

Dense and hot baryonic matter: Equation of state and neutrinos

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Institute for Nuclear Theory, July 27, 2023
Astrophysical neutrinos and the origin of the elements



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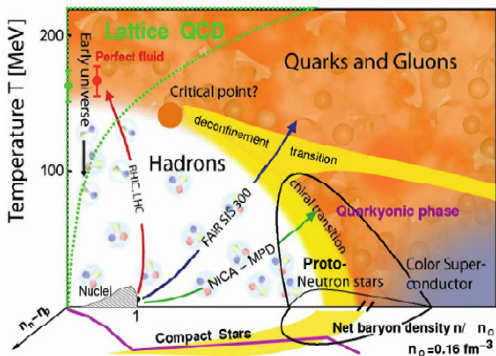
Talk based on:

- A. Sedrakian and A. Harutyunyan,
Delta-resonances and hyperons in proto-neutron stars and merger remnants.
Eur. Phys. J. A **58** (2022) 137; Universe **7** (2021) 382
- M. Alford, A. Harutyunyan and A. Sedrakian,
Bulk Viscosity of Relativistic $npe\mu$ Matter in Neutron-Star Mergers.
Phys. Rev. D **104**, (2021) 103027; arXiv:2306.13591; Particles **5** (2022) 361

For a review:

A. Sedrakian, J.-J. Li and F. Weber
Heavy Baryons in Compact Stars.
Prog. Part. Nucl. Phys. **131** (2023) 104041 [arXiv:2212.01086]

Exploration of the strong sector of the Standard Model



The big picture of QCD phase diagram:

- ① High-temperature and low-density HIC and lattice QCD simulations
- ② High-temperature and high-density - CCSN and BNS mergers
- ③ Low-temperature and high-density - compact stars
- ④ Low-temperature and low density - HIC, nuclear structure, compact stars

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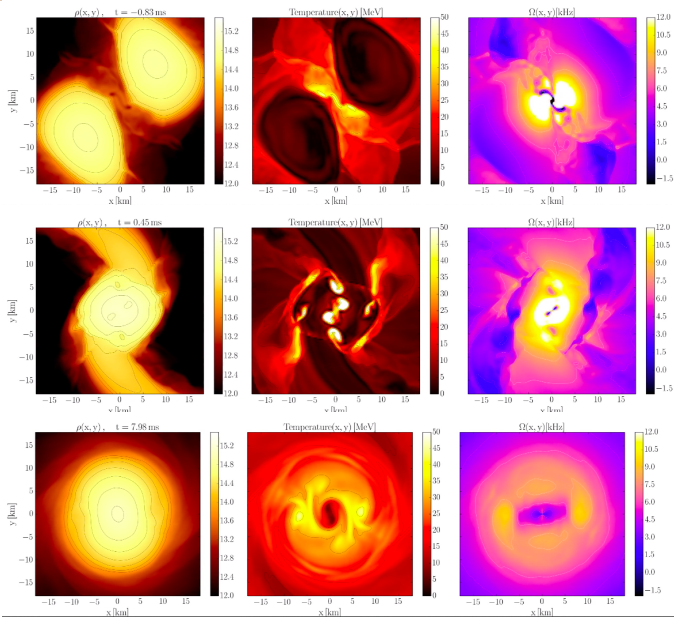
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Numerical simulations of binary neutron star mergers (from L. Rezzolla's group at Goethe-U, Frankfurt-Main). From left to right: density, temperature, angular frequency.

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Hyperons and delta-resonances in cold nuclear matter

CDF based equations of states

- Using EoS in the form of density functional: the pressure of dense zero-temperature matter is a functional of energy-density: $P(\varepsilon(r))$.
- The parameters of the functional are adjusted to the available data (astrophysics, laboratory, and ab initio calculations)
- DFT extended to baryon octet and includes hyperons and Delta-resonances
- Fast in implementation to generate quickly families of EoS

● **Relativistic models of nuclear matter as DFT:**

- (a) relativistic covariance, causality is fulfilled (+)
- (b) The Lorentz structure of interactions is maintained explicitly (+)
- (c) straightforward extension to the strange sector and resonances (+)
- (d) fast implementation (+)
- (e) not a QFT in the QED/QCD sense (-)
- **Extended to finite-temperature and iso-entropic case**
The models are studied at $S = \text{Const.}$ and $Y_e = \text{Const.}$ (early stages of evolution, no significant entropy gradients in the core)
- **Mapping of CDF onto the Taylor expansion of energy of nuclear matter**
A family of models is generated with varying symmetry energy, its slope, etc.

Goals:

- Construct an EoS in the form of density functional: the pressure of dense zero-temperature matter is a functional of energy-density: $P(\varepsilon(r))$
- The parameters of the functional are adjusted to the available data; in our case astrophysics and laboratory data.
- *Ab initio* calculations are data \rightarrow check compatibility and adjust if required.
- DFT must be versatile enough to accommodate the baryon spin-1/2 octet and spin-3/2 decouplet.
- Fast in implementation to generate quickly families of EoS

DFT's :

- **Relativistic mean-field models of nuclear matter reinterpreted as DFT:**
 - (a) relativistic covariance, causality is fulfilled automatically (+)
 - (b) The Lorentz structure of interactions is maintained explicitly (+)
 - (c) straightforward extension to the strange sector and resonances (+)
 - (d) fast implementation (+)
 - (e) the microscopic counterpart is unknown [not a QFT in the QED/QCD sense] (-)
 - (f) uncertainties can be quantified in terms of Taylor expansion coefficients
- **Non-relativistic DFTs (e.g. Skyrme or Gogny classes):**
 - (a) high accuracy at low-densities (+)
 - (b) extensive tests on laboratory nuclei (+)
 - (c) relativistic covariance is lost and high-density extrapolation is not obvious (-)
 - (d) extensions to heavy baryons not straightforward (-)

Nuclear matter Lagrangian:

$$\begin{aligned}
 \mathcal{L}_{NM} = & \underbrace{\sum_B \bar{\psi}_B \left[\gamma^\mu \left(i\partial_\mu - g_{\omega BB} \omega_\mu - \frac{1}{2} g_{\rho BB} \boldsymbol{\tau} \cdot \boldsymbol{\rho}_\mu \right) - (m_B - g_{\sigma BB} \sigma) \right]}_{\text{baryons}} \psi_B \\
 & + \underbrace{\frac{1}{2} \partial^\mu \sigma \partial_\mu \sigma - \frac{1}{2} m_\sigma^2 \sigma^2 - \frac{1}{4} \omega^{\mu\nu} \omega_{\mu\nu} + \frac{1}{2} m_\omega^2 \omega^\mu \omega_\mu}_{\text{mesons}} \\
 & - \underbrace{\frac{1}{4} \boldsymbol{\rho}^{\mu\nu} \boldsymbol{\rho}_{\mu\nu} + \frac{1}{2} m_\rho^2 \boldsymbol{\rho}^\mu \cdot \boldsymbol{\rho}_\mu}_{\text{mesons}} + \underbrace{\sum_\lambda \bar{\psi}_\lambda (i\gamma^\mu \partial_\mu - m_\lambda) \psi_\lambda}_{\text{leptons}} - \underbrace{\frac{1}{4} F^{\mu\nu} F_{\mu\nu}}_{\text{electromagnetism}} ,
 \end{aligned}$$

- B -sum is over the baryonic octet
- Meson fields include σ meson, $\boldsymbol{\rho}_\mu$ -meson and ω_μ -meson
- Leptons include electrons, muons and neutrinos for $T \neq 0$

Two types of relativistic density functionals based on relativistic Lagrangians

- linear mesonic fields, density-dependent couplings (DDME2, DD2, etc.)
- non-linear mesonic fields; coupling constants are just numbers (NL3, GM1-3, etc.)

Fixing the couplings: nucleonic sector

$$g_{iN}(\rho_B) = g_{iN}(\rho_0)h_i(x), \quad h_i(x) = a_i \frac{1 + b_i(x + d_i)^2}{1 + c_i(x + d_i)^2} \quad i = \sigma, \omega,$$

$$g_{\rho N}(\rho_B) = g_{\rho N}(\rho_0) \exp[-a_\rho(x - 1)], \quad i = \rho, (\pi - HF)$$

Meson (i)	m_i (MeV)	a_i	b_i	c_i	d_i	g_{iN}
σ	550.1238	1.3881	1.0943	1.7057	0.4421	10.5396
ω	783	1.3892	0.9240	1.4620	0.4775	13.0189
ρ	763	0.5647				7.3672

$h_i(1) = 1$, $h_i'(0) = 0$ and $h_i''(1) = h_i''(1)$, which reduce the number of free parameters to three in this sector.

- DD-ME2 parametrization, G. Lalazissis, et al., Phys. Rev. **C71**, 024312 (2005)
- DD2 parametrizations, S. Typel, Eur. Phys. J. **A52**, 16 (2016)
- DD-ME2+LQ parametrizations, J. J. Li, Sedrakian, Phys. Rev. **C100**, 015809 (2019)

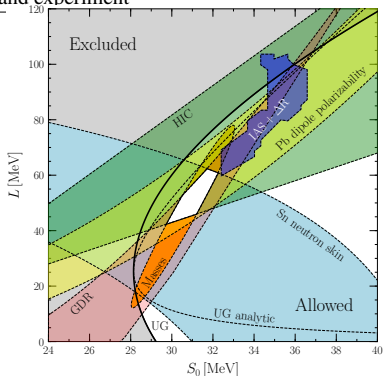
Taylor expansion of nuclear energy

$$E(\chi, \delta) \simeq E_0 + \frac{1}{2!} K_0 \chi^2 + \frac{1}{3!} Q_{\text{sym}} \chi^3 + E_{\text{sym}} \delta^2 + L \delta^2 \chi + \mathcal{O}(\chi^4, \chi^2 \delta^2), \quad (1)$$

where $\delta = (n_n - n_p)/(n_n + n_p)$ and $\chi = (\rho - \rho_0)/3\rho_0$.

Consistency between the density functional and experiment

- saturation density
 $\rho_0 = 0.152 \text{ fm}^{-3}$
- binding energy per nucleon
 $E/A = -16.14 \text{ MeV}$,
- incompressibility
 $K_{\text{sat}} = 251.15 \text{ MeV}$,
- skewness $Q_{\text{sat}} = 479$
- symmetry energy
 $E_{\text{sym}} = 32.30 \text{ MeV}$,
- symmetry energy slope
 $L_{\text{sym}} = 51.27 \text{ MeV}$,
- symmetry incompressibility
 $K_{\text{sym}} = -87.19 \text{ MeV}$



Credit: Tews, et al ApJ, 2017

Consistency between the density functional with experiment and ab initio theory

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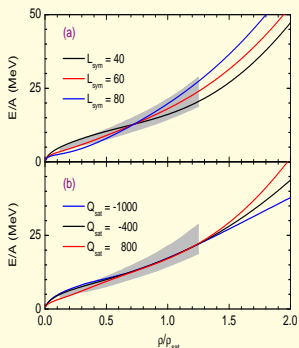
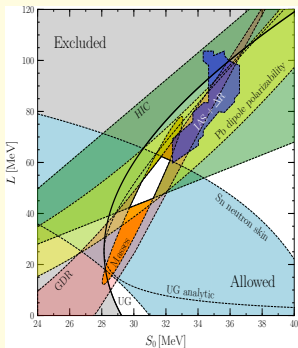
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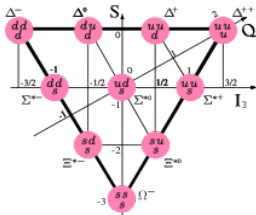
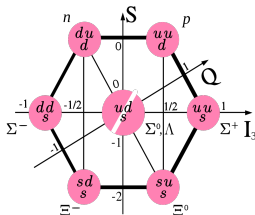
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- Uncertainties will be quantified in terms of variation of higher-order characteristics around the central fit values.
- Low density physics depends strongly on the value of L_{sym} with a strong correlation to the radius of the star and tidal deformability
- High-density physics strongly depends on the value of Q_{sym} with strong correlations to the mass of the star.

Beyond nucleons: Baryon octet $J^P = 1/2^+$ and baryon decuplet $J^P = 3/2^+$

Strangeness carrying baryons + resonances (nucleon excitations)



$R_{\alpha Y} = g_{\alpha Y}/g_{\alpha N}$ and $\kappa_{\alpha Y} = f_{\alpha Y}/g_{\alpha Y}$ for hyperons in SU(6) spin-flavor model

$R \backslash Y$	Λ	Σ	Ξ
$R_{\sigma Y}$	2/3	2/3	1/3
$R_{\sigma^* Y}$	$-\sqrt{2}/3$	$-\sqrt{2}/3$	$-2\sqrt{2}/3$
$R_{\omega Y}$	2/3	2/3	1/3
$\kappa_{\omega Y}$	-1	$1 + 2\kappa_{\omega N}$	$-2 - \kappa_{\omega N}$
$R_{\phi Y}$	$-\sqrt{2}/3$	$-\sqrt{2}/3$	$-2\sqrt{2}/3$
$\kappa_{\phi Y}$	$2 + 3\kappa_{\omega N}$	$-2 - \kappa_{\omega N}$	$1 + 2\kappa_{\omega N}$
$R_{\rho Y}$	0	2	1
$\kappa_{\rho Y}$	0	$-3/5 + (2/5)\kappa_{\rho N}$	$-6/5 - (1/5)\kappa_{\rho N}$
$f_{\pi Y}$	0	$2\alpha_{ps}$	$-(1/2)\alpha_{ps}$

$\alpha_{ps} = 0.40$. κ is the ratio of the tensor to vector couplings of the vector mesons.

The depth of hyperonic potentials in the symmetric nuclear matter are used as a guide the range of hyperonic couplings:

- Λ particle: $V_{\Lambda}^{(N)}(\rho_0) \simeq -30$ MeV
- Ξ particle: $V_{\Xi}^{(N)}(\rho_0) \simeq -14$ MeV
- Σ particle: $V_{\Xi}^{(N)}(\rho_0) \simeq +30$ MeV

These ranges capture the most interesting regions of the parameter space of masses and radii.

The depth of Δ -potentials in the symmetric nuclear matter is used as a guide for the range of the couplings:

- Electron and pion scattering: $-30 \text{ MeV} + V_{\Delta}^{(N)}(\rho_0) \leq V_{\Delta}(\rho_0) \leq V_N(\rho_0)$
- Use instead $R_{m\Delta} = g_{m\Delta}/g_{mN}$ for which the the typical range used is

$$R_{\rho\Delta} = 1, \quad 0.8 \leq R_{\omega\Delta} \leq 1.6, \quad R_{\sigma\Delta} = R_{\omega\Delta} \pm 0.2.$$

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Hyperons and delta-resonances and in proto-neutron stars and merger remnants

The equation of state (EoS) and composition of dense and hot Δ -resonance admixed hypernuclear matter is studied under conditions that are characteristic of neutron star binary merger remnants and supernovas.

- Baryon and lepton charges:

$$Y_Q = n_Q/n_B, \quad Y_{e,\mu} = (n_{e,\mu} - n_{e+,\mu+})/n_B$$

$$n_Q = n_p + n_{\Sigma^+} + 2n_{\Delta^{++}} + n_{\Delta^+} - (n_{\Sigma^-} + n_{\Xi^-} + n_{\Delta^-}).$$

- Trapped regime - fixed lepton numbers

$$Y_{L,e} = Y_e + Y_{\nu_e} \quad Y_{L,\mu} = Y_\mu + Y_{\nu_\mu},$$

$$\text{BNS : } Y_{L,e} = Y_{L,\mu} = 0.1 \quad \text{Supernova : } Y_{L,e} = 0.4 \quad Y_{L,\mu} = 0.$$

- Transparent regime (neutrino chemical potentials vanish) - equilibrium with respect to the weak processes imply

$$\mu_\Lambda = \mu_{\Sigma^0} = \mu_{\Xi^0} = \mu_{\Delta^0} = \mu_n = \mu_B, \quad \mu_{\Sigma^-} = \mu_{\Xi^-} = \mu_{\Delta^-} = \mu_B - \mu_Q,$$

$$\mu_{\Sigma^+} = \mu_{\Delta^+} = \mu_B + \mu_Q, \quad \mu_{\Delta^{++}} = \mu_B + 2\mu_Q,$$

where the baryon μ_B and charge $\mu_Q = \mu_p - \mu_n$ chemical potentials are associated with conservations of these quantities.

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- Thus the conditions are

$$\mu_e = \mu_\mu = -\mu_Q = \mu_n - \mu_p, \quad (\text{free streaming})$$

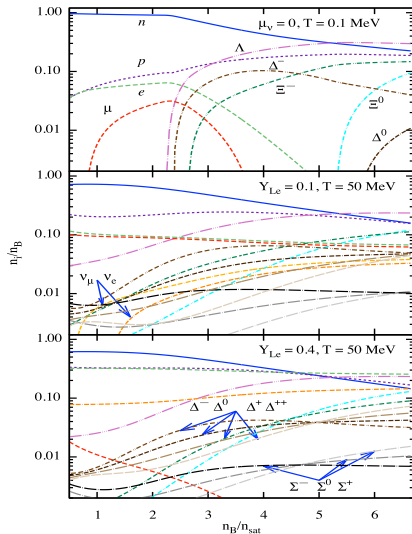
$$\mu_e = \mu_{L,e} - \mu_Q, \quad \mu_\mu = \mu_{L,\mu} - \mu_Q. \quad (\text{trapped})$$

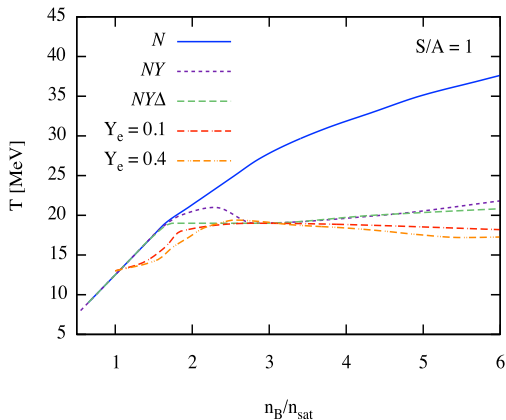
- BNS mergers, the initial conditions correspond to two cold neutron stars,

$$Y_{L,e} = Y_{L,\mu} = 0.1,$$

- For supernova matter the predicted electron and μ -on lepton numbers are typically

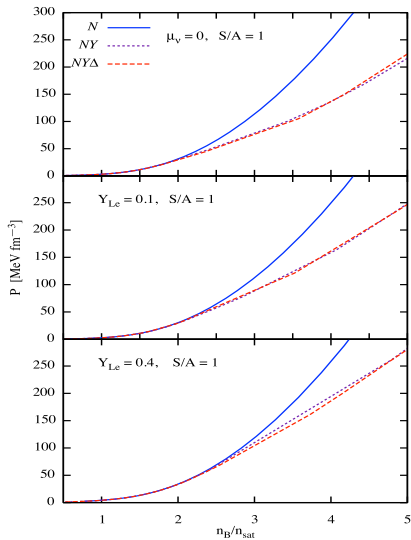
$$Y_{L,e} = 0.4, \quad Y_{L,\mu} = 0.$$

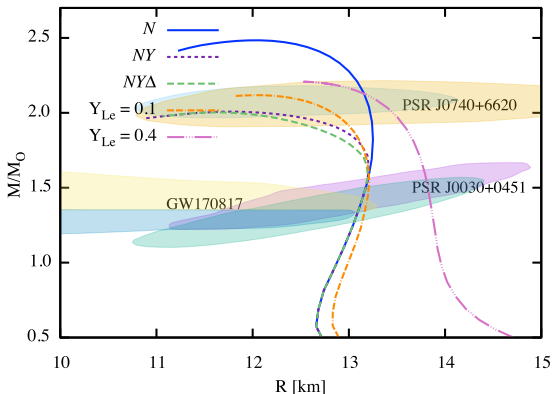
Dependence of composition on baryon density for fixed T .

Dependence of temperature on density for fixed $S/A = 1$.

No significant changes in the composition compared to fixed T .

Dependence of pressure on baryon density for $S/A = 1$.





Gravitational mass versus radius for non-rotating spherically-symmetric stars. Three sequences are shown for β -equilibrated, neutrino-transparent stars with nucleonic (N), hypernuclear (NY) and Δ -admixed hypernuclear ($NY\Delta$) composition for $T = 0.1$ MeV. In addition, we show sequences of fixed $S/A = 1$ neutrino-trapped, isentropic stars composed of $NY\Delta$ matter in two cases of constant lepton fractions $Y_{Le} = Y_{L\mu} = 0.1$ and $Y_{Le} = 0.4$, $Y_{L\mu} = 0$. The ellipses show 90% CI regions for PSR J0030+0451, PSR J0740+6620 and gravitational wave event GW170817.

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Physics output:

- Large number of stellar models for injection studies of the Einstein Telescope (mass, radius, tidal deformabilities, variation of characteristics L and Q of the EoS).
- 3D tables for numerical simulations (in progress)
- More on properties of hot compact stars: rotation, universal relation, arXiv:2306.14190, arXiv:2102.00988, arXiv:2008.00213
- At a more fundamental level - improved DFs and, in particular, CDFs...

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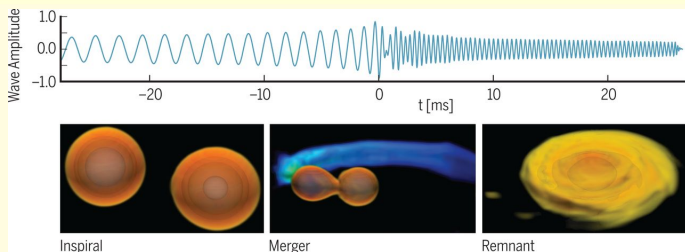
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Sketch of gravitational wave amplitude of BNS merger



- the gravitational wave signal carries information about the equation of state and eventually composition of hot and dense matter
- current modeling of the emitted gravitational wave is based on numerical relativity which uses ideal (non-dissipative) hydrodynamics
- Our motivation is *assessment of the effects of dissipation and effects of the equation of state and composition on these processes*

Urca rates including muons and leptonic processes

Urca reactions included (μ -ons as a new factor)

$$n \rightleftharpoons p + e^- + \bar{\nu}_e \quad (\text{neutron } e^- \text{ decay}),$$

$$p + e^- \rightleftharpoons n + \nu_e \quad (\text{electron capture}),$$

$$n \rightleftharpoons p + \mu^- + \bar{\nu}_\mu \quad (\text{neutron } \mu^- \text{ decay}),$$

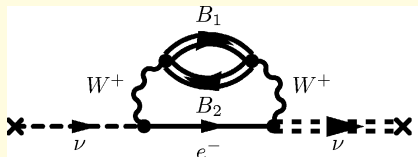
$$p + \mu^- \rightleftharpoons n + \nu_\mu \quad (\text{muon capture}).$$

Leptonic reactions:

$$\mu \rightleftharpoons e^- + \bar{\nu}_e + \nu_\mu \quad (\text{muon decay}),$$

$$\mu + \nu_e \rightleftharpoons e^- + \nu_\mu \quad (\text{neutrino scattering}),$$

$$\mu + \bar{\nu}_\mu \rightleftharpoons e^- + \bar{\nu}_e \quad (\text{antineutrino scattering}).$$



Density oscillations in neutron-star matter

Consider now small-amplitude density oscillations in baryonic matter with frequency ω

$$n_j(t) = n_{j0} + \delta n_j(t), \quad \delta n_j(t) = \delta n_j^{\text{eq}}(t) + \delta n_j'(t), \quad j = \{n, p, e, \nu\},$$

The oscillations cause perturbations in particle densities due to which the chemical equilibrium of matter is disturbed leading to a small shift which can be written as

$$\mu_{\Delta}(t) = A_n \delta n_n(t) + A_{\nu} \delta n_{\nu}(t) - A_p \delta n_p(t) - A_e \delta n_e(t), \quad A_{ij} = \frac{\partial \mu_i}{\partial n_j}.$$

Out of equilibrium the chemical equilibration rate to linear order in $\mu_{\Delta}(t)$ is given by

$$\Gamma_{\Delta} \equiv \Gamma_p - \Gamma_n = \lambda \mu_{\Delta}, \quad \lambda > 0,$$

Definition of bulk viscosity

The rate equations which take into account the loss and gain of particles read as

$$\frac{\partial}{\partial t} \delta n_n(t) = -\theta n_{n0} - \lambda \mu_{\Delta}(t), \quad \text{etc.}$$

The non-equilibrium density perturbations can be found according

$$\delta n'_p = \delta n'_e = -\delta n'_n = -\delta n'_{\nu} = \frac{C}{A(i\omega + \gamma)} \theta,$$

$$C = n_{n0} A_n + n_{\nu 0} A_{\nu} - n_{p0} A_p - n_{e0} A_e = n_B \left(\frac{\partial \mu_{\Delta}}{\partial n_B} \right)_{Y_n}$$

The non-equilibrium part of the pressure:

$$\Pi = \sum_j \frac{\partial p}{\partial n_j} \delta n'_j = \sum_{lj} n_{l0} A_{lj} \delta n'_j = -\zeta \theta \quad \boxed{\zeta = \frac{C^2}{A} \frac{\gamma}{\omega^2 + \gamma^2}}$$

$$\zeta_{\max} = \frac{C^2}{2A} \quad \zeta_{\text{slow}} = \frac{C^2}{A} \frac{\gamma}{\omega^2} \quad \zeta_{\text{fast}} = \frac{C^2}{A\gamma}$$

Damping time-scale

The energy dissipation rate by the bulk viscosity per unit volume is

$$\frac{d\epsilon}{dt} = \frac{\omega^2 \zeta}{2} \left(\frac{\delta n_B}{n_B} \right)^2.$$

The characteristic timescale required for damping of oscillations

$$\tau_\zeta = \epsilon \left(\frac{d\epsilon}{dt} \right)^{-1} = \frac{1}{9} \frac{Kn_B}{\omega^2 \zeta}.$$

The minimal/maximal value of the damping timescale is

$$\tau_\zeta^{\min} = \frac{2}{9\omega} \frac{Kn_B}{C^2/A}, \quad \tau_\zeta^{\text{slow}} = \frac{1}{9\gamma} \frac{Kn_B}{C^2/A}, \quad \tau_\zeta^{\text{fast}} = \frac{\gamma}{9\omega^2} \frac{Kn_B}{C^2/A}.$$

In the limits of slow and fast equilibration the damping timescale is given by

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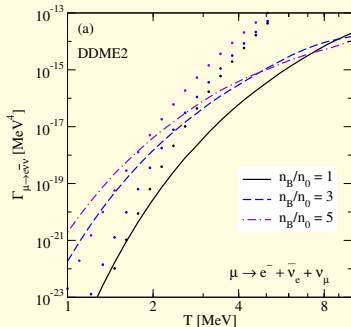
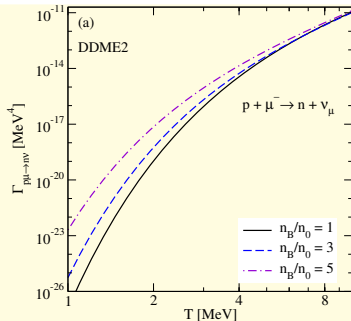
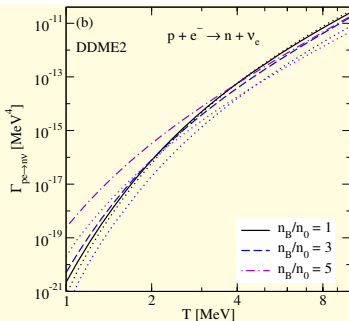
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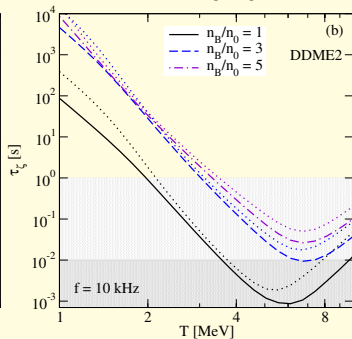
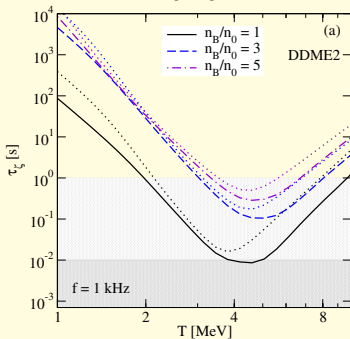
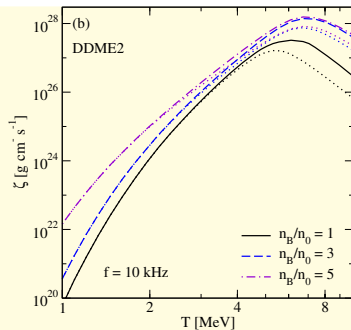
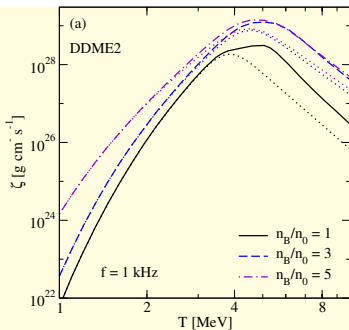
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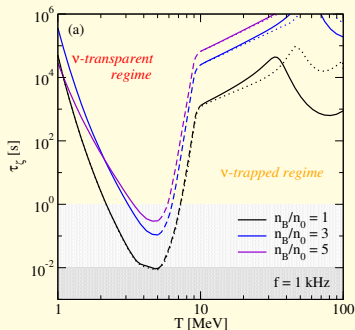
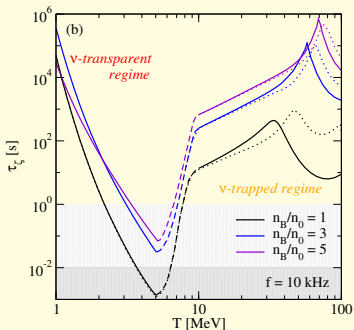
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Combined neutrino transparent and trapped regimes:



Physics output:

- Combined EoS and viscosity tables for input in numerical simulations (in progress)
- Including other compositions – heavy baryons and quark matter
- More fundamental level – many-body effects on neutrinos and in nucleonic matter....