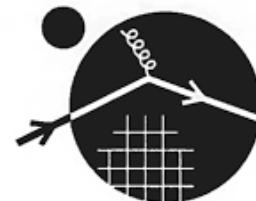


# Probing the EoS of dense matter with fluctuation observables in heavy-ion collisions

Volodymyr Vovchenko (University of Houston / INT,UW)

*INT Workshop INT-22-84W “Dense Nuclear Matter Equation of State from Heavy-Ion Collisions”*

September 7, 2022



INSTITUTE for  
NUCLEAR THEORY



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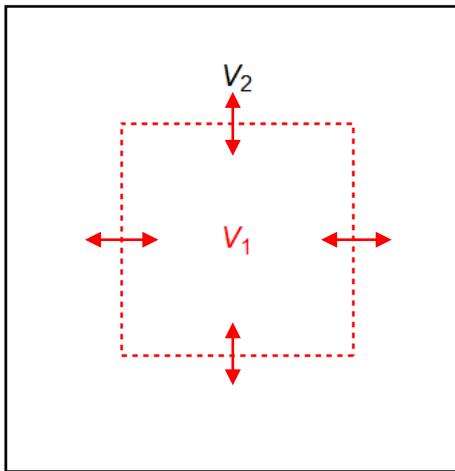
# Outline

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- Cumulants of event-by-event fluctuations and statistical mechanics
  - Degrees of freedom
  - QCD critical point
  - Speed of sound
  - Density-dependent mean field
- Experimental results
- Towards fluctuations in transport/molecular dynamics

# Event-by-event fluctuations and statistical mechanics

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*Cumulant generating function*

$$K_N(t) = \ln \langle e^{tN} \rangle = \sum_{n=1}^{\infty} \kappa_n \frac{t^n}{n!}$$

*Grand partition function*

$$\ln Z^{\text{gce}}(T, V, \mu) = \ln \left[ \sum_N e^{\mu N / T} Z^{\text{ce}}(T, V, N) \right]$$

$$\kappa_n \propto \frac{\partial^n (\ln Z^{\text{gce}})}{\partial \mu^n}$$

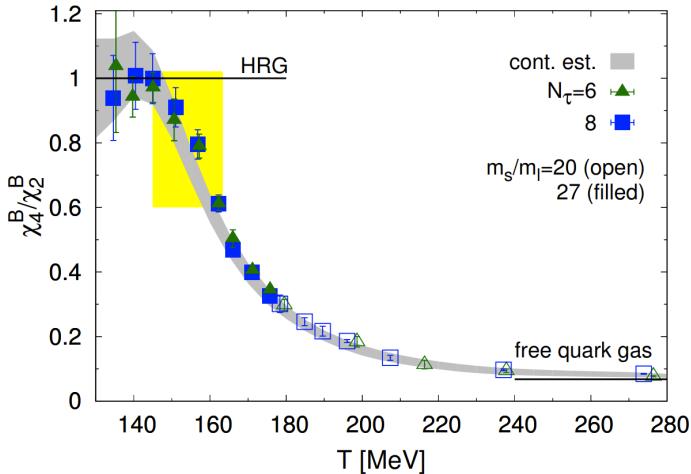
*Cumulants measure chemical potential derivatives of the (QCD) equation of state*

# Cumulants and degrees of freedom

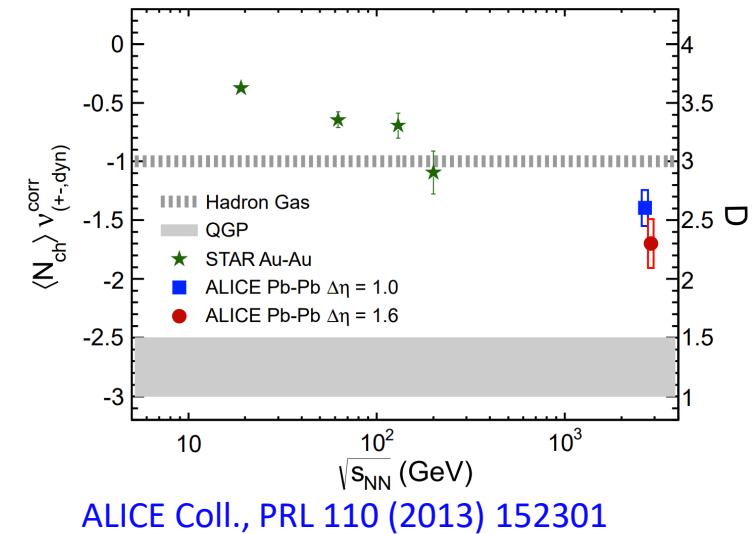
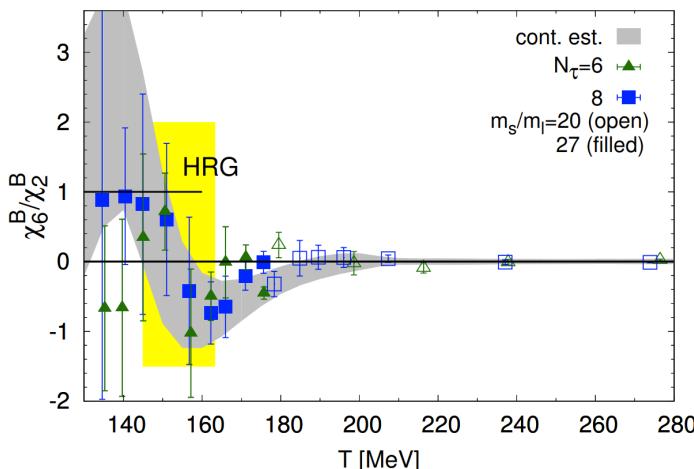
- Sensitive to QCD transition and change of **DoFs**
- Suppression in QGP due to fractional charge carriers

$$\langle \delta B^2 \rangle \sim \langle B_i^2 \rangle \quad \langle \delta B^4 \rangle \sim \langle B_i^4 \rangle$$

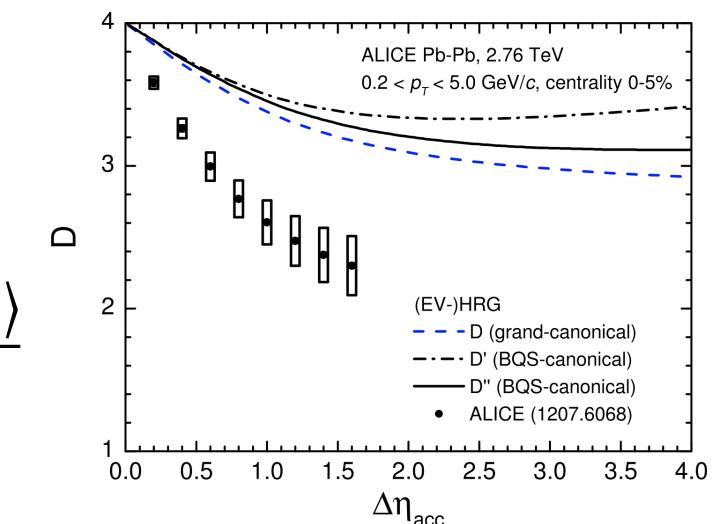
Jeon, Koch, PRL 85 (2000) 2076;  
Asakawa, Heinz, Muller, PRL 85 (2000) 2072



HotQCD Coll., Phys. Rev. D 95 (2017) 054504



ALICE Coll., PRL 110 (2013) 152301

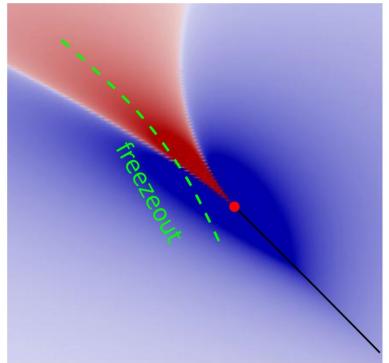


VV, Koch, Phys. Rev. C 95 (2017) 054504

*Cumulants probe the degrees of freedom of QCD matter*

# Cumulants and QCD critical point

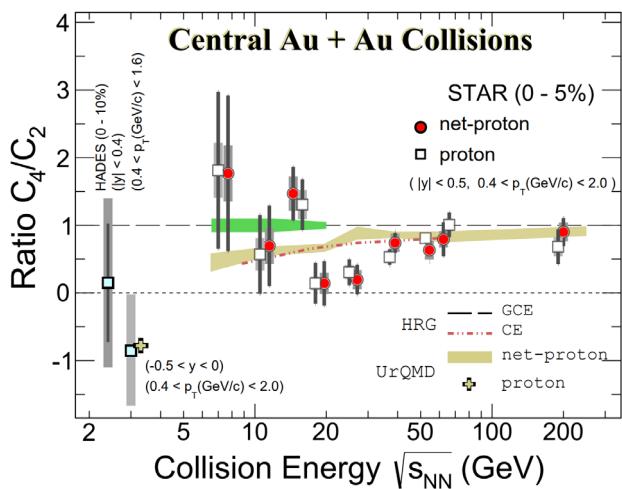
- (QCD) critical point – large correlation length, critical fluctuations of baryon number



M. Stephanov, PRL '09, '11  
Energy scans at RHIC (STAR)  
and CERN-SPS (NA61/SHINE)

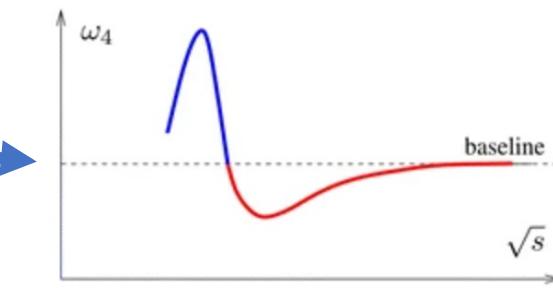
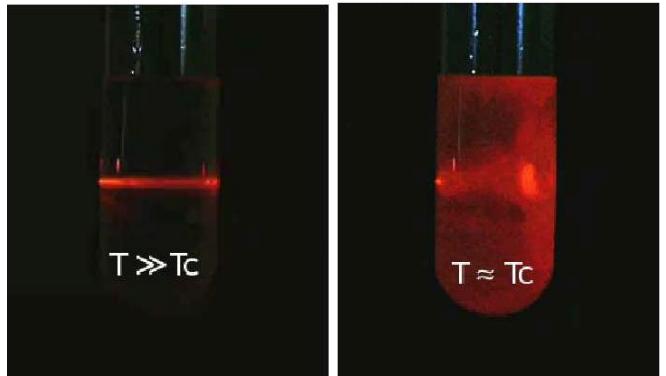
$$\kappa_2 \sim \xi^2, \quad \kappa_3 \sim \xi^{4.5}, \quad \kappa_4 \sim \xi^7$$
$$\xi \rightarrow \infty$$

Looking for enhanced fluctuations  
and non-monotonicities



STAR Coll., PRL 126, 092301 (2021); PRL 128, 202303 (2022)

Critical opalescence



M. Stephanov, Phys. Rev. Lett. (2011)

*Cumulants probe the QCD critical point*

# Cumulants and the speed of sound

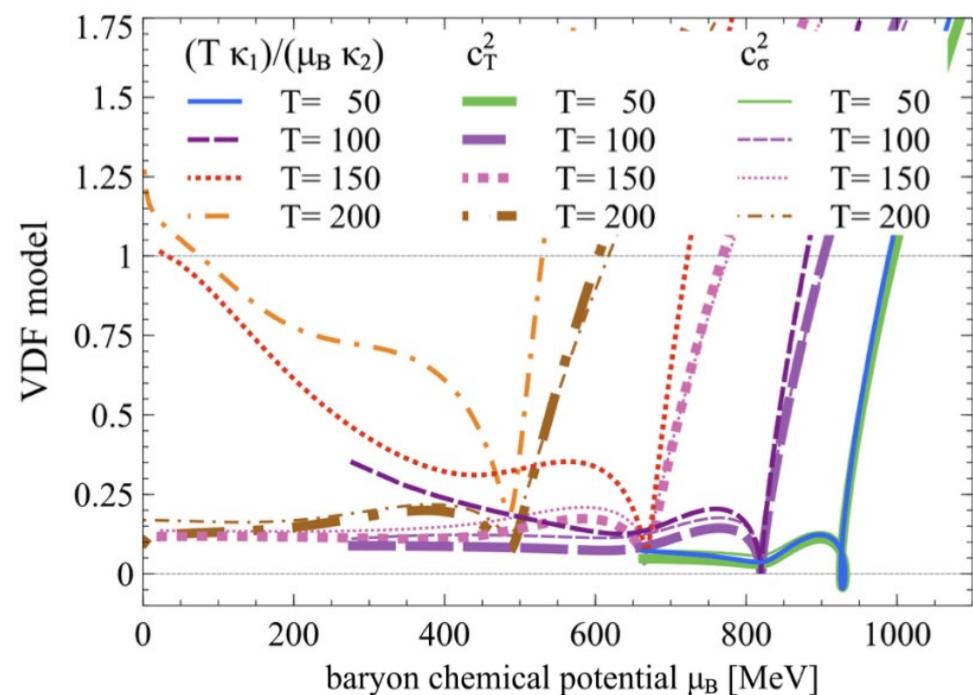
- Isothermal speed of sound,  $c_T^2 = \left( \frac{dP}{d\varepsilon} \right)_T$

$$c_T^2 = \left( \frac{dP}{d\varepsilon} \right)_T = \frac{\left( \frac{dP}{d\mu_B} \right)_T}{\left( \frac{d\varepsilon}{d\mu_B} \right)_T} = \frac{\left( \frac{dP}{d\mu_B} \right)_T}{\mu_B \left( \frac{d^2P}{d\mu_B^2} \right)_T + T \left( \frac{ds}{dn_B} \right)_T}$$

$$= \frac{T}{\mu_B} \frac{\kappa_1^B}{\kappa_2^B} \frac{1}{1 + \frac{T}{\mu_B} \left( \frac{ds}{dn_B} \right)_T}$$

Dense matter,  $\frac{\mu_B}{T} \gg 1$ :

$$c_T^2 \approx \frac{T}{\mu_B} \frac{\kappa_1^B}{\kappa_2^B} \approx c_\sigma^2$$



Derivatives wrt to  $n_B$  through high-order cumulants

Sorensen, Oliinychenko, Koch, McLellan, PRL 127, 042303 (2021)

*Cumulants can measure the speed of sound in dense matter*

# Cumulants and the density-dependent mean field

- Density-dependent **mean field**  $\mu_B \rightarrow \mu_B - U(n_B)$

$$U(n_B) = \frac{d [n_B V(n_B)]}{dn_B}$$

For a Maxwell-Boltzmann gas

$$\frac{\kappa_2^B}{\kappa_1^B} = \frac{1}{1 + \frac{n_B}{T} U'(n_B)}$$

Higher-order cumulants probe higher-order derivatives of  $U(n_B)$

Momentum-dependent interactions(?) ->  
can be particularly sensitive due to  
momentum cuts in experiments

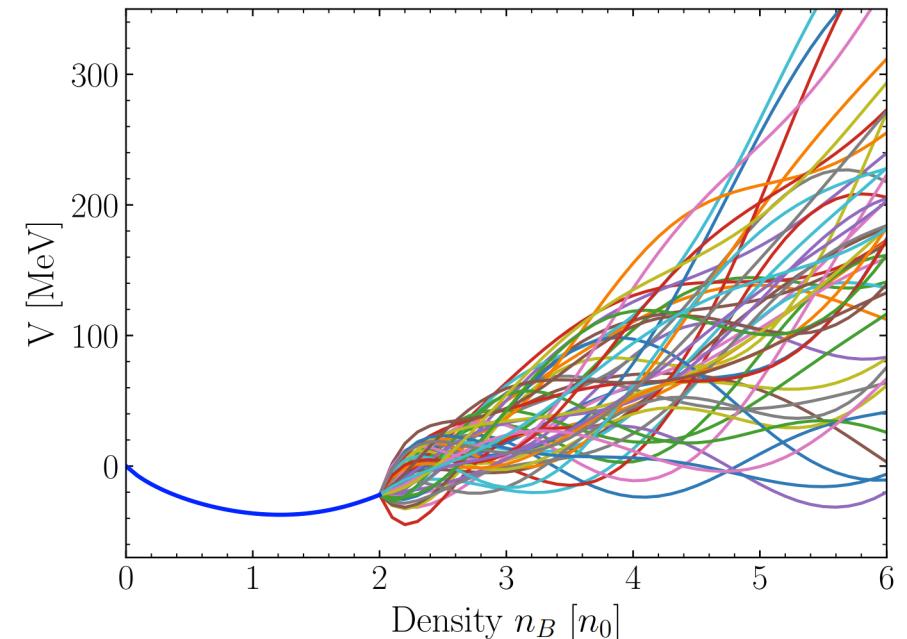


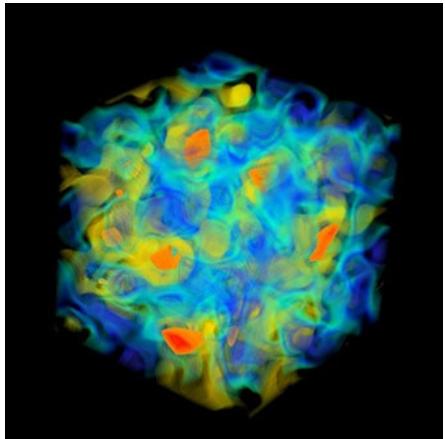
Figure from M. Kuttan, J. Steinheimer, K. Zhou, H. Stoecker, 2211.11670

*Cumulants probe the density-dependence and momentum-dependence of single particle potential*

# Theory vs experiment: Challenges for fluctuations

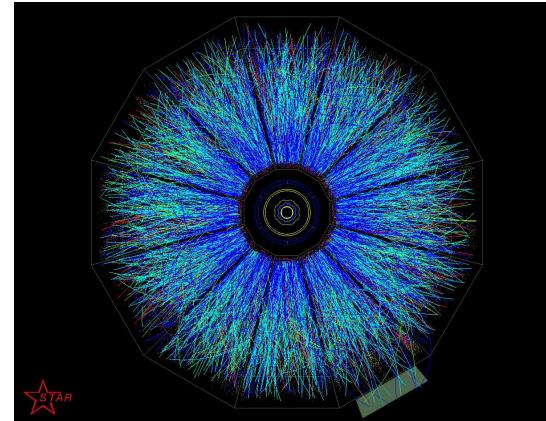
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## Theory



© Lattice QCD@BNL

## Experiment



STAR event display

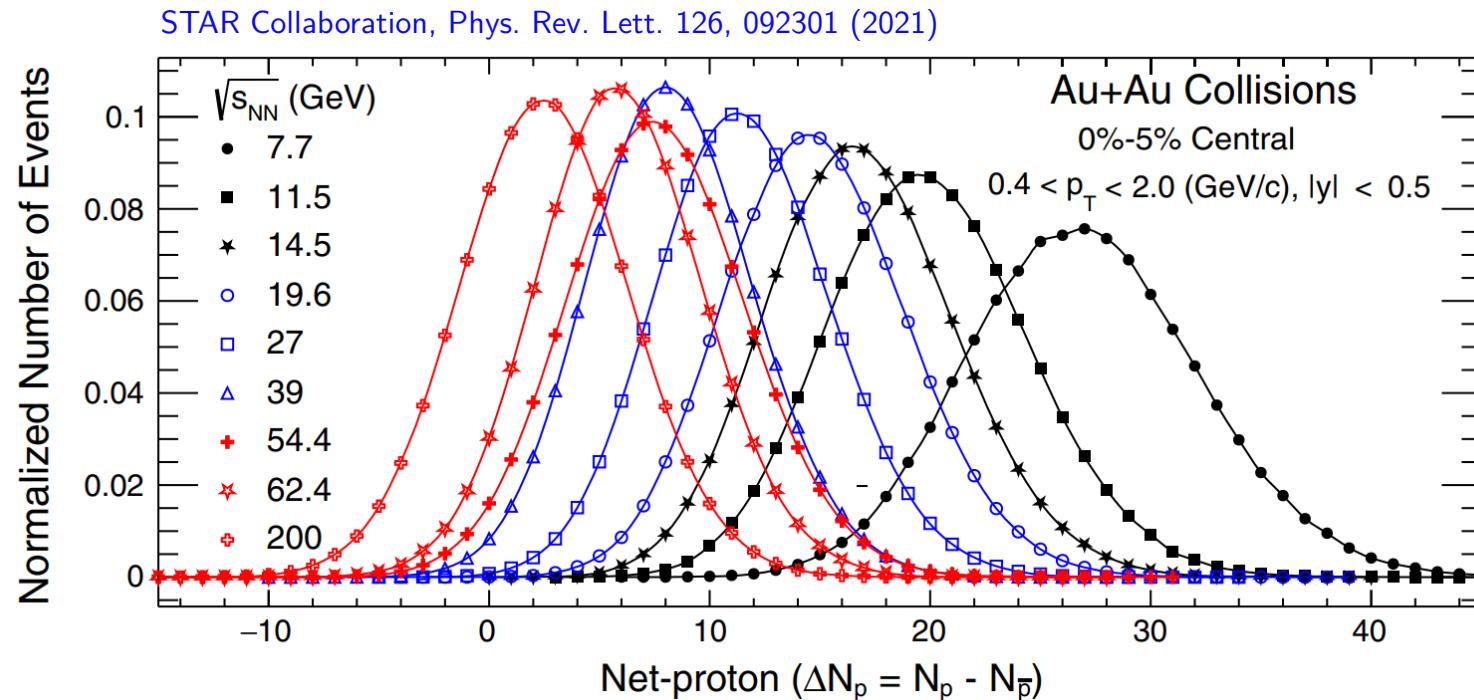
- Coordinate space
- In contact with the heat bath
- Conserved charges
- Uniform
- Fixed volume
- Momentum space
- Expanding in vacuum
- Non-conserved particle numbers
- Inhomogenous
- Fluctuating volume/centrality selection

*Need to implement fluctuations in a dynamical setup*

# Measuring cumulants in heavy-ion collisions

Count the number of events with given number of e.g. (net) protons

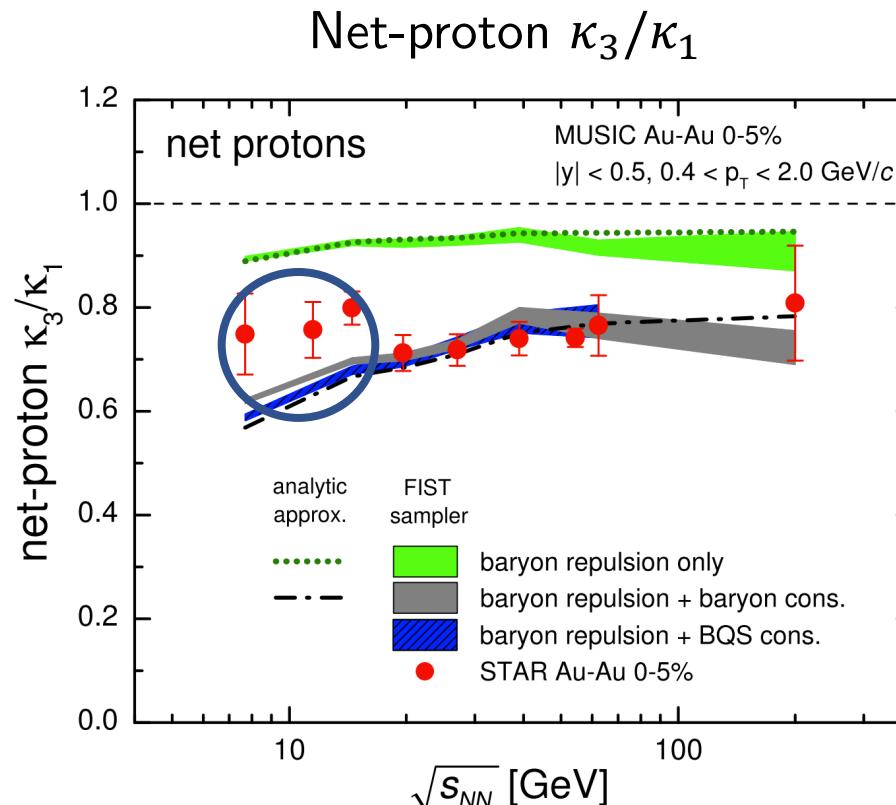
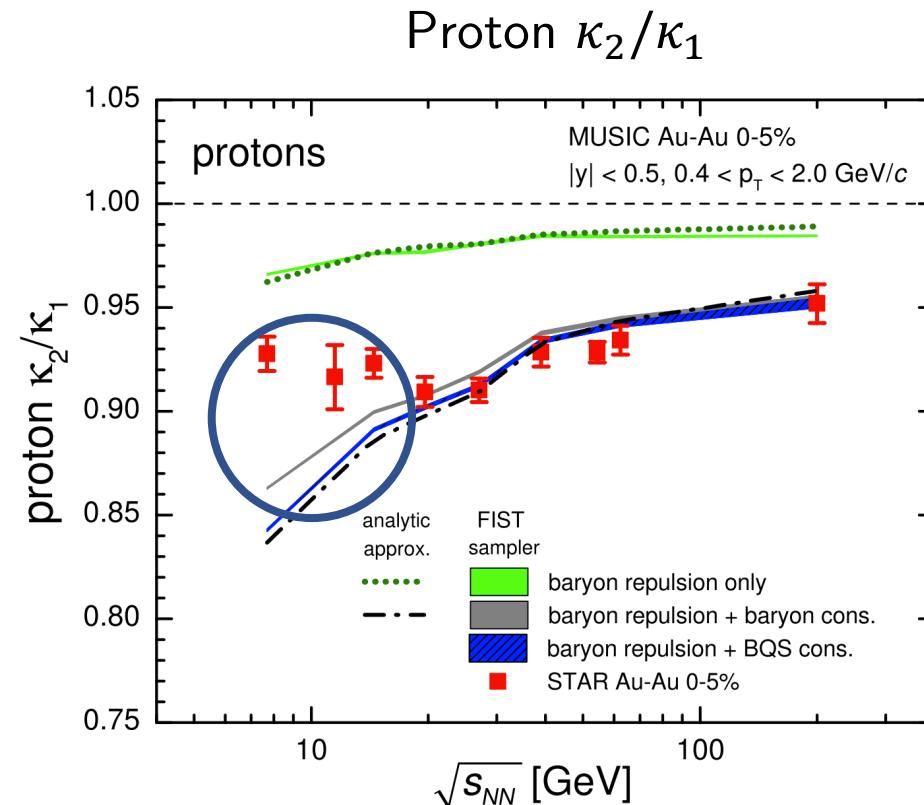
$$P(\Delta N_p) \sim \frac{N_{\text{events}}(\Delta N_p)}{N_{\text{events}}^{\text{total}}}$$



Cumulants are extensive,  $\kappa_n \sim V$ , use ratios to cancel out the volume

$$\frac{\kappa_2}{\langle N \rangle}, \quad \frac{\kappa_3}{\kappa_2}, \quad \frac{\kappa_4}{\kappa_2}$$

# RHIC-BES: Net proton cumulant ratios (MUSIC)



- Data at  $\sqrt{s_{NN}} \geq 20 \text{ GeV}$  consistent with non-critical physics (BQS conservation and repulsion)
- Effect from baryon conservation is larger than from repulsion
- Excess of fluctuations in data at  $\sqrt{s_{NN}} < 20 \text{ GeV}$  – *hint of attractive interactions?*

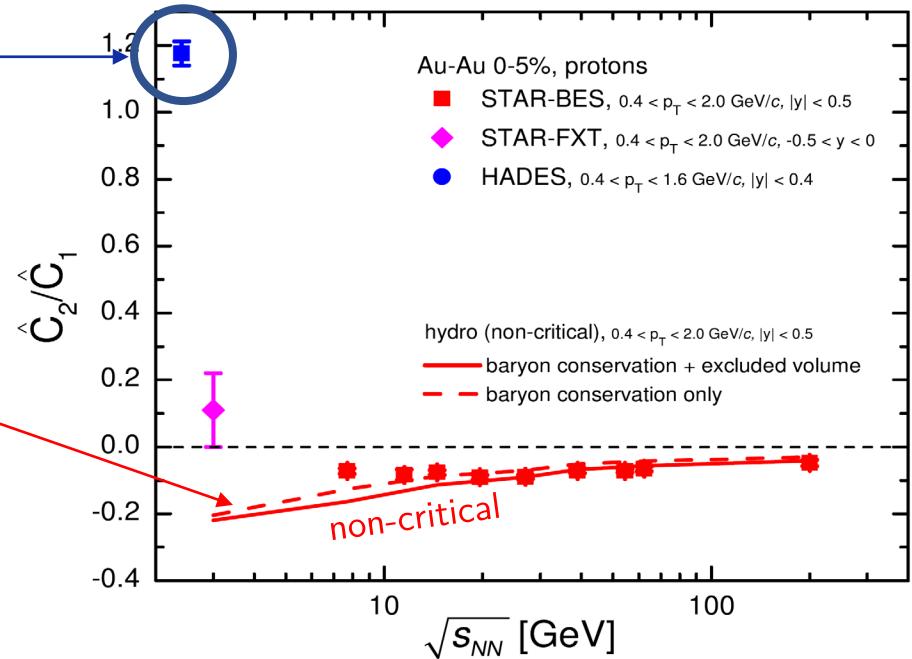
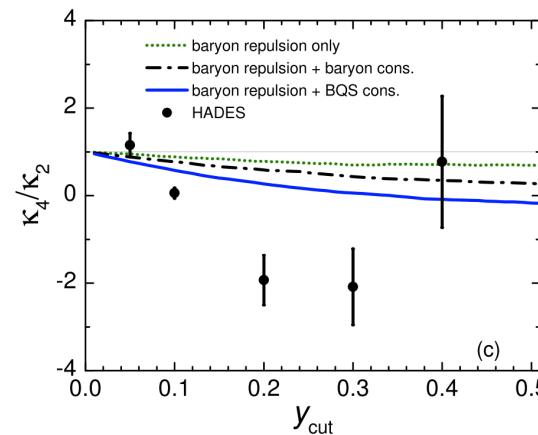
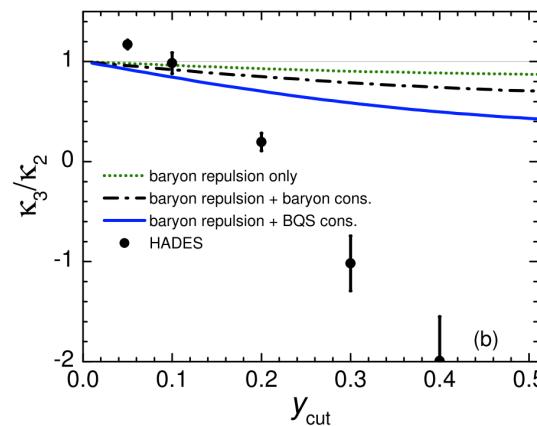
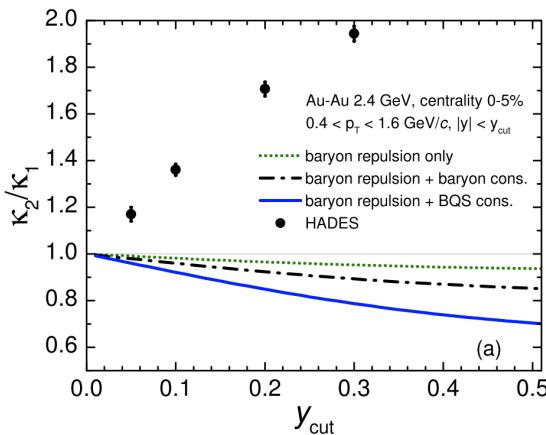
# Lower energies $\sqrt{s_{NN}} \leq 7.7$ GeV

- Intriguing hint from HADES @  $\sqrt{s_{NN}} = 2.4$  GeV:  
huge excess of two-proton correlations!

[HADES Collaboration, Phys. Rev. C 102, 024914 (2020)]

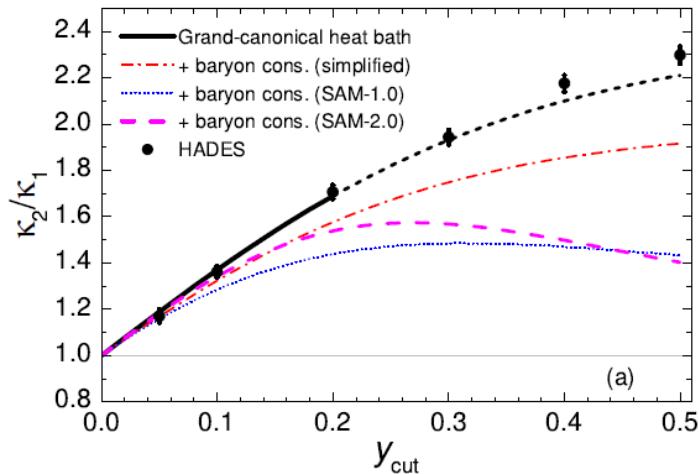
- No change of trend in the non-critical reference

- Additional mechanisms:
  - Nuclear liquid-gas transition
  - Light nuclei formation

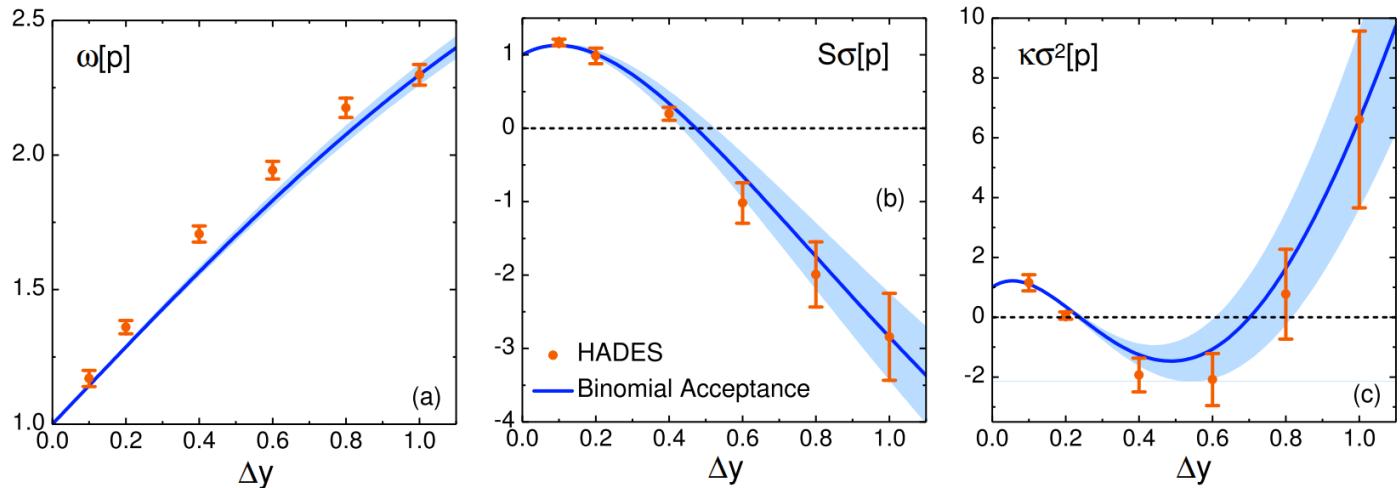


# A closer look at the HADES data

Fireball model (Siemens-Rasmussen)



Global proton number fluct. + binomial acceptance

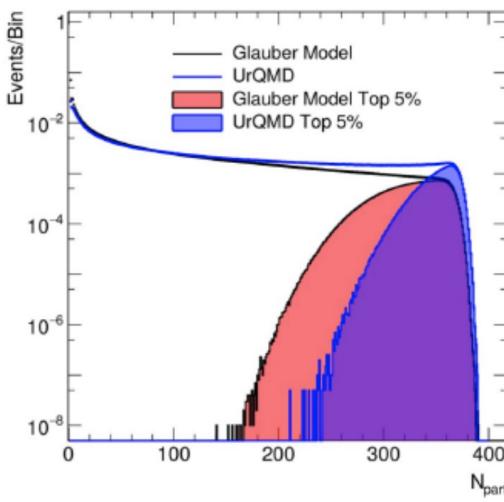
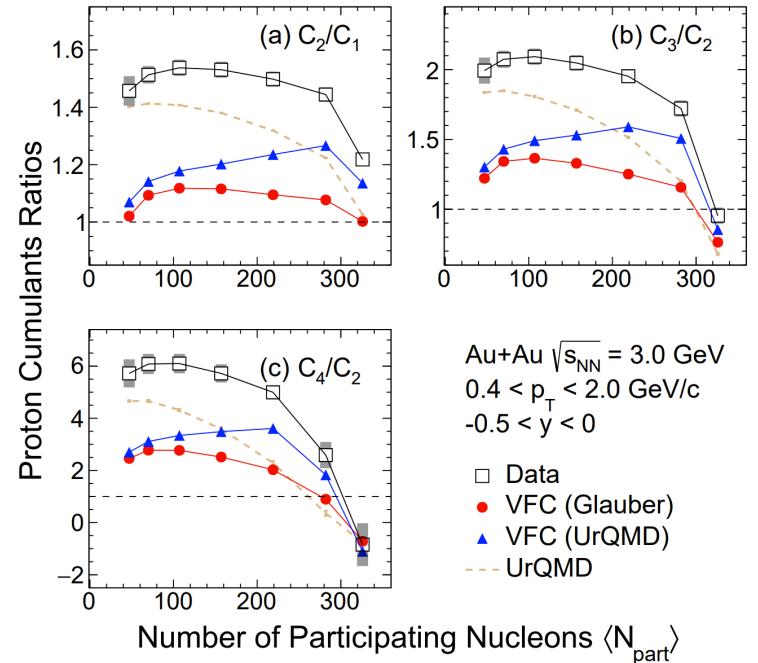


VV, Koch, Phys. Lett. B 833, 137368 (2022)

Savchuk, Poberezhnyuk, Goresstein, Phys. Lett. B 835, 137540 (2022)

- Large non-Gaussian proton number fluctuations in acceptance  $|y|<0.5$ 
  - Challenging to understand in terms of baryon conservation (already on  $\kappa_2$  level)
- Acceptance dependence can nicely be fitted by folding with binomial
  - Likely weak correlations in momentum space

# STAR-FXT 3 GeV



STAR Collaboration, Phys. Rev. Lett. 128 (2022) 202303

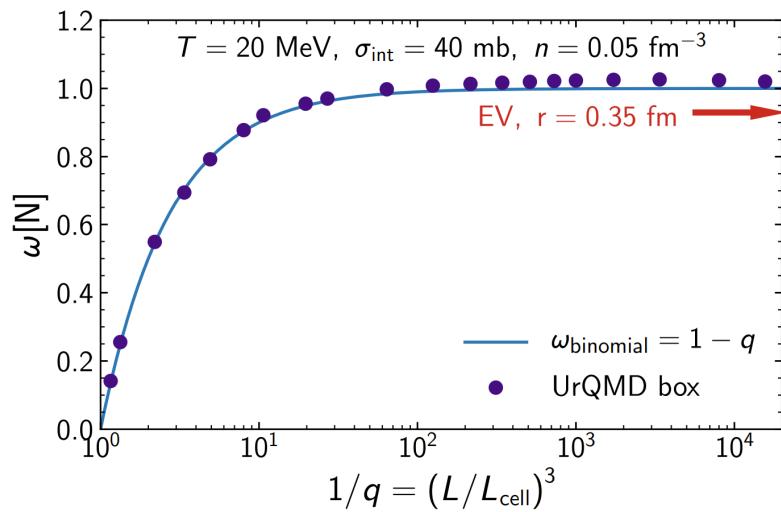
- Volume fluctuations/centrality selection appear to play an important role
  - UrQMD is useful for understanding basic systematics associated with it
- Indications for enhanced scaled variance,  $\kappa_2/\kappa_1 > 1$
- $\kappa_4/\kappa_2$  negative and described by UrQMD (baryon conservation), note  $-0.5 < y < 0$  instead of  $|y| < 0.5$

Proper understanding of  $\kappa_2/\kappa_1 > 1$  in both HADES and STAR-FXT is missing

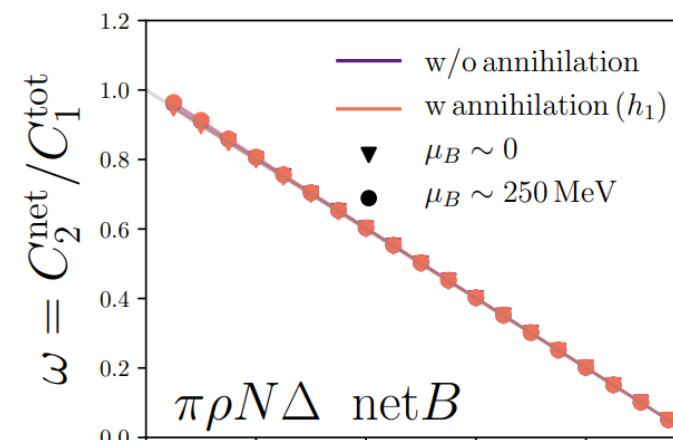
# Fluctuations in hadronic transport/molecular dynamics

## Existing hadronic cascades

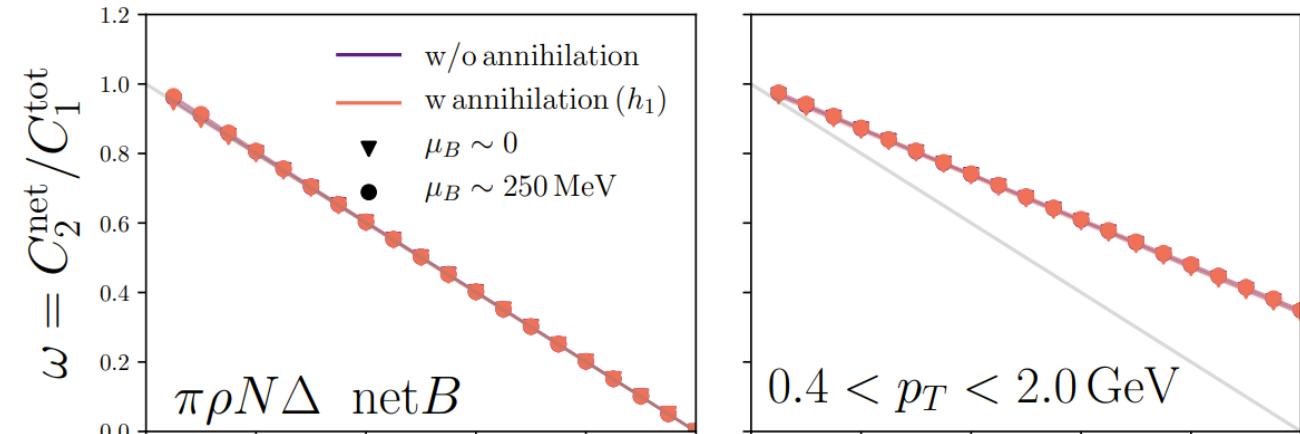
- Conserve baryon number/charge/strangeness
- Allow for centrality selection/volume fluctuations/acceptance cuts like in experiment
- Provide a baseline but do not probe the nuclear EoS



A. Motornenko et al., J.Phys.G 45 (2018) 035101



J. Hammelmann, H. Elfner, 2202.11417



Can we use transport to model non-trivial fluctuations due to the EoS?

# Example: Lennard-Jones fluid

Kuznetsov, Savchuk, Gorenstein, Koch, VV, Phys. Rev. C 105, 044903 (2022)

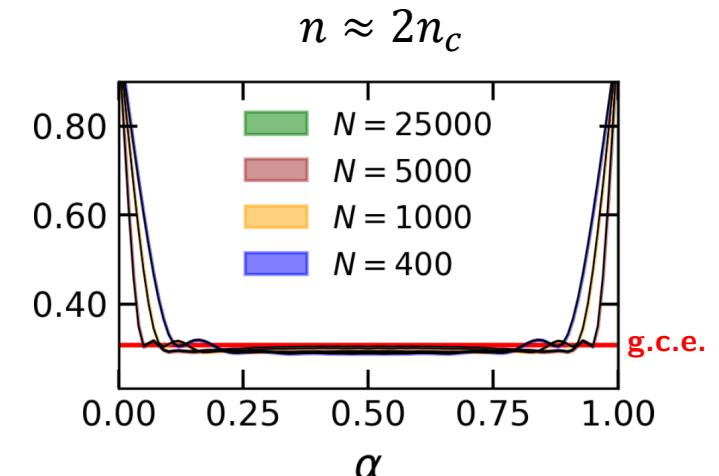
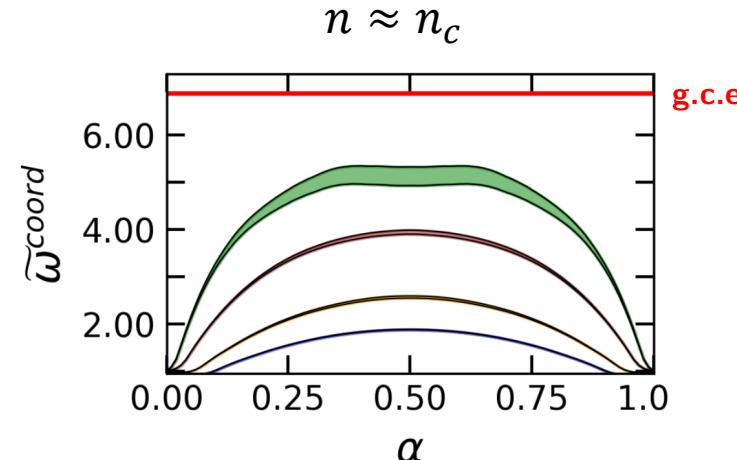
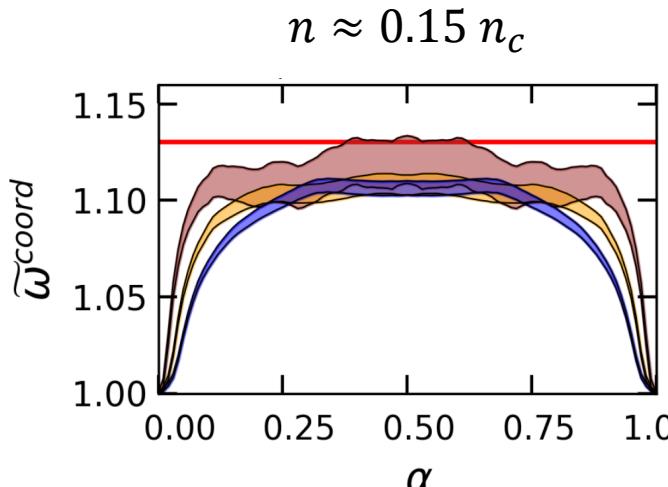
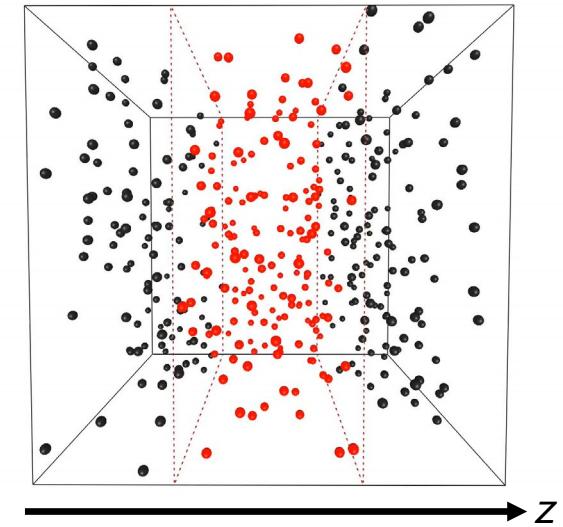
Classical molecular dynamics simulations\* of a **Lennard-Jones fluid** along the (super)critical isotherm of the liquid-gas transition

**Microcanonical** (const.  $EVN$ ) ensemble with periodic boundary conditions

Scaled variance of conserved particle number inside coordinate space subvolume  $|z| < z^{max}$  as time average

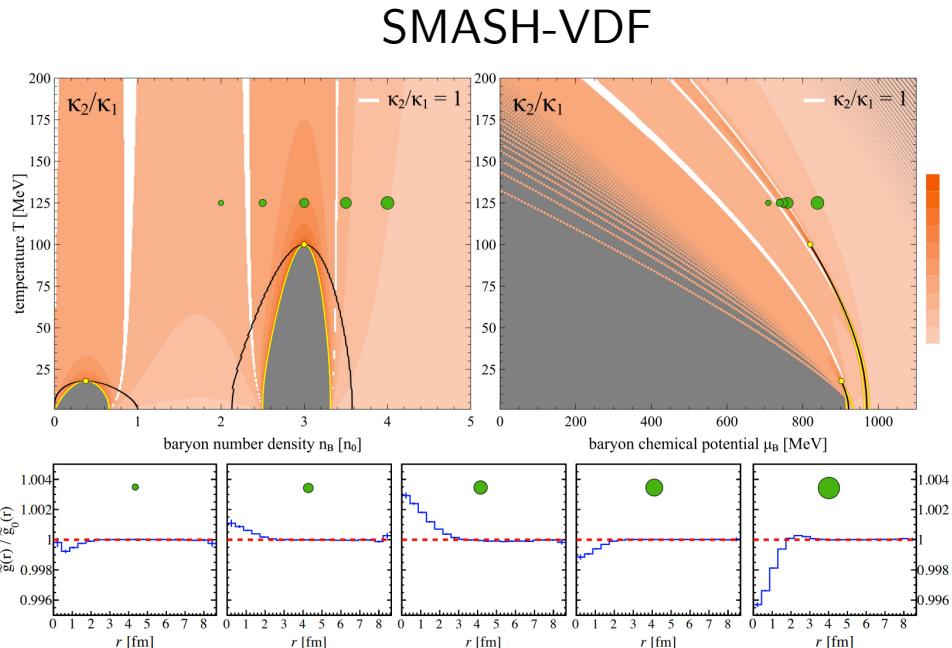
$$\tilde{\omega}^{\text{coord}} = \frac{1}{1-\alpha} \frac{\langle N^2 \rangle - \langle N \rangle^2}{\langle N \rangle}$$

Stat. mech. expectation:  $\tilde{\omega}^{\text{coord}} \xrightarrow{\langle N \rangle \rightarrow \infty} \omega^{\text{gce}}$

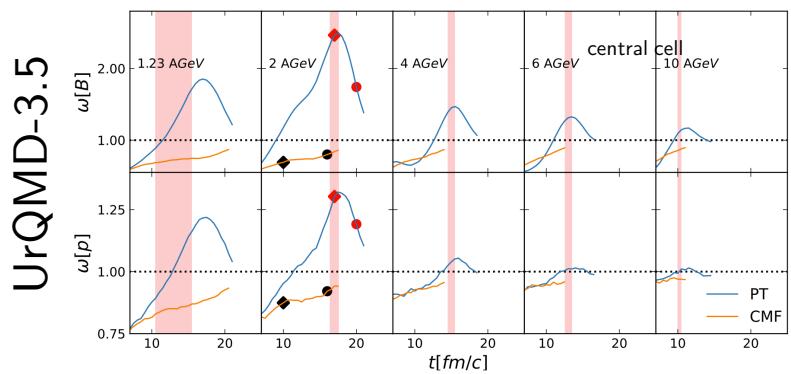


\*Molecular dynamics code from <https://github.com/vlvoch/lennard-jones-cuda>

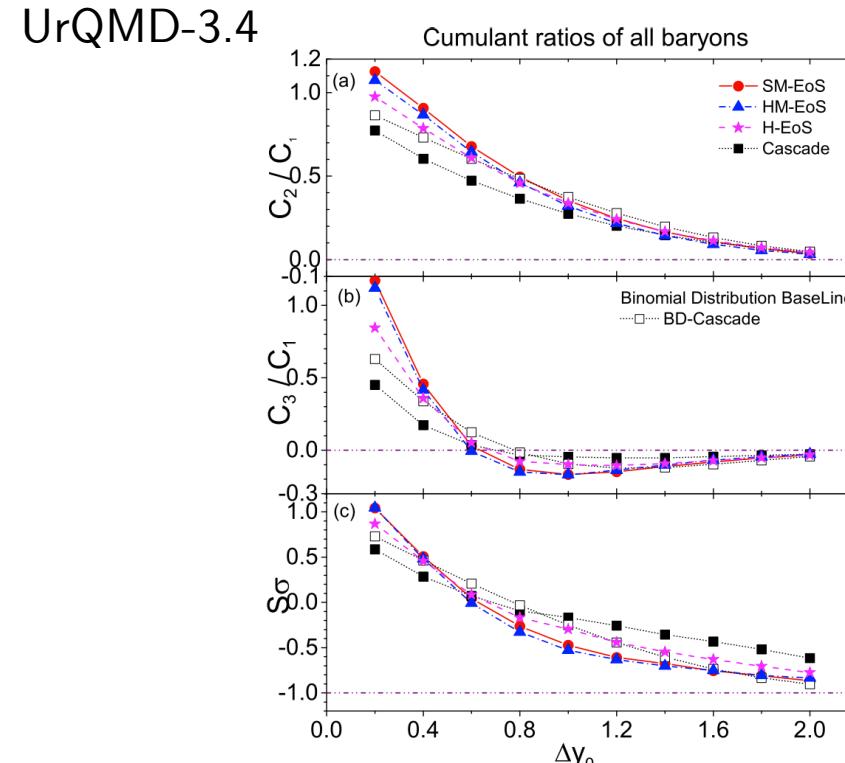
# SMASH/UrQMD with density-dependent EoS



A. Sorensen, V. Koch, Phys. Rev. C 104 (2021) 034904



O. Savchuk et al., 2211.13200



Y. Ye, et al., Phys. Rev. C 98 (2018) 054620

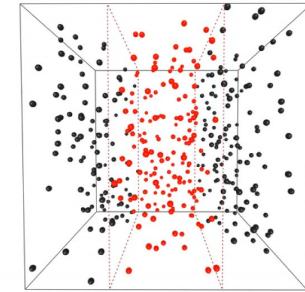
Qualitative behaviors seem consistent with expectations, but do these implementations meet a quantitative test?

$$\frac{1}{1-\alpha} \frac{\langle N^2 \rangle - \langle N \rangle^2}{\langle N \rangle} = \frac{1}{1 + \frac{n_B}{T} U'(n_B)}$$

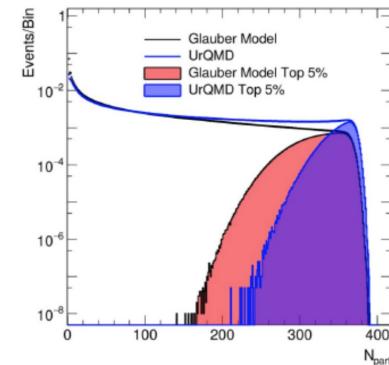
# Probing the EoS of dense matter with (proton) cumulants

## Possible road map

1. Implement the given EoS/interactions into transport/molecular dynamics (SMASH-VDF, UrQMD-3.5, etc.)
2. Box simulations to reproduce the EoS and GCE fluct.
3. Event-by-event simulations of HICs
  - Momentum cuts
  - Same centrality selection as in experiment (e.g. doing  $b < 3$  fm is *not enough*)
  - Light nuclei/fragmentation
  - If needed, mixed phase dynamics
4. Ultimately, Bayesian analysis(?)



$$\frac{1}{1-\alpha} \frac{\langle N^2 \rangle - \langle N \rangle^2}{\langle N \rangle} = \frac{1}{1 + \frac{n_B}{T} U'(n_B)}$$



# Connection to workshop questions

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- What other observables could enable the extraction of the EOS?
  - Cumulants of proton number are sensitive to density and momentum dependence of nuclear interactions, probe the speed of sound
- What improvements on the constraints on the EOS can we expect from future heavy-ion experiments?
  - Cumulants are being measured by HADES, RHIC BES-II & FXT, and, in the future by the CBM experiment
  - With improved theoretical modeling these data can further constrain the EoS of symmetric matter
- What development is necessary for transport codes to address the above questions?
  - Proper treatment of fluctuations (different implementations of mean field, test particles, momentum dependence)
  - Box simulation tests of statistical mechanics expectations

# Summary

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- Cumulants measure chemical potential derivatives of the EoS
  - High energies: degrees of freedom, QCD critical point
  - Dense matter: speed of sound, density dependence of the mean field *very little explored*
- Experimental data
  - RHIC-BES: disfavor QCD CP at  $\sqrt{s_{NN}} > 20$  GeV
  - HADES and FXT: indications for an enhanced  $\kappa_2$ , difficult to explain especially HADES
  - Should be understood before moving on to higher orders
- Fluctuations and transport theory/MD in dense matter
  - Arguably more applicable than hydro
  - If done properly can alleviate many of the issues, such as centrality selection, charge conservation, acceptance, etc.

**Thanks for your attention!**