

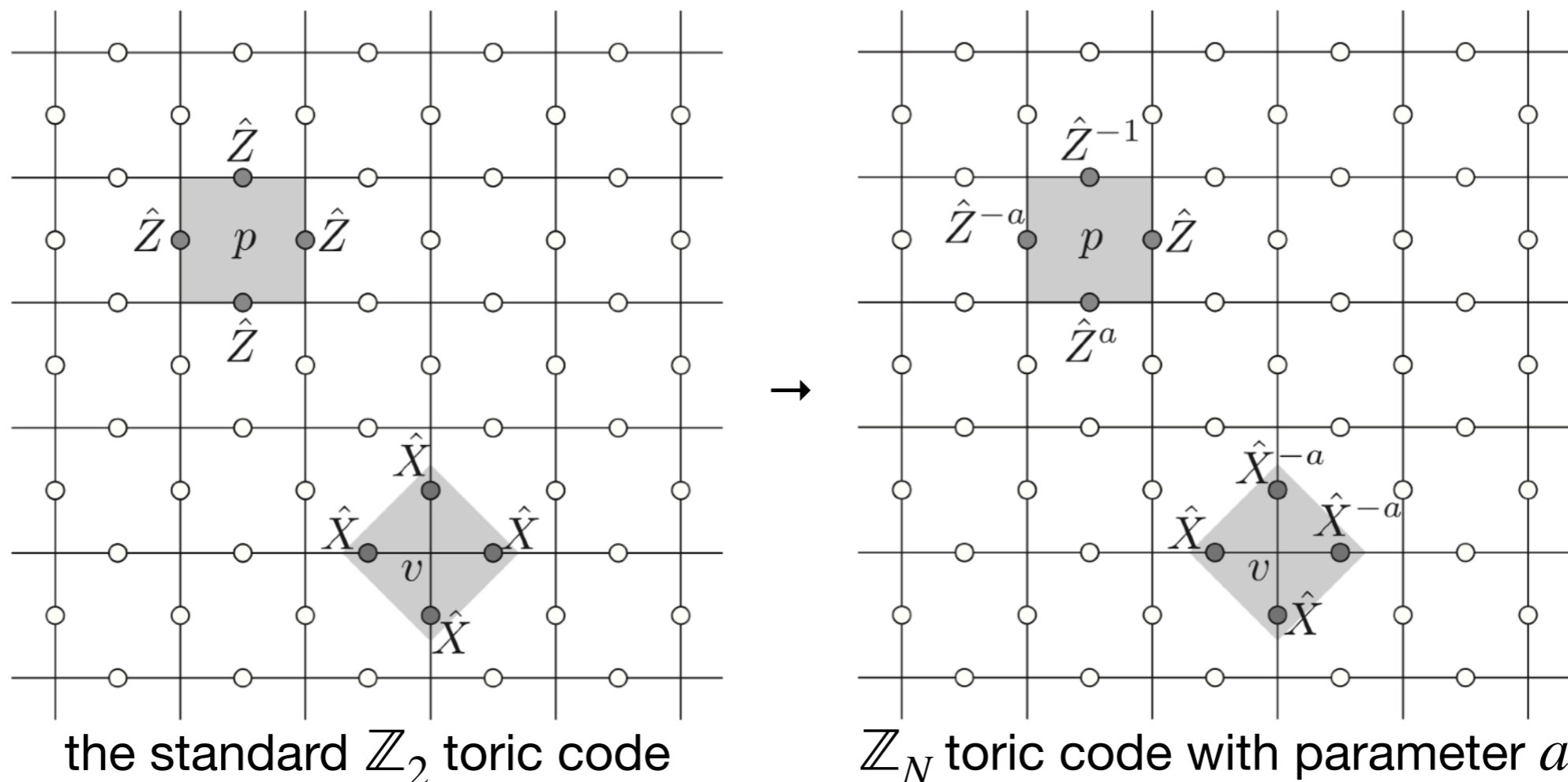
# Ground state degeneracy on torus in topologically ordered phases & in symmetry-broken phases

Haruki Watanabe (U Tokyo)

Collaborators: Yohei Fuji (U Tokyo), Meng Cheng (Yale)

Refs: HW, M. Cheng, Y. Fuji, arXiv:2211.00299

Y. Hu and HW, arXiv:2302.01207



# Introduction

# Defining properties of phases (textbook)

e.g. B. Zeng, X. Chen,  
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Springer (2019)

**Symmetry-protected topological/trivial phases**

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This standard understanding of phases will be questioned by our new example.

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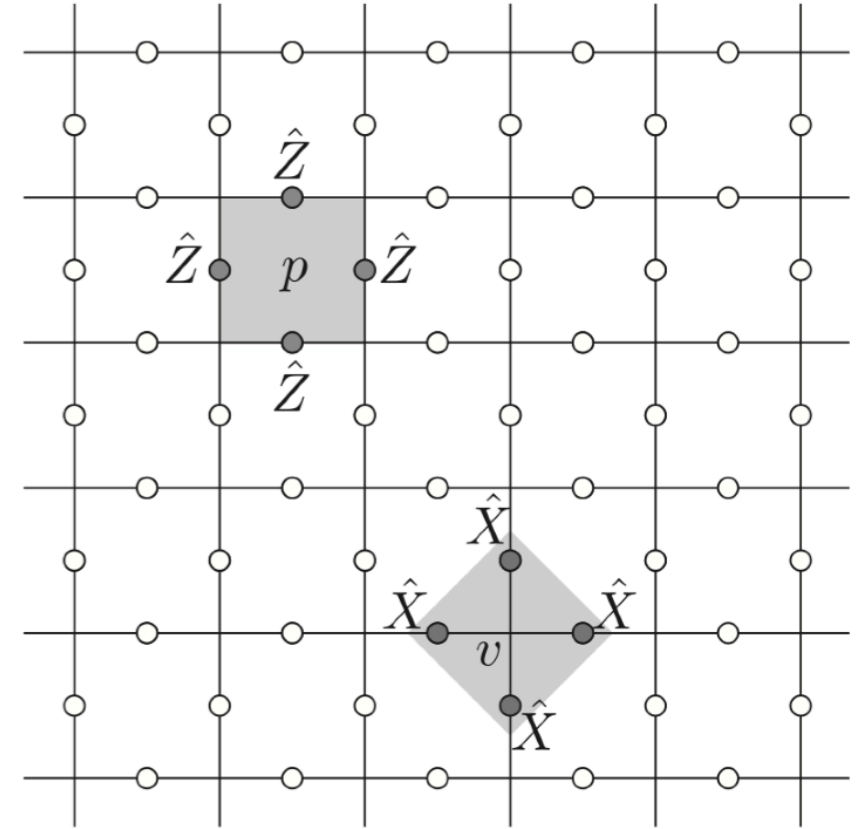
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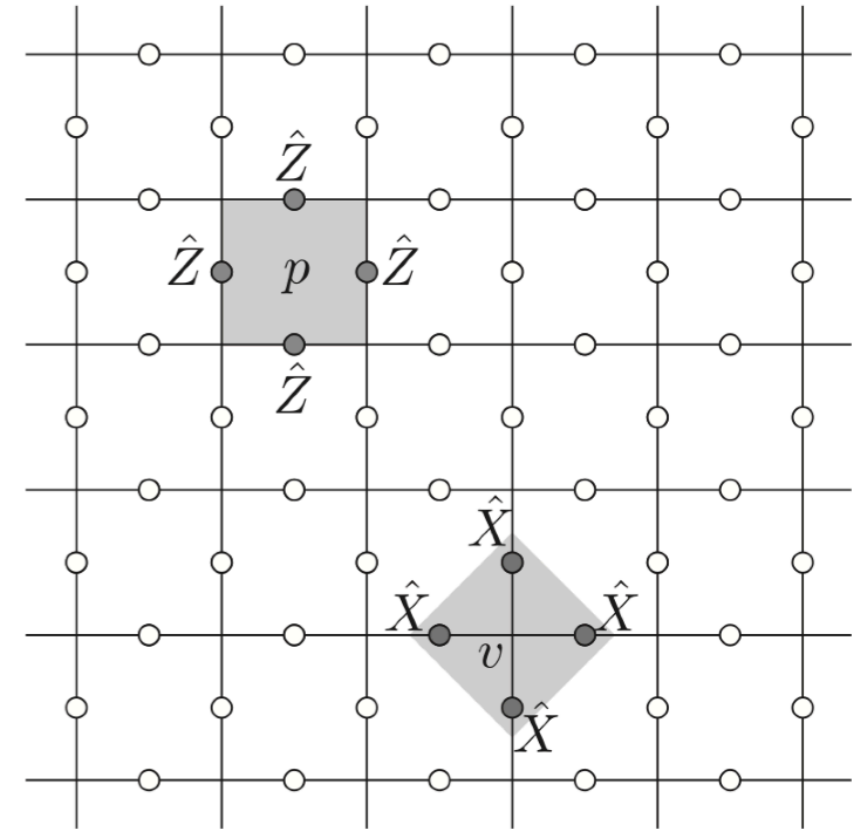
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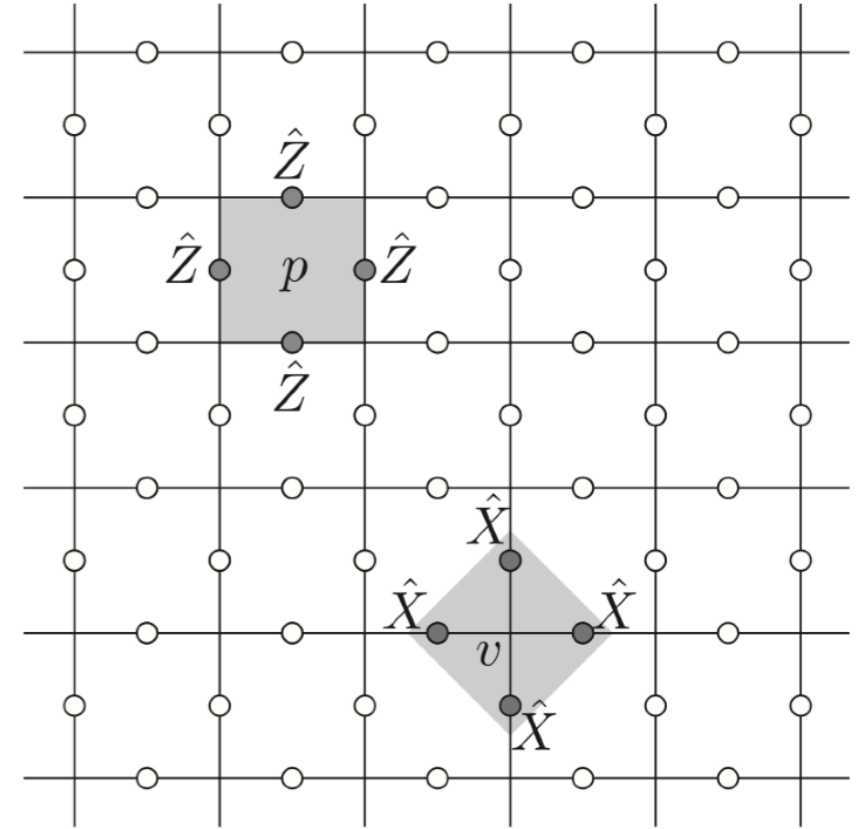


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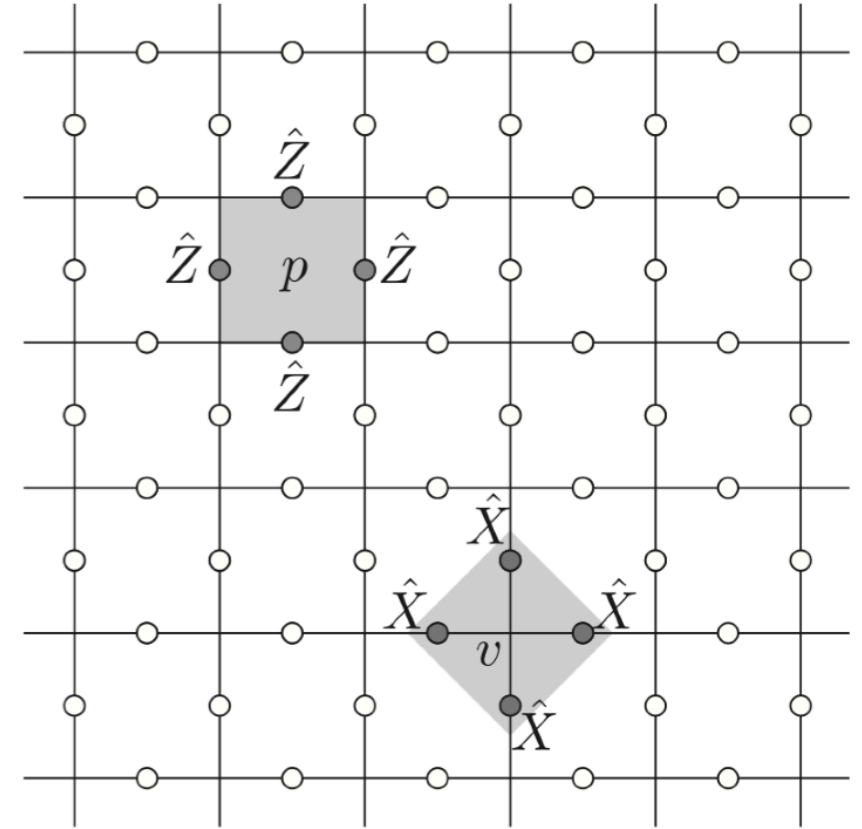
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- Since  $\hat{A}_v^2 = \hat{B}_p^2 = 1$ , the eigenvalues of  $\hat{A}_v$  and  $\hat{B}_p$  are  $\pm 1$ . Any state with eigenvalues +1 for all vertices and plaquettes is a ground state.

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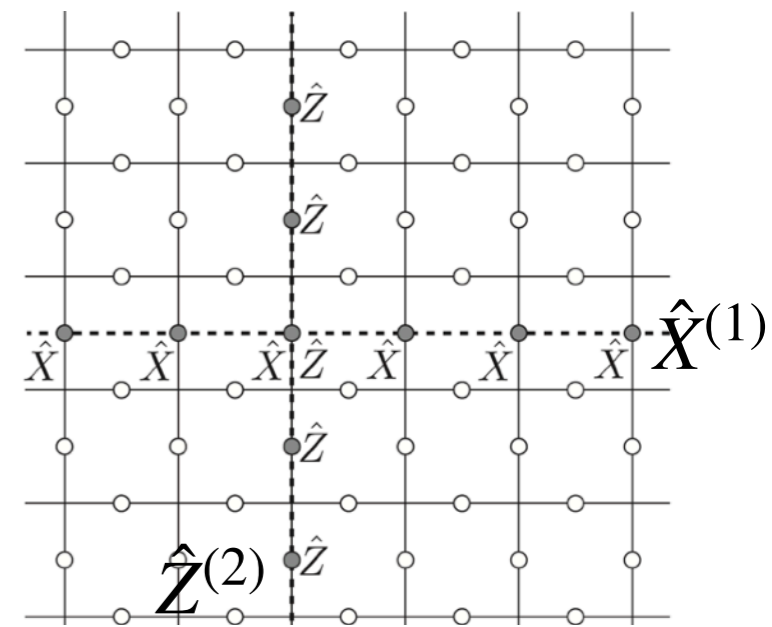
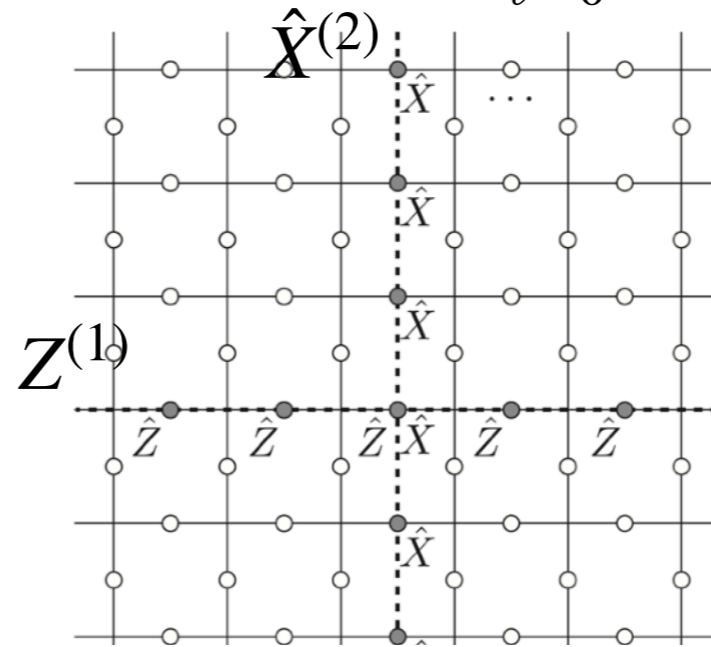
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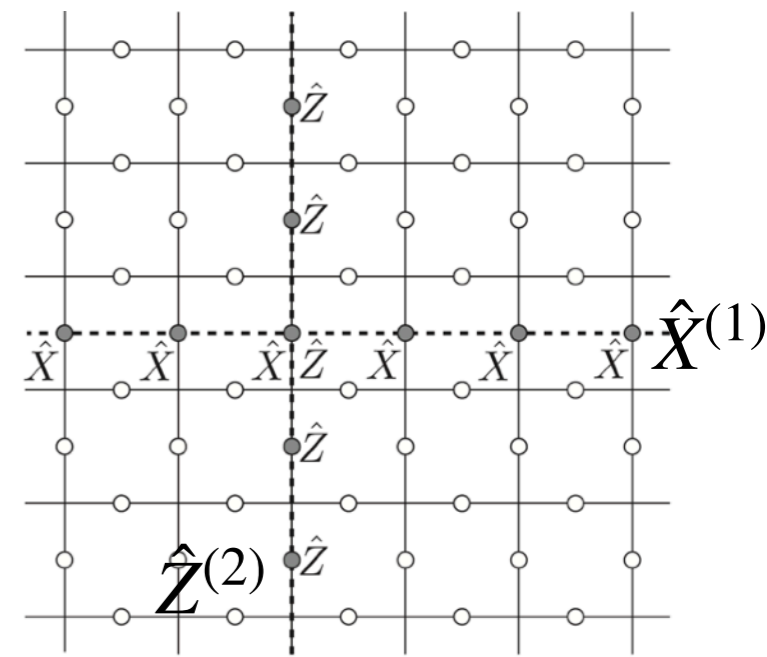
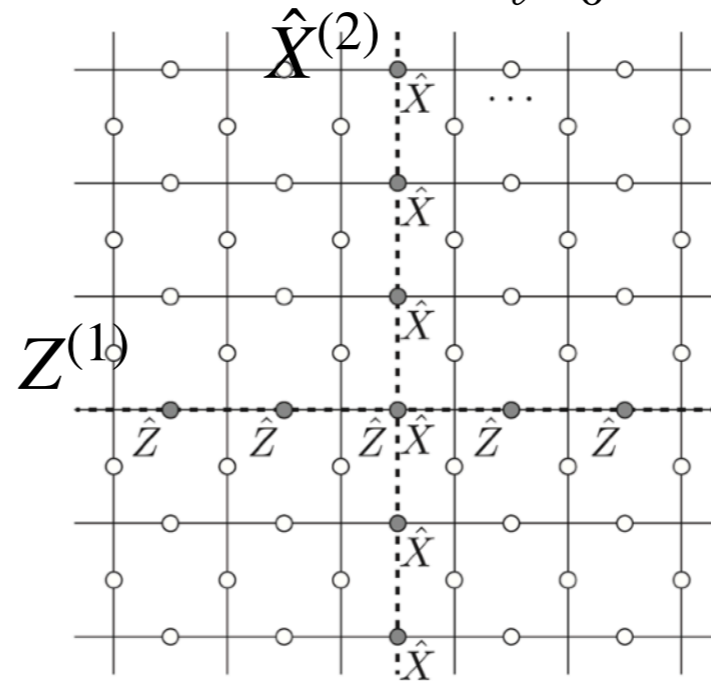
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- Starting from a GS  $|\Phi_0\rangle$  with +1 eigenvalues of  $\hat{Z}^{(1)}, \hat{Z}^{(2)}$ , we can generate four degenerate GSs:

$$|\Phi_0\rangle, \quad \hat{X}^{(1)} |\Phi_0\rangle, \quad \hat{X}^{(2)} |\Phi_0\rangle, \quad \hat{X}^{(1)} \hat{X}^{(2)} |\Phi_0\rangle$$

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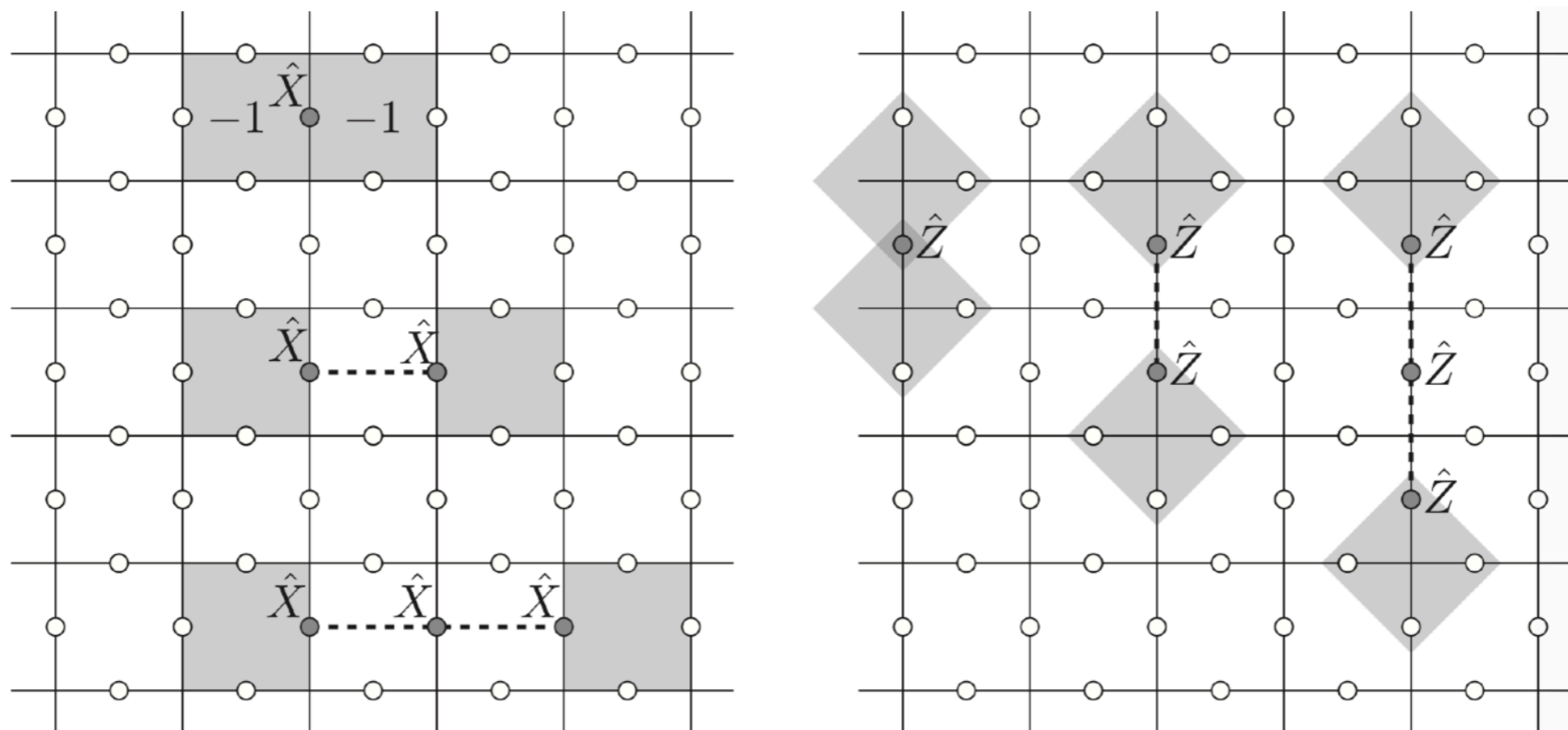
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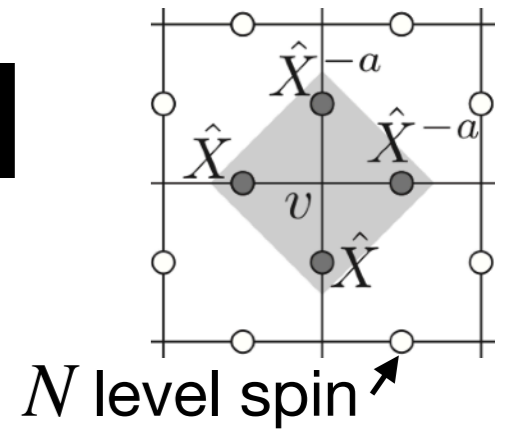
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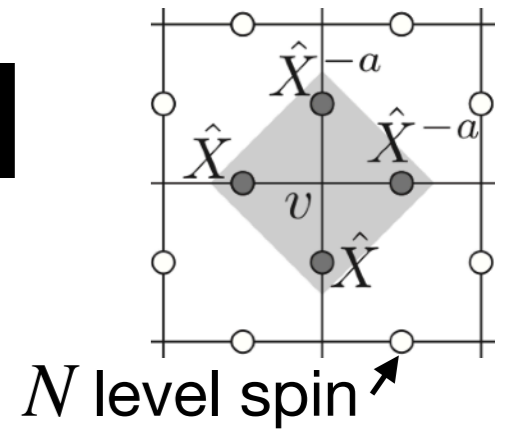
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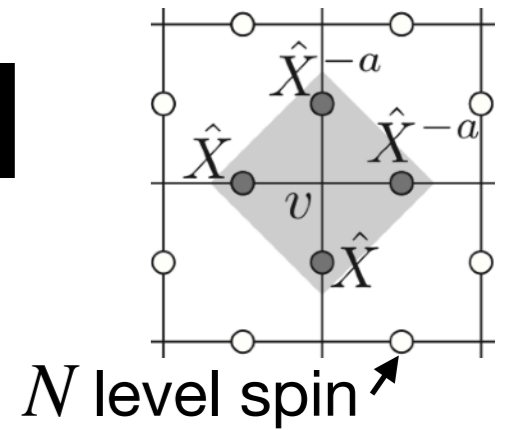
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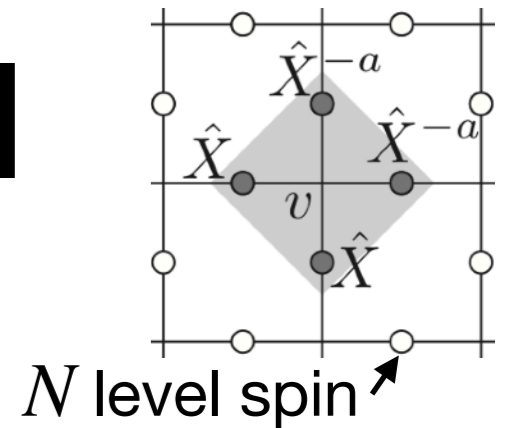
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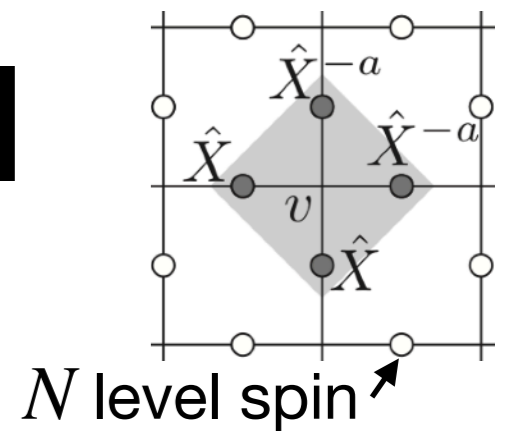
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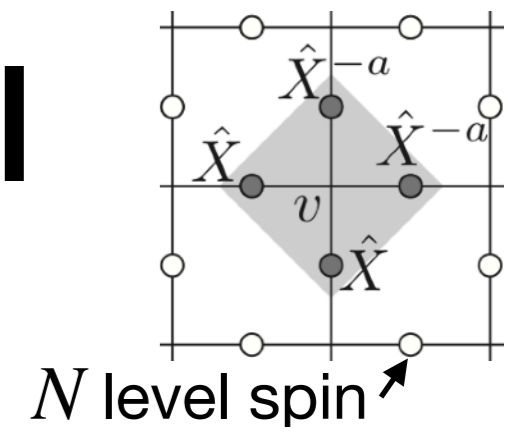
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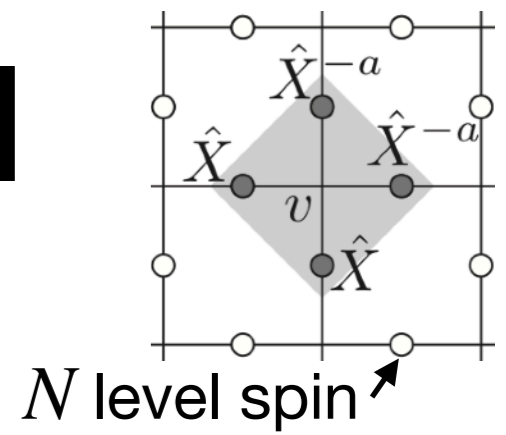
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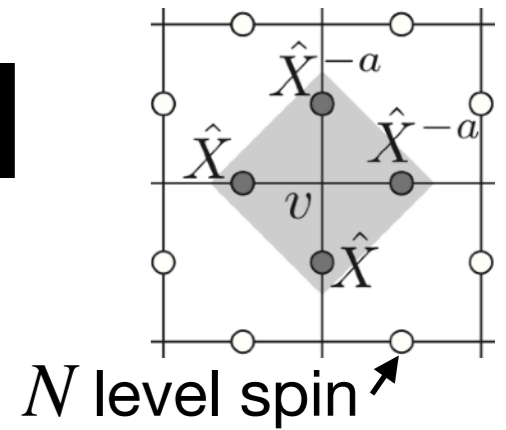
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► 
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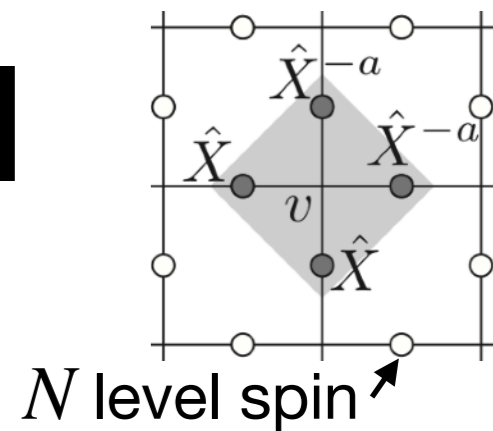
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- $N_a =$  the largest divisor of  $N$  that is coprime to  $a$ .

- $N_a \neq 1$  if  $a$  is not a multiple of  $\text{rad}(N)$   $\rightarrow$  **Topologically-ordered phases**

- $N_a = 1$  if  $a$  is a multiple of  $\text{rad}(N)$   $\rightarrow$  **SPT phases**

- Prime factorization  $N = \prod_{j=1}^n p_j^{r_j} = p_1^{r_1} p_2^{r_2} \cdots p_n^{r_n}$ .

- Radical of  $N$ :  $\text{rad}(N) = \prod_{j=1}^n p_j = p_1 p_2 \cdots p_n$ .

# Defining properties of phases (updated)

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# Talk plan

- Introduction ✓
- Definition & basic properties of  $\mathbb{Z}_N$  toric code model with parameter  $a$ 
  - ▶ Case 1:  $a = 1$  (the standard choice)
  - ▶ Case 2:  $a = N$  (a product state)
- More general cases
  - ▶ Case 3:  $N$  is a prime (e.g.  $N = 11$  and  $a = 2$ )
  - ▶ Formulas for the general case
  - ▶ Case 4:  $a^2 = N$  (a subsystem-symmetry protected topological phase)
- Another example: quantum Ising model (on-going)
- Summary

# **Definition & basic properties of our model**

# $N$ level spins

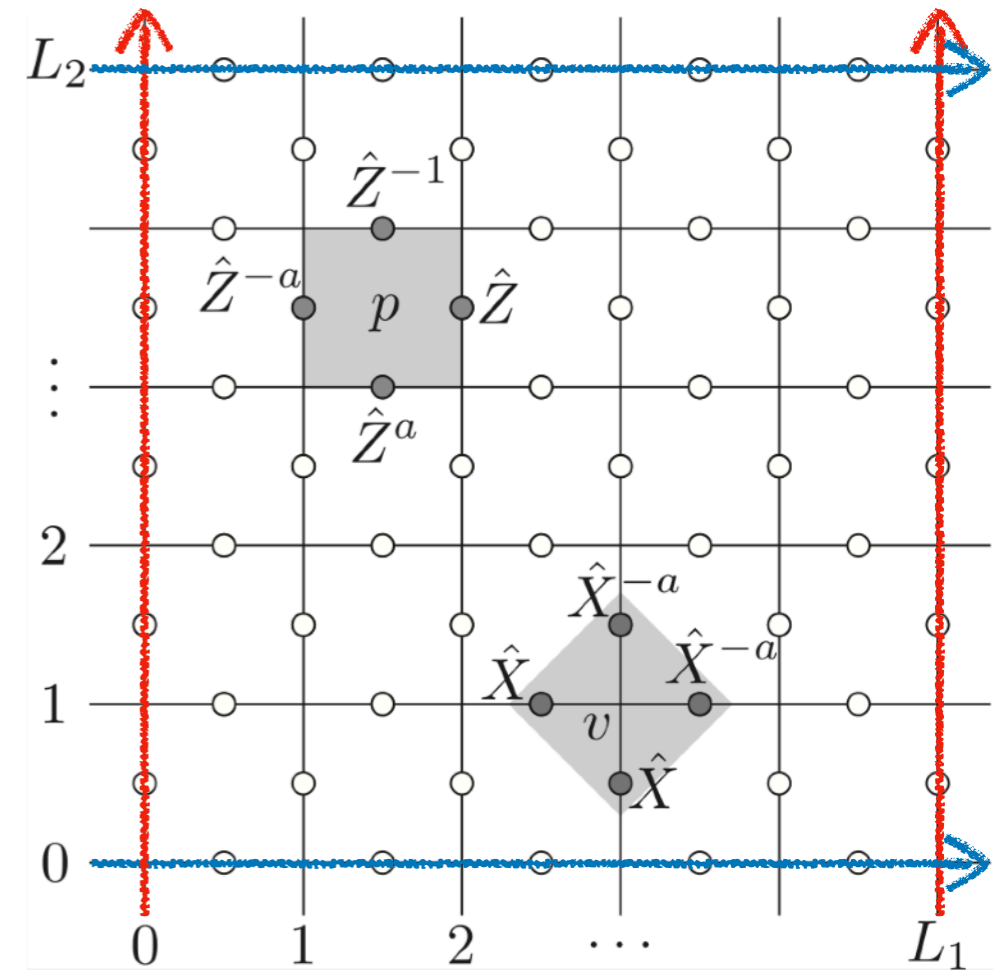
- Generalization of Pauli matrices to  $N \geq 2$  level spins

$$X = \begin{pmatrix} & & & 1 \\ 1 & & & \\ & 1 & & \\ & & \ddots & \\ & & & 1 \end{pmatrix} \quad Z = \begin{pmatrix} 1 & & & \\ & \omega & & \\ & & \omega^2 & \\ & & & \ddots \\ & & & & \omega^{N-1} \end{pmatrix}$$

- $\mathbb{Z}_N \times \mathbb{Z}_N$  group with phase  $\omega = e^{\frac{2\pi i}{N}}$

$$ZX = \omega XZ = \begin{pmatrix} & & & 1 \\ \omega & & & \\ & \omega^2 & & \\ & & \ddots & \\ & & & \omega^{N-1} \end{pmatrix}$$

$$Z^N = X^N = 1$$



- A  $N$  level spin is placed on every bond. They satisfy  $\hat{Z}_r \hat{X}_{r'} = \omega^{\delta_{r,r'}} \hat{X}_{r'} \hat{Z}_r$  and  $\hat{Z}_r^N = \hat{X}_r^N = 1$ .
- Periodic boundary condition (PBC) with system size  $L_1$  and  $L_2 \rightarrow$  System is put on torus.
- Total Hilbertspace dimension is  $N^{2L_1L_2}$ .

# $\mathbb{Z}_N$ toric code with parameter $a$

- Vertex and plaquette operators. **All commute regardless of the choice of  $a$ .**

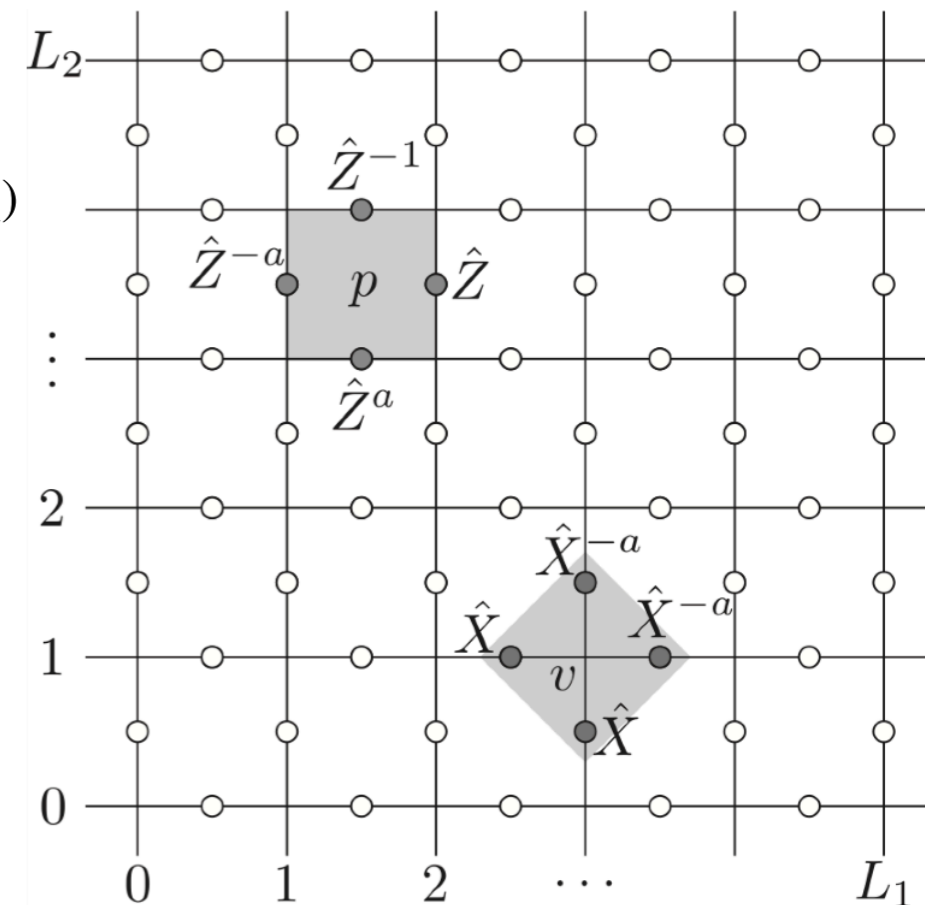
$$\hat{A}_{(m_1, m_2)} = \hat{X}_{(m_1 + \frac{1}{2}, m_2)}^{-a} \hat{X}_{(m_1, m_2 + \frac{1}{2})}^{-a} \hat{X}_{(m_1 - \frac{1}{2}, m_2)} \hat{X}_{(m_1, m_2 - \frac{1}{2})}$$

$$\hat{B}_{(m_1 + \frac{1}{2}, m_2 + \frac{1}{2})} = \hat{Z}_{(m_1 + 1, m_2 + \frac{1}{2})} \hat{Z}_{(m_1 + \frac{1}{2}, m_2 + 1)}^{-1} \hat{Z}_{(m_1, m_2 + \frac{1}{2})}^{-a} \hat{Z}_{(m_1 + \frac{1}{2}, m_2)}^a$$

- Hamiltonian is the sum of stabilizers:

$$\hat{H} = - \sum_{v \in \mathcal{V}} \frac{1}{2} (\hat{A}_v + \text{h.c.}) - \sum_{p \in \mathcal{P}} \frac{1}{2} (\hat{B}_p + \text{h.c.})$$

- Translation symmetry:  $\hat{T}_i \hat{X}_r \hat{T}_i^\dagger = \hat{X}_{r+e_i}$  and  $\hat{T}_i \hat{Z}_r \hat{T}_i^\dagger = \hat{Z}_{r+e_i}$



A. Kitaev, Ann. Phys. (2003).

M. D. Schulz, et al, New J. Phys (2012).

M. Barkeshli et al Math. Phys. (2020).

J. C. Bridgeman et al, PRB (2017).

Y. Fuji, PRB (2019)

- $a = 1, 2, \dots, N$  is a very important parameter.

- ▶  $a = 1$ : the standard choice.

- ▶  $a = N - 1$ : discussed previously but GSD on torus was not investigated.



# A ground state

- Since  $\hat{A}_v^N = \hat{B}_p^N = 1$ , the eigenvalues of  $\hat{A}_v$  and  $\hat{B}_p$  are  $N$ -fold:  $1, \omega, \dots, \omega^{N-1}$ . Any state with eigenvalues +1 for all vertices and plaquettes is a ground state.
- A ground state can be constructed by
  - (i) starting from the ferromagnetic state  $\hat{Z}_r |\phi_0\rangle = |\phi_0\rangle \quad (\forall r \in \Lambda)$
  - (ii) applying the projector  $\hat{P} = \frac{1}{N^{L_1 L_2}} \prod_{v \in \mathcal{V}} \sum_{\ell=0}^{N-1} \hat{A}_v^\ell$  with the following properties.
    - ▶  $\hat{P}^2 = \hat{P}$
    - ▶  $\hat{A}_v \hat{P} = \hat{P} \hat{A}_v = \hat{P}$
    - ▶  $\hat{B}_p \hat{P} = \hat{P} \hat{B}_p$
    - ▶  $\hat{T}_i \hat{P} = \hat{P} \hat{T}_i$

Then  $|\Phi_0\rangle \propto \hat{P} |\phi_0\rangle$  has eigenvalues +1 for all vertices and plaquettes.

This extends the standard discussion in literature.

# Case 1: $a = 1$

This case is the standard choice. Basically the same as the original toric code.

- Global constraints  $\prod_{v \in \mathcal{V}} \hat{A}_v = \prod_{p \in \mathcal{P}} \hat{B}_p = 1.$

- X loops:  $\hat{X}^{(1)} = \prod_{\ell=0}^{L_1-1} \hat{X}_{(\ell, L_2-\frac{1}{2})}, \hat{X}^{(2)} = \prod_{\ell=0}^{L_2-1} \hat{X}_{(L_1-\frac{1}{2}, \ell).$

- Z loops:  $\hat{Z}^{(1)} = \prod_{\ell=0}^{L_1-1} \hat{Z}_{(\ell+\frac{1}{2}, 0)}, \hat{Z}^{(2)} = \prod_{\ell=0}^{L_2-1} \hat{Z}_{(0, \ell+\frac{1}{2}).$

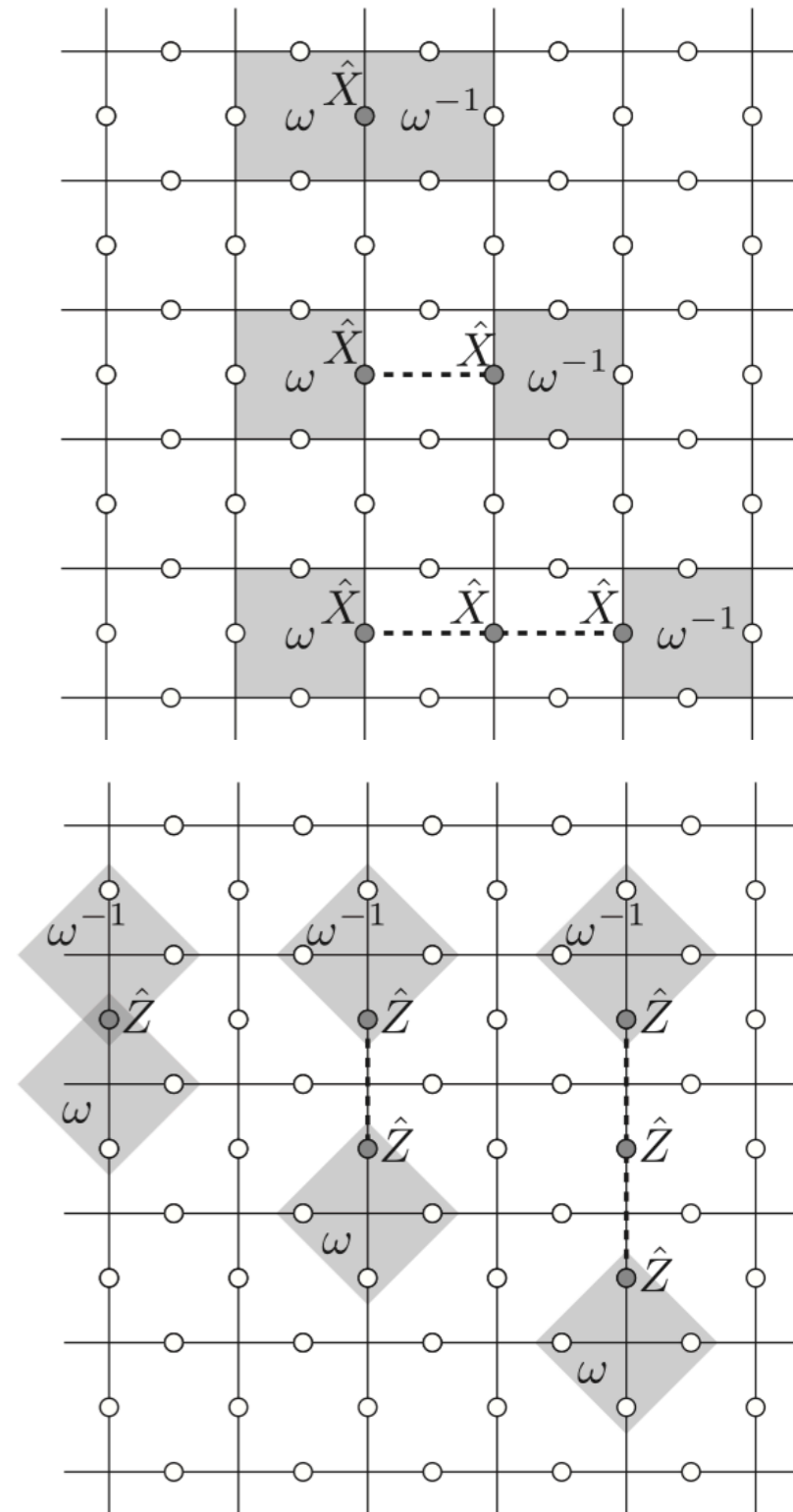
- ▶  $\hat{Z}^{(1)} \hat{X}^{(2)} = \omega \hat{X}^{(2)} \hat{Z}^{(1)}.$

- ▶  $\hat{Z}^{(2)} \hat{X}^{(1)} = \omega \hat{X}^{(1)} \hat{Z}^{(2)}.$

- ▶  $[\hat{Z}^{(1)}, \hat{Z}^{(2)}] = [\hat{X}^{(1)}, \hat{X}^{(2)}] = 0.$

- ▶  $[\hat{Z}^{(1)}, \hat{X}^{(1)}] = [\hat{X}^{(2)}, \hat{Z}^{(2)}] = 0.$

- GSD:  $N_{\text{deg}} = N^2.$  TEE:  $S_{\text{top}} = -\log N.$  Anyons:  $N^2$  species



# Proof of GSD via explicit construction of all states

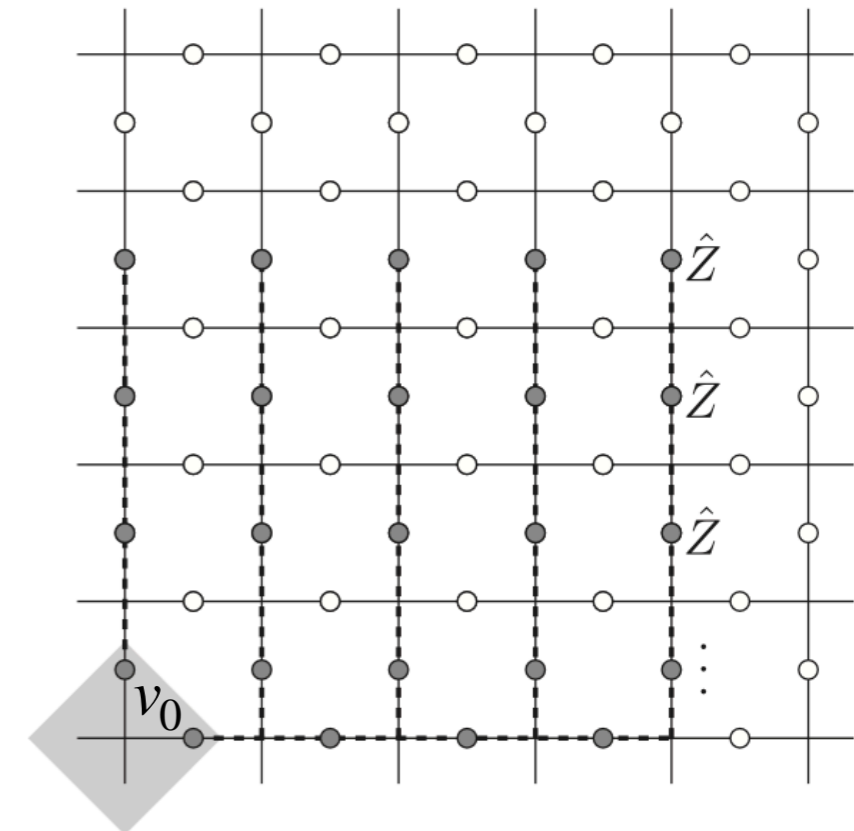
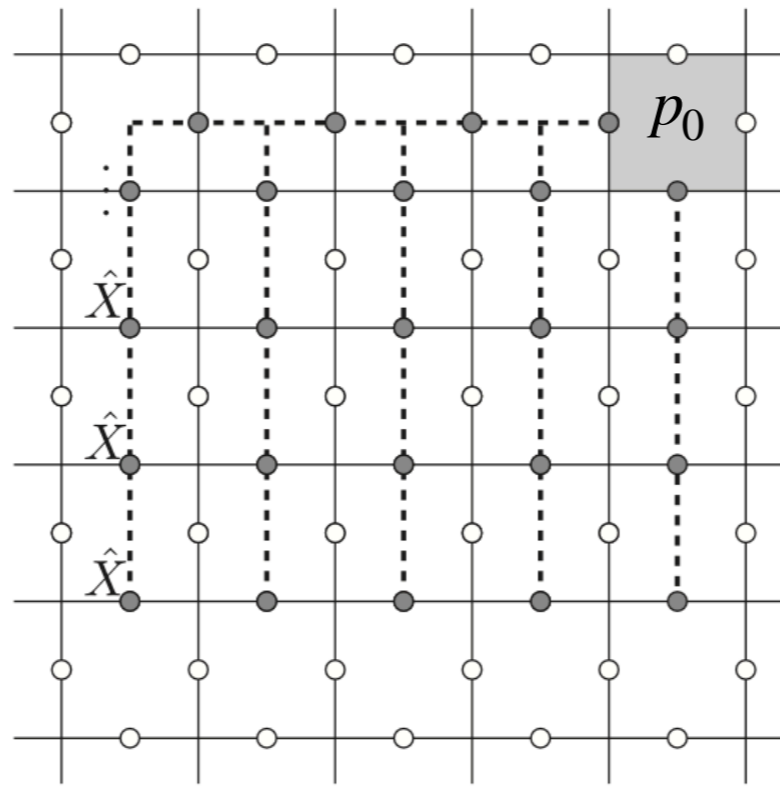
$\hat{A}_{v_0}$  and  $\hat{B}_{p_0}$  are fixed by global constraints.

## Open string operators

Control the eigenvalues of

- $\hat{A}_v$  ( $v \in \mathcal{V}, v \neq v_0$ )
- $\hat{B}_p$  ( $p \in \mathcal{P}, p \neq p_0$ )

$$\hat{Z}_r \hat{X}_{r'} = \omega^{\delta_{r,r'}} \hat{X}_{r'} \hat{Z}_r$$



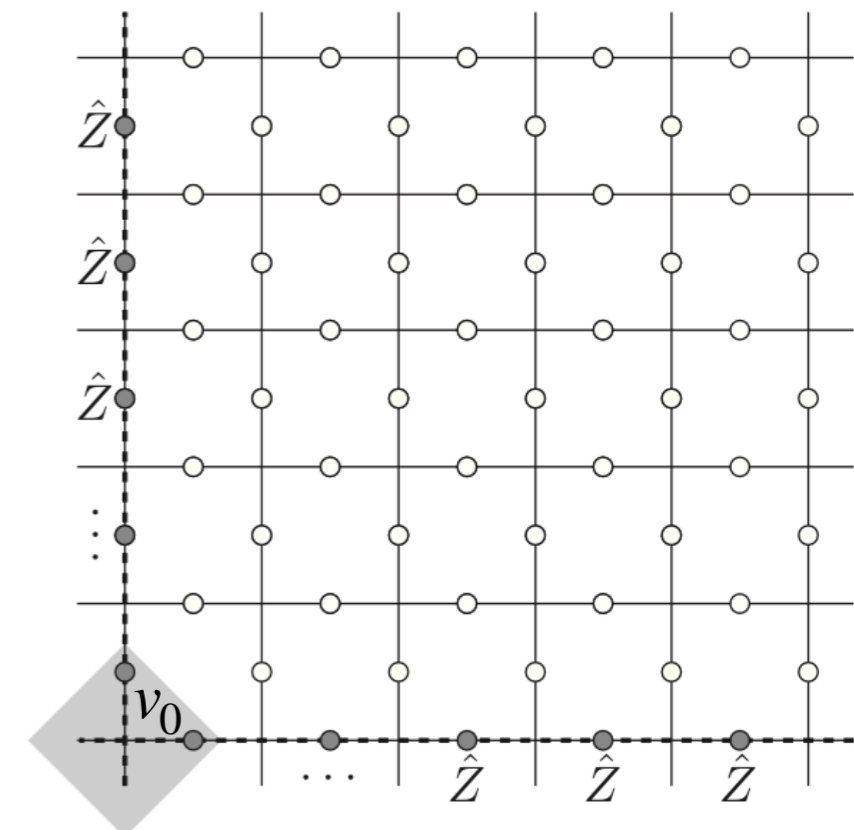
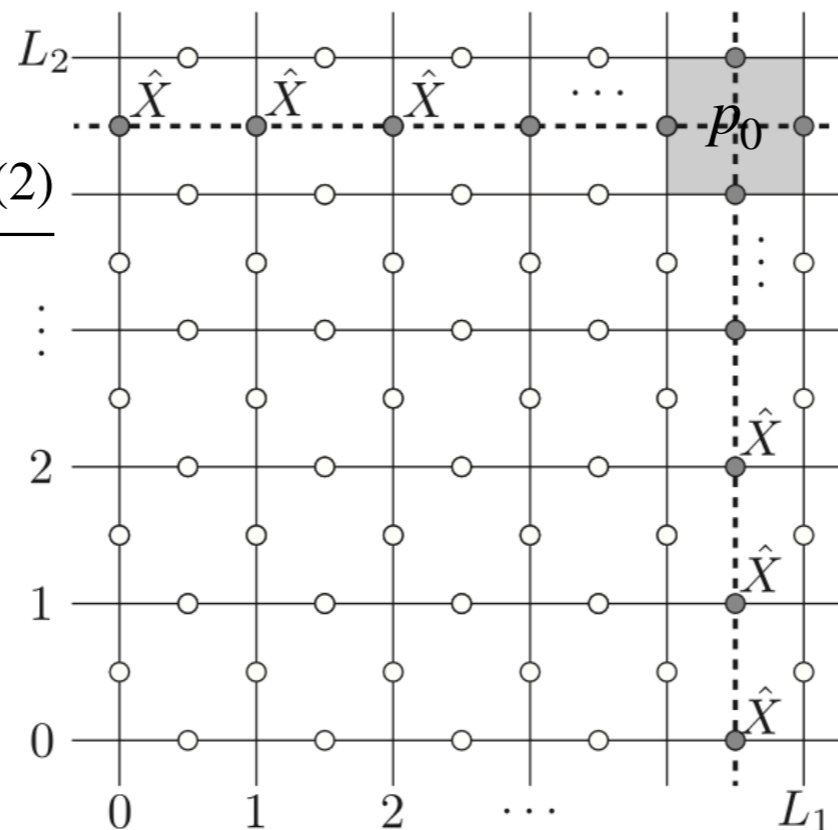
## Closed string operators $\hat{X}^{(2)}, \hat{Z}^{(2)}$

Control the eigenvalues of

- $\hat{X}^{(1)}$
- $\hat{Z}^{(1)}$

$$\hat{Z}^{(2)} \hat{X}^{(1)} = \omega \hat{X}^{(1)} \hat{Z}^{(2)}$$

$$\hat{Z}^{(1)} \hat{X}^{(2)} = \omega \hat{X}^{(2)} \hat{Z}^{(1)}$$

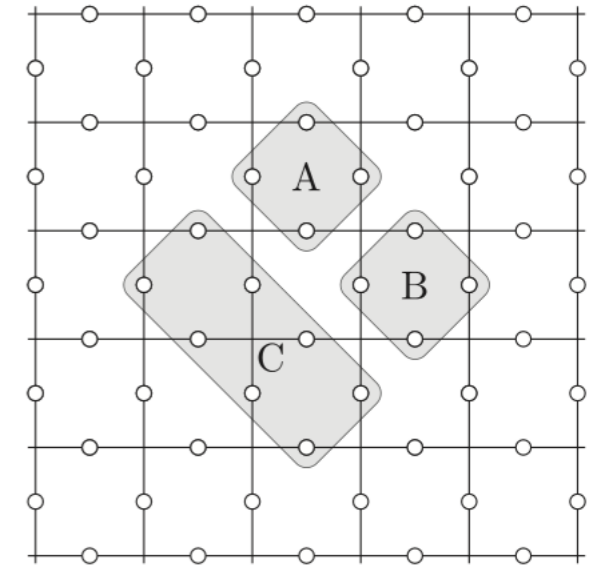


# Calculation of TEE

- Kitaev-Preskill prescription A. Kitaev and J. Preskill, PRL (2006)

- ▶  $S_{\text{topo}} = (S_A + S_B + S_C) - (S_{AB} + S_{BC} + S_{CA}) + S_{ABC}$

- ▶  $S_R = -\text{tr}[\hat{\rho}_R \log \hat{\rho}_R]$



- Useful formula:  $S_R = n_R \log N - \log |G_R|$

- ▶  $n_R$  is the number of  $N$ -level spins in  $R$ .

- ▶  $G_R$  is the subgroup of  $G$  supported in  $R$ .

N. Linden et al TQC (2013).

L. Zou and J. Haah, PRB (2016).

- ▶  $G$  is the multiplicative group generated by all  $\hat{A}_v$ 's ( $v \in \mathcal{V}$ ),  $\hat{B}_p$ 's ( $p \in \mathcal{P}$ ), and possible closed string operators for which  $|\Phi_0\rangle$  has the eigenvalue +1.

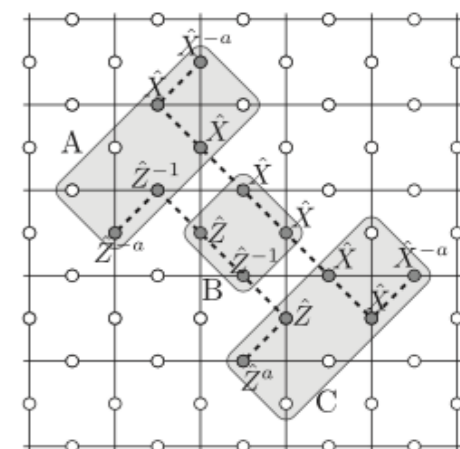
- When  $N$  and  $a$  are coprime: further simplified to  $S_R = (n_R - m_R) \log N$ .

- ▶  $m_R$  is the number of generators of  $G$  supported in  $R$ .

- There can be spurious contribution, which characterize SSPT phase.

D. J. Williamson et al, PRL (2019)

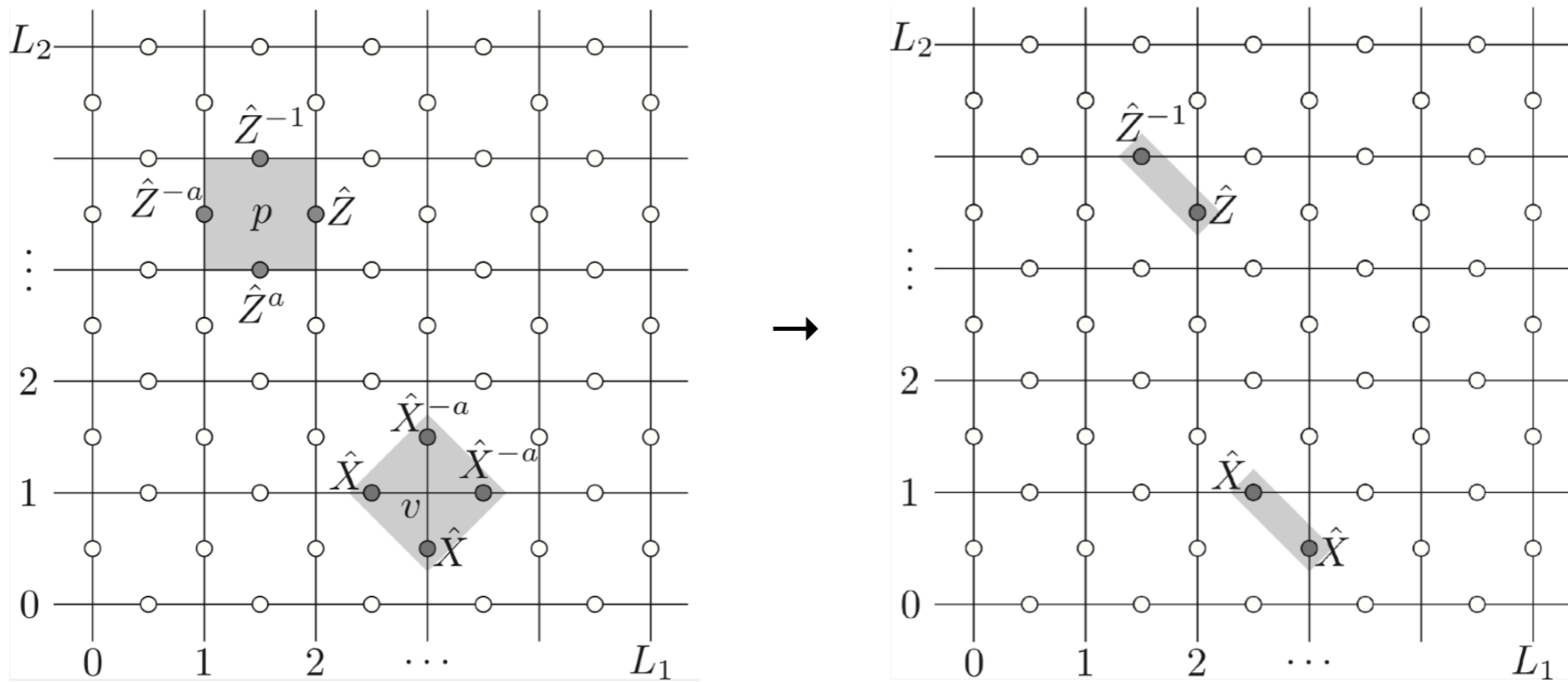
D. T. Stephen et al, PRB (2019)



# Case 2: $a = N$

This is the other extreme case.

- $\hat{H} = \sum_{r \in \Lambda} \hat{h}_r$  with
 
$$\hat{h}_{(m_1, m_2)} = -\frac{1}{2} \left( \hat{X}_{(m_1 - \frac{1}{2}, m_2)} \hat{X}_{(m_1, m_2 - \frac{1}{2})} + \text{h.c.} \right) - \frac{1}{2} \left( \hat{Z}_{(m_1, m_2 - \frac{1}{2})} \hat{Z}_{(m_1 - \frac{1}{2}, m_2)}^{-1} + \text{h.c.} \right).$$
- Completely decoupled  $\rightarrow$  product state.
- GSD:  $N_{\text{deg}} = 1$ . TEE:  $S_{\text{top}} = 0$ . No anyons.



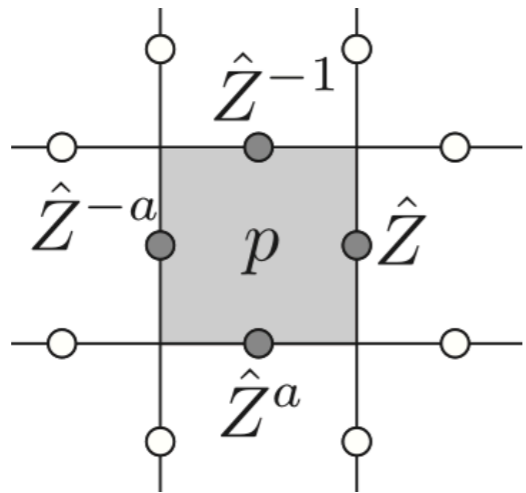
**More general cases**

# Loop operators for general $a$

- Unless  $a = 1$ , simple loop operators do not commute with stabilizers

$$\hat{X}^{(1)} = \hat{X}_{(0, m_2 + \frac{1}{2})} \hat{X}_{(1, m_2 + \frac{1}{2})} \hat{X}_{(2, m_2 + \frac{1}{2})} \cdots \hat{X}_{(L_1 - 1, m_2 + \frac{1}{2})}$$

The same is true for Z loops.

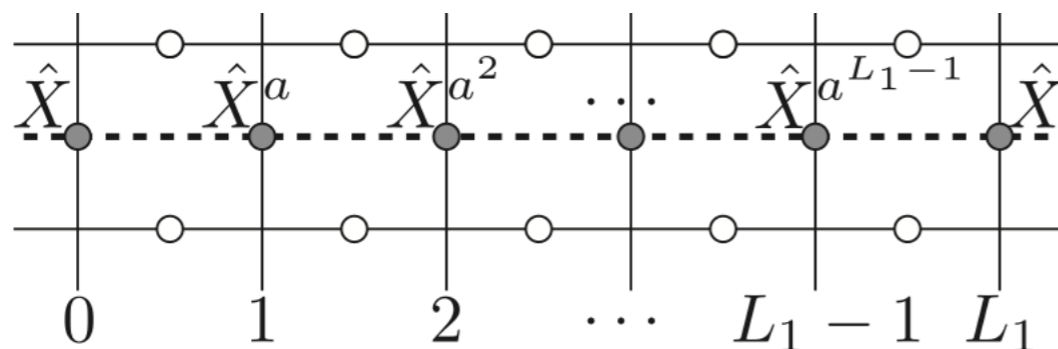


- Modified, trial loop operator

$$\hat{X}^{(1)} = \hat{X}_{(0, m_2 + \frac{1}{2})} \hat{X}_{(1, m_2 + \frac{1}{2})}^a \hat{X}_{(2, m_2 + \frac{1}{2})}^{a^2} \cdots \hat{X}_{(L_1 - 1, m_2 + \frac{1}{2})}^{a^{L_1 - 1}}$$

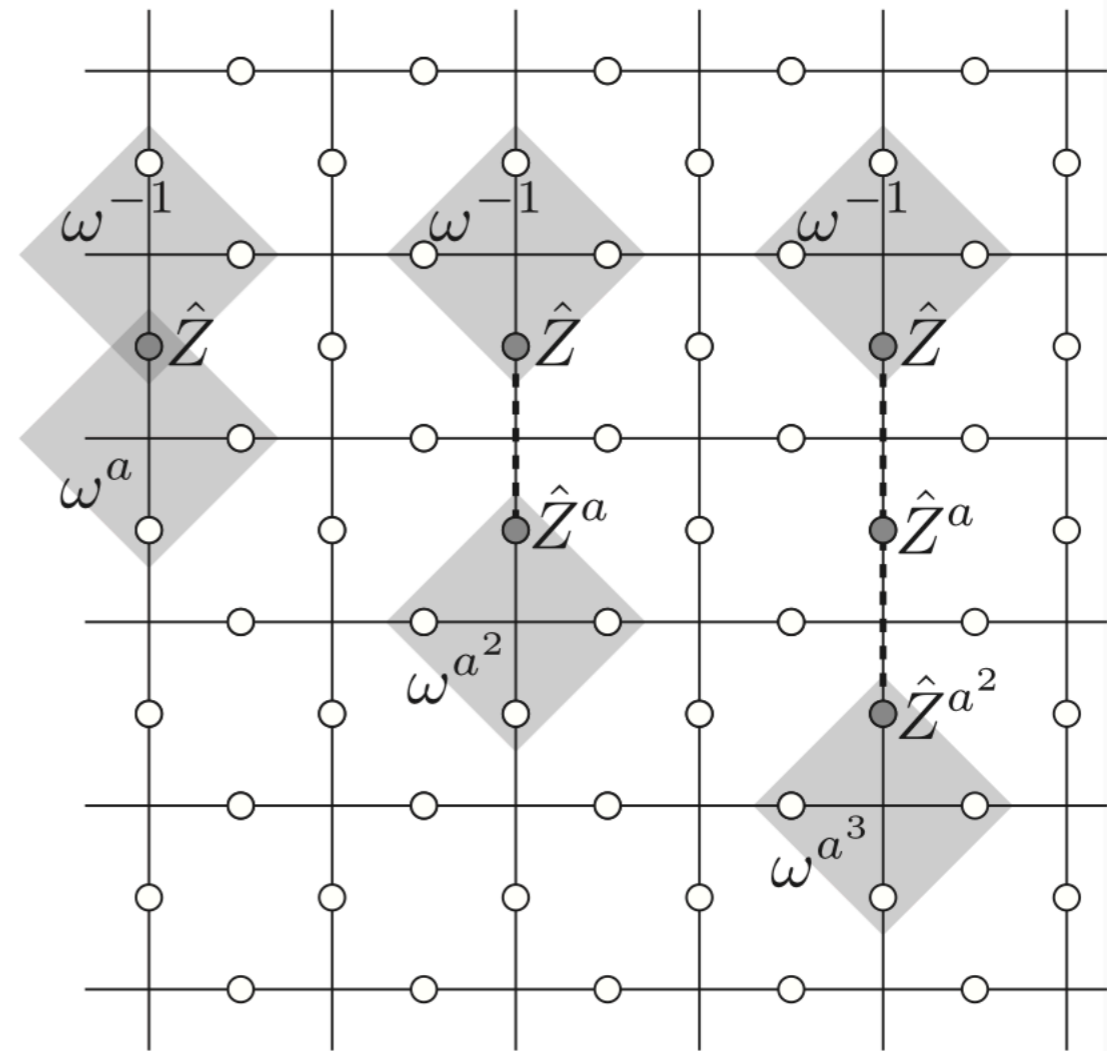
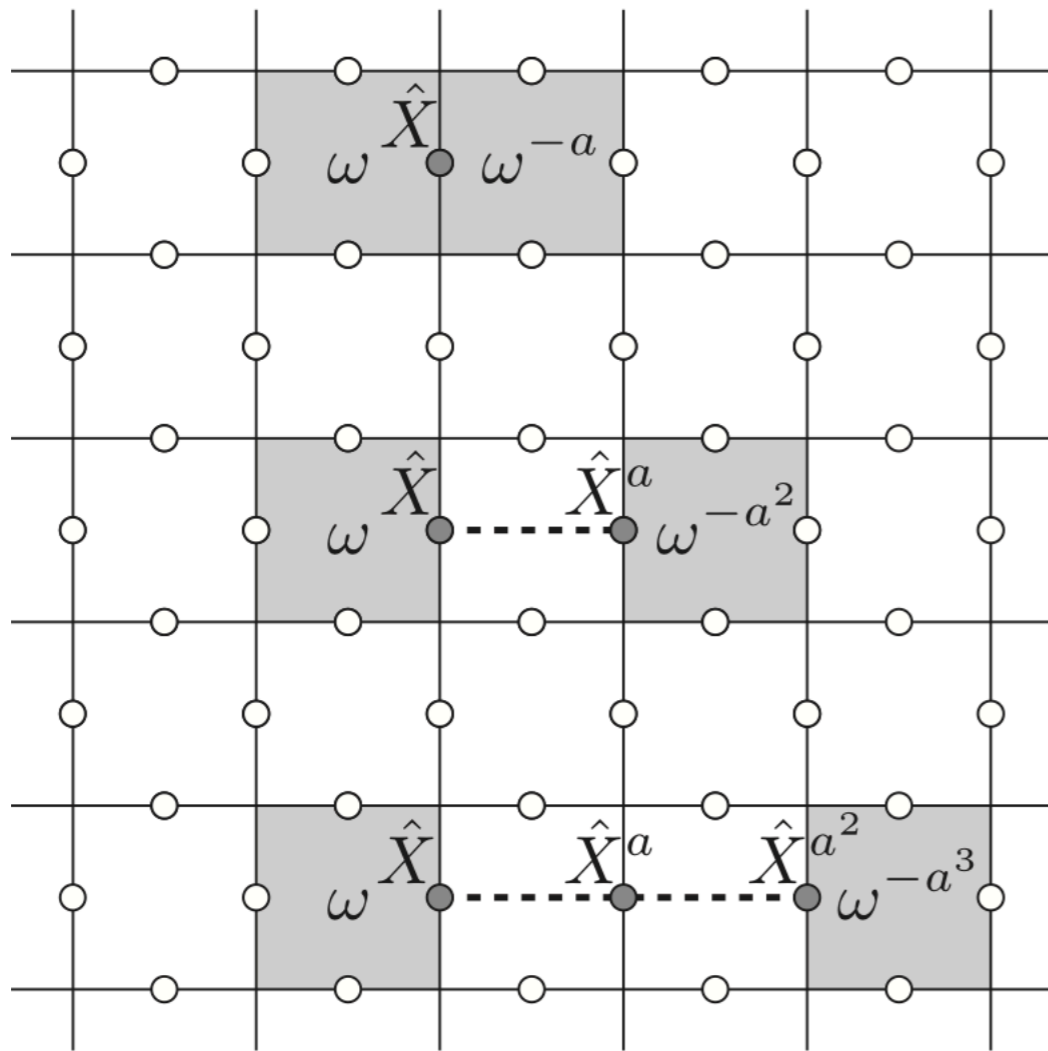
Needs to be connected to  $\hat{X}_{(0, m_2 + \frac{1}{2})}^{a^{L_1}}$  under PBC.

Commutates with the boundary plaquette only when  $a^{L_1} - 1 = 0 \pmod N$ .



# Excited states for general $a$

- Applying open strings of  $\hat{X}$  and  $\hat{Z}$  creates a pair of electric and magnetic particles.
- **Translation permutes anyons** (i.e. changes the electric and magnetic charges).



$$\hat{X}_{(m_1 - \frac{1}{2}, m_2 + \frac{1}{2}), (m'_1 + \frac{1}{2}, m_2 + \frac{1}{2})}^{(1)} = \prod_{\ell=0}^{m'_1 - m_1} \hat{X}_{(m_1 + \ell, m_2 + \frac{1}{2})}^{a^\ell}$$

$$\hat{Z}_{(m_1, m_2), (m_1, m'_2 + 1)}^{(2)} = \prod_{\ell=0}^{m'_2 - m_2} \hat{Z}_{(m_1, m_2 + \ell + \frac{1}{2})}^{a^{m'_2 - m_2 - \ell}}$$

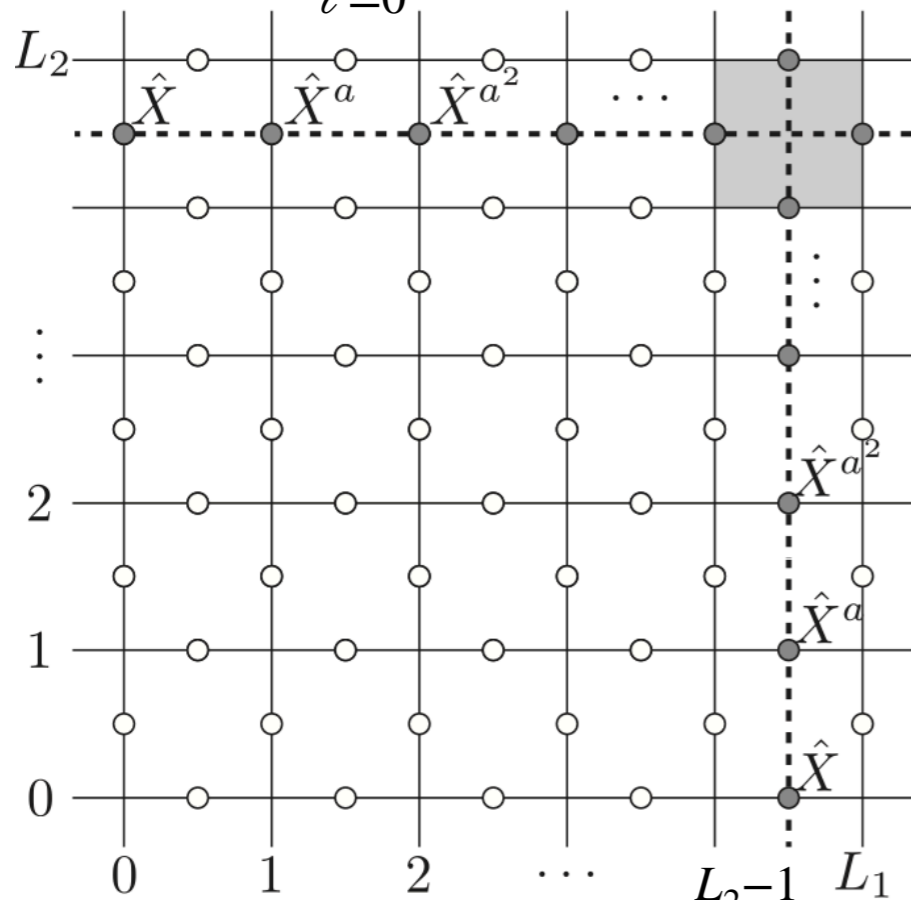


# Single anyon

- Mismatch at the boundary implies a single anyon excitation without a pair.

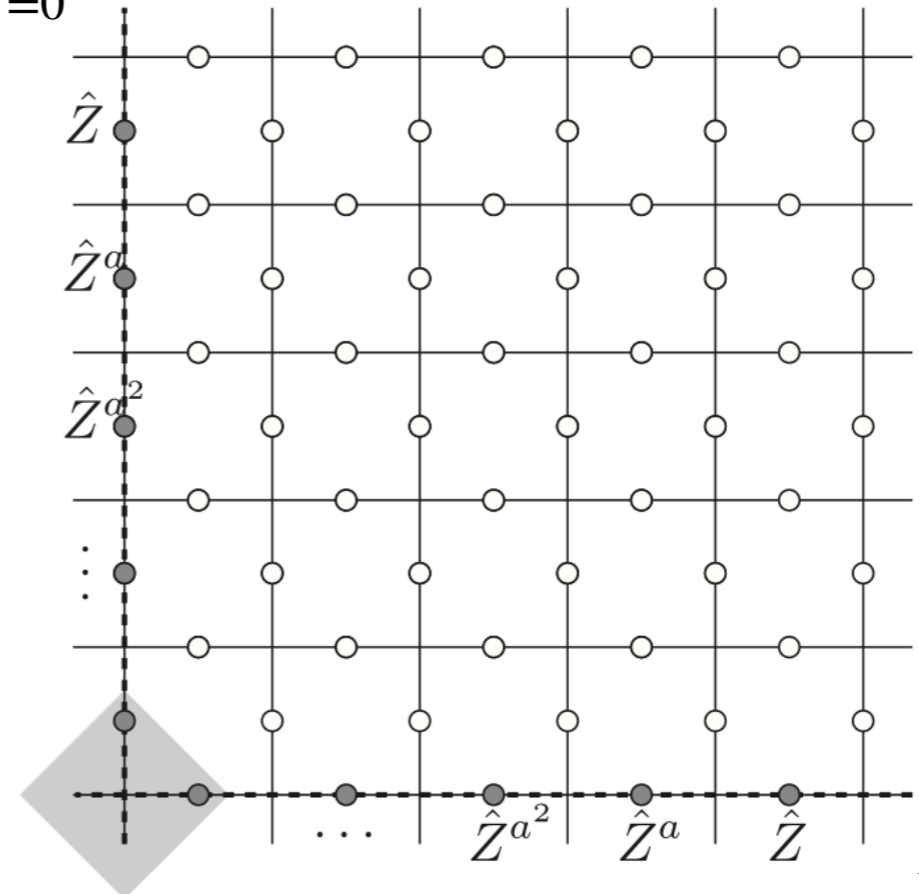
$$\hat{X}^{(i)} \hat{B}_{p_0} = \omega^{\underline{a^{L_i-1}}} \hat{B}_{p_0} \hat{X}^{(i)} \quad \text{and} \quad \hat{Z}^{(i)} \hat{A}_{v_0} = \omega^{\underline{1-a^{L_i}}} \hat{A}_{v_0} \hat{Z}^{(i)}.$$

$$\hat{X}^{(1)} = \prod_{\ell=0}^{L_1-1} \hat{X}_{(\ell, L_2-\frac{1}{2})}^{a^\ell}$$



$$\hat{X}^{(2)} = \prod_{\ell=0}^{L_2-1} \hat{X}_{(L_1-\frac{1}{2}, \ell)}^{a^\ell}$$

$$\hat{Z}^{(2)} = \prod_{\ell=0}^{L_2-1} \hat{Z}_{(0, \ell+\frac{1}{2})}^{a^{L_2-1-\ell}}$$



$$\hat{Z}^{(1)} = \prod_{\ell=0}^{L_1-1} \hat{Z}_{(\ell+\frac{1}{2}, 0)}^{a^{L_1-1-\ell}}$$

# Global constraints

- GSD is given by  $N_{\text{deg}} = N_C^2$  (Recall the original toric code. Can be proven.)
  - ▶  $N_C$  is the number of global constraints:

$$\prod_{v \in \mathcal{V}} \hat{A}_v^{\ell_v} = 1 \quad (0 \leq \ell_v \leq N - 1).$$

- ▶ There will be an equal number of constraints:

$$\prod_{p \in \mathcal{P}} \hat{B}_p^{\ell_p} = 1 \quad (0 \leq \ell_p \leq N - 1).$$

# Global constraints

- Trial global constraints:

$$\triangleright \prod_{m_1=0}^{L_1-1} \prod_{m_2=0}^{L_2-1} \hat{A}_{(m_1, m_2)}^{a^{m_1+m_2}} = \prod_{m_1=0}^{L_1-1} \hat{X}_{(m_1, -\frac{1}{2})}^{-a^{m_1} \underline{(a^{L_2-1})}} \prod_{m_2=0}^{L_2-1} \hat{X}_{(-\frac{1}{2}, m_2)}^{-a^{m_2} \underline{(a^{L_1-1})}}.$$

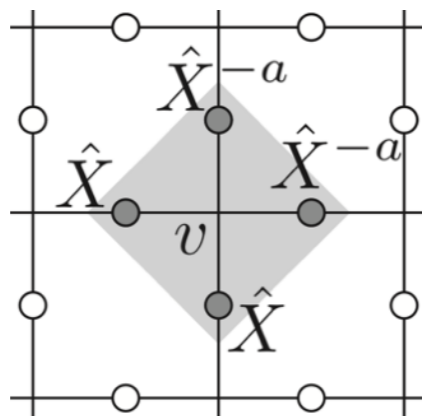
$$\triangleright \prod_{m_1=0}^{L_1-1} \prod_{m_2=0}^{L_2-1} \hat{B}_{(m_1+\frac{1}{2}, m_2+\frac{1}{2})}^{a^{(L_1-1-m_1)+(L_2-1-m_2)}} = \prod_{m_1=0}^{L_1-1} \hat{Z}_{(m_1+\frac{1}{2}, 0)}^{a^{(L_1-1-m_1)} \underline{(a^{L_2-1})}} \prod_{m_2=0}^{L_2-1} \hat{Z}_{(0, m_2+\frac{1}{2})}^{-a^{(L_2-1-m_2)} \underline{(a^{L_1-1})}}.$$

# Global constraints

- Trial global constraints:

$$\triangleright \prod_{m_1=0}^{L_1-1} \prod_{m_2=0}^{L_2-1} \hat{A}_{(m_1, m_2)}^{a^{m_1+m_2}} = \prod_{m_1=0}^{L_1-1} \hat{X}_{(m_1, -\frac{1}{2})}^{-a^{m_1(a^{L_2}-1)}} \prod_{m_2=0}^{L_2-1} \hat{X}_{(-\frac{1}{2}, m_2)}^{-a^{m_2(a^{L_1}-1)}}.$$

$$\triangleright \prod_{m_1=0}^{L_1-1} \prod_{m_2=0}^{L_2-1} \hat{B}_{(m_1+\frac{1}{2}, m_2+\frac{1}{2})}^{a^{(L_1-1-m_1)+(L_2-1-m_2)}} = \prod_{m_1=0}^{L_1-1} \hat{Z}_{(m_1+\frac{1}{2}, 0)}^{a^{(L_1-1-m_1)(a^{L_2}-1)}} \prod_{m_2=0}^{L_2-1} \hat{Z}_{(0, m_2+\frac{1}{2})}^{-a^{(L_2-1-m_2)(a^{L_1}-1)}}.$$

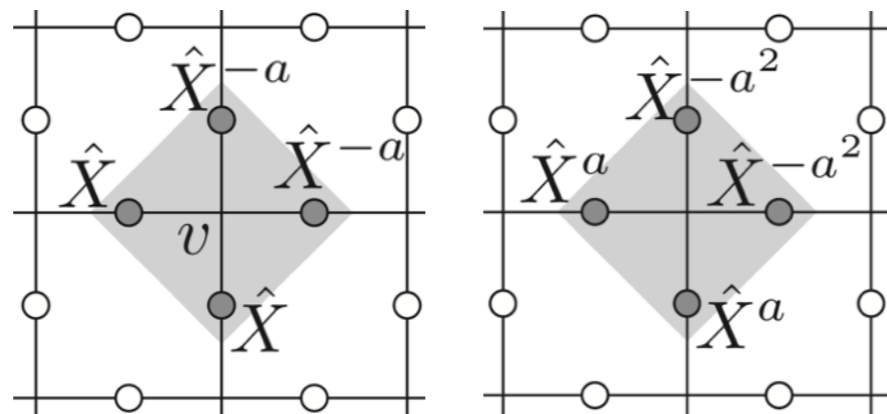


# Global constraints

- Trial global constraints:

$$\triangleright \prod_{m_1=0}^{L_1-1} \prod_{m_2=0}^{L_2-1} \hat{A}_{(m_1, m_2)}^{a^{m_1+m_2}} = \prod_{m_1=0}^{L_1-1} \hat{X}_{(m_1, -\frac{1}{2})}^{-a^{m_1}(a^{L_2-1})} \prod_{m_2=0}^{L_2-1} \hat{X}_{(-\frac{1}{2}, m_2)}^{-a^{m_2}(a^{L_1-1})}.$$

$$\triangleright \prod_{m_1=0}^{L_1-1} \prod_{m_2=0}^{L_2-1} \hat{B}_{(m_1+\frac{1}{2}, m_2+\frac{1}{2})}^{a^{(L_1-1-m_1)+(L_2-1-m_2)}} = \prod_{m_1=0}^{L_1-1} \hat{Z}_{(m_1+\frac{1}{2}, 0)}^{a^{(L_1-1-m_1)}(a^{L_2-1})} \prod_{m_2=0}^{L_2-1} \hat{Z}_{(0, m_2+\frac{1}{2})}^{-a^{(L_2-1-m_2)}(a^{L_1-1})}.$$

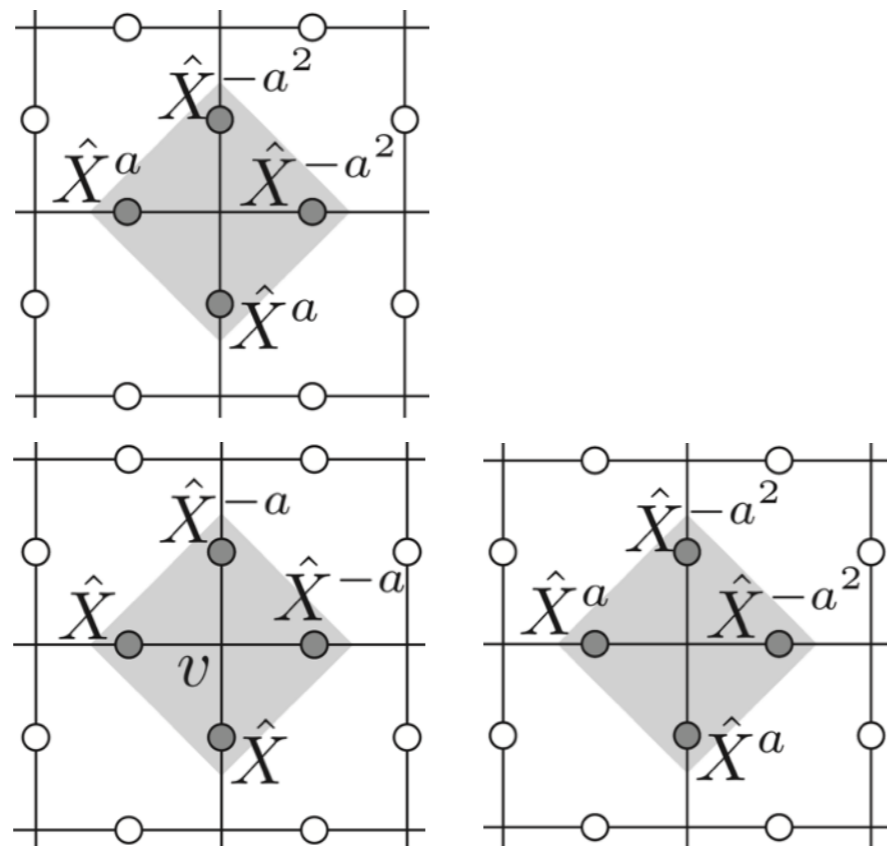


# Global constraints

- Trial global constraints:

$$\triangleright \prod_{m_1=0}^{L_1-1} \prod_{m_2=0}^{L_2-1} \hat{A}_{(m_1, m_2)}^{a^{m_1+m_2}} = \prod_{m_1=0}^{L_1-1} \hat{X}_{(m_1, -\frac{1}{2})}^{-a^{m_1}(a^{L_2-1})} \prod_{m_2=0}^{L_2-1} \hat{X}_{(-\frac{1}{2}, m_2)}^{-a^{m_2}(a^{L_1-1})}.$$

$$\triangleright \prod_{m_1=0}^{L_1-1} \prod_{m_2=0}^{L_2-1} \hat{B}_{(m_1+\frac{1}{2}, m_2+\frac{1}{2})}^{a^{(L_1-1-m_1)+(L_2-1-m_2)}} = \prod_{m_1=0}^{L_1-1} \hat{Z}_{(m_1+\frac{1}{2}, 0)}^{a^{(L_1-1-m_1)}(a^{L_2-1})} \prod_{m_2=0}^{L_2-1} \hat{Z}_{(0, m_2+\frac{1}{2})}^{-a^{(L_2-1-m_2)}(a^{L_1-1})}.$$

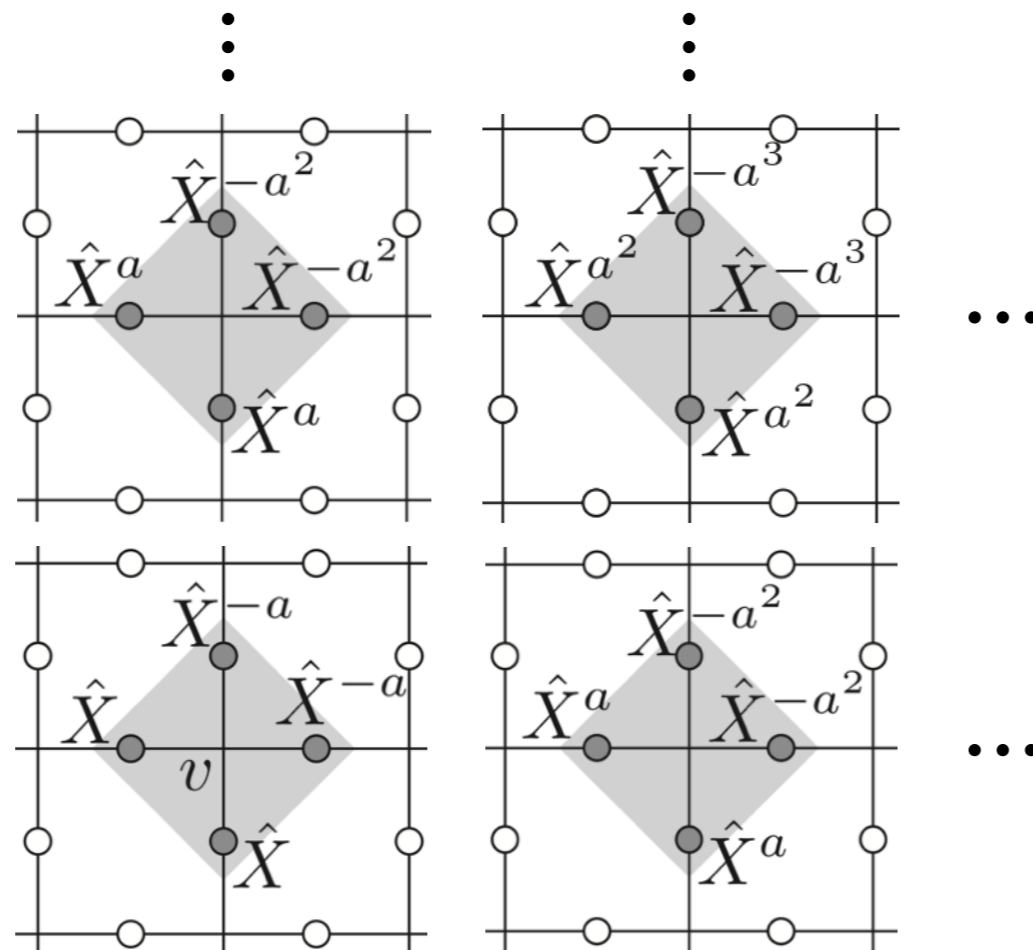


# Global constraints

- Trial global constraints:

$$\triangleright \prod_{m_1=0}^{L_1-1} \prod_{m_2=0}^{L_2-1} \hat{A}_{(m_1, m_2)}^{a^{m_1+m_2}} = \prod_{m_1=0}^{L_1-1} \hat{X}_{(m_1, -\frac{1}{2})}^{-a^{m_1}(a^{L_2-1})} \prod_{m_2=0}^{L_2-1} \hat{X}_{(-\frac{1}{2}, m_2)}^{-a^{m_2}(a^{L_1-1})}.$$

$$\triangleright \prod_{m_1=0}^{L_1-1} \prod_{m_2=0}^{L_2-1} \hat{B}_{(m_1+\frac{1}{2}, m_2+\frac{1}{2})}^{a^{(L_1-1-m_1)+(L_2-1-m_2)}} = \prod_{m_1=0}^{L_1-1} \hat{Z}_{(m_1+\frac{1}{2}, 0)}^{a^{(L_1-1-m_1)}(a^{L_2-1})} \prod_{m_2=0}^{L_2-1} \hat{Z}_{(0, m_2+\frac{1}{2})}^{-a^{(L_2-1-m_2)}(a^{L_1-1})}.$$



# General case

- Prime factorization  $N = \prod_{j=1}^n p_j^{r_j} = p_1^{r_1} p_2^{r_2} \cdots p_n^{r_n}$ .

- Radical of  $N$ :  $\text{rad}(N) = \prod_{j=1}^n p_j = p_1 p_2 \cdots p_n$ .

- $N_a =$  the largest divisor of  $N$  that is coprime to  $a$ .

- ▶  $N_a \neq 1$  if  $a$  is not a multiple of  $\text{rad}(N)$  → Topologically-ordered phases

- ▶  $N_a = 1$  if  $a$  is a multiple of  $\text{rad}(N)$  → SPT phases

- GSD  $N_{\text{deg}} = d_a^2$  with  $d_a = \text{gcd}(a^{L_1} - 1, a^{L_2} - 1, N)$  in general.

- $N_{\text{deg}} = 1$  regardless of  $L_1, L_2$  when  $a$  is a multiple of  $\text{rad}(N)$ .

- Anyons:  $N_a^2$  species characterized by the electric charge  $q_e = 1, 2, \dots, N_a$  and the magnetic charge  $q_m = 1, 2, \dots, N_a$ .

- Translation  $T_i : (q_e, q_m) \rightarrow (aq_e, a^{-1}q_m)$ .



# Justification of $N_{\text{deg}} = d_a^2$

- Consider their  $n$ -th power of the trial global constraints:

$$\triangleright \prod_{m_1=0}^{L_1-1} \prod_{m_2=0}^{L_2-1} \hat{A}_{(m_1, m_2)}^{na^{m_1+m_2}} = \prod_{m_1=0}^{L_1-1} \hat{X}_{(m_1, -\frac{1}{2})}^{-a^{m_1}(a^{L_2}-1)n} \prod_{m_2=0}^{L_2-1} \hat{X}_{(-\frac{1}{2}, m_2)}^{-a^{m_2}(a^{L_1}-1)n}.$$

$$\triangleright \prod_{m_1=0}^{L_1-1} \prod_{m_2=0}^{L_2-1} \hat{B}_{(m_1+\frac{1}{2}, m_2+\frac{1}{2})}^{na^{(L_1-1-m_1)+(L_2-1-m_2)}} = \prod_{m_1=0}^{L_1-1} \hat{Z}_{(m_1+\frac{1}{2}, 0)}^{a^{(L_1-1-m_1)}(a^{L_2}-1)n} \prod_{m_2=0}^{L_2-1} \hat{Z}_{(0, m_2+\frac{1}{2})}^{-a^{(L_2-1-m_2)}(a^{L_1}-1)n}.$$

- We need  $(a^{L_1} - 1)n = (a^{L_2} - 1)n = 0 \pmod N$ .

Solution:  $n = \frac{N}{d_a}$  with  $d_a = \text{gcd}(a^{L_1} - 1, a^{L_2} - 1, N_a)$ .

The eigenvalues of  $\hat{A}_{v_0}$  and  $\hat{B}_{p_0}$  can be written as  $\omega^{x+d_a\ell}$  ( $\ell = 0, 1, \dots, n_a - 1$ ).

Only the value of  $x$  ( $x = 0, 1, \dots, d_a$ ) is automatically fixed by global constraints.

→ The part associated with “genuine” closed strings is  $N_{\text{deg}} = d_a^2$ .

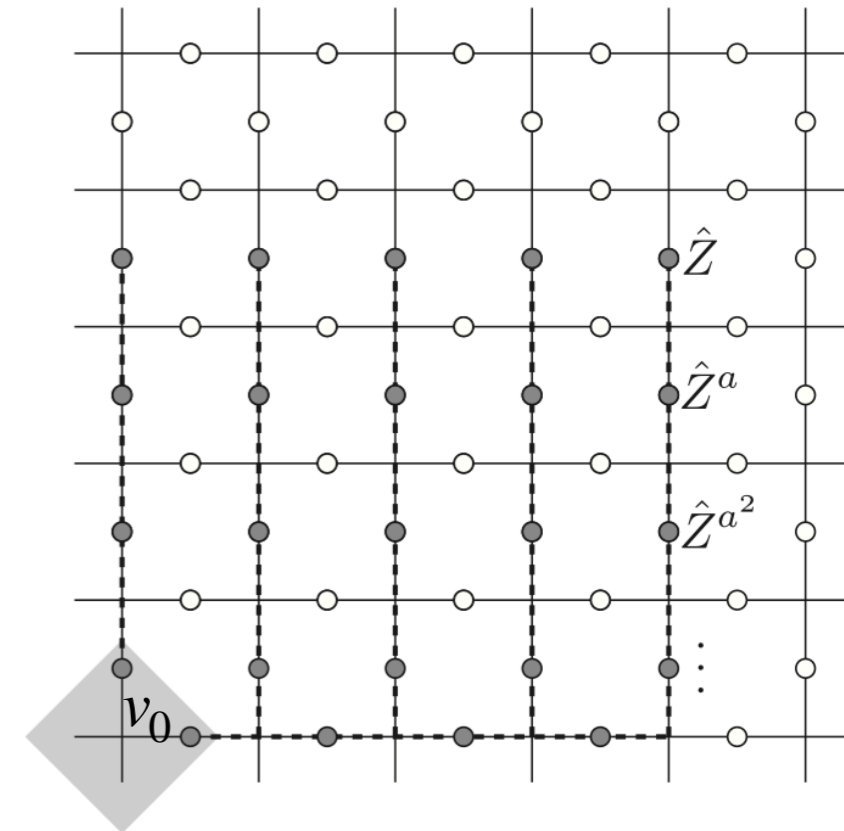
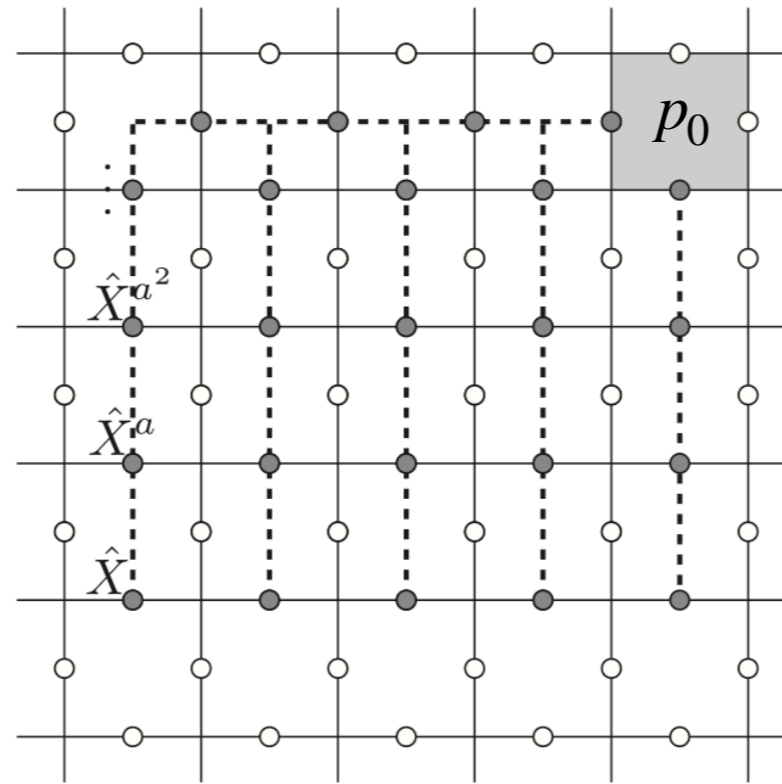
# Proof of GSD via explicit construction of all states

$[\hat{A}_{v_0}]^{\frac{N}{d_a}}$  and  $[\hat{B}_{p_0}]^{\frac{N}{d_a}}$  are fixed by global constraints.

Open string operators

Control the eigenvalues of

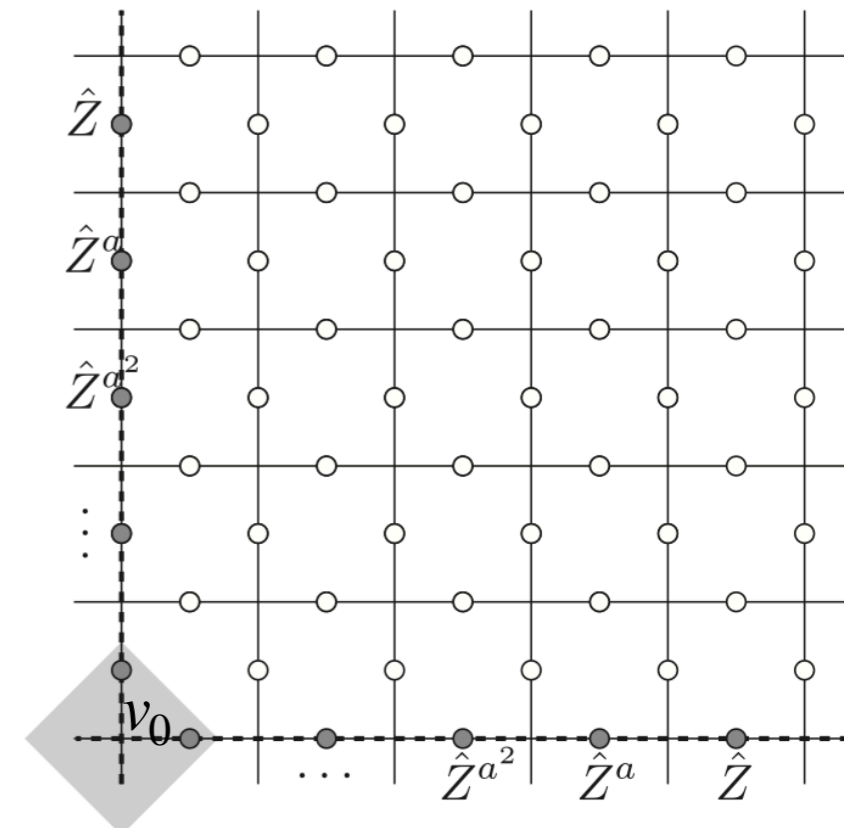
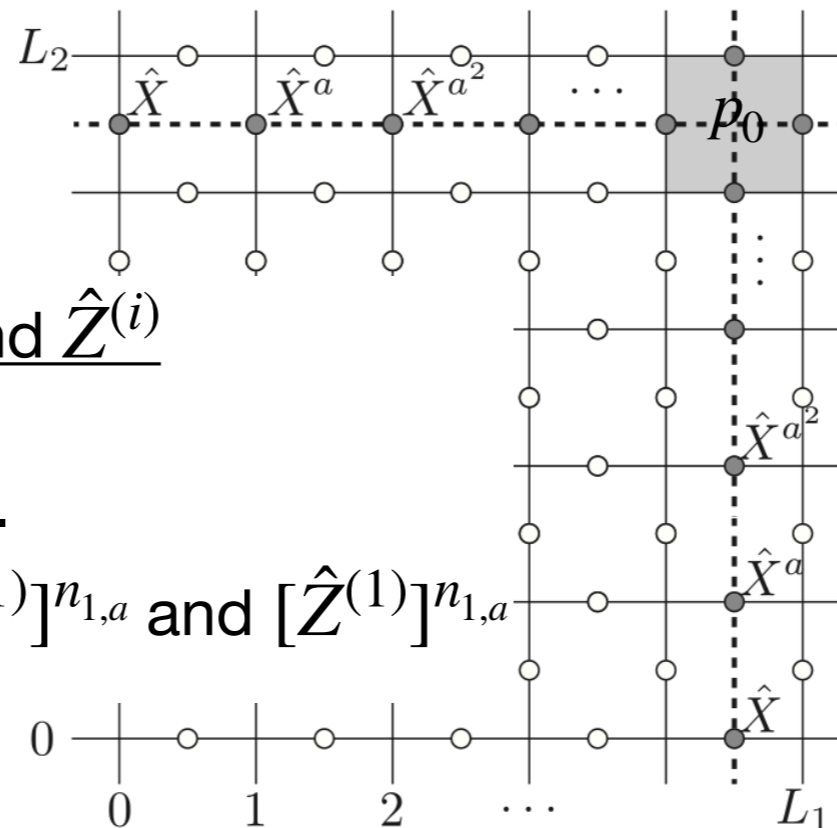
- $\hat{A}_v$  ( $v \in \mathcal{V}, v \neq v_0$ )
- $\hat{B}_p$  ( $p \in \mathcal{P}, p \neq p_0$ )



Closed string operators  $\hat{X}^{(i)}$  and  $\hat{Z}^{(i)}$

Control the eigenvalues of

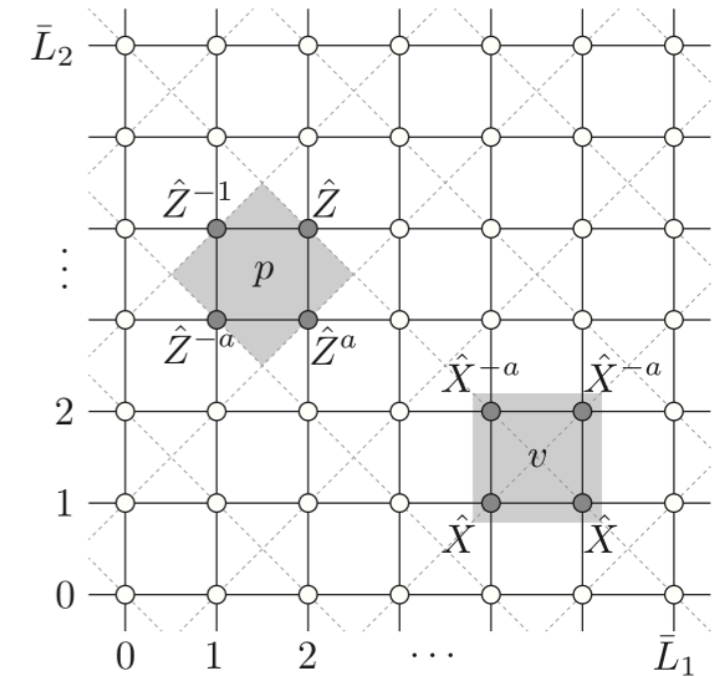
- Residual part of  $\hat{A}_{v_0}$  and  $\hat{B}_{p_0}$ .
- Genuine closed strings:  $[\hat{X}^{(1)}]^{n_{1,a}}$  and  $[\hat{Z}^{(1)}]^{n_{1,a}}$



# Case 3: $a^2 = N$

GSD:  $N_{\text{deg}} = 1$ . No anyons. But  $S_{\text{top}} \neq 0$  &  $S_{\text{spurious}} \neq 0$ .

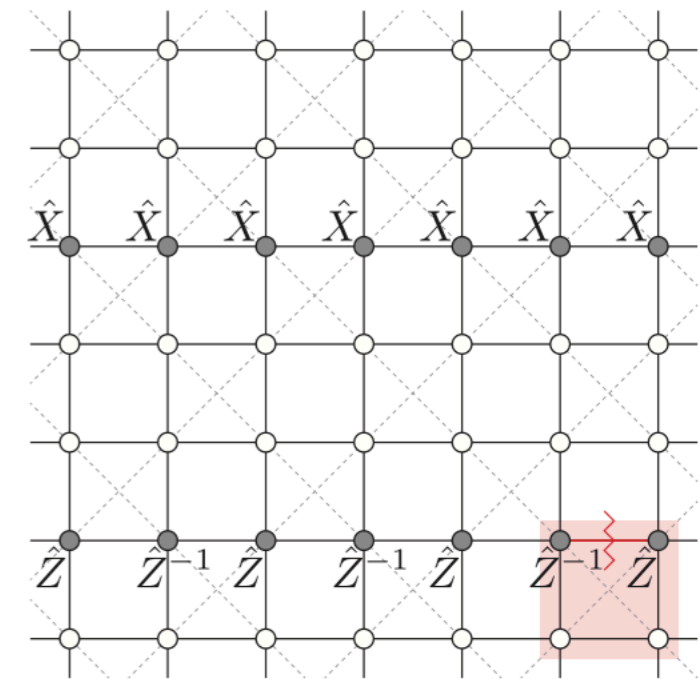
Here we consider model rotated by 45 degree.



- Subsystem symmetries for each row

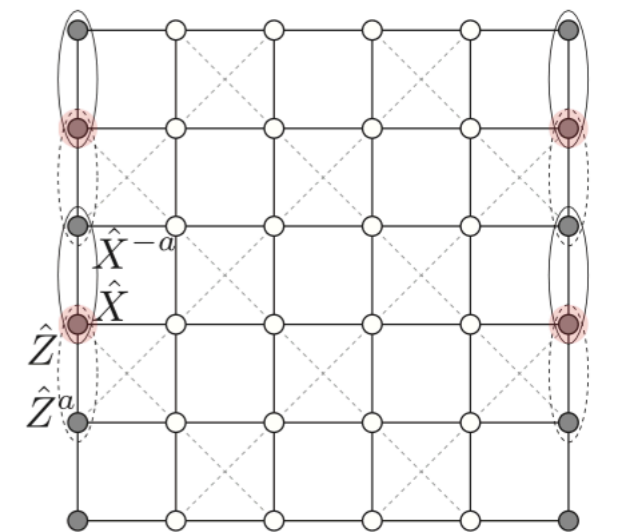
$$\hat{X}_{\bar{m}_2} = \prod_{\bar{m}_1=0}^{\bar{L}_1-1} \hat{X}_{(\bar{m}_1, \bar{m}_2)} = \prod_{j_1=0}^{\bar{L}_1/2} \hat{A}_{(2j_1+1, \bar{m}_2)} \hat{A}_{(2j_1, \bar{m}_2+1)}^a$$

$$\hat{Z}_{\bar{m}_2} = \prod_{\bar{m}_1=0}^{\bar{L}_1-1} \hat{Z}_{(\bar{m}_1, \bar{m}_2)}^{(-1)^{\bar{m}_1}} = \prod_{j_1=0}^{\bar{L}_1/2} \hat{B}_{(2j_1-1, \bar{m}_2-1)} \hat{B}_{(2j_1, \bar{m}_2-2)}^a$$



- This phase turns out to be a subsystem-symmetry protected topological (SSPT) phase  
We confirmed

- ▶ Charge pumping under subsystem-symmetry flux insertion.
- ▶ Zero energy edge states under open boundary condition.



# Generalized Ising model

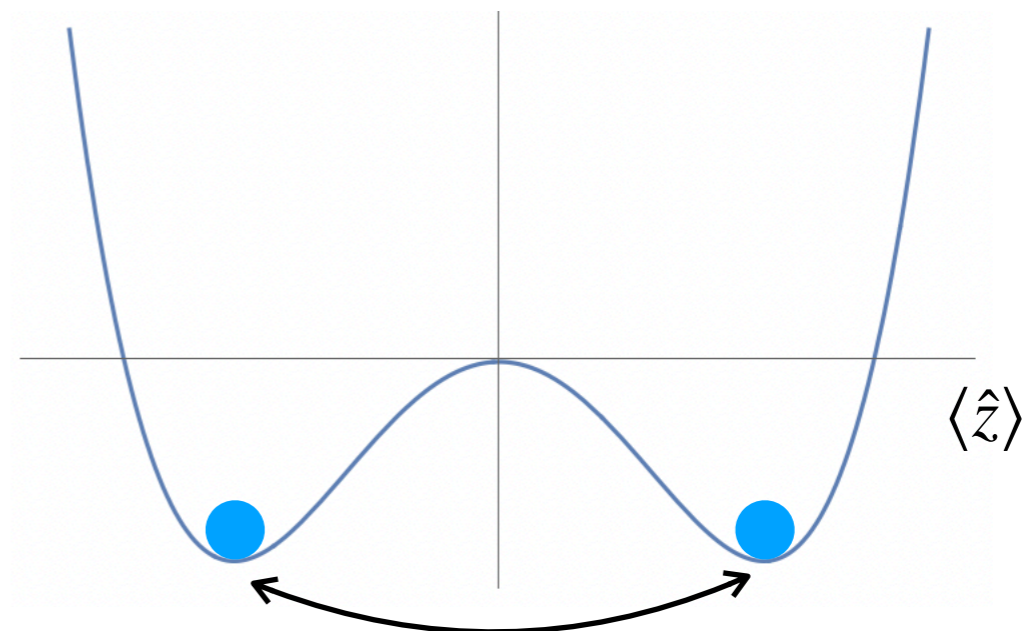
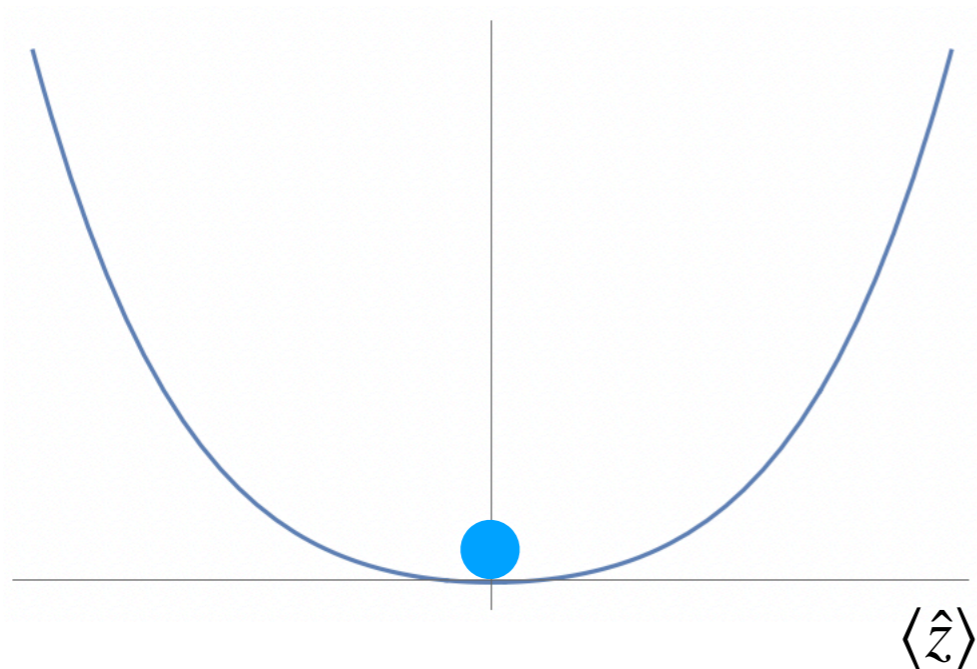
Yaozong Hu and HW  
arXiv:2302.01207

# Defining properties of phases (textbook)

## Symmetry breaking phase of discrete symmetries

- **Degenerate ground states** characterized by order parameter.
- Stable against **symmetry-preserving perturbations**.
- **The large volume limit and the vanishing field limit do not commute!**

$$\hat{H}(\epsilon) = \hat{H} - \epsilon V \hat{z} \begin{cases} \lim_{V \rightarrow \infty} \lim_{\epsilon \rightarrow +0} \langle \hat{z} \rangle = 0 \\ \lim_{\epsilon \rightarrow +0} \lim_{V \rightarrow \infty} \langle \hat{z} \rangle \neq 0 \end{cases}$$



# $N$ -state clock model (generalized transverse-field Ising model)

- $\hat{H}(g) = -\frac{1}{2} \sum_{i=0}^{L-1} \left[ (\hat{Z}_i^\dagger \hat{Z}_{i+1} + \text{h.c.}) + g(\hat{X}_i + \text{h.c.}) \right]$

- ▶  $N$  level spin:  $X = \begin{pmatrix} & & & 1 \\ 1 & & & \\ & 1 & & \\ & & \ddots & \\ & & & 1 \end{pmatrix}, Z = \begin{pmatrix} 1 & & & \\ & \omega & & \\ & & \omega^2 & \\ & & & \ddots \\ & & & & \omega^{N-1} \end{pmatrix}.$

- ▶ Symmetry:  $\hat{X} = \prod_{i=0}^{L-1} \hat{X}_i = \hat{X}_0 \hat{X}_1 \cdots \hat{X}_{L-1} \longrightarrow \hat{X} \hat{Z} \hat{X}^\dagger = \omega \hat{Z}$

- ▶ Order parameter:  $\hat{z} = \frac{1}{L} \sum_{i=0}^{L-1} \hat{Z}_i = \frac{1}{L} (\hat{Z}_0 + \hat{Z}_1 + \cdots + \hat{Z}_{L-1}).$

- Phases

- ▶  $1 \gg g \geq 0$ : Ordered phase. Spontaneous breaking of  $\mathbb{Z}_N$  symmetry.
- ▶  $1 \ll g$ : Disordered phase. No symmetries are broken.

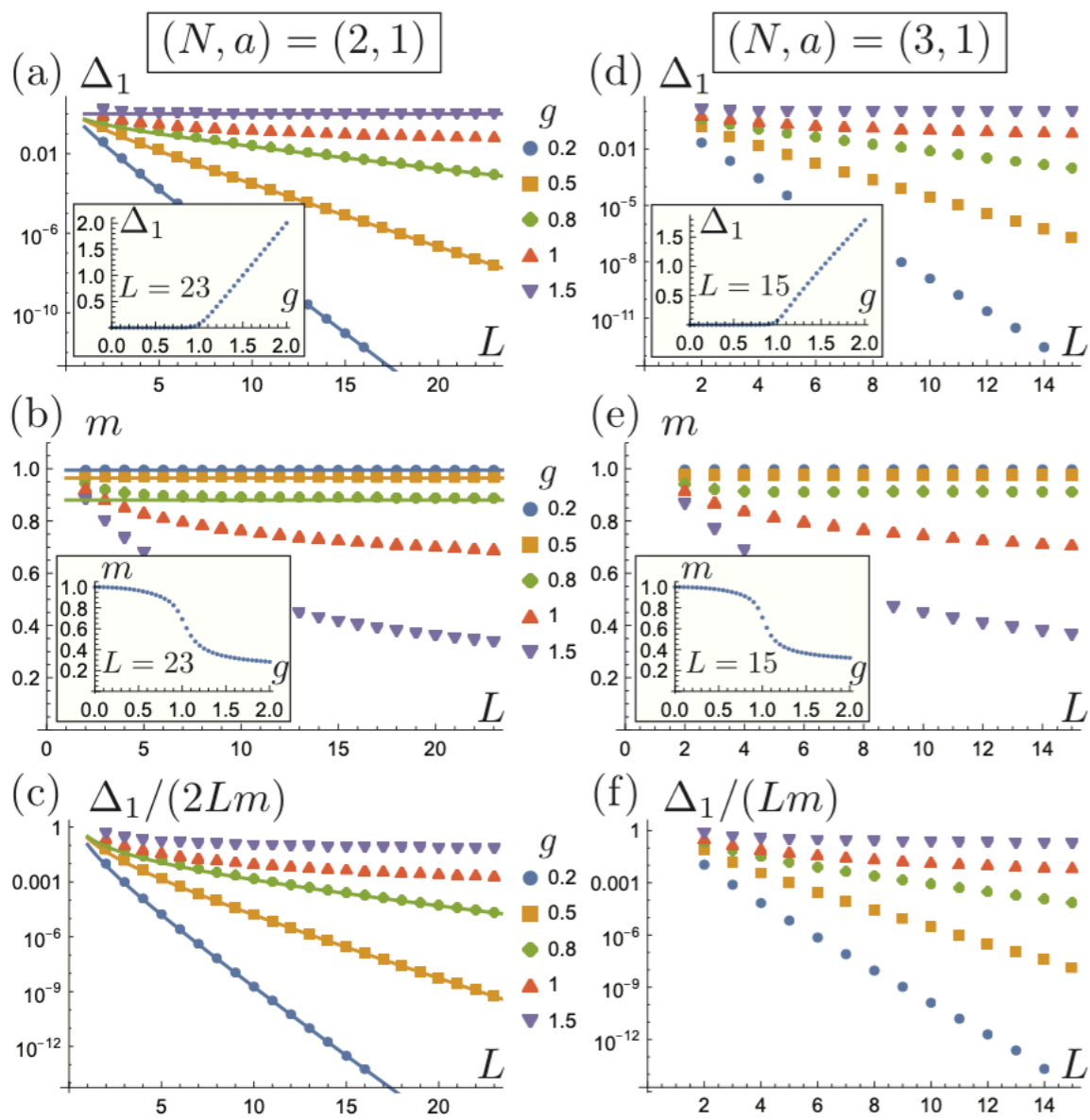


FIG. 1. Exact-diagonalization results for the standard  $N$ -state clock model with  $N = 2$  [(a)–(c)] and  $N = 3$  [(d)–(f)]. (a),(d): The energy difference  $\Delta_1 := E_1 - E_0$  between the ground state and the first excited state in a finite system. (b),(e): The long-range order  $m := \sqrt{\langle \Phi_0 | \hat{z}^\dagger \hat{z} | \Phi_0 \rangle}$ . (c),(f):  $\Delta_1/(2Lm)$  [(c)] and  $\Delta_1/(Lm)$  [(f)] that approximate  $\epsilon_*(L)$ . The insets in (a),(b),(d),(e) show the  $g$  dependence. The curves in (a)–(c) are the analytic expressions in Eqs. (20), (21), and (27).

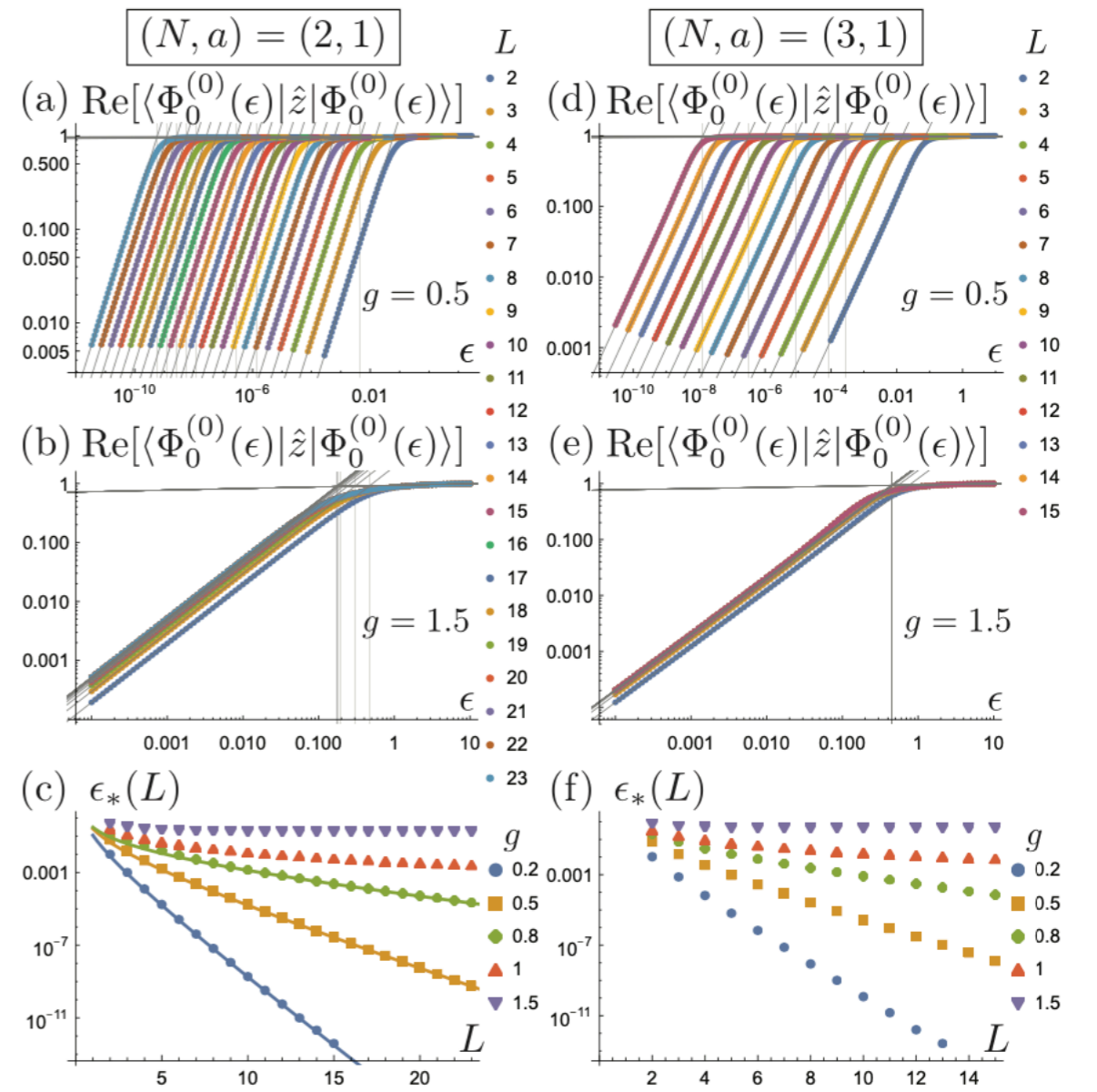


FIG. 2. Exact-diagonalization results for the standard  $N$ -state clock model with symmetry-breaking field  $\epsilon$  ( $\ell_0 = 0$ ) for  $N = 2$  [(a)–(c)] and for  $N = 3$  [(d)–(f)]. (a),(b),(d),(e): The order parameter  $\text{Re}[\langle \Phi_0^{(0)}(\epsilon) | \hat{z} | \Phi_0^{(0)}(\epsilon) \rangle]$  for  $g = 0.5$  [(a),(c)] and  $g = 1.5$  [(b),(e)]. (c),(f): The magnetic field  $\epsilon_*(L)$  at the transition point, which is determined by the crossing point of two fitting lines (gray lines) in the log-log plot of  $\text{Re}[\langle \Phi_0^{(0)}(\epsilon) | \hat{z} | \Phi_0^{(0)}(\epsilon) \rangle]$ . The curves in (c) are the analytic expression in Eq. (27).

$$\hat{H}^{(0)}(\epsilon) = \hat{H} - \frac{1}{2}\epsilon L (\hat{z} + \text{h.c.})$$

# Generalized $N$ -state clock model

- $$\hat{H}(g) = -\frac{1}{2} \sum_{i=0}^{L-1} \left[ (\hat{Z}_i^{-a} \hat{Z}_{i+1} + \text{h.c.}) + g(\hat{X}_i + \text{h.c.}) \right]$$

- ▶  $N$  level spin:  $X = \begin{pmatrix} & & & 1 \\ 1 & & & \\ & 1 & & \\ & & \ddots & \\ & & & 1 \end{pmatrix}, Z = \begin{pmatrix} 1 & & & \\ & \omega & & \\ & & \omega^2 & \\ & & & \ddots \\ & & & & \omega^{N-1} \end{pmatrix}.$

- ▶ Symmetry:  $\hat{X} = \prod_{i=0}^{L-1} \hat{X}_i^{a^i} = \hat{X}_0 \hat{X}_1^a \cdots \hat{X}_{L-1}^{a^{L-1}}$  if  $a^L = 1 \pmod N$ .

- ▶ Order parameter:  $\hat{z} = \frac{1}{L} \sum_{i=0}^{L-1} \hat{Z}_i^{a^{L-i}} = \frac{1}{L} (\hat{Z}_0^{a^L} + \hat{Z}_1^{a^{L-1}} + \cdots + \hat{Z}_0^a).$

- ▶  $\hat{X} \hat{z} \hat{X}^\dagger = \omega \hat{Z}$  if  $a^L = 1 \pmod N$

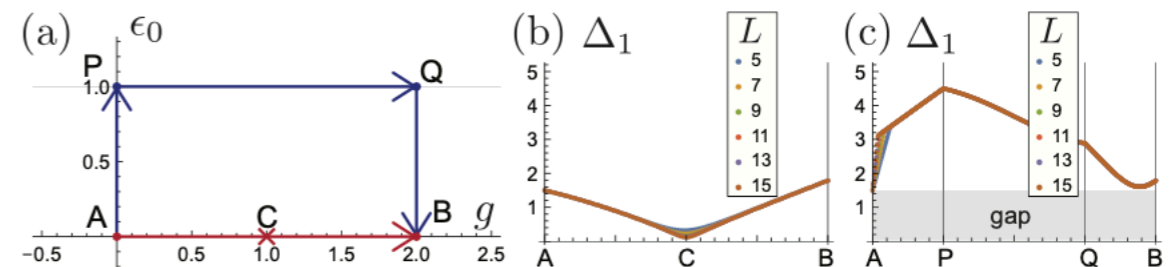
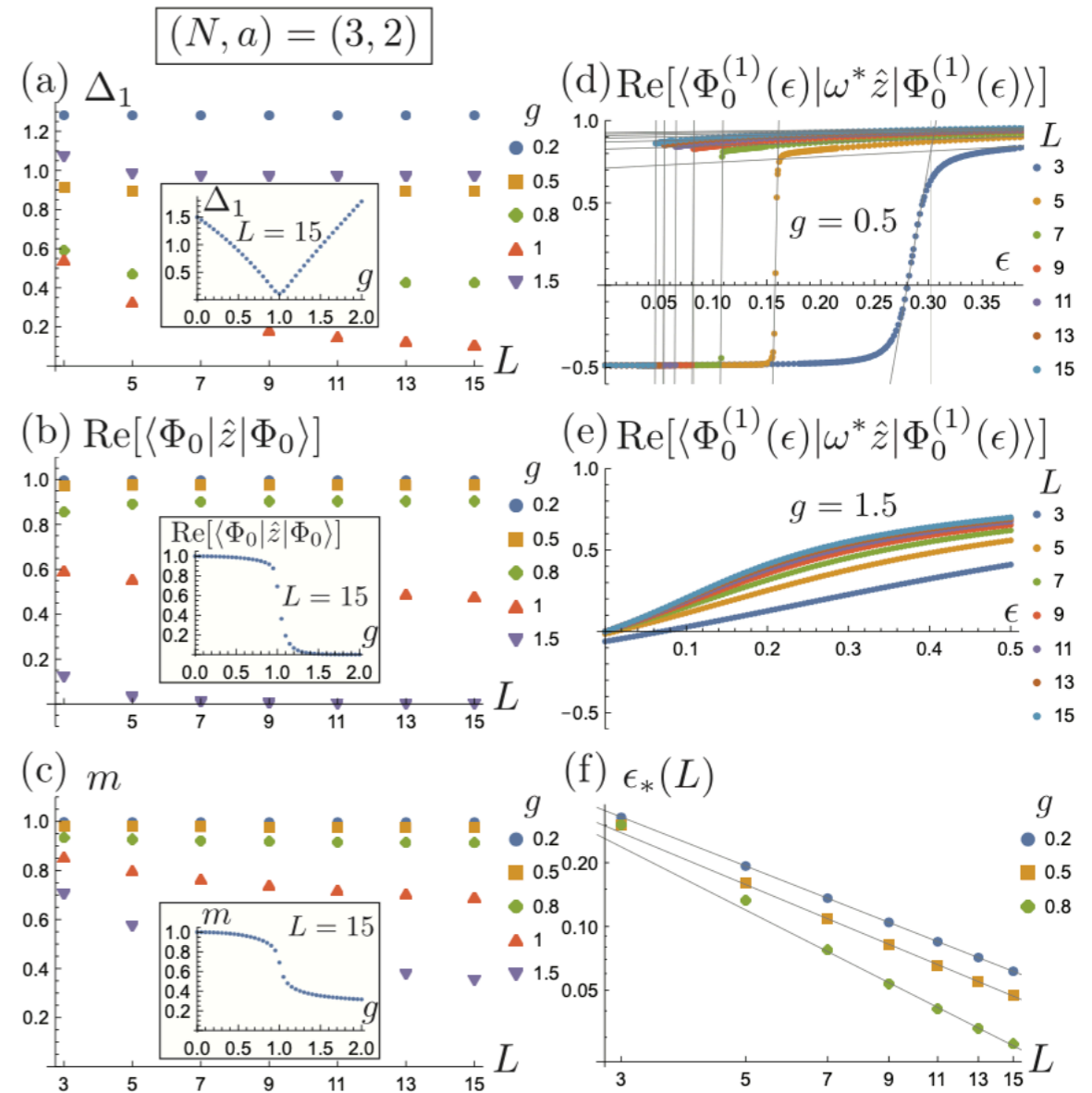


# Example: $(N, a) = (3, 2)$

- $\mathbb{Z}_N$  symmetry is absent.
- No ground state degeneracy.
- Still a gap closing at  $g = 1$  !
- Still the large  $L$  limit and vanishing  $\epsilon$  limit do not commute!!

$$\hat{H}^{(\ell_0)}(\epsilon) = \hat{H} - \frac{1}{2}\epsilon L (\omega^{-\ell_0} \hat{z} + \text{h.c.})$$

- Spontaneous symmetry breaking without exact symmetry or ground state degeneracy...



# Summary 1

Ref: HW, M. Cheng, Y. Fuji,  
arXiv:2211.00299

- We introduced a family of  $\mathbb{Z}_N$  toric code with an integer parameter  $a$ .
  - ▶  $a = 1$ : the standard choice.
  - ▶  $a = N - 1$ : discussed previously but GSD on torus was not investigated.
  - ▶  $a = 2, 3, \dots, N - 1$  showed interesting behavior.
- $N_a =$  the largest divisor of  $N$  that is coprime to  $a$ .
  - ▶  $N_a \neq 1$  if  $a$  is not a multiple of  $\text{rad}(N)$   $\rightarrow$  Topologically-ordered phases
  - ▶  $N_a = 1$  if  $a$  is a multiple of  $\text{rad}(N)$   $\rightarrow$  (S)SPT phases
- GSD  $N_{\text{deg}} = d_a^2$  with  $d_a = \text{gcd}(a^{L_1} - 1, a^{L_2} - 1, N)$ .
- Anyons:  $N_a^2$  species characterized by the electric charge  $q_e = 1, 2, \dots, N_a$  and the magnetic charge  $q_m = 1, 2, \dots, N_a$ .  
Translation  $T_i : (q_e, q_m) \rightarrow (aq_e, a^{-1}q_m)$ .

# Summary 2

Ref: HW, M. Cheng, Y. Fuji,  
arXiv:2211.00299

## Symmetry-protected topological/trivial phases

- **Unique GS** with excitation gap **for any sequence of  $L_1$  and  $L_2$ .**
- Stable against **symmetry-preserving perturbations.**
- **Can be connected to product states** by local unitaries if symmetries are broken.  
(Exceptions: 1D Kitaev chain, integer Quantum Hall systems, ...)
- **Trivial excitations** in the bulk.  
Anomalous states on symmetry-preserving boundaries.

## Topologically-ordered phases

- **Degenerate GS** with excitation gap **for a sequence of  $L_1$  and  $L_2$ .**  
Degeneracy does not originate from spontaneous breaking of symmetries.
- Stable against **any local perturbations.**
- **Cannot be connected to product state** by any local unitaries.  
Topological entanglement entropy.
- **Fractionalized (anyonic) excitations** in the bulk. ~~Anyons must appear in pairs.~~

# Summary 3

## Symmetry breaking phase of discrete symmetries

- Degenerate ground states for a sequence of  $L_1$  and  $L_2$  characterized by order parameter.
- Stable against symmetry-preserving perturbations.
- The large volume limit and the vanishing field limit do not commute!

$$\hat{H}(\epsilon) = \hat{H} - \epsilon V \hat{z}$$

$$\lim_{V \rightarrow \infty} \lim_{\epsilon \rightarrow +0} \langle \hat{z} \rangle = 0$$

$$\lim_{\epsilon \rightarrow +0} \lim_{V \rightarrow \infty} \langle \hat{z} \rangle \neq 0$$

