

Sterile Neutrinos and $0\nu\beta\beta$ Decay

Taiki Shickele

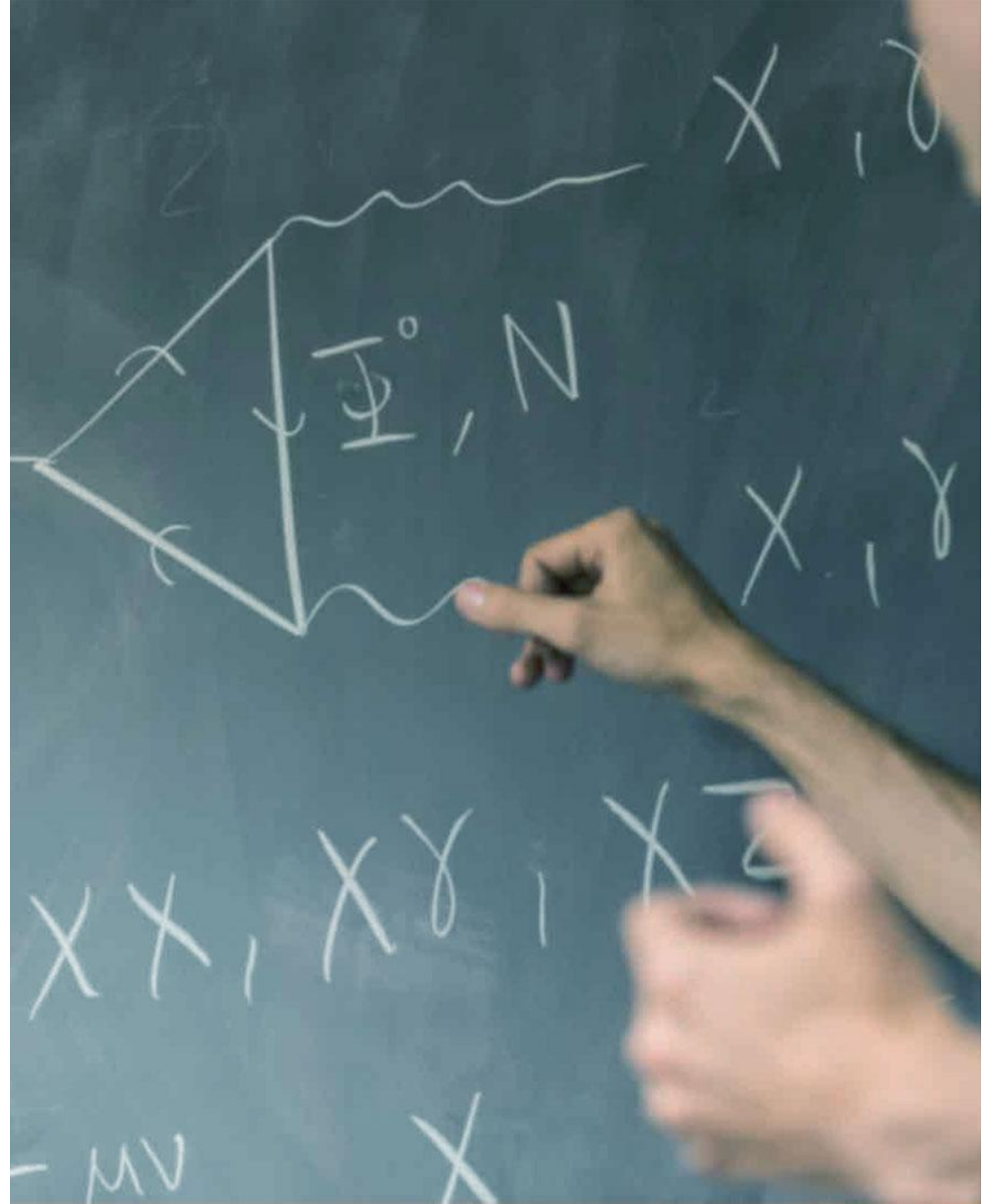
Recommended Values for
Neutrinoless Double-Beta Decay
Nuclear Matrix Elements

July 17th, 2026



Arthur B. McDonald
Canadian Astroparticle Physics Research Institute

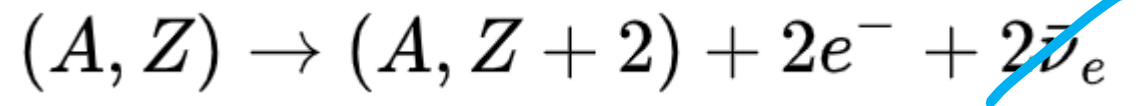
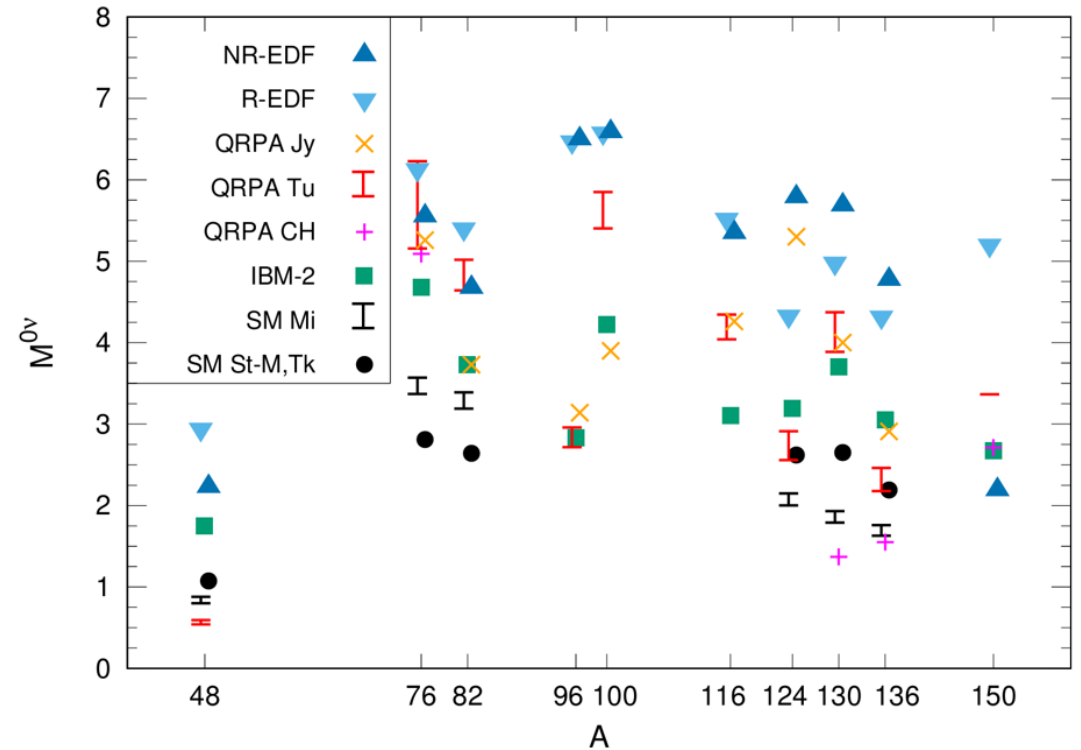
2026-07-17



Neutrinoless Double-Beta ($0\nu\beta\beta$) Decay

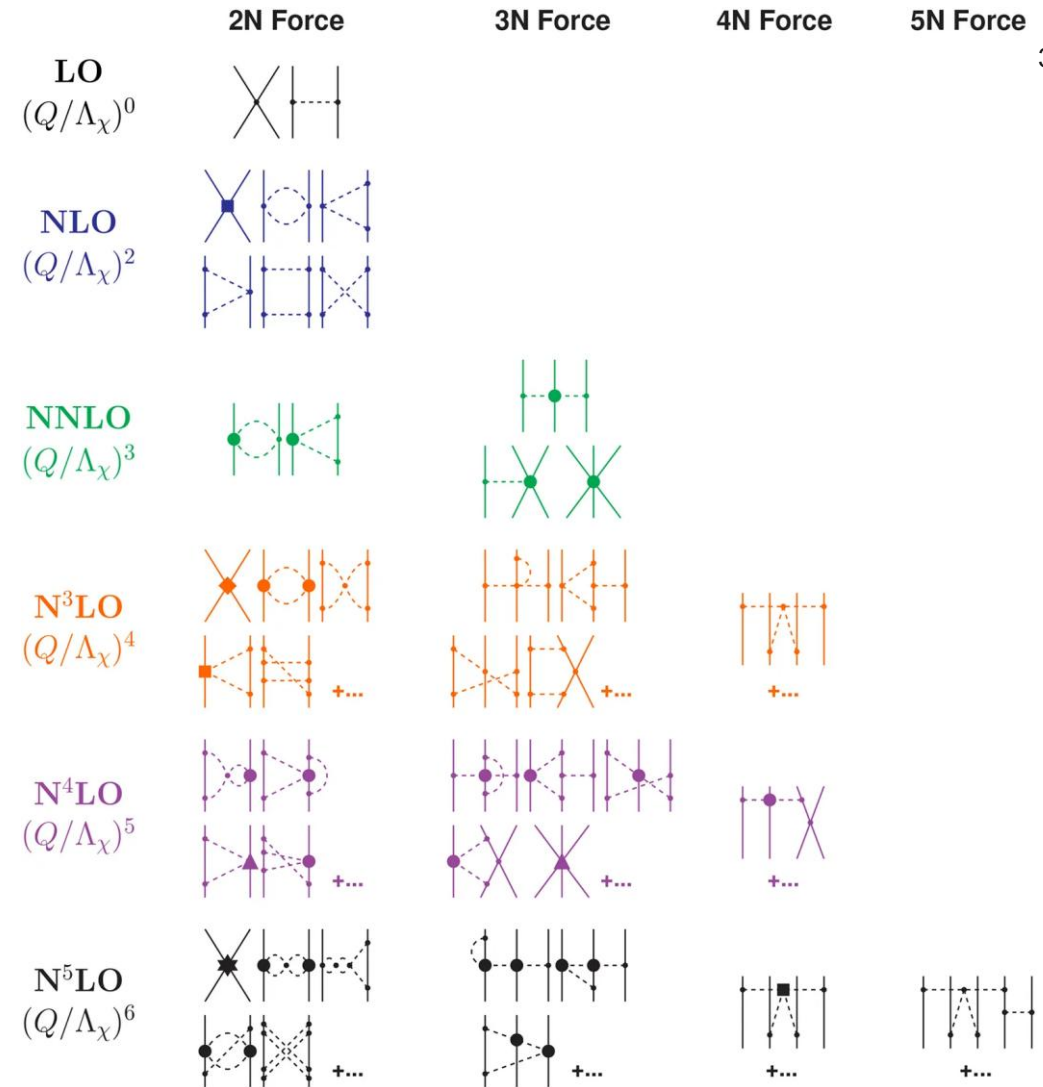
2

- New physics potential:
 - 1) **Majorana neutrinos** thru the Schechter-Valle Black Box Theorem
 - 2) **Lepton-number violating** $\Delta L = 2$
 - Observed baryon asymmetry of the universe?
 - 3) **Absolute neutrino mass scale** (not always)
- Requires knowledge of the **nuclear matrix element** $\Rightarrow$ **nuclear theory**



Chiral Effective Field Theory

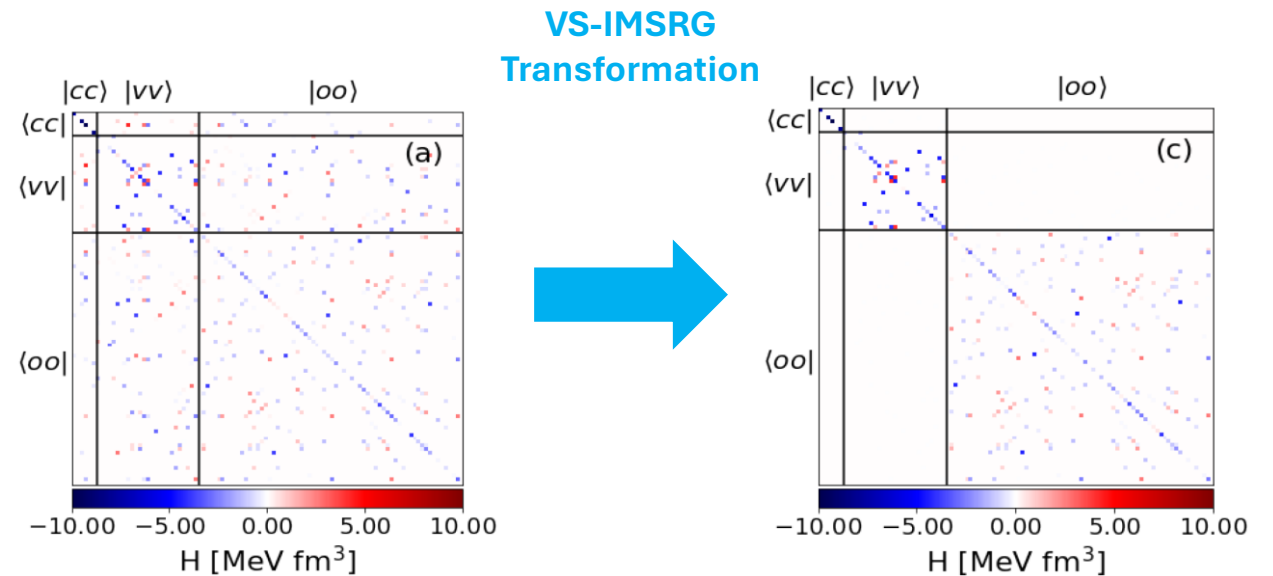
- NN + 3N nuclear interactions following chiral effective field theory with LECs calibrated few-body observables
- Interactions presented here:
 - EM(1.8/2.0)
 - N3LO-LNL
 - Δ NNLO-GO(394)
 - N3LO-Texas



The Valence Space IMSRG

- Use the Valence Space In-Medium Similarity Renormalization Group (VS-IMSRG) to decouple inert core and valence space
- Use traditional shell model codes to diagonalize the resulting effective valence-space Hamiltonians
- Access to medium-heavy mass open-shell nuclei using an ab initio framework

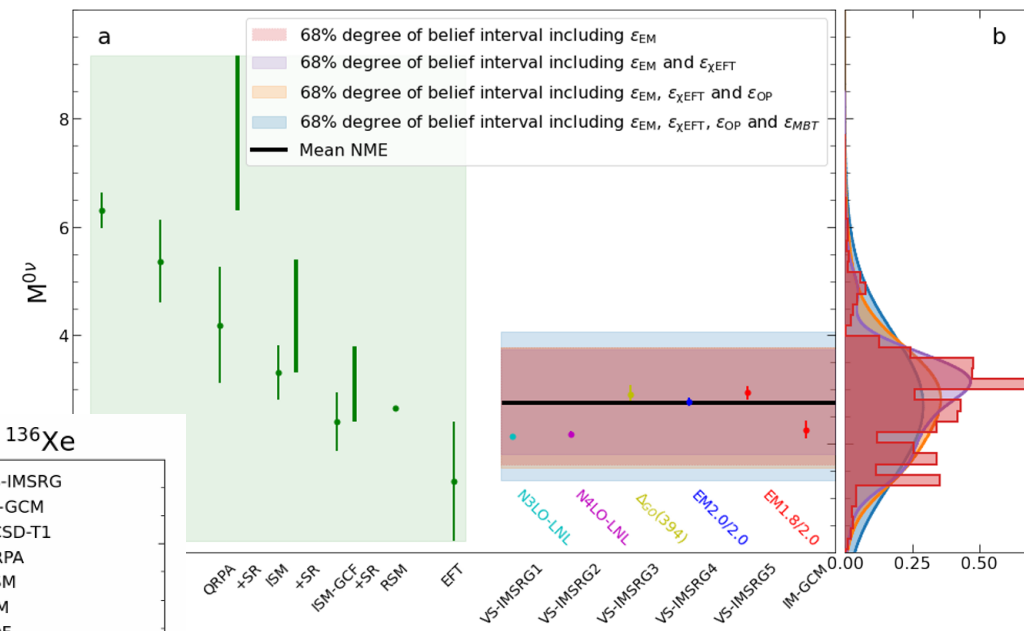
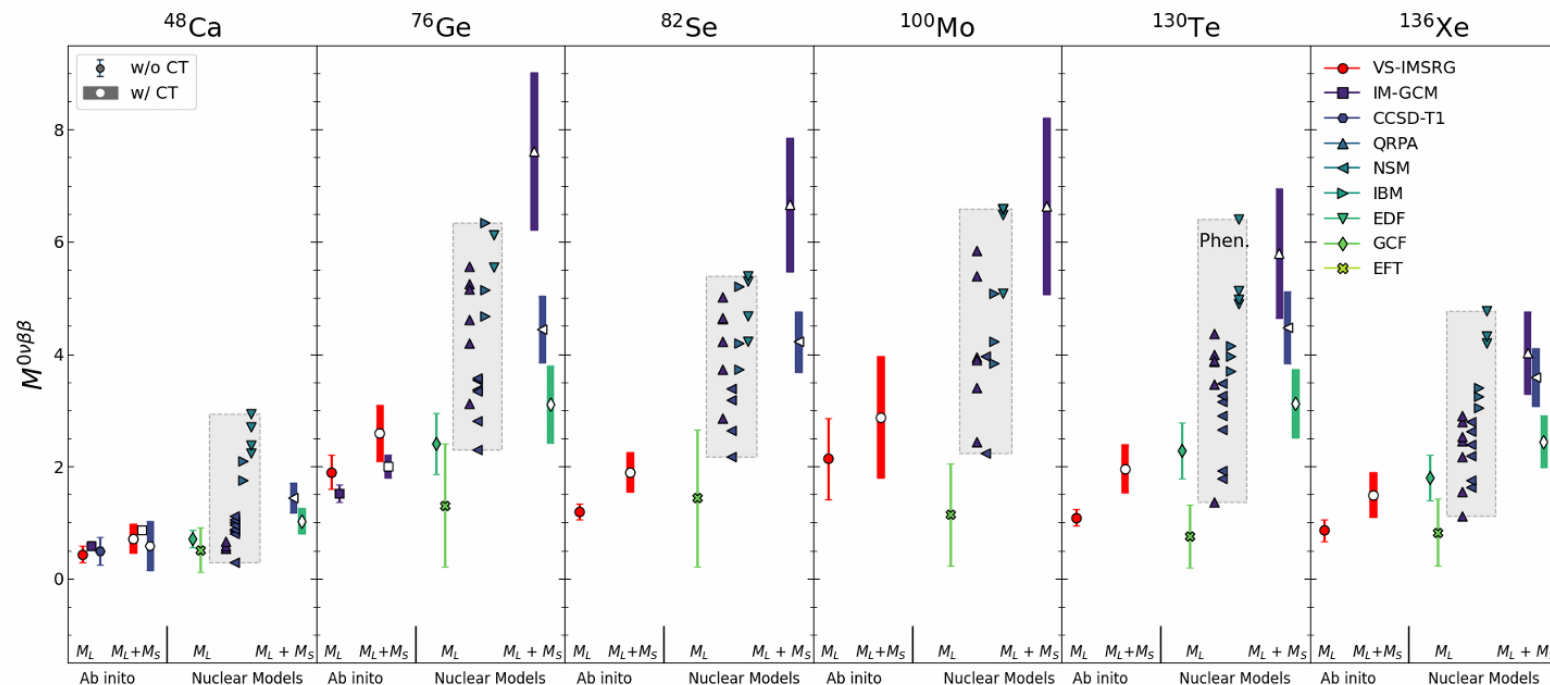
Also see talks from:
Alex, Amelia, Ragnar,
Bingcheng, Heiko, Lotta
and Antoine



VS-IMSRG for $0\nu\beta\beta$ Decay NMEs

5

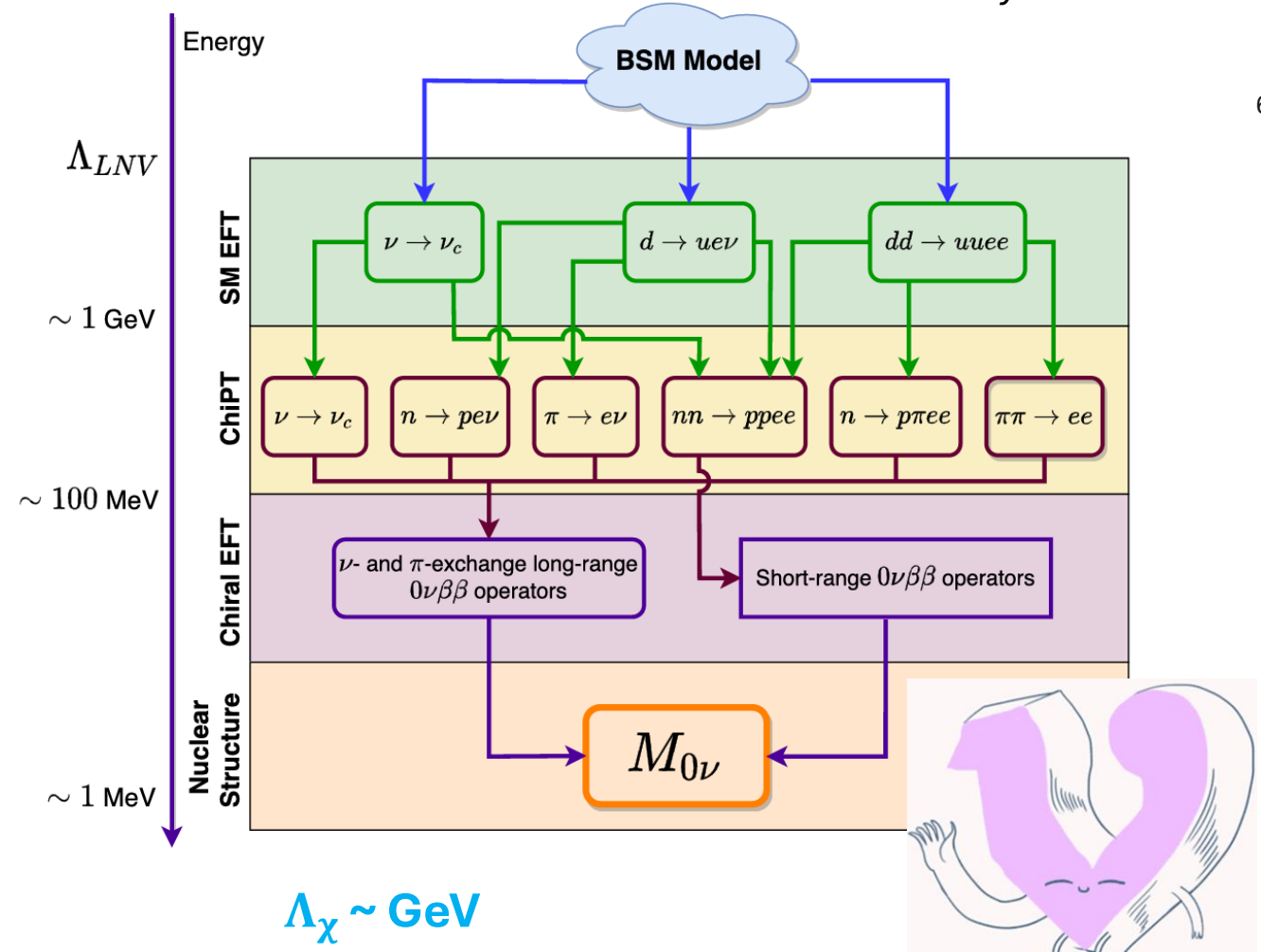
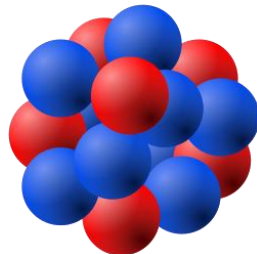
- Antoine et al. calculated the $0\nu\beta\beta$ decay NMEs for the standard $0\nu\beta\beta$ decay mechanism
- Tend to be smaller and with more controlled uncertainties compared to phenomenological methods



Figures courtesy
of Antoine

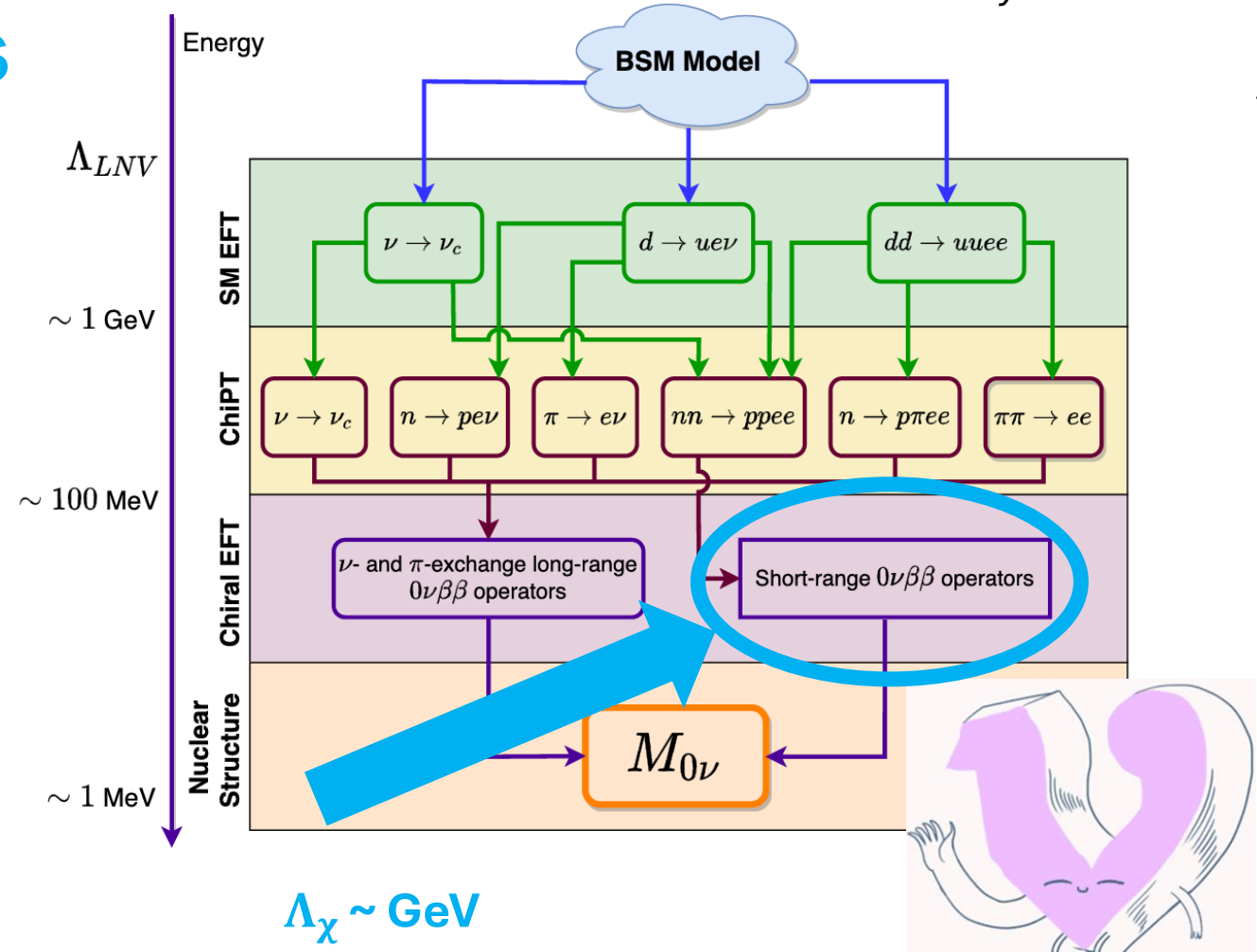
Sterile Neutrinos in $0\nu\beta\beta$ Decay

- A hierarchy of scales to consider:
 - **Heavy sterile neutrinos**
 - **Light sterile neutrinos**
 - Nuclear scale $\sim \text{MeV}$
 - Seesaw scale $\sim 10^{15} \text{ GeV}$



Heavy Sterile Neutrinos

- For $m_s \gtrsim \text{GeV}$ sterile neutrinos integrated out at quark level
 - Generate 8 short-range $0\nu\beta\beta$ decay operators
- Use ab initio methods to compute matrix elements
 - See talk by Alex Todd (week 1)

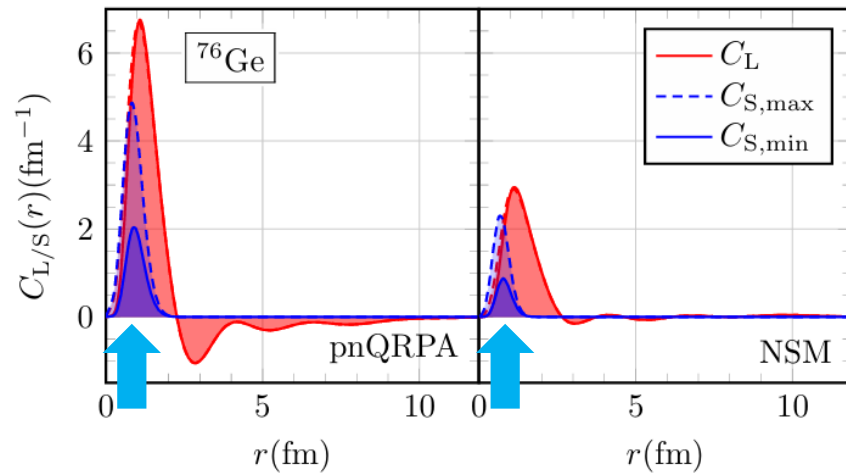


Short-Range NMEs

8

- Short-range $0\nu\beta\beta$ decay operators have the form:

$$O_{\alpha}^{mn}(\mathbf{q}) = \tau_m^+ \tau_n^+ \frac{R}{2\pi m_{\pi}^2} h_{\alpha}(\mathbf{q}^2) S_{\alpha}(\mathbf{q})$$

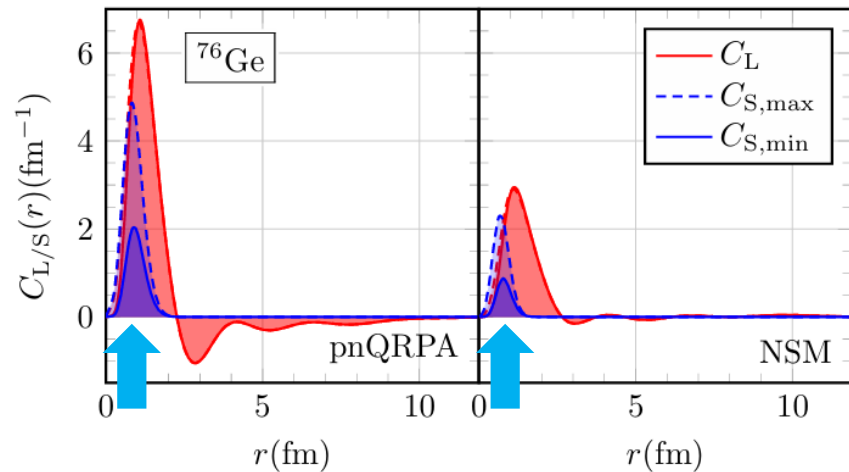


Short-Range NMEs

9

- Short-range $0\nu\beta\beta$ decay operators have the form:

$$O_{\alpha}^{mn}(\mathbf{q}) = \tau_m^{+}\tau_n^{+} \frac{R}{2\pi m_{\pi}^2} h_{\alpha}(\mathbf{q}^2) S_{\alpha}(\mathbf{q})$$



- Need **consistently SRG-evolve decay operator** alongside interaction – particularly **important for short-range operators!**
- Further need to regularize decay operator

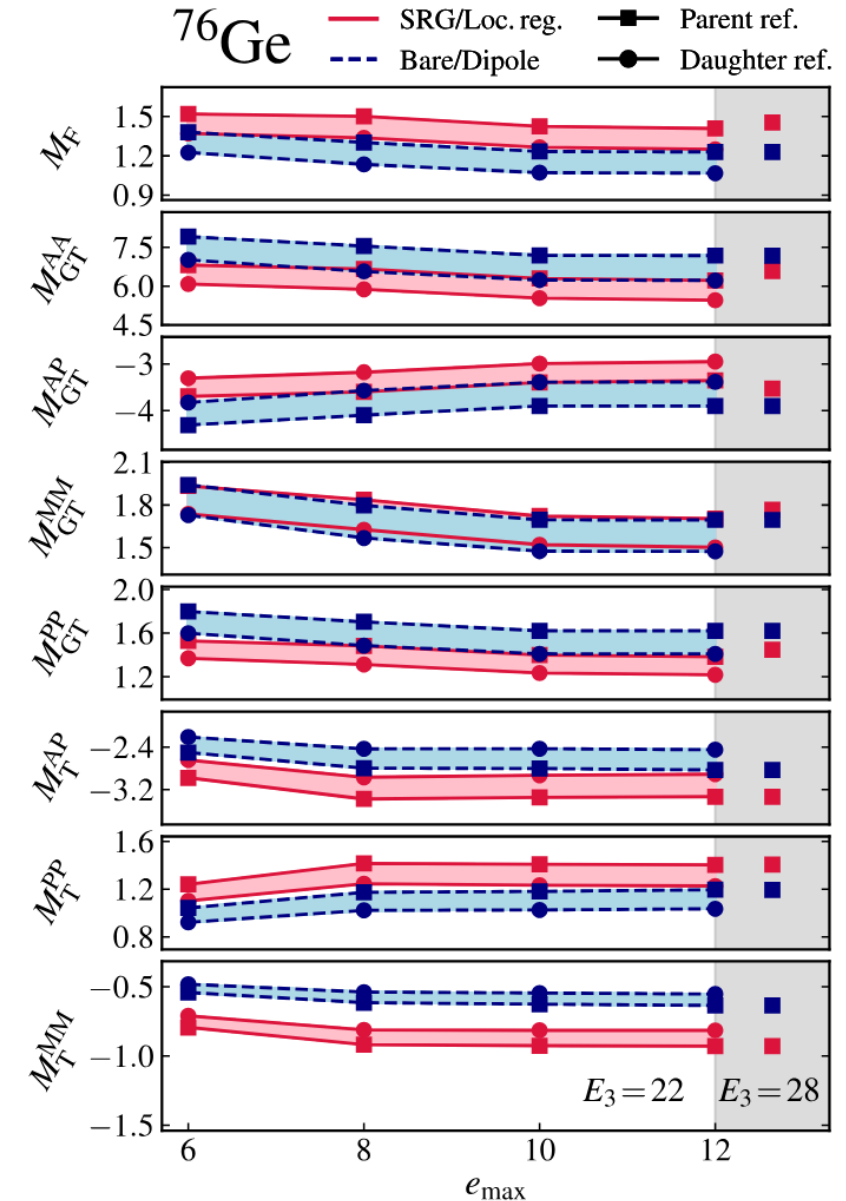
➤ We use a regulator consistent with the nuclear interaction*

$$f_{\text{dipole}}(\mathbf{q}) = \left(1 + \frac{\mathbf{q}^2}{\Lambda^2}\right)^{-2} \rightarrow f_{\text{local}}^{\text{NN}}(\mathbf{q}) = \exp\left[-\left(\frac{\mathbf{q}}{\Lambda}\right)^{2n}\right]$$

- Restrict to interactions consistently SRG evolved (or not) in both the NN and 3N sector

Short-Range NMEs

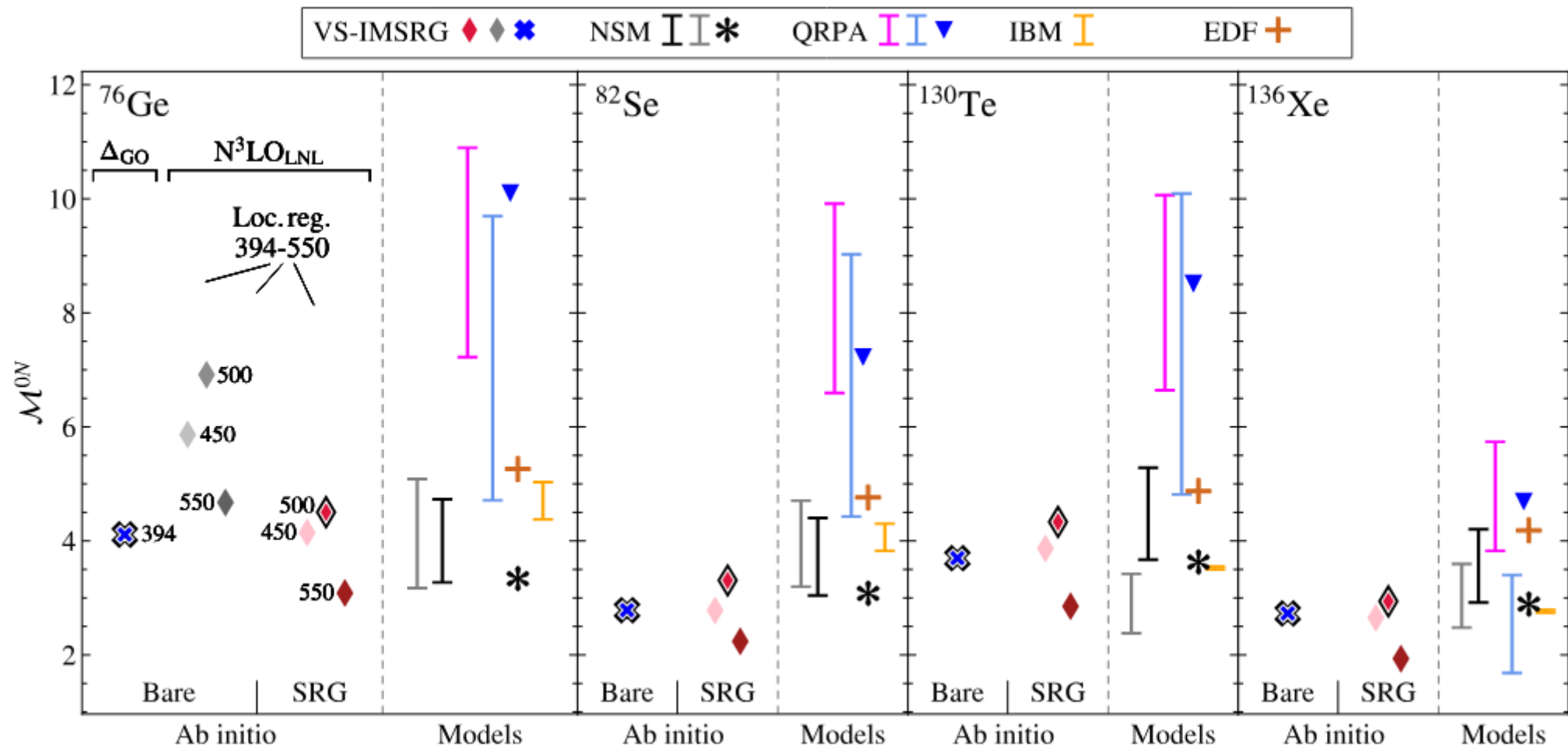
- Convergence of the NME in both e_{max} and E_{3max} using the N^3LO_{LNL} interaction
- Reference state dependence (square/circle markers) appears from many-body truncation at the level of IMSRG(2)
 - Keep only 2-body operators during the IMSRG flow (See Ragnar and Bingcheng's talks week 1 for improvements w/ the IMSRG(3f2))



Short-Range NMEs

11

- Include results for the Δ_{GO} and N^3LO_{LNL} interactions with varying cutoffs for the regulator on the transition operator



Limits on $|U_{e4}|^2$

12

- Assume a type-1 seesaw mechanism with the addition of one sterile neutrino*

$$M_\nu = \begin{pmatrix} 0 & M_D \\ M_D^T & M_R \end{pmatrix}$$

- Diagonalizing and assuming $M_R \gg M_D$ gives

$$m_\nu \sim -M_D M_R^{T^{-1}} M_D^T$$

$$m_s \sim M_R^T$$

$$|U_{e4}|^2 \sim \frac{m_\nu}{m_s}$$

*At least 2 sterile neutrinos are required to reproduce ν -oscillation data. However, a 3+1 still offers a useful toy model

Limits on $|U_{e4}|^2$

13

- Assume a type-1 seesaw mechanism with the addition of one sterile neutrino*

$$M_\nu = \begin{pmatrix} 0 & M_D \\ M_D^T & M_R \end{pmatrix}$$

- Diagonalizing and assuming $M_R \gg M_D$ gives

$$m_\nu \sim -M_D M_R^{T-1} M_D^T$$

$$m_s \sim M_R^T$$

$$|U_{e4}|^2 \sim \frac{m_\nu}{m_s}$$

- Assuming a saturating contribution from heavy sterile neutrinos, we get a half-life:

$$\begin{aligned} \left[T_{1/2}^{0\nu} \right]^{-1} &= 4g_A^4 G_{01} V_{ud}^4 \eta(\mu, m_4)^2 |U_{e4}|^4 \frac{m_\pi^4}{m_e^2 m_4^2} \\ &\times \left[\frac{5}{6} g_1^{\pi\pi} \underset{\uparrow}{M_{sd}^{PP}} + \frac{g_1^{\pi N}}{2} \underset{\uparrow}{M_{sd}^{AP}} + 2g_1^{NN} \underset{\uparrow}{M_{F,sd}} \right]^2 \end{aligned}$$

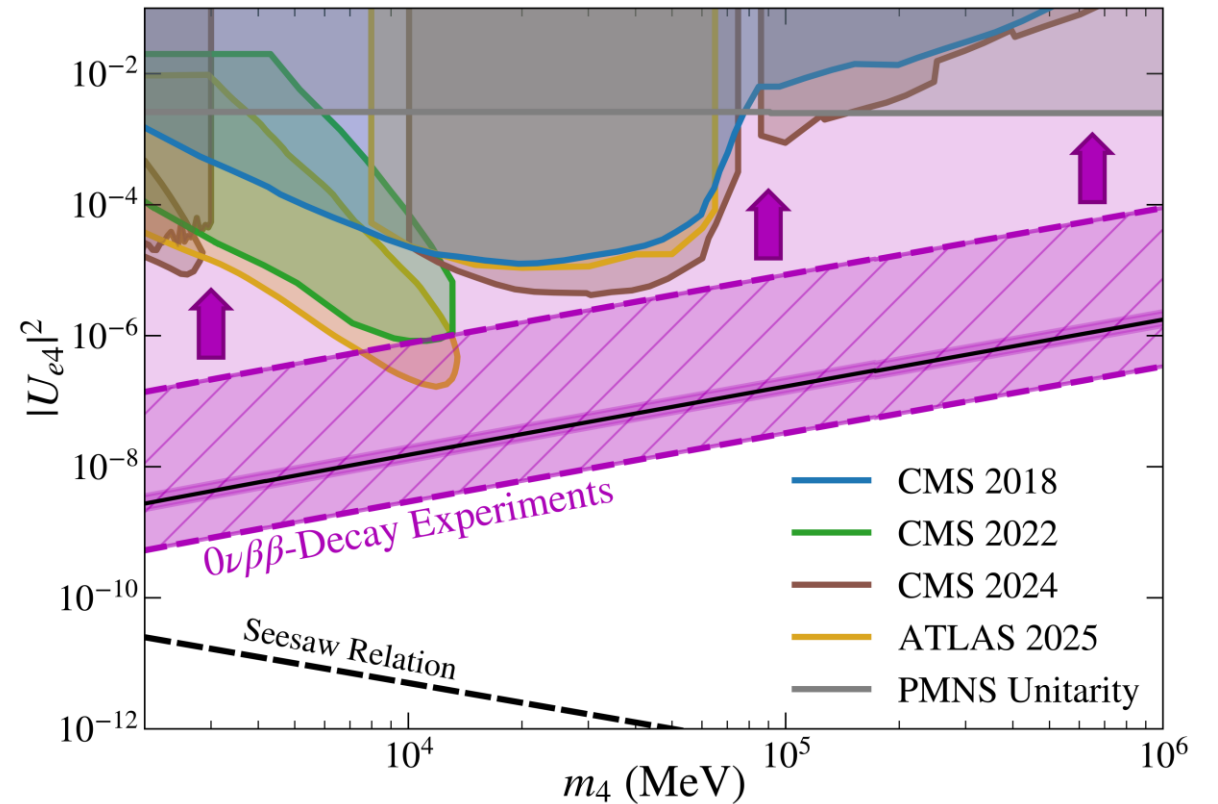
- Requires the short-range NMEs we calculated!

*At least 2 sterile neutrinos are required to reproduce ν -oscillation data. However, a 3+1 still offers a useful toy model

Limits on $|U_{e4}|^2$

14

- Combining the reach of several current-gen $0\nu\beta\beta$ decay experiments leaves competitive constraints on $|U_{e4}|^2$
- Large uncertainty dominated by unknown LECs $g_1^{\pi N}$ and g_1^{NN}
- Potential for LQCD to provide much needed LEC input



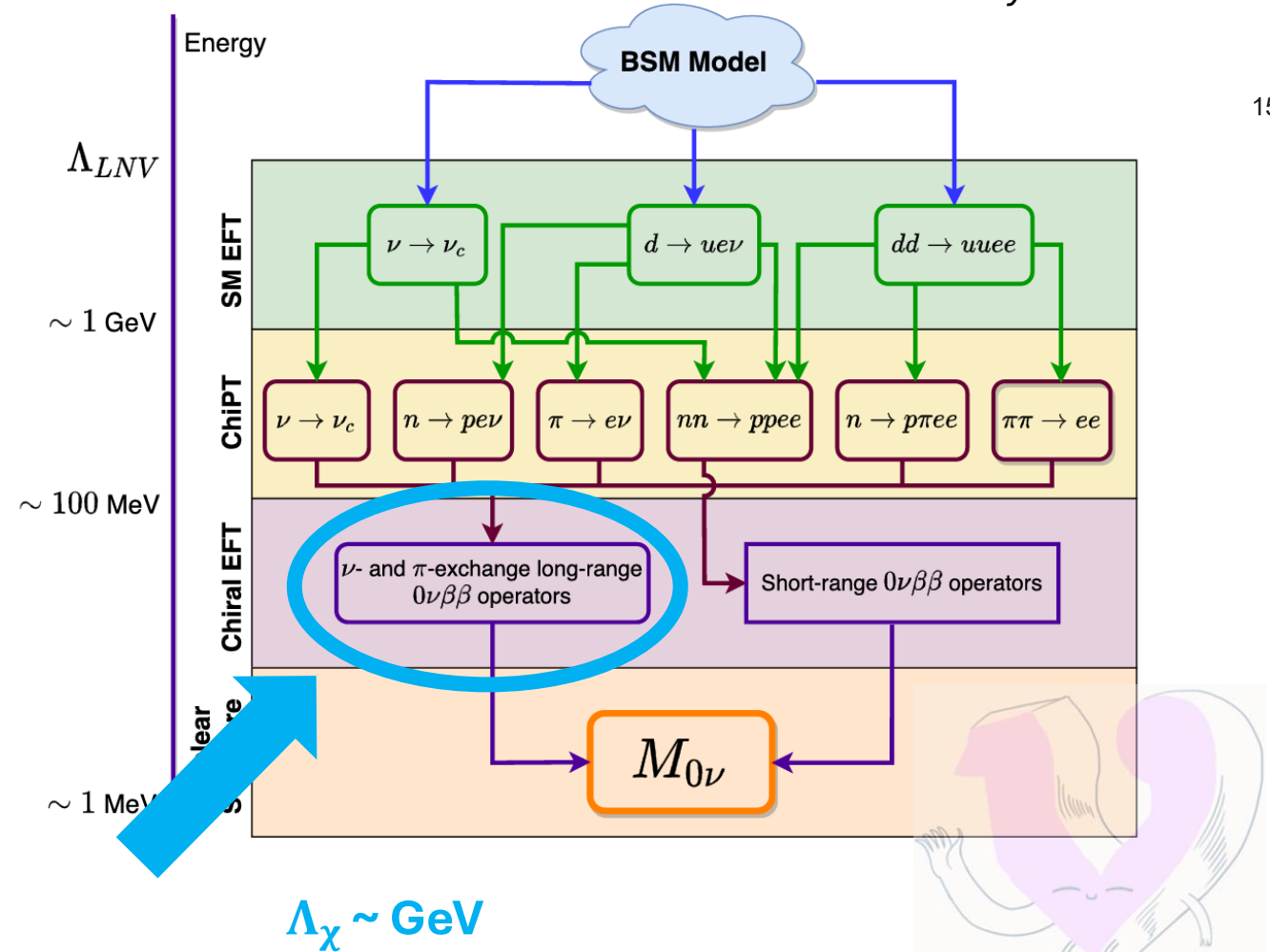
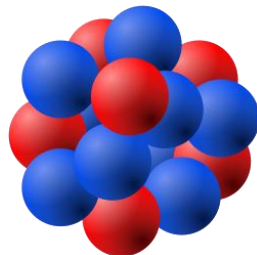
$$\begin{aligned} \left[T_{1/2}^{0\nu}\right]^{-1} &= 4g_A^4 G_{01} V_{ud}^4 \eta(\mu, m_4)^2 |U_{e4}|^4 \frac{m_\pi^4}{m_e^2 m_4^2} \\ &\times \left[\frac{5}{6} g_1^{\pi\pi} M_{sd}^{PP} + \frac{g_1^{\pi N}}{2} M_{sd}^{AP} + 2g_1^{NN} M_{F, sd} \right]^2 \end{aligned}$$

Nicholson et al. PRL 121, 172501 (2018)

W. Detmold et al. PRD 107, 094501 (2023)

Light Sterile Neutrinos

- For $m_s \lesssim \text{GeV}$ sterile neutrinos remain active degrees of freedom
 - 8 long-range operators, and a short-range contact operator at leading order

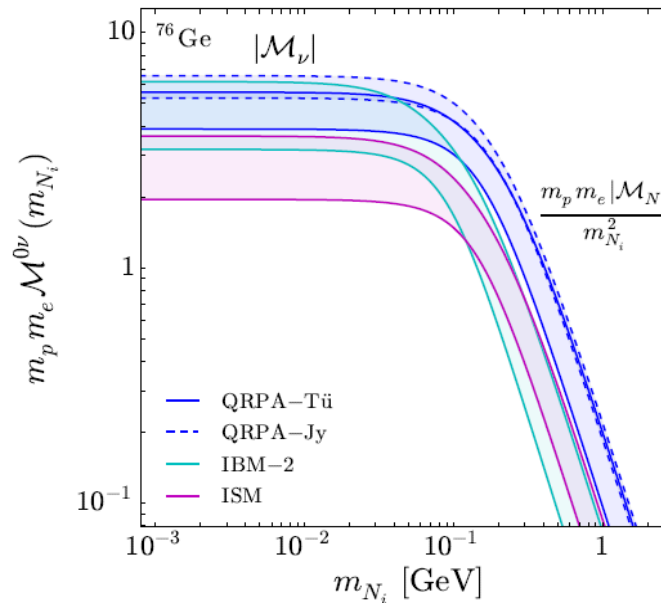


Light Sterile Neutrinos

16

- Matrix elements obtain an explicit dependence on the mass of the sterile neutrino
- Previously estimated using an interpolation formula and phenomenological NMEs

$$M^{0\nu}(m_s) \approx \frac{M_H^{0\nu}}{m_p m_e \frac{M_H^{0\nu}}{M_L^{0\nu}} + m_s^2}$$



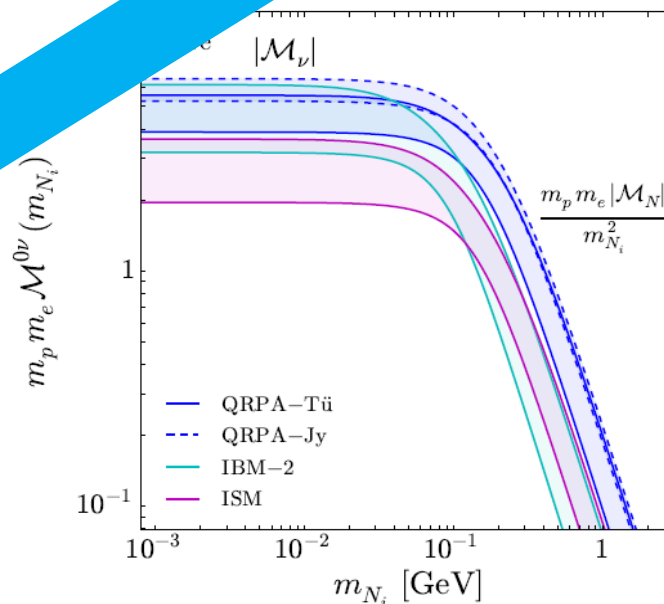
Light Sterile Neutrinos

17

- Matrix elements obtain an explicit dependence on the mass of the sterile neutrino
- Previously estimated using an interpolation formula and phenomenological NMEs
- An explicit calculation involves the inclusion of sterile neutrino mass terms in the transition operator:

$$V_\alpha(q) = \frac{2R_A}{\pi} \frac{h_\alpha(q^2)}{q^2 + E_c \sqrt{q^2 + m_s^2} + m_s^2}$$

$$M^{0\nu}(m_s) \approx \frac{M_H^{0\nu}}{m_p m_e \frac{M_H^{0\nu}}{M_L^{0\nu}} + m_s^2}$$



- EFT tells us additional contributions should exist...

Bolton, P.D., Deppisch, F.F. & Dev, P.B., J. High Energ. Phys., 170 (2020)

Dekens, W., de Vries, J., Castillo, D. et al., J. High Energ. Phys. 2024, 201 (2024)

Light Sterile Neutrinos

18

■ B
a
st

■ P
in
p

in

$$\begin{aligned}
 M^{0\nu}(m_s) = & M_F^{0\nu}(m_s) + M_{GT}^{0\nu}(m_s) + M_T^{0\nu}(m_s) \\
 & - 2g_\nu^{NN}(m_s)M_{CT}^{0\nu} \\
 & + M_{usoft}^{0\nu}(m_s) \\
 & + M_{loop}^{0\nu}(m_s)
 \end{aligned}$$

LO

N²LO

$\overline{n_s^2}$

Light Sterile Neutrinos

19

■ B
a
st

■ P
in
p

in

Interpolation formula only
estimates this contribution

$$M^{0\nu}(m_s) = \boxed{M_F^{0\nu}(m_s) + M_{GT}^{0\nu}(m_s) + M_T^{0\nu}(m_s)} \\ - 2g_\nu^{NN}(m_s)M_{CT}^{0\nu} \\ + M_{usoft}^{0\nu}(m_s) \\ + M_{loop}^{0\nu}(m_s)$$

LO

N²LO

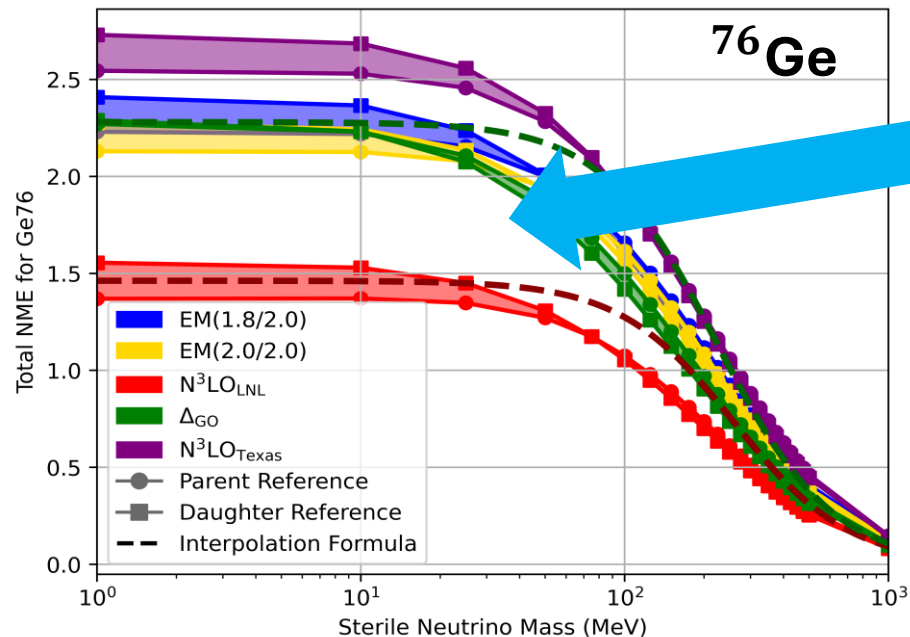
$\overline{n_s^2}$

Light Sterile Neutrino NMEs

20

- NMEs for the standard long-range components explicitly depend on m_s

$$M^{0\nu}(m_s) = M_F^{0\nu}(m_s) + M_{GT}^{0\nu}(m_s) + M_T^{0\nu}(m_s)$$



Large spread from choice of
chiral interaction

w/o contact term

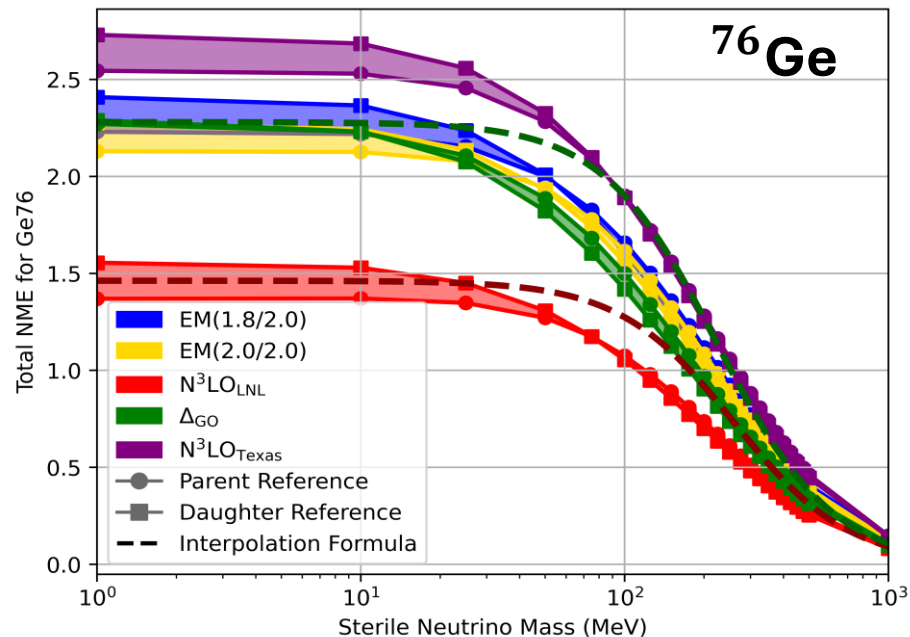
Light Sterile Neutrino NMEs

21

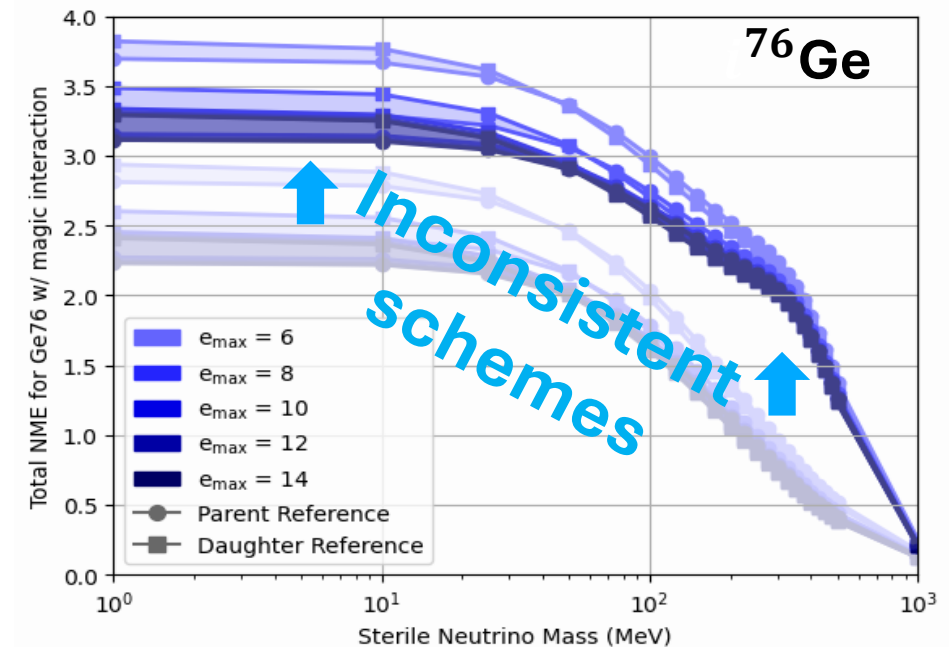
- NMEs for the standard long-range components explicitly depend on m_s

- Contact term has a m_s dependent LEC $g_v^{NN}(m_s)$
- Need to match LEC across schemes

$$M^{0\nu}(m_s) = M_F^{0\nu}(m_s) + M_{GT}^{0\nu}(m_s) + M_T^{0\nu}(m_s) - 2g_v^{NN}(m_s)M_{CT}^{0\nu}$$



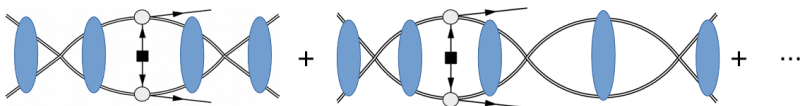
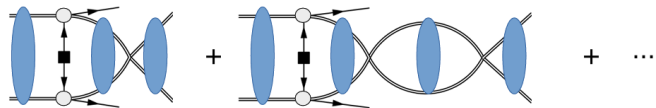
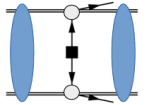
w/o contact term



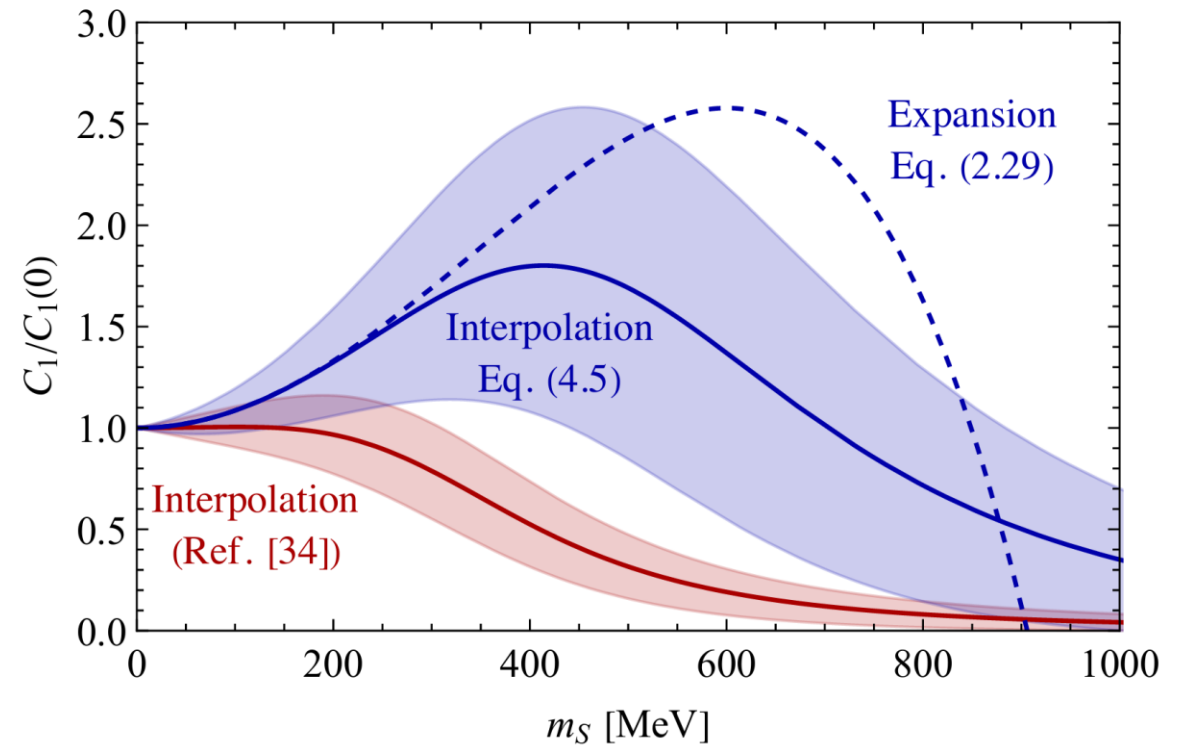
Synthetic Data for $nn \rightarrow ppe^-e^-$ w/ Sterile Neutrinos

22

- Contact term $g_v^{NN}(m_s)$ varies w/ sterile neutrino mass in the region $m_s \lesssim 1$ GeV
- $g_v^{NN}(m_s)$ scheme dependent
 - Compute an amplitude for the $nn \rightarrow ppe^-e^-$ process in the 1S0 channel to match across schemes



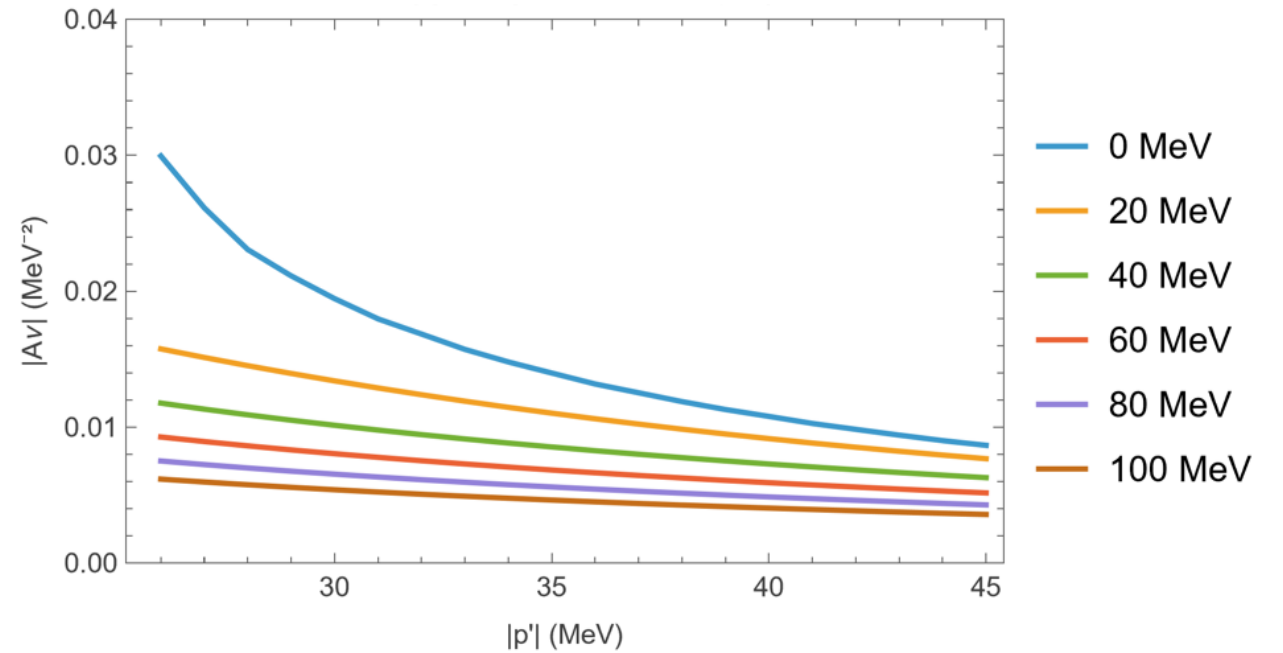
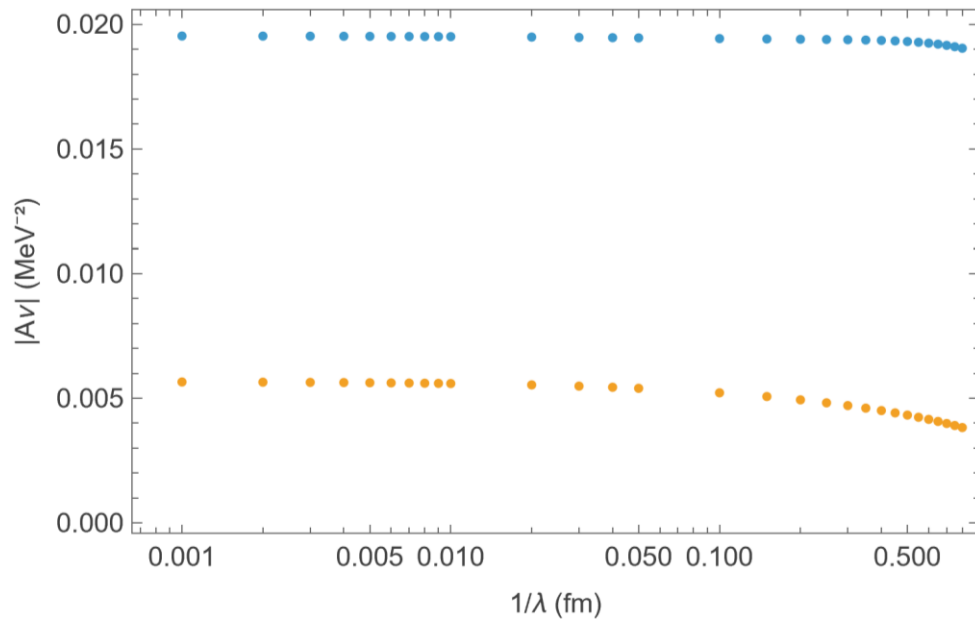
$$A_v(\mathbf{m}_s, \mathbf{p}, \mathbf{p}') = \langle ppe^-e^- | V_{LR}(m_s) + g_v^{NN}(m_s) V_{SR}(m_s) | nn \rangle$$



Synthetic Data for $nn \rightarrow ppe^-e^-$ w/ Sterile Neutrinos

23

- Computed A_ν for various sterile neutrino masses in λ scheme (see KSW '96)
- Scale dependence cured by g_ν^{NN} even for larger m_s



- Matching to cutoff regularization w/ χ EFT interactions in progress

Kaplan D., Savage M. & Wise M., 1996

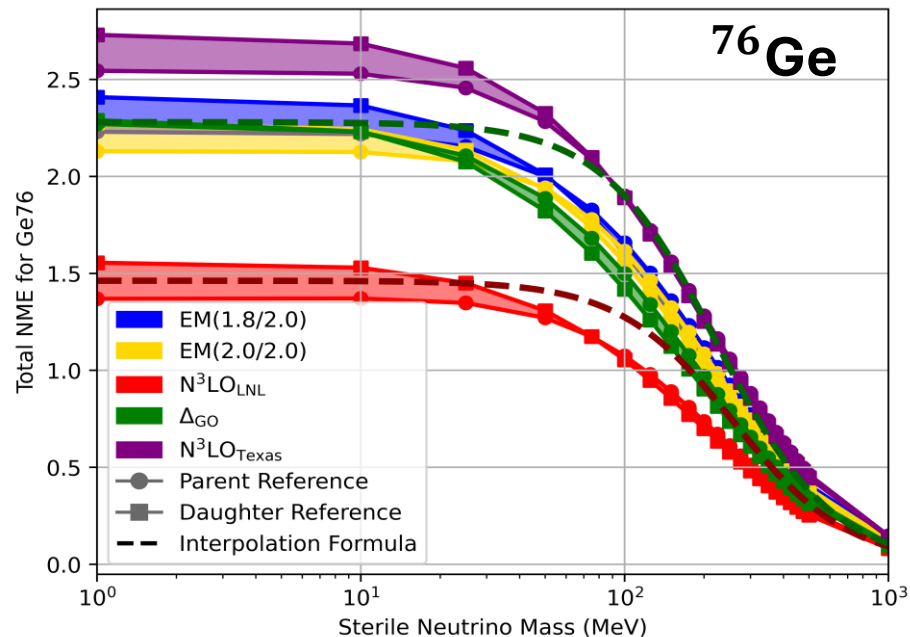
Light Sterile Neutrino NMEs

24

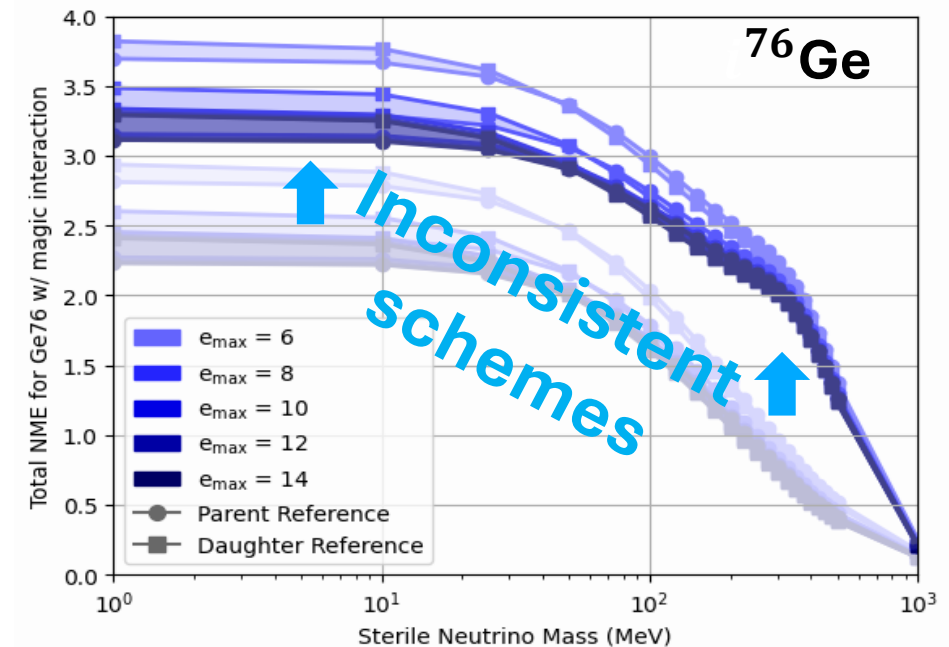
- NMEs for the standard long-range components explicitly depend on m_s

- Contact term has a m_s dependent LEC $g_\nu^{NN}(m_s)$
- Need to match LEC across schemes

$$M^{0\nu}(m_s) = M_F^{0\nu}(m_s) + M_{GT}^{0\nu}(m_s) + M_T^{0\nu}(m_s) - 2g_\nu^{NN}(m_s)M_{CT}^{0\nu}$$



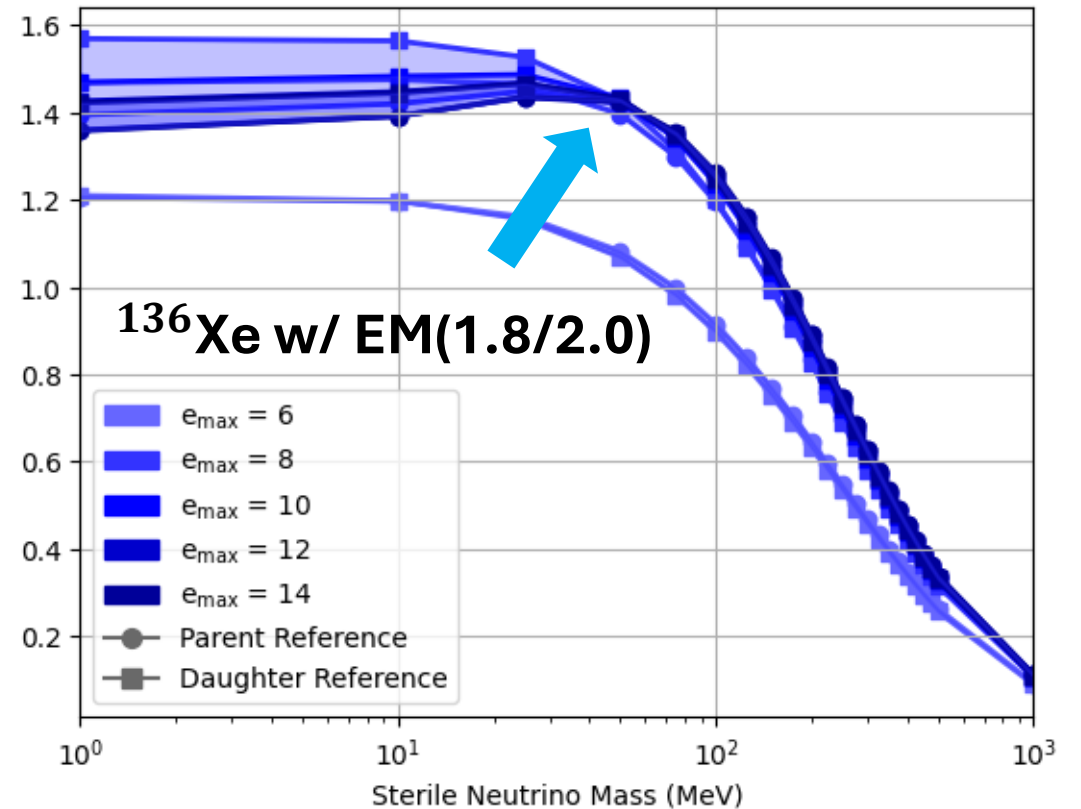
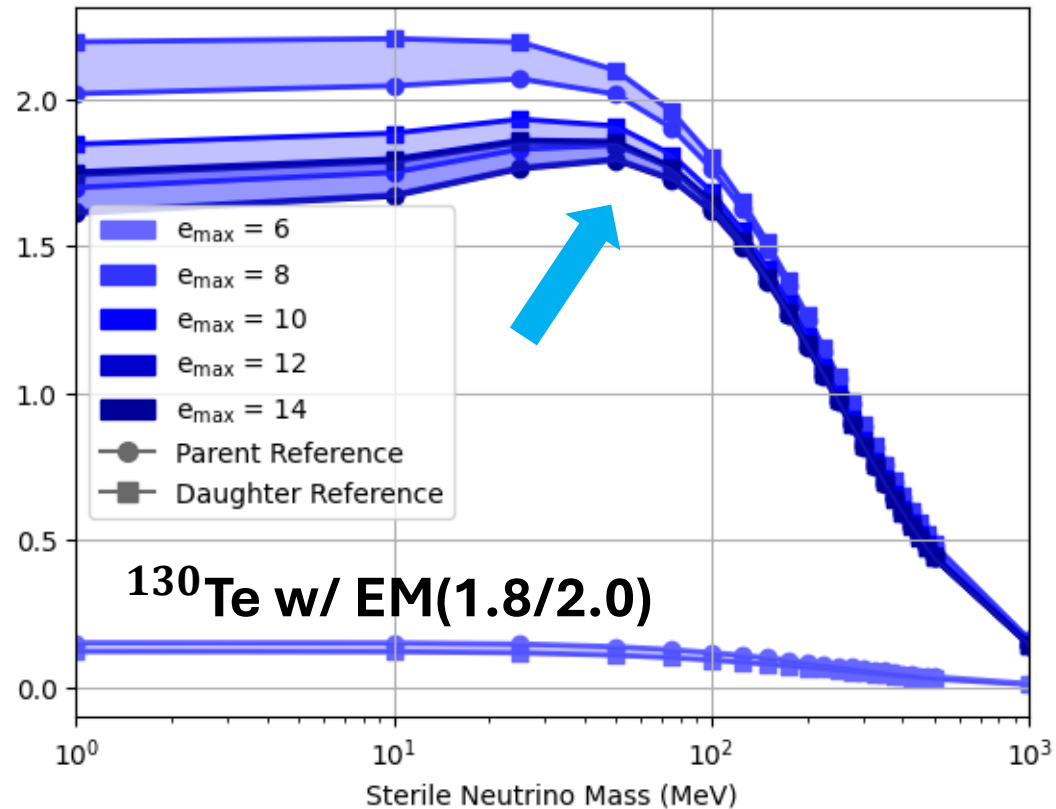
w/o contact term



Issues in Heavy Nuclei

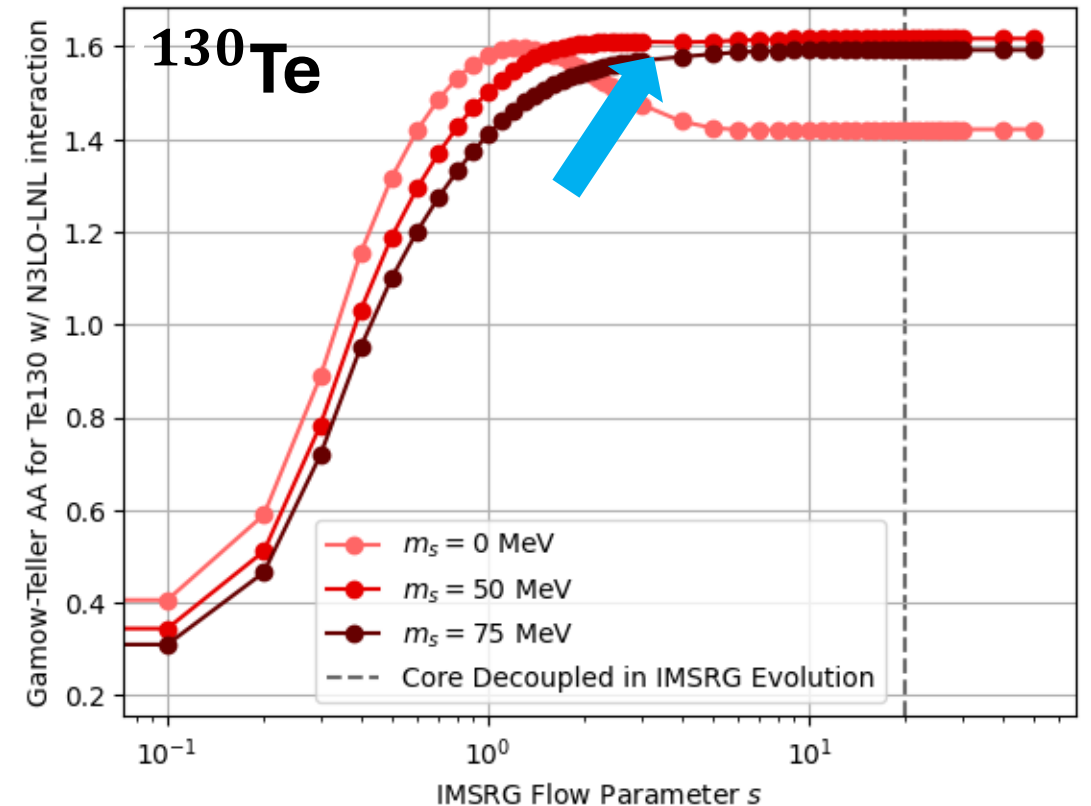
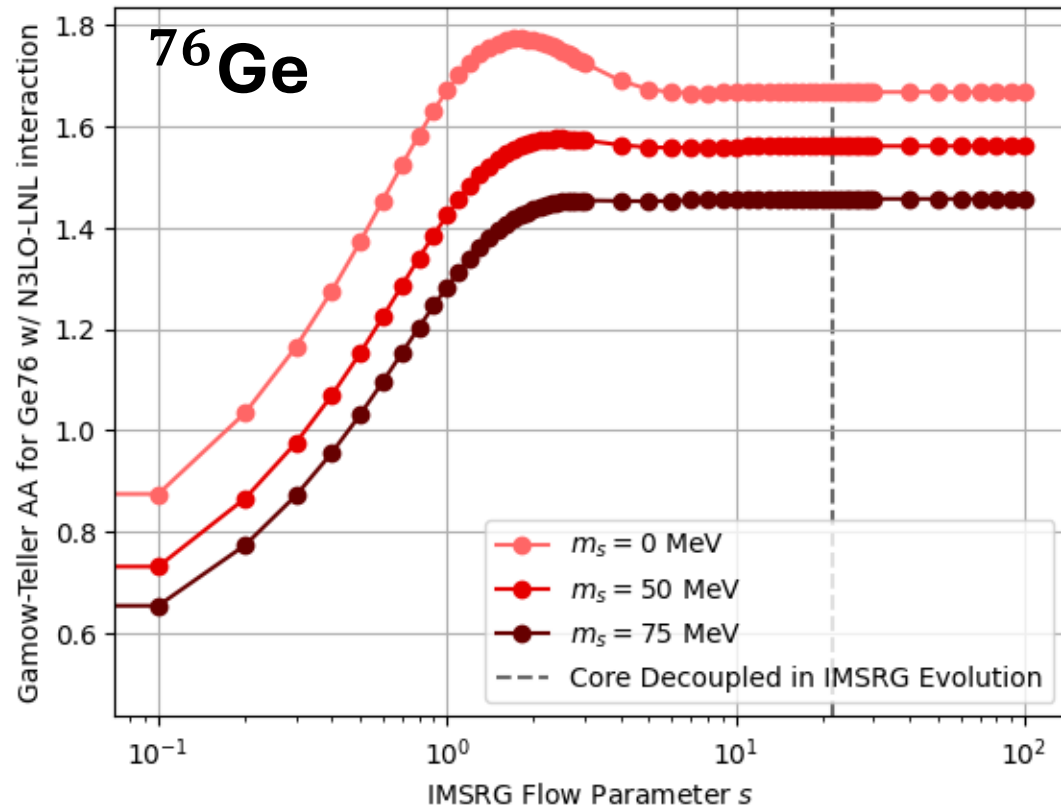
25

- Unphysical “bump” present in the NMEs for ^{130}Te and ^{136}Xe around 50 MeV
 - What could be the possible causes? Does not seem to be the interaction?

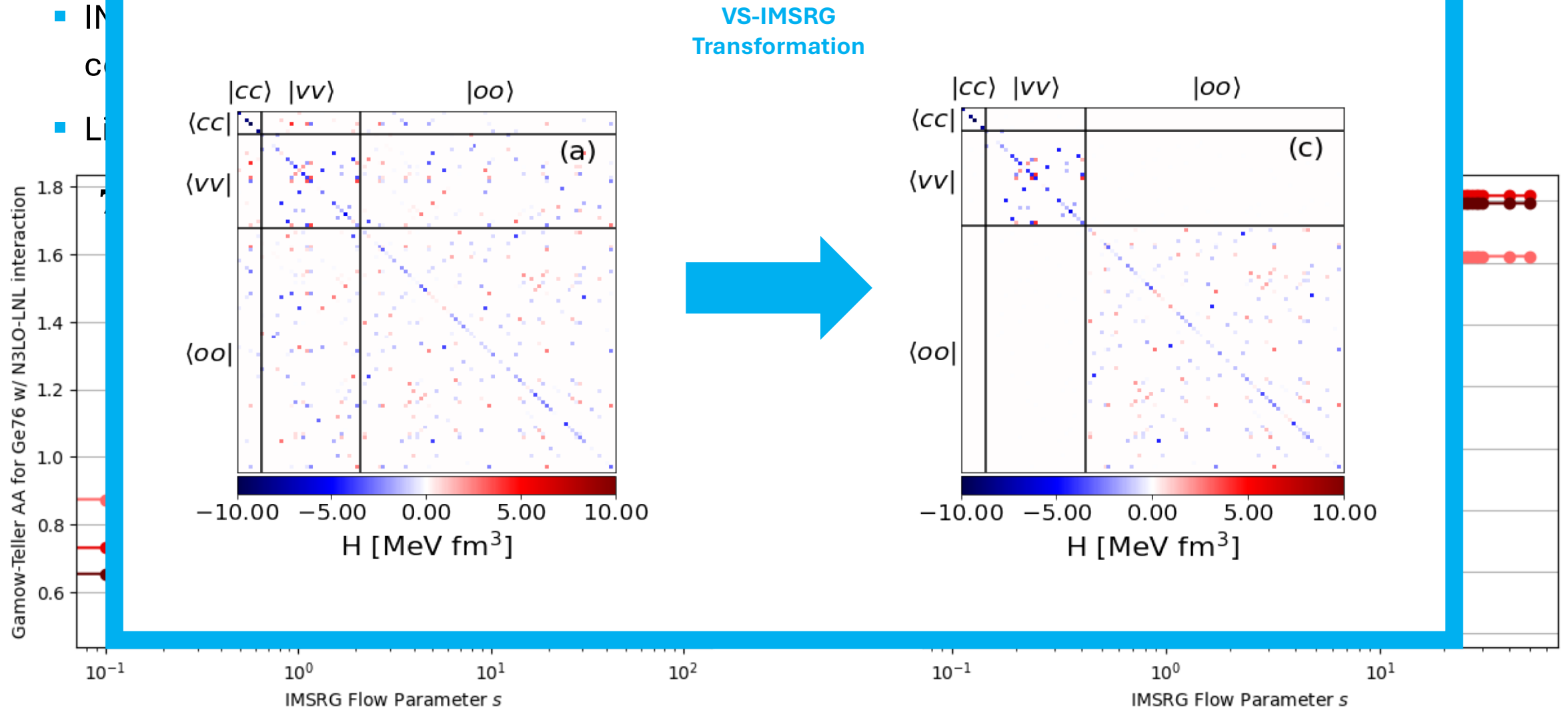


Culprit: Induced Higher-Body Operators

- IMSRG flow of the NMEs at $m_s = 0, 50, 75$ MeV in ^{76}Ge (left) and ^{130}Te (right) show contrasting behaviour for $s \geq 1$
- Likely due to higher-body operators induced during the IMSRG flow

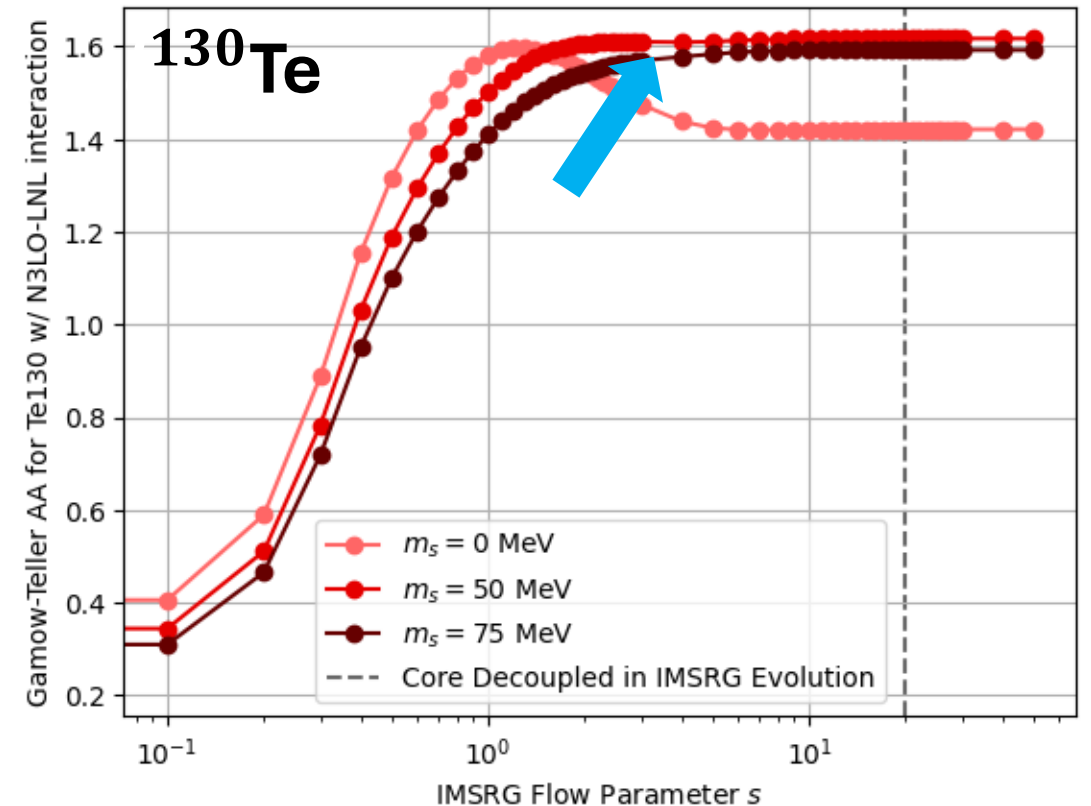
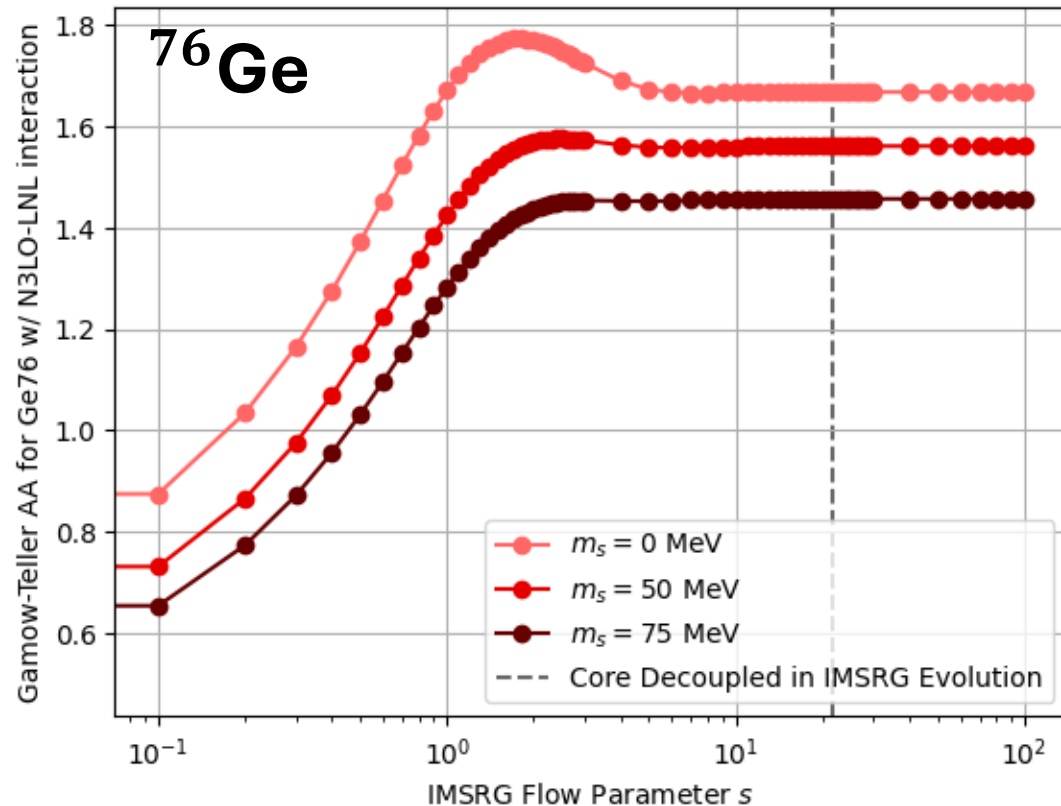


Culprit: Induced Higher-Body Operators



Culprit: Induced Higher-Body Operators

- IMSRG flow of the NMEs at $m_s = 0, 50, 75$ MeV in ^{76}Ge (left) and ^{130}Te (right) show contrasting behaviour for $s \geq 1$
- Likely due to higher-body operators induced during the IMSRG flow



SRG Evolution of Light Sterile Neutrino NMEs

29

- Consistent free-space SRG evolution of operators expected to be a sub-leading correction for long-range operators

$$M^{0\nu}(m_s) = M_F^{0\nu}(m_s) + M_{GT}^{0\nu}(m_s) + M_T^{0\nu}(m_s)$$

$$V_\alpha(q) = \frac{2R_A}{\pi} \frac{h_\alpha(q^2)}{q^2 + E_c \sqrt{q^2 + m_s^2 + m_s^2}}$$

SRG Evolution of Light Sterile Neutrino NMEs

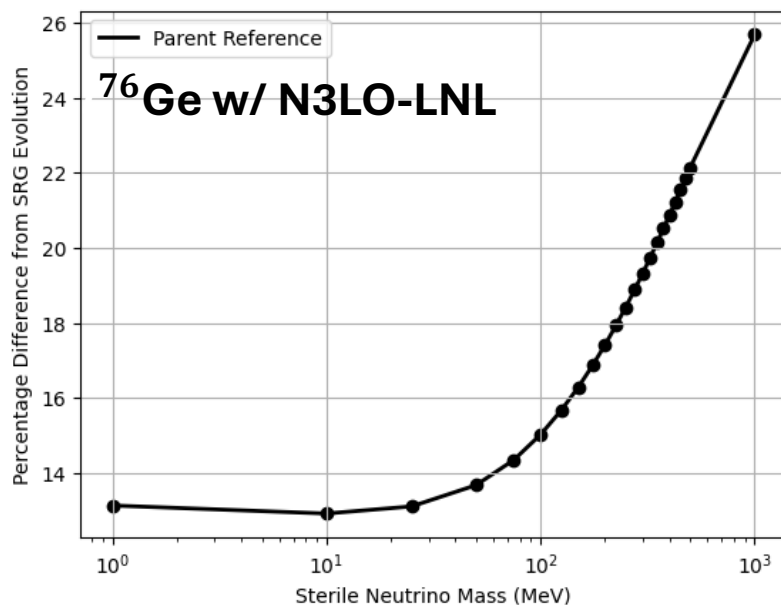
30

- Consistent free-space SRG evolution of operators expected to be a sub-leading correction for long-range operators
 - **Larger effect than expected** in long-range operators

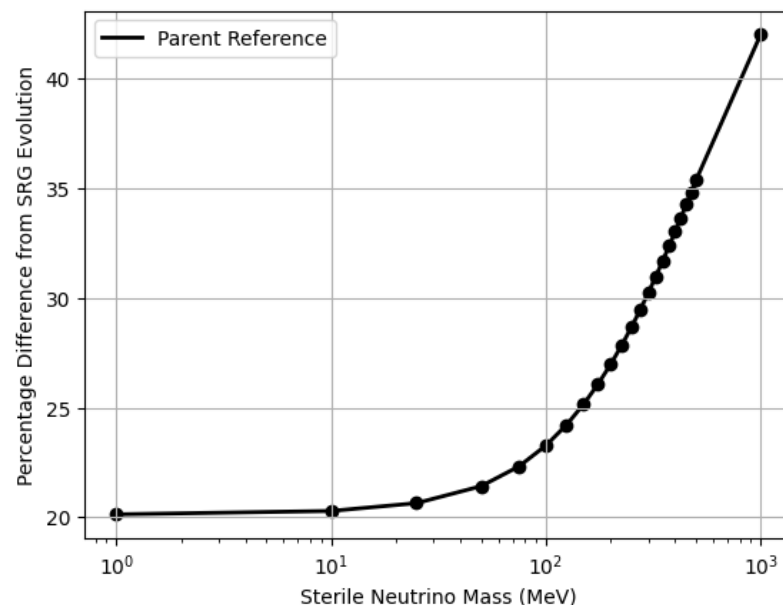
$$M^{0\nu}(m_s) = M_F^{0\nu}(m_s) + M_{GT}^{0\nu}(m_s) + M_T^{0\nu}(m_s)$$

$$V_\alpha(q) = \frac{2R_A}{\pi} \frac{h_\alpha(q^2)}{q^2 + E_c \sqrt{q^2 + m_s^2 + m_s^2}}$$

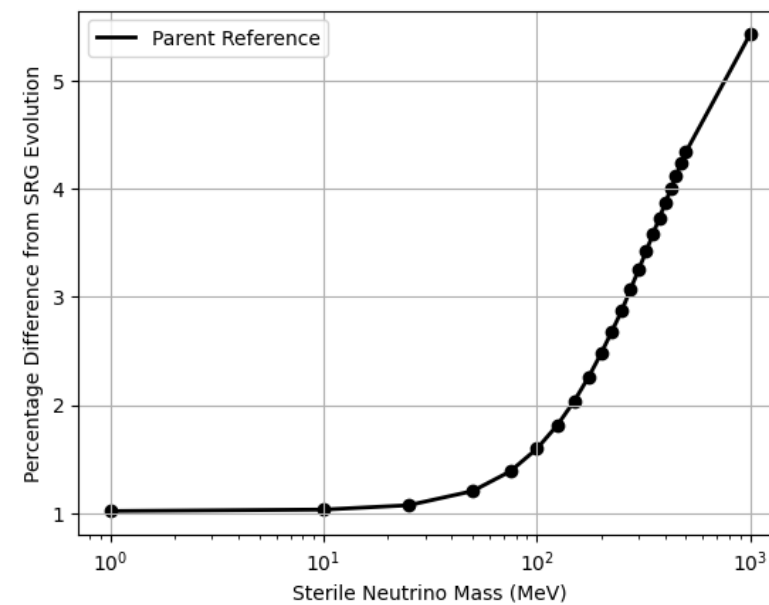
Fermi (10-30%)



Gamow-Teller (20-45%)



Tensor (1-5%)



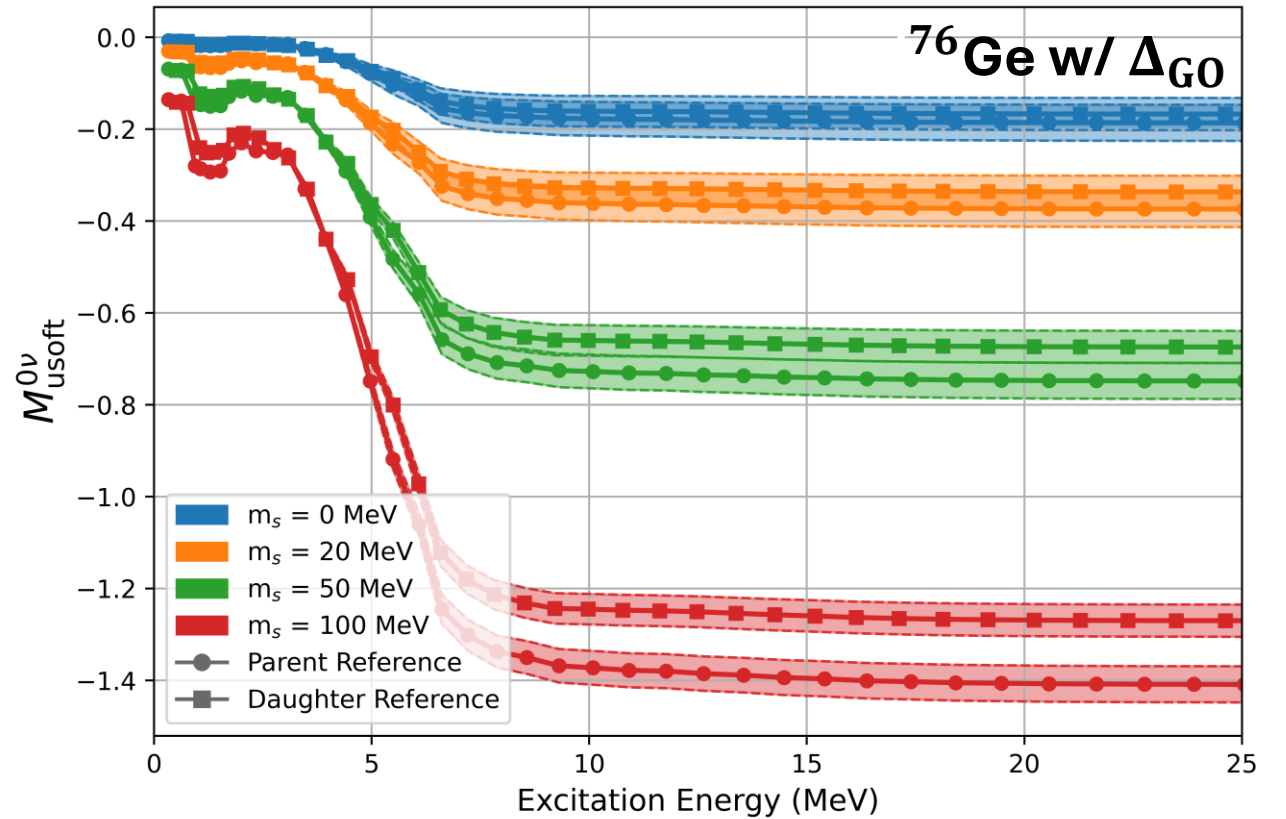
N²LO Ultrasoft Contributions

31

- At N²LO, contributions from ultrasoft neutrinos w/ $q < 100$ MeV
- LO for small m_s
- Dependence on intermediate 1^+ states and excitation energies

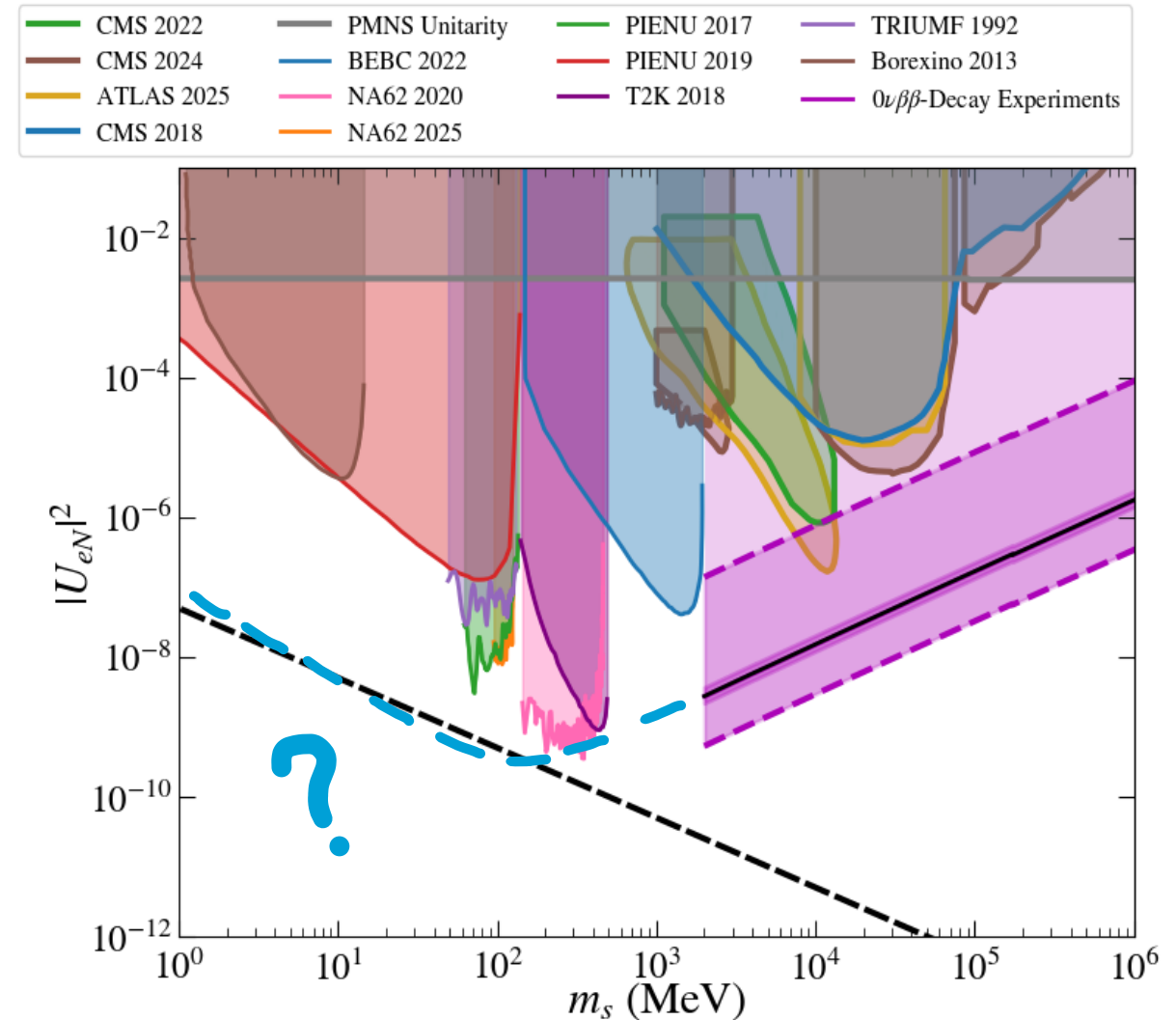
$$M_{\text{usoft}}^{0\nu}(m_s) = \frac{2R_A}{\pi g_A^2} \sum_n \langle 0_f^+ | \sum_k \tau_k^+ \sigma_k | 1_n^+ \rangle \langle 1_n^+ | \sum_k \tau_k^+ \sigma_k | 0_i^+ \rangle \times [f(m_s, \Delta E_1) + f(m_s, \Delta E_2)]$$

- Similar difficulties as to accurate description of $2\nu\beta\beta$ decay



Next Steps

- Calculation of N²LO loop contributions
 - More LECs ($g_v^{\pi\pi}$ and $g_v^{\pi N}$) enter here
- Potential large effect from VS-IMSRG(3f2) correction to investigate – see Ragnar’s talk week 1
- Large effect of valence space choice in Ca48, will this affect results in heavy isotopes? – see Lotta’s talk week 3



Thank you!

Special thanks to:

Alex Todd
Antoine Belley
Lotta Jokiniemi
Wouter Dekens
Vincenzo Cirigliano

Amelia Remnant
Frank Deppisch
Douglas Tuckler
Dave McKeen
Jason Holt



Arthur B. McDonald
Canadian Astroparticle Physics Research Institute

