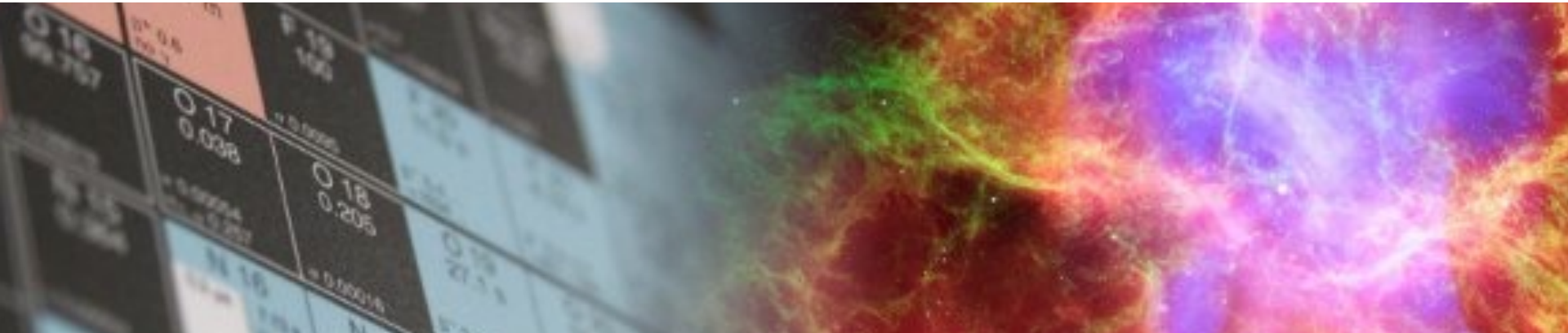


Finite-temperature equation of state and phase diagram from chiral effective field theory

Achim Schwenk



TECHNISCHE
UNIVERSITÄT
DARMSTADT



INT Workshop “Finite-Temperature Effects in Multi-Messenger Astrophysics”, June 16, 2026



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Exzellente Forschung für
Hessens Zukunft

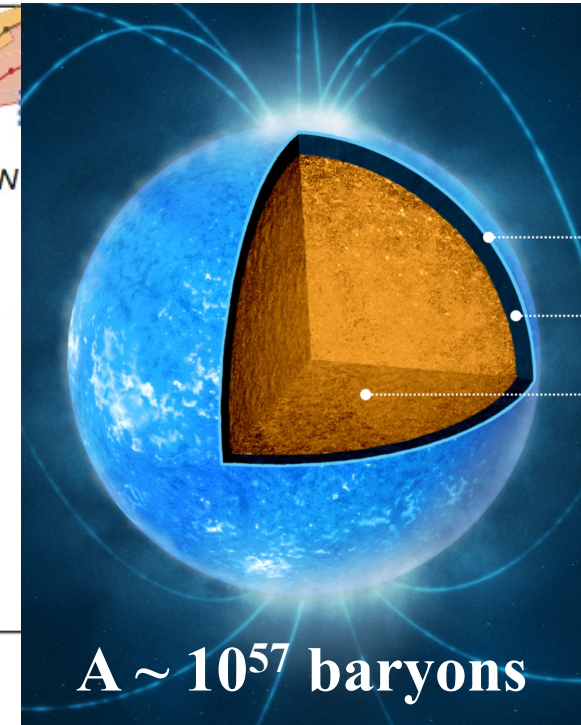
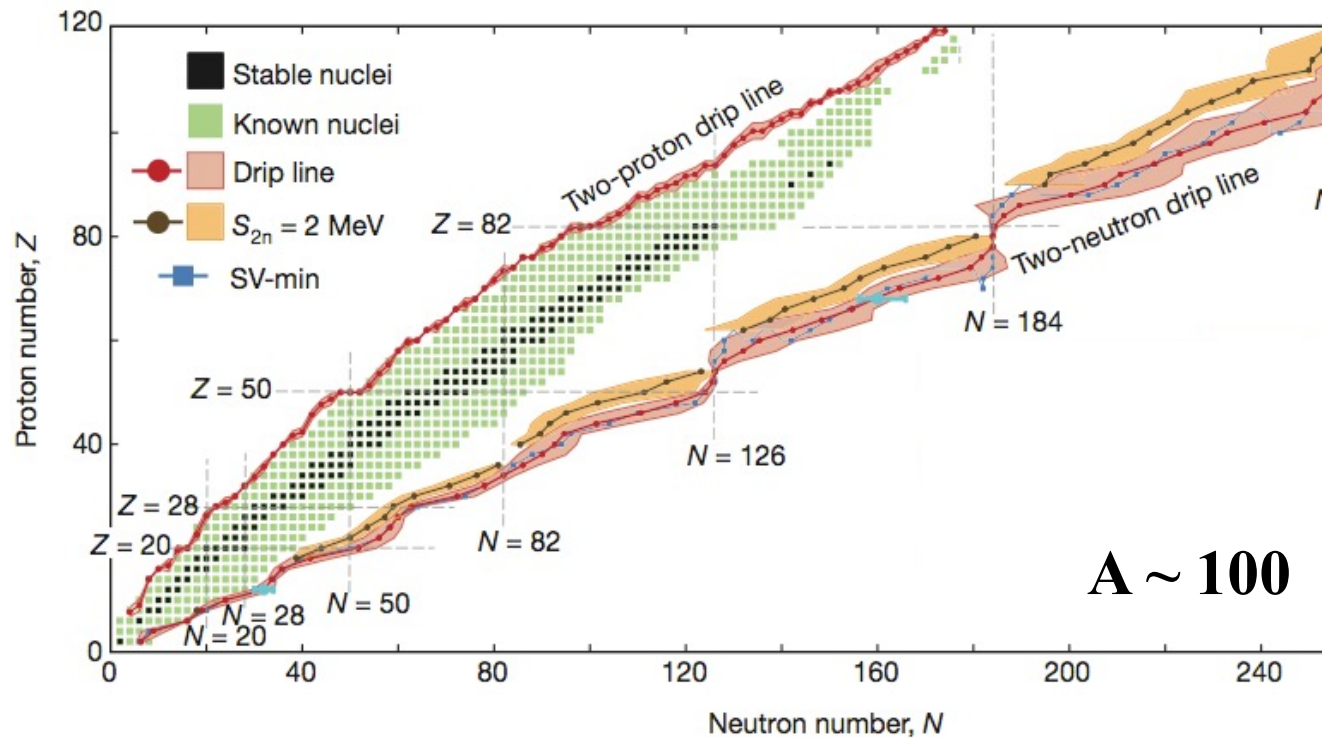
Structure of nuclei and dense matter in neutron stars

doi:10.1038/nature11188

The limits of the nuclear landscape

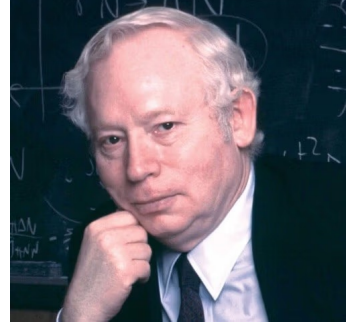
Jochen Erler^{1,2}, Noah Birge¹, Markus Kortelainen^{1,2,3}, Witold Nazarewicz^{1,2,4}, Erik Olsen^{1,2}, Alexander M. Perhac¹ & Mario Stoitsov^{1,2,†}

~ 4000 ± 500 nuclei unknown, extreme neutron-rich



Extreme neutron-rich matter in neutron stars

Chiral effective field theory for nuclear forces



Systematic expansion in low momenta $(Q/\Lambda_b)^n$

	NN	3N	4N
LO $\mathcal{O}\left(\frac{Q^0}{\Lambda^0}\right)$			
NLO $\mathcal{O}\left(\frac{Q^2}{\Lambda^2}\right)$			
N ² LO $\mathcal{O}\left(\frac{Q^3}{\Lambda^3}\right)$			
N ³ LO $\mathcal{O}\left(\frac{Q^4}{\Lambda^4}\right)$			

based on symmetries of strong interaction (QCD)

long-range interactions governed by pion exchanges

powerful approach for many-body interactions

all 3- and 4-neutron forces predicted to N³LO

Tews et al., PRL (2013)

enables uncertainty quantification from higher orders and input data

derived in (1994/2002)

+ ... (2011) ... (2006) ...

Great progress in ab initio calculations of nuclei

systematic interaction expansion + systematic many-body expansion

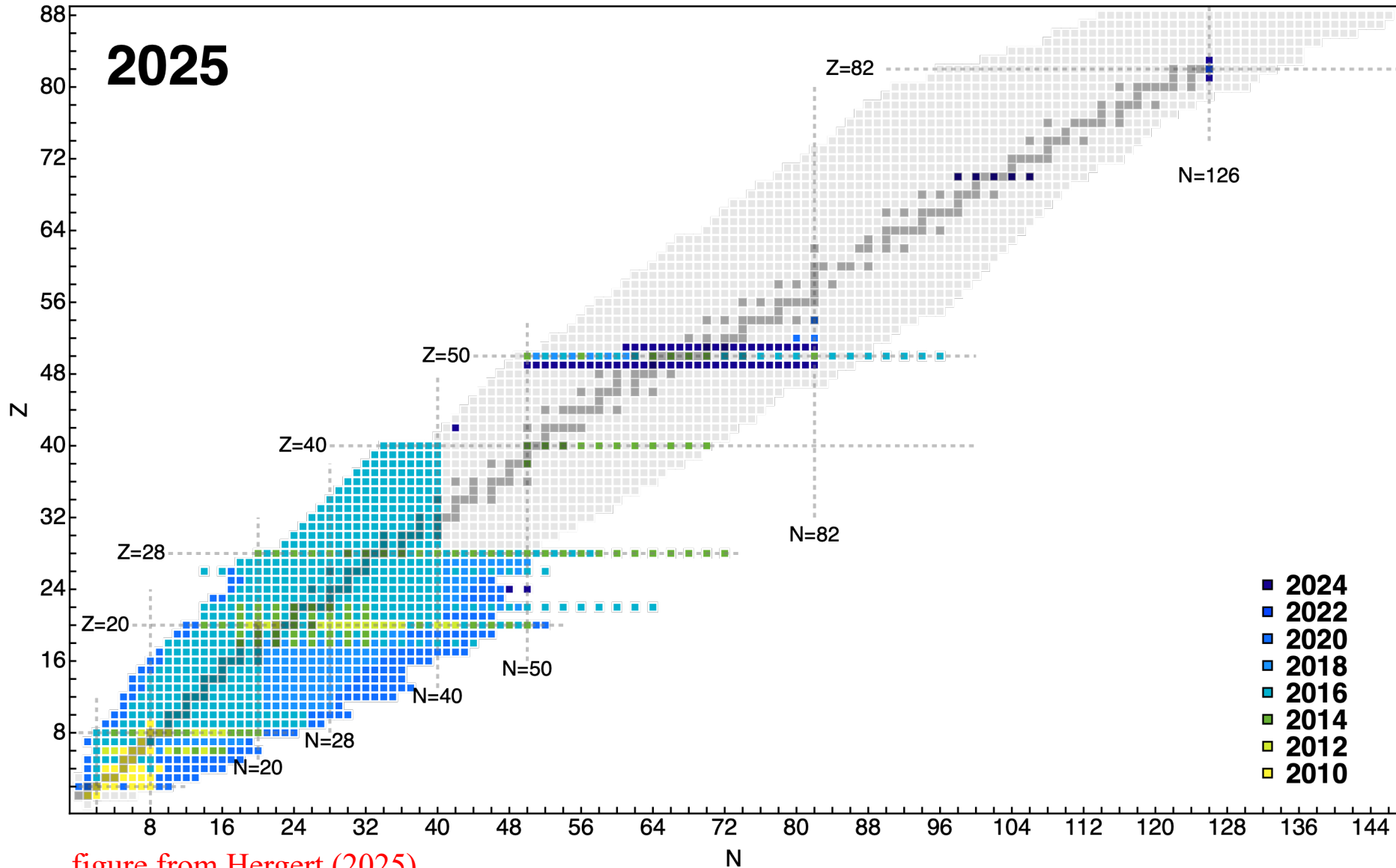


figure from Hergert (2025)

Nuclear masses around N=82 and r-process nucleosynthesis

Kuske, Miyagi, Arcones, AS, arXiv:2509.19131

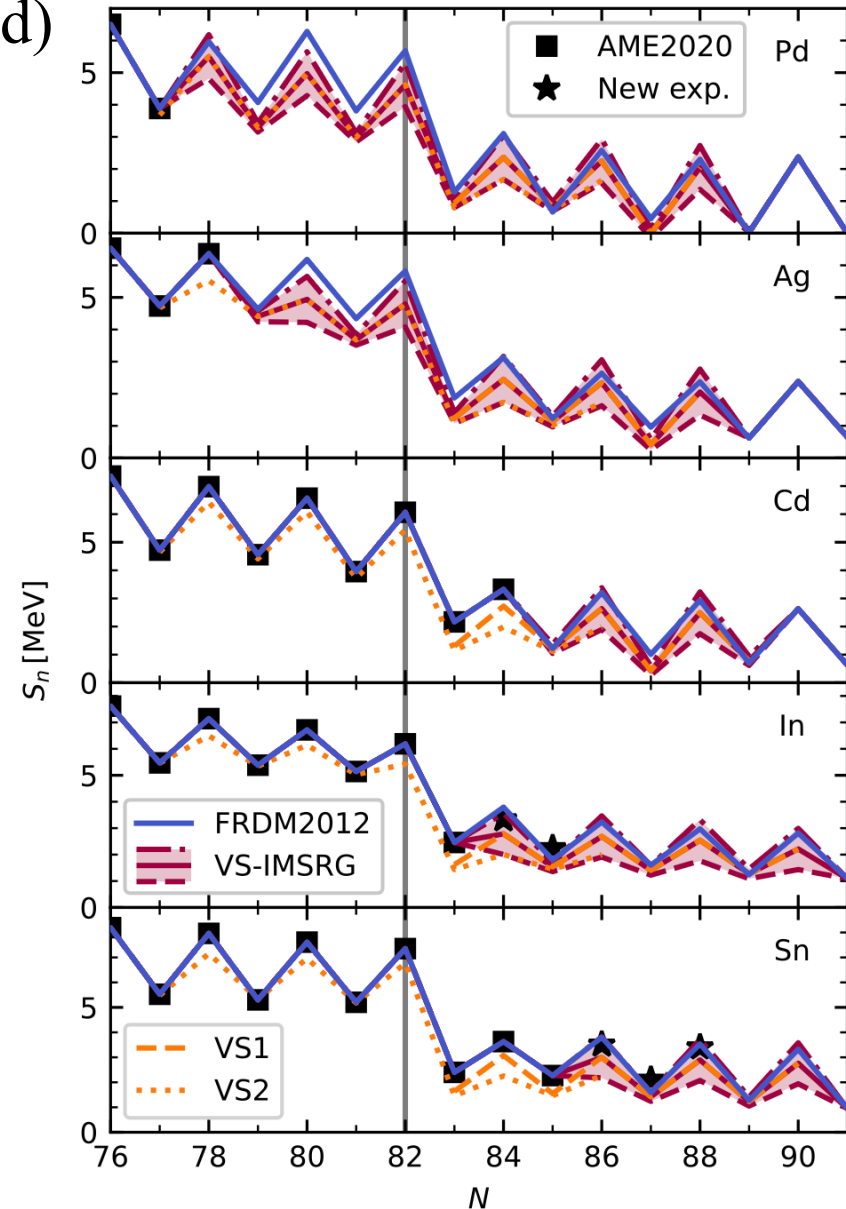
masses around N=82 (Sn, In, Cd, Ag, Pd)

→ interesting region at exp frontier

VS-IMSRG results with valence space
+ interaction uncertainties

separation energies have smaller
uncertainties due to correlations;
IMSRG(3f2) is within VS1, VS2

incorporate VS-IMSRG masses
with uncertainties (min/central/max)
in baseline AME2020 + FRDM2012



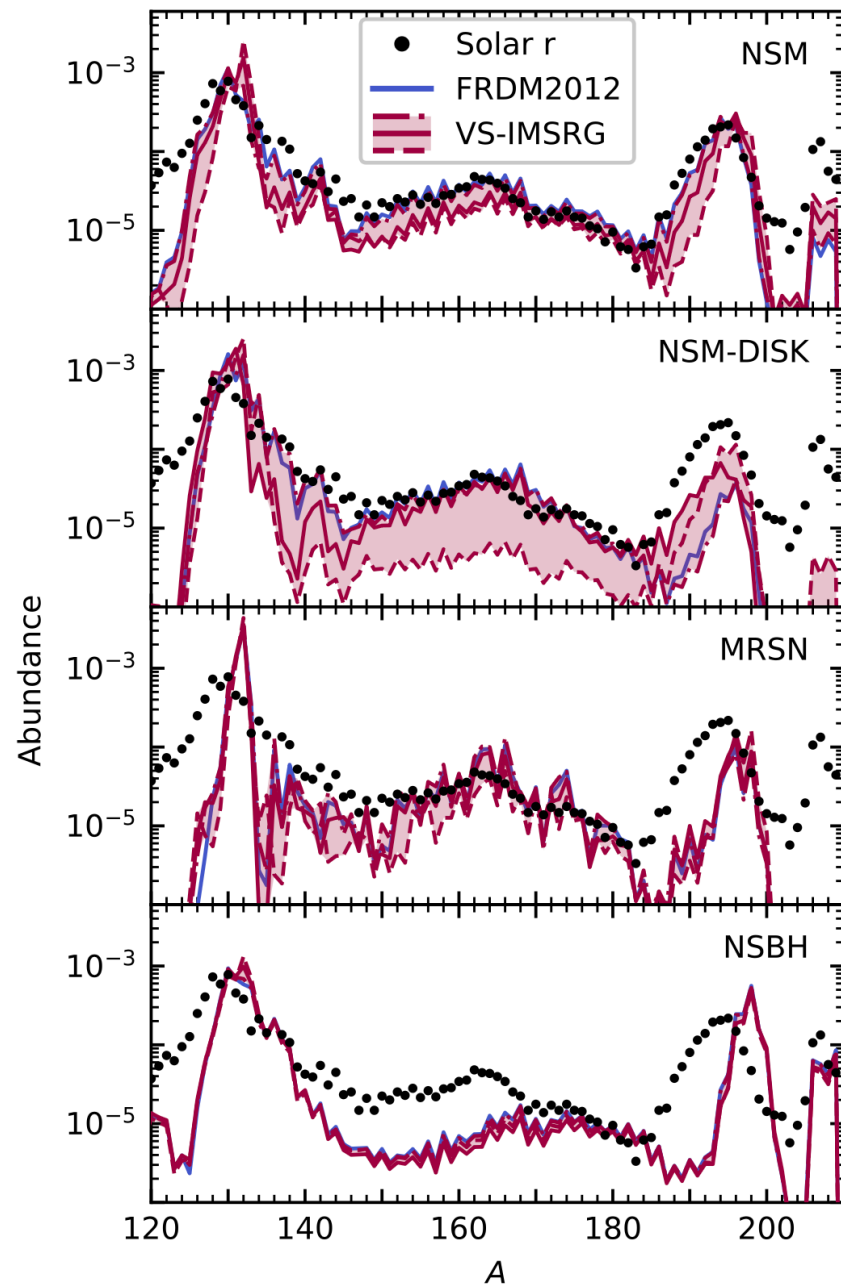
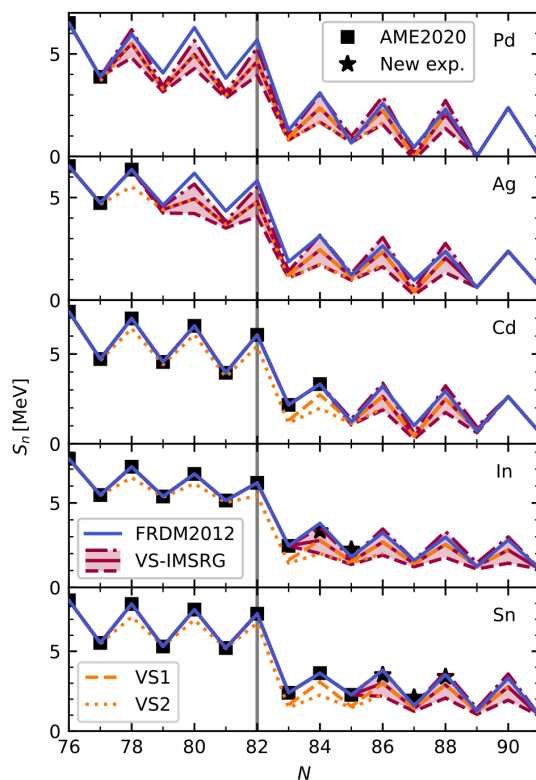
Nuclear masses around N=82 and r-process nucleosynthesis

Kuske, Miyagi, Arcones, AS, arXiv:2509.19131

VS-IMSRG masses (min/central/max)

in baseline AME2020 + FRDM2012

explore r-process predictions for different astrophysics scenarios



Nuclear masses around N=82 and r-process nucleosynthesis

Kuske, Miyagi, Arcones, AS, arXiv:2509.19131

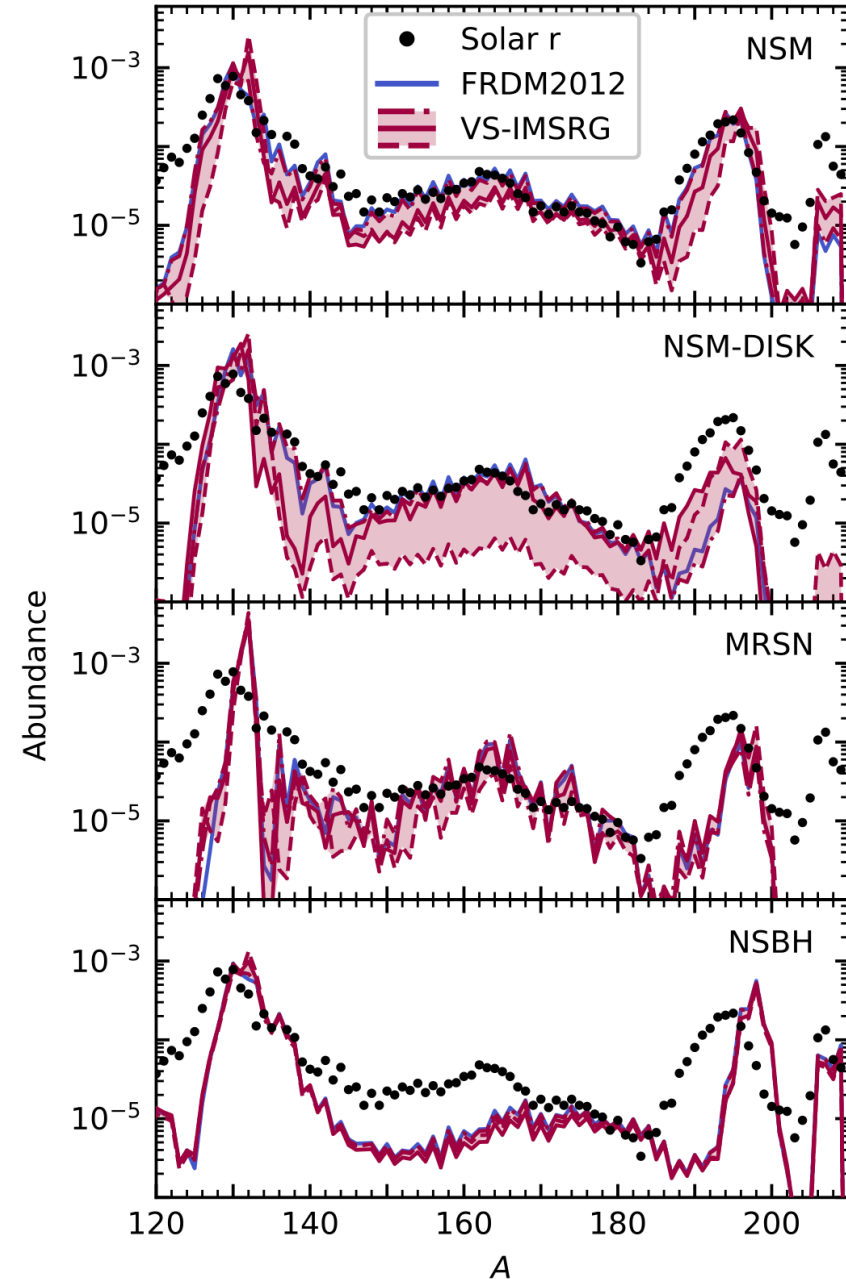
VS-IMSRG masses (min/central/max)

in baseline AME2020 + FRDM2021

explore r-process predictions for
different astrophysics scenarios

largest effects for n-star mergers
(NSM and NSM-DISK)

ab initio masses strengthen waiting
point of 2nd peak, leading to slower
nucleosynthesis flow, and important
impact on 3rd peak



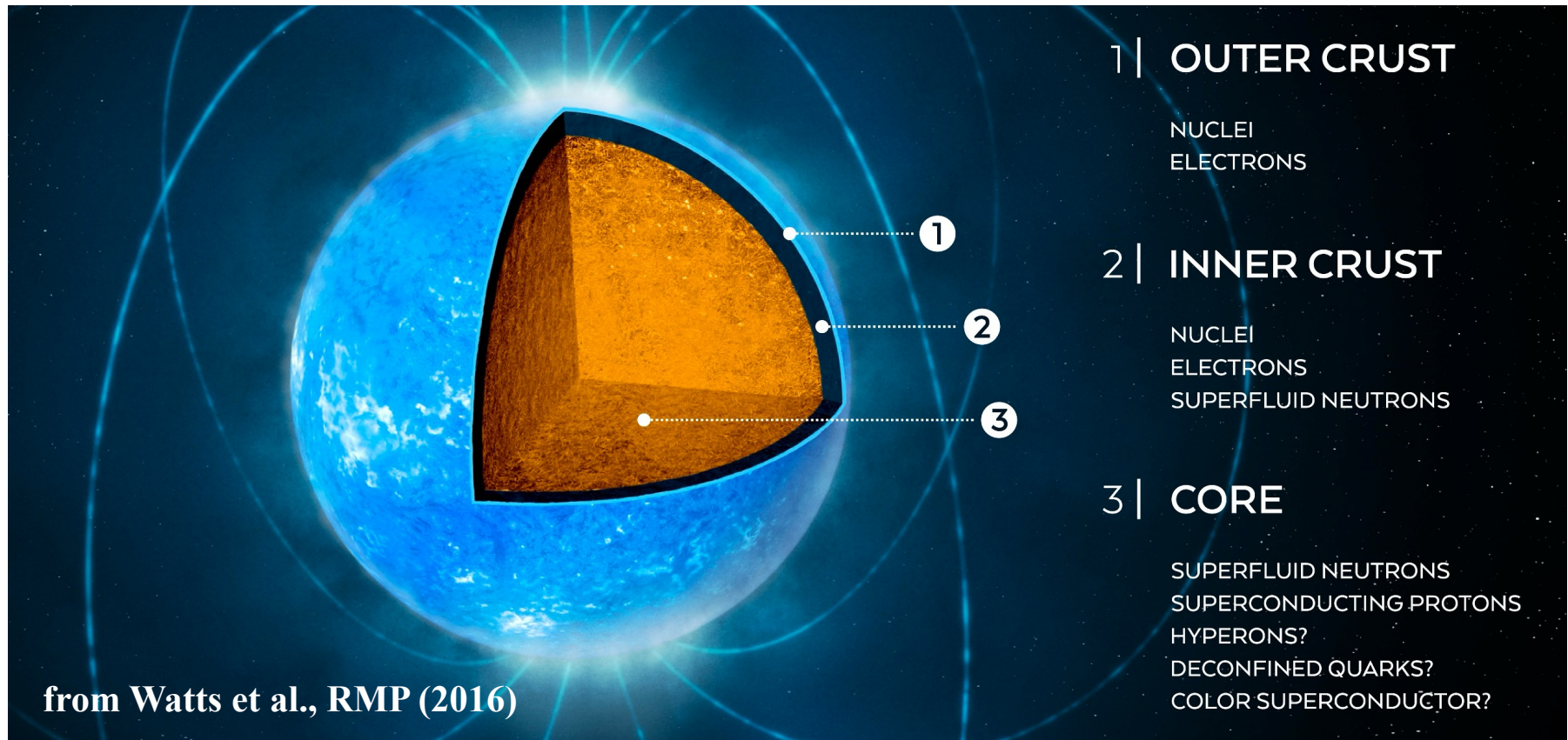
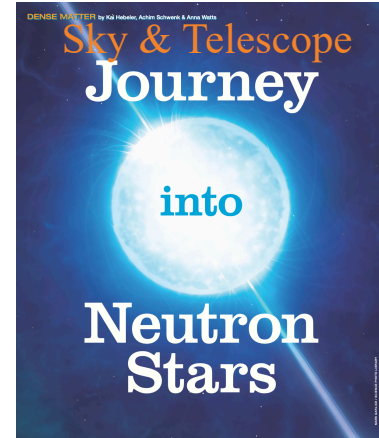
Extreme matter in neutron stars

Dense matter up to $\sim 3-8 n_0$ (95% CI, in heaviest n stars)

governed by strong interactions, up to few n_0 : n,p,e, μ

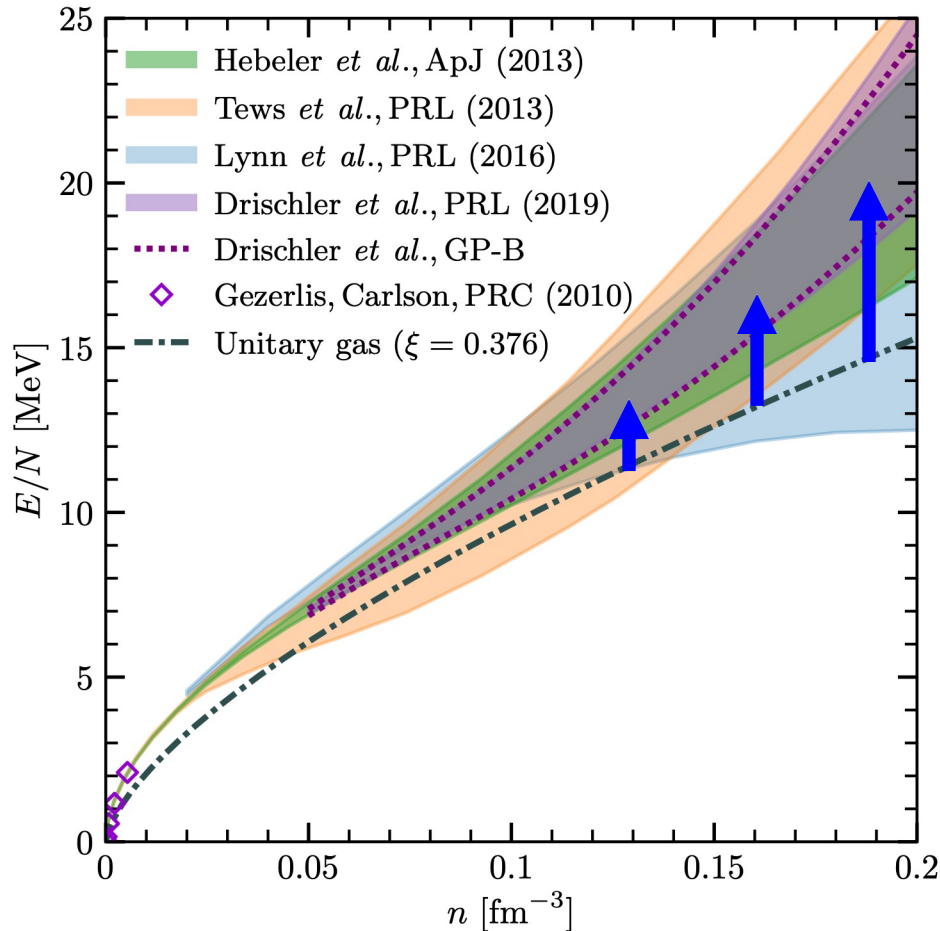
When (do) degrees of freedom change?

Chiral EFT sets pressure of first few km [Hebeler et al., PRL \(2010\), ApJ \(2013\)](#)



Chiral EFT calculations of neutron matter

good agreement up to saturation density for neutron matter
including NN, 3N, 4N interactions up to N³LO



slope determines pressure of
neutron matter

comparison to unitary Fermi gas
measured with cold atoms

stronger increase towards higher
densities (EOS becomes stiffer)
due to **repulsive 3N forces**

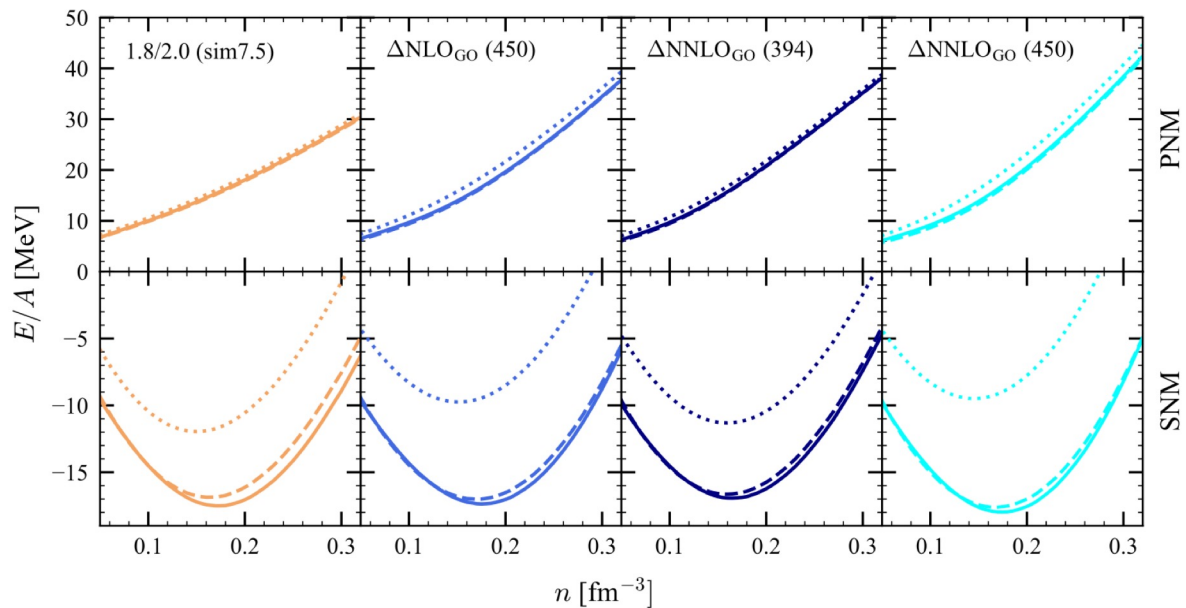
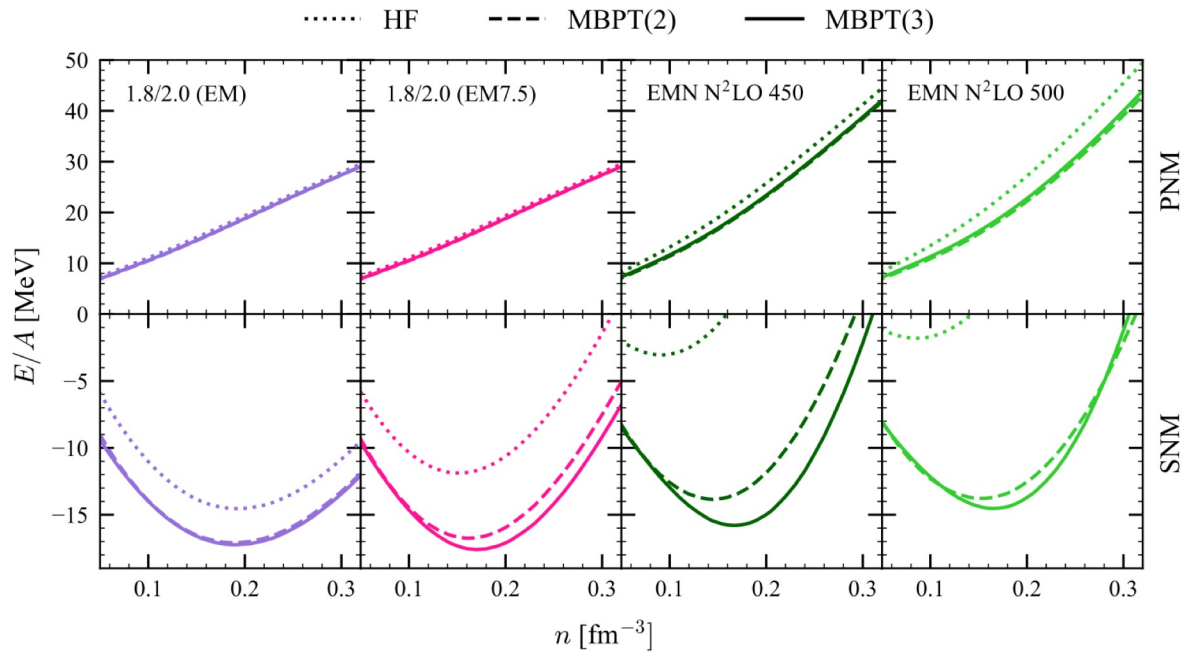
comparison from Huth *et al.*, PRC (2021)

Chiral EFT calculations of neutron matter

Alp, Dietz, Hebeler, AS, PRC (2025)

MBPT calculations with
chiral NN+3N interactions
used for ab initio calcs of
medium-mass nuclei

very good many-body
convergence, **uncertainty**
dominated by interaction
uncertainty (i.e., EFT
truncation uncertainty)



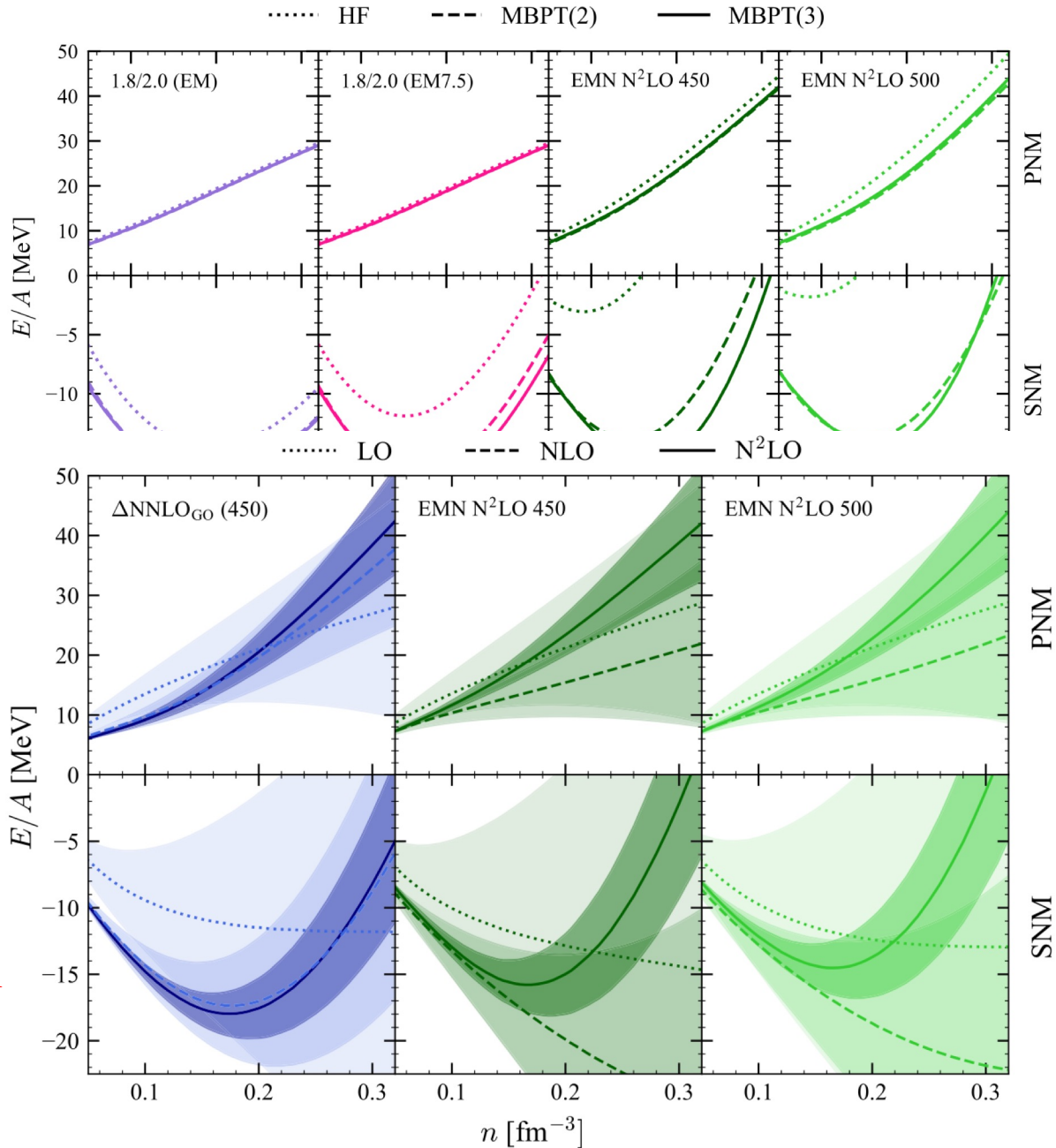
Chiral EFT calculations of neutron matter

Alp, Dietz, Hebeler, AS, PRC (2025)

MBPT calculations with chiral NN+3N interactions used for ab initio calcs of medium-mass nuclei

very good many-body convergence, **uncertainty dominated by interaction uncertainty** (i.e., EFT truncation uncertainty)

very similar results with Delta-full and Delta-less chiral EFT **here with GP-Bayesian EFT uncertainties from H. Götting**



Chiral EFT calculations of neutron matter

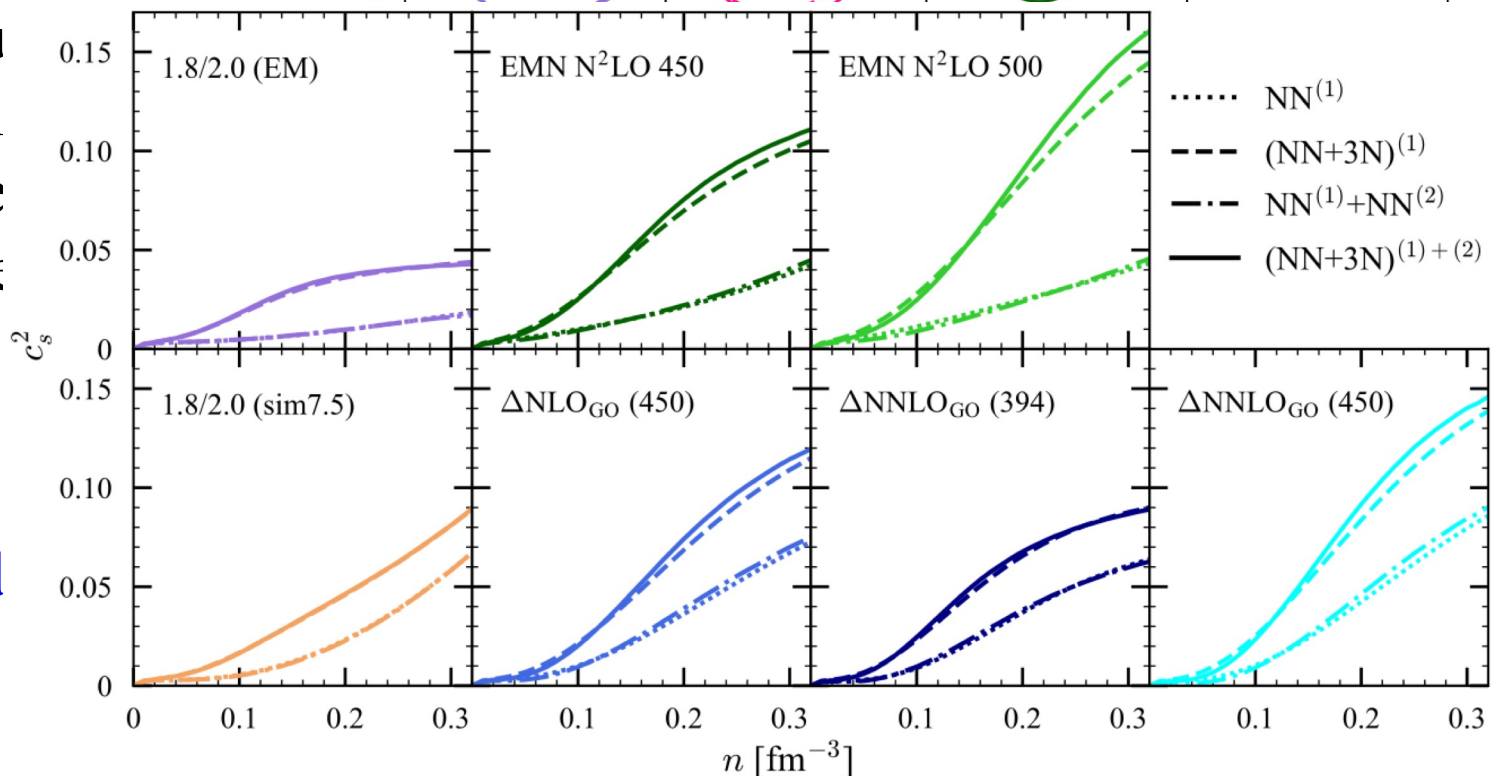
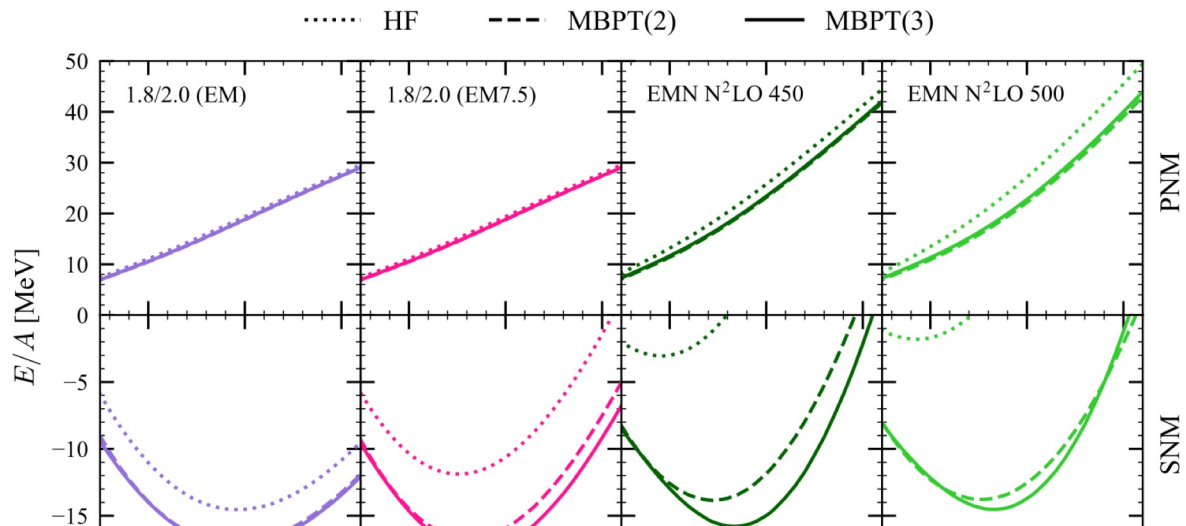
Alp, Dietz, Hebeler, AS, PRC (2025)

MBPT calculations with chiral NN+3N interactions used for ab initio calcs of medium-mass nuclei

very good many-body

convergence, u dominated by i uncertainty (i.e truncation unce

3N forces crucial for speed of sound

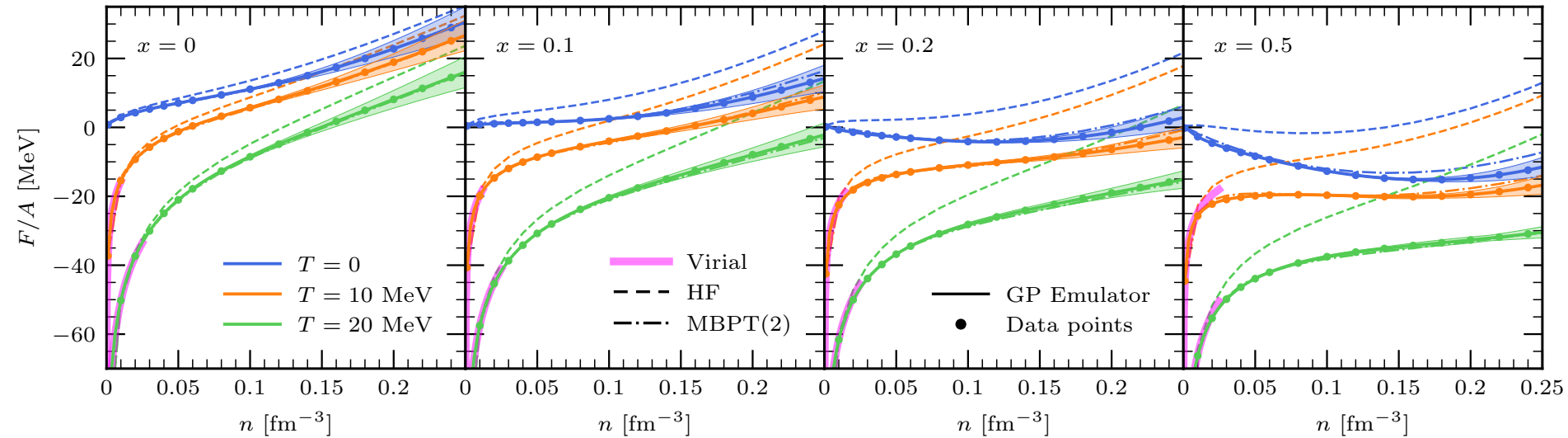


EOS for arbitrary proton fraction and temperature

Keller, Hebeler, AS, PRL (2023)

based on chiral NN+3N interactions to N³LO

order-by-order EFT uncertainties + (smaller) many-body uncertainties



excellent reproduction of free energy data by Gaussian process (GP)

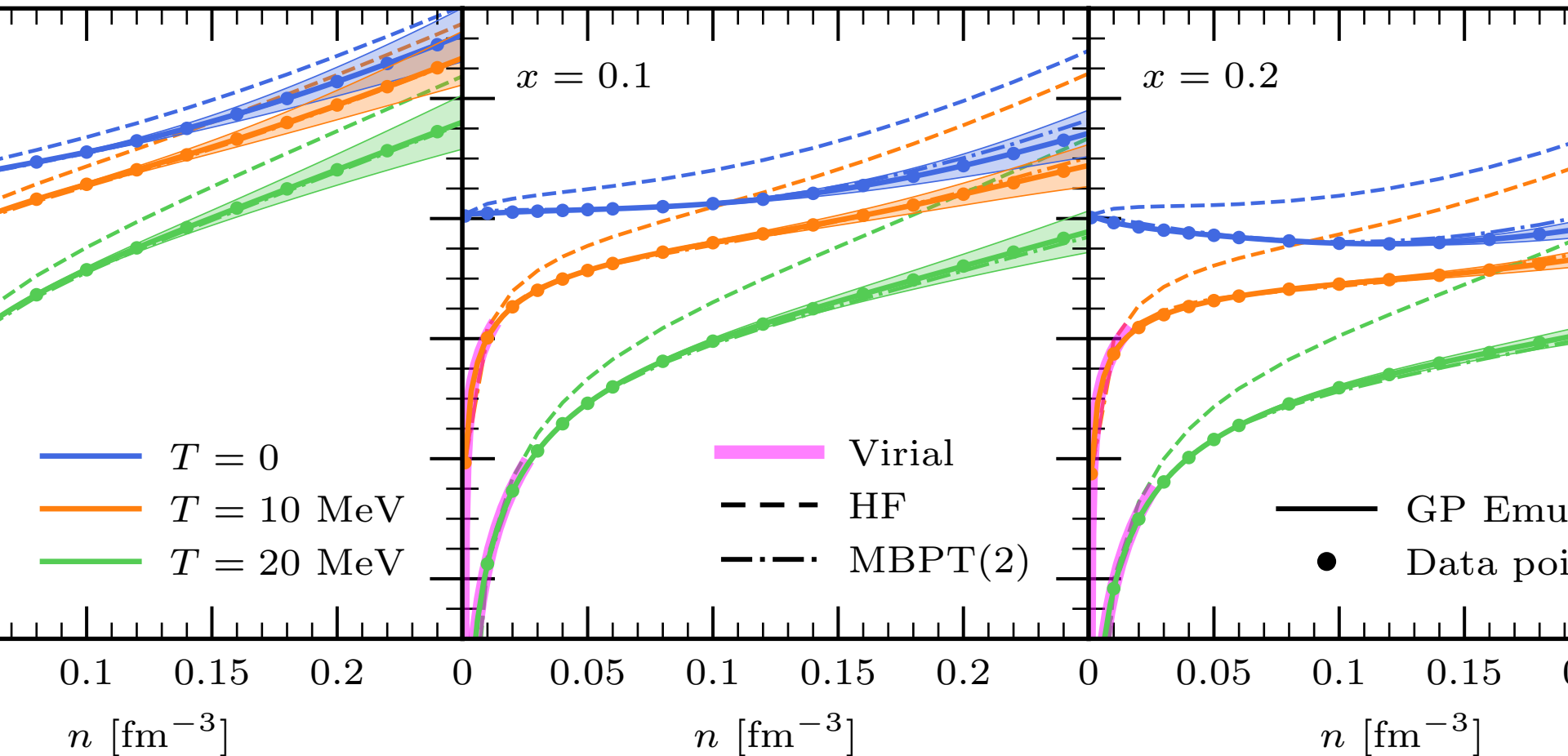
agrees with model-indep. virial EOS Horowitz, AS, NPA (2006) at low densities

EOS for arbitrary proton fraction and temperature

Keller, Hebeler, AS, PRL (2023)

based on chiral NN+3N interactions to N³LO

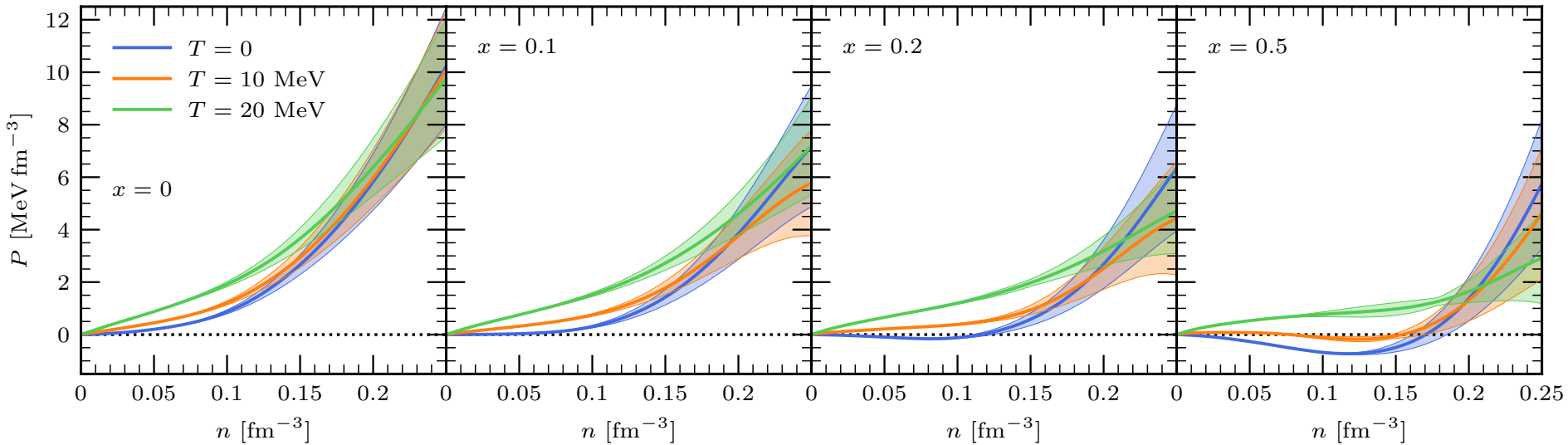
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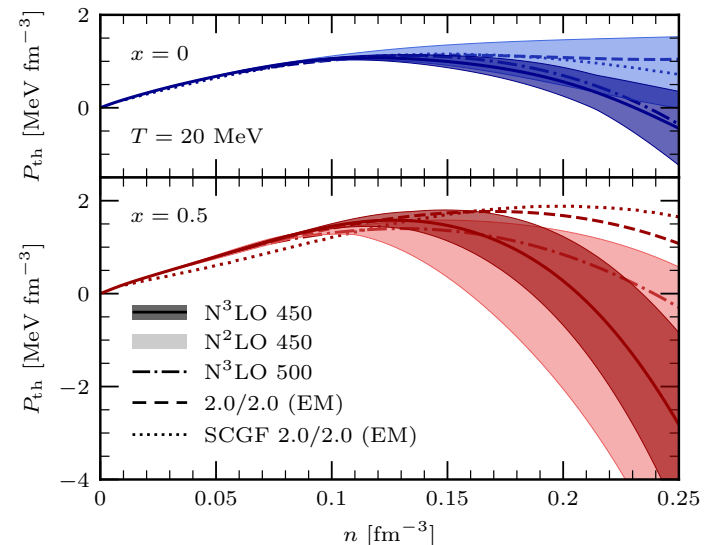
GP emulator to calculate **pressure** (thermodyn. consistent derivatives)



pressure isothermals cross at higher densities

→ negative thermal expansion

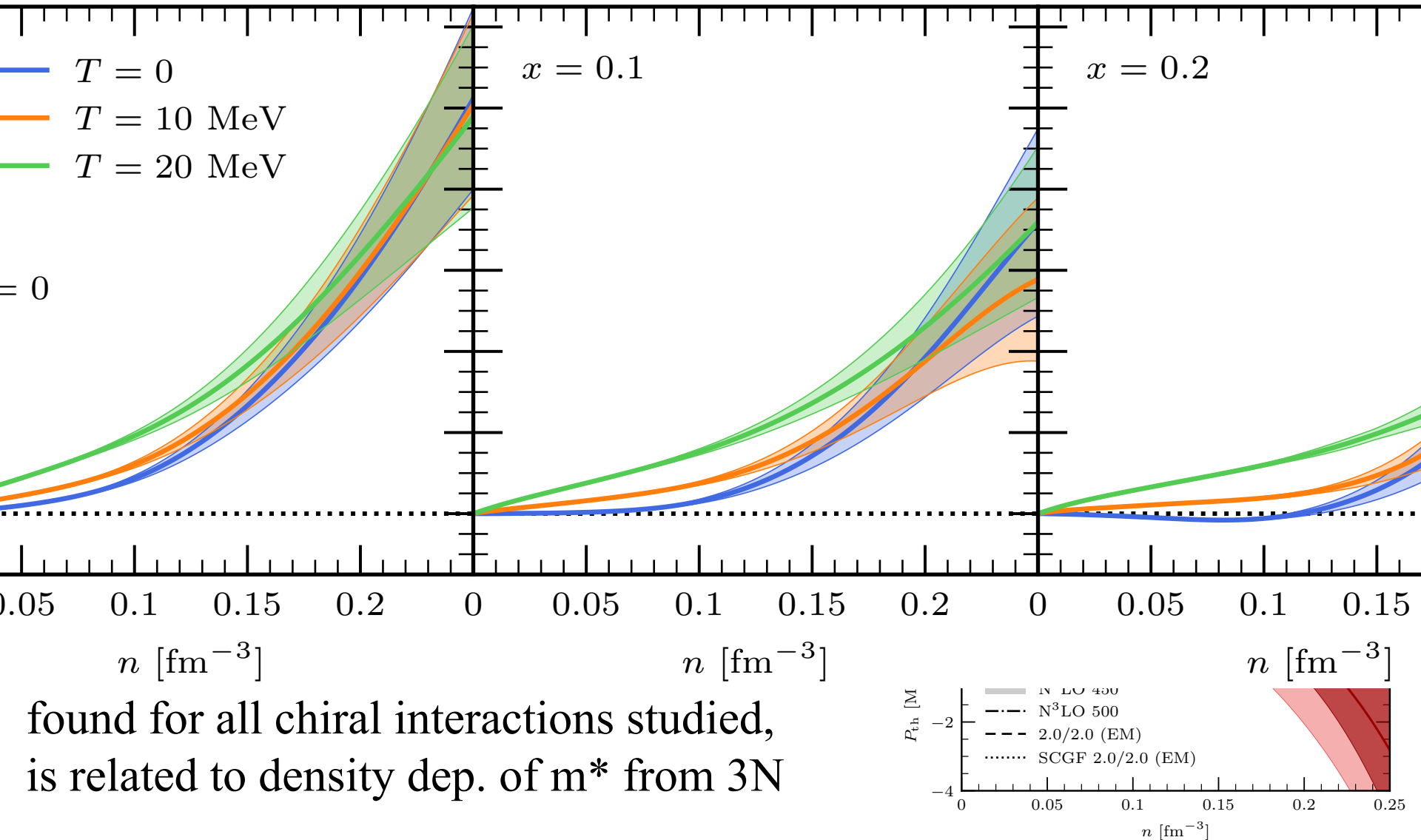
thermal part of pressure decreases with increasing density,
found for all chiral interactions studied,
is related to density dep. of m^* from $3N$



EOS for arbitrary proton fraction and temperature

Keller, Hebeler, AS, PRL (2023)

GP emulator to calculate **pressure** (thermodyn. consistent derivatives)

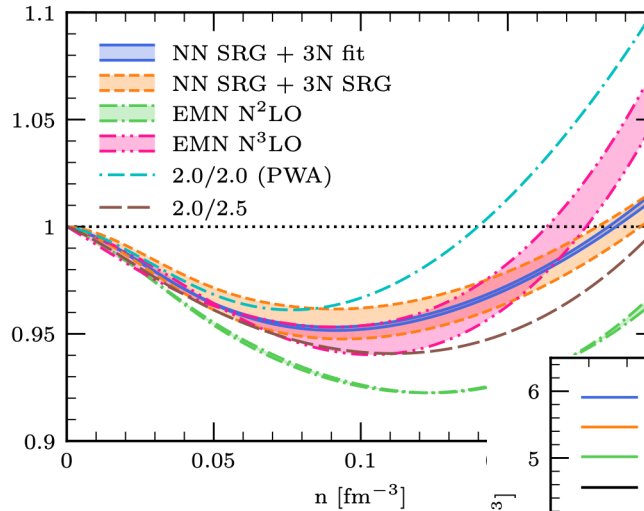
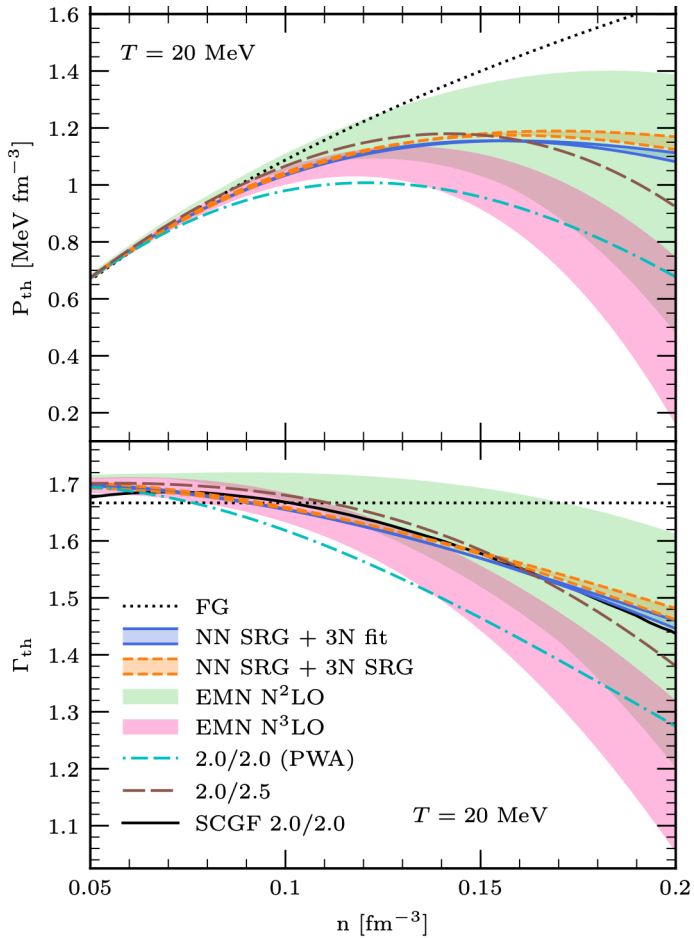


Thermal effects governed by effective mass

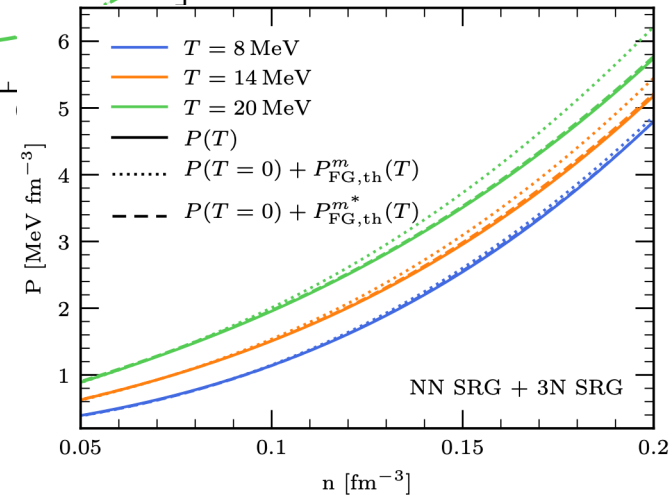
decreasing thermal pressure due to repulsive 3N contributions

increasing effective mass m^* beyond n_{sat}

$$\Gamma_{\text{th}}^*(n) = \frac{5}{3} - \frac{n}{m_n^*} \frac{\partial m_n^*}{\partial n}$$



$$\Gamma_{\text{th}}(T, n) = 1 + \frac{P_{\text{th}}(T, n)}{\mathcal{E}_{\text{th}}(T, n)}$$



Impact on core-collapse supernova simulations

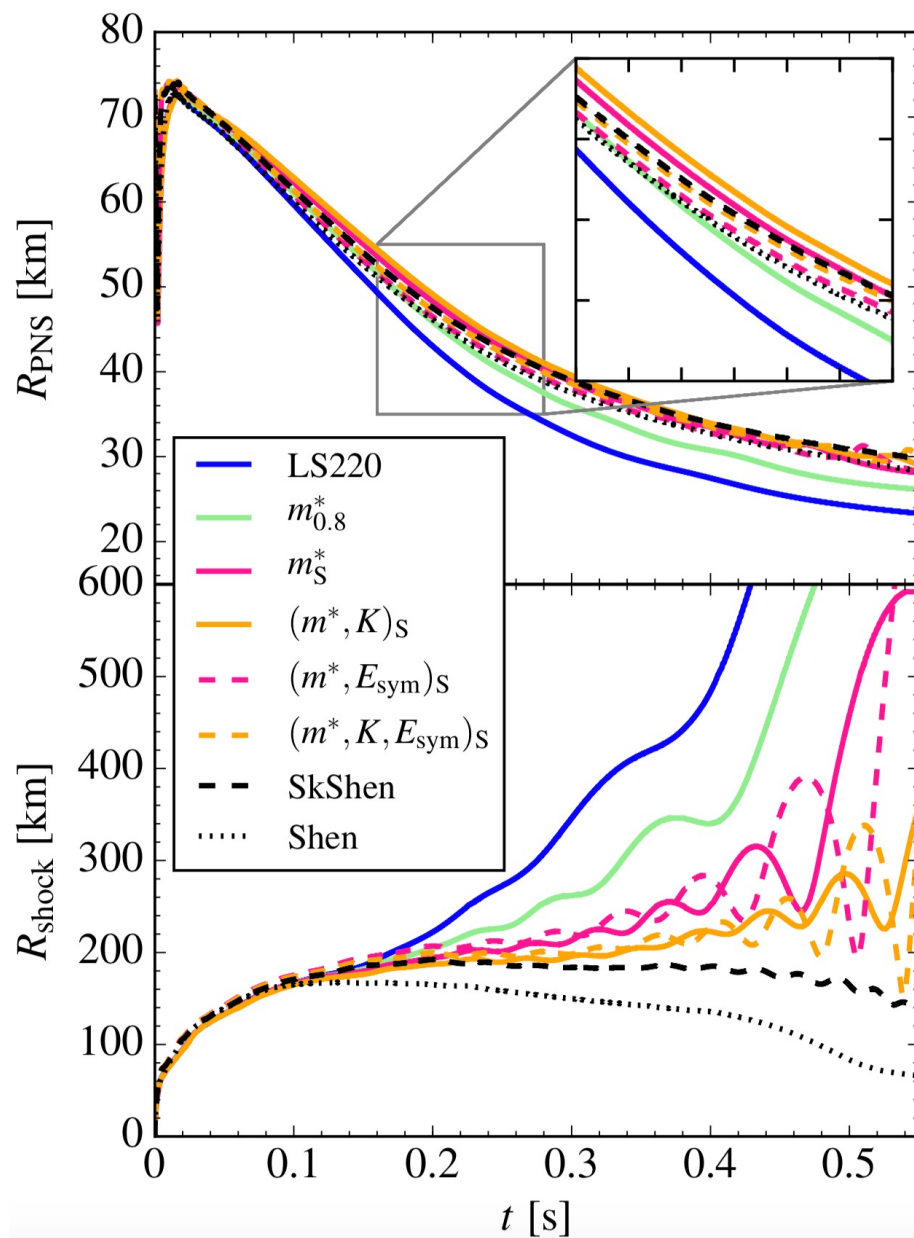
Yasin, Schäfer, Arcones, AS, PRL (2019)

constructed EOS that systematically vary nuclear matter properties between LS and Shen et al. EOS

	m^*/m	K	E_{sym}	L	n_0	B
LS220	1.0	220	29.6	73.7	0.155	16.0
Shen	0.634	281	36.9 ^a	110.8	0.145	16.3
Theo.	0.9(2)	215(40)	32(4)	51(19)	0.164(7)	15.86(57)

thermal contributions/ m^* are key for proto-neutron star contraction

faster contraction aids supernova shock to more successful explosion



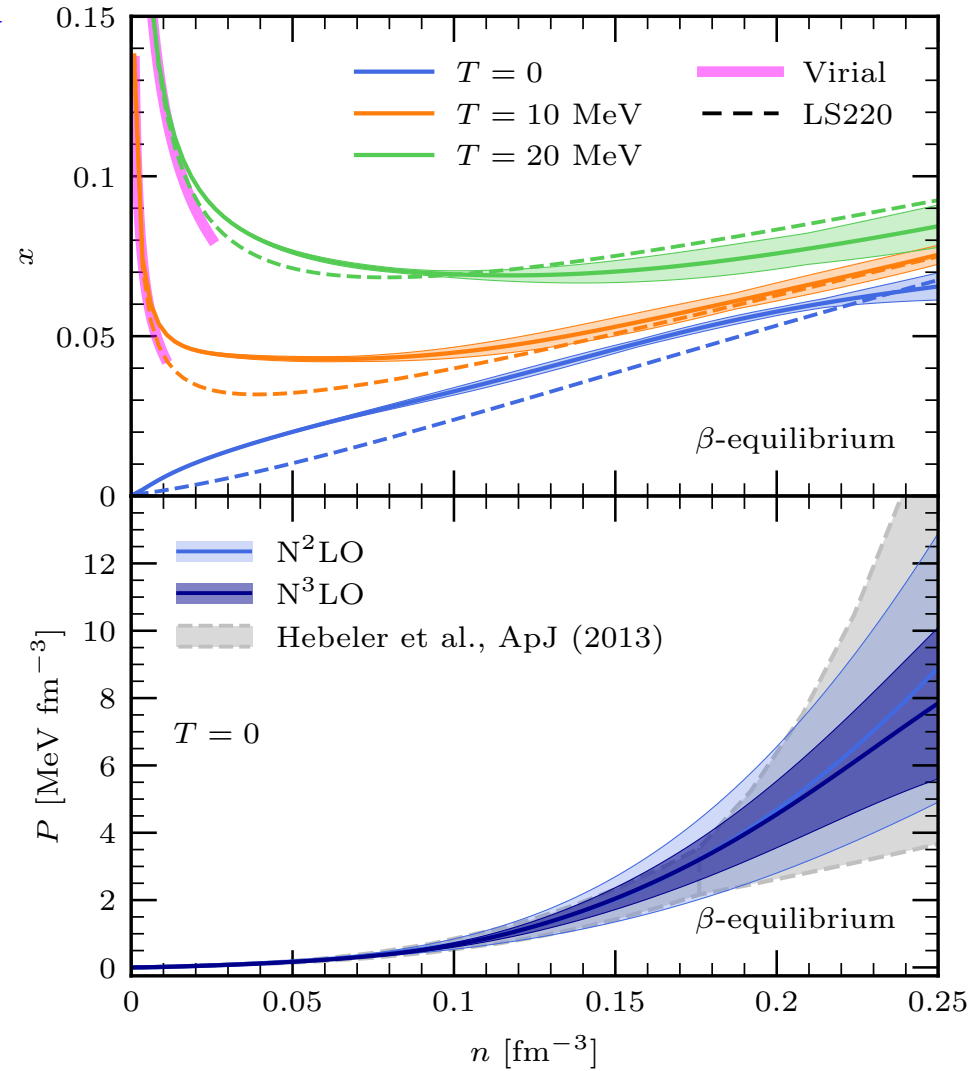
EOS for neutron star matter in beta equilibrium

Keller, Hebeler, AS, PRL (2023)

use GP emulator to access arbitrary proton fraction, solve for beta equilibrium

EOS of neutron star matter at $N^2\text{LO}$ and $N^3\text{LO}$, no indication of EFT breakdown

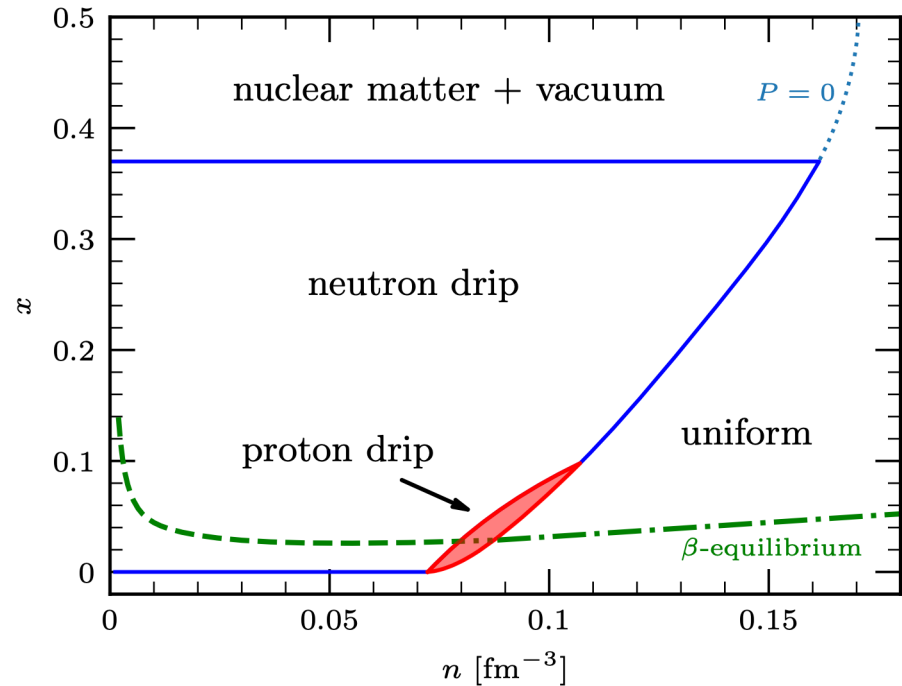
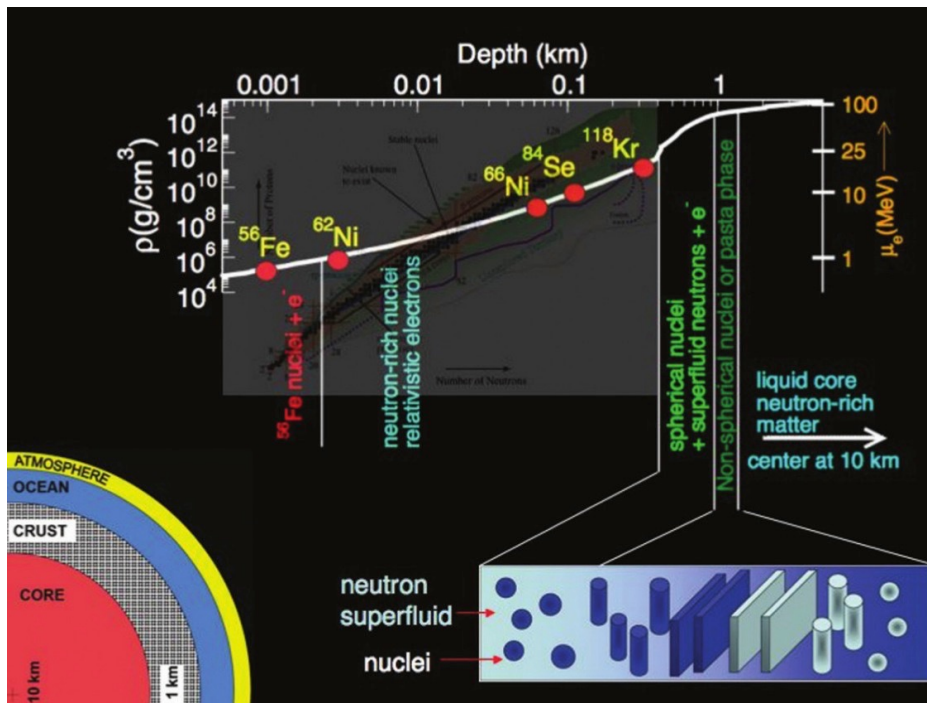
$N^3\text{LO}$ band prefers higher pressures, improvement over older calculations



Subnuclear phase diagram of neutron star matter

~ 5% proton fraction in denser neutron matter

below $\sim 0.5 n_0$ possible pasta phases: clusters/structures of high density surrounded by neutron (and proton?) gas: neutron (and proton?) drip



Keller, Hebeler, Pethick, AS, PRL (2024)

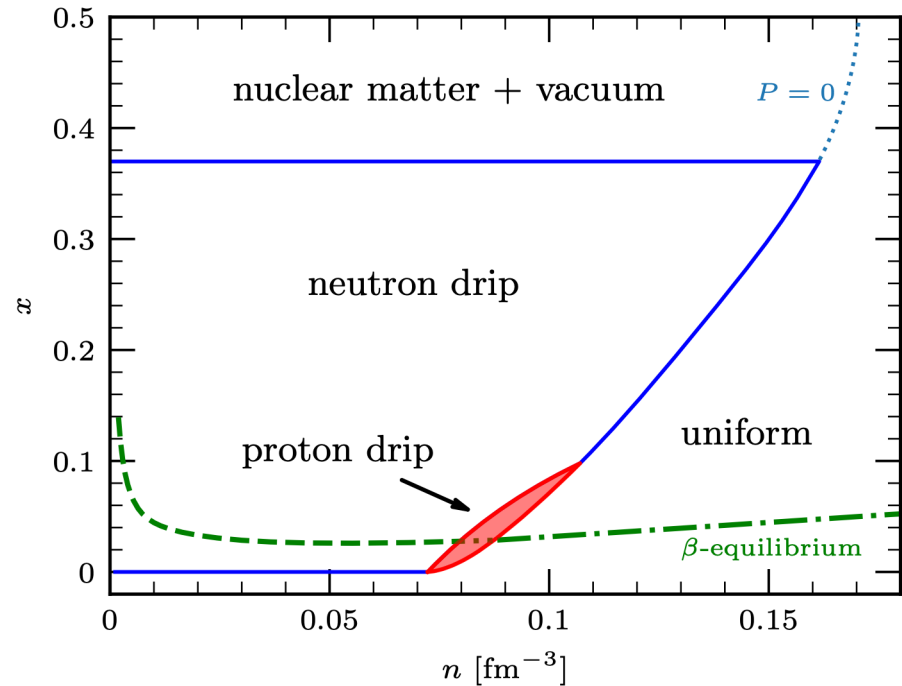
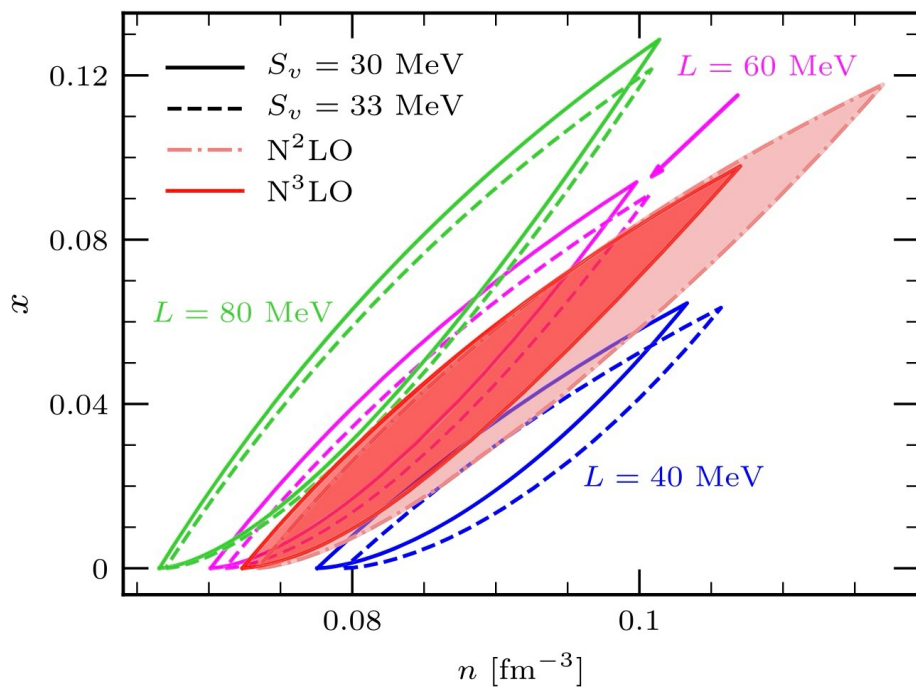
Chiral EFT calculations establish proton drip:

robust for any reasonable EOS, proton drip aids pasta formation

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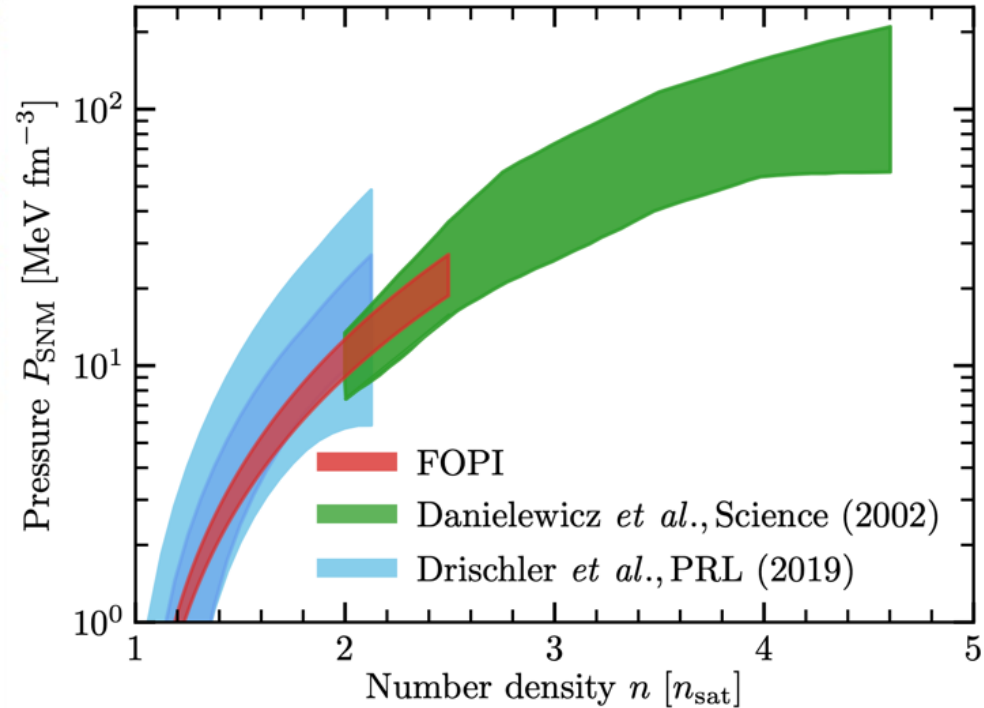
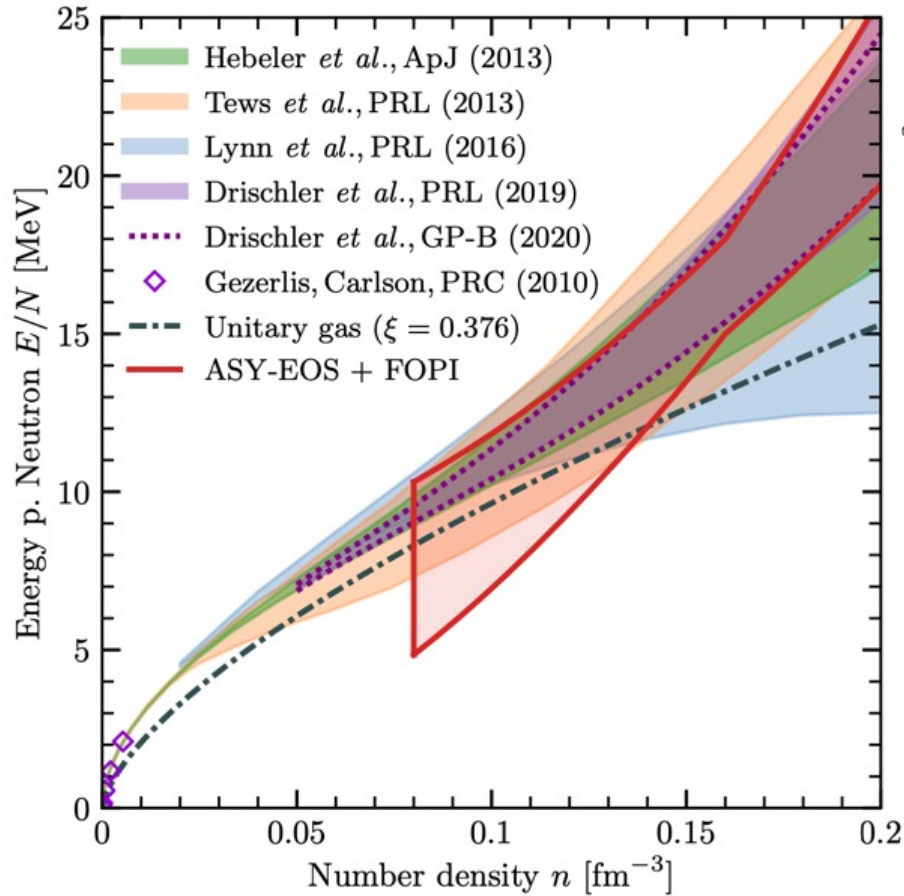
Keller, Hebeler, Pethick, AS, PRL (2024)

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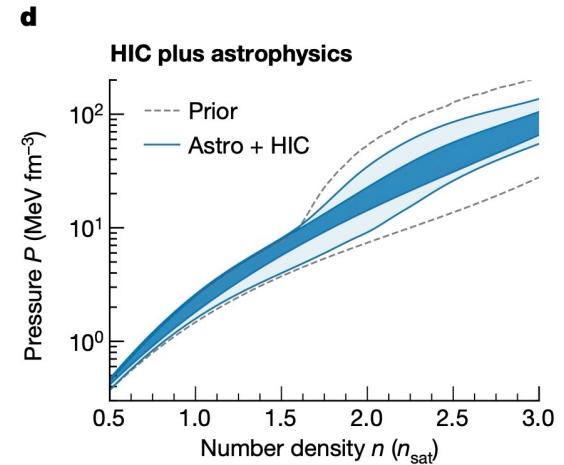
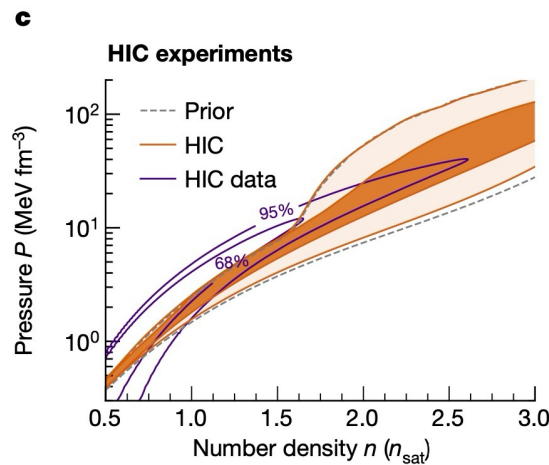
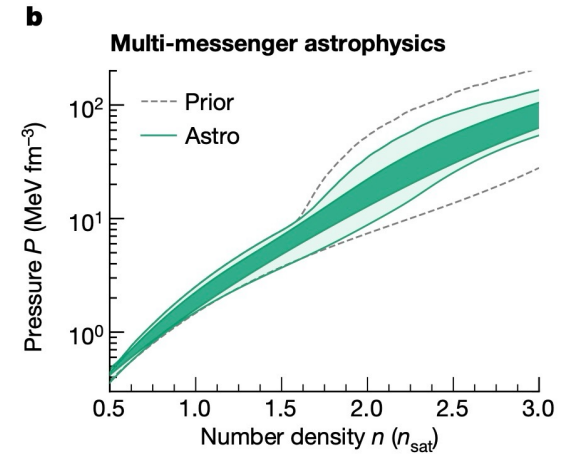
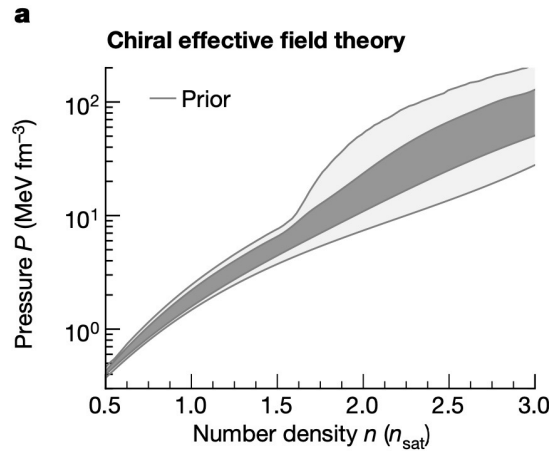
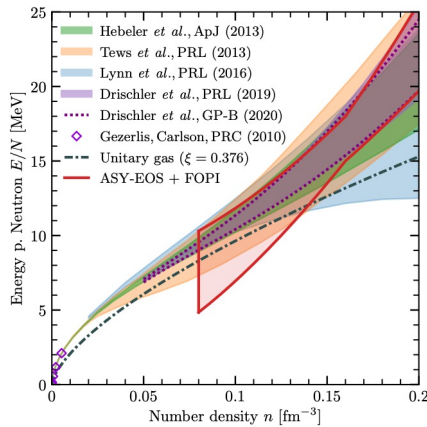
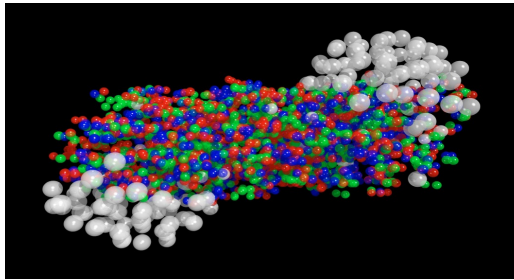
Constraints from heavy-ion collisions Huth, Pang et al., Nature (2022)

include in addition to chiral EFT: constraints from ASY-EOS and FOPI for neutron and symmetric matter



Constraints from heavy-ion collisions Huth, Pang et al., Nature (2022)

inclusion of HIC constraints prefers higher pressures, similar to NICER, overall remarkable consistency with chiral EFT and astro constraints



future HIC constraints for intermediate densities very interesting

	Prior	Astro only	HIC only	Astro + HIC
$P_{1.5n_{\text{sat}}}$	$5.59^{+2.04}_{-1.97}$	$5.84^{+1.95}_{-2.26}$	$6.06^{+1.85}_{-2.04}$	$6.25^{+1.90}_{-2.26}$
$R_{1.4}$	$11.96^{+1.18}_{-1.15}$	$11.93^{+0.80}_{-0.75}$	$12.06^{+1.13}_{-1.18}$	$12.01^{+0.78}_{-0.77}$

Summary

Thanks to:

ab initio masses for r-process: **J. Kuske, T. Miyagi, A. Arcones**
EOS: **F. Alp, Y. Dietz, H. Götting, L. Hoff, J. Keller, M. Mendes,**
I. Svensson, K. Hebeler, J.M. Lattimer, C.J. Pethick

ab initio calculations based on chiral EFT interactions for nuclei and
dense matter: cold, finite temperature, arbitrary proton fraction,
density dependence of effective mass leads to decreasing P_{th}

reliable EOS up to $\sim 1-2 n_0$ with controlled EFT and many-body
uncertainties: key for multimessenger era of neutron stars,

high-density constraints from astrophysics *see Melissa's talk*
+ heavy-ion collisions need better uncertainty quantification for HICs