

# Data-driven initial conditions for heavy-ion collisions

Govert Nijs

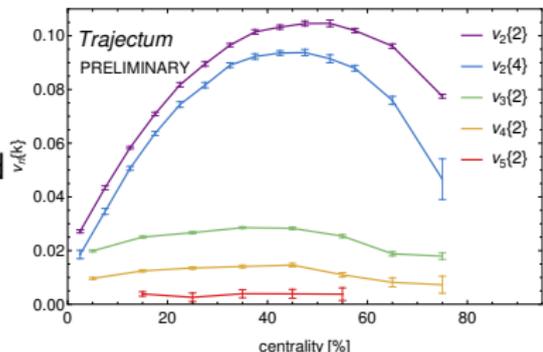
January 25, 2023

Based on:

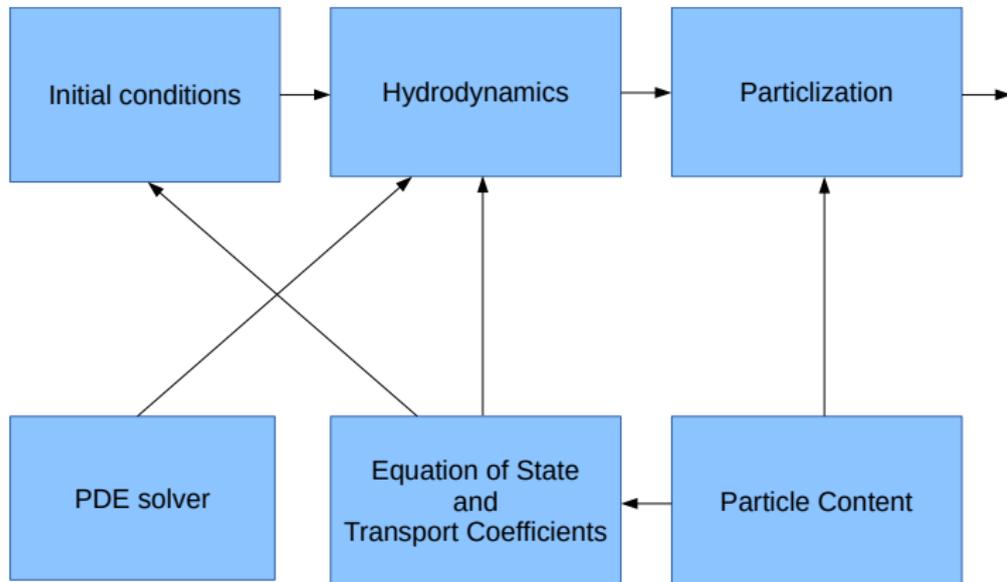
- GN, van der Schee, 2206.13522
- GN, van der Schee, 2302.xxxxx

# Trajectum

- New heavy ion code developed in Utrecht/MIT/CERN.
- Contains initial stage, hydrodynamics and freeze-out, as well as an analysis suite.
- Easy to use, example parameter files distributed alongside the source code.
- Fast, fully parallelized.
  - Figure (20k oversampled PbPb events at 2.76 TeV) computes on a laptop in 21h.
  - Bayesian analysis requires  $\mathcal{O}(1000)$  similar calculations to this one.
- Publicly available at [sites.google.com/view/governnijs/trajectum/](https://sites.google.com/view/governnijs/trajectum/).



# Components of *Trajectum*



# Hydrodynamics

- Define ( $g^{\mu\nu} = \text{diag}(1, -1, -1, -1)$ ):

$$\Delta^{\mu\nu} = g^{\mu\nu} - u^\mu u^\nu, \quad \nabla^\mu = \Delta^{\mu\nu} \partial_\nu, \quad D = u^\mu \nabla_\mu, \quad \sigma^{\mu\nu} = \nabla^{\langle\mu} u^{\nu\rangle},$$

with  $\langle \rangle$  symmetrizing and removing the trace.

- We solve viscous hydrodynamics without currents, i.e.

$$\partial_\mu T^{\mu\nu} = 0, \quad T^{\mu\nu} = e u^\mu u^\nu - (P + \Pi) \Delta^{\mu\nu} + \pi^{\mu\nu},$$

- $\pi^{\mu\nu}$  and  $\Pi$  follow the 14-moment approximation:

$$\begin{aligned} -\tau_\pi \Delta_\alpha^\mu \Delta_\beta^\nu D \pi^{\alpha\beta} &= \pi^{\mu\nu} - 2\eta \sigma^{\mu\nu} + \delta_{\pi\pi} \pi^{\mu\nu} \nabla \cdot u \\ &\quad - \phi_7 \pi_\alpha^{\langle\mu} \pi^{\nu\rangle\alpha} + \tau_{\pi\pi} \pi_\alpha^{\langle\mu} \sigma^{\nu\rangle\alpha} - \lambda_{\pi\Pi} \Pi \sigma^{\mu\nu}, \\ -\tau_\Pi D \Pi &= \Pi + \zeta \nabla \cdot u + \delta_{\Pi\Pi} \nabla \cdot u \Pi - \lambda_{\Pi\pi} \pi^{\mu\nu} \sigma_{\mu\nu}. \end{aligned}$$

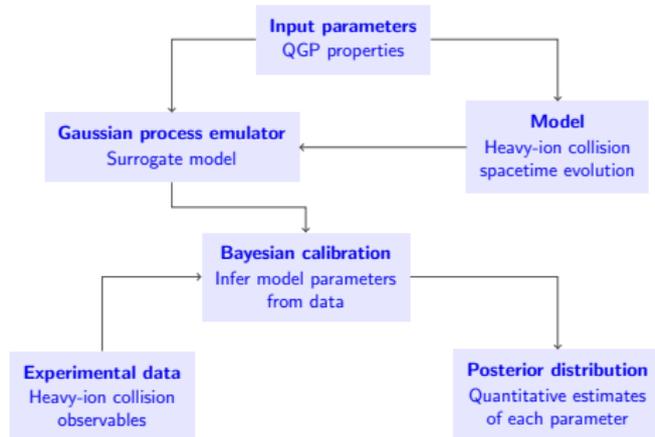
# Particlization

- At the freeze-out temperature  $T_{sw}$ , we turn the fluid back into particles.
- Particles are sampled thermally, and boosted with the fluid velocity  $u^\mu$ .
- We use the PTB prescription to match  $\pi^{\mu\nu}$  and  $\Pi$  across the transition, so that  $T^{\mu\nu}$  is smooth.
- After particlization, we use SMASH as a hadronic afterburner.

[Pratt, Torrieri, 1003.0413; Bernhard, 1804.06469]

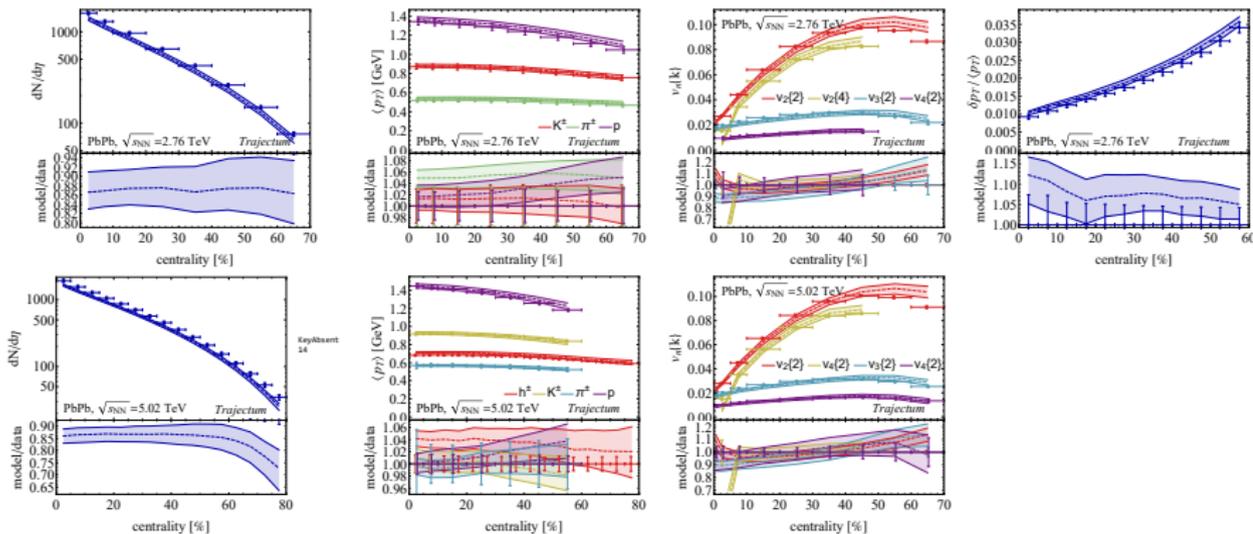
# Bayesian analysis

- We want to fit 25 parameters to 653 data points.
- Two problems:
  - Even the fastest models are too slow.
  - The parameter space is large.
- The first problem is solved by replacing the model with an emulator trained on model simulations.
- The second problem is solved by using Markov Chain Monte Carlo (MCMC), which samples the posterior using importance sampling.

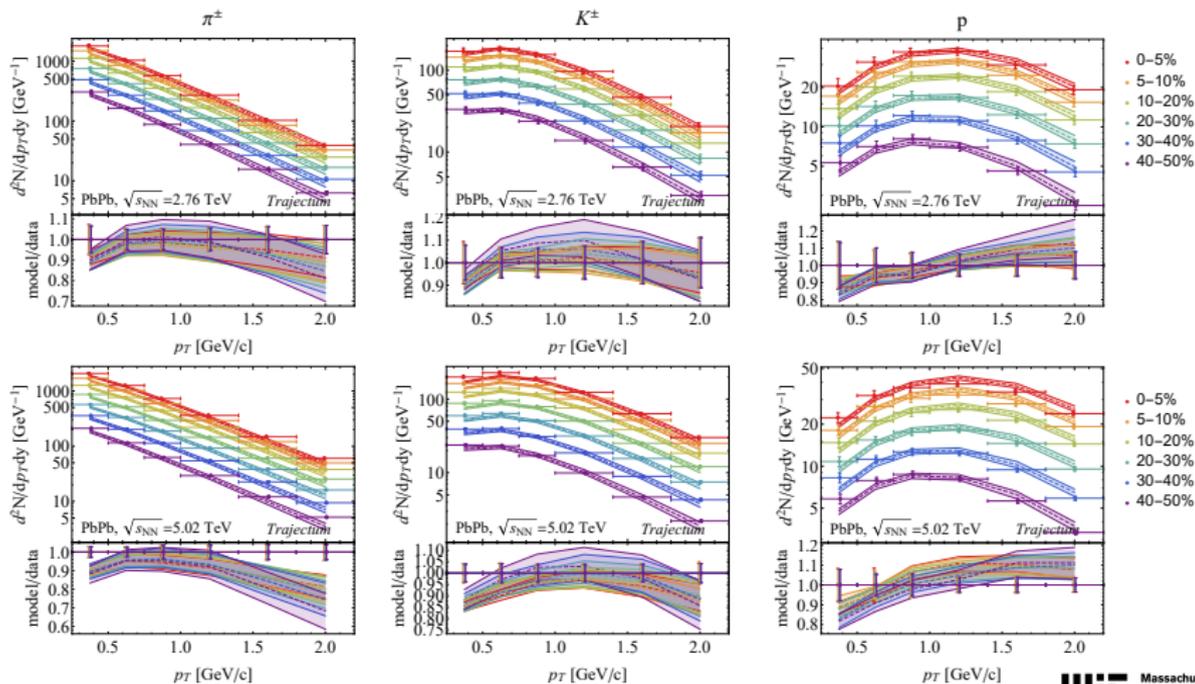


[Bernhard, 1804.06469]

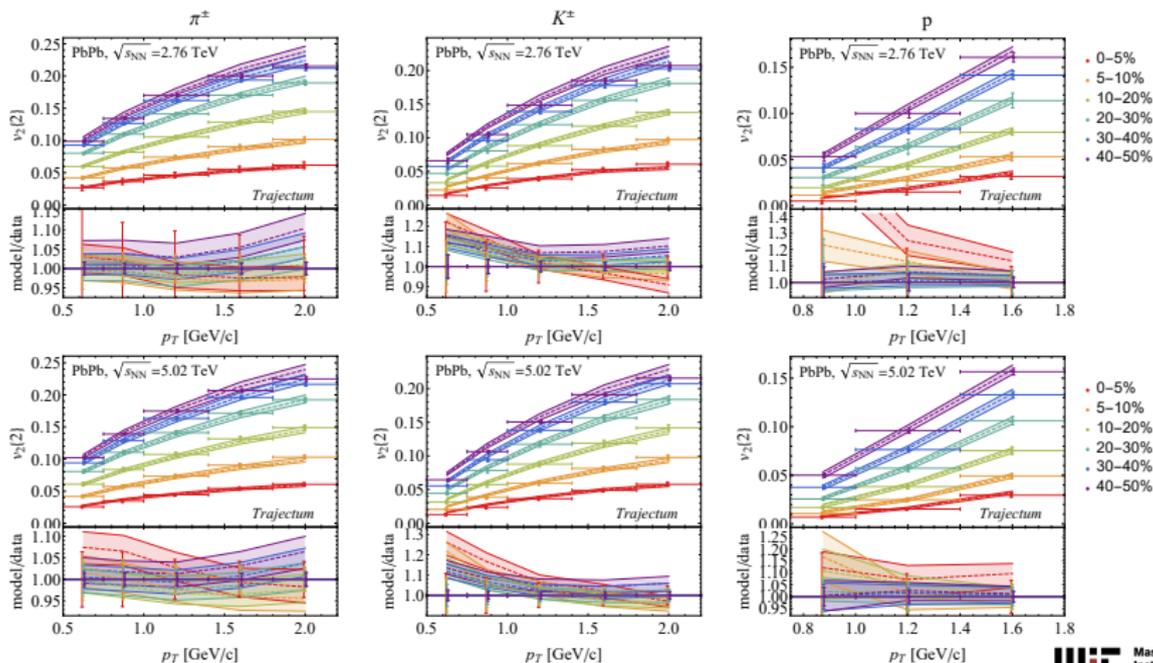
# Data used in our most recent fit: integrated observables



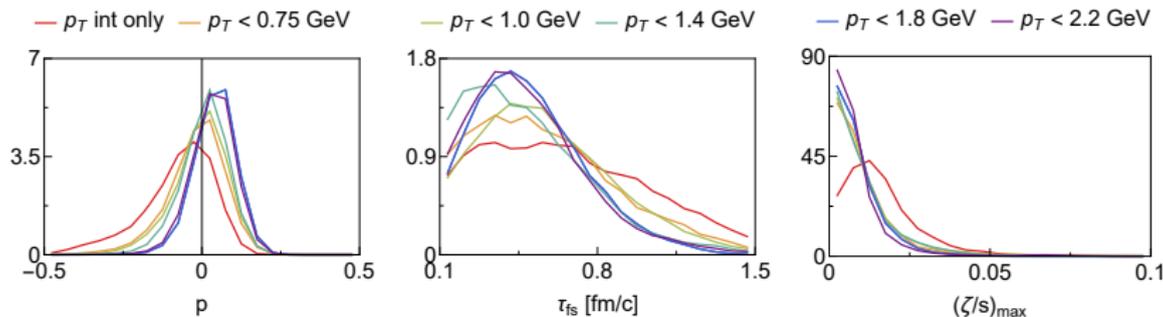
# Data used in our most recent fit: spectra



# Data used in our most recent fit: $p_T$ -differential $v_2$



# Bulk viscosity over entropy density $\zeta/s$

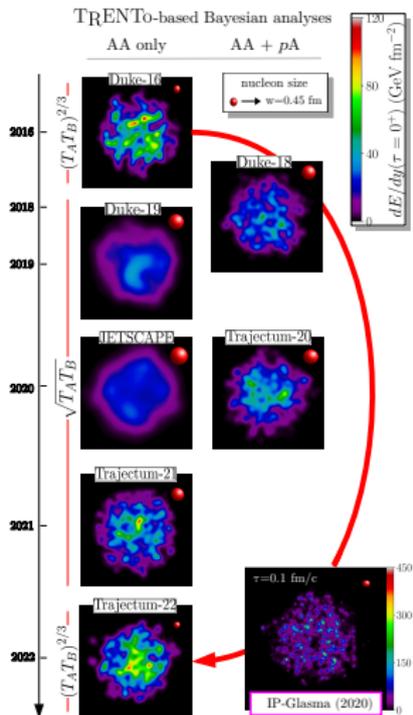


- Lower bulk viscosity than other groups.
- This is mostly due to the inclusion of  $p_T$ -differential observables.
- It is important to fit to as wide a range of data as possible (within reason).
- We varied the highest  $p_T$  bin included to check that our result was robust.

[GN, van der Schee, Gürsoy, Snellings, 2010.15130]

# Our evolving understanding of the initial state

TRENTo-based Bayesian analyses



- Initial conditions took a circle journey since 2016:
  - Small nucleon size at first, then larger, now small again.
  - Energy deposition went from  $T^{00} \propto (T_A T_B)^{2/3}$  to  $T^{00} \propto \sqrt{T_A T_B}$ , and now back to  $T^{00} \propto (T_A T_B)^{2/3}$ .
  - Pre-hydrodynamic stage increased in complexity from no dynamics, to free streaming, and now to a parameterized interpolation between weak and strong coupling.
- Progress was enabled by Bayesian analysis.
- We focus on the latest of these analyses: *Trajectum-22*.

[Giacalone, 2208.06839]

# T<sub>R</sub>ENTo initial conditions

- Nucleons  $A$  and  $B$  become *wounded* with probability

$$P_{\text{wounded}} = 1 - \exp\left(-\sigma_{gg} \int d\mathbf{x} \rho_A(\mathbf{x}) \rho_B(\mathbf{x})\right), \quad \rho_A \propto \exp\left(\frac{-|\mathbf{x} - \mathbf{x}_A|^2}{2w^2}\right).$$

- Each wounded nucleon desposits energy into its nucleus's *thickness function*  $\mathcal{T}_{A/B}$ :

$$\mathcal{T}_{A/B} = \sum_{i \in \text{wounded } A/B} \gamma \exp(-|\mathbf{x} - \mathbf{x}_i|^2/2w^2),$$

with  $\gamma$  drawn from a gamma distribution with mean 1 and standard deviation  $\sigma_{\text{fluct}}$ .

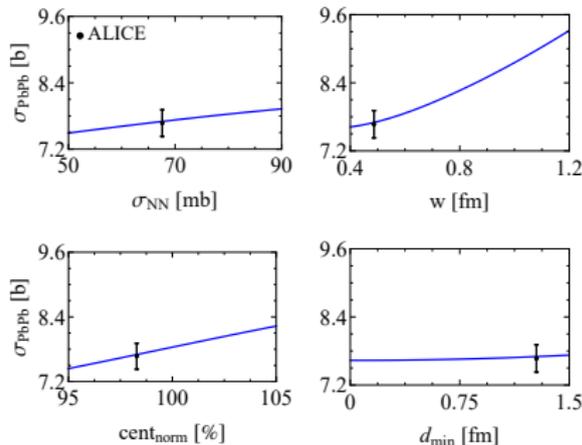
- Actual formulas slightly modified because each nucleon has  $n_c$  constituents.

[Moreland, Bernhard, Bass, 1412.4708, 1808.02106]

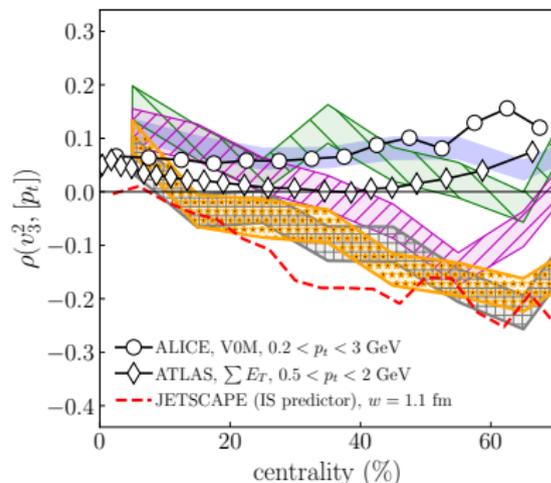
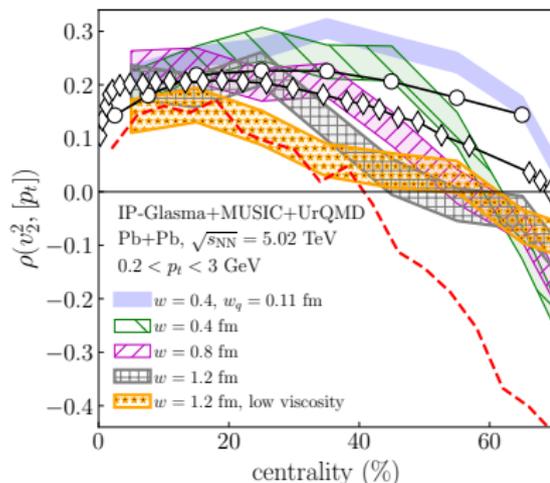
# The cross-section $\sigma_{AA}$ for different nucleon widths

- The cross-section depends strongly on the nucleon width  $w$  and the centrality normalization  $\text{cent}_{\text{norm}}$ .
- ALICE finds:  $7.67 \pm 0.24$  b.
- Cross-section measurement seems to require smaller  $w$  than earlier analyses.
- Basic observable: models should get this right.

[ALICE, 2204.10148; ALICE-PUBLIC-2022-004]



# $\rho(v_2^2, \langle p_T \rangle)$ for different nucleon widths

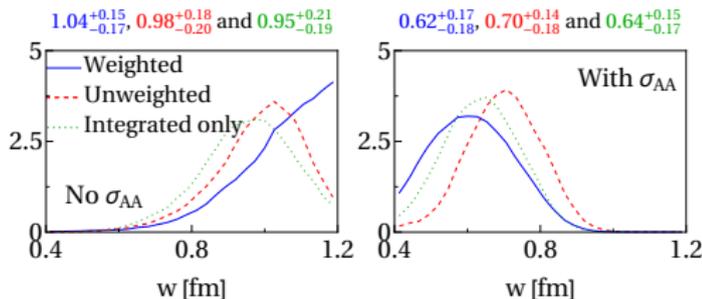


- The correlation between  $v_2^2$  and  $\langle p_T \rangle$  is sensitive to the nucleon width  $w$ .
- Smaller  $w$  is preferred.
- This is a statistically challenging observable.

[Giacalone, Schenke, Shen, 2111.02908]

# Including the $p$ Pb and PbPb cross sections in the analysis

- Including the  $p$ Pb and PbPb cross sections in the fit lowers  $w$  from 1 fm to 0.6 fm.
- Smaller width is now compatible with our knowledge of the proton.
- Result is robust under various fitting scenarios.

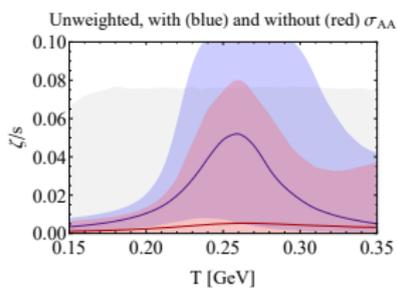
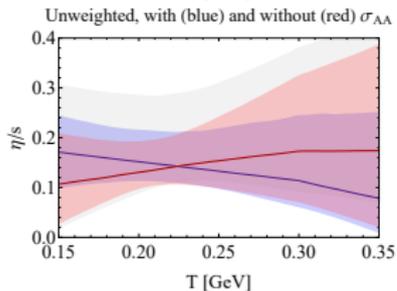
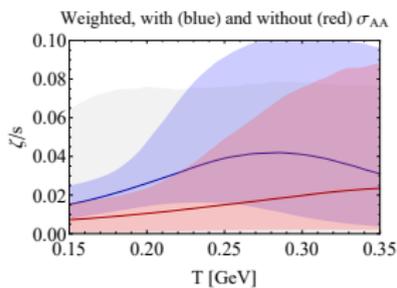
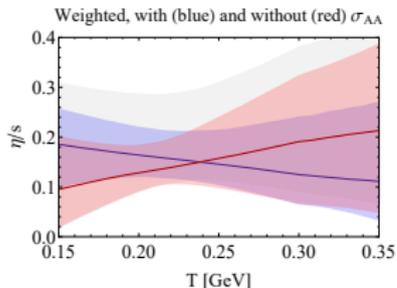


	$\sigma_{PbPb}$ [b]	$\sigma_{pPb}$ [b]
with $\sigma_{AA}$	$8.02 \pm 0.19$	$2.20 \pm 0.06$
without $\sigma_{AA}$	$8.95 \pm 0.36$	$2.48 \pm 0.10$
ALICE/CMS	$7.67 \pm 0.24$	$2.06 \pm 0.08$

[ALICE, 2204.10148; ALICE-PUBLIC-2022-004; CMS, 1509.03893]

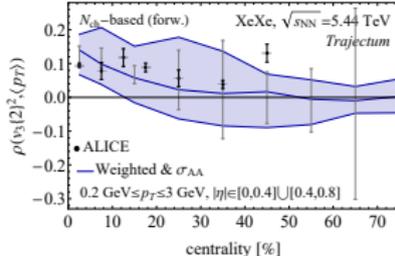
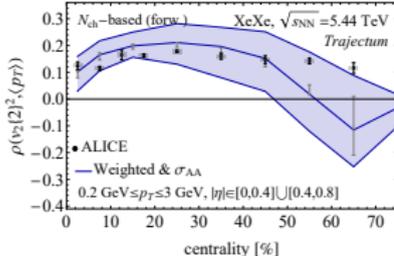
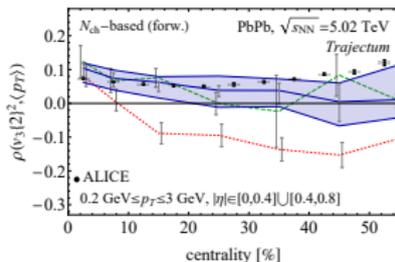
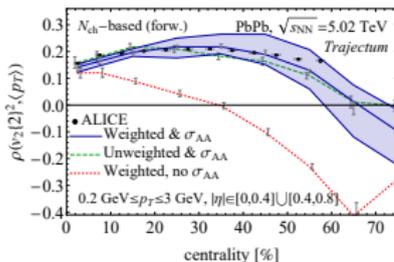
# Implication for viscosities

- Smaller nucleons imply larger radial flow.
- Specific bulk viscosity  $\zeta/s$  increases to compensate.
- Including  $\sigma_{AA}$  reverses the preferred slope of specific shear viscosity  $\eta/s$ .
- Similar findings in IP-Glasma based Bayesian analysis presented at Quark Matter.  
[Heffernan, Jeon, Gale, Paquet, to appear]

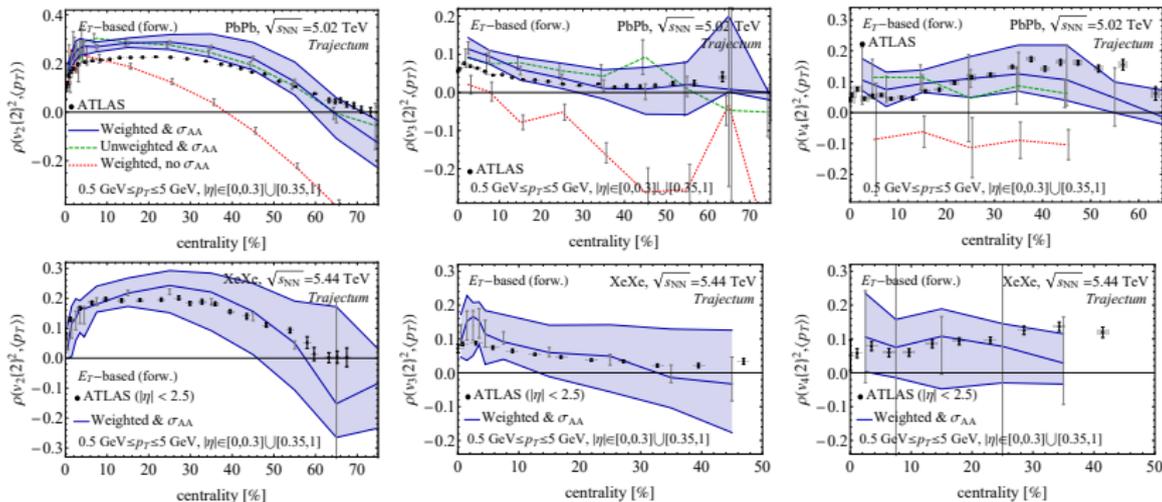


# Implication for $\rho(v_2^2, \langle p_T \rangle)$ (ALICE)

- We can use the full posterior to propagate uncertainties from parameters to observables.
- Much improved agreement with ALICE for  $\rho(v_2^2, \langle p_T \rangle)$ .



# Implication for $\rho(v_2^2, \langle p_T \rangle)$ (ATLAS)



- Still some tension with ATLAS:
  - Kinematic cuts are different, probably needs 3+1D simulations to resolve.
  - Important to match the precise experimental procedure.

# The T<sub>R</sub>ENTo phenomenological ansatz

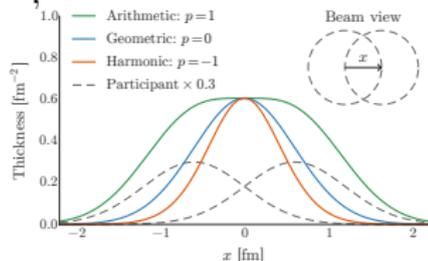
- The standard T<sub>R</sub>ENTo formula combines thickness functions of the two nuclei  $\mathcal{T}_A$  and  $\mathcal{T}_B$  into a *reduced thickness*  $\mathcal{T}$ , interpreted as an energy density:

$$\mathcal{T} \propto \left( \frac{\mathcal{T}_A^p + \mathcal{T}_B^p}{2} \right)^{1/p}$$

with  $p$  a parameter.

- Some useful limits:

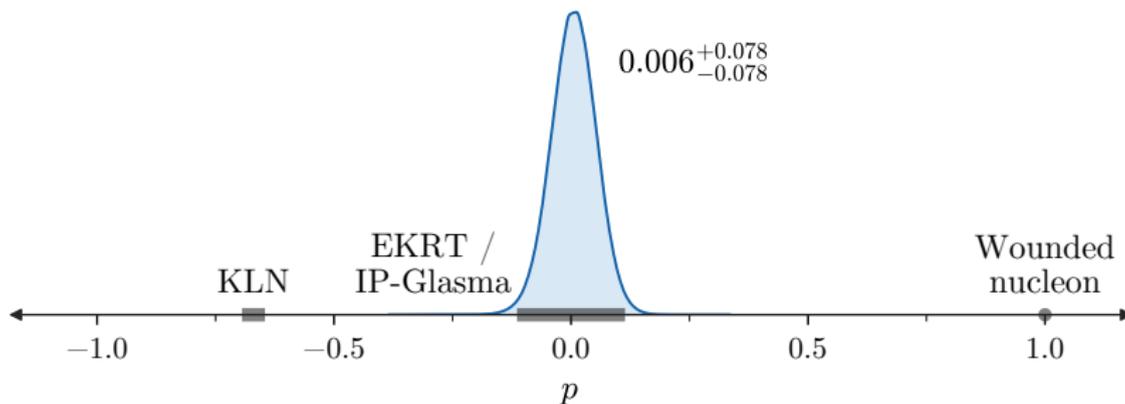
$p$	-1	0	1
$\mathcal{T}$	$\frac{2}{\frac{1}{\mathcal{T}_A} + \frac{1}{\mathcal{T}_B}}$	$\sqrt{\mathcal{T}_A \mathcal{T}_B}$	$\frac{\mathcal{T}_A + \mathcal{T}_B}{2}$



[Moreland, Bernhard, Bass, 1412.4708]

- Binary scaling  $\mathcal{T} = \mathcal{T}_A \mathcal{T}_B$  is not available.

# The power of Bayesian analysis



- Can test theories for the initial state with T<sub>R</sub>ENTo, in this case by comparing their scaling behavior.
- General workflow for testing theories/questions:
  - Introduce parameter(s) which parameterize the question.
  - Confront the generalized model with data using Bayesian analysis.
  - Read off the posterior distribution for the parameter(s).

[Bernhard, 1804.06469]

# The $q$ parameter

- We make the following modification to the T<sub>R</sub>ENTo formula:

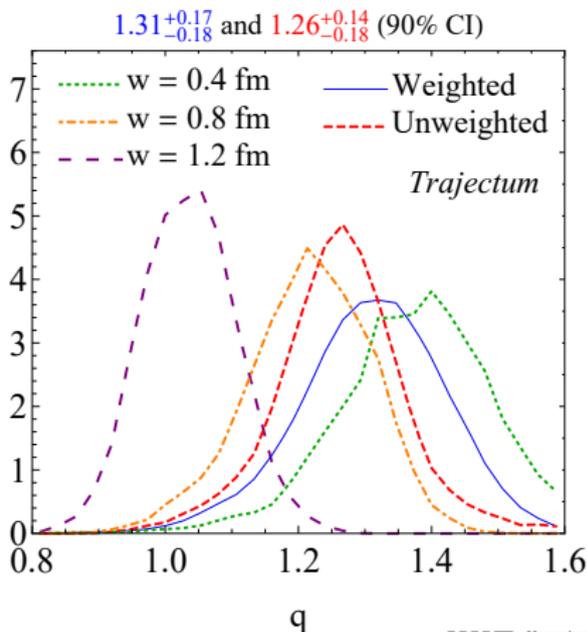
$$\mathcal{T} \propto \left( \frac{\mathcal{T}_A^p + \mathcal{T}_B^p}{2} \right)^{q/p},$$

introducing the parameter  $q$ .

- We now include *binary scaling* as a limit when  $p = 0$ ,  $q = 2$ .
- Assuming approximate conformality of the equation of state, we can also interpret the right hand side as an *entropy density* by setting  $q = 4/3$ .

# Posterior distribution for $q$

- Binary scaling ( $q = 2$ ) is strongly disfavored.
- Fixing the nucleon width  $w$  at different values has a large effect on the fitted value for  $q$ .
- Fixing  $w = 0.4$  fm favors  $q \approx 4/3$ .
- Weighted distribution is close to  $w = 0.4$  fm distribution.



# Comparing to IP-Glasma

- IP-Glasma scales as follows:

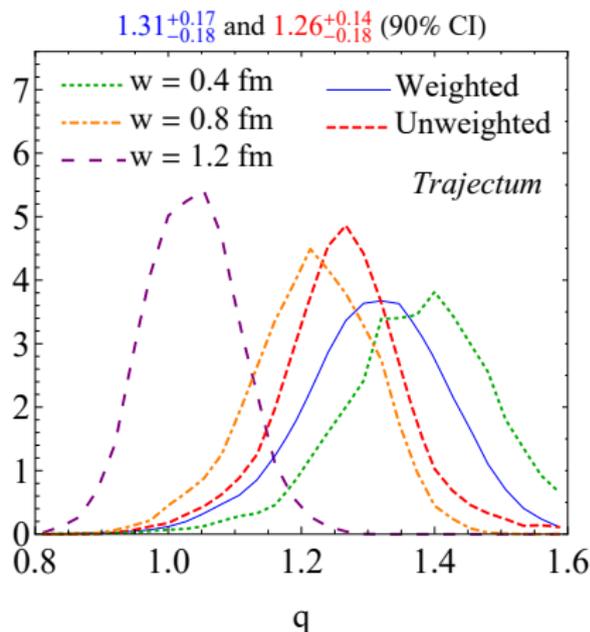
$$\mathcal{T} \propto \frac{\mathcal{T}_A \mathcal{T}_B (2\mathcal{T}_A^2 + 7\mathcal{T}_A \mathcal{T}_B + 2\mathcal{T}_B^2)}{(\mathcal{T}_A + \mathcal{T}_B)^{5/2}}$$

- If  $\mathcal{T}_A \approx \mathcal{T}_B$ , this reduces to

$$\mathcal{T} \propto (\mathcal{T}_A \mathcal{T}_B)^{3/4}$$

- This corresponds to  $q = 1.5$ .
- IP-Glasma is compatible with our posterior.

[Borghini et al., 2209.01176]

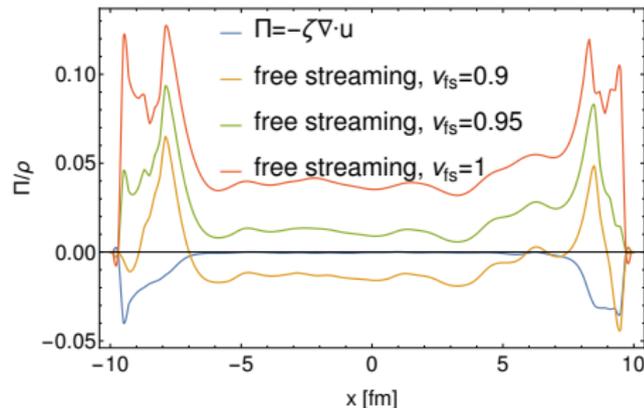


# Strongly coupled pre-hydrodynamic stage: early effort

- In AdS/CFT simulations of the initial stage, the shear stress and bulk pressure quickly relax to their 'hydro' values:

$$\pi^{\mu\nu} = 2\eta\sigma^{\mu\nu}, \quad \Pi = -\zeta\nabla\cdot u.$$

- In free streaming however, the initialization of  $\pi^{\mu\nu}$  and  $\Pi$  is qualitatively different.
- Use free streaming velocity as a proxy for this difference.



[van der Schee, Romatschke, Pratt, 1307.2539; GN, van der Schee, Gürsoy, Snellings, 2010.15134]

# Free streaming pre-hydrodynamic stage

- T<sub>R</sub>ENTo creates matter at proper time  $\tau = 0^+$ .
- Propagate the matter using free streaming:

$$T^{\mu\nu}(x, y, \tau_{\text{hyd}}) = \frac{1}{\tau_{\text{hyd}}} \int d\phi \hat{p}^\mu \hat{p}^\nu \mathcal{T}(x - \tau_{\text{hyd}} \cos \phi, y - \tau_{\text{hyd}} \sin \phi),$$

with

$$\hat{p}^\mu = (1 \quad \cos \phi \quad \sin \phi),$$

giving us the stress tensor  $T^{\mu\nu}$  at proper time  $\tau = \tau_{\text{hyd}}$ .

- Here  $\tau_{\text{hyd}}$  is the time at which hydrodynamics is started.
- The factor  $1/\tau_{\text{hyd}}$  is due to longitudinal expansion.

# The $r_{\text{hyd}}$ parameter

- We compute the hydrodynamic values for  $\pi^{\mu\nu}$  and  $\Pi$  explicitly from the velocity  $u^\mu$ :

$$\pi_{\text{hyd}}^{\mu\nu} = 2\eta\sigma^{\mu\nu}, \quad \Pi_{\text{hyd}} = -\zeta\nabla \cdot u.$$

- We then mix the hydrodynamic values with the free streaming values and initialize hydrodynamics with

$$\begin{aligned}\pi^{\mu\nu} &= r_{\text{hyd}}\pi_{\text{hyd}}^{\mu\nu} + (1 - r_{\text{hyd}})\pi_{\text{fs}}^{\mu\nu}, \\ \Pi &= r_{\text{hyd}}\Pi_{\text{hyd}} + (1 - r_{\text{hyd}})\Pi_{\text{fs}},\end{aligned}$$

with  $r_{\text{hyd}} \in [0, 1]$  interpolating between the two scenarios.

# Need to go to second order

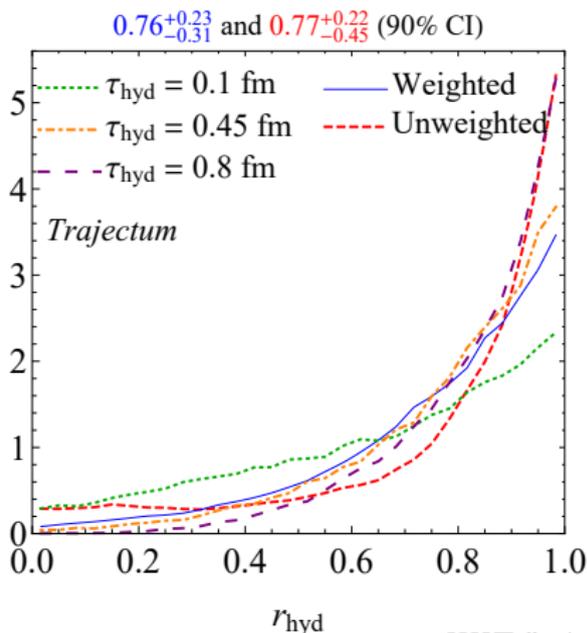
- Previous scheme fails: combination of large T<sub>R</sub>ENTo norm  $N$ , small hydro initialization time  $\tau_{\text{hyd}}$  and large specific shear viscosity  $\eta/s$  causes extreme particle yields, ruining the emulator.
- Need to go to second order, which penalizes large initial values for  $\pi^{\mu\nu}$  and  $\Pi$ .
- Use full 14-moment approximation:

$$\begin{aligned}
 -\tau_{\pi} \Delta_{\alpha}^{\mu} \Delta_{\beta}^{\nu} D \pi^{\alpha\beta} &= \pi^{\mu\nu} - 2\eta \sigma^{\mu\nu} + \delta_{\pi\pi} \pi^{\mu\nu} \nabla \cdot u \\
 &\quad - \phi_7 \pi_{\alpha}^{\langle\mu} \pi^{\nu\rangle\alpha} + \tau_{\pi\pi} \pi_{\alpha}^{\langle\mu} \sigma^{\nu\rangle\alpha} - \lambda_{\pi\Pi} \Pi \sigma^{\mu\nu}, \\
 -\tau_{\Pi} D \Pi &= \Pi + \zeta \nabla \cdot u + \delta_{\Pi\Pi} \nabla \cdot u \Pi - \lambda_{\Pi\pi} \pi^{\mu\nu} \sigma_{\mu\nu},
 \end{aligned}$$

where we set the left hand side to zero, and solve for  $\pi^{\mu\nu}$  and  $\Pi$ .

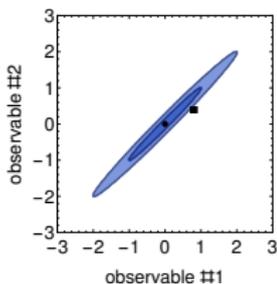
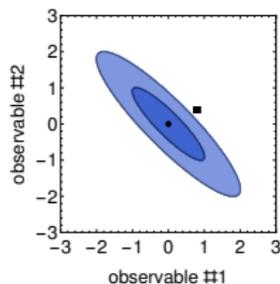
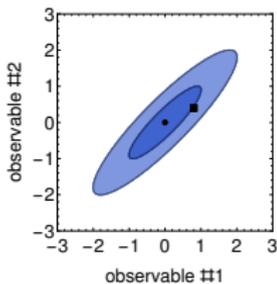
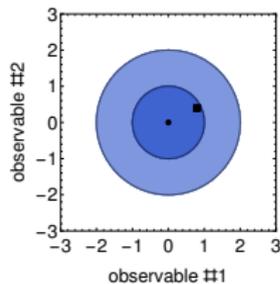
# Posterior distribution for $r_{\text{hydro}}$

- $r_{\text{hyd}} = 1$  is strongly favored over  $r_{\text{hyd}} = 0$ , implying a preference for strongly coupled pre-hydrodynamic stage.
- Preference also becomes stronger for larger hydro initialization time  $\tau_{\text{hyd}}$ .
- One can see this as model averaging, albeit cheaper since we can interpolate between models with a continuous parameter.



# Why weights?

- Higher  $p_T$ , higher centralities are harder to model theoretically.
- Experimental correlation matrix is not available.
  - Figure shows  $1\sigma$  and  $2\sigma$  regions for  $\rho \in \{0, 0.9, -0.9, 0.99\}$ , with standard deviations the same.
  - Same difference between theory and experiment can be within  $1\sigma$  or outside of  $2\sigma$  depending on  $\rho$ .
  - Correlated observable classes can be over/underimportant for the Bayesian analysis.



# Definition of weights

- In the bayesian analysis, the probability of the data given the parameter point  $x$  is given by:

$$P(D|x) = \frac{1}{\sqrt{(2\pi)^m \det \Sigma}} \exp \left( -\frac{1}{2} (y - y_{\text{exp}})^T \Sigma^{-1} (y - y_{\text{exp}}) \right),$$

with  $y$  the vector of observables computed from  $x$ ,  $y_{\text{exp}}$  the vector of the corresponding experimental data, and  $\Sigma$  the combined theory/experiment covariance matrix.

- We define weights by replacing

$$P(D|x) = \frac{1}{\sqrt{(2\pi)^m \det \Sigma}} \exp \left( -\frac{1}{2} (y - y_{\text{exp}})^T \omega \Sigma^{-1} \omega (y - y_{\text{exp}}) \right),$$

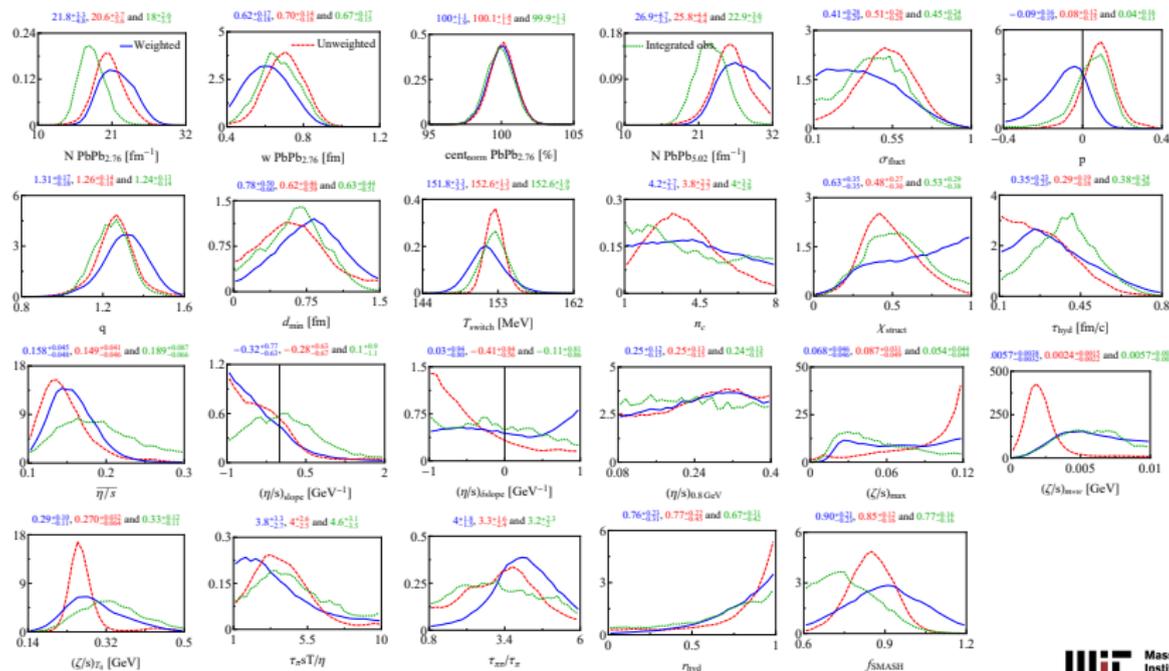
where  $\omega$  is the diagonal matrix containing the weight for each observable.

# Choice of weights

- We choose for weights  $\omega$ :
  - 1/2 for every particle identified observable.
  - 1/2 for  $p_T$ -differential observables, and an additional  $(2.5 - p_T[\text{GeV}])/1.5$  if  $p_T > 1$  GeV.
  - $(100 - c[\%])/50$  if the centrality class  $c$  is beyond 50%.
- Weighting only worsens the average discrepancy slightly.
- Distribution of discrepancies makes more sense.

	$\langle (y^{\text{theory}} - y^{\text{experiment}}) / \sigma \rangle$				$\bar{\omega}$
	$\sigma_{AA}$	$\omega$	$\sigma_{AA}$	neither	
$dN_{\text{ch}}/d\eta$	0.55	0.60	1.23	1.22	1.00
$dN_{\pi^\pm, k^\pm, p^\pm}/dy$	0.76	0.70	0.60	0.57	0.48
$dE_T/d\eta$	1.59	1.51	0.82	0.77	0.48
$\langle p_T \rangle_{\text{ch}, \pi^\pm, K^\pm, p^\pm}$	0.66	0.60	0.88	0.72	0.46
$\delta p_T / \langle p_T \rangle$	0.56	0.62	0.51	0.58	0.49
$v_n\{k\}$	0.58	0.51	0.54	0.49	1.00
$d^2 N_{\pi^\pm} / dy dp_T$	1.19	1.07	0.86	0.92	0.20
$d^2 N_{K^\pm} / dy dp_T$	1.41	1.27	0.79	0.73	0.20
$d^2 N_{p^\pm} / dy dp_T$	1.35	1.21	0.73	0.67	0.25
$v_2^{\pi^\pm}(p_T)$	0.81	0.74	0.46	0.44	0.19
$v_2^{K^\pm}(p_T)$	0.92	0.89	0.55	0.55	0.19
$v_2^{p^\pm}(p_T)$	0.49	0.47	0.34	0.35	0.25
$v_3^{\pi^\pm}(p_T)$	0.65	0.57	0.69	0.57	0.24
average	0.89	0.83	0.69	0.66	
$\sigma_{AA}$	1.13	3.80	1.53	3.40	1.00

# How much do weights change the posteriors?

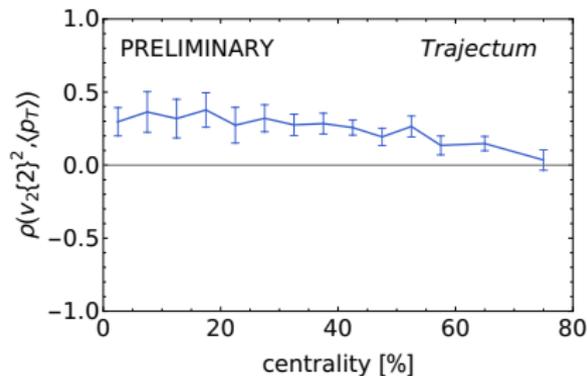


# Conclusions

- Describing the experimental cross-section requires smaller nucleon width.
- Binary scaling in T<sub>R</sub>ENTo is strongly disfavored.
- Reduced thickness  $\mathcal{T}$  should be interpreted as an entropy density.
- Scaling behavior of T<sub>R</sub>ENTo is compatible with IP-Glasma.
- Our fit favors a strongly coupled pre-hydrodynamic stage.
- Weighting makes the discrepancies between theory and experiment better distributed.

# Outlook

- Much improved statistics: can now fit to  $\rho(v_2\{2\}^2, \langle p_T \rangle)$  directly.
- Bayesian analysis with 3+1D simulations.
- Nuclear structure with  $^{16}\text{O}$  and  $^{20}\text{Ne}$ .
- Interpolating between T<sub>R</sub>ENTo scaling and using the IP-Glasma scaling directly.



# Correlations between parameters

