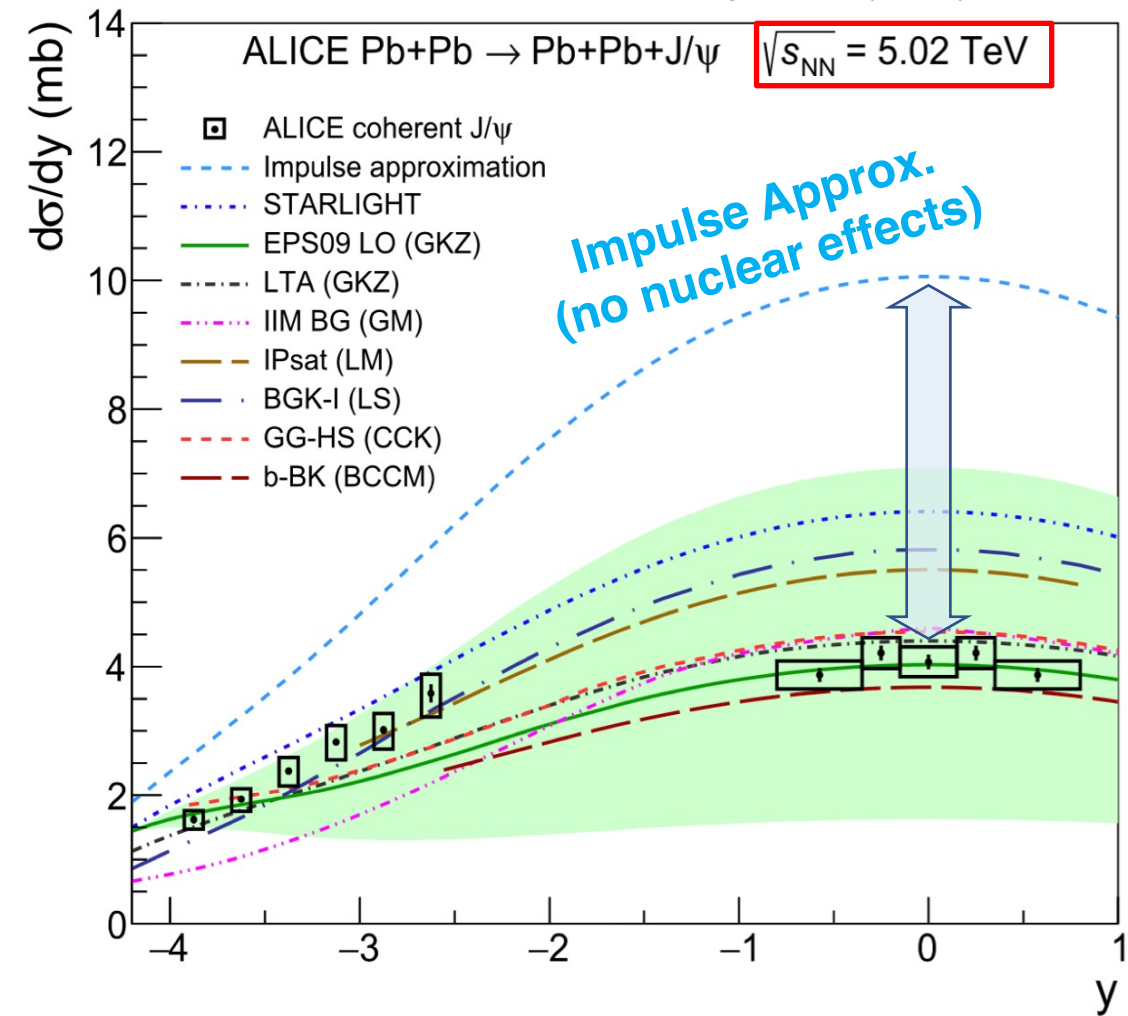
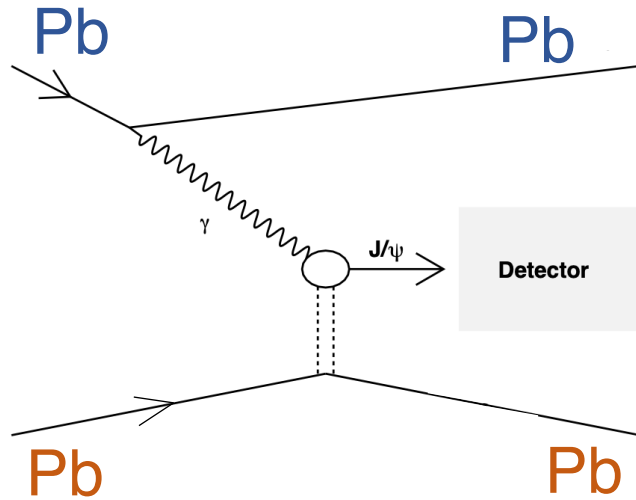
PRD 94, 034042 (2016)



Incoherent production sensitive to **fluctuations of gluon distribution**

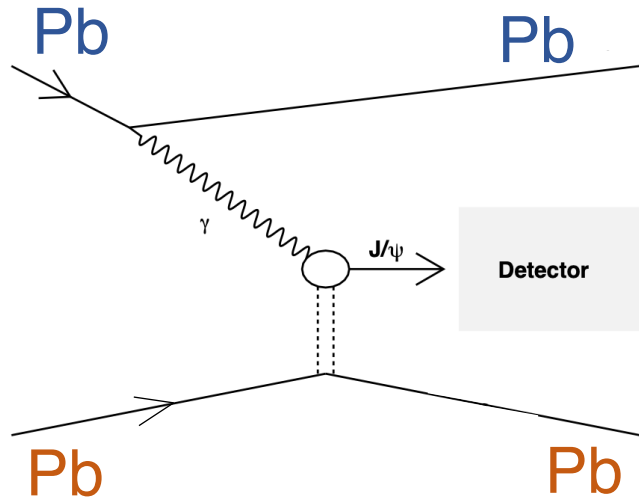
# Coherent $J/\psi$ Photoproduction in $\gamma$ Pb

Eur. Phys. J. C (2021) 81:712



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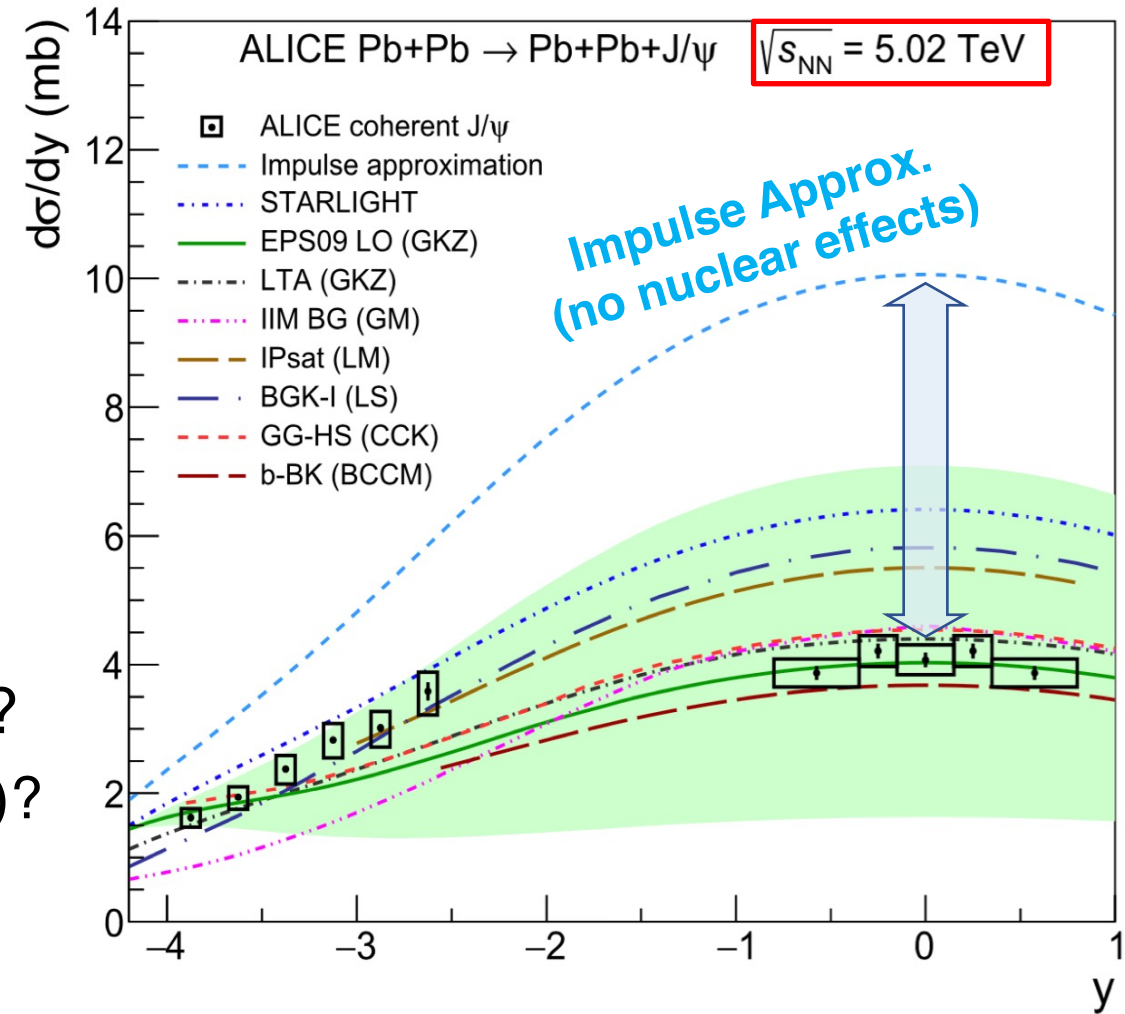
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Strong suppression effects observed. But how?

- Nuclear shadowing (*Leading Twist Approx.*, *LTA*)?
- Gluon saturation (e.g., *IPsat*)?

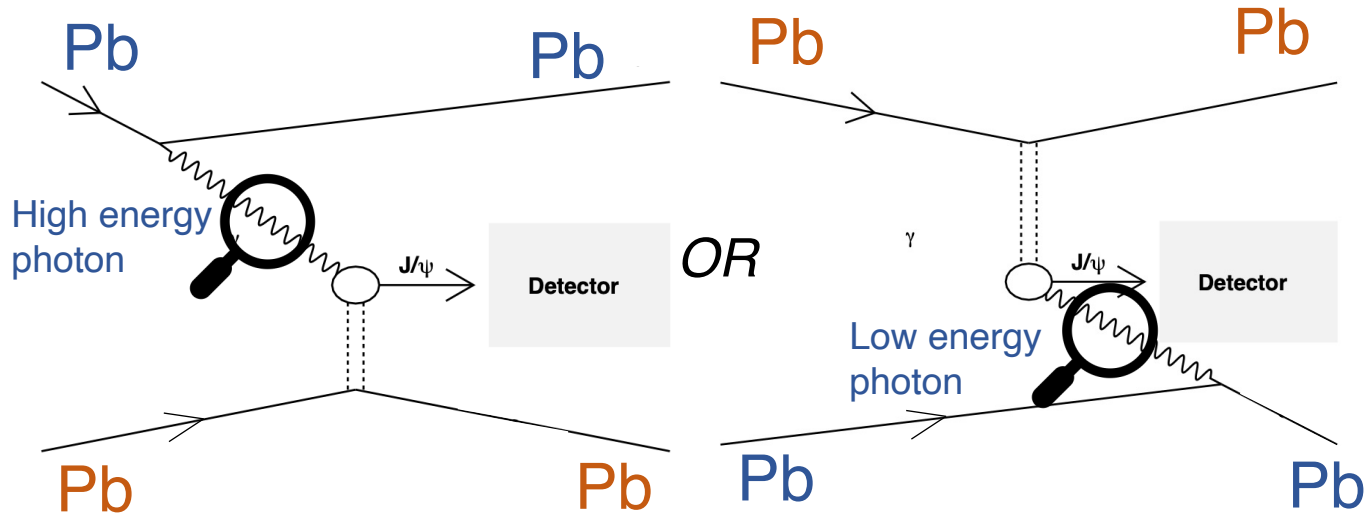
**No theory can describe the data over the full  $y$  range**



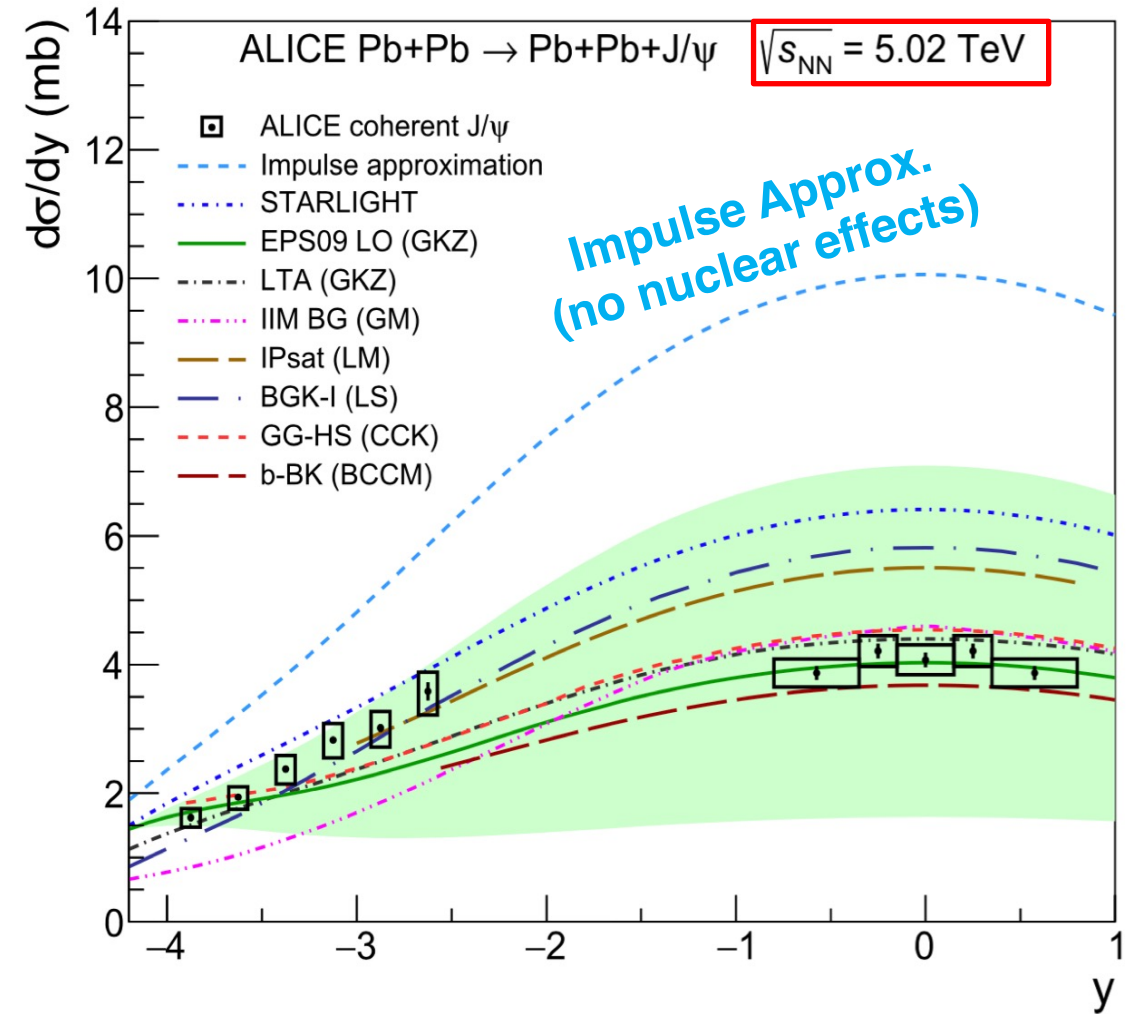


# Coherent $J/\psi$ Photoproduction in $\gamma$ Pb

A “two-way ambiguity” in symmetric systems



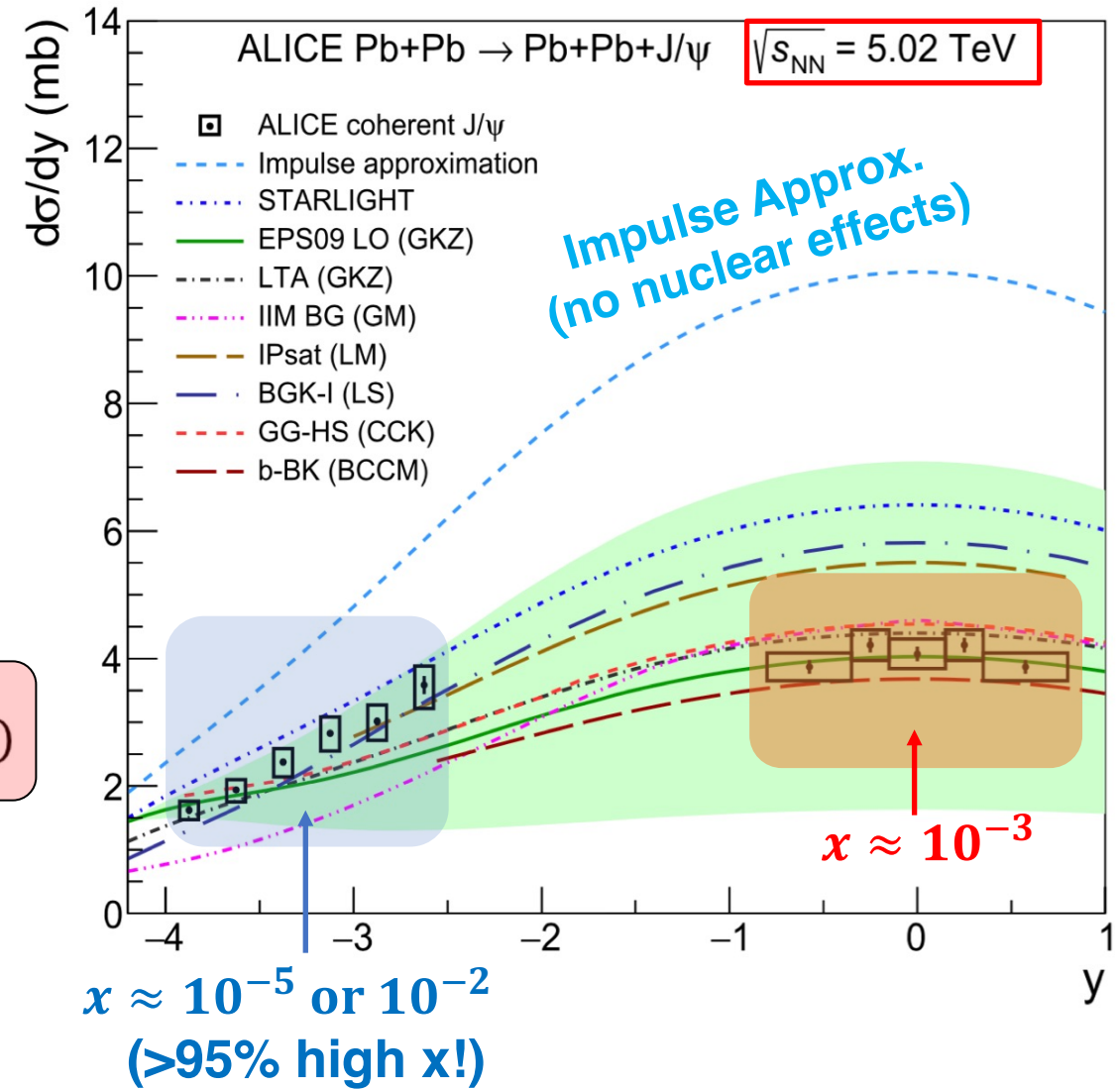
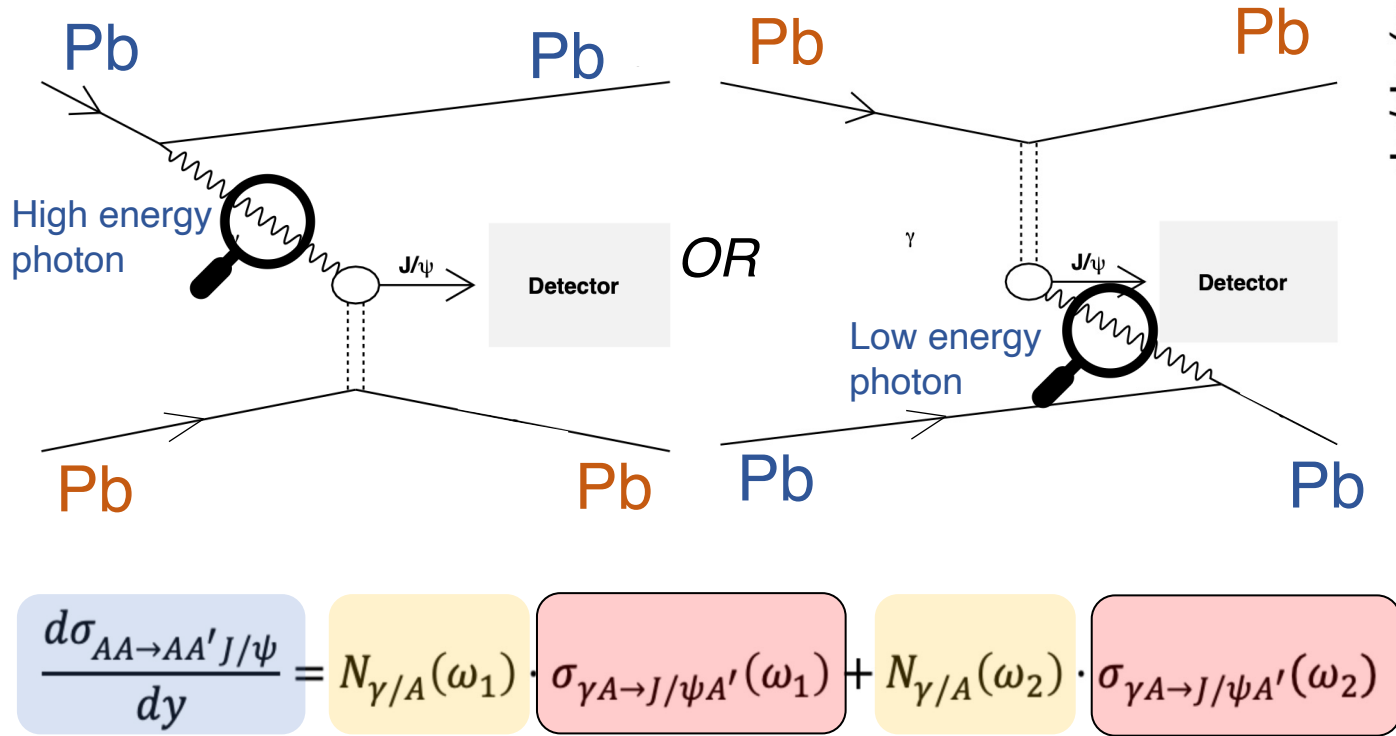
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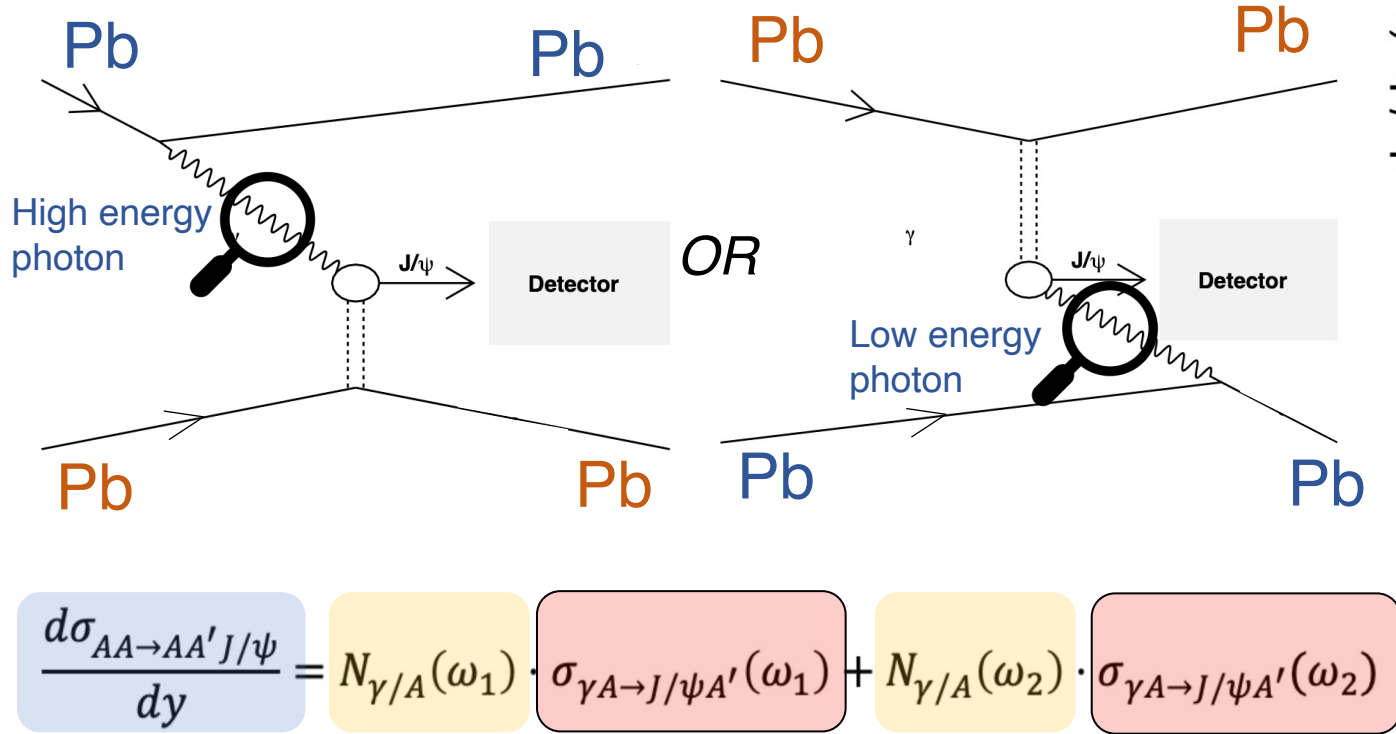
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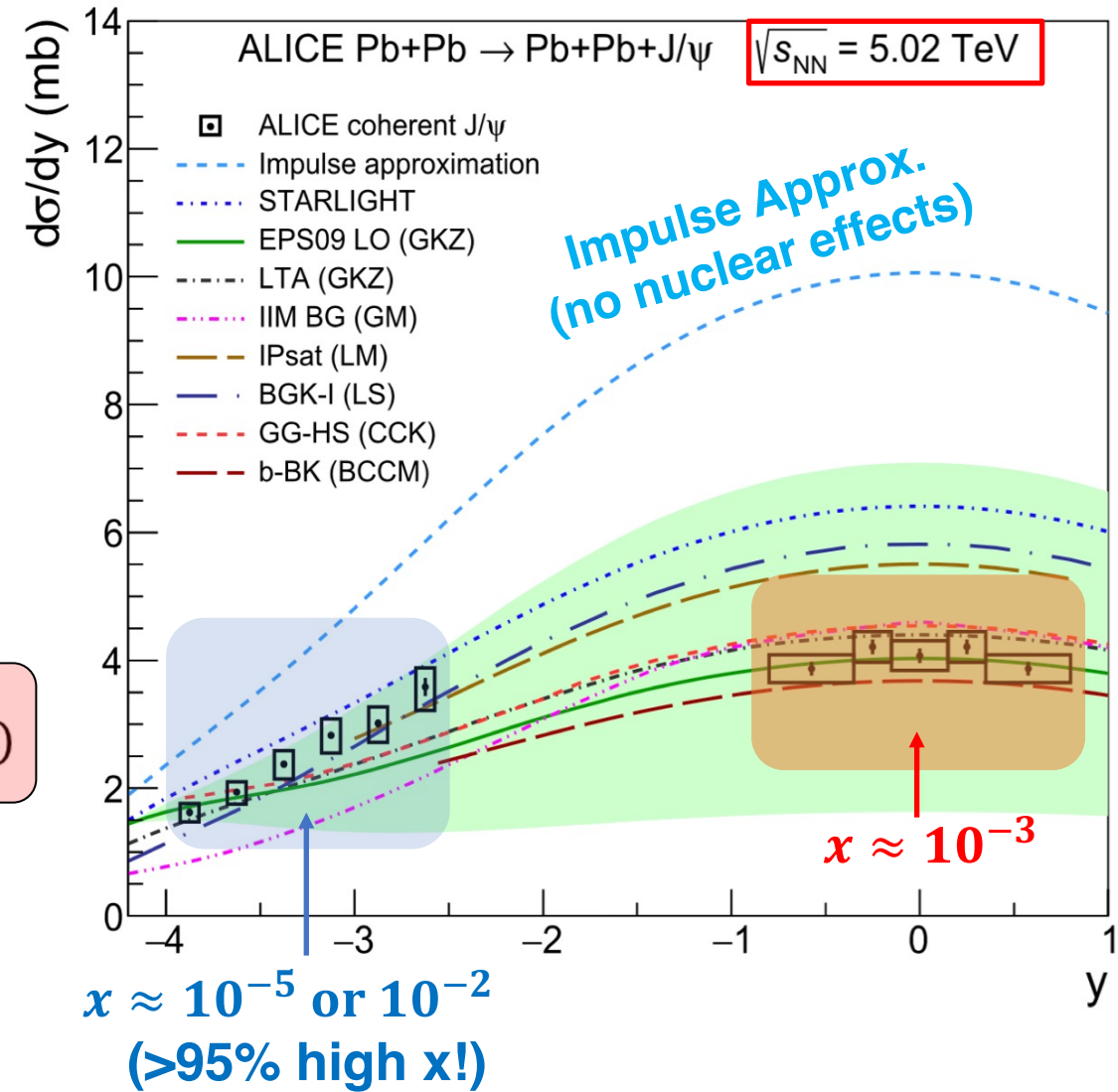
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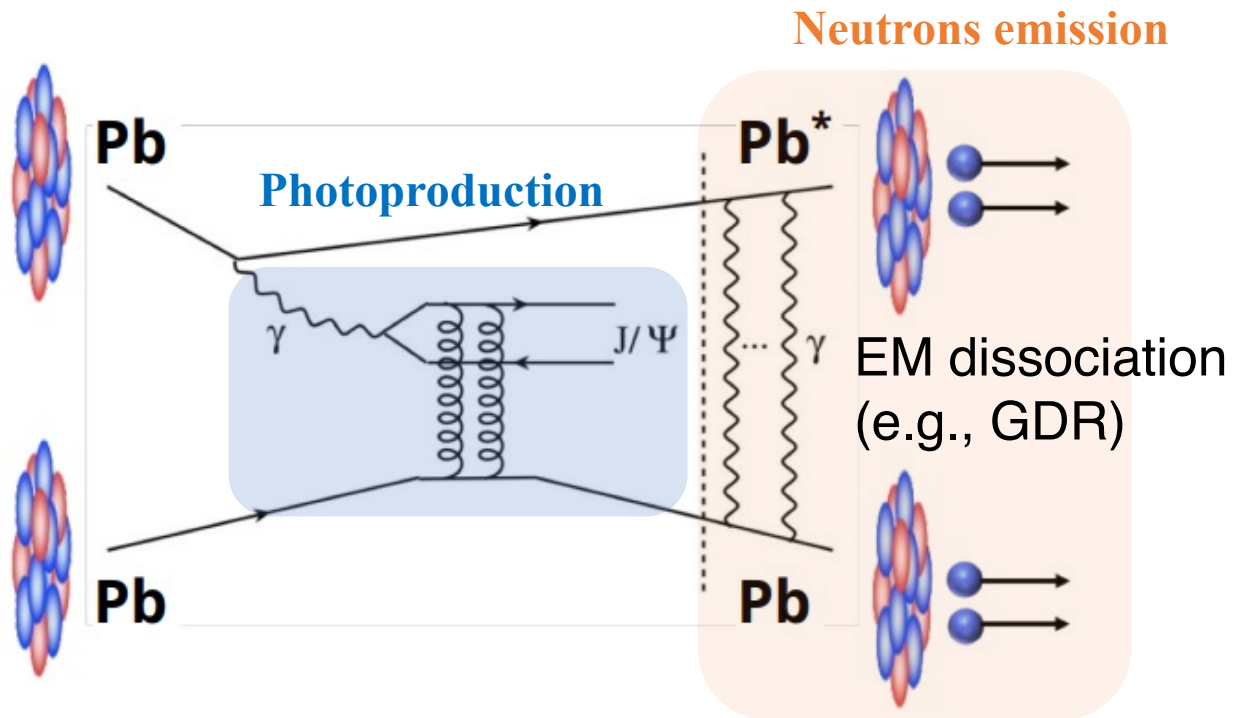
**No easy access to  $\sigma(W_{\gamma N}^{\text{Pb}})$ , and thus gluons inside a Pb nucleus at  $x \sim 10^{-5}$ !**



# A Solution To The “Two-way Ambiguity”

Guzey et al., EPJC 74 (2014) 2942

Control the impact parameter or “centrality” of UPCs via forward neutron multiplicity



Nucleus excitation probability:

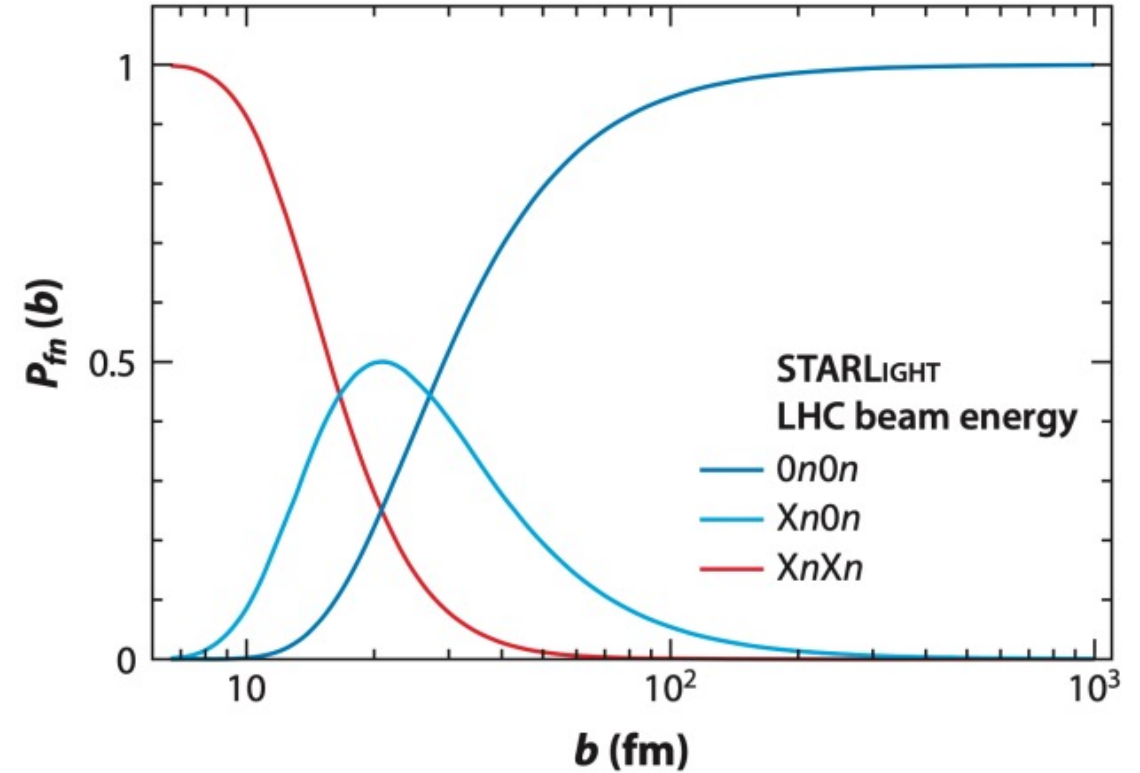
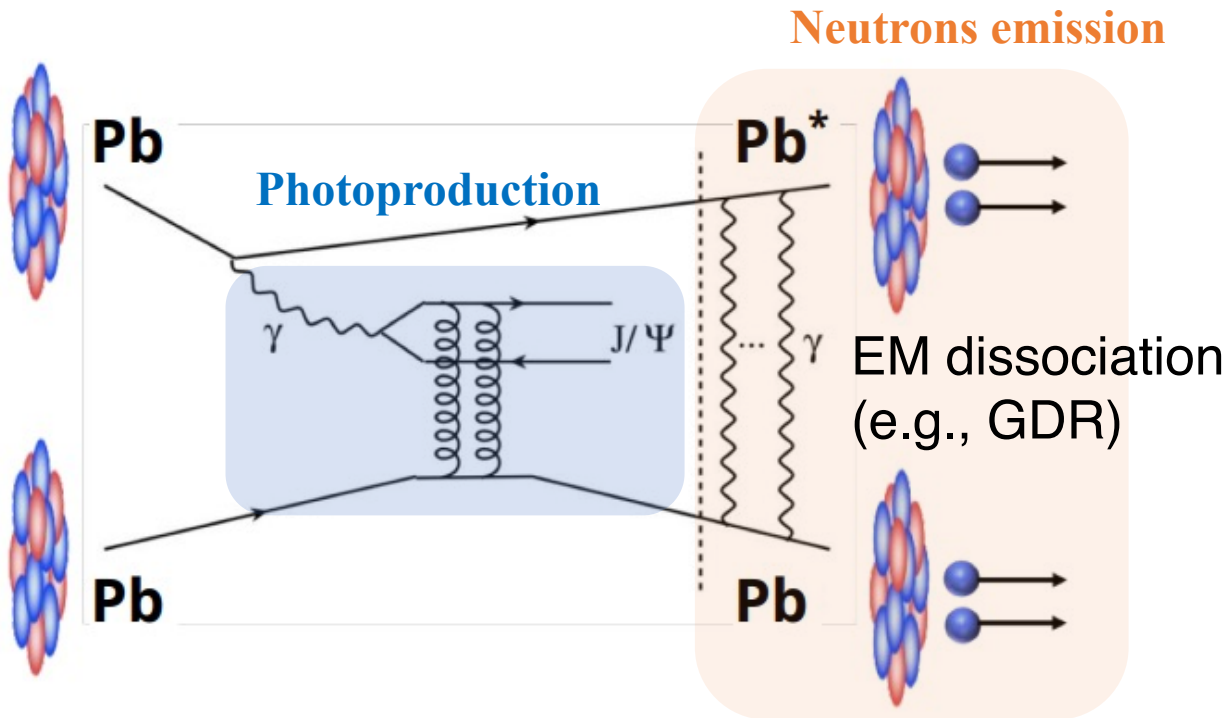
$$P_i(b) \propto 1/b^2$$



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Spencer Klein & PAS, Ann Rev Nucl Part Sci Vol. 70:323-354

Nucleus excitation probability:

$$P_i(b) \propto 1/b^2$$

• Analogous to centrality:

$$\bullet \quad b_{XnXn} < b_{0nXn} < b_{0n0n}$$

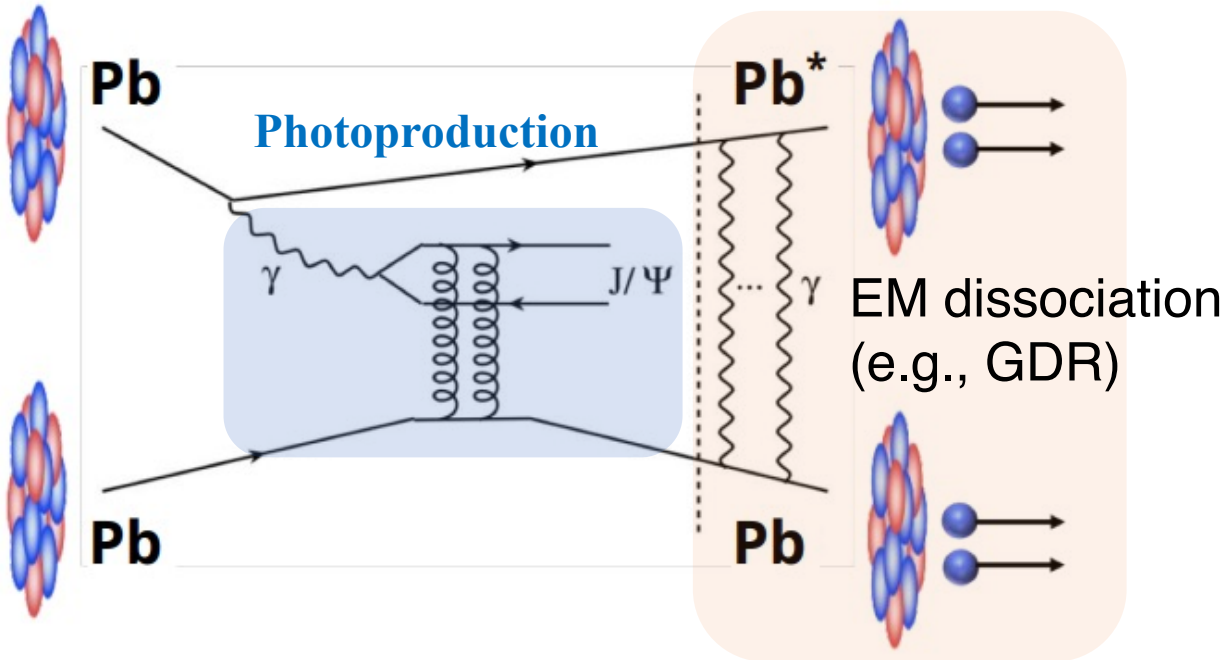
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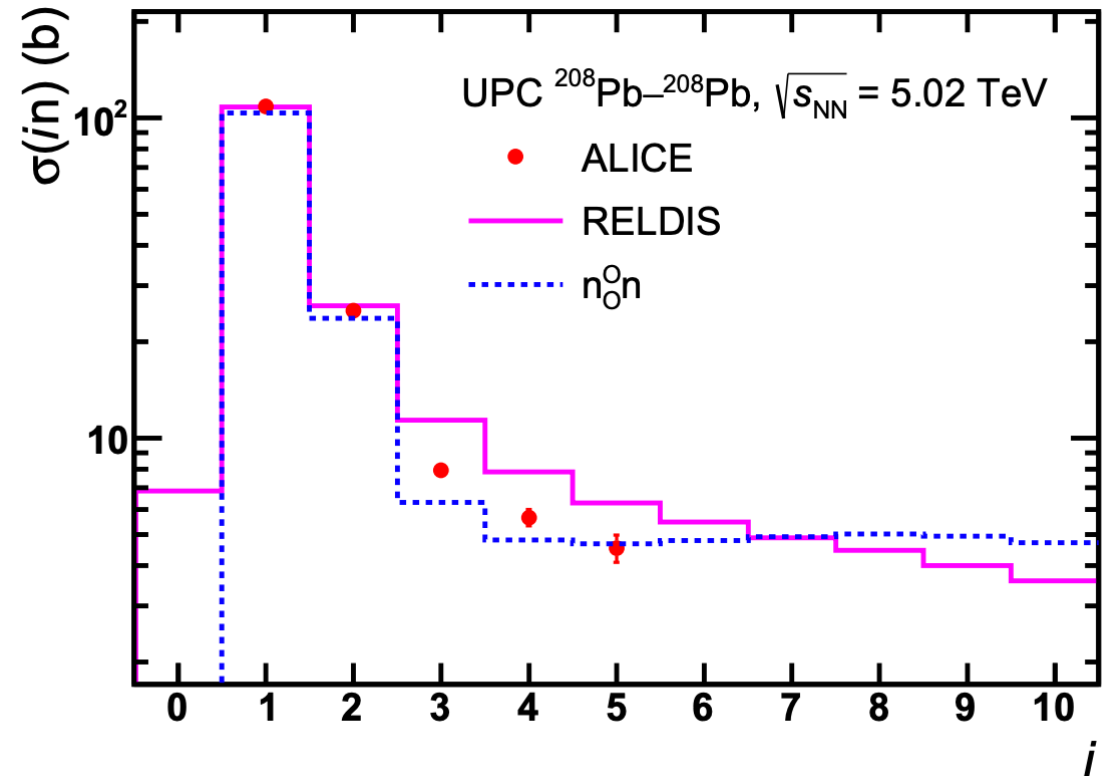
Neutrons emission

ALICE, arXiv:2209.04250



Nucleus excitation probability:

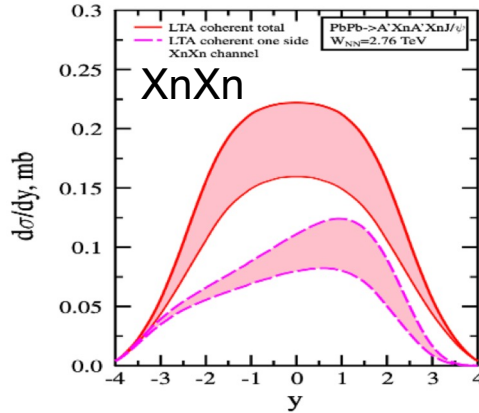
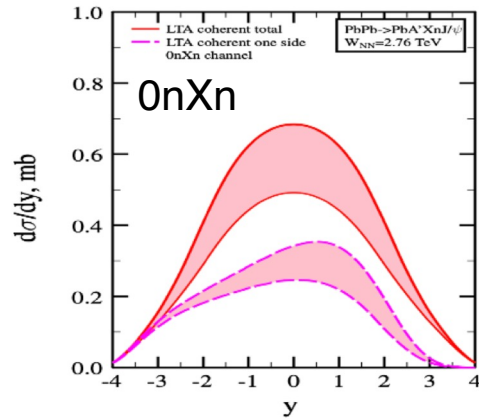
$$P_i(b) \propto 1/b^2$$



Neutrons from EMD reasonably understood

# A Solution To The “Two-way Ambiguity”

For each  $|y|$  bin,



$$\frac{d\sigma_{AA \rightarrow AA' J/\psi}^{0n0n}}{dy} = N_{\gamma/A}^{0n0n}(\omega_1) \cdot \sigma_{\gamma A \rightarrow J/\psi A'}(\omega_1) + N_{\gamma/A}^{0n0n}(\omega_2) \cdot \sigma_{\gamma A \rightarrow J/\psi A'}(\omega_2)$$

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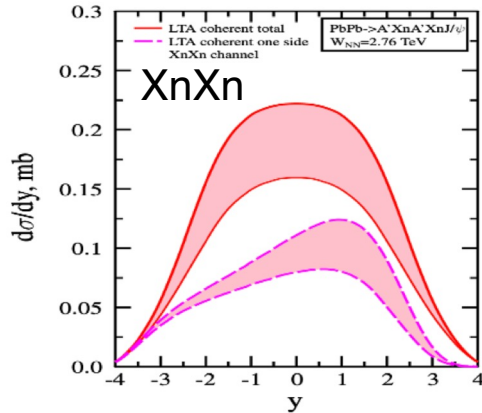
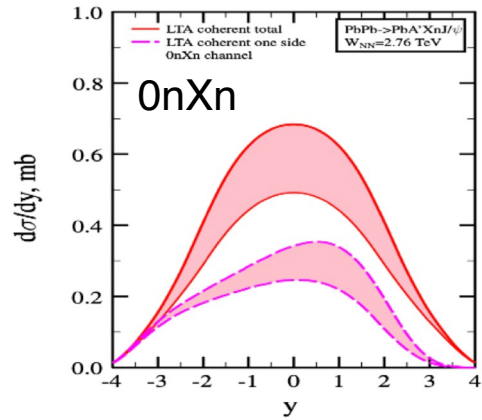
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For each  $|y|$  bin,

What is measured

Photon flux  
from theory

What we want



Guzey et al., EPJC 74 (2014) 2942

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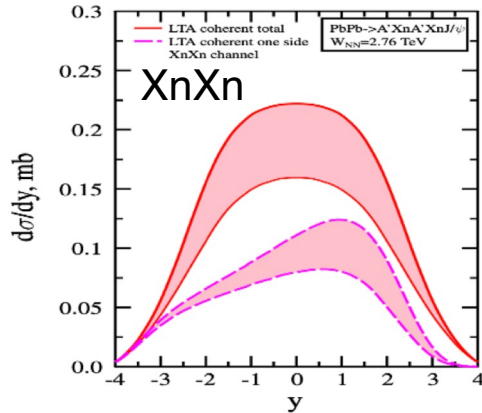
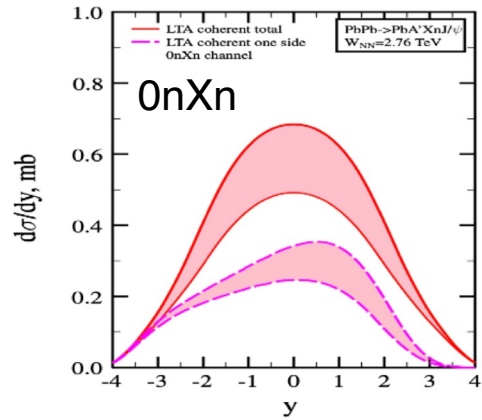
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 \end{aligned}$$



Solve for  $\sigma_{\gamma A \rightarrow J/\psi A'}(\omega_1)$  and  $\sigma_{\gamma A \rightarrow J/\psi A'}(\omega_2)$

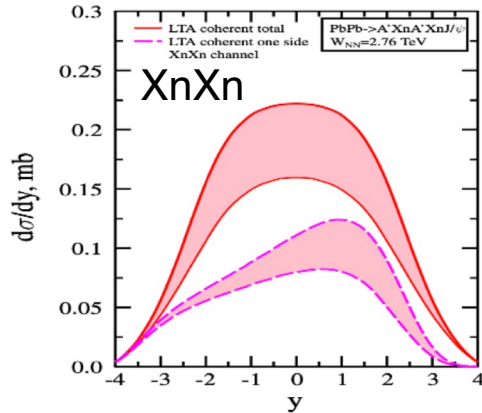
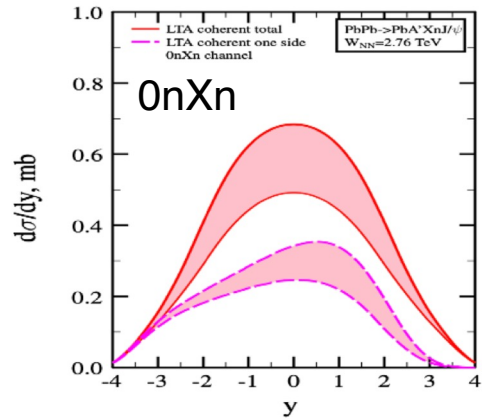
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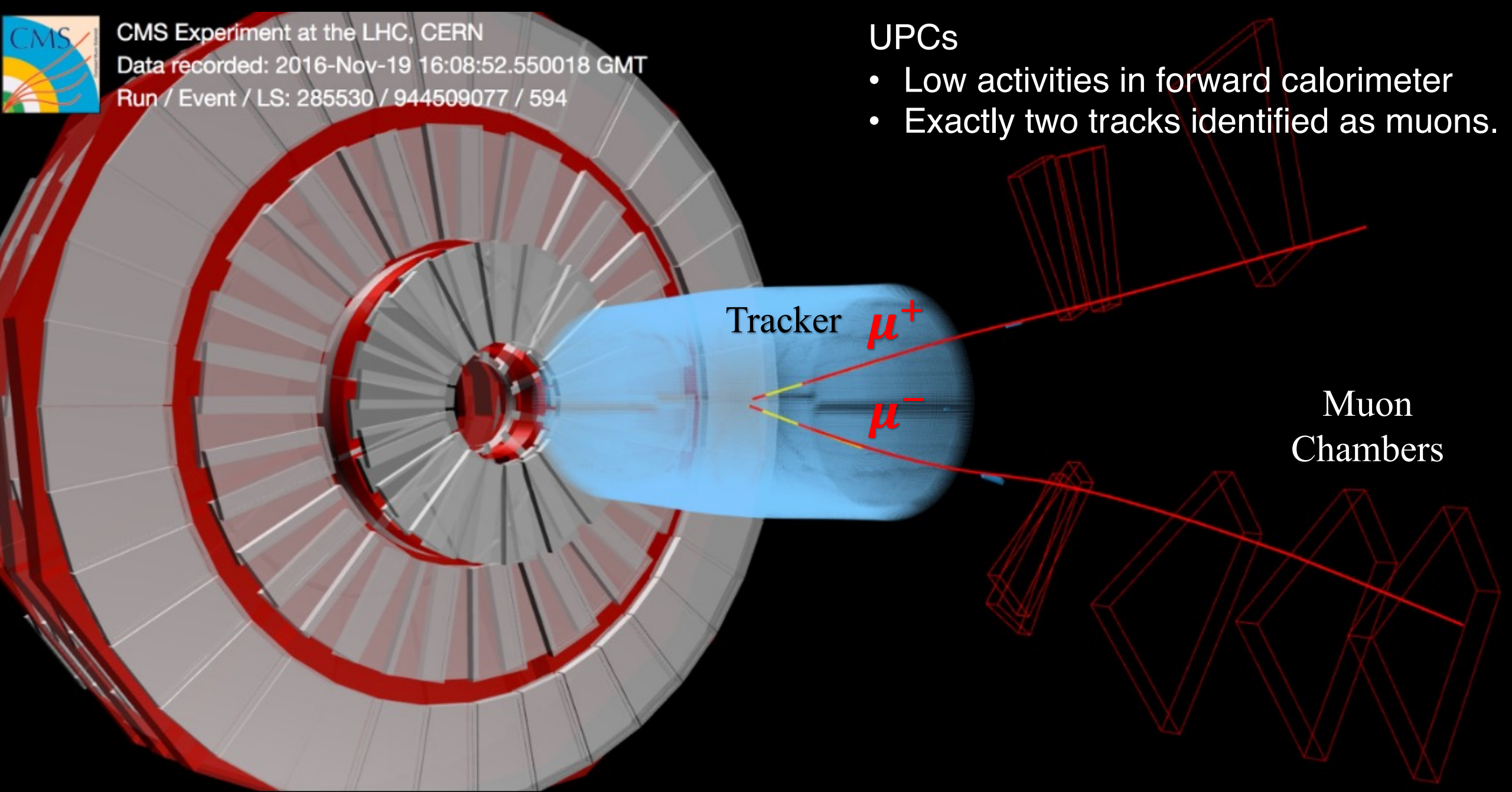
Solve for  $\sigma_{\gamma A \rightarrow J/\psi A'}(\omega_1)$  and  $\sigma_{\gamma A \rightarrow J/\psi A'}(\omega_2)$

$\sigma_{\gamma A \rightarrow J/\psi A'}(W_{\gamma N}^{Pb}$  or  $x$ ), probing  $x \sim 10^{-4} - 10^{-5}$  gluons in nuclei!



## UPCs

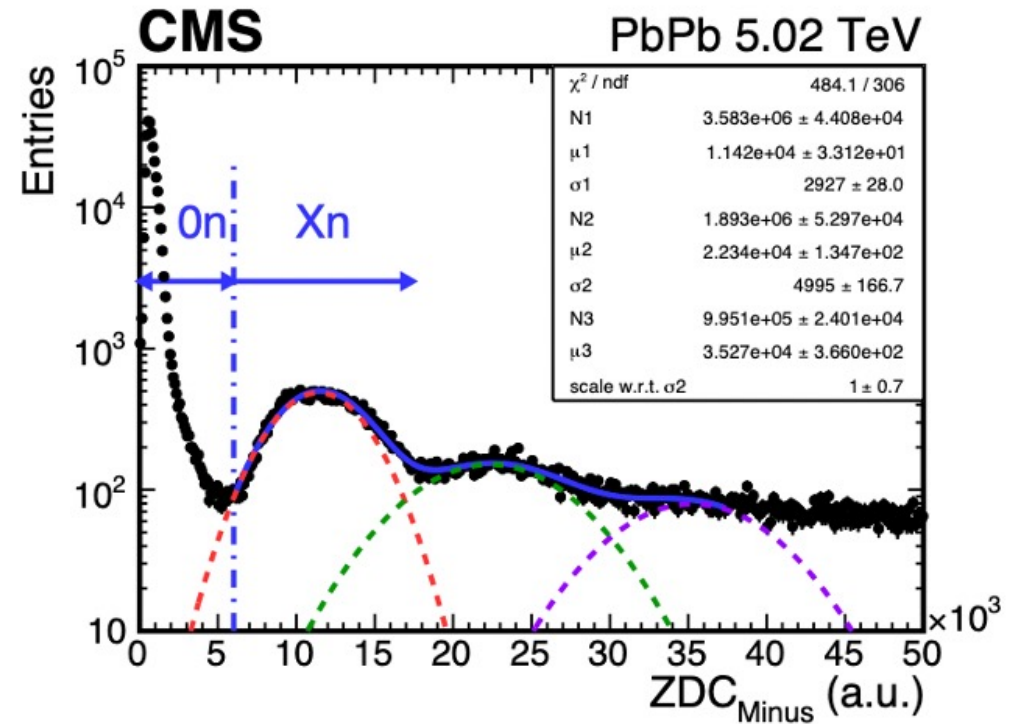
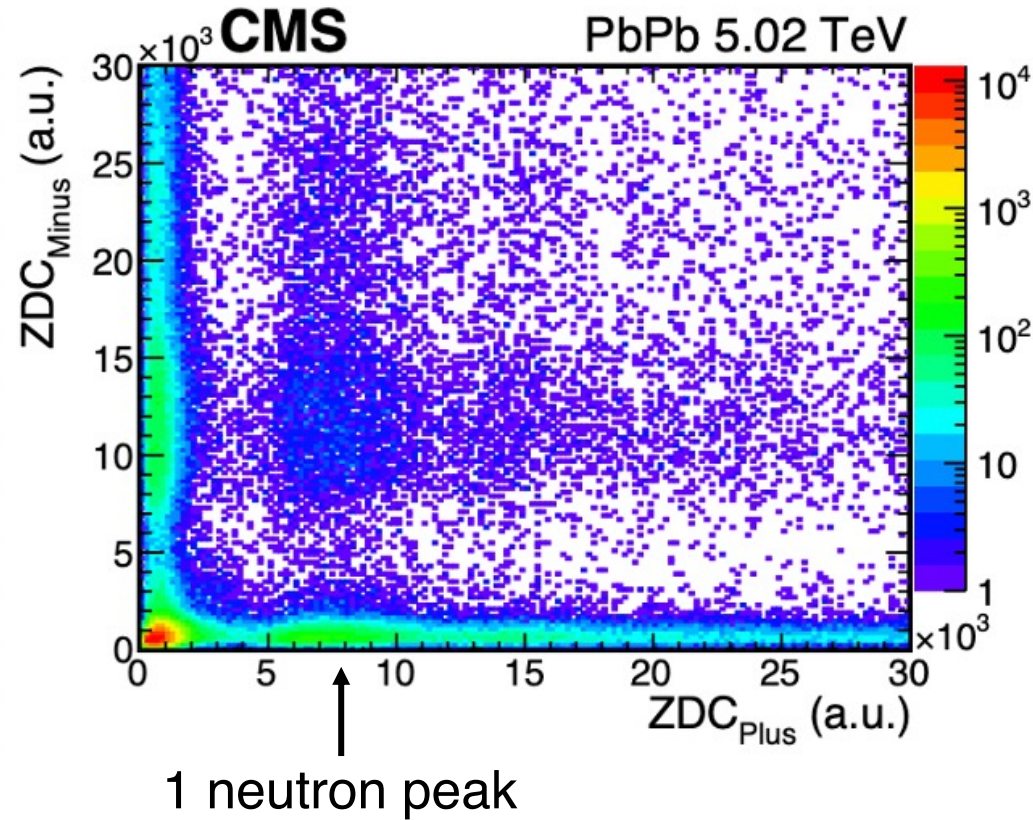
- Low activities in forward calorimeter
- Exactly two tracks identified as muons.



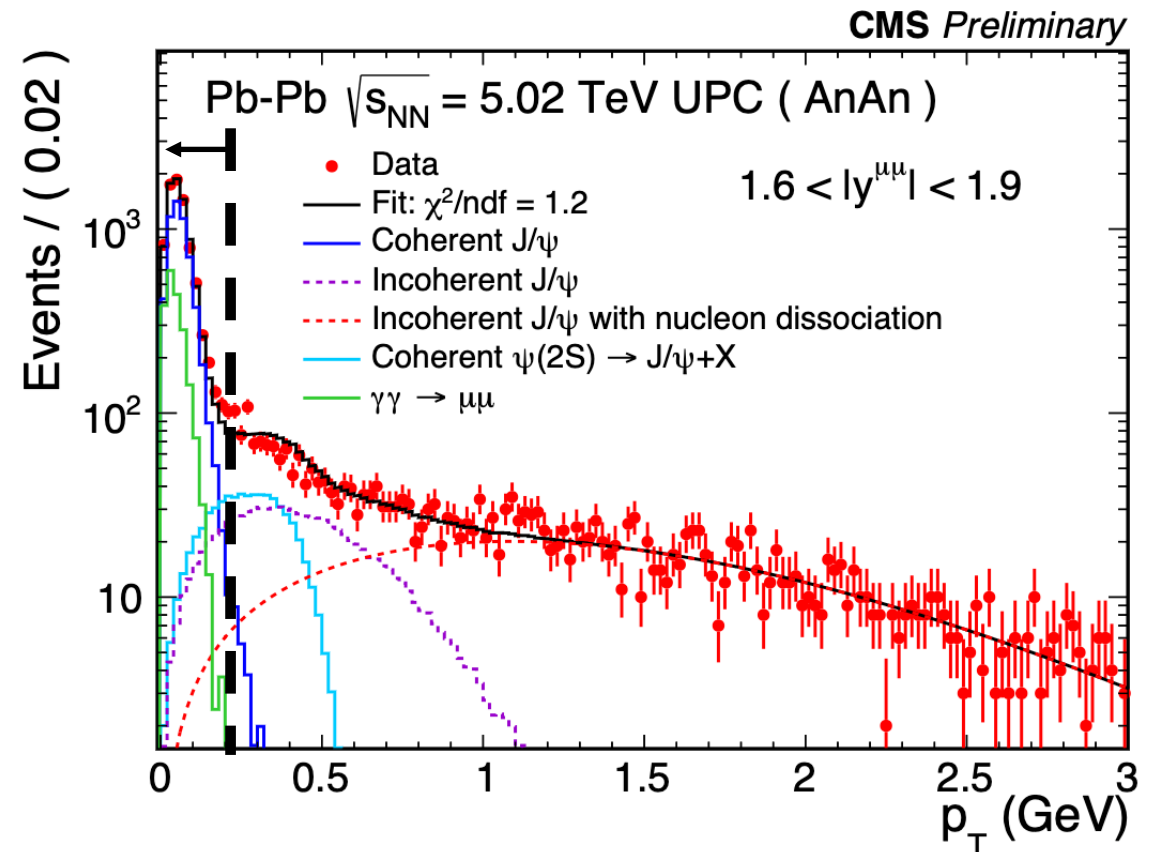
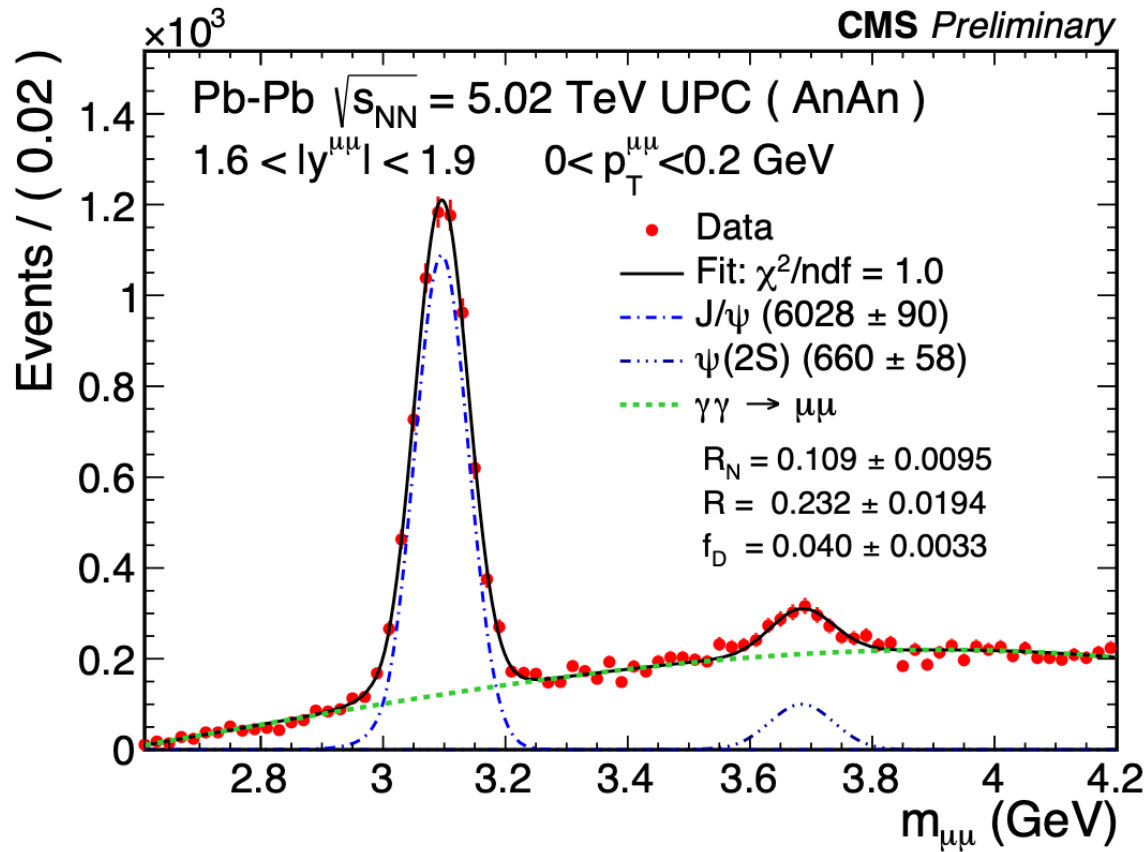


# Determining the UPC centrality

## Neutron energy distribution in Zero Degree Calorimeters (ZDCs)



# Coherent $J/\psi$ signal extraction

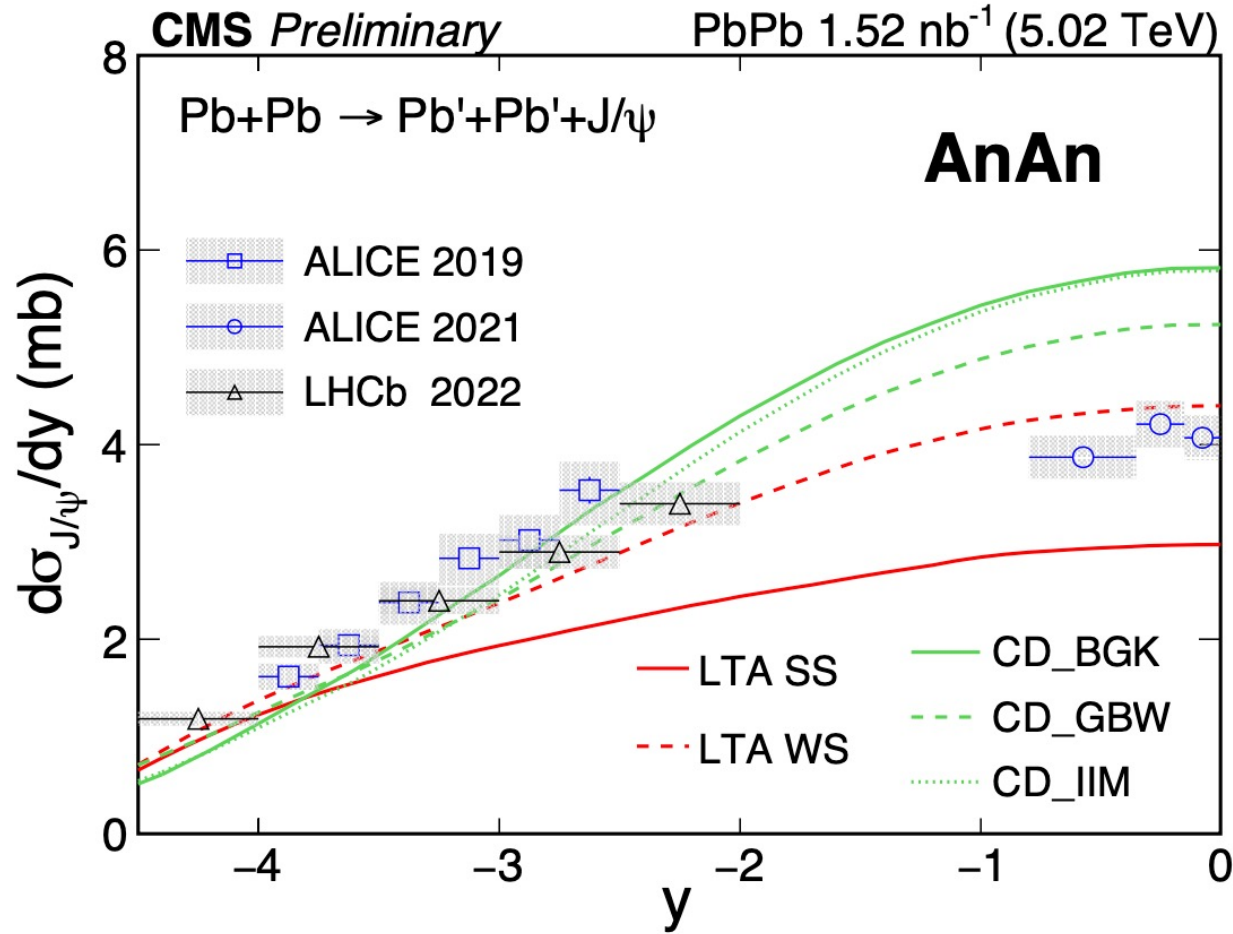


Signal yields are extracted by fitting the mass and transverse momentum spectra

AnAn: All possible neutron emissions



# Neutron-Inclusive coherent $J/\psi$ in UPC PbPb

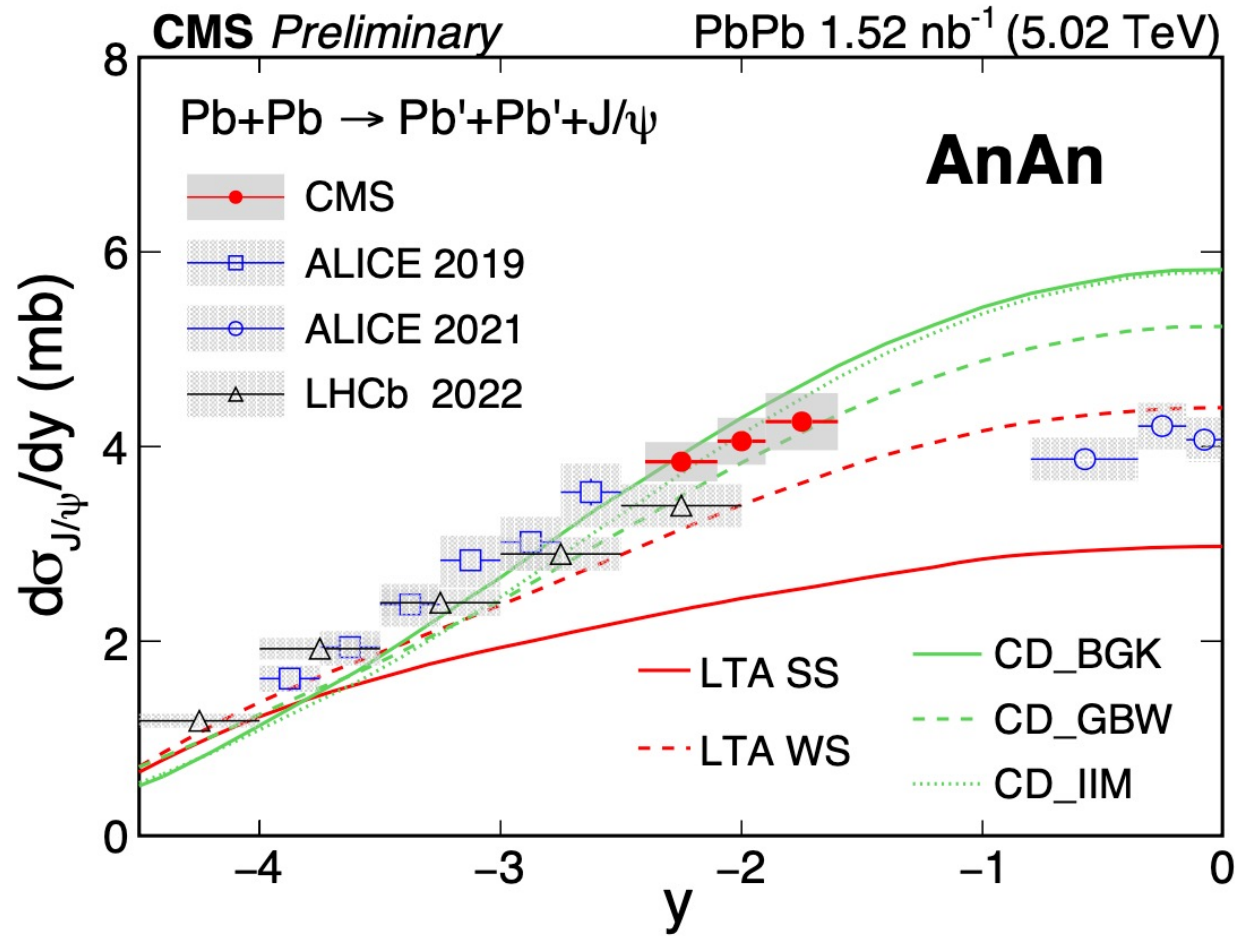


AnAn: All possible neutron emissions

$$\frac{d\sigma_{J/\psi}^{coh}}{dy} = \frac{N(J/\psi)}{(1 + f_I + f_D) \cdot \epsilon(J/\psi) \cdot Acc(J/\psi) \cdot BR(J/\psi \rightarrow \mu\mu) \cdot L_{int} \cdot \Delta y}$$

- A tension between ALICE and LHCb forward data?
- *LTA Weak Suppression* connects ALICE mid-y and LHCb high-y

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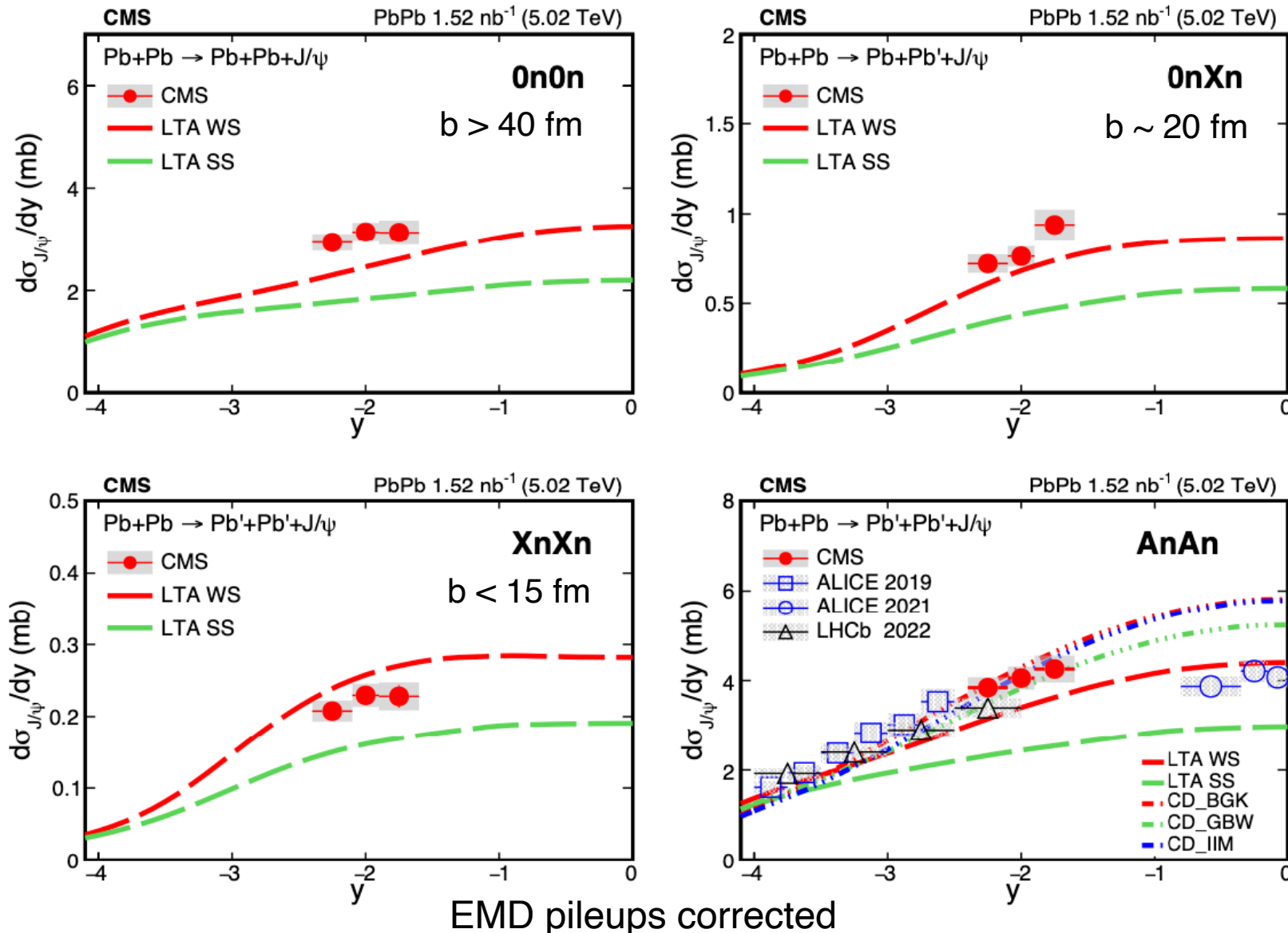
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- A tension between ALICE and LHCb forward data?
- *LTA Weak Suppression* connects ALICE mid-y and LHCb high-y

• CMS data cover a unique new  $y$  region and tends to follow ALICE high-y trend

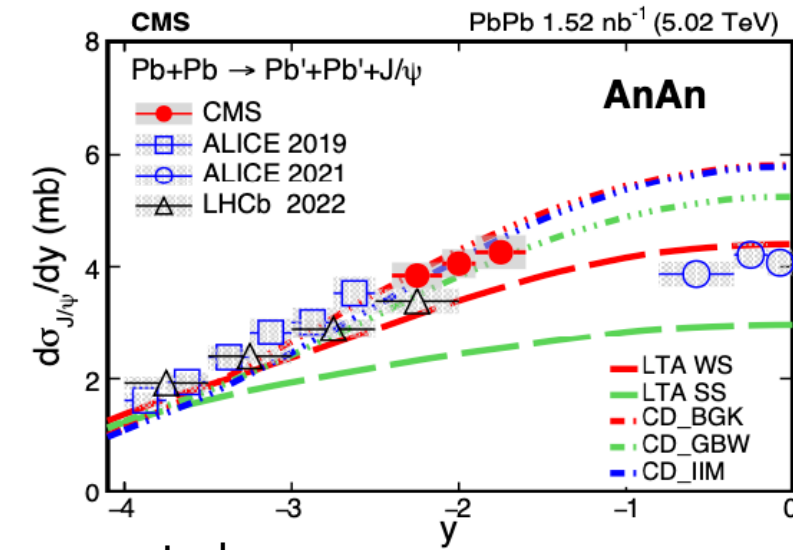
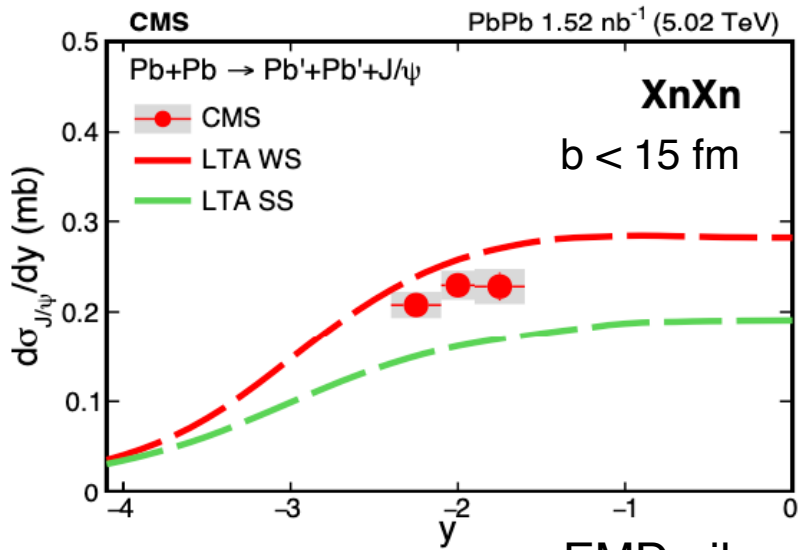
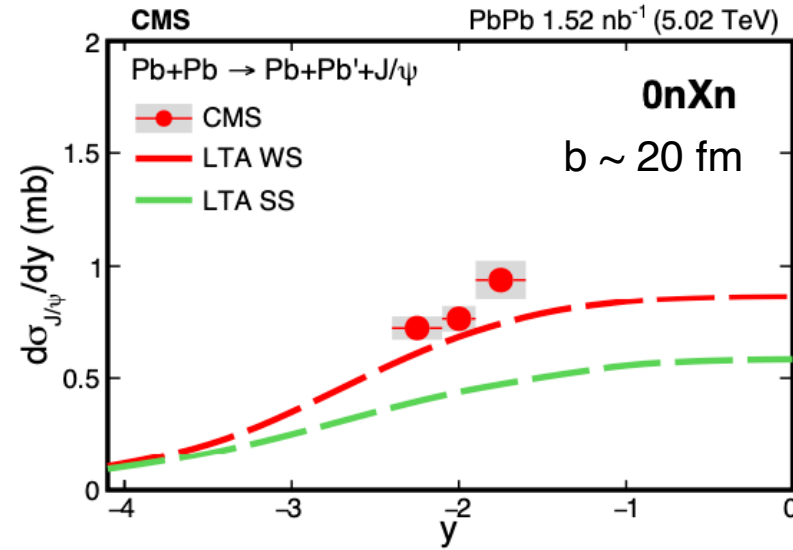
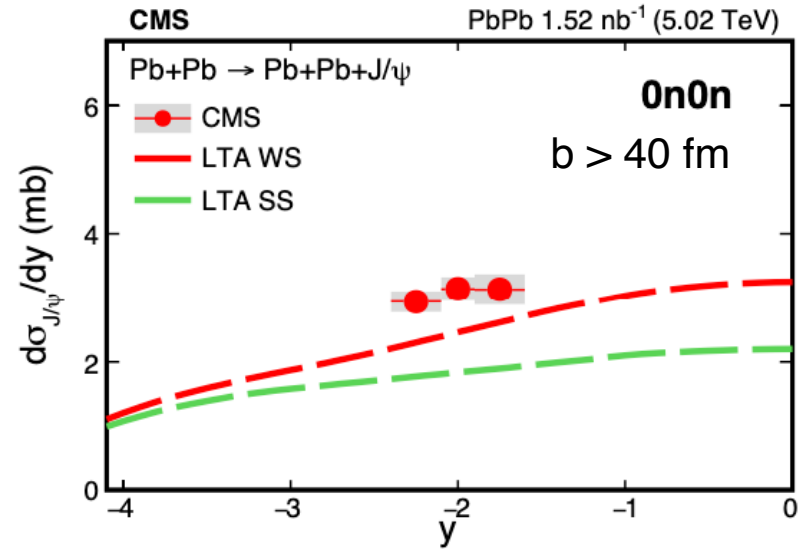
➤ will cover full  $|y| < 2.4$  in the near future

# Coherent $J/\psi$ in each “UPC centrality” class



- **First separation in different neutron classes!**
- LTA models cannot well describe data in different neutron classes

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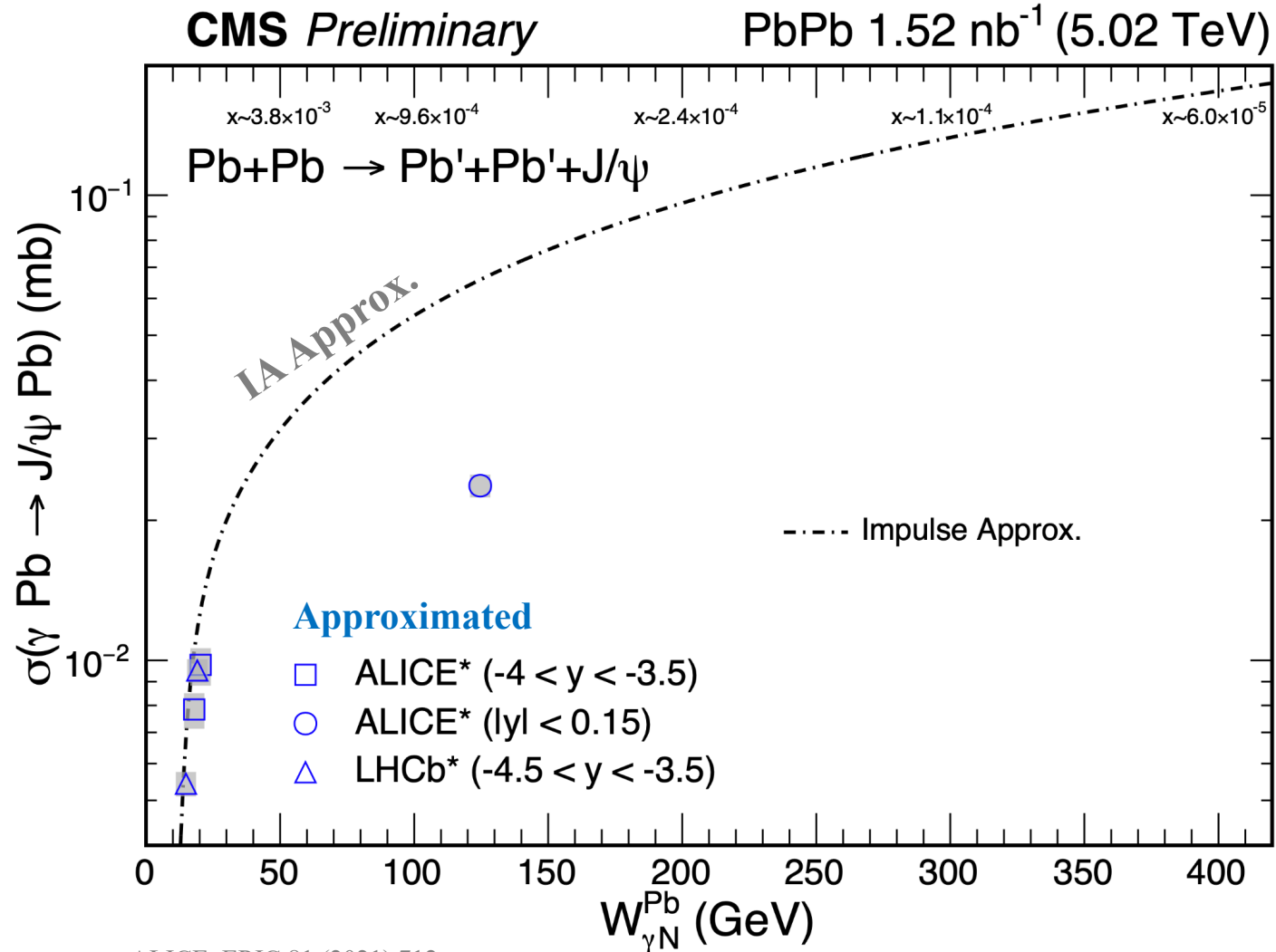


Disentangle the “two-way” ambiguity of high- and low-energy  $\gamma$ Pb contributions

$$\sigma_{\gamma A \rightarrow J/\psi A'} (W_{\gamma N}^{Pb} \text{ or } x)$$

EMD pileups corrected

# $\sigma_{\gamma A \rightarrow J/\psi A'}(W)$ results

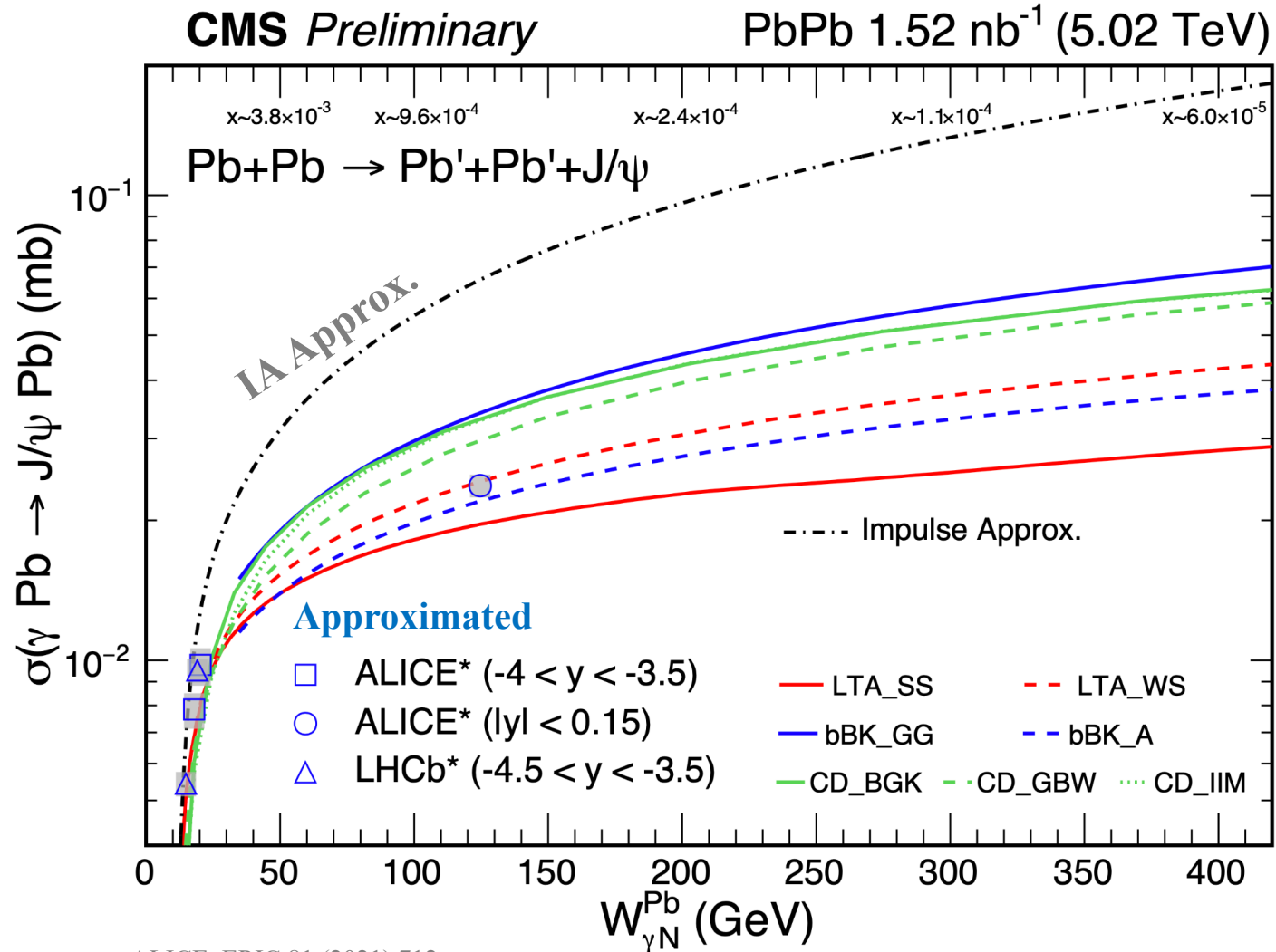


Stronger suppression towards higher W from ALICE/LHCb data

ALICE, EPJC 81 (2021) 712  
LHCb, arXiv:2206.08221



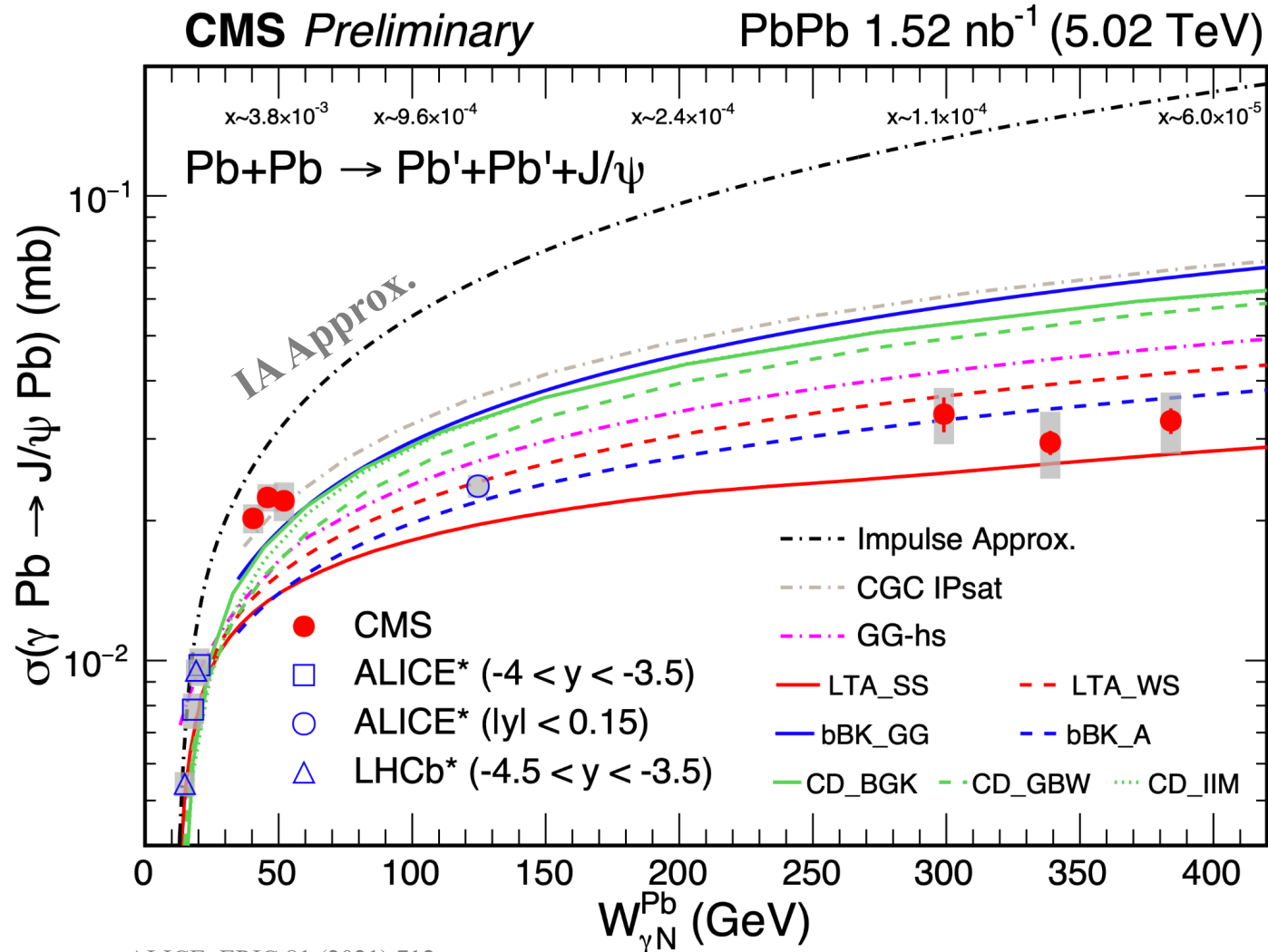
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Stronger suppression towards higher  $W$  from ALICE/LHCb data  
 ➤ some models capture the trend?

ALICE, EPJC 81 (2021) 712  
 LHCb, arXiv:2206.08221

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ALICE, EPJC 81 (2021) 712  
LHCb, arXiv:2206.08221

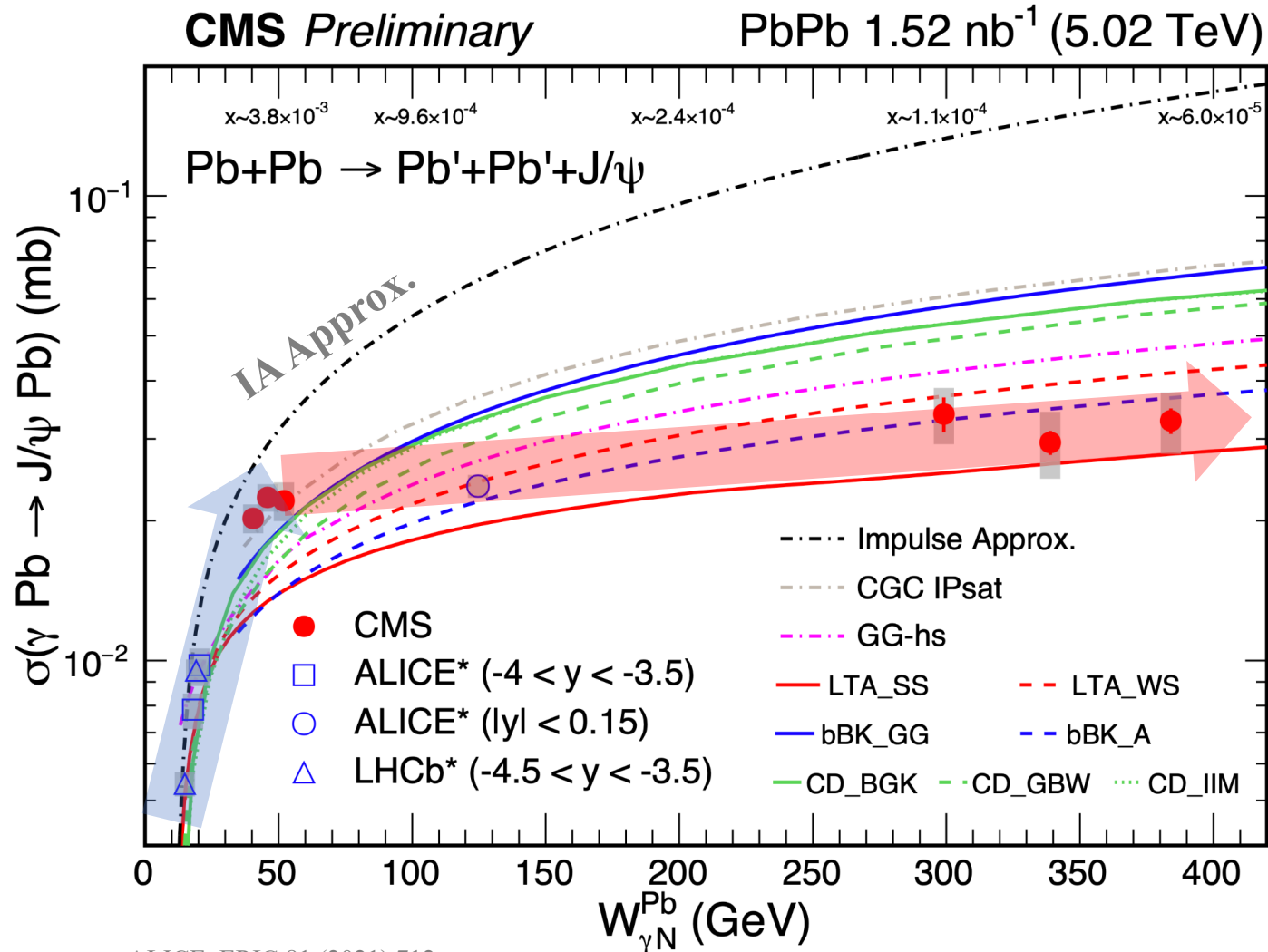
Stronger suppression towards higher  $W$  from ALICE/LHCb data  
➤ some models capture the trend?

## First direct measurement w/ CMS

- $W < 40\text{GeV}$ : rapidly increasing
- $40 < W < 400\text{GeV}$ : nearly saturated

**All models (shadowing, gluon saturation, etc.) fail to describe the surprising trend in data.**

# $\sigma_{\gamma A \rightarrow J/\psi A'}(W)$ results



ALICE, EPJC 81 (2021) 712  
LHCb, arXiv:2206.08221

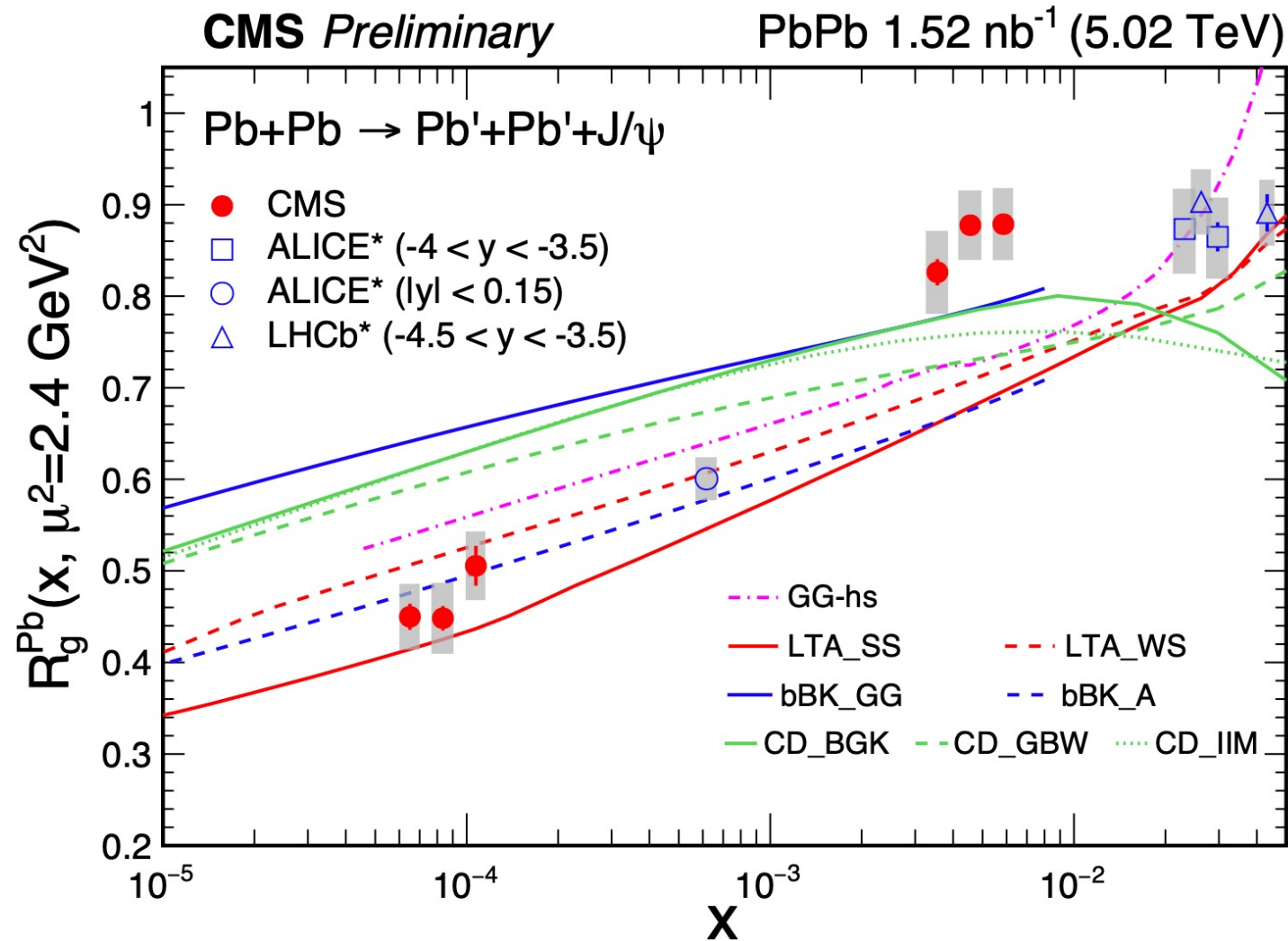
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# Nuclear Suppression Factor



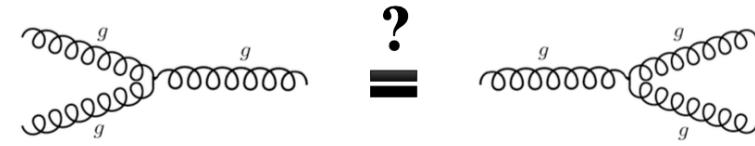
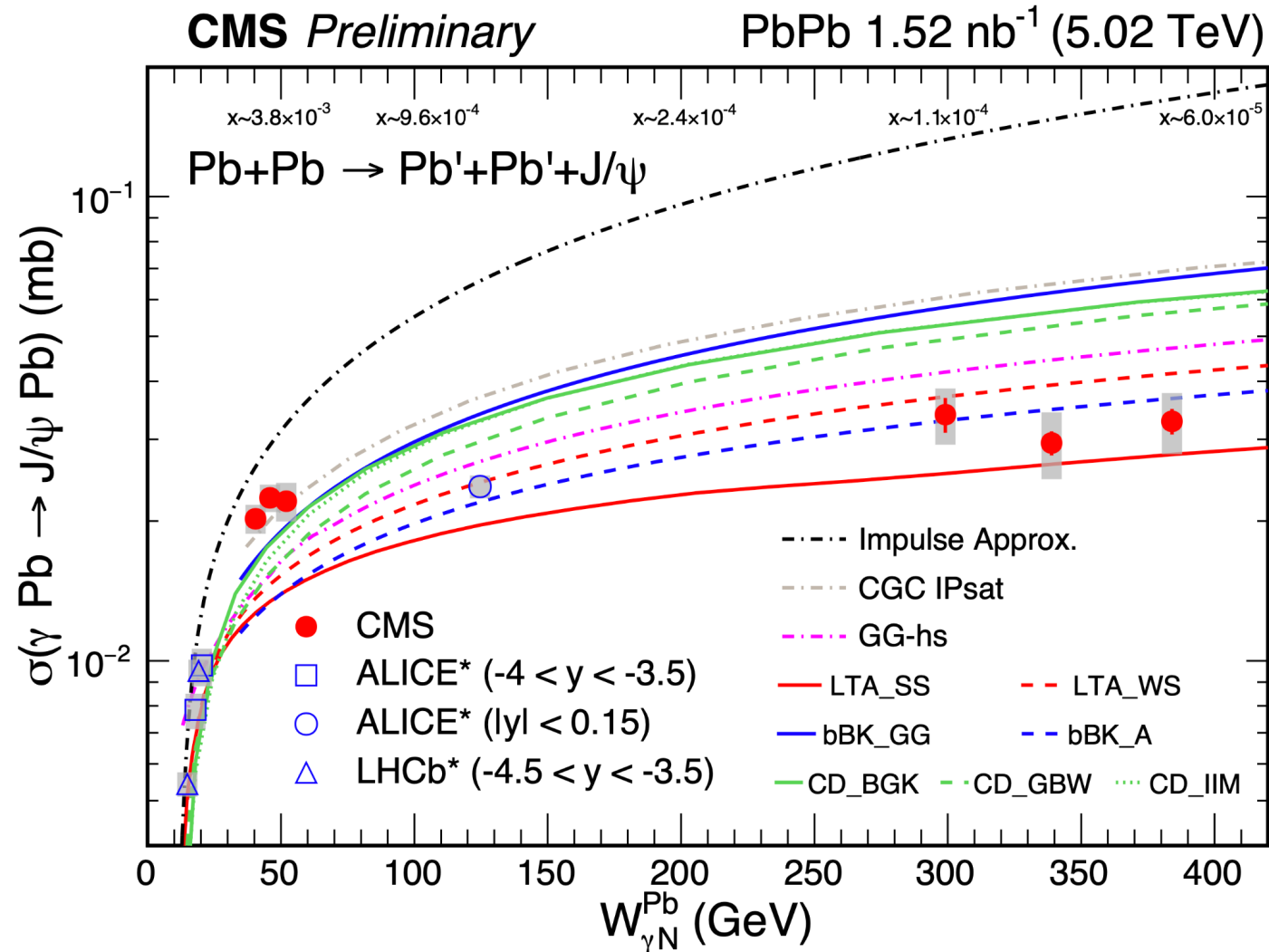
$$R_g^A = \frac{g_A(x, Q^2)}{A \cdot g_p(x, Q^2)} = \left( \frac{\sigma_{\gamma A \rightarrow J/\psi A}^{exp}}{\sigma_{\gamma A \rightarrow J/\psi A}^{IA}} \right)^{1/2}$$

– nuclear gluon suppression factor at L.O. approx.

- A flat trend at  $x \sim 10^{-2} - 10^{-3}$
- Rapidly decrease towards very small  $x$  ( $\sim 5 \times 10^{-5}$ ) region.

**→ Not described by any model**

# What's The Physics Behind?

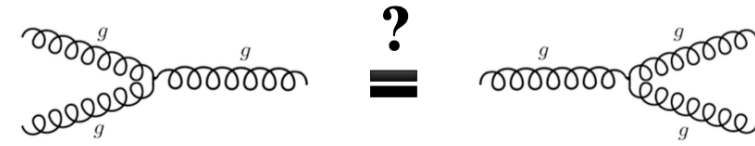
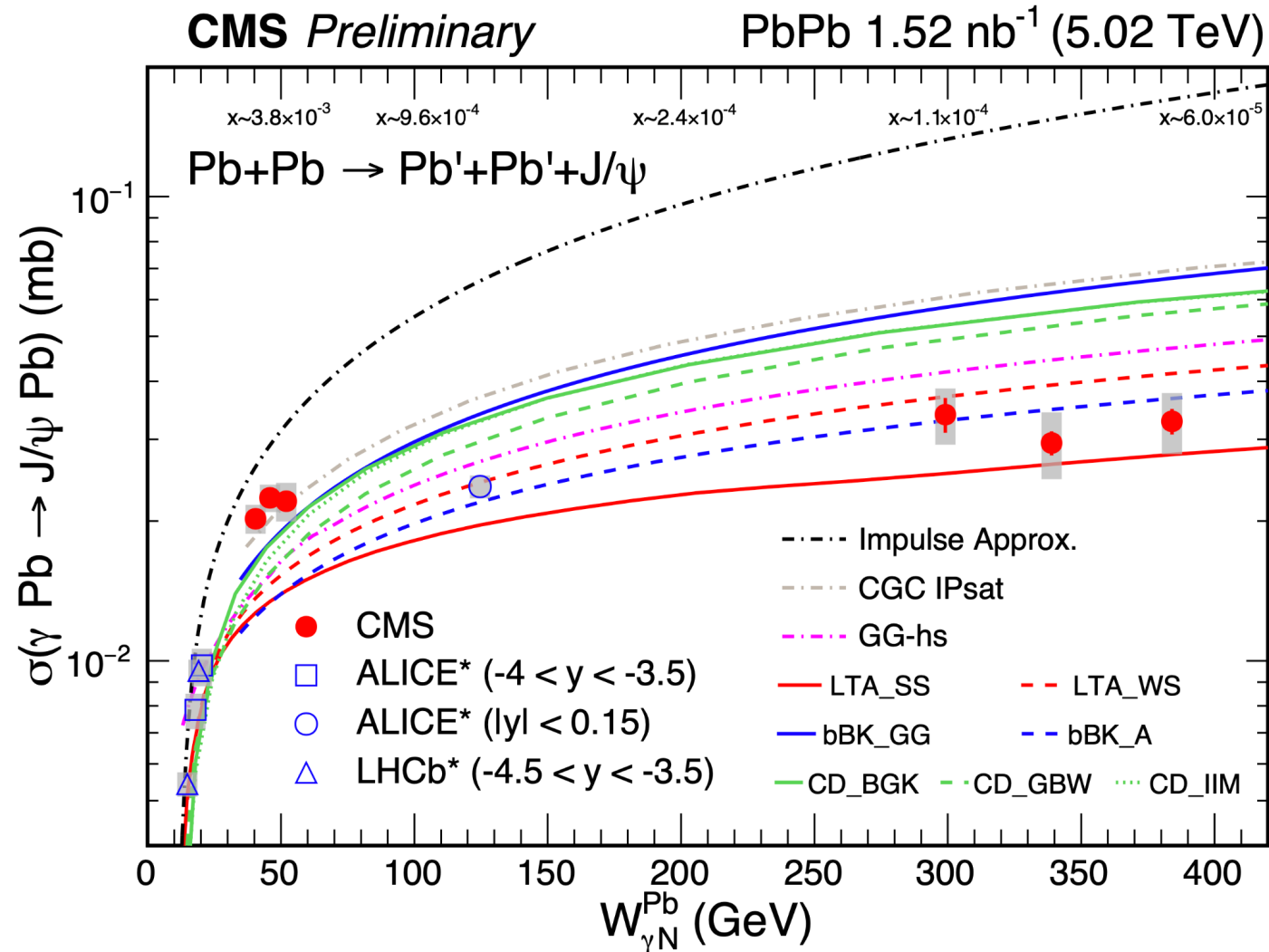


Gluon splitting vs. recombination becomes equal?

➤ **Direct evidence for gluon saturation!!?**



# What's The Physics Behind?



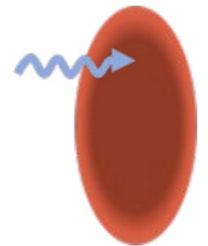
Gluon splitting vs. recombination becomes equal?

➤ **Direct evidence for gluon saturation!!?**

**OR**

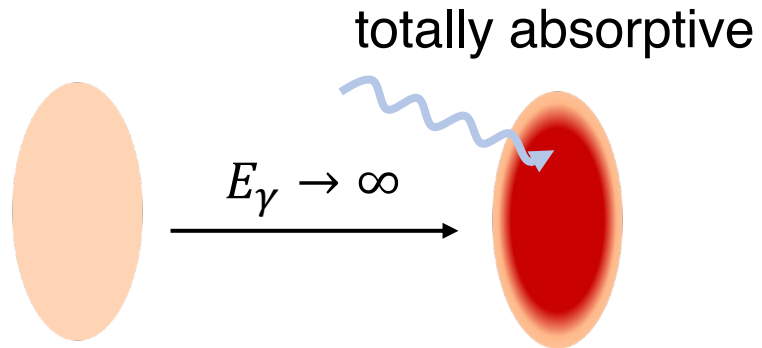
Cross section itself saturated instead of gluon density in the target

➤ **“Black Disk Limit”**



# A Novel Regime Of QCD: Black Disk Limit

In strong absorption limits, the interaction probability may approach the unitarity.

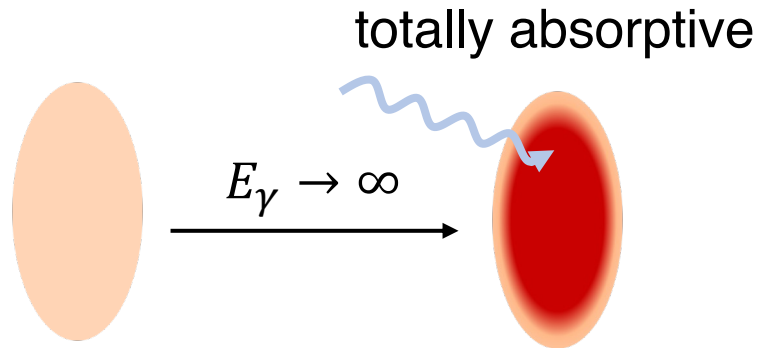


$$\hat{\sigma}_{\text{PQCD}}^{\text{inel}} \leq \hat{\sigma}_{\text{black}} = \pi R_{\text{target}}^2$$

– “**Black Disk Limit (BDL)**”

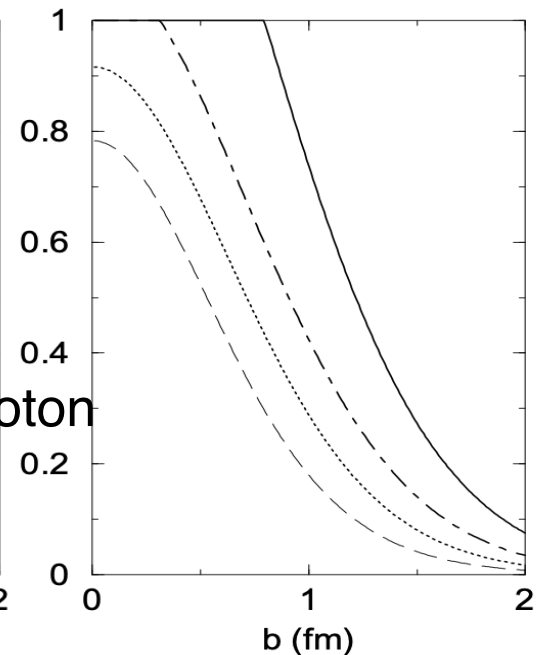
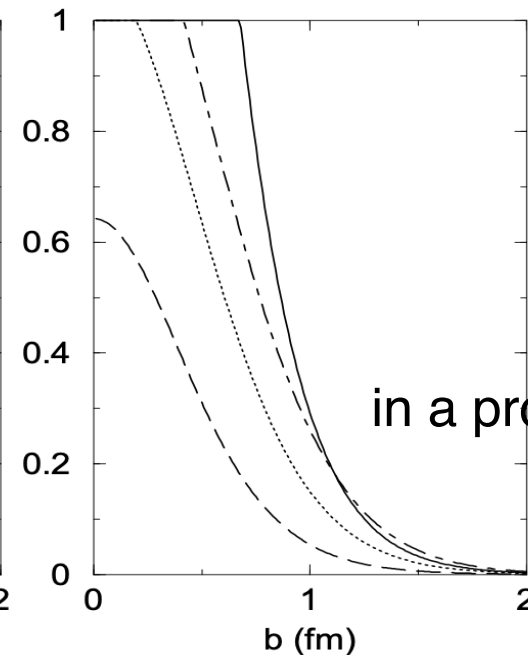
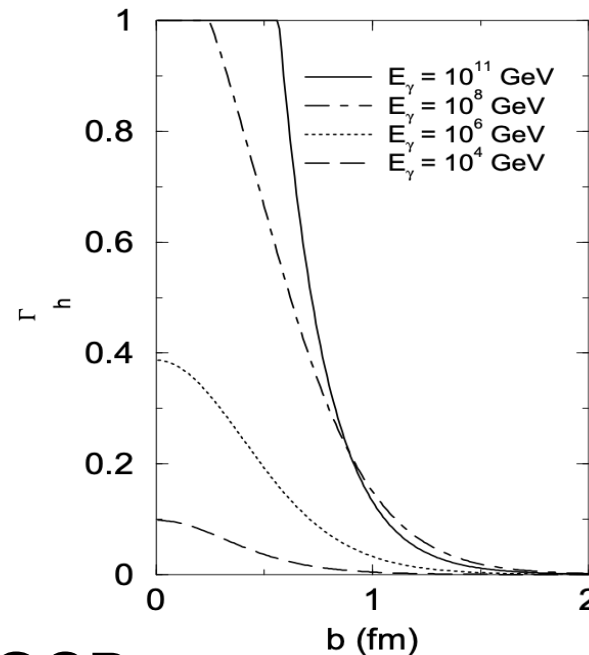
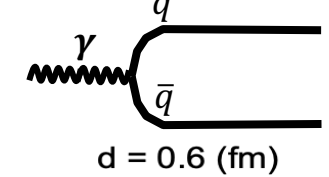
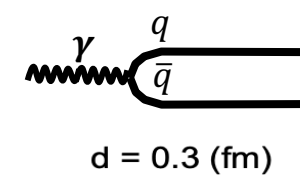
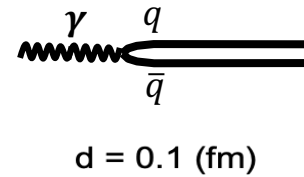
# A Novel Regime Of QCD: Black Disk Limit

In strong absorption limits, the interaction probability may approach the unitarity.



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– “Black Disk Limit (BDL)”



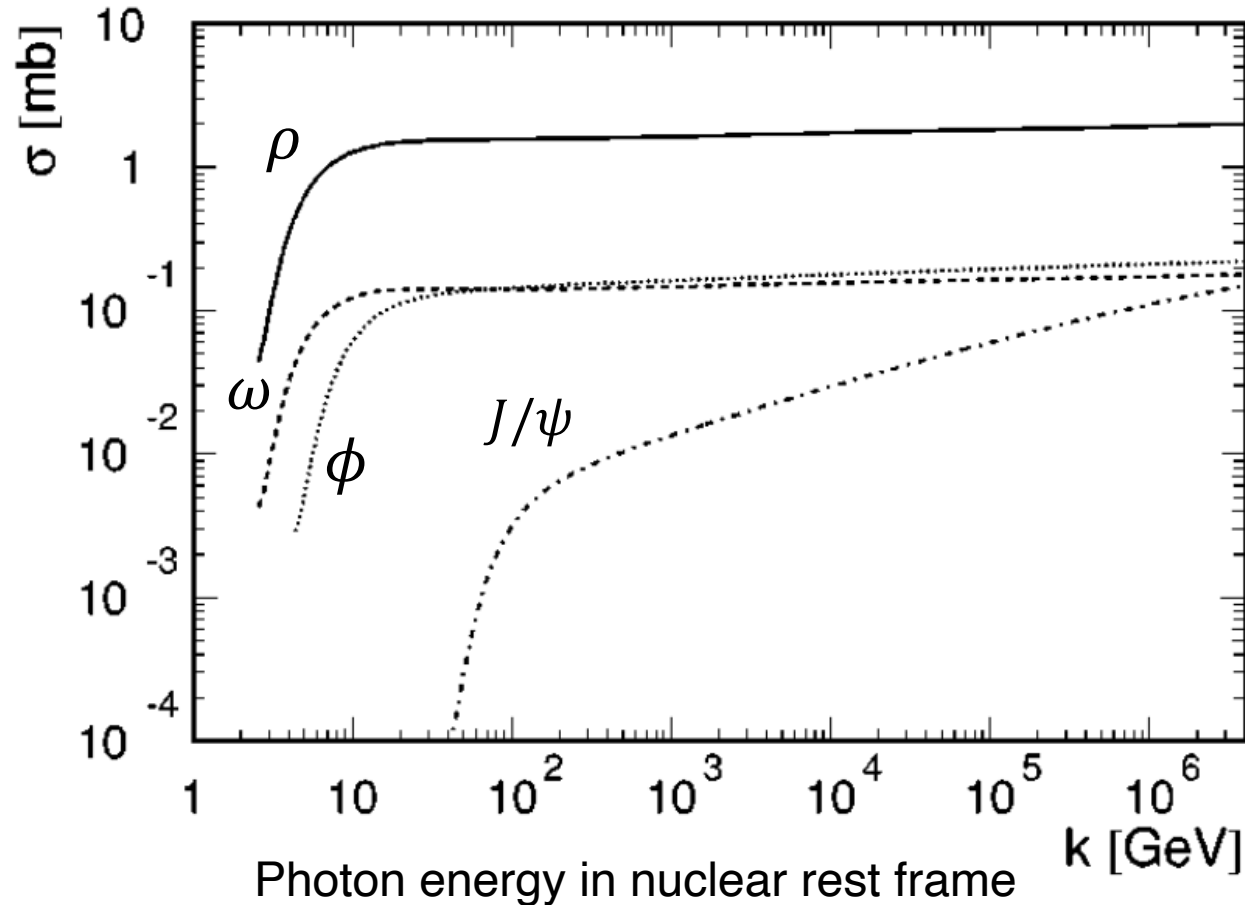
in a proton

“BDL”: a novel regime of QCD  
where new theoretical tools are needed

T.C. Rogers M.I. Strikman, arXiv:hep-ph/0512311  
Physics Reports 512 (2012) 255

# A Novel Regime Of QCD: Black Disk Limit

S. Klein and J. Nystrand , PRC 60, (1999) 014903

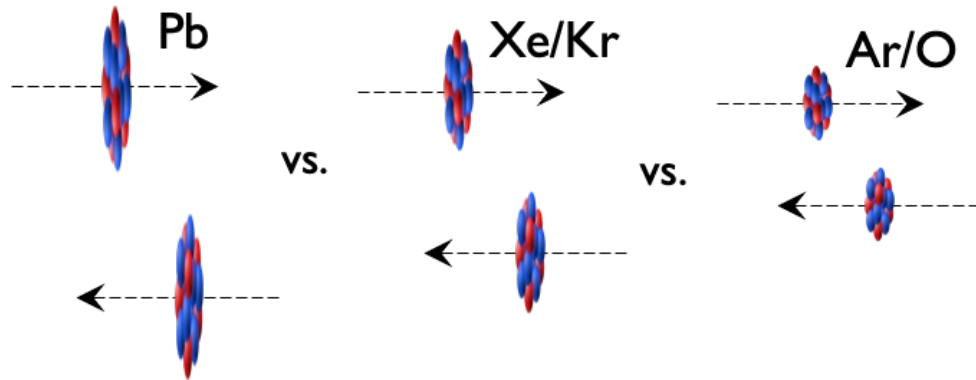


Dipole size:  $d \sim \frac{1}{m_{VM}} (?)$

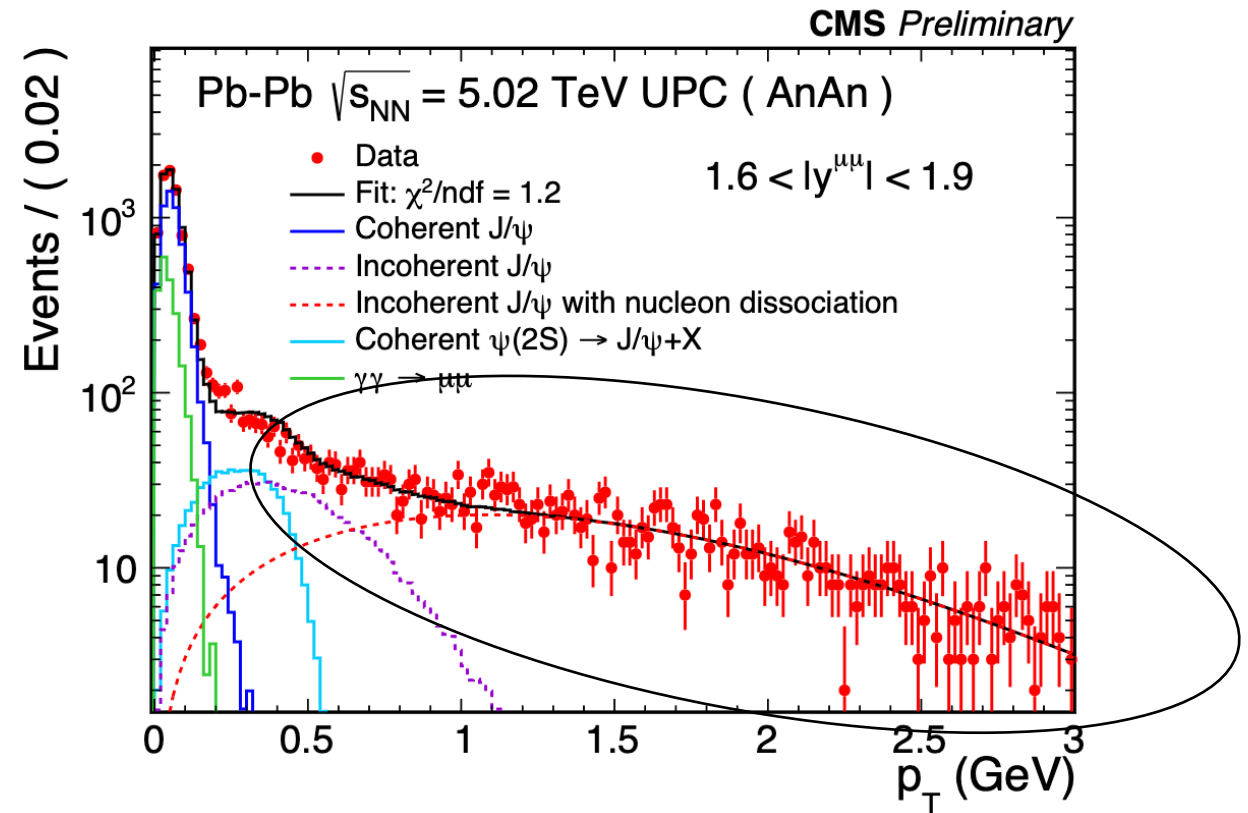
Various VM species in  $\gamma$ Pb as a function of  $W$  with neutron tagging will provide a complete picture

# A Novel Regime Of QCD: Black Disk Limit

Coherent cross section should scale with  $A^{2/3}$



Incoherent cross section strongly suppressed as internal substructure becomes invisible



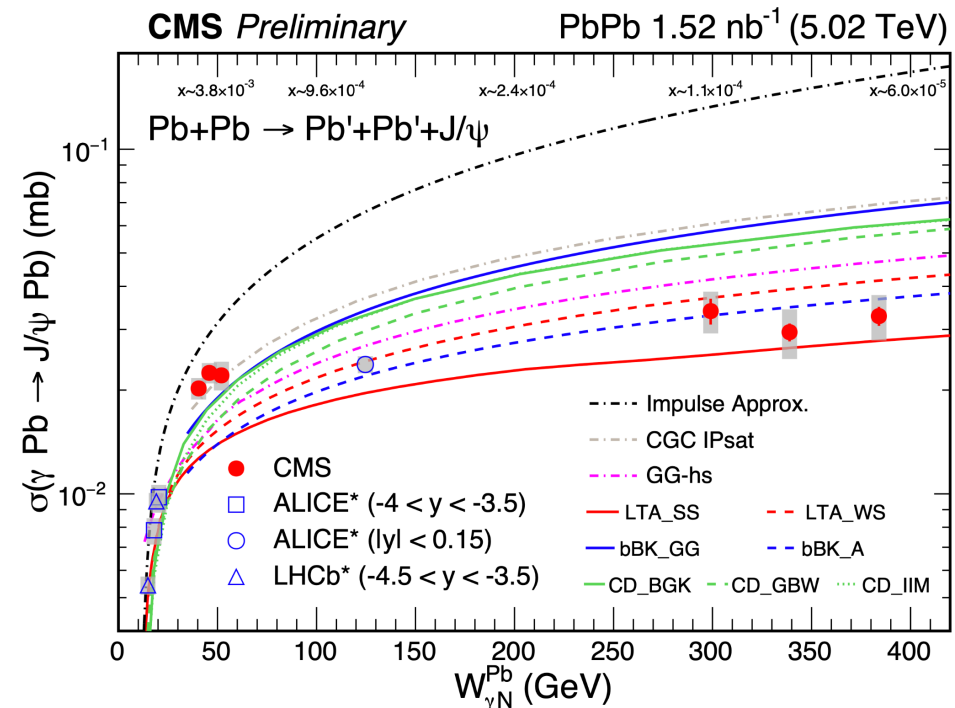
Exciting future LHC program with upgraded detectors and more ion species



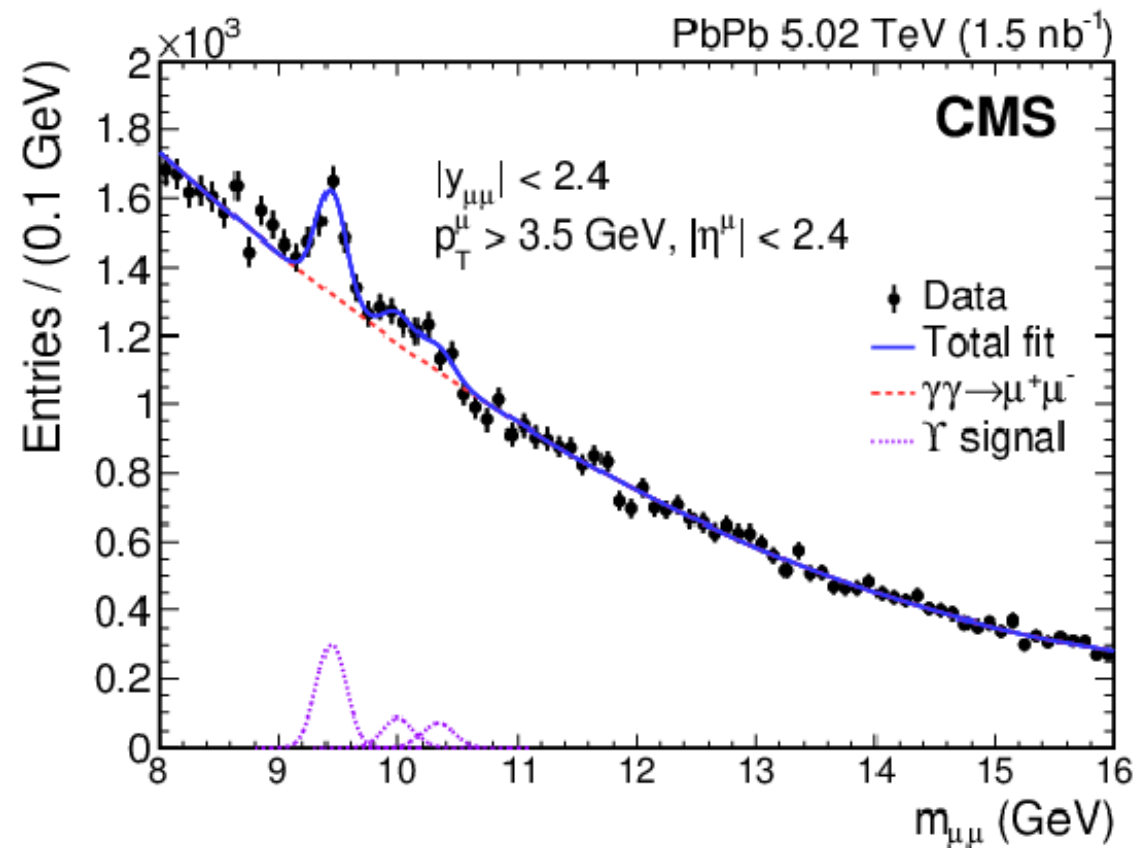
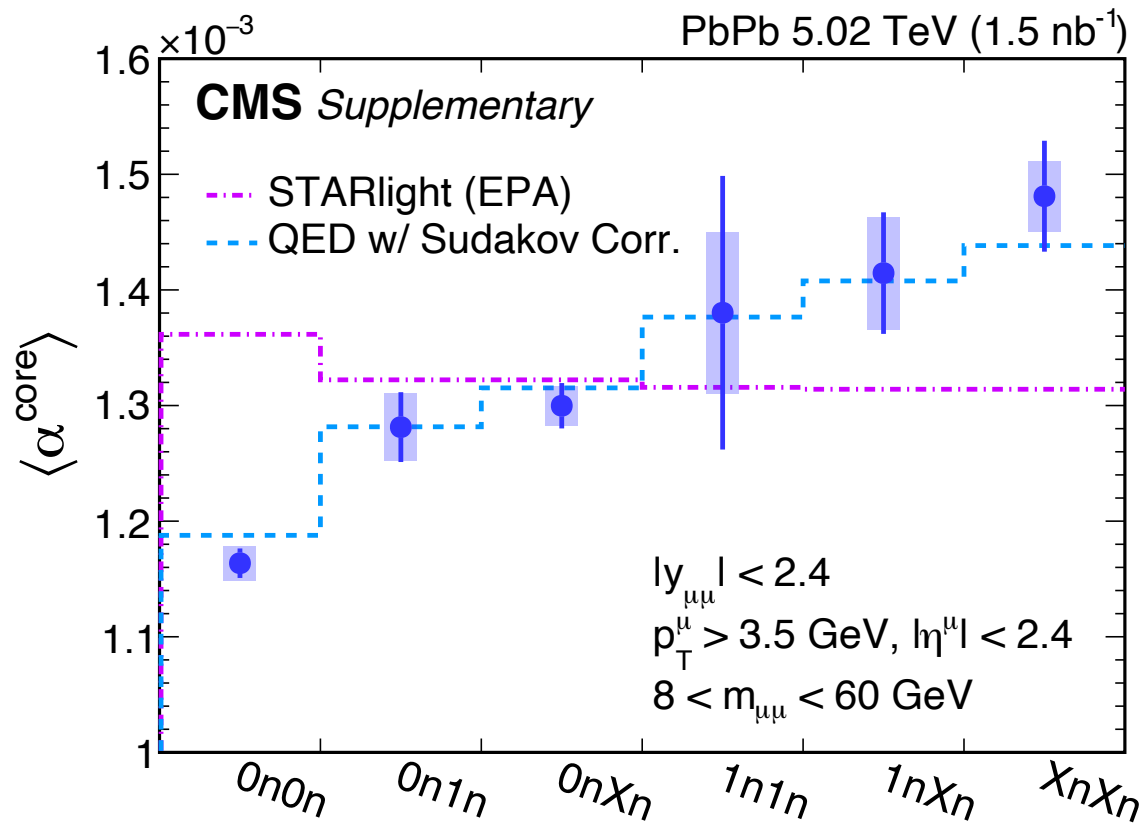
# Summary

- For the first time, **directly disentangled coh.**  $\sigma_{\gamma A \rightarrow J/\psi A'}(W)$  in UPC AA
- CMS measured coh.  $\sigma_{\gamma A \rightarrow J/\psi A'}(W)$  to a **new unprecedentedly low-x gluon regime ( $10^{-4} - 10^{-5}$ )**.
- Flattening of coh.  $\sigma_{\gamma A \rightarrow J/\psi A'}(W)$  not predicted by state-of-the-art models
  - **Direct evidence for gluon saturation?** or **black disk limit?** Or sth. else?

New insights to ultra-dense gluonic initial states!



**EXTRA**



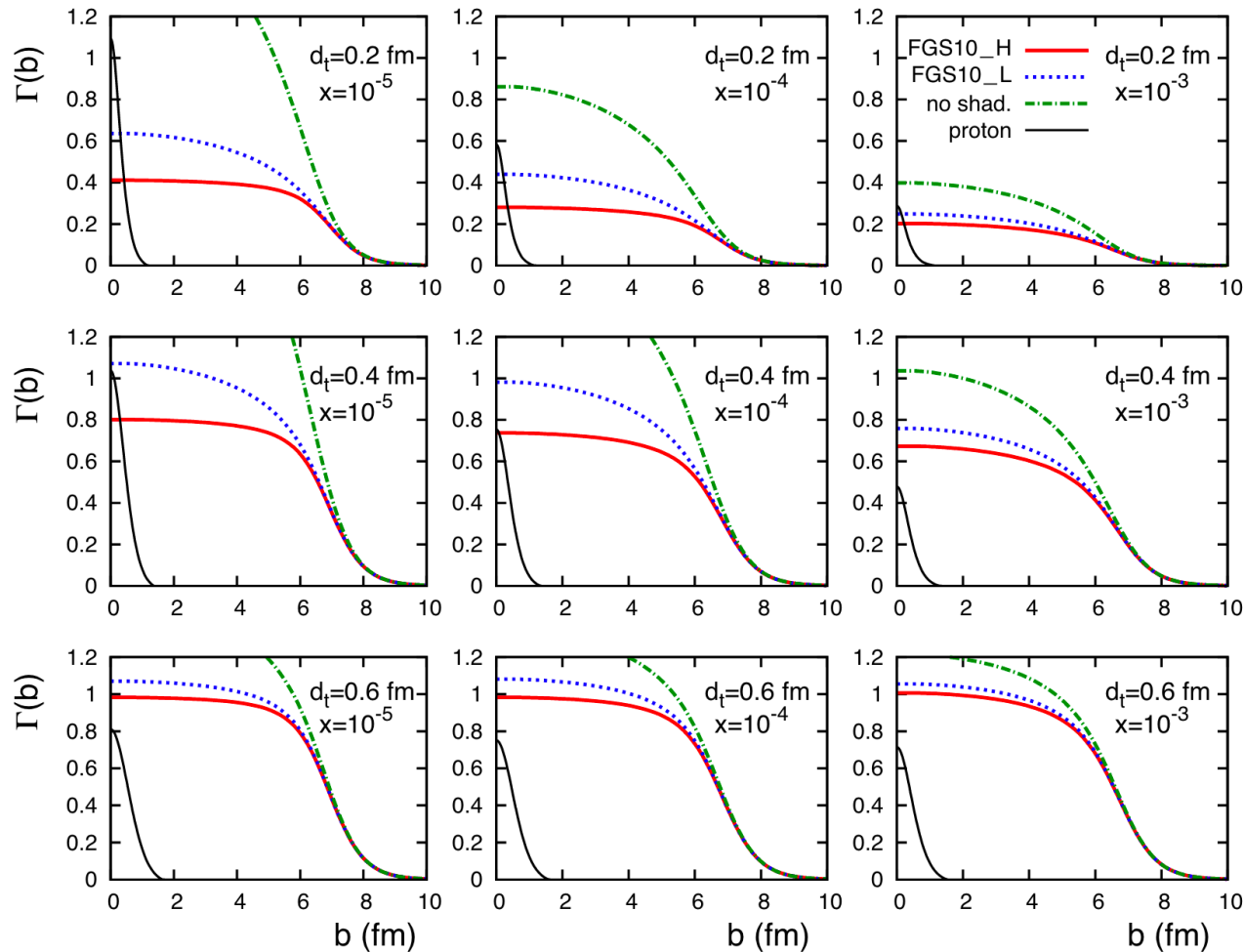


Fig. 99. The impact factor  $\Gamma_A(x, b, d_{\perp})$  for  $^{208}\text{Pb}$  at  $Q^2 = 4 \text{ GeV}^2$  as a function of the impact parameter  $b$  for different values of  $x$  and dipole sizes  $d_{\perp}$ . The solid (red) curves correspond to model FGS10.H; the dotted curves correspond to FGS10.L. For comparison, we also give the impulse approximation predictions for  $\Gamma_A(x, b, d_{\perp})$  by the dot-dashed curves and the free proton  $\Gamma(x, b, d_{\perp})$  by the thin solid (black) curves.

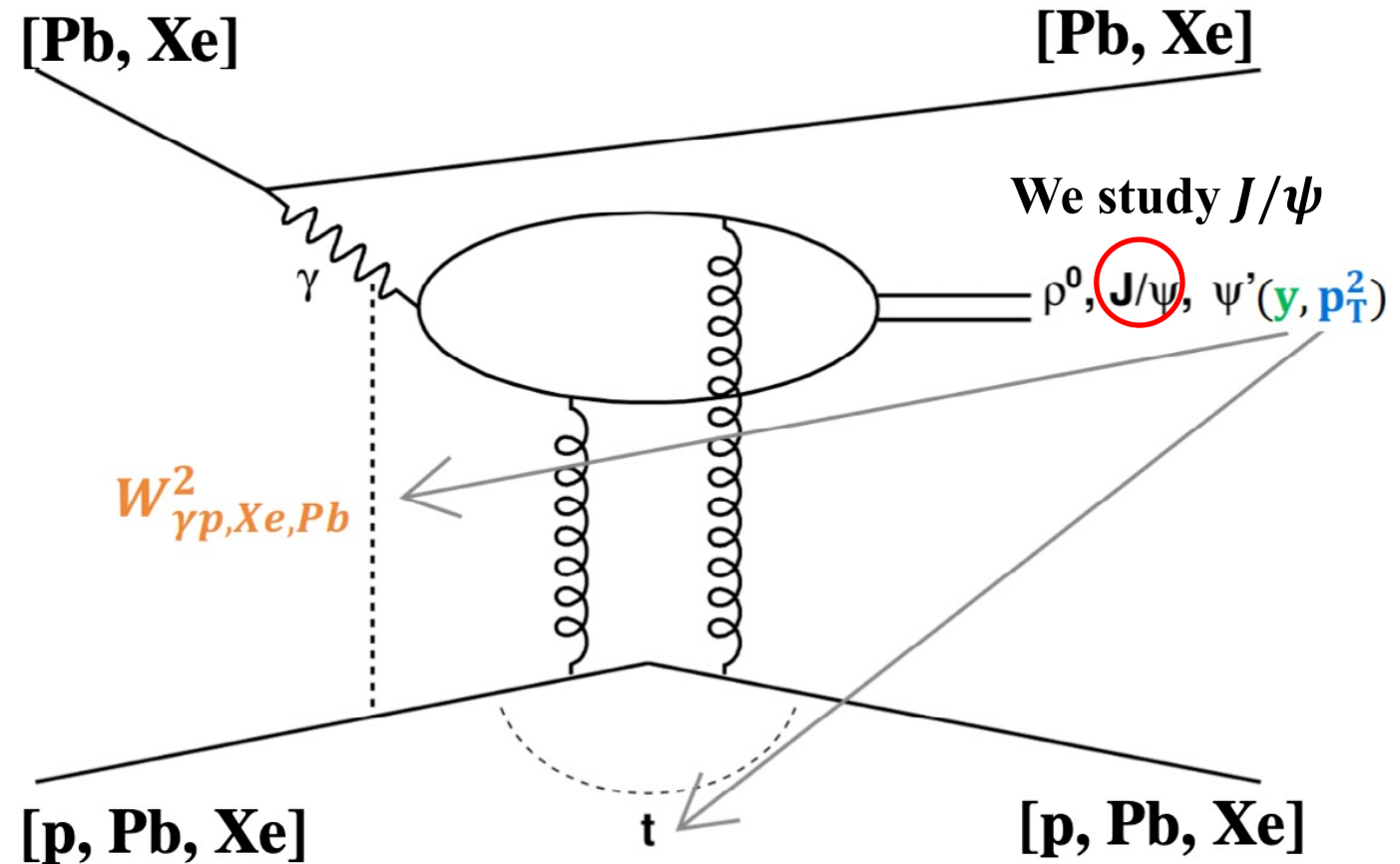
$$N(k) = \int d^2b N(k, b) P_{\text{0had}}(b),$$

$$N(k) = \int d^2b N(k, b) P_{\text{0had}}(b) P_1(b) P_2(b),$$

# VM Photoproduction in UPC

• A given  $y \rightarrow$  Fixes  $\omega, x, W$

- $\omega = \frac{M_{VM}}{2} e^{\pm y}$ 
  - $y$ : Rapidity of the VM
  - $\omega$ : Photon energy
  - $M_{VM}$ : Mass of the VM
- $x = \left( \frac{M_{VM}}{\sqrt{s_{NN}}} \right) e^{\mp y}$
- $W^2 = M_{VM} \sqrt{s_{NN}} \cdot e^{\pm y}$ 
  - $W$ : Centre-of-mass energy of the photon–target system





# Differential Cross Section Calculation

$$\bullet \frac{d\sigma_{J/\psi}^{coh}}{dy} = \frac{N(J/\psi)}{(1+f_I+f_D) \cdot \epsilon(J/\psi) \cdot Acc(J/\psi) \cdot BR(J/\psi \rightarrow \mu\mu) \cdot L_{int} \cdot \Delta y}$$

## Incoherent fraction

$$\bullet f_I = \frac{N(InCoh J/\psi)}{N(Coh J/\psi)}$$

Calculated from **pt fit**

## Coherent $J/\psi$ yields

- Raw yields within the mass window

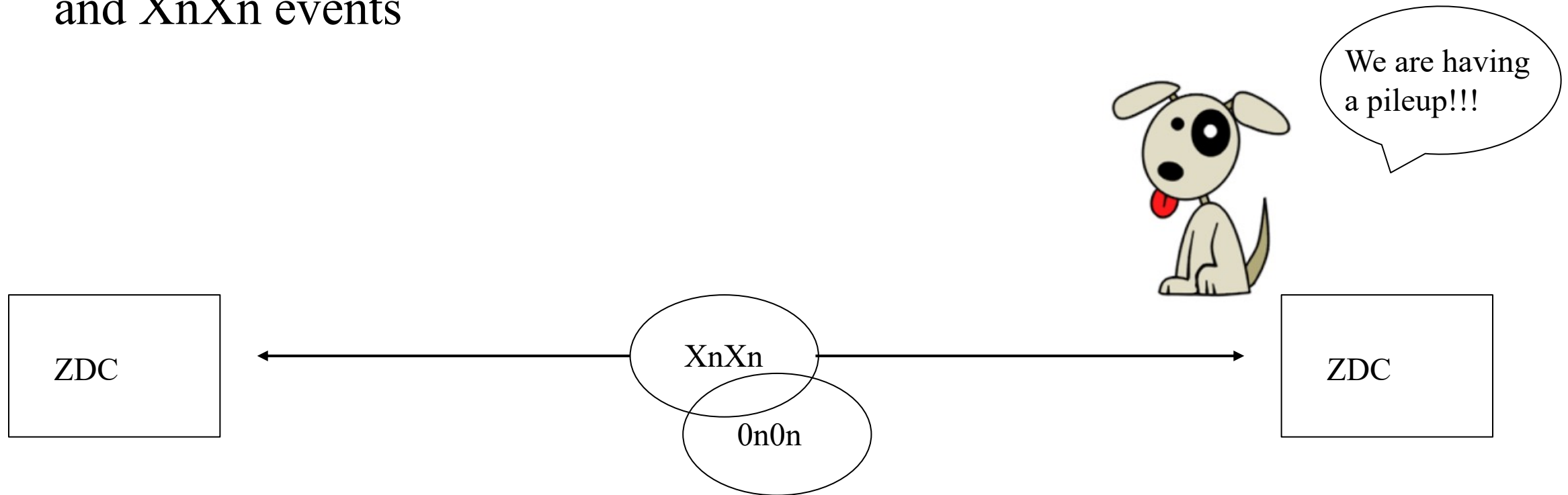
Calculated from **mass fit** within  $pt < 0.2$  GeV

## Feed down ratio

$$\bullet f_D = \frac{N(feed-down J/\psi)}{N(primary J/\psi)}$$

# EM Diss. Correction

- Pileup in EM dissociation (EMD): Multiple EMD within the same bunch crossing
- Leads to a decrease in  $0n0n$  Events increase in  $0nXn$  and  $XnXn$  events



# EM Diss. Correction

- The correction can be obtained by inverting migration matrix

$$\begin{pmatrix} N^{00} \\ N^{0X} \\ N^{X0} \\ N^{XX} \end{pmatrix}^{obs} = \begin{pmatrix} P_{00}^{00} & 0 & 0 & 0 \\ P_{00}^{0X} & P_{0X}^{0X} & 0 & 0 \\ P_{00}^{X0} & 0 & P_{X0}^{X0} & 0 \\ P_{00}^{XX} & P_{0X}^{XX} & P_{X0}^{XX} & P_{XX}^{XX} \end{pmatrix} \begin{pmatrix} N_{00} \\ N_{0X} \\ N_{X0} \\ N_{XX} \end{pmatrix}^{True}$$

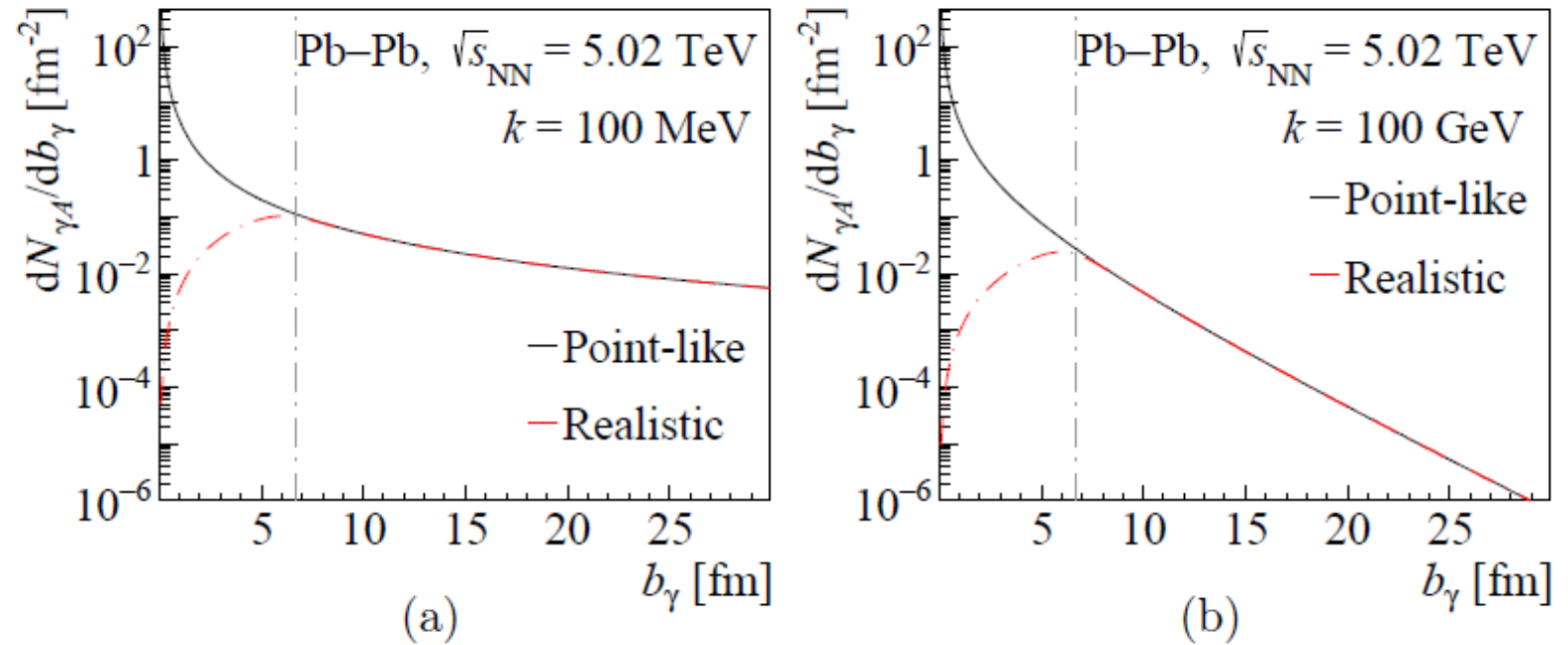
- The matrix element can be obtained from ZB fraction

- $P_{00}^{00} = f_{00}$
- $P_{00}^{0X} = f_{0X}, P_{0X}^{0X} = f_{00} + f_{0X}$
- $P_{00}^{X0} = f_{X0}, P_{X0}^{X0} = f_{00} + f_{X0}$
- $P_{00}^{XX} = f_{XX}, P_{0X}^{XX} = f_{X0} + f_{XX}, P_{X0}^{XX} = f_{0X} + f_{XX}, P_{XX}^{XX} = f_{00} + f_{0X} + f_{X0} + f_{XX} = 1$

# Flux From StarLight

arXiv:2111.11383

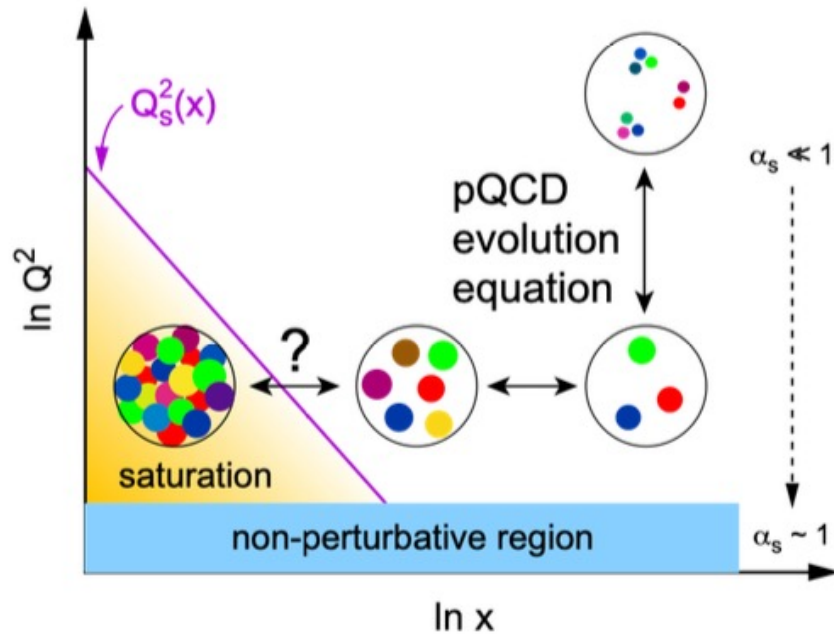
- The flux of a point-like source with additional cut-off at RA is widely used in phenomenological calculations for UPC processes, such as STARlight.
- This approach is well motivated in photon-nucleus interactions since the flux at impact parameters smaller than the nuclear radius is effectively suppressed by the requirement of no strong interactions between nuclei.



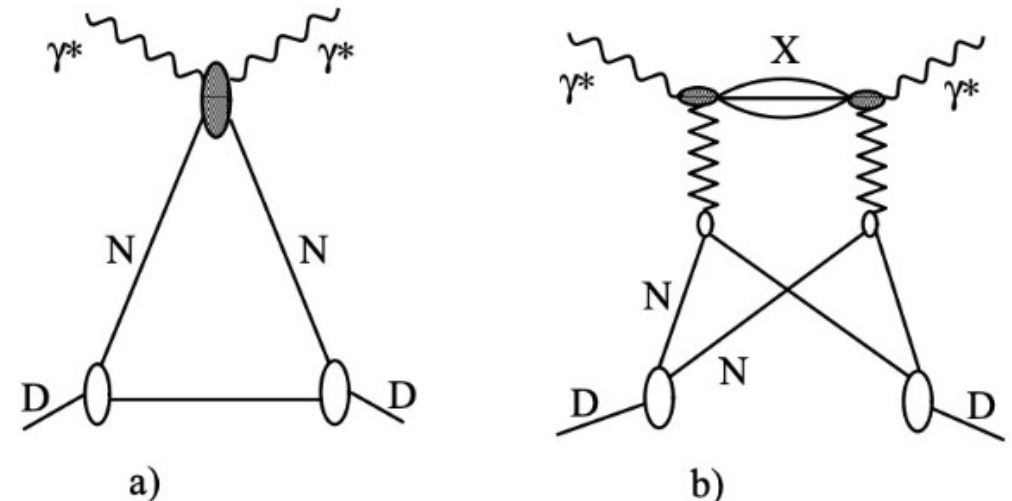
(Color online) Photon fluxes coming from a nucleus in the point-like source approximation and the realistic description as functions of impact parameter  $b$  calculated at different photon energies: 100 MeV (a), 100 GeV (b)

# Saturation vs Shadowing

- Both relate to the same concept: density of gluons in nPDF at small-x is **reduced** wrt the simple addition of the gluon PDF
- Saturation: Dynamical description via gluon self-interactions that tame the growth of gluon  $\rightarrow$  CGC
- Nuclear shadowing: Gribov-Glauber model of multiple scatterings  $\rightarrow$  LTA

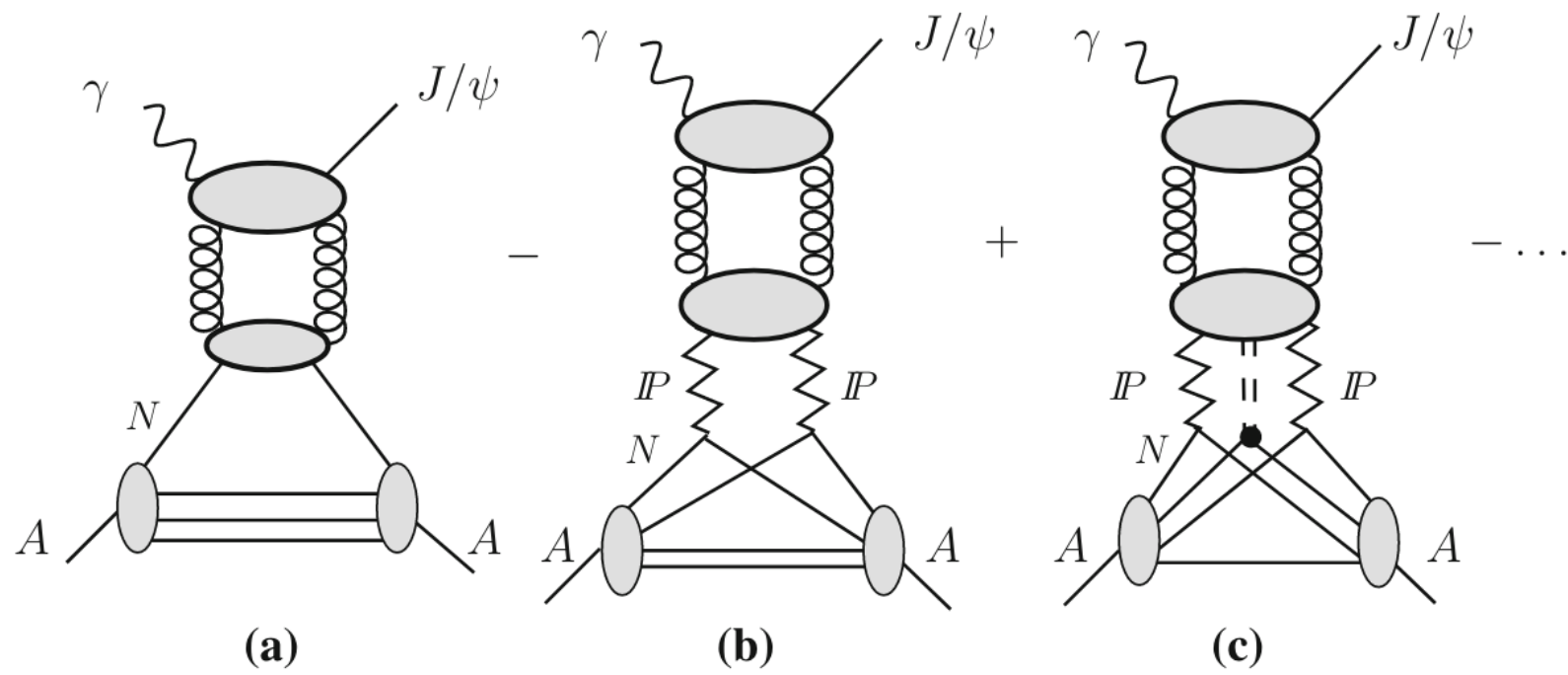


**Gluon saturation**



L. Frankfurt, V. Guzey, M. Strikman (Physics Reports 512 (2012) 255-393)

**Nuclear shadowing**



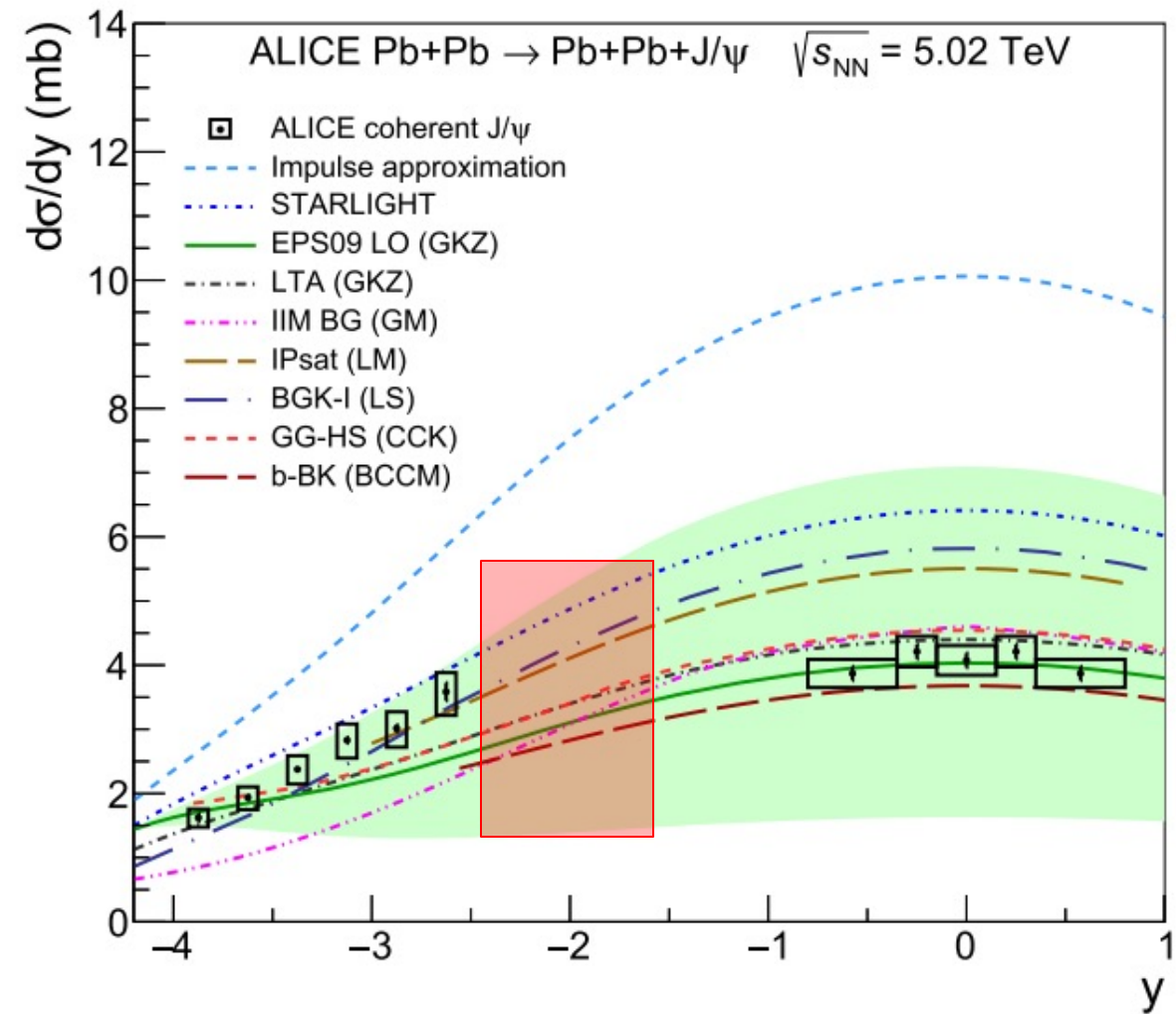


# Theory Description

- Impulse approximation (IA): Photoproduction data from protons, does not include nuclear effects except coherence
- STARlight: Photoproduction data from protons + Vector Meson Dominance model, includes multiple scattering but no gluon shadowing
- EPS09 LO: parametrization of nuclear shadowing data
- LTA: Leading Twist Approximation of nuclear shadowing
- IIM BG, IPsat, BGK-I: Color dipole approach coupled to the Color Glass Condensate formalism with different assumptions on the dipole-proton scattering amplitude
- GG-HS: Color dipole model with hot spots nucleon structure
- b-BK: Color dipole approach coupled with impact-parameter dependent Balitsky-Kovchegov equation
- JMRT NLO: DGLAP formalism with main NLO contributions included
- CCT: Saturation in an energy dependent hot spot model
- CGC: Color dipole model
- NLO BFKL: BFKL evolution of HERA values
- STARLIGHT: Parameterization of HERA and fixed target data

# $\frac{d\sigma_{PbPb \rightarrow PbPb' J/\psi}}{dy}$ models explained

- Impulse approximation: Exclusive photoproduction data off protons, neglecting all nuclear effects except coherence.
- STARlight: Vector Meson Dominance model with Glauber-like formalism to calculate cross section in Pb-Pb
- EPS09 LO parametrization of the nuclear shadowing data
- Leading twist approximation (LTA) of nuclear shadowing
- CCK: Color dipole model with the structure of the nucleon described by the hot spots
- BCCM: Color dipole approach coupled to the solutions of the Balitsky-Kovchegov equation
- GM, LM, LS: Color dipole approach coupled to the Color Glass Condensate formalism with different assumptions on the dipole-proton scattering amplitude



Eur. Phys. J. C (2021) 81:712