

# Testing CKM Unitarity with the NA62 Experiment

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Testing the Standard Model in Charged-Weak Decays (INT-26-95W)

Seattle, WA, January 15, 2026

# 1<sup>st</sup>-row CKM Unitarity

Unitarity condition for 1<sup>st</sup>-row of CKM Matrix:

$$V_{\text{CKM}} = \begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix}$$

Unitarity condition:  $|V_{ud}|^2 + |V_{us}|^2 + |V_{ub}|^2 = 1$

Values:  $|V_{ud}|^2 \approx 0.949$ ,  $|V_{us}|^2 \approx 0.05$ ,  $|V_{ub}|^2 \approx 2 \times 10^{-5}$

$\Delta_{\text{CKM}} \approx |V_{ud}|^2 + |V_{us}|^2 - 1$

- $V_{ub}$  : measured from B meson decays ( $B \rightarrow X_u \ell \bar{\nu}$ ,  $B \rightarrow \pi \ell \bar{\nu}$ ), can ignore
- $V_{ud}$  : most precise determination from super-allowed nuclear  $\beta$  decays

$$|V_{ud}| = 0.97367(11)_{\text{exp}}(13)_{\text{Rad.Cor.}}(27)_{\text{Nucl.}}$$

- **Focus on determination of  $V_{us}$  and  $V_{us} / V_{ud}$  from kaon decays**

# $V_{us}$ from $K_{\ell 3}$ decays

$$\Gamma(K_{\ell 3}) = |V_{us}|^2 \frac{C_K^2 G_f^2 m_K^5}{192\pi^3} S_{EW} |f_+^{K^0\pi^-}|^2 I_{K\ell}(\lambda_{K\ell}) \left(1 + 2\Delta_K^{SU(2)} + 2\Delta_{K\ell}^{EM}\right)$$

where  $K \in [K^+, K^0]$ ,  $\ell \in [e, \mu]$ ,  $C_K^2 = \begin{cases} \frac{1}{2} \text{ for } K^+ \\ 1 \text{ for } K^0 \end{cases}$

$S_{EW} = 1.0232$  : universal Short-Distance EW Correction

## Experiment:

- $\Gamma(K_{\ell 3})$ : branching ratios for  $K_S$ ,  $K_L$ ,  $K^\pm$ , and kaon lifetimes
- $I_{K\ell}(\lambda_{K\ell})$ : integral of form factor over phase space
  - $K_{e3}$  : Only  $\lambda_+$  (or  $\lambda_+', \lambda_''$ )
  - $K_{\mu 3}$  : need  $\lambda_+$  and  $\lambda_0$

## Theory:

- $f_+^{K^0\pi^-}$ : hadronic matrix element (form factor) at zero momentum transfer ( $t=0$ )
- $\Delta_K^{SU(2)}$ : Form factor correction for SU(2) breaking
- $\Delta_{K\ell}^{EM}$ : Form factor correction for Long-Distance EM effects

# $V_{us} / V_{ud}$ from $K_{\mu 2}$ and $K_{\pi 2}$ decays

$$\frac{|V_{us}|}{|V_{ud}|} \frac{f_K}{f_\pi} = \left( \frac{\Gamma_{K_{\mu 2}(\gamma)} m_{\pi^\pm}}{\Gamma_{\pi 2(\gamma)} m_{K^\pm}} \right)^{1/2} \frac{1 - m_\mu^2/m_{\pi^\pm}^2}{1 - m_\mu^2/m_{K^\pm}^2} \left( 1 - \frac{1}{2} \delta_{EM} - \frac{1}{2} \delta_{SU(2)} \right)$$

## Experiment:

- $\Gamma_{K_{\mu 2}(\gamma)}$ : branching ratio  $\text{Br}(K_{\mu 2})$  and lifetime  $\tau_{K^\pm}$  from fit to  $K^\pm$  decays
- $\Gamma_{\pi 2(\gamma)}$ : branching ratio  $\text{Br}(\pi_{\mu 2})$  and lifetime  $\tau_{\pi^\pm}$  from PDG

## Theory:

- $f_K/f_\pi$ : ratio of decay constants
- $\delta_{EM}$ : long-distance EM corrections
- $\delta_{SU(2)}$ : strong isospin breaking  
 $f_K/f_\pi \rightarrow f_{K^\pm}/f_{\pi^\pm}$

$$\frac{|V_{us}|}{|V_{ud}|} \frac{f_K}{f_\pi} = 0.27679(28)_{\text{Br}}(20)_{\text{corr}}$$

See: [Boyle et al. \(JHEP 2023\)](#)

# Status of 1<sup>st</sup>-row CKM Unitarity

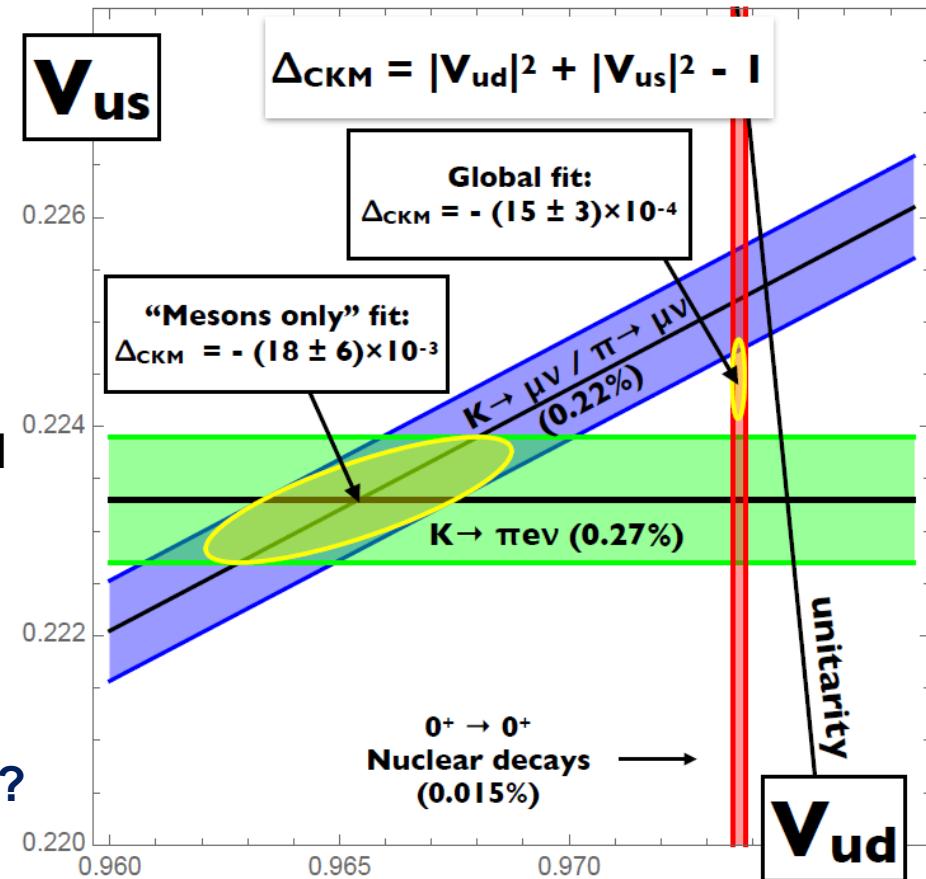
$$\Delta_{\text{CKM}} \approx |V_{ud}|^2 + |V_{us}|^2 - 1$$

|                      | Value                 | % Error |
|----------------------|-----------------------|---------|
| $V_{ud}$             | $0.97373 \pm 0.00031$ | 0.032   |
| $V_{us}(K_{\ell 3})$ | $0.2231 \pm 0.0007$   | 0.31    |
| $V_{us}(K_{\mu 2})$  | $0.2252 \pm 0.0005$   | 0.22    |

## Existing tensions:

- $3\sigma$  deficit in global fit for 1<sup>st</sup> row CKM unitary: **Cabibbo angle anomaly**
- $2.6\sigma$  discrepancy in  $|V_{us}|$  from average of  $K_{\ell 3}$  ( $\ell = e, \mu$ ) modes vs  $K_{\mu 2}$  mode

## Need for additional experimental data?



# Impact of New Measurements

[Cirigliano, Crivellin, Hoferichter, Moulson \(Phys.Lett.B 2023\)](#)

|                             | current fit                  | $K_{\mu 3}/K_{\mu 2}$ BR at 0.5% |                              |                              |
|-----------------------------|------------------------------|----------------------------------|------------------------------|------------------------------|
|                             |                              | central                          | $+2\sigma$                   | $-2\sigma$                   |
| $\Delta_{\text{CKM}}^{(1)}$ | -0.00176(56)<br>$-3.1\sigma$ | -0.00173(55)<br>$-3.1\sigma$     | -0.00162(56)<br>$-2.9\sigma$ | -0.00185(56)<br>$-3.3\sigma$ |
| $\Delta_{\text{CKM}}^{(2)}$ | -0.00098(58)<br>$-1.7\sigma$ | -0.00098(58)<br>$-1.7\sigma$     | -0.00108(58)<br>$-1.9\sigma$ | -0.00087(58)<br>$-1.5\sigma$ |
| $\Delta_{\text{CKM}}^{(3)}$ | -0.0164(63)<br>$-2.6\sigma$  | -0.0157(60)<br>$-2.6\sigma$      | -0.0118(62)<br>$-1.9\sigma$  | -0.0202(63)<br>$-3.2\sigma$  |

- Measurement of  $\text{Br}(K_{\mu 3}) / \text{Br}(K_{\mu 2})$  could help resolve or confirm discrepancy in  $K_{\ell 3}$  vs  $K_{\mu 2}$  modes
- Additional measurement of  $V_{us}$  /  $V_{ud}$  and  $V_{us}$  by NA62 could impact 1<sup>st</sup>-row CKM unitarity test

$$\Delta_{\text{CKM}}^{(1)} = |V_{ud}^\beta|^2 + |V_{us}^{K_{\ell 3}}|^2 - 1,$$

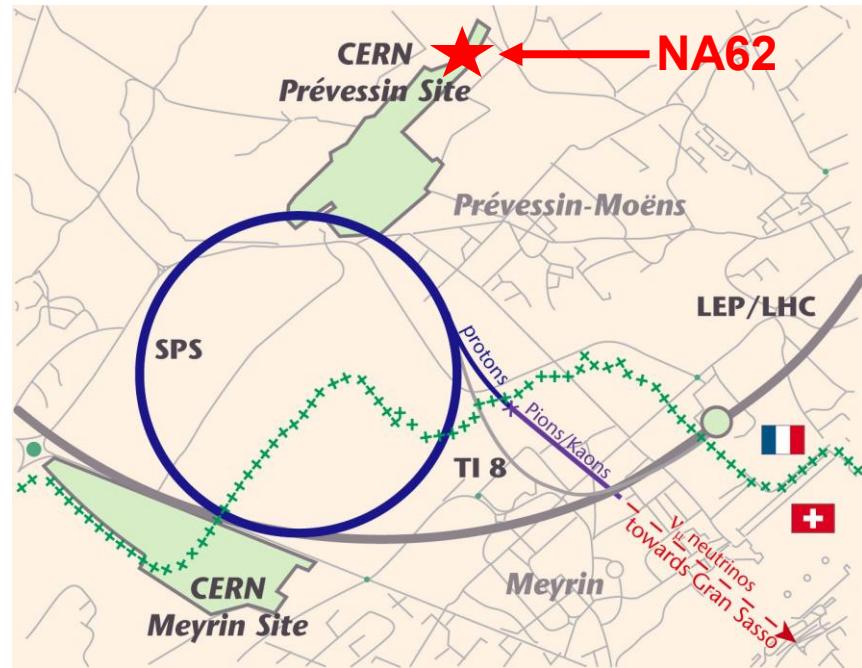
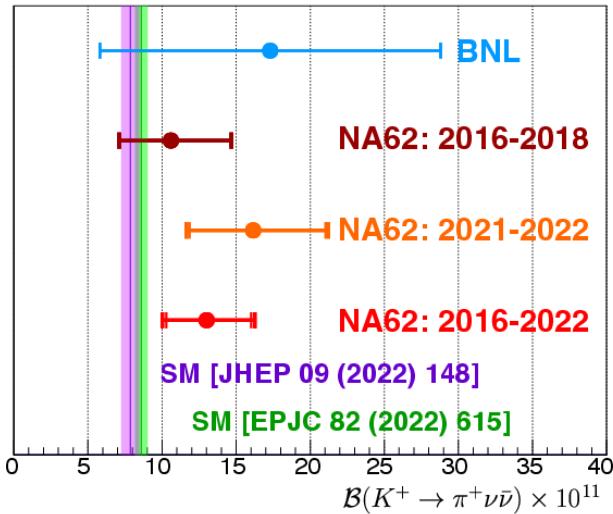
$$\Delta_{\text{CKM}}^{(2)} = |V_{ud}^\beta|^2 + |V_{us}^{K_{\ell 2}/\pi_{\ell 2}, \beta}|^2 - 1,$$

$$\Delta_{\text{CKM}}^{(3)} = |V_{ud}^{K_{\ell 2}/\pi_{\ell 2}, K_{\ell 3}}|^2 + |V_{us}^{K_{\ell 3}}|^2 - 1,$$

# The NA62 Experiment

Fixed target kaon decay experiment located at the CERN Prévessin site

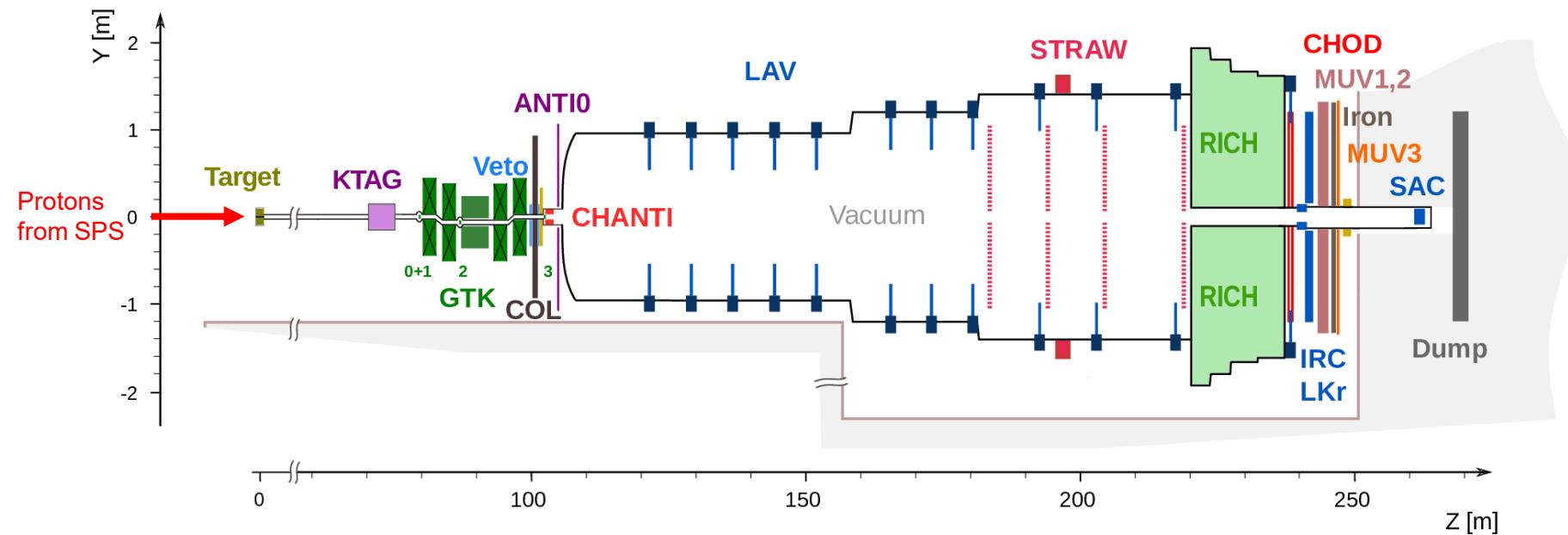
- 400 GeV/c proton beam from Super Proton Synchotron (SPS) directed at beryllium target
- Produces secondary 75 GeV/c kaon beam (70%  $\pi^+$ , 23% p, **6%  $K^+$** )
- Primary goal: to measure rare decay  $Br(K^+ \rightarrow \pi^+ \nu \bar{\nu}) = (13.0^{+3.3}_{-3.0}) \times 10^{-11}$   
\*JHEP 02 (2025) 191



Broader physics program:

- Rare and forbidden  $K^+$  decays, hidden sectors, exotics
- **Precision Measurements** ( $K^+ \rightarrow \mu^+ \nu$ ,  $K^+ \rightarrow \pi^0 \mu^+ \nu$ ,  $K^+ \rightarrow \pi^+ \pi^0$ )

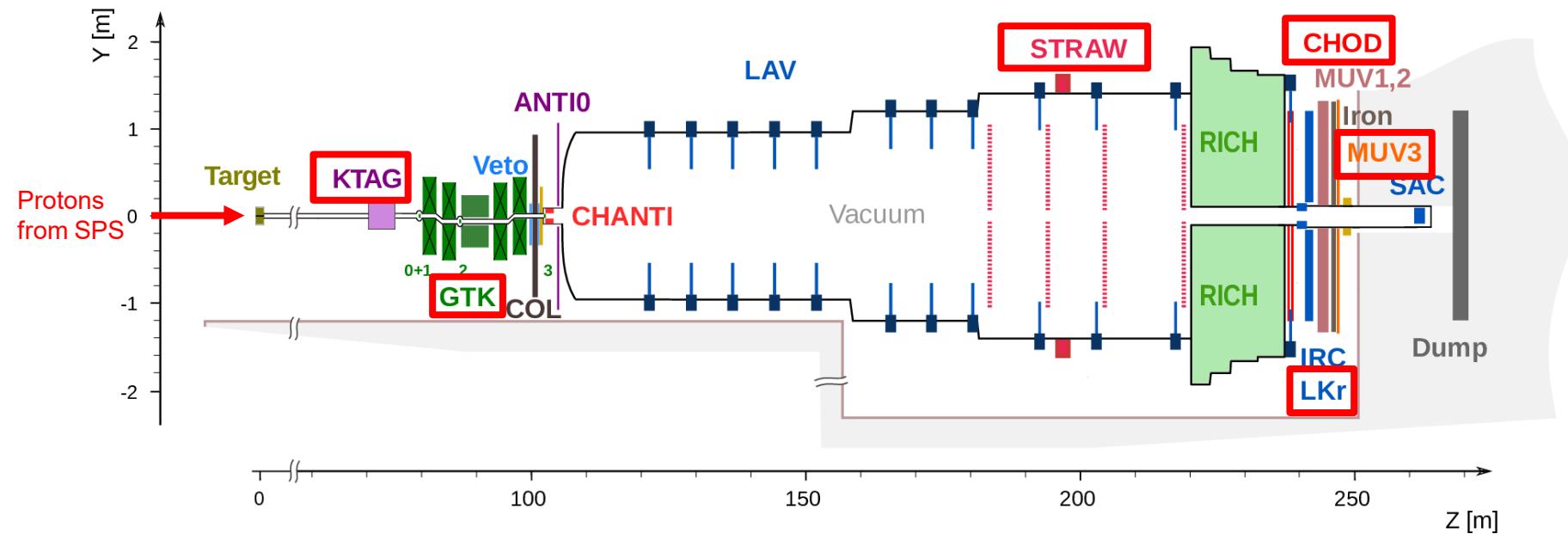
# The NA62 Detector



Comprehensive system for measuring kaon decays:

- Particle tracking: GTK, STRAW
- Calorimeters: LKr, MUV1, MUV2
- Particle identification: KTAG, MUV3, RICH
- Trigger and Veto systems: CHOD, CHANTI, ANTI-0, SAC, IRC, LAV

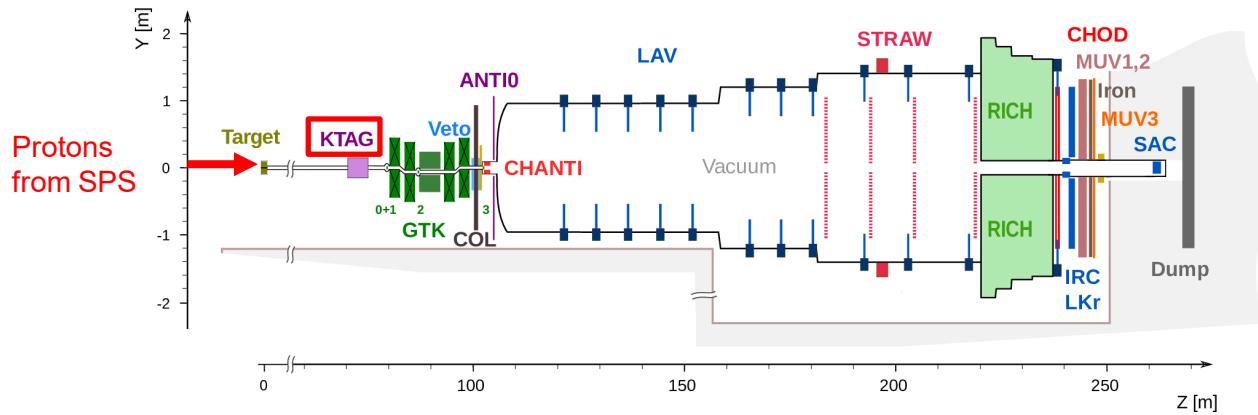
# The NA62 Detector



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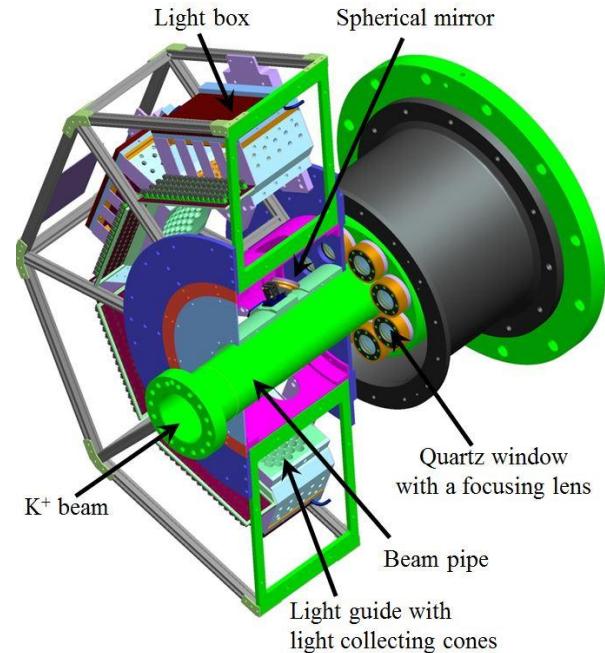
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# The NA62 Detector

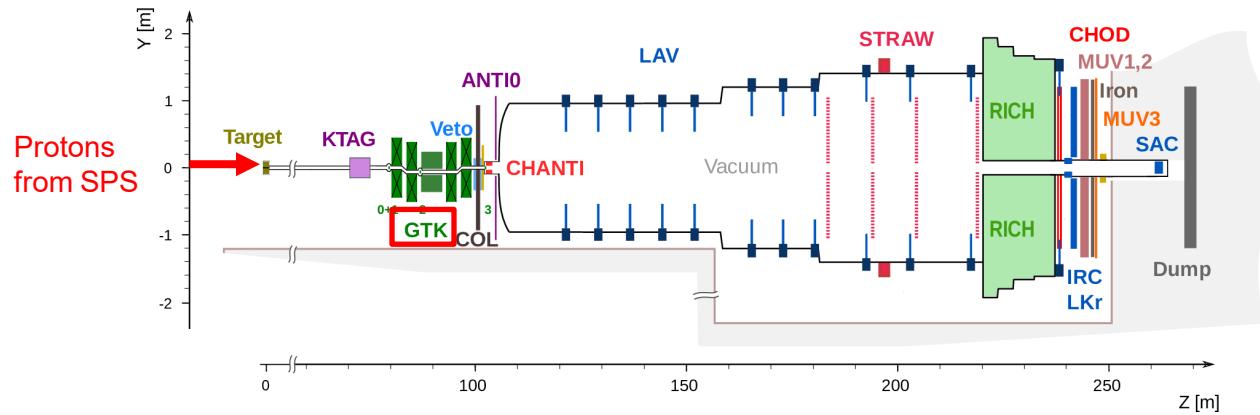


## Kaon tagger (KTAG):

- Differential Cherenkov counter for tagging beam kaons
- 70 ps timing resolution
- >95% kaon tagging efficiency, pion misidentification rate  $O(10^{-4})$

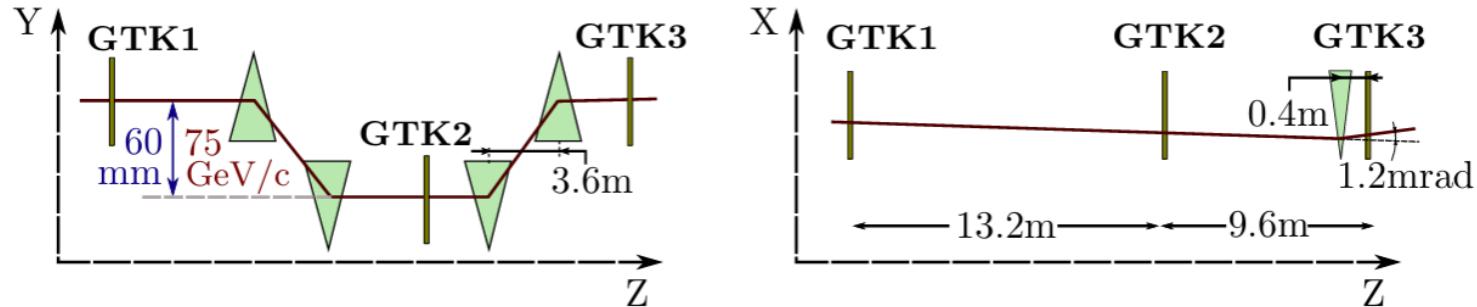


# The NA62 Detector

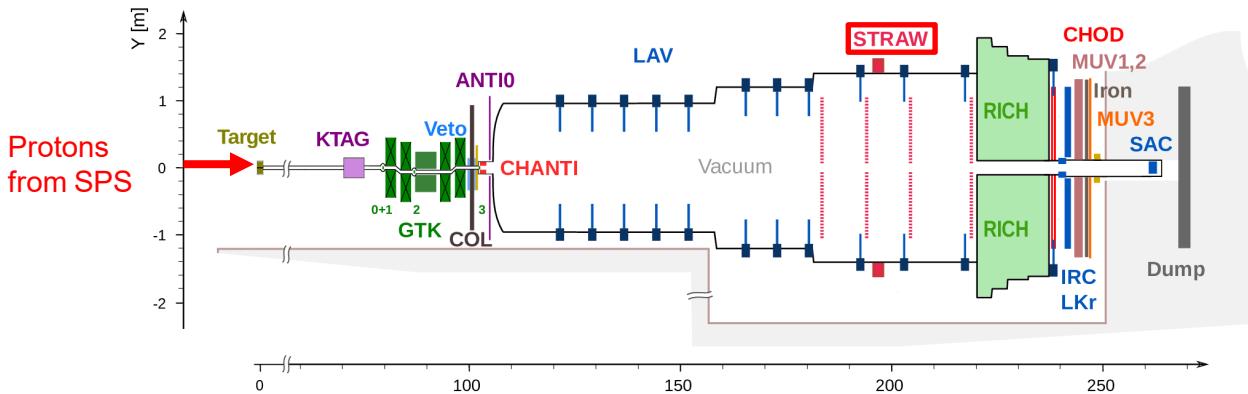


## Gigatracker (GTK):

- Silicon hybrid pixel beam spectrometer for measuring beam momentum
- Needed for computing missing mass squared  $m_{\text{miss}}^2 = (p_{K^+} - p_{\text{track}})^2$
- 100 ps time resolution, 0.15 GeV/c momentum resolution

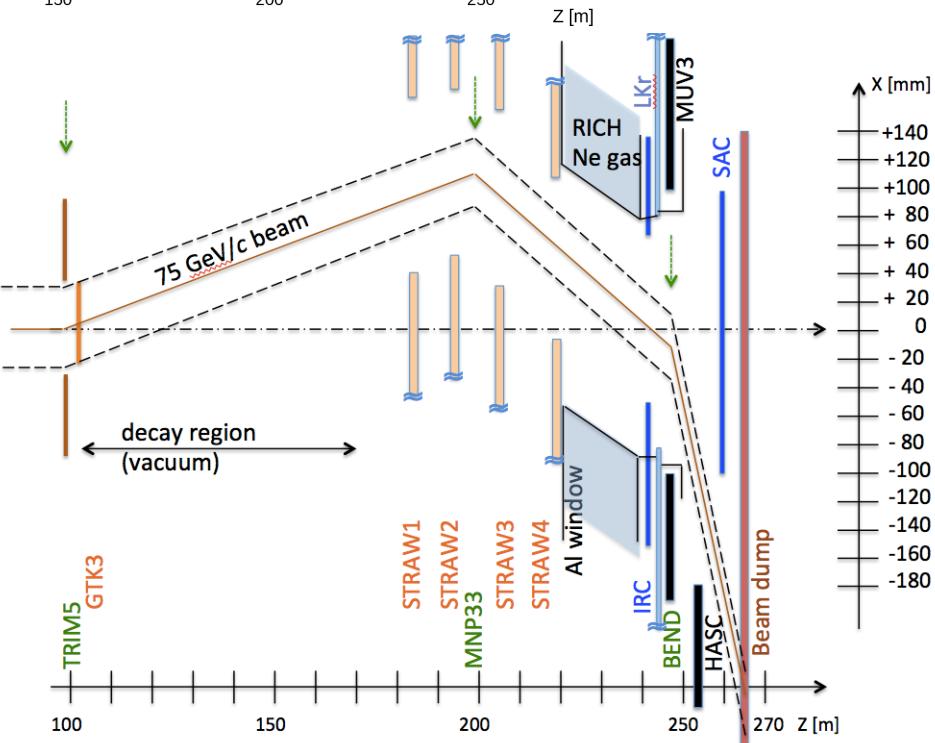


# The NA62 Detector

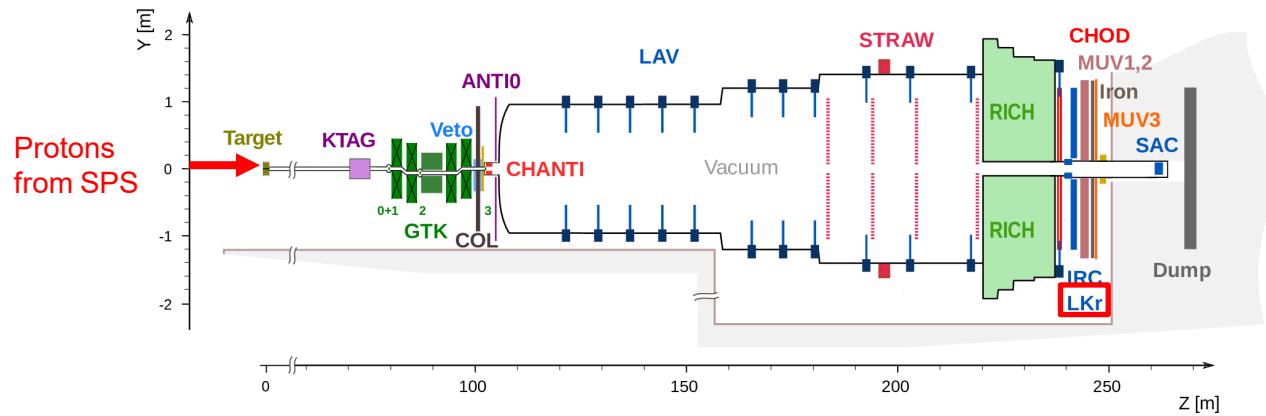


## Straw Tracker:

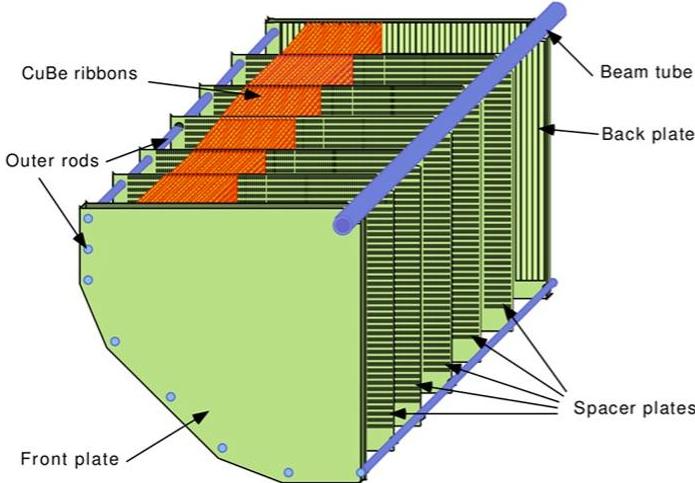
- Four chambers in  $\{x, y, u, v\}$  planes, two both upstream and downstream of dipole magnet (MNP33)
- Measures momentum of charged particles ( $K^+$ ,  $\pi^+$ ,  $\mu^+$ )
- 130  $\mu$ m spatial resolution
- $\sigma_p/p = (0.30 \oplus 0.005 \cdot p)\%$



# The NA62 Detector

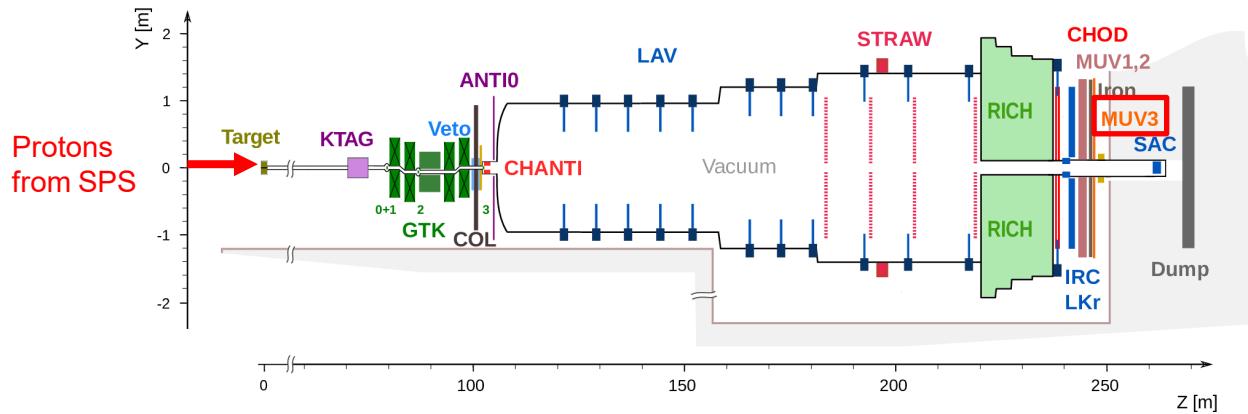


## Liquid Krypton Calorimeter (LKr):



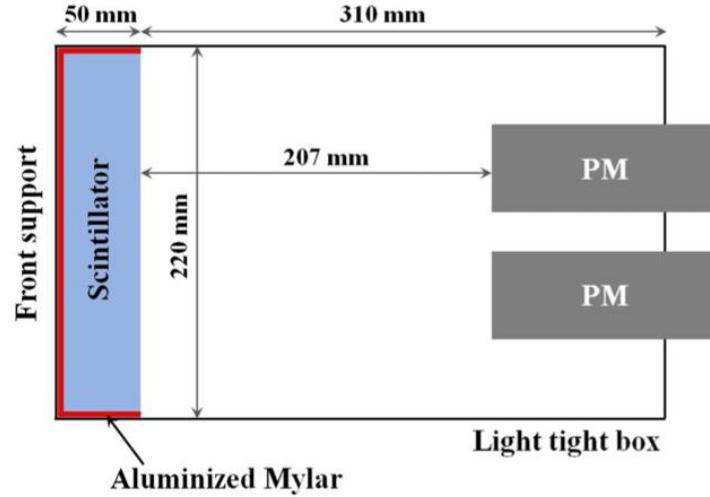
- Measures energy, position and timing of photons  $\rightarrow$  infer presence of  $\pi^0$
- 1 mm spatial resolution, 0.5 – 1 ns time resolution
- $\sigma_E/E = (4.8/\sqrt{E} \oplus 11/E \oplus 0.9)\%$

# The NA62 Detector

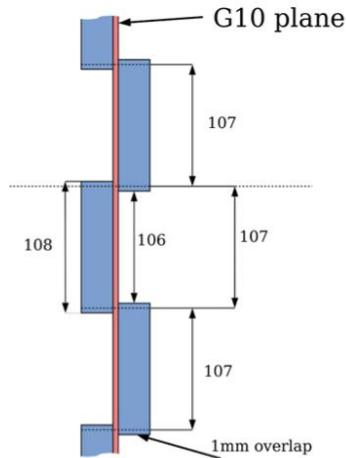
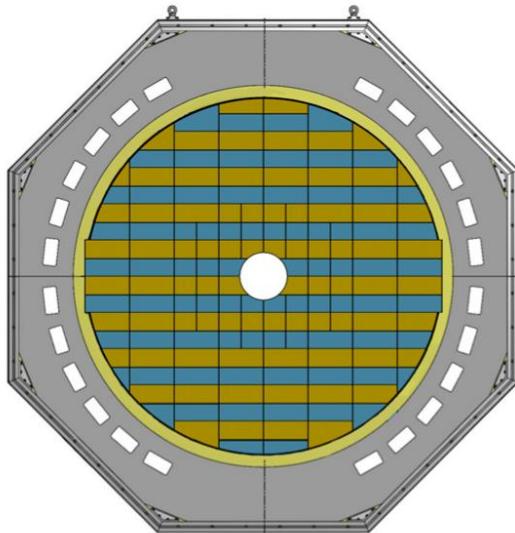
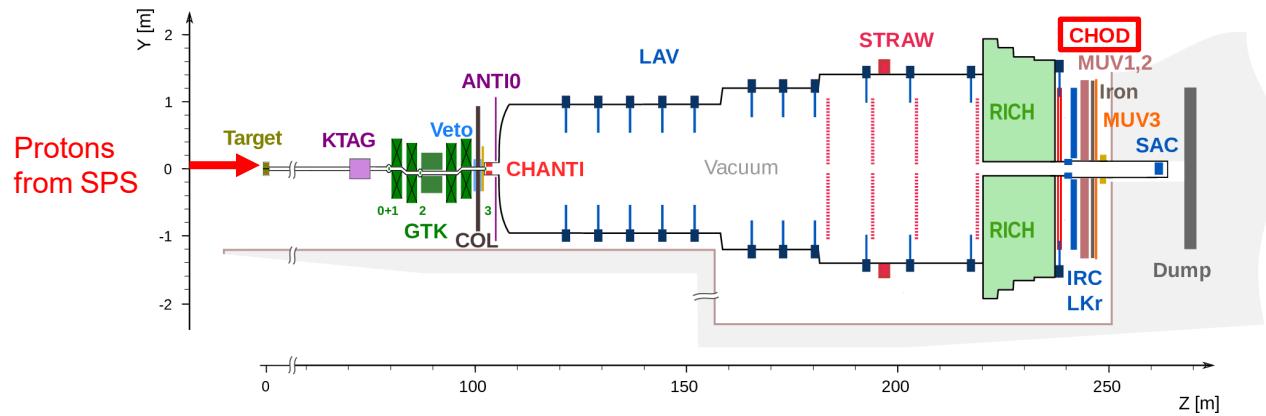


## Muon Veto Station (MUV3):

- muon tagger (hit in-time with STRAW track)
- >99% muon detection efficiency
- Misidentification rate  $O(<10^{-5})$
- $\sim 500$  ps time resolution



# The NA62 Detector



## Charged hodoscopes (CHOD):

- Two scintillator hodoscopes: matrix of tiles (CHOD) and two planes of slabs (NA48-CHOD)
- 1 ns (CHOD) and 200 ps (NA48-CHOD) time resolution
- Acts as L0 trigger

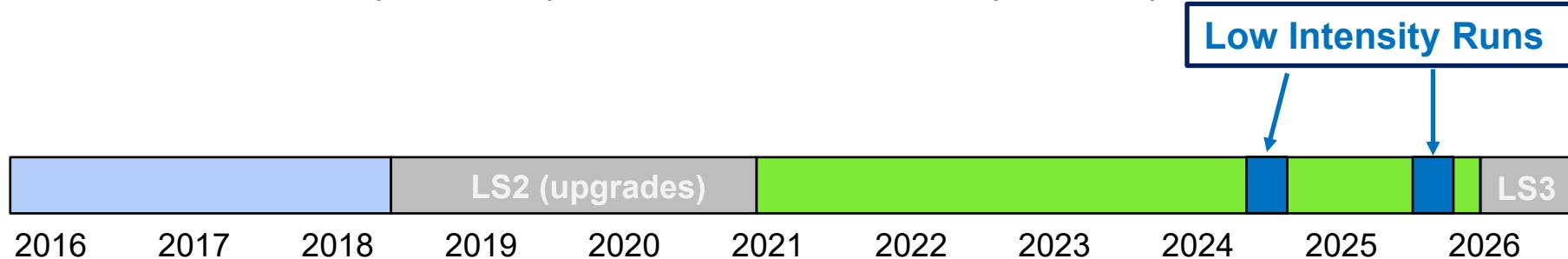
# Data Set

Normal data taking conditions at  $\sim 750$  MHz hadron beam,  $\sim 4.5$  MHz of kaon decays in fiducial region

- Required for reaching  $O(10^{13})$  total kaon decays for  $\text{Br}(K^+ \rightarrow \pi^+ \nu \bar{\nu})$
- Large amount of overlapping events (pileup)  $\rightarrow$  more difficult to properly simulate detector effects (i.e. worse Data/MC agreement)

**Take dedicated low intensity runs at  $\sim 1\%$  nominal intensity instead:**

- Use **minimum bias trigger** (CHOD + STRAW) for measurement, **control trigger** (NA48-CHOD + STRAW) for systematic + efficiency studies
- Stable conditions, low overlap  $\rightarrow$  better handle on systematic uncertainties (i.e. better Data/MC agreement)
- One week of data taking in 2024 and 2025 sufficient to ensure uncertainties are not statistically limited (<0.1% for main  $K^+$  decay modes)



# Measuring $V_{us} / V_{ud}$

Use NA62 to measure ratio of  $\Gamma(K^+ \rightarrow \mu^+ \nu)$  to  $\Gamma(\pi^+ \rightarrow \mu^+ \nu)$ :

- $\Gamma(\pi^+ \rightarrow \mu^+ \nu)$  determined from  $K^+ \rightarrow \pi^+ \pi^0$  muon DIF decays
- Determine relative yields from maximum likelihood fit of shape templates from Monte Carlo (MC) simulation to **missing mass squared distributions** ( $m_{K^+ - \mu^+}^2$ )
- Multi-bin shape template fit for  $K^+ \rightarrow \pi^+ \pi^0$  to separate from  $K^+ \rightarrow \pi^0 \mu^+ \nu$  background, single bin fit (i.e. counting experiment) for  $K^+ \rightarrow \mu^+ \nu$
- Use this ratio to extract  $|V_{us}| / |V_{ud}|$ :

$$\frac{|V_{us}|}{|V_{ud}|} \frac{f_K}{f_\pi} = \left( \frac{\Gamma_{K \mu 2(\gamma)} m_{\pi^\pm}}{\Gamma_{\pi 2(\gamma)} m_{K^\pm}} \right)^{1/2} \frac{1 - m_\mu^2/m_{\pi^\pm}^2}{1 - m_\mu^2/m_{K^\pm}^2} \left( 1 - \frac{1}{2} \delta_{EM} - \frac{1}{2} \delta_{SU(2)} \right)$$

- Big advantage: first-order cancellation of shared systematic effects
- Aim is  $\leq 0.5\%$  precision (limited by  $\sim 0.4\%$  uncertainty on  $\text{Br}(K_{\pi 2})$ )

# Measuring $V_{us} / V_{ud}$

$$1) \Gamma(K^+ \rightarrow \mu^+ \nu) = \frac{Br(K^+ \rightarrow \mu^+ \nu)}{\tau_{K^+}} = \frac{1}{\tau_{K^+}} \frac{N_\mu^{K\mu 2}}{N_K a_\mu^{K\mu 2}}$$

$$2) \Gamma(\pi^+ \rightarrow \mu^+ \nu) = \frac{Br(\pi^+ \rightarrow \mu^+ \nu)}{\tau_{\pi^+}} = \frac{1}{\tau_{\pi^+}} \frac{N_{\mu-\pi^0}^{\pi\mu 2}}{N_K Br(K^+ \rightarrow \pi^+ \pi^0) a_{\pi^+ \rightarrow X}^{K\pi 2} a_{\mu-\pi^0}^{\pi\mu 2}}$$

$$R_A^{K\mu 2} = \frac{\Gamma(K^+ \rightarrow \mu^+ \nu)}{\Gamma(\pi^+ \rightarrow \mu^+ \nu)} = \frac{Br(\pi^+ \rightarrow \mu^+ \nu) \tau_{\pi^+}}{\tau_{K^+}} \frac{\frac{N_\mu^{K\mu 2}}{N_{\mu-\pi^0}^{\pi\mu 2}} \frac{a_{\pi^+ \rightarrow X}^{K\pi 2} a_{\mu-\pi^0}^{\pi\mu 2}}{a_\mu^{K\mu 2}}}{\frac{N_\mu^{K\mu 2}}{N_{\mu-\pi^0}^{\pi\mu 2}} \frac{a_{\pi^+ \rightarrow X}^{K\pi 2} a_{\mu-\pi^0}^{\pi\mu 2}}{a_\mu^{K\mu 2}}}$$

$N_\mu^{K\mu 2}$  = number of tagged muons from  $K^+ \rightarrow \mu^+ \nu$

$N_{\mu-\pi^0}^{\pi\mu 2}$  = number of tagged muon-pi0 from  $K^+ \rightarrow \pi^+ \pi^0 \rightarrow \pi^+ \rightarrow \mu^+ \nu$

$a_\mu^{K\mu 2}$  = acceptance for  $K_{\mu 2}$  muons

$a_{\mu-\pi^0}^{\pi\mu 2}$  = combined acceptance for muon-pi0 from  $K_{\pi 2}$  pi0 and  $\pi_{\mu 2}$  DIF muon

$a_{\pi^+ \rightarrow X}^{K\pi 2}$  = acceptance for  $\pi^+$  decay from  $K_{\pi 2}$  decays

Obtain from Data  
Obtain from MC

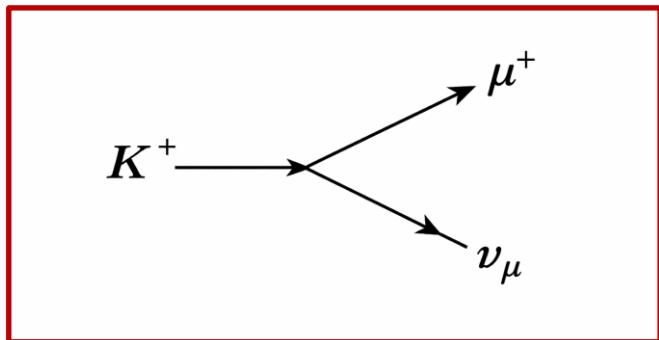
# Signal Selections

Two signal regions (SRs) separately defined for both based on  $n_{\pi^0}$  and missing mass squared (MMS) selections ( $m_{K^+ - \mu^+}^2$ ,  $m_{K^+ - \pi^0}^2$ ):

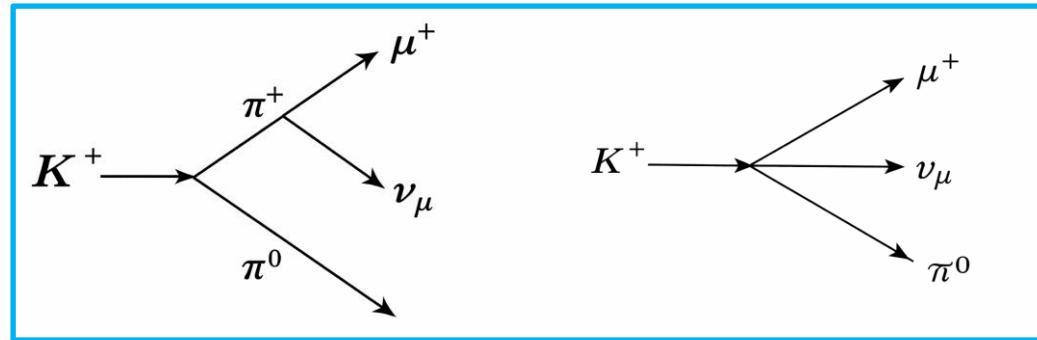
- Presence of  $\pi^0$  determined from photon candidates in **LKr**
- Muon candidate determined from **STRAW track** matched to hit in **MUV3**
- Kaon decay determined from presence of **KTAG candidate** and kaon candidate in **GTK** matched to **STRAW track**
- Additional selections to reduce remaining background (track momentum, ratio of LKr energy to track momentum)

Goal is to keep selections simple, **minimize systematic effects** from selections

$$n_{\pi^0} = 0$$

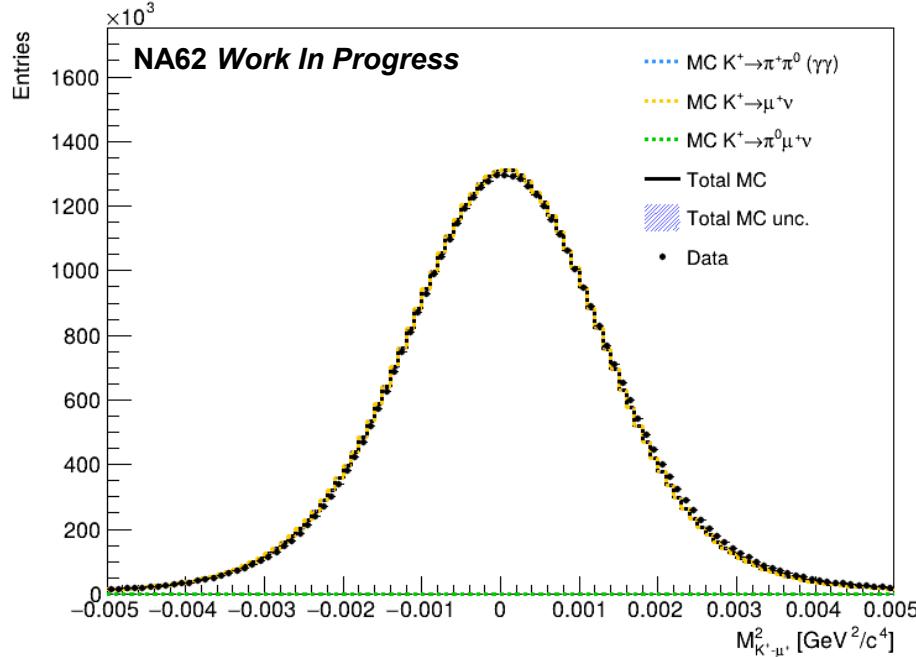


$$n_{\pi^0} = 1$$

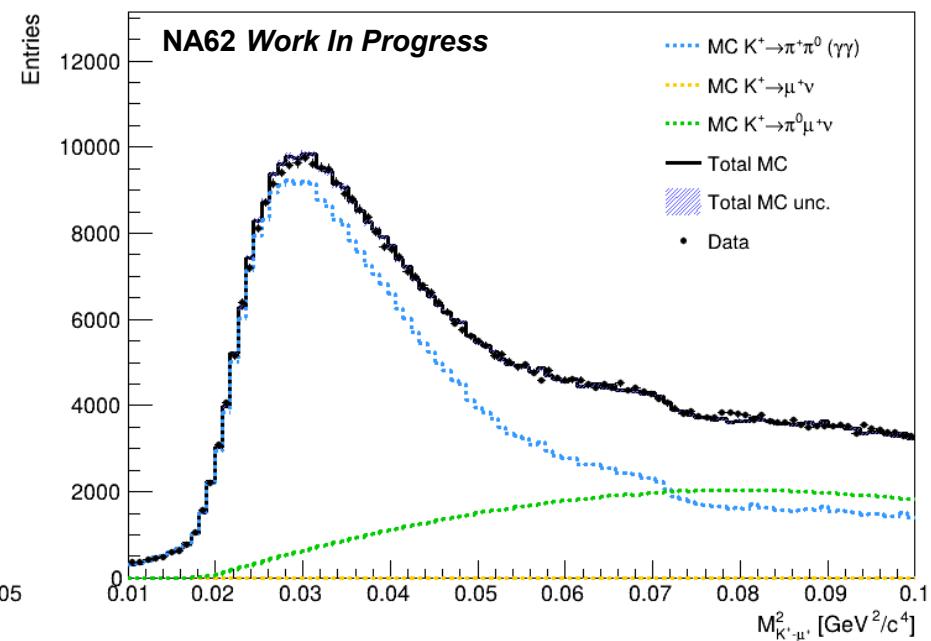


# Signal Regions

**Km2 SR ( $K^+ \rightarrow \mu^+ \nu$ )**



**K2pi SR ( $K^+ \rightarrow \pi^+ \pi^0$ )**



| Process | MC Acceptance ( $n_{\pi^0} = 0$ )           | MC Acceptance ( $n_{\pi^0} = 1$ )           |
|---------|---|---|
| Km2     | $0.42 \pm 7.6 \times 10^{-4}$               | $0.0 \pm 0.0$                               |
| K2pi    | $5.7 \times 10^{-5} \pm 1.0 \times 10^{-6}$ | $1.1 \times 10^{-2} \pm 1.0 \times 10^{-5}$ |
| Km3     | $6.0 \times 10^{-6} \pm 1.0 \times 10^{-7}$ | $2.6 \times 10^{-2} \pm 1.7 \times 10^{-5}$ |

Data shown for **single run** in 2024 (out of 25 total), rest of data set blinded

# Systematic Uncertainties

Implement systematic effects on yield through nuisance parameters in fit:

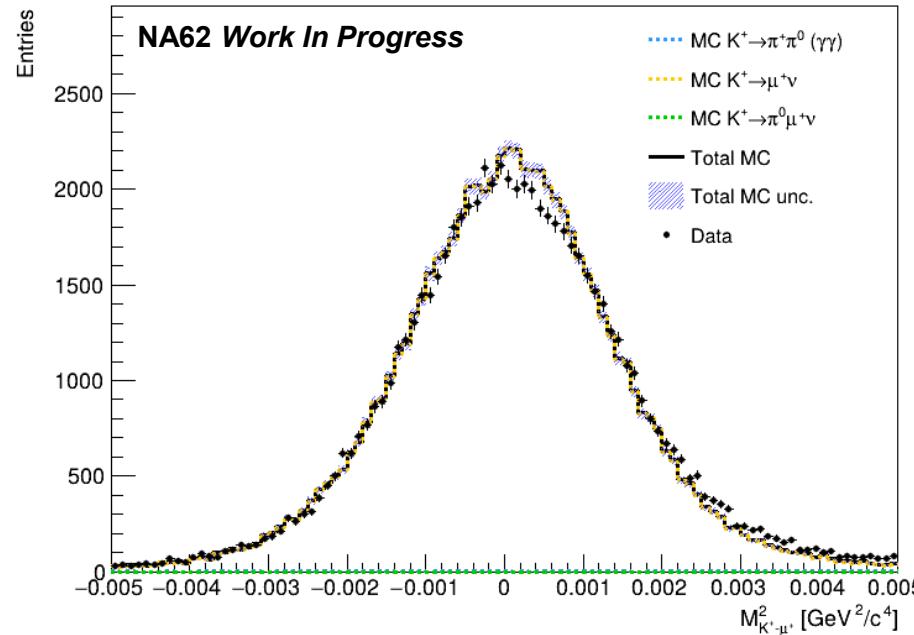
| Systematic                                | Type  | Relevant processes                       | Size                            |
|---|-------|--|---------------------------------|
| PDG Br                                    | logN  | Background                               | ~0.4-2%                         |
| Pi0 selection efficiency<br>in Data vs MC | logN  | Signal + background (only in<br>K2pi SR) | 0.5%                            |
| MC Acceptance                             | Shape | K2pi, Km3 (K2pi SR)<br>Km2 (Km2 SR)      | 2% (K2pi), 1% (Km3)<br>5% (Km2) |

To determine effect of MC acceptance systematics on extracted yield, define separate control regions (CRs) orthogonal to signal regions to evaluate Data/MC agreement:

- $K^+ \rightarrow \mu^+ \nu$  ( $n_{\pi^0} = 0$ ): SR selection with MUV3 selection inverted (veto)
- $K^+ \rightarrow \pi^+ \pi^0$  ( $n_{\pi^0} = 1$ ): SR selection with MUV3 selection inverted (veto)
- $K^+ \rightarrow \pi^0 \mu^+ \nu$  ( $n_{\pi^0} = 1$ ): SR selection with  $m_{K^+ - \pi^0}^2 > 0.035 \text{ GeV}^2/c^4$
- For remaining backgrounds (<<1%), rely on MC

# Control Regions ( $n_{\pi^0} = 0$ )

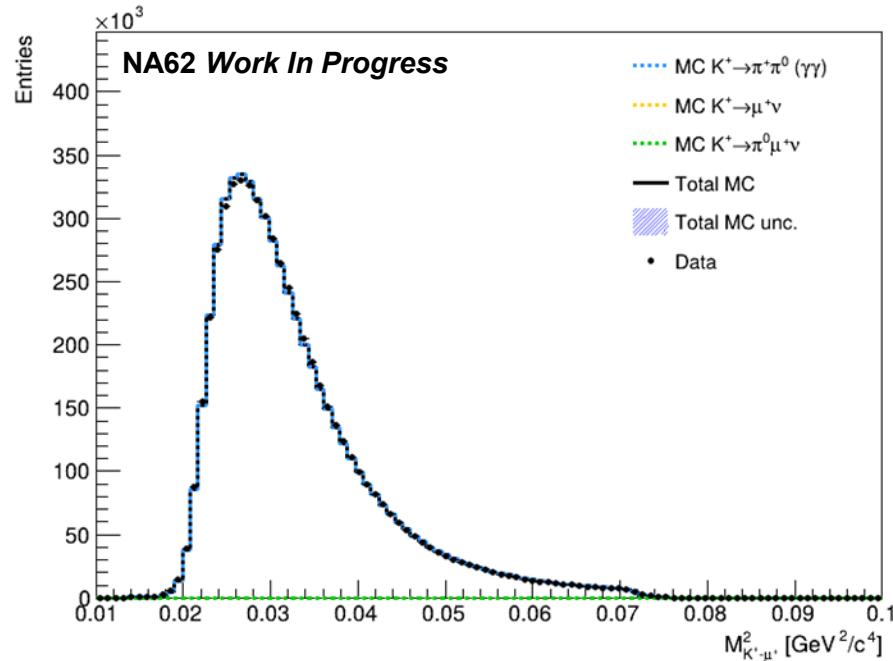
## Km2 CR ( $K^+ \rightarrow \mu^+ \nu$ )



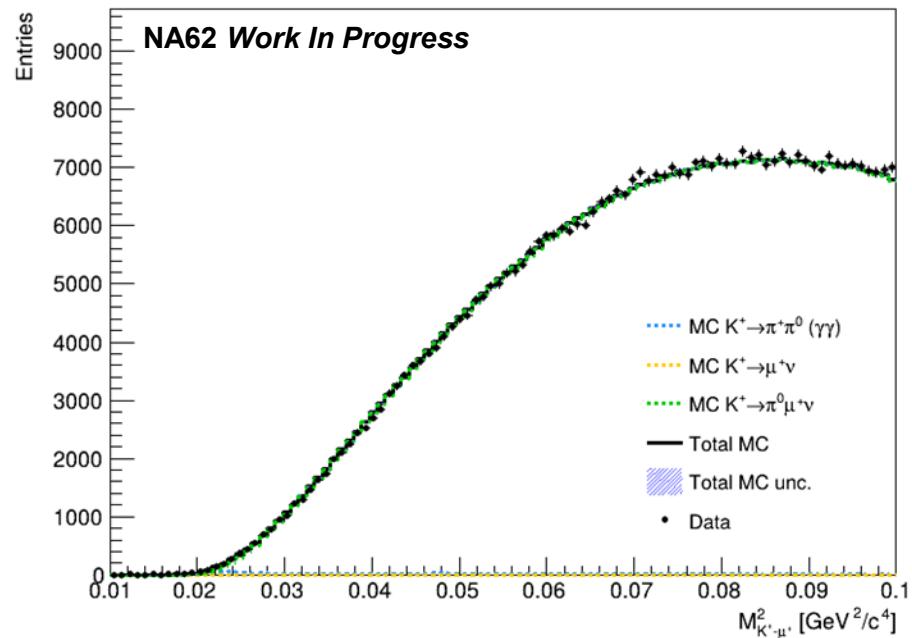
Data shown for **single run** in 2024 (out of 25 total), rest of data set blinded

# Control Regions ( $n_{\pi^0} = 1$ )

K2pi CR ( $K^+ \rightarrow \pi^+ \pi^0$ )



Km3 SR ( $K^+ \rightarrow \pi^0 \mu^+ \nu$ )



Data shown for **single run** in 2024 (out of 25 total), rest of data set blinded

# Estimated Total Uncertainty on $V_{us} / V_{ud}$

Rough estimate using statistical uncertainties for MC acceptances and external uncertainty on  $\text{Br}(K_{\pi 2})$ :

|                                   | Relative Uncertainty                   |
|-----------------------------------|--|
| $N_{Km2}$                         | $2.9 \times 10^{-3}$                   |
| $N_{K2pi}$                        | $2.2 \times 10^{-3}$                   |
| $a_{Km2}$                         | $9.3 \times 10^{-4}$                   |
| $a_{K2pi}$                        | $1.8 \times 10^{-4}$                   |
| $\text{Br}(K_{\pi 2})$            | $3.9 \times 10^{-3}$                   |
| <b><math>V_{us}/V_{ud}</math></b> | <b><math>5.4 \times 10^{-3}</math></b> |

Caveats:

- Systematic studies incomplete (MUV3 efficiency, L0/L1 trigger efficiency, etc.)
- Limited statistics from looking at single run

However, demonstrates that precision of O(0.5%) can be achieved!

# Measuring $\text{Br}(K_{\mu 3}) / \text{Br}(K_{\mu 2})$ and $V_{us}$

Can also use NA62 to measure ratio  $R_{32} = \text{Br}(K_{\mu 3}) / \text{Br}(K_{\mu 2})$  :

- Use distributions of MC simulated kinematic variables to perform template fit of six main  $K^+$  decay modes
- Determine branching ratios of main decay modes from fraction of the data for each component

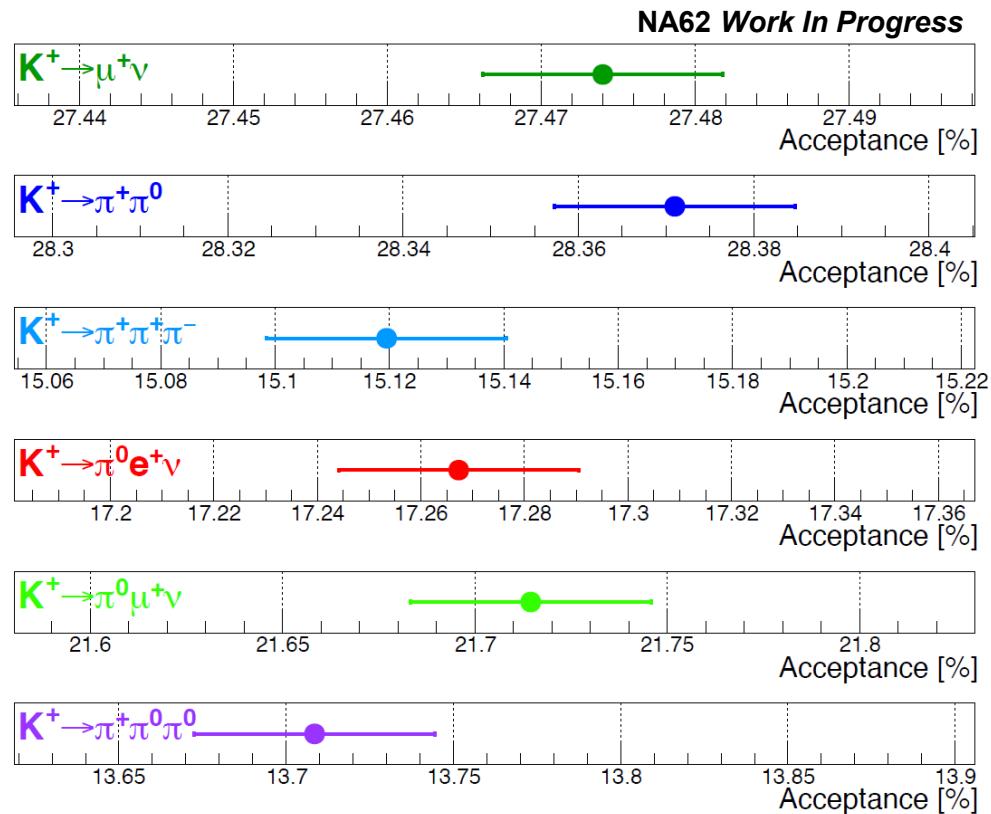
Compute expected fraction:

$$f_i = \frac{\mathcal{B}_i A_i}{\sum_{i=1}^6 \mathcal{B}_i A_i}$$

$$f'_i = \frac{N'_{\text{MC},i}}{N_{\text{data}}} = \frac{N'_{\text{MC},i}}{\sum_i N'_{\text{MC},i}}$$



$$\mathcal{B}'_i = \frac{f'_i}{A_i} \cdot \sum_{i=1}^6 \mathcal{B}_i A_i$$



# Measuring $\text{Br}(K_{\mu 3}) / \text{Br}(K_{\mu 2})$ and $V_{us}$

**Advantage: can extract branching ratios without absolute normalization**

- Use PDG value for  $K^+$  lifetime
- Use branching ratios to determine both  $\text{Br}(K_{\mu 3}) / \text{Br}(K_{\mu 2})$  and  $V_{us}$  :

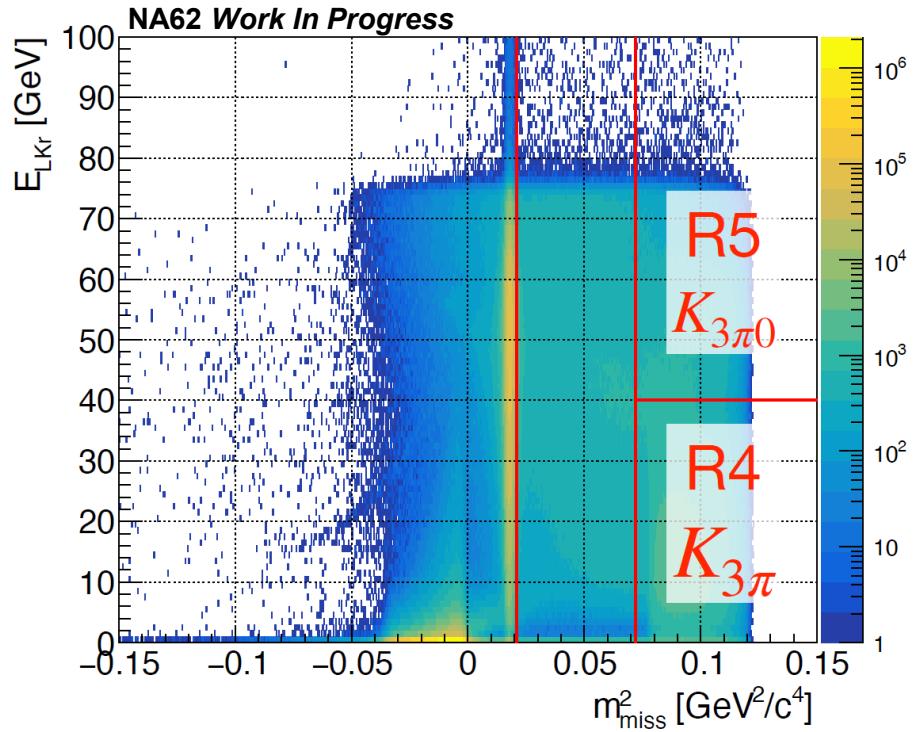
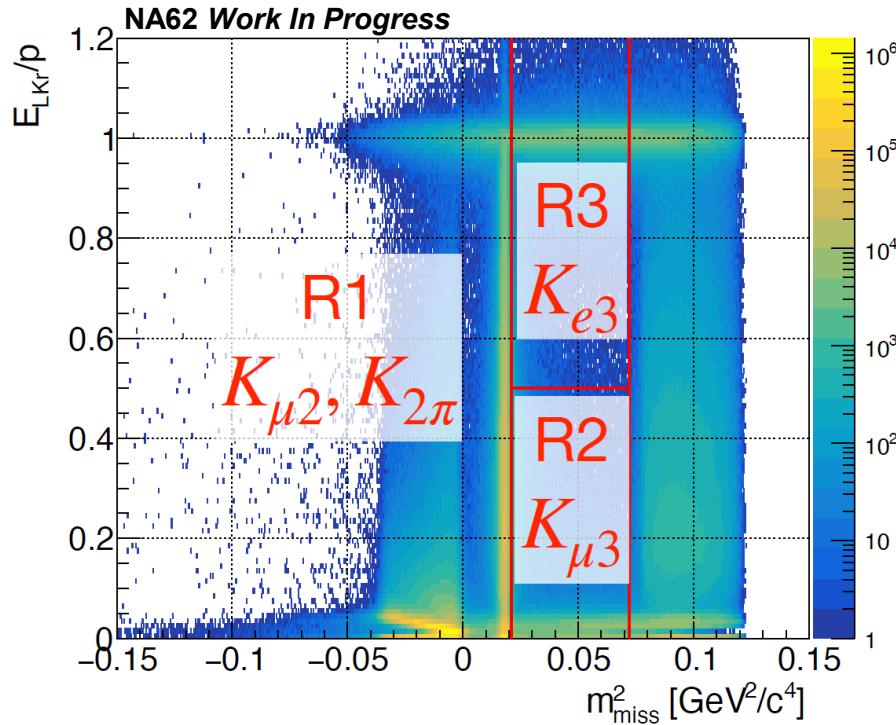
$$\Gamma(K_{\ell 3}) = |V_{us}|^2 \frac{C_K^2 G_f^2 m_K^5}{192\pi^3} S_{EW} |f_+^{K^0 \pi^-}|^2 I_{K\ell}(\lambda_{K\ell}) \left( 1 + 2\Delta_K^{SU(2)} + 2\Delta_{K\ell}^{\text{EM}} \right)$$

- **Similar target precision of  $\sim 0.5\%$**

Two approaches to template fit:

- Maximum likelihood fit vs minimizing  $\chi^2$
- Use one as a cross-check for the other

# Selectons and Fit Bin Definitions

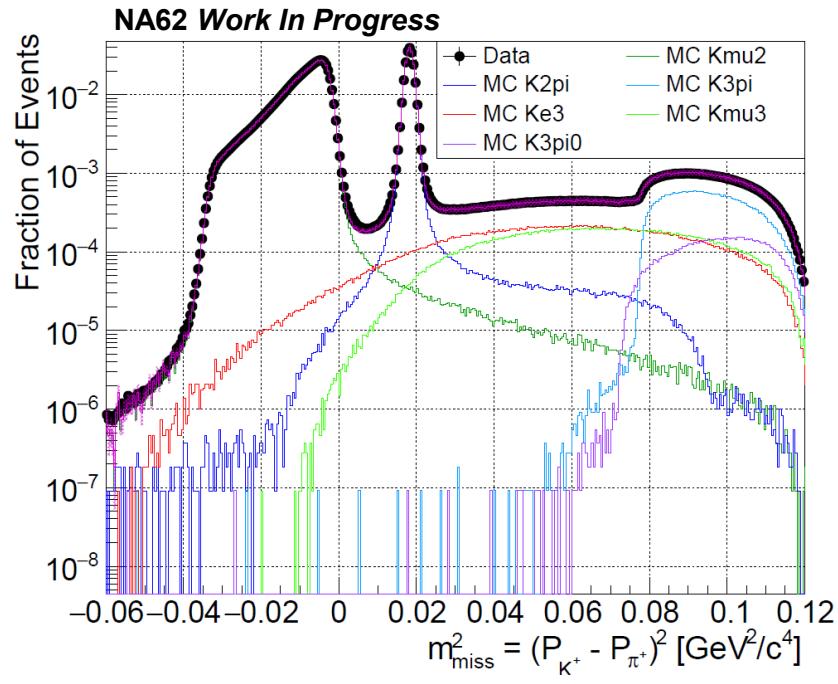


Similar selection criteria to  $V_{us} / V_{ud}$  :

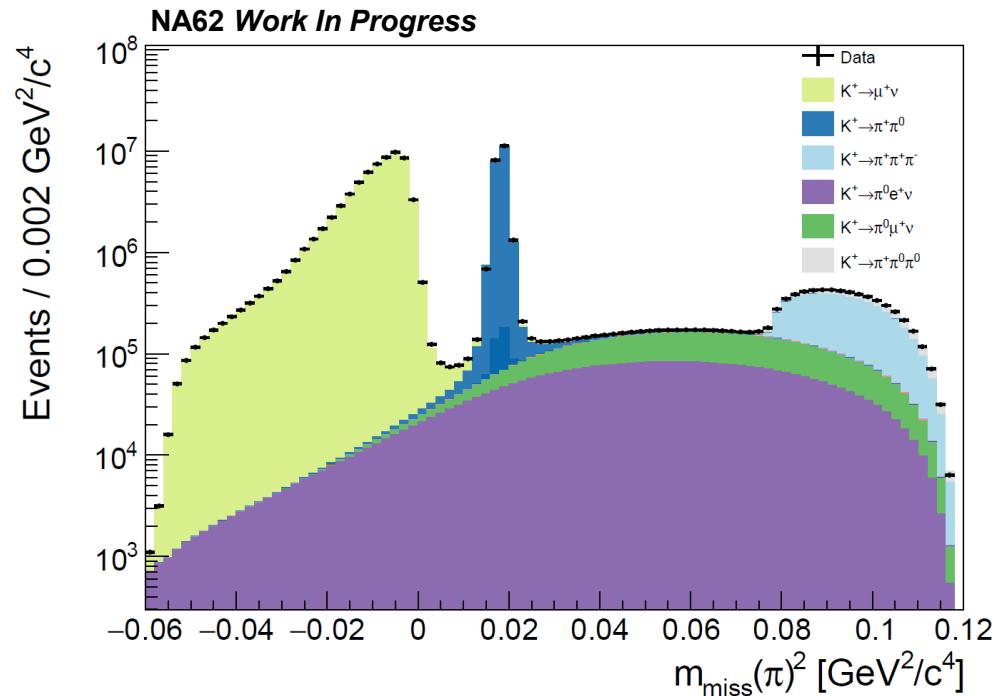
- One positively charged track, KTAG association, STRAW track matched to GTK candidate
- To avoid strong correlations between  $K_{e3}$  vs  $K_{\mu 3}$  and  $K_{3\pi}$  and  $K_{3\pi 0}$ , define five separate MMS bins

# Template Fits to MMS

## Maximum Likelihood Fit



## $\chi^2$ Fit



Data shown for **single run** in 2024 (out of 25 total), rest of data set blinded

# Current Status and Prospects

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- Analysis frameworks developed, systematic studies underway
- Only small portion of 2024 low intensity data set analyzed, 2025 available and planned to be included
- Even with limited data set, statistical uncertainties comparable to systematics → **final measurement not expected to be statistical limited**, current data set is sufficient

Largest external uncertainties:

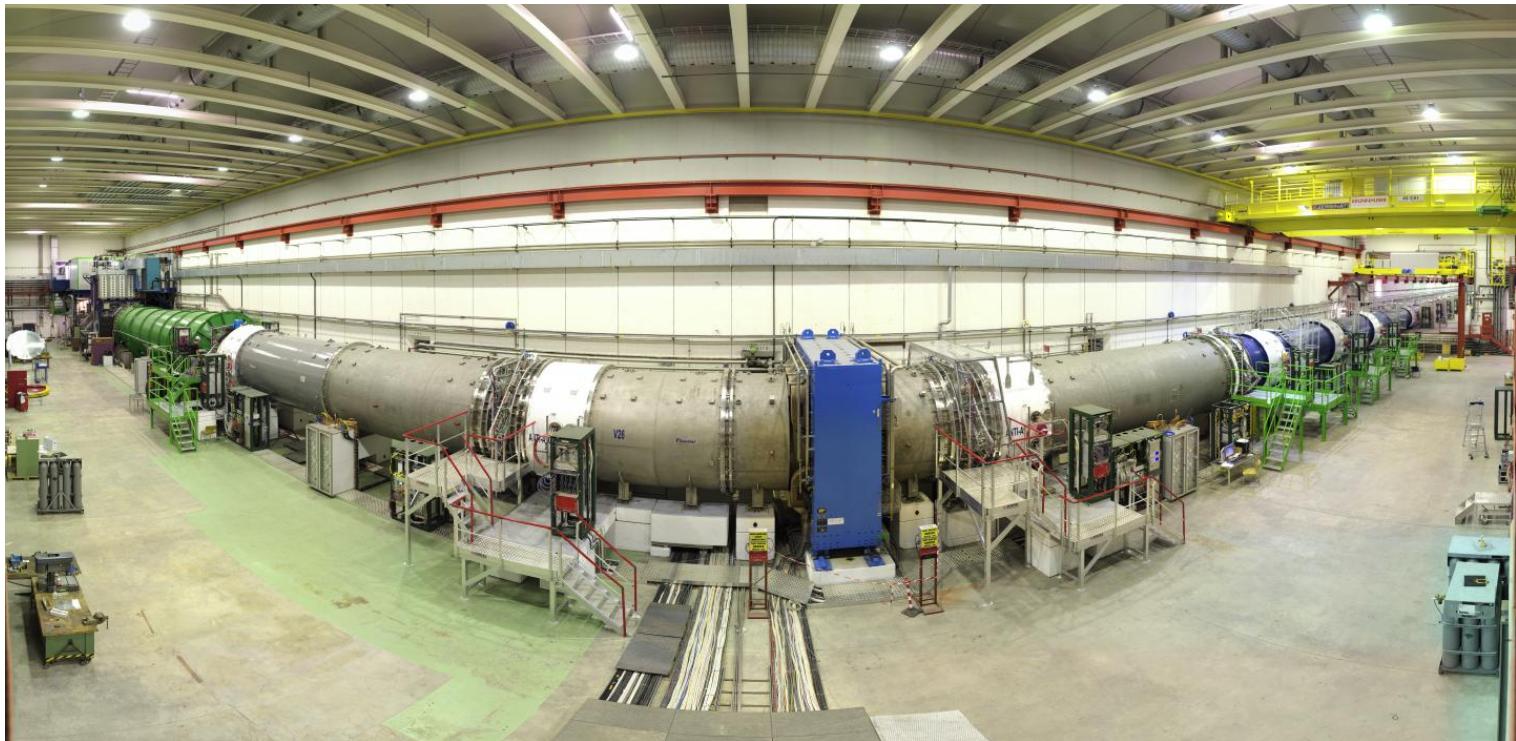
- $V_{us} / V_{ud}$  :  $Br(K_{\mu 2})$  ( $\sim 0.4\%$ )
- $Br(K_{\mu 3}) / Br(K_{\mu 2})$  and  $V_{us}$  :  $\tau(K^+)$  ( $\sim 0.16\%$ )

**Theoretical uncertainties not a limiting factor to achieving O(0.5%) precision**

# Summary

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- Efforts at NA62 underway to test 1<sup>st</sup>-row CKM unitarity through measurement of  $V_{us}$  /  $V_{ud}$ ,  $\text{Br}(K_{\mu 3})$  /  $\text{Br}(K_{\mu 2})$ , and  $V_{us}$
- Target precision of 0.5% is realistic and can have significant impact on status of Cabibbo angle anomalies
- Look forward to new results!



# Backup

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# $V_{us} / V_{ud}$ Analysis Selection Details

- **MinBias Trigger (non-overlapping with CTRL trigger events)**
- **At least one “good” downstream track**
- **At least 1 good KTAG candidate**
- **Track-associated and in-time MUV3 hit**
- **Track energy-to-momentum ratio < 0.8**
- **Track momentum between 10 and 60 GeV**
- **GTK matched tracks (SpectrometerGigaTrackerMatching)**

**Common preselections  
(k2pi + km2 SRs)**

- **0 good pi0 candidate**
- $-0.0025 < m_{K^+ - \mu^+}^2 < 0.0025 \text{ GeV}^2/c^4$

**Only  $n_{\pi^0} = 0$  selection  
(km2 SR)**

- **1 good pi0 candidate**
- $0.01 < m_{K^+ - \pi^0}^2 < 0.03 \text{ GeV}^2/c^4$
- $0.01 < m_{K^+ - \mu^+}^2 < 0.1 \text{ GeV}^2/c^4$

**Only  $n_{\pi^0} = 1$  selection  
(k2pi SR)**

# $V_{us}$ / $V_{ud}$ Analysis Systematics

- Evaluate systematic uncertainties as either normalization or shape variations on initial estimated MC yield
- Normalization uncertainties:
  - Implemented as flat logN parameters in fit
  - PDG BRs: Assign flat unc to backgrounds based on PDG uncertainty for background branching ratios
  - Pi0 selection: Assign flat unc to account for difference in pi0 efficiency between Data and MC
- Shape uncertainties:
  - Derived from binned template histograms for both up/down variations
  - MC acceptance: Assign conservative flat bin-by-bin variation to signal (k2pi, km2) as well as dominant background in k2pi SR (km3)

| Systematic                             | Type  | Relevant processes                    | Size                            |
|--|-------|---------------------------------------|---------------------------------|
| PDG Br                                 | logN  | Background                            | ~0.4-2%                         |
| Pi0 selection efficiency in Data vs MC | logN  | Signal + background (only in K2pi SR) | 0.5%                            |
| MC Acceptance                          | Shape | K2pi, Km3 (K2pi SR)<br>Km2 (Km2 SR)   | 2% (K2pi), 1% (Km3)<br>5% (Km2) |

# Br( $K_{\mu 3}$ ) / Br( $K_{\mu 2}$ ) and $V_{us}$ Analysis Selection Details

**PRE-SELECTION:** match and reconstruction of good-quality one positive tracks

1. Track size: [1,20] after Trigger/L0Emulator Check (Mask2 Events);
2. One positive good downstream track:
  - Track-CHOD association and  $|t_{\text{trigger}} - t_{\text{track}}| \leq 2 \text{ ns}$ ;
  - $Q=+1$ ;
  - Fake Vertex mask;
  - $|P_{\text{beam}} - P_{\text{track}}| < 20 \text{ GeV}$ ;
  - $P_{\text{track}} : [5, 70] \text{ GeV}$ ;
  - $Z_{\text{track}} : [105, 180] \text{ m}$ ;
  - $\text{CDA} < 30 \text{ mm}$  and  $\chi^2 < 20$ ;
  - Hit at each four straw chambers;
  - Acceptance in STRAW, CHODs, RICH, LKr, MUV1-3, no IRC and LAV;
  - CHANTI non-association and RICH association;
  - If more than one good track exists, the track with highest momentum considered;
3. Kaon TAGging:
  - closest in time with at least a hit in five sectors;  $|t_{\text{Cedar}} - t_{\text{track}}| \leq 5 \text{ ns}$ ;
4. Upstream-Downstream matching:
  - Matching quality check
  - $\chi_{\text{GTK}}^2 < 40$  and  $\chi_{\text{GTK-event}}^2 < 10$
  - $N_{\text{matched GTK}} == 1$

**FINAL-SELECTION:** apply kinematic and upstream cuts to evaluate final events for Squared Missing Mass plot

|   |                          |
|---|--------------------------|
| <ol style="list-style-type: none"> <li>1. <math>\text{CDA} \leq 3.5 \text{ mm}</math>;</li> <li>2. <math>Z_{\text{vertex}} : [115, 175] \text{ m}</math>;</li> <li>3. <math>P_{\text{beam}} : [73, 77] \text{ GeV}</math> &amp; <math>P_{\text{track}} : [10, 65] \text{ GeV}</math>;</li> <li>4. <math>P_t : [25, 285]</math>;</li> </ol>  | <b>Kinematic cuts</b>    |
| <ol style="list-style-type: none"> <li>5. Upstream-matter interactions:           <ul style="list-style-type: none"> <li>• <math>X(Y)_{\text{track@GTK3}} &lt; 30 (15) \text{ cm}</math>;</li> <li>• <math> XY_{\text{track@GTK3}} - XY_{\text{GTK3 hit}}  &lt; 24 \text{ cm}</math>;</li> <li>• <math> t_{\text{Cedar}} - t_{\text{GTK3 hit}}  &gt; 1.2 \text{ ns} \&amp; \&amp; \text{ToT GTK3 hit} &gt; 23 \text{ ns} \&amp; \&amp; N_{\text{GTK3 hits}} &gt; 50</math>;</li> <li>• <math>100 \text{ m} &lt; Z_{\text{track@GTK3}} &lt; 105 \text{ m}</math>;</li> </ul> </li> <li>6. Upstream decay cuts:           <ul style="list-style-type: none"> <li>• <math>m_{\pi\mu 2}^2 &lt; -0.02 \text{ GeV}^2</math> and box cut <math> X(Y)_{\text{track@TRIM5}}  &lt; 10 \text{ cm}</math>;</li> </ul> </li> </ol> | <b>Upstream bkg cuts</b> |
| <p>➤ Squared missing mass as PID: <math>m_{\text{miss}}^2 = (P_K^\mu - P_{\text{track}}^\mu)^2</math></p>   | <b>PID</b>               |