

# FACTORIZATION & RESUMMATION FOR LHC JET PROCESSES

Matthias Neubert

Johannes Gutenberg University Mainz & University of Zurich



#### Mainz team

Philipp Böer (now @ CERN)
Patrick Hager
Michel Stillger (now @ TUM)
Xiaofeng Xu

Since fall 2024:
Upalaparna Banerjee
Romy Grünhofer
Matthias König
Yibei Li
Josua Scholze



#### Bern team

Thomas Becher
Sebastian Jaskiewicz
Giuliano Martinelli
Dominik Schwienbacher
Ding Yu Shao (now @ Fudan Univ.)

#### ON THE MEANING OF "FACTORIZATION"

Most fundamental: Separation of energy/distance scales

- Without this principle, physics would not exist
- Effective Field Theories (EFTs) describe phenomena using only the relevant degrees of freedom, quantum effects from shorter distances are "integrated out" and included in the couplings of the EFT
- ▶ EFT for collider physics: Soft-Collinear Effective Theory

[Bauer, Fleming, Pirjol, Stewart (2000)
Bauer, Pirjol, Stewart (2001)
Bauer, Fleming, Pirjol, Rothstein, Stewart (2002)
Beneke, Chapovsky, Diehl, Feldmann (2002)]



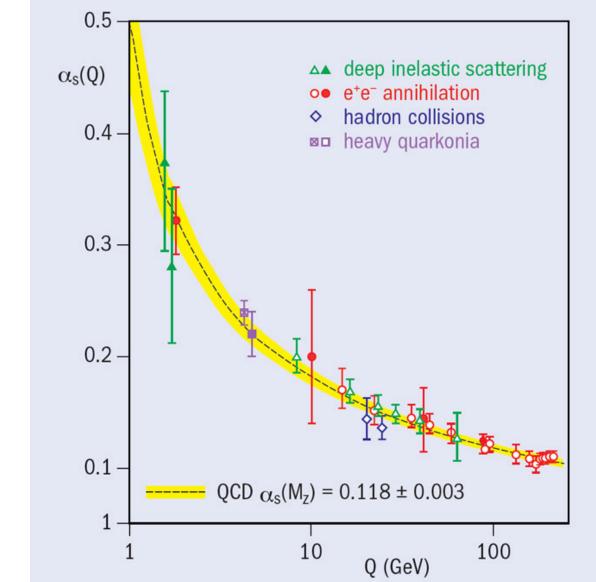
#### ON THE MEANING OF "FACTORIZATION"

Most fundamental: Separation of energy/distance scales

Without this principle, physics would not exist

out" and included in the couplings of the EFT

- Effective Field Theories (EFTs) describe phenomena using only the relevant degrees of freedom, quantum effects from shorter distances are "integrated"
- ▶ EFT for collider physics: Soft-Collinear Effective Theory
- Relevant in QCD: separation of perturbative partonic (short-distance) from non-perturbative hadronic (long-distance) effects





# "PDF FACTORIZATION"

# $P_1$ $f_i(x_1)$ $x_1P_1$ $x_2P_2$ $P_2$

#### Stronger assumption:

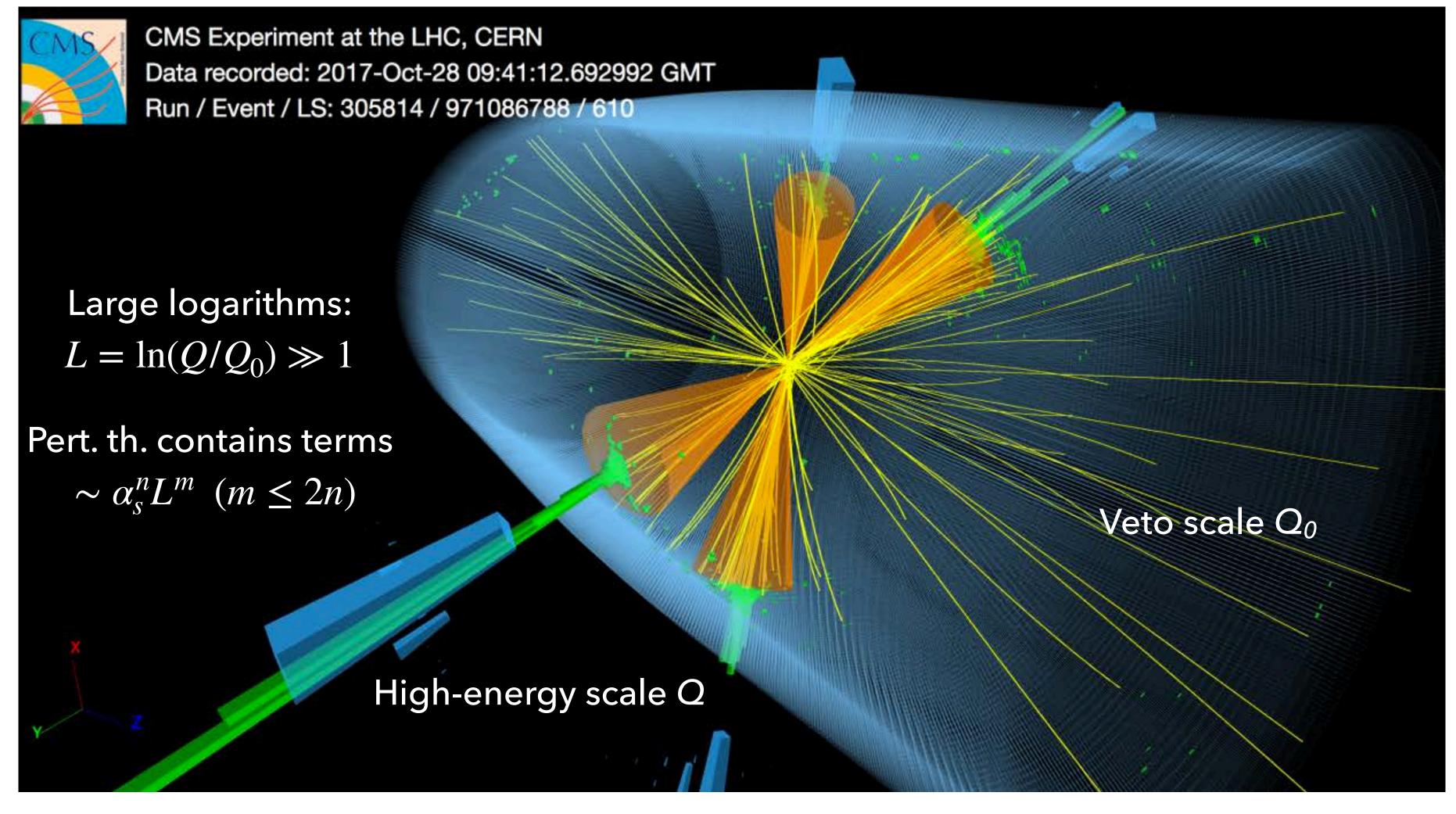
Up to power corrections, all long-distance effects in hadron collider scattering are contained in universal parton distribution functions (PDFs) of the nucleon:

$$d\sigma_{pp\to f}(s) = \sum_{a,b=q,\bar{q},g} \int dx_1 dx_2 f_{a/p}(x_1,\mu) f_{b/p}(x_2,\mu) d\sigma_{ab\to f}(\hat{s} = x_1 x_2 s,\mu)$$

- Used in all calculations of LHC processes, but proved only for Drell-Yan processes:  $pp \to \text{color-neutral state } (\gamma^*, W, Z, H)$  [Collins, Soper, Sterman (1985)]
- Entails the absence of low-energy interactions between the colliding hadrons
- What about processes with colored particles (jets) in the final state?

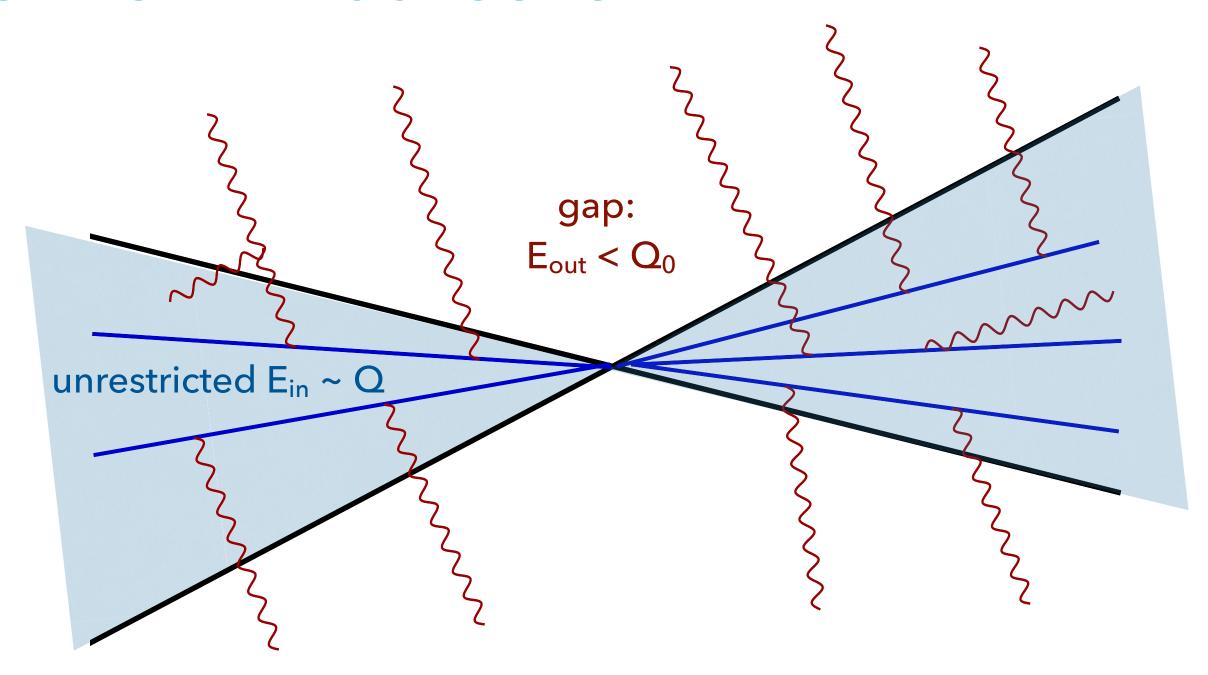


## JET PROCESSES AT HADRON COLLIDERS





#### LARGE LOGARITHMS IN JET PROCESSES

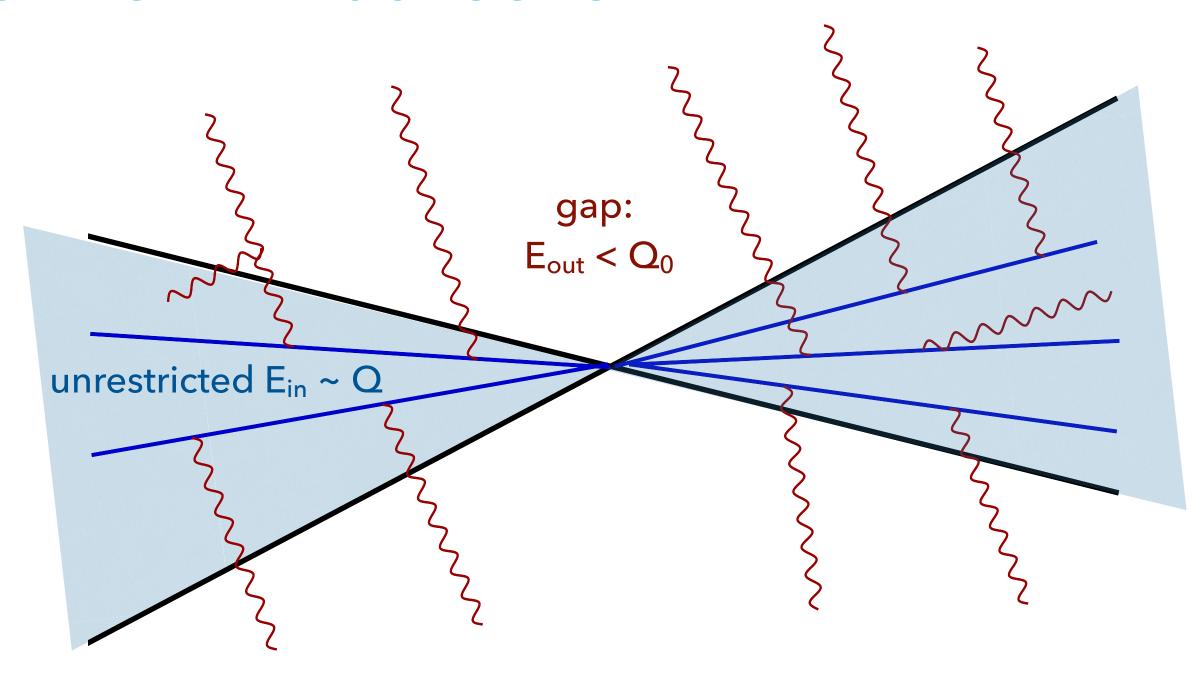


Perturbative expansion includes "super-leading" logarithms:

$$\sigma \sim \sigma_{\rm Born} \times \left\{1 + \alpha_s L + \alpha_s^2 L^2 + \alpha_s^3 L^3 + \dots\right\}$$



#### LARGE LOGARITHMS IN JET PROCESSES

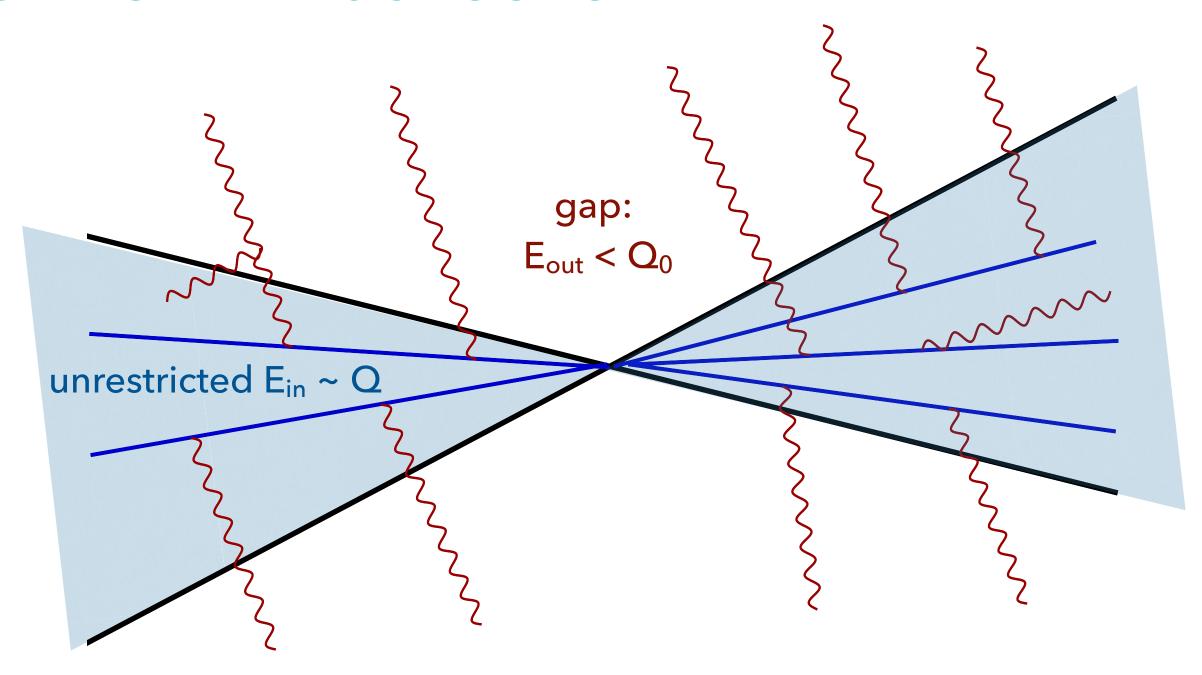


Perturbative expansion includes "super-leading" logarithms:

$$\sigma \sim \sigma_{\rm Born} \times \left\{1 + \alpha_s L + \alpha_s^2 L^2 + \alpha_s^3 L^3 + \underbrace{\alpha_s^4 L^5 + \alpha_s^5 L^7 + \dots}_{\text{formally larger than O(1)}}\right\}$$



#### LARGE LOGARITHMS IN JET PROCESSES



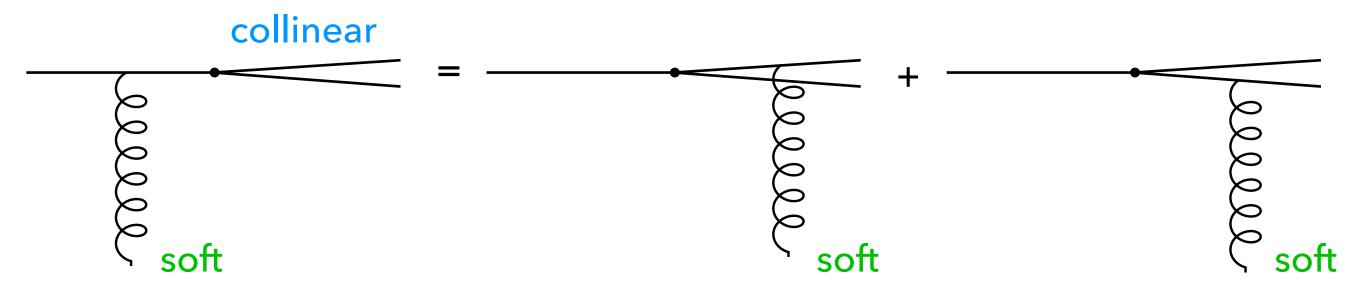
Really, a double-logarithmic series starting at 3-loop order:

$$\sigma \sim \sigma_{\rm Born} \times \left\{1 + \alpha_s L + \alpha_s^2 L^2 + (\alpha_s \pi^2) \left[\alpha_s^2 L^3 + \alpha_s^3 L^5 + \ldots\right]\right\}$$
 formally larger than O(1) 
$$(\Im L)^2 \quad \text{[Forshaw, Kyrieleis, Seymour (2006)]}$$

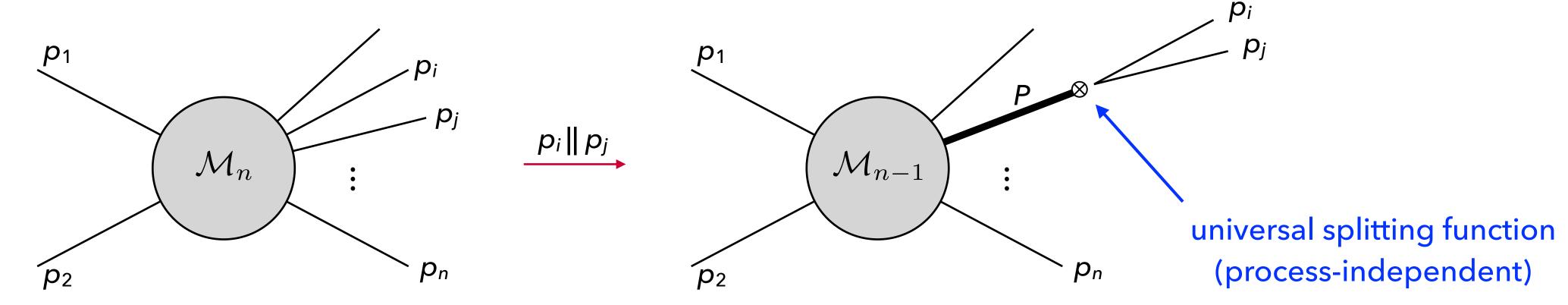


#### IMPORTANCE OF COLOR COHERENCE

Color coherence (familiar from Low's theorem) holds if all three particles are in the final state of a scattering process (time-like splitting):



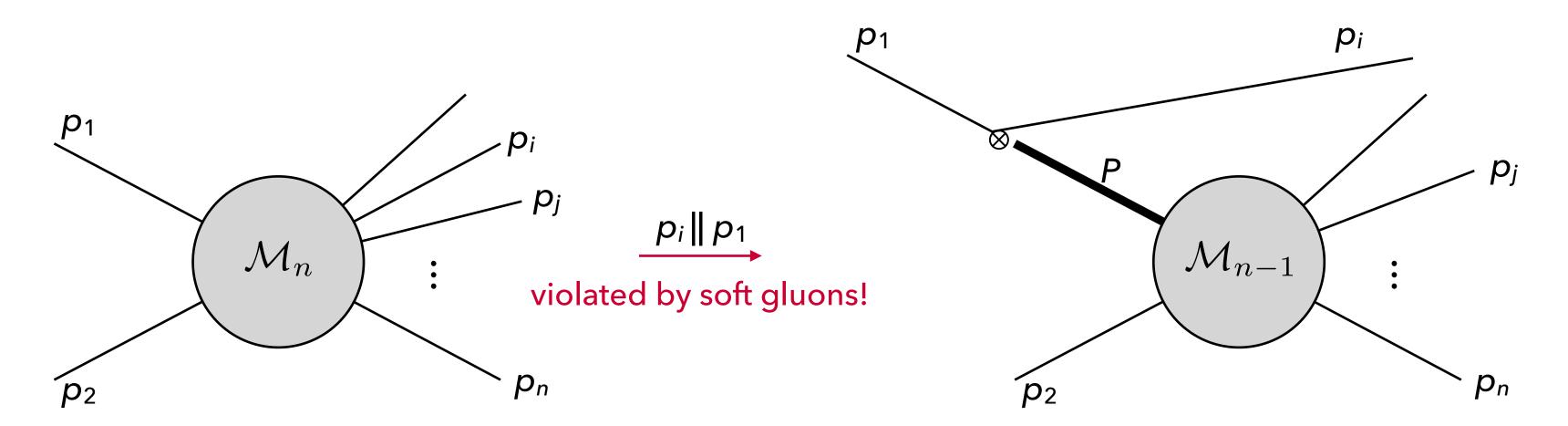
Then also collinear factorization holds:





#### BREAKING OF COLOR COHERENCE

- Color coherence is broken if not all particles are outgoing (space-like splitting), since then both sides receive different phase factors at higher orders:
- Collinear factorization is violated:

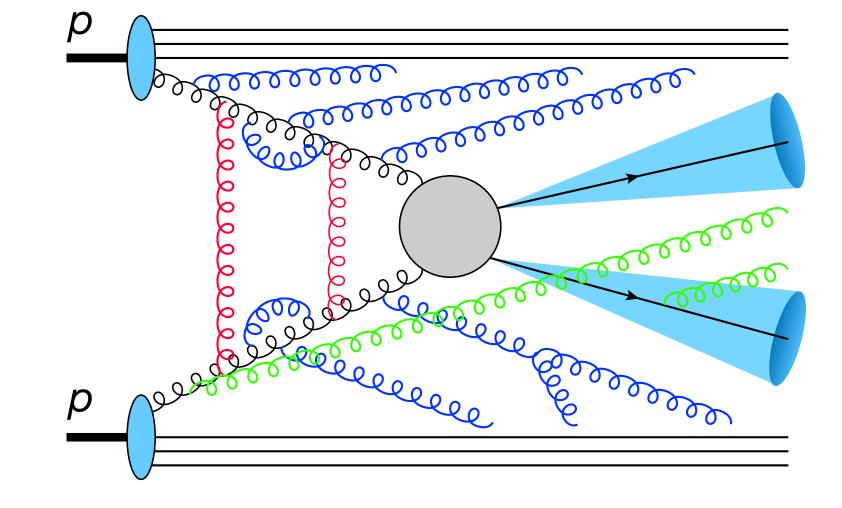


[Catani, de Florian, Rodrigo (2011); Forshaw, Seymour, Siodmok (2012) see also: Henn, Ma, Xu, Yan, Zhang, Zhu (2024)]



#### BREAKING OF COLOR COHERENCE

- Origin lies in Glauber phases from initial-state soft gluon exchange
- Soft anomalous dimension:



$$\boldsymbol{\Gamma}(\{\underline{p}\},\mu) = \sum_{(ij)} \frac{\boldsymbol{T}_i \cdot \boldsymbol{T}_j}{2} \, \gamma_{\text{cusp}}(\alpha_s) \, \ln \frac{\mu^2}{-s_{ij}} + \sum_i \, \gamma^i(\alpha_s) + \mathcal{O}(\alpha_s^3)$$
 [Bern, Carrasco, Dixon, Johansson, Roiban (2008)

Becher, MN (2009); Gardi, Magnea (2009)]

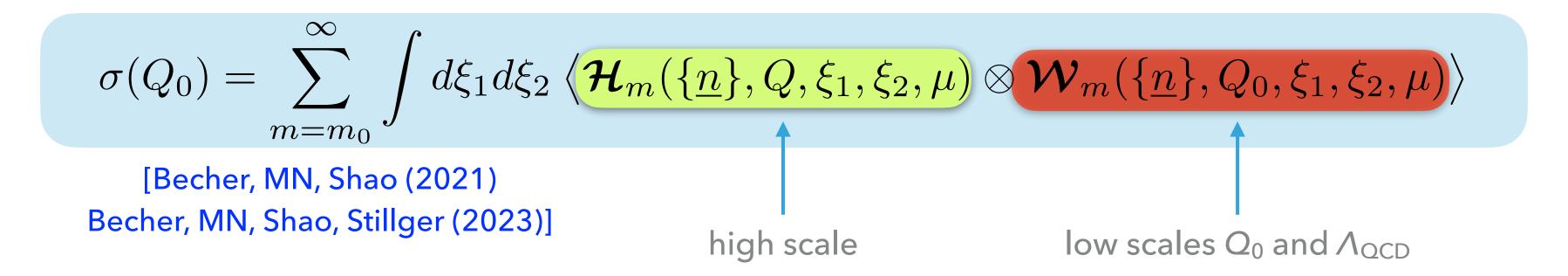
where  $s_{ij} > 0$  if particles i and j are both in the initial or final state

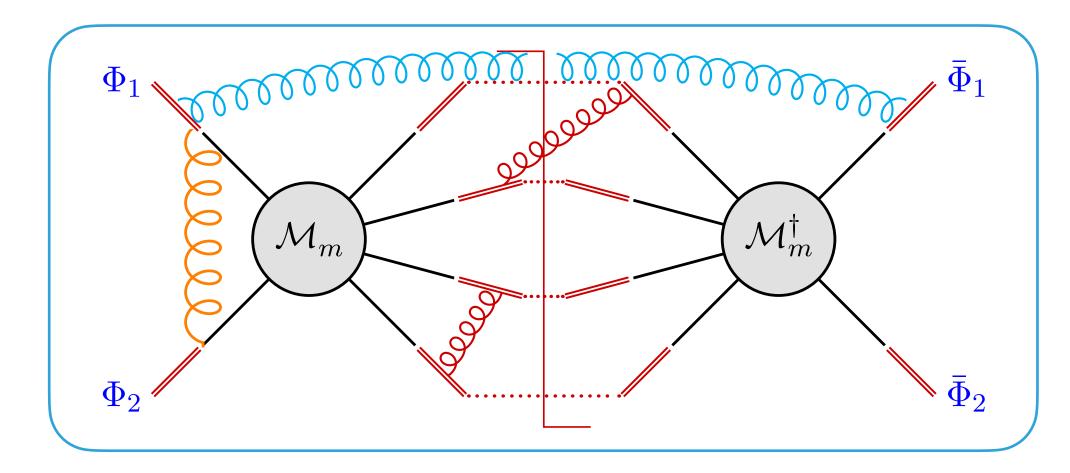
Imaginary part (only at hadron colliders):

$$\operatorname{Im} \mathbf{\Gamma}(\{\underline{p}\}, \mu) = -2\pi \gamma_{\operatorname{cusp}}(\alpha_s) \, \mathbf{T}_1 \cdot \mathbf{T}_2 + (\dots) \, \mathbf{1}$$
irrelevant



#### SCET factorization theorem for M-jet production at the LHC

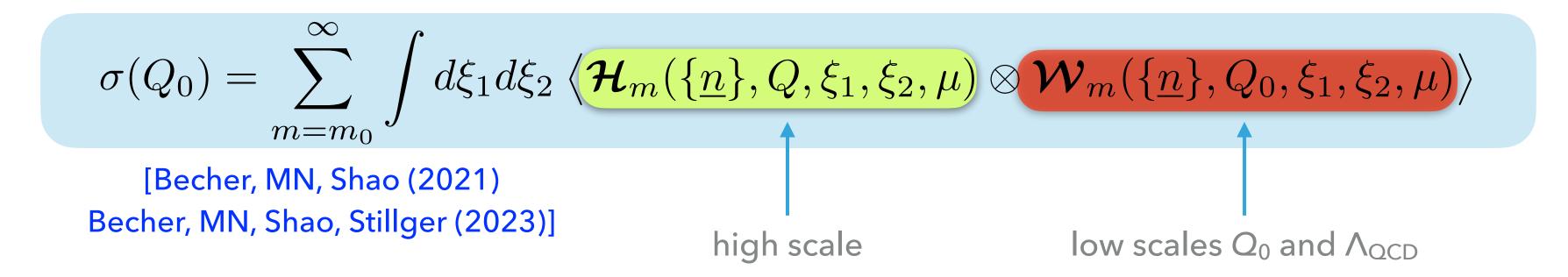


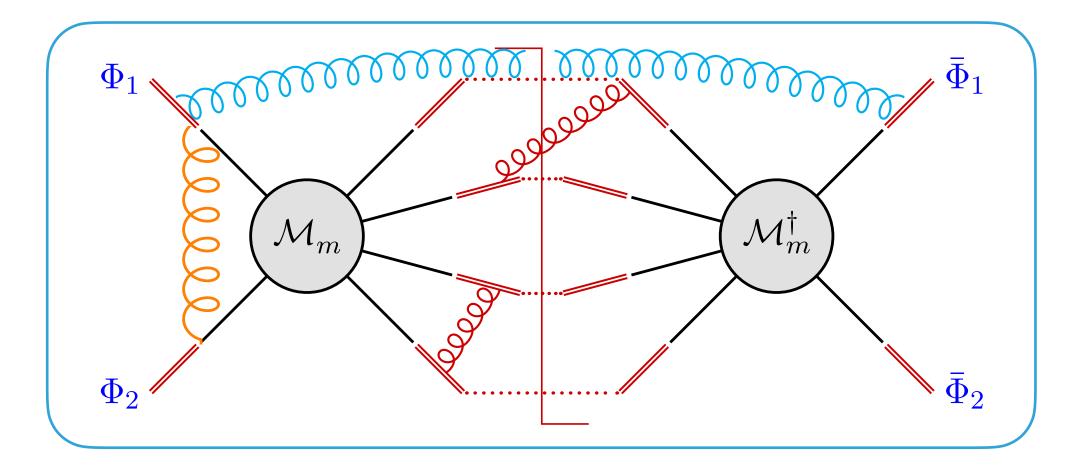


- hard functions  $\mathcal{H}_m$  consisting of squared hard-scattering amplitudes at fixed parton directions  $\{n_i\}$ , integrated over energy
- low-energy functions containing soft Wilson lines for all particles and collinear fields for initial-state parsons



#### SCET factorization theorem for M-jet production at the LHC





- new perspective to think about non-global observables
- ◆ large logs can be resummed using RGEs
- all-order understanding of super-leading logarithms for arbitrary processes



#### SCET factorization theorem for M-jet production at the LHC

$$\sigma(Q_0) = \sum_{m=m_0}^{\infty} \int d\xi_1 d\xi_2 \left\langle \mathbf{\mathcal{H}}_m(\{\underline{n}\}, Q, \xi_1, \xi_2, \mu) \otimes \mathbf{\mathcal{W}}_m(\{\underline{n}\}, Q_0, \xi_1, \xi_2, \mu) \right\rangle$$
[Becher, MN, Shao (2021)
Becher, MN, Shao, Stillger (2023)]
high scale
low scales  $Q_0$  and  $\Lambda_{\rm QCD}$ 

Renormalization-group evolution equation:

$$\mu \frac{d}{d\mu} \mathcal{H}_l (\{\underline{n}\}, Q, \mu) = -\sum_{m \leq l} \mathcal{H}_m(\{\underline{n}\}, Q, \mu) \Gamma^H_{ml}(\{\underline{n}\}, Q, \mu)$$

All-order summation of large logarithmic corrections!

operator in color space and in the infinite space of parton multiplicities



#### Evaluate factorization theorem at a low scale $\mu_{\scriptscriptstyle S} \sim Q_0$

Low-energy functions:

$$\mathcal{W}_{m}^{ab}(\{\underline{n}\}, Q_{0}, x_{1}, x_{2}, \mu_{s}) = f_{a/p}(x_{1}) f_{b/p}(x_{2}) \mathbf{1} + \mathcal{O}(\alpha_{s})$$

Hard functions:

$$\mathcal{H}_{m}^{ab}(\{\underline{n}\}, Q, \mu_{s}) = \sum_{l \leq m} \mathcal{H}_{l}^{ab}(\{\underline{n}\}, Q, Q) \mathbf{P} \exp \left[ \int_{\mu_{s}}^{Q} \frac{d\mu}{\mu} \mathbf{\Gamma}^{H}(\{\underline{n}\}, Q, \mu) \right]_{lm}$$

Super-leading logs correspond to the leading logarithmic approximation!



#### **Anomalous dimension matrix:**

$$\mathbf{\Gamma}^{H} = \frac{\alpha_{s}}{4\pi} \begin{pmatrix} \mathbf{V}_{2+M} & \mathbf{R}_{2+M} & 0 & 0 & \dots \\ 0 & \mathbf{V}_{2+M+1} & \mathbf{R}_{2+M+1} & 0 & \dots \\ 0 & 0 & \mathbf{V}_{2+M+2} & \mathbf{R}_{2+M+2} & \dots \\ 0 & 0 & 0 & \mathbf{V}_{2+M+3} & \dots \\ \vdots & \vdots & \vdots & \vdots & \ddots \end{pmatrix} + \mathcal{O}(\alpha_{s}^{2})$$

Action on hard functions:

$$\mathcal{H}_m \mathbf{V_m} = \sum_{(ij)} \mathcal{M}^{\dagger} + \mathcal{M}^{\dagger} + \mathcal{M}^{\dagger}$$

$$\mathcal{H}_m \, oldsymbol{R}_m = \sum_{(ij)} oldsymbol{\mathcal{M}} oldsymbol{j} oldsymbol{\mathcal{M}}^\dagger$$

exponentiation generates arbitrarily high parton multiplicities starting from the  $2 \rightarrow M$  Born process

#### **Anomalous dimension matrix:**

Matthias Neubert – 12

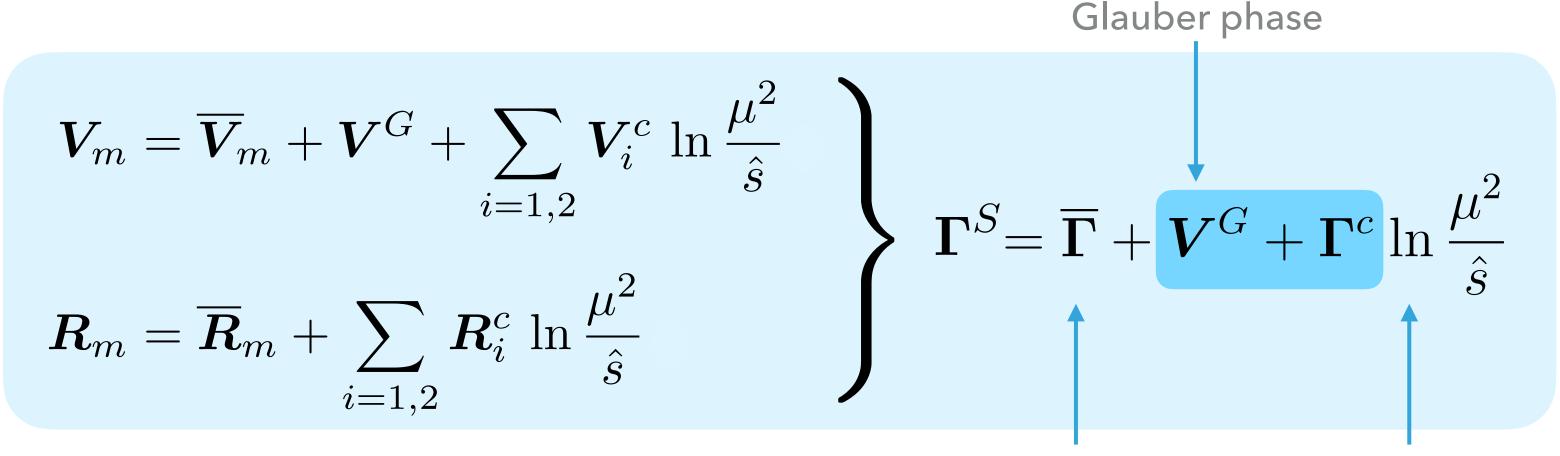
$$\mathbf{\Gamma}^{H} = \frac{\alpha_{s}}{4\pi} \begin{pmatrix} \mathbf{V}_{2+M} & \mathbf{R}_{2+M} & 0 & 0 & \dots \\ 0 & \mathbf{V}_{2+M+1} & \mathbf{R}_{2+M+1} & 0 & \dots \\ 0 & 0 & \mathbf{V}_{2+M+2} & \mathbf{R}_{2+M+2} & \dots \\ 0 & 0 & 0 & \mathbf{V}_{2+M+3} & \dots \\ \vdots & \vdots & \vdots & \vdots & \ddots \end{pmatrix} + \mathcal{O}(\alpha_{s}^{2})$$

Virtual and real contributions contain collinear singularities, which must be regularized and subtracted:

$$\boldsymbol{\Gamma}^{H}(\xi_{1},\xi_{2}) = \delta(1-\xi_{1})\,\delta(1-\xi_{2})\,\boldsymbol{\Gamma}^{S} + \boldsymbol{\Gamma}_{1}^{C}(\xi_{1})\,\delta(1-\xi_{2}) + \delta(1-\xi_{1})\,\boldsymbol{\Gamma}_{2}^{C}(\xi_{2})$$
 soft/soft-collinear part collinear parts



#### SOFT ANOMALOUS DIMENSION



soft emission collinear emission (collinear div. subtracted)

$$\mathcal{H}_{m}\mathbf{V}^{G} = \mathcal{M}$$

$$\vdots$$

$$2$$

$$\mathcal{M}^{\dagger}$$

$$2$$

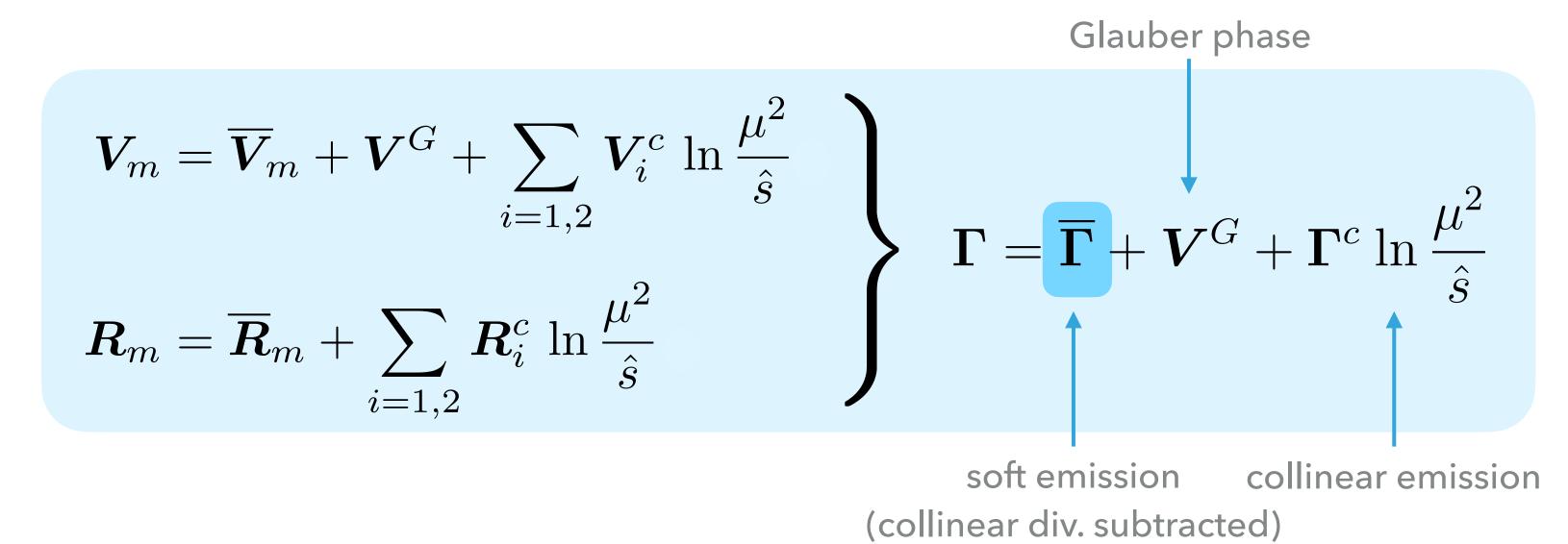
$$\mathbf{V}^{G} = -2i\pi \left(\mathbf{T}_{1,L} \cdot \mathbf{T}_{2,L} - \mathbf{T}_{1,R} \cdot \mathbf{T}_{2,R}\right)$$

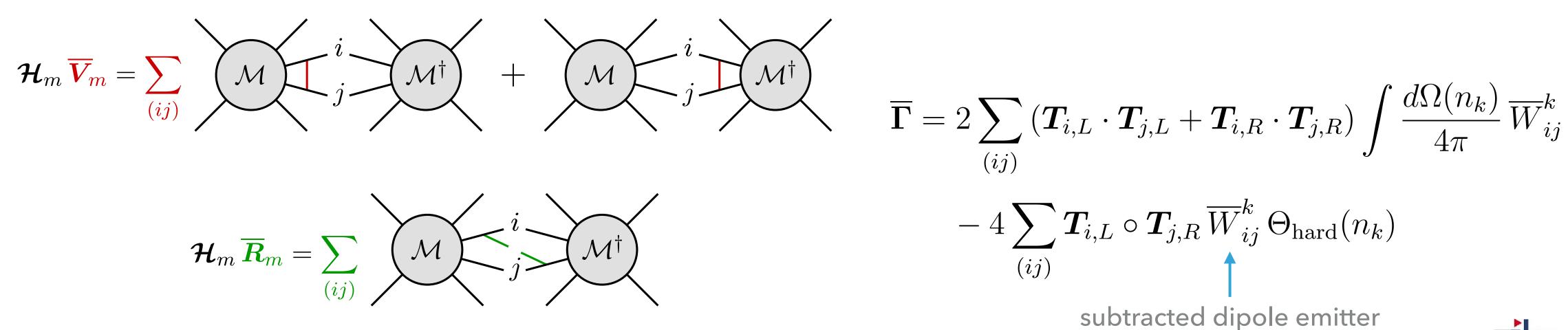
$$\mathcal{H}_m \, {m R}_1^c = \underbrace{ \mathcal{M} \, \vdots }_2$$

$$oldsymbol{\Gamma}^c = \sum_{i=1,2} \left[ C_i \, \mathbf{1} - oldsymbol{T}_{i,L} \circ oldsymbol{T}_{i,R} \, \delta(n_k - n_i) 
ight]$$

new color space of emitted gluon

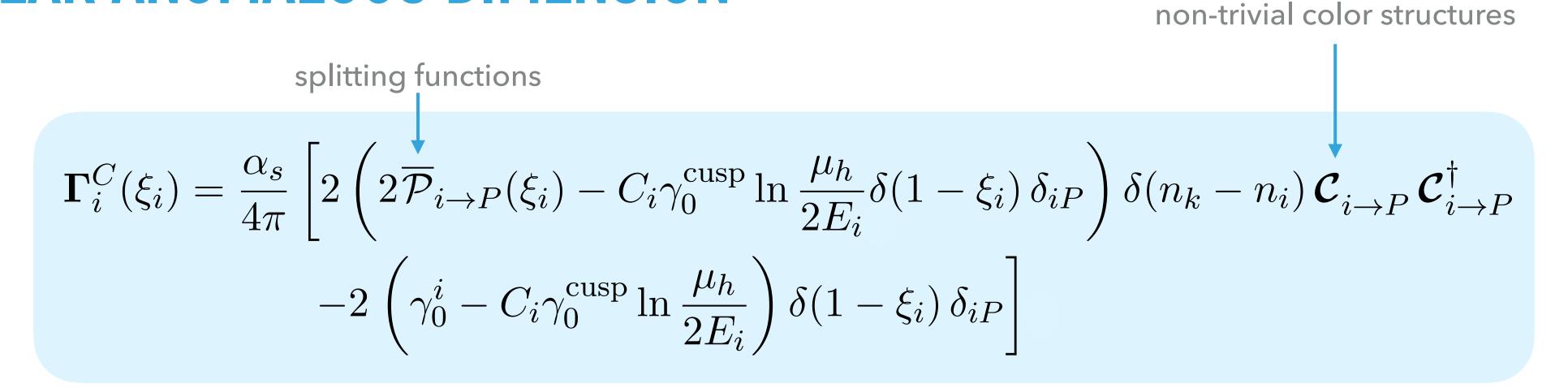


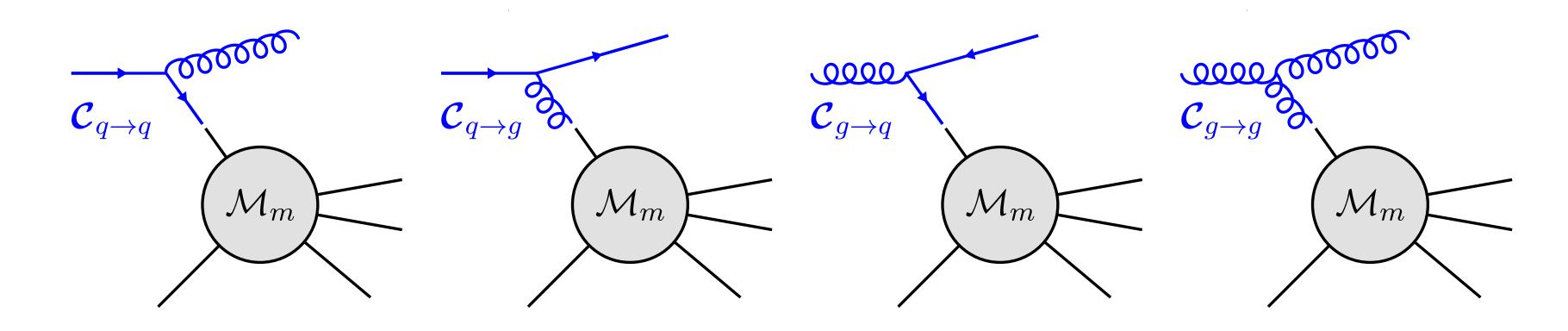






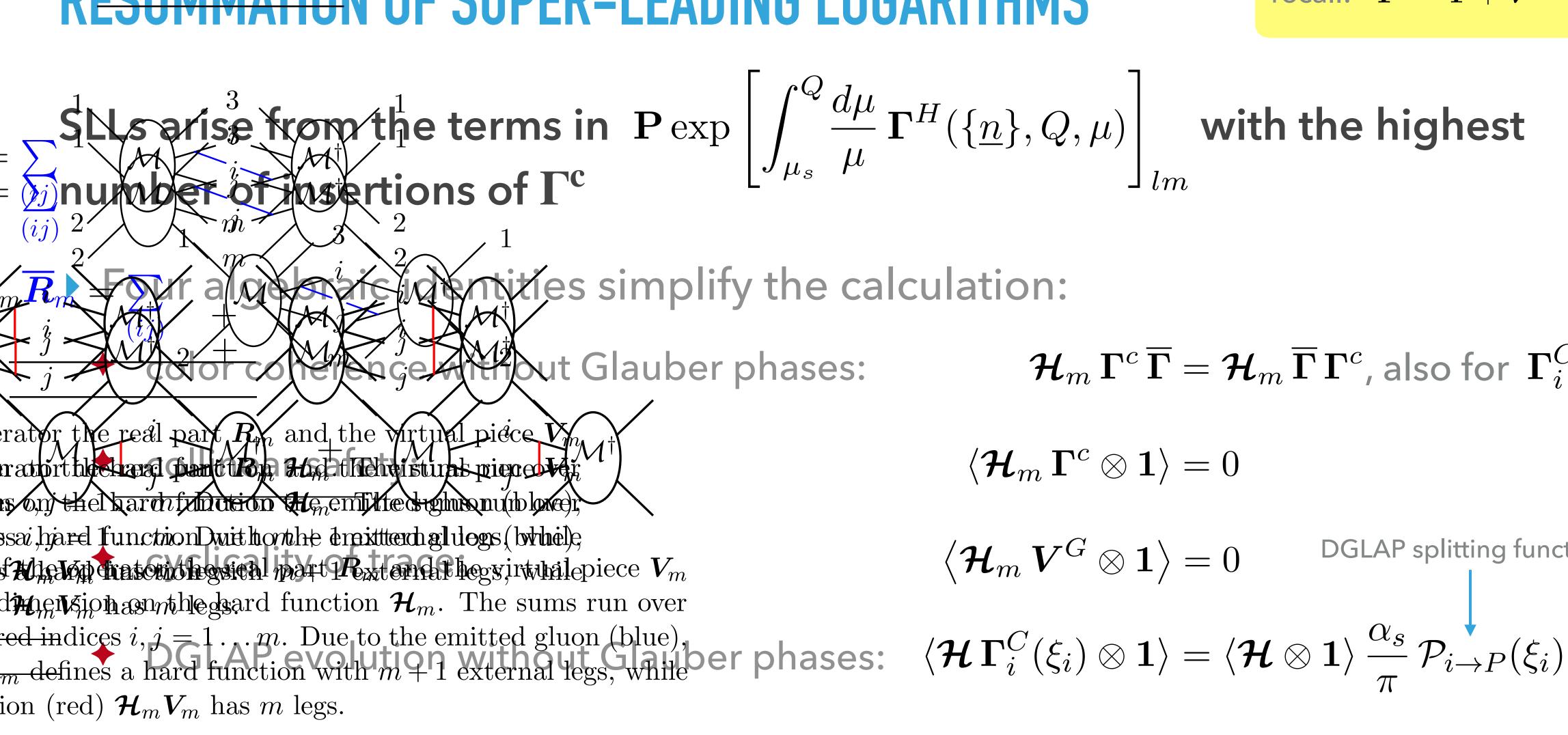
#### COLLINEAR ANOMALOUS DIMENSION







recall:  $\mathbf{\Gamma}^S = \overline{\mathbf{\Gamma}} + \mathbf{V}^G + \mathbf{\Gamma}^c \ln \frac{\mu^2}{\hat{s}}$ 



$${\cal H}_m\,\Gamma^c\,\overline{\Gamma}={\cal H}_m\,\overline{\Gamma}\,\Gamma^c$$
 , also for  $\,\Gamma_i^C(\xi_i)$ 

$$\langle \mathcal{H}_m \, \mathbf{\Gamma}^c \otimes \mathbf{1} \rangle = 0$$

$$egin{aligned} raket{\mathcal{H}_m \mathbf{V}^G \otimes \mathbf{1}} &= 0 \end{aligned} egin{aligned} \mathsf{DGLAP} ext{ splitting functions} \ raket{\mathcal{H} \mathbf{\Gamma}_i^C(\xi_i) \otimes \mathbf{1}} &= raket{\mathcal{H} \otimes \mathbf{1}} rac{lpha_s}{\pi} \mathcal{P}_{i 
ightarrow P(\xi_i)} \end{aligned}$$



After the simplifications disgs 1 Fanctorization for LHC Jet Processes

n (dashed blue line) which is

## RESUMMATION OF SUPER-LEADING LOGARITHMS

SLLs arise from the terms in  $\Pr\left[\int_{\mu_s}^Q \frac{d\mu}{\mu} \Gamma^H(\{\underline{n}\},Q,\mu)\right]_{lm}$  with the highest number of insertions of  $\Gamma^c$ 

Relevant color traces at  $\mathcal{O}(\alpha_s^{n+3}L^{2n+3})$ :

$$C_{rn} = \left\langle oldsymbol{\mathcal{H}}_{2 o M} \left( oldsymbol{\Gamma}^c 
ight)^r oldsymbol{V}^G \left( oldsymbol{\Gamma}^c 
ight)^{n-r} oldsymbol{V}^G \overline{oldsymbol{\Gamma}} \otimes oldsymbol{1} 
ight
angle$$

• Kinematic information contained in (M+1) angular integrals from  $\overline{\Gamma}$ :

$$J_j = \int \frac{d\Omega(n_k)}{4\pi} \left( W_{1j}^k - W_{2j}^k \right) \Theta_{\text{veto}}(n_k); \quad \text{with} \quad W_{ij}^k = \frac{n_i \cdot n_j}{n_i \cdot n_k \, n_j \cdot n_k}$$



After the simplifications disgs 1 Fanct 2 riz The reancorrecummation for LHC Jet Processes n (dashed blue line) which is

## RESUMMATION OF SUPER-LEADING LOGARITHMS

SLLs arise from the terms in  $\Pr\left[\int_{\mu_s}^Q \frac{d\mu}{\mu} \mathbf{\Gamma}^H(\{\underline{n}\},Q,\mu)\right]_{lm}$  with the highest number of insertions of  $\mathbf{\Gamma^c}$ 

Relevant color traces at  $\mathcal{O}(\alpha_s^{n+3}L^{2n+3})$ :

$$C_{rn} = \left\langle oldsymbol{\mathcal{H}}_{2 o M} \left( oldsymbol{\Gamma}^c 
ight)^r oldsymbol{V}^G \left( oldsymbol{\Gamma}^c 
ight)^{n-r} oldsymbol{V}^G \overline{oldsymbol{\Gamma}} \otimes oldsymbol{1} 
ight
angle$$

Series of SLLs, starting at 3-loop order:

$$\sigma_{\text{SLL}} = \sigma_{\text{Born}} \sum_{n=0}^{\infty} \left(\frac{\alpha_s}{4\pi}\right)^{n+3} L^{2n+3} \frac{(-4)^n n!}{(2n+3)!} \sum_{r=0}^{n} \frac{(2r)!}{4^r (r!)^2} C_{rn}$$

from scale integrals (at fixed coupling)



After the simplifications disgs 1 Factorizetie reancorresummation for LHC Jet Processes

n (dashed blue line) which is

#### RESUMMATION OF SUPER-LEADING LOGARITHMS

SLLs arise from the terms in  $\Pr\left[\int_{\mu_s}^Q \frac{d\mu}{\mu} \mathbf{\Gamma}^H(\{\underline{n}\},Q,\mu)\right]_{lm}$  with the highest number of insertions of  $\mathbf{\Gamma^c}$ 

Relevant color traces at  $\mathcal{O}(\alpha_s^{n+3}L^{2n+3})$ :

$$C_{rn} = \left\langle oldsymbol{\mathcal{H}}_{2 o M} \left( oldsymbol{\Gamma}^c 
ight)^r oldsymbol{V}^G \left( oldsymbol{\Gamma}^c 
ight)^{n-r} oldsymbol{V}^G \overline{oldsymbol{\Gamma}} \otimes oldsymbol{1} 
ight
angle$$

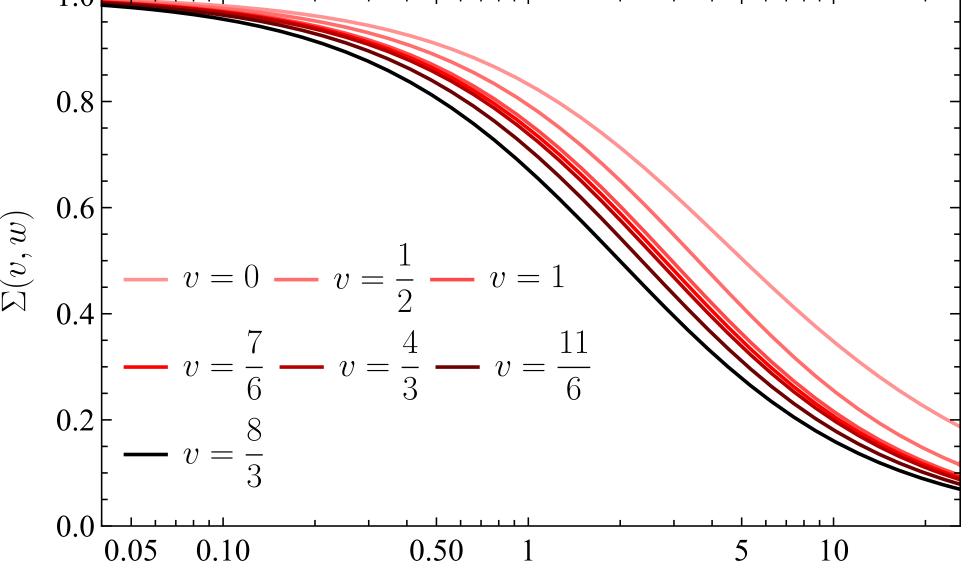
Series of SLLs, starting at 3-loop order:

$$\sigma_{\rm SLL} = \sigma_{\rm Born} \frac{\alpha_s L}{\pi N_c} \left( \frac{N_c \alpha_s}{\pi} \pi^2 \right) \sum_{n=0}^{\infty} c_n \left( \frac{N_c \alpha_s}{\pi} L^2 \right)^{n+1}$$



Infinite series can be expressed in closed form in terms of a prefactor times Kampé de Fériet functions  $\Sigma(v_i,w)$ , with  $w=\frac{N_c\alpha_s}{\pi}\,L^2$  and:

$$v_0 = 0$$
,  $v_1 = \frac{1}{2}$ ,  $v_2 = 1$ ,



w

$$v_0 = 0$$
,  $v_1 = \frac{1}{2}$ ,  $v_2 = 1$ ,  $v_{3,4} = \frac{3N_c \pm 2}{2N_c}$ ,  $v_{5,6} = \frac{2(N_c \pm 1)}{N_c}$ 

#### Asymptotic behavior for $w \gg 1$ :

$$\Sigma_0(w) = \frac{3}{2w} \left( \ln(4w) + \gamma_E - 2 \right) + \frac{3}{4w^2} + \mathcal{O}(w^{-3})$$

$$\Sigma(v, w) = \frac{3 \arctan(\sqrt{v - 1})}{\sqrt{v - 1} w} - \frac{3\sqrt{\pi}}{2\sqrt{v} w^{3/2}} + \mathcal{O}(w^{-2})$$

 $\Rightarrow$  much slower fall-off than Sudakov form factors  $\sim e^{-cw}$ 



#### RG-IMPROVED RESUMMATION OF SLLS

#### Multiple insertions of $\Gamma^c$ exponentiate

Expand out all terms except the log-enhanced soft-collinear piece:

$$\begin{split} \boldsymbol{U}_{\mathrm{SLL}}(\{\underline{n}\},\mu_h,\mu_s) &= \int_{\mu_s}^{\mu_h} \frac{d\mu_1}{\mu_1} \int_{\mu_s}^{\mu_1} \frac{d\mu_2}{\mu_2} \int_{\mu_s}^{\mu_2} \frac{d\mu_3}{\mu_3} & \text{easy to include running-coupling effects} \\ &\times \boldsymbol{U}_c(\mu_h,\mu_1) \, \gamma_{\mathrm{cusp}} \big(\alpha_s(\mu_1)\big) \, \boldsymbol{V}^G \, \boldsymbol{U}_c(\mu_1,\mu_2) \, \gamma_{\mathrm{cusp}} \big(\alpha_s(\mu_2)\big) \, \boldsymbol{V}^G \, \frac{\alpha_s(\mu_3)}{4\pi} \, \overline{\boldsymbol{\Gamma}} \end{split}$$

with the Sudakov operator:

resums all double logs

$$U_c(\mu_i, \mu_j) = \exp\left[\Gamma^c \int_{\mu_j}^{\mu_i} \frac{d\mu}{\mu} \gamma_{\text{cusp}}(\alpha_s(\mu)) \ln \frac{\mu^2}{\mu_h^2}\right]$$



#### RG-IMPROVED RESUMMATION OF SLLS

#### Introduce a color basis

> Simplest case of (anti-)quark-initiated scattering processes:

$$egin{aligned} oldsymbol{X}_1 &= \sum_{j>2} J_j \, i f^{abc} \, oldsymbol{T}_1^a \, oldsymbol{T}_2^b oldsymbol{T}_j^c \,, & oldsymbol{X}_4 &= rac{1}{N_c} \, J_{12} \, oldsymbol{T}_1 \cdot oldsymbol{T}_2 \,, \ oldsymbol{X}_2 &= \sum_{j>2} J_j \, (\sigma_1 - \sigma_2) \, d^{abc} \, oldsymbol{T}_1^a \, oldsymbol{T}_2^b \, oldsymbol{T}_j^c \,, & oldsymbol{X}_5 &= J_{12} \, oldsymbol{1} \,, \ oldsymbol{X}_3 &= rac{1}{N_c} \sum_{j>2} J_j \, (oldsymbol{T}_1 - oldsymbol{T}_2) \cdot oldsymbol{T}_j \,, & oldsymbol{X}_5 &= J_{12} \, oldsymbol{1} \,, \end{aligned}$$

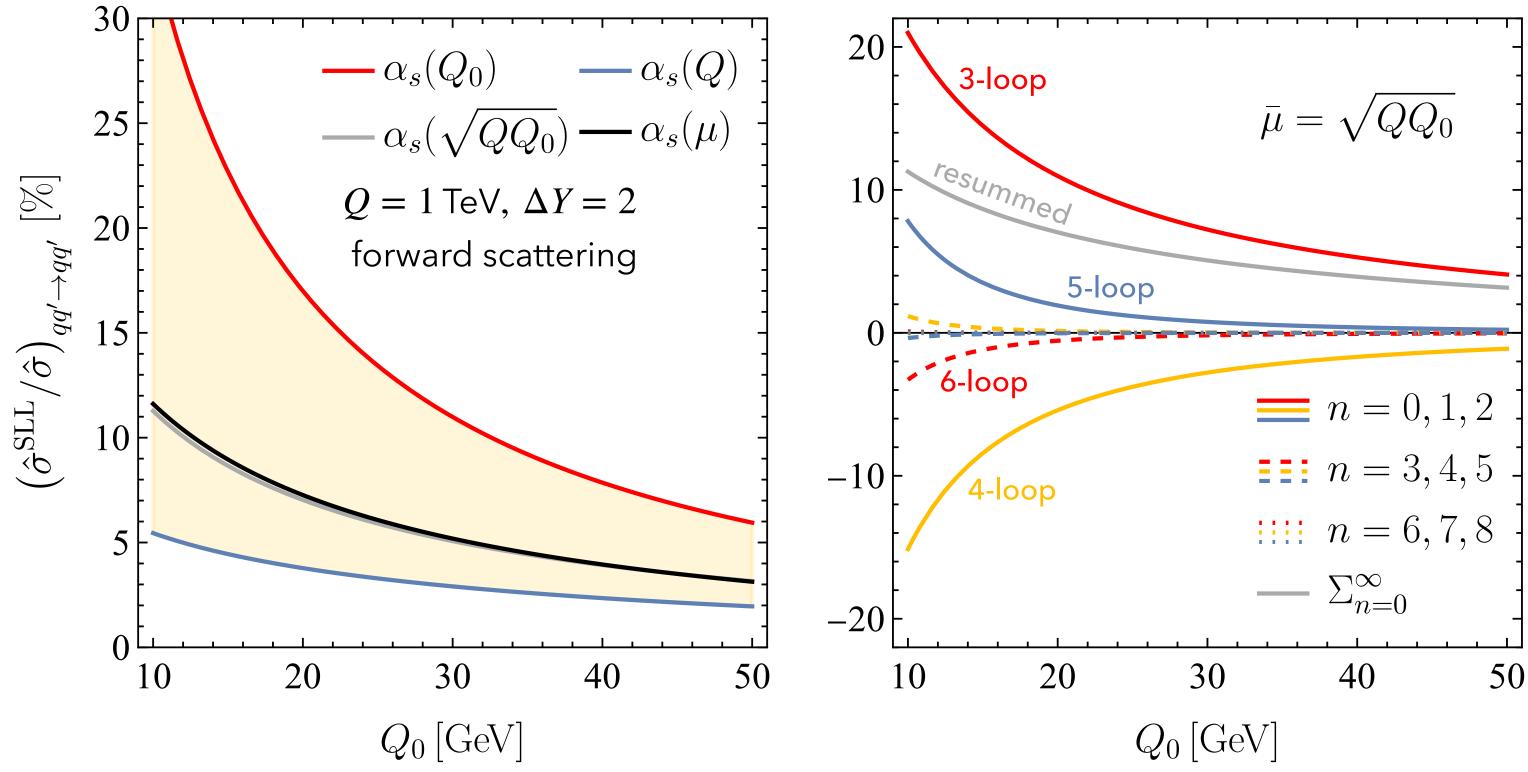
where  $\sigma_i = -1 \ (+1)$  for an initial-state quark (anti-quark)

In the general case, the basis contains 11 operators



Based on this approach, we have performed the first all-order resummation of super-leading logarithms for jet processes

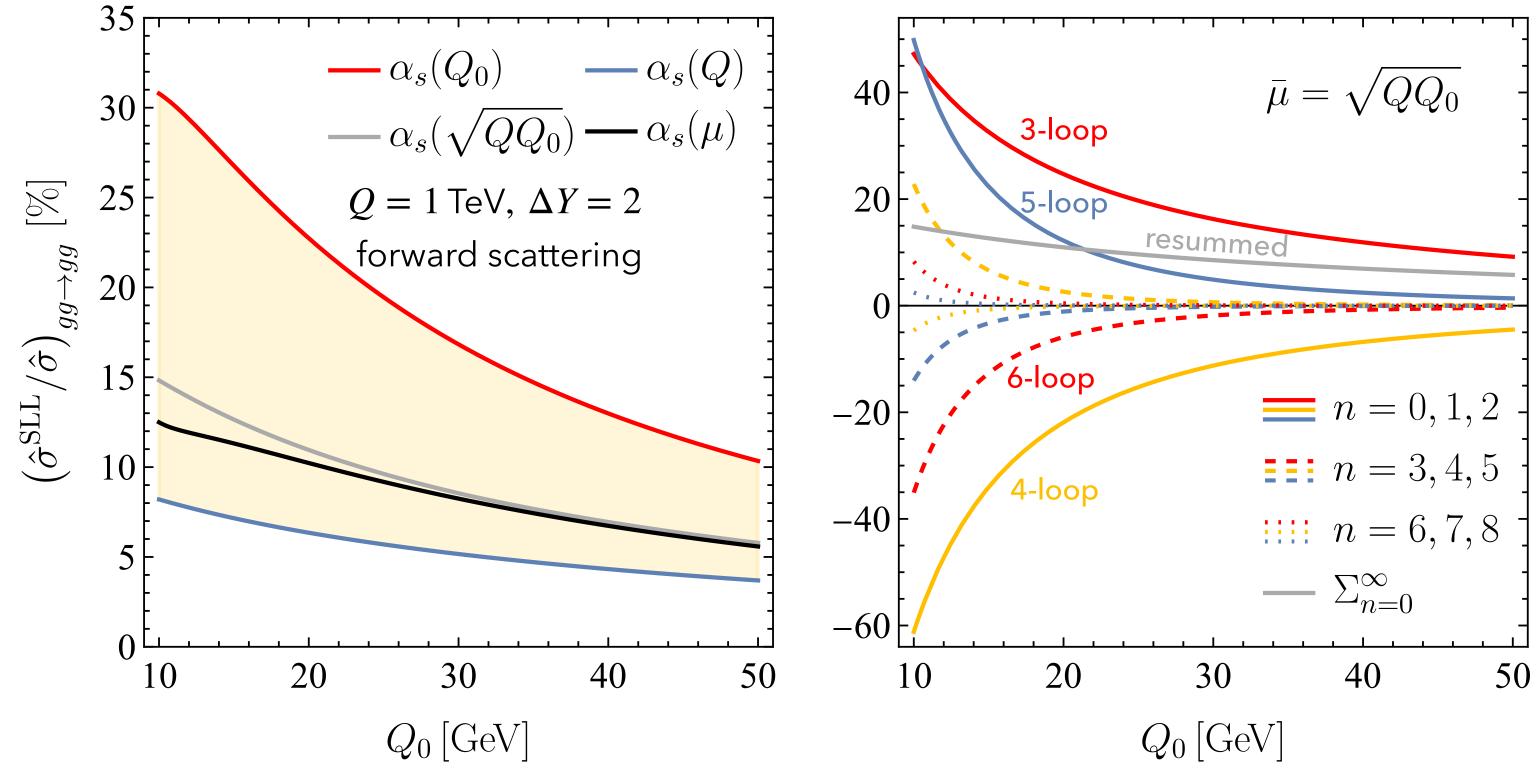
[Becher, MN, Shao, Stillger (2023)]





Based on this approach, we have performed the first all-order resummation of super-leading logarithms for jet processes

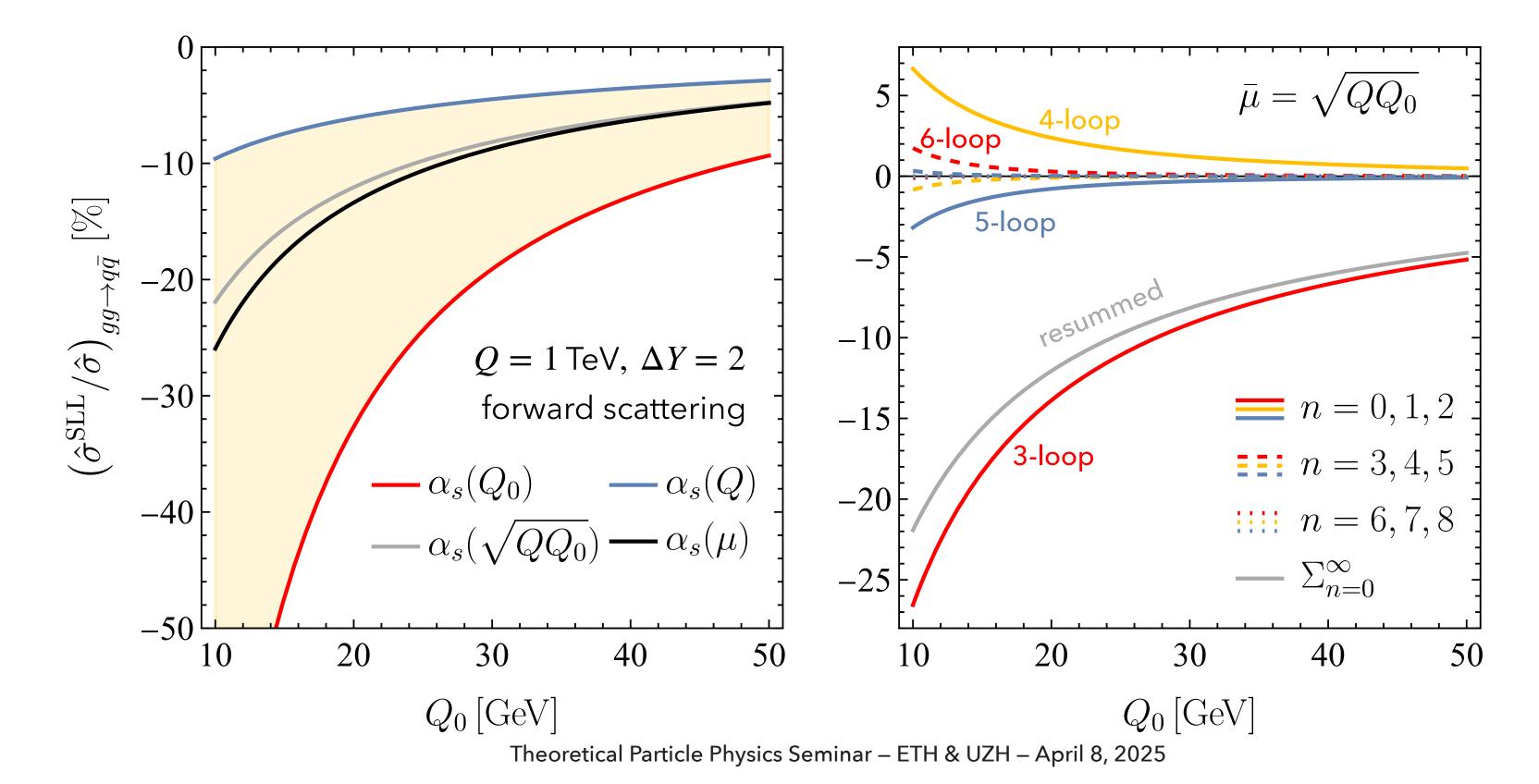
[Becher, MN, Shao, Stillger (2023)]





Based on this approach, we have performed the first all-order resummation of super-leading logarithms for jet processes

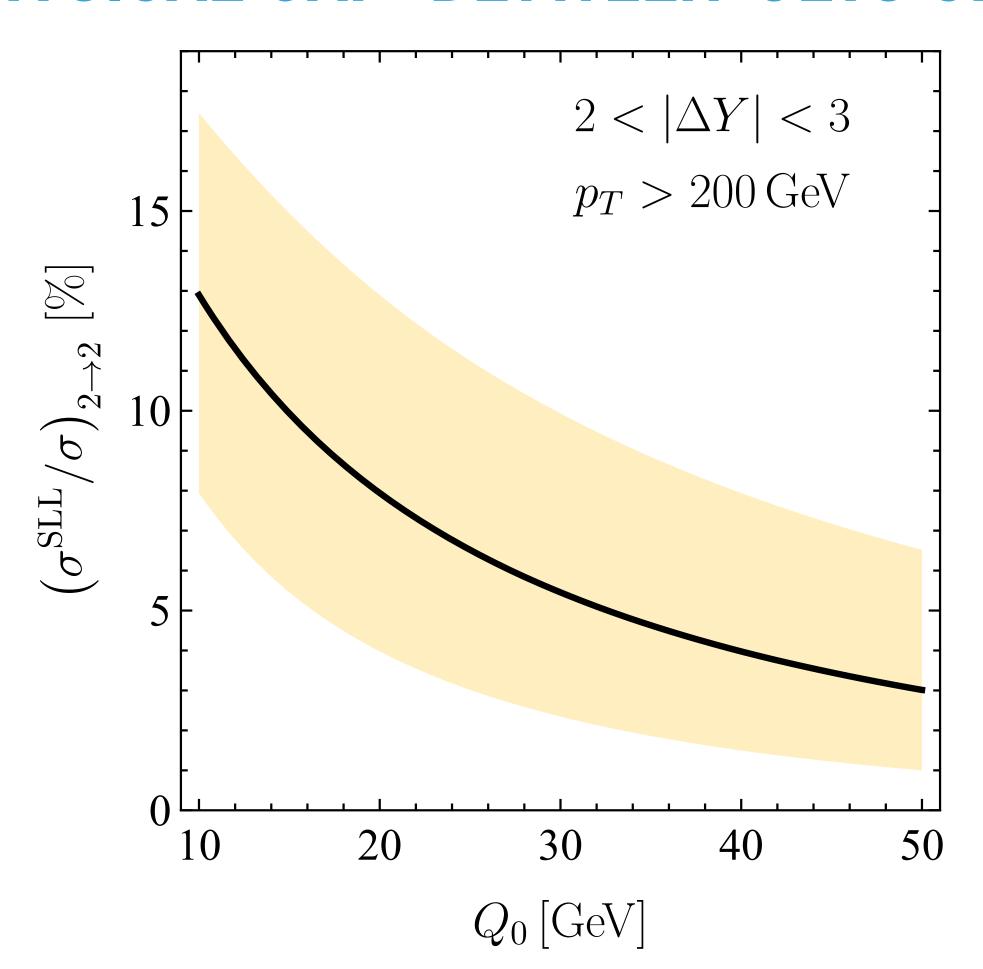
[Becher, MN, Shao, Stillger (2023)]





# PHYSICAL GAP-BETWEEN-JETS CROSS SECTION

[Becher, Hager, Martinelli, MN, Schwienbacher, Stillger (2024)]



process	$\sigma_{2\to 2} [pb]$	$\sigma_{2\rightarrow 2}^{\mathrm{SLL}} [\mathrm{pb}]$	process	$\sigma_{2\to 2} [pb]$	$\sigma_{2\rightarrow 2}^{\mathrm{SLL}} [\mathrm{pb}]$
$qq \rightarrow qq$	231.5	12.0	$q\bar{q} \rightarrow gg$	12.4	-0.9
$qq' \to qq'$	454.4	22.2	$qg \rightarrow qg$	4104.6	403.3
$q\bar{q} \to q\bar{q}$	$\boxed{142.0}$	$\left  \begin{array}{c} 7.4 \end{array} \right $	$gg \rightarrow q\bar{q}$	57.5	-4.4
$q\bar{q}' \to q\bar{q}'$	372.9	18.0	$gg \rightarrow gg$	2281.1	150.6
$q\bar{q} \to q'\bar{q}'$	3.6	< 0.1			
$\sum$	1204.4	59.6	$\sum$	6455.6	548.6
$\sum_{\text{all channels}}$		7660.0		608.2	

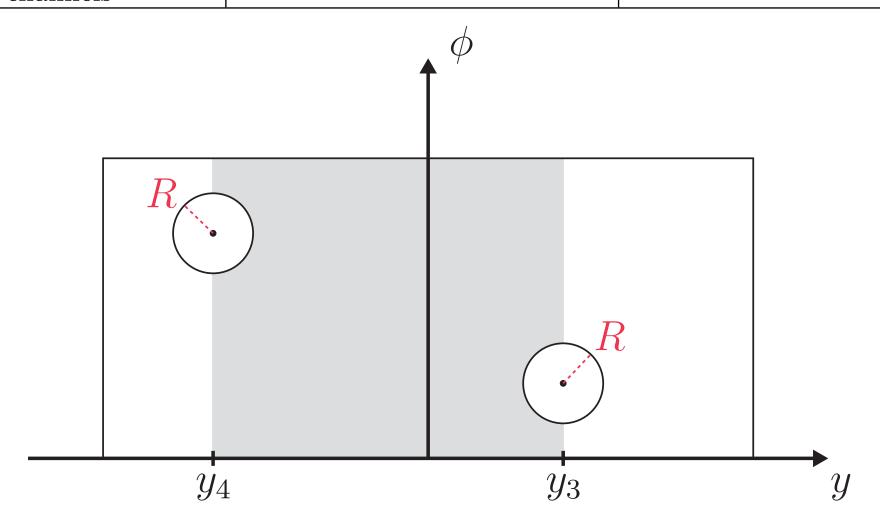


Figure 2: SLL contribution to the  $pp \to 2$  jets cross section at the LHC as a function of the veto scale  $Q_0$ , for a center-of-mass energy  $\sqrt{s} = 13$  TeV and jet radius R = 0.6.



#### PDF FACTORIZATION?

Several authors have expressed doubts that PDF factorization will be valid in general

[e.g.: Collins, Qiu (2007); Gaunt (2014); Zeng (2015)]

Observed breakdown of collinear factorization in space-like splittings was taken as indication that PDF factorization may also be violated

[Catani, de Florian, Rodrigo (2011) Forshaw, Seymour, Siodmok (2012); Schwartz, Yan, Zhu (2017) Dixon, Hermann, Yan, Zhu (2019); Cieri, Dhani, Rodrigo (2024) Henn, Ma, Xu, Yan, Zhang, Zhu (2024) Guan, Herzog, Ma, Mistlberger, Suresh (2024)]





#### PDF FACTORIZATION?

Several authors have expressed doubts that PDF factorization will be valid in general

[e.g.: Collins, Qiu (2007); Gaunt (2014); Zeng (2015)]

Observed breakdown of collinear factorization in space-like splittings was taken as indication that PDF factorization may also be violated

[Catani, de Florian, Rodrigo (2011) Forshaw, Seymour, Siodmok (2012); Schwartz, Yan, Zhu (2017) Dixon, Hermann, Yan, Zhu (2019); Cieri, Dhani, Rodrigo (2024) Henn, Ma, Xu, Yan, Zhang, Zhu (2024) Guan, Herzog, Ma, Mistlberger, Suresh (2024)]



#### Published for SISSA by Springer

RECEIVED: January 4, 2020 ACCEPTED: *May 3, 2020* Published: *May 27, 2020* 

#### Soft gluon emission at two loops in full color

#### Lance J. Dixon,<sup>a</sup> Enrico Herrmann,<sup>a</sup> Kai Yan<sup>b</sup> and Hua Xing Zhu<sup>c</sup>

ABSTRACT: The soft emission factor is a central ingredient in the factorization of generic *n*-particle gauge theory amplitudes with one soft gluon in the external state. We present

In the limit where the outgoing soft gluon is also collinear with an incoming hard parton, potentially dangerous factorization-violating terms can arise.





#### PDF FACTORIZATION?

Several authors have expressed doubts that PDF factorization will be valid in general

[e.g.: Collins, Qiu (2007); Gaunt (2014); Zeng (2015)]

Observed breakdown of collinear factorization in space-like splittings was taken as indication that PDF factorization may also be violated

[Catani, de Florian, Rodrigo (2011) Forshaw, Seymour, Siodmok (2012); Schwartz, Yan, Zhu (2017) Dixon, Hermann, Yan, Zhu (2019); Cieri, Dhani, Rodrigo (2024) Henn, Ma, Xu, Yan, Zhang, Zhu (2024) Guan, Herzog, Ma, Mistlberger, Suresh (2024)]



#### Published for SISSA by 2 Springer

RECEIVED: January 4, 2020 ACCEPTED: *May 3, 2020* Published: *May 27, 2020* 

#### Soft gluon emission at two loops in full color

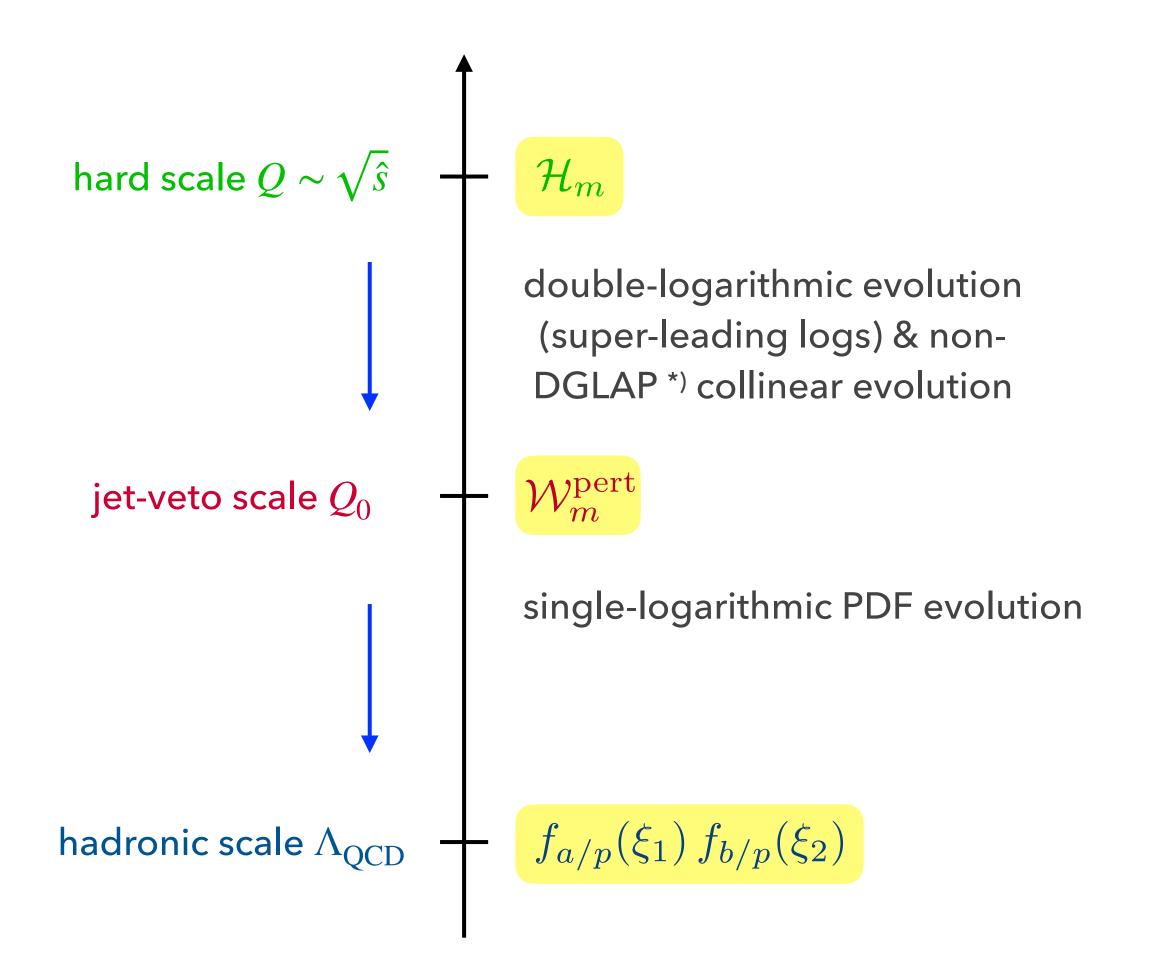
#### Lance J. Dixon,<sup>a</sup> Enrico Herrmann,<sup>a</sup> Kai Yan<sup>b</sup> and Hua Xing Zhu<sup>c</sup>

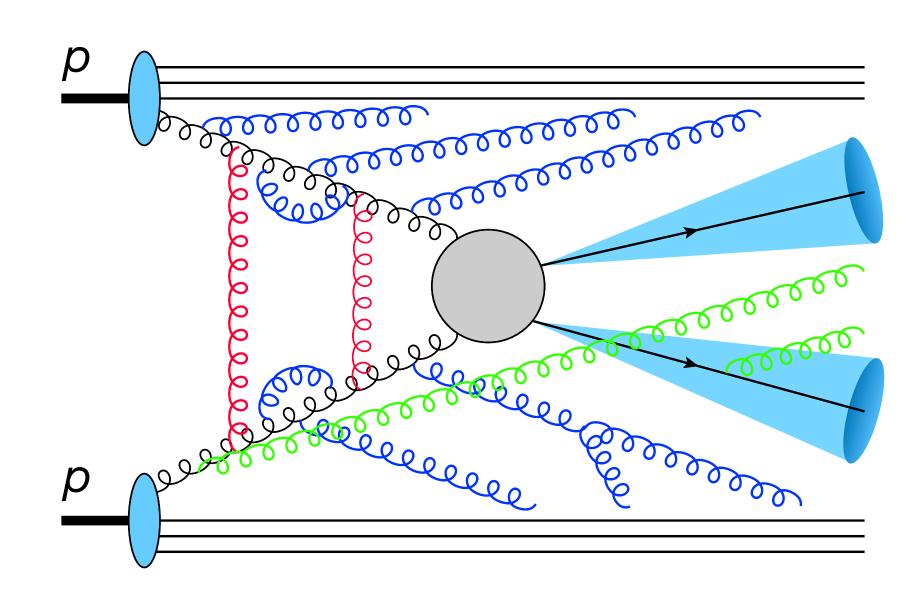
ABSTRACT: The soft emission factor is a central ingredient in the factorization of generic n-particle gauge theory amplitudes with one soft gluon in the external state. We present

In the limit where the outgoing soft gluon is also collinear with an incoming hard parton, potentially dangerous factorization-violating terms can arise.

We speculate that at next-to-next-to-next-to-leading order (NNNLO) in QCD, integrating over the phase space of the collinear splitting can give rise to soft-collinear poles which depend on the color charge of non-collinear partons entering the process. Such poles cannot be canceled by the conventional counterterms associated with renormalization of the parton distribution functions (PDFs), which by definition are process independent.



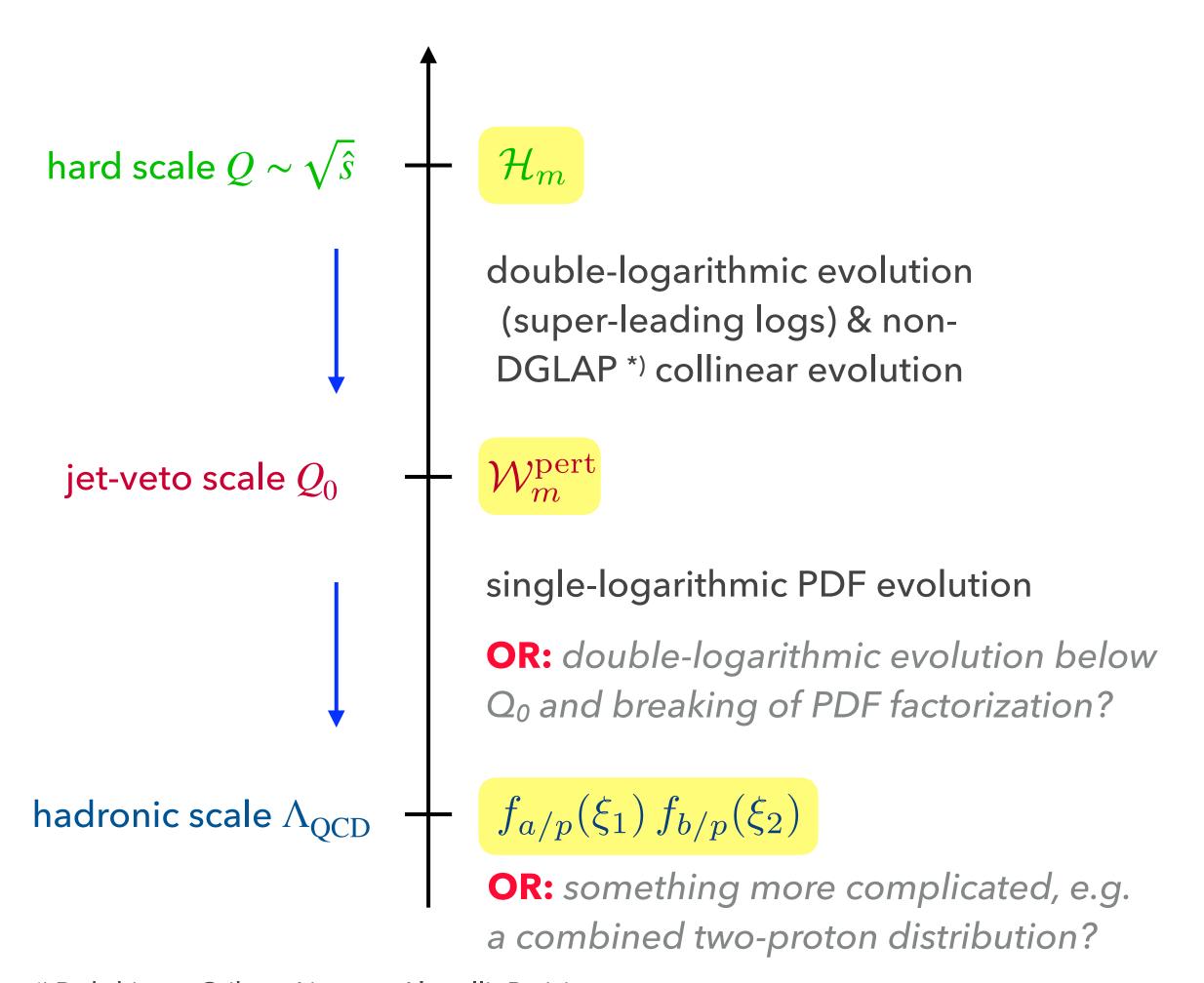


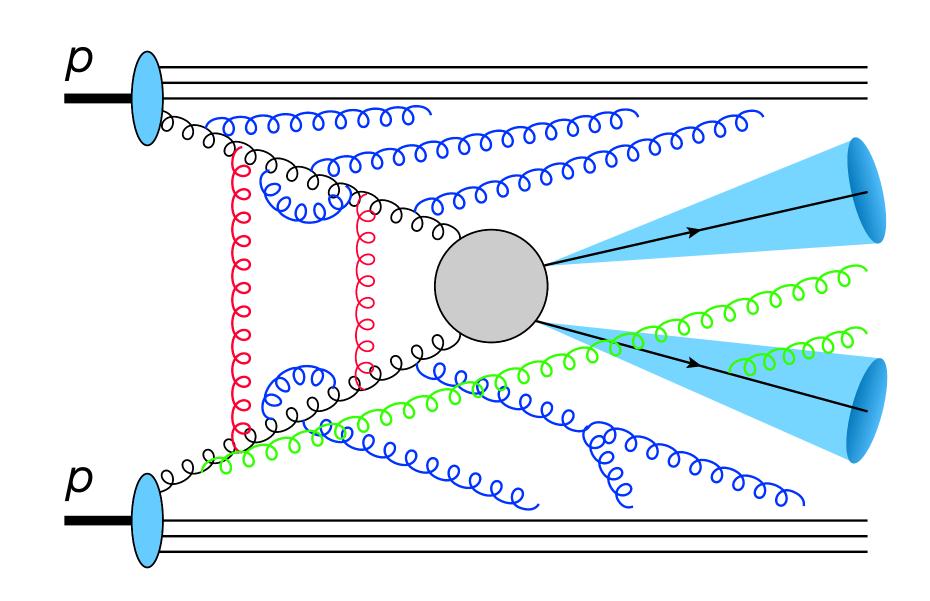


$$\sigma \overset{?}{\sim} \sum_{m} \mathcal{H}_{m} \otimes \mathcal{W}_{m}^{\mathrm{pert}} \otimes f_{a/p} \otimes f_{b/p}$$



<sup>\*)</sup> Dokshitzer–Gribov–Lipatov–Altarelli–Parisi





$$\sigma \sim \sum_{m} \mathcal{H}_{m} \otimes \mathcal{W}_{m}^{\mathrm{pert}} \otimes f_{a/p} \otimes f_{b/p}$$



<sup>\*)</sup> Dokshitzer–Gribov–Lipatov–Altarelli–Parisi

To settle the question, we calculate the perturbative  $\mu$  dependence of  $\mathcal{W}_m^{\mathrm{pert}}$  ( $\leftrightarrow 1/\varepsilon^n$  poles in dim. reg.) associated with the veto scale  $Q_0$ , and check whether the remaining scale dependence is that of the PDFs

Assuming PDF factorization, we predict:

$$\mathbf{\mathcal{W}}_{m}^{\text{bare}} = \mathbf{1} + \frac{\alpha_{s}}{4\pi} \frac{\overline{\Gamma}}{2\varepsilon} + \left(\frac{\alpha_{s}}{4\pi}\right)^{2} \left(\frac{\mathbf{V}^{G} \overline{\Gamma}}{2\varepsilon^{2}} + \dots\right) + \left(\frac{\alpha_{s}}{4\pi}\right)^{3} \left[\frac{\mathbf{\Gamma}^{c} \mathbf{V}^{G} \overline{\Gamma}}{3\varepsilon^{3}} \left(\frac{11}{6\varepsilon} + \ln \frac{\mu_{s}^{2}}{Q^{2}} + \frac{9}{2} \ln \frac{\mu_{s}^{2}}{Q^{2}}\right) + \frac{1}{12\varepsilon^{3}} \left[\mathbf{\Gamma}^{C}, \left[\mathbf{V}^{G}, \overline{\Gamma}\right]\right] + \frac{\mathbf{V}^{G} \mathbf{V}^{G} \overline{\Gamma}}{3\varepsilon^{3}} + \dots\right] + \mathcal{O}(\alpha_{s}^{4})$$

where:  $\overline{\Gamma}$  : soft emission operator

 $oldsymbol{V}^G$ : Glauber operator

 $\Gamma^c$ : soft-collinear emission operator

 $\Gamma^C$ : collinear emission operator

To settle the question, we calculate the perturbative  $\mu$  dependence of  $\mathcal{W}_m^{\mathrm{pert}}$  ( $\leftrightarrow 1/\varepsilon^n$  poles in dim. reg.) associated with the veto scale  $Q_0$ , and check whether the remaining scale dependence is that of the PDFs

Assuming PDF factorization, we predict:

$$\mathbf{W}_{m}^{\text{bare}} = \mathbf{1} + \frac{\alpha_{s}}{4\pi} \frac{\overline{\Gamma}}{2\varepsilon} + \left(\frac{\alpha_{s}}{4\pi}\right)^{2} \left(\frac{\mathbf{V}^{G} \overline{\Gamma}}{2\varepsilon^{2}} + \dots\right) + \left(\frac{\alpha_{s}}{4\pi}\right)^{3} \left[\frac{\mathbf{\Gamma}^{c} \mathbf{V}^{G} \overline{\Gamma}}{3\varepsilon^{3}} \left(\frac{11}{6\varepsilon} + \ln \frac{\mu_{s}^{2}}{Q^{2}} + \frac{9}{2} \ln \frac{\mu_{s}^{2}}{Q_{0}^{2}}\right) + \frac{1}{12\varepsilon^{3}} \left[\mathbf{\Gamma}^{C}, \left[\mathbf{V}^{G}, \overline{\Gamma}\right]\right] + \frac{\mathbf{V}^{G} \mathbf{V}^{G} \overline{\Gamma}}{3\varepsilon^{3}} + \dots\right] + \mathcal{O}(\alpha_{s}^{4})$$

where:  $\overline{\Gamma}$  : soft emission operator

 $oldsymbol{V}^G$ : Glauber operator

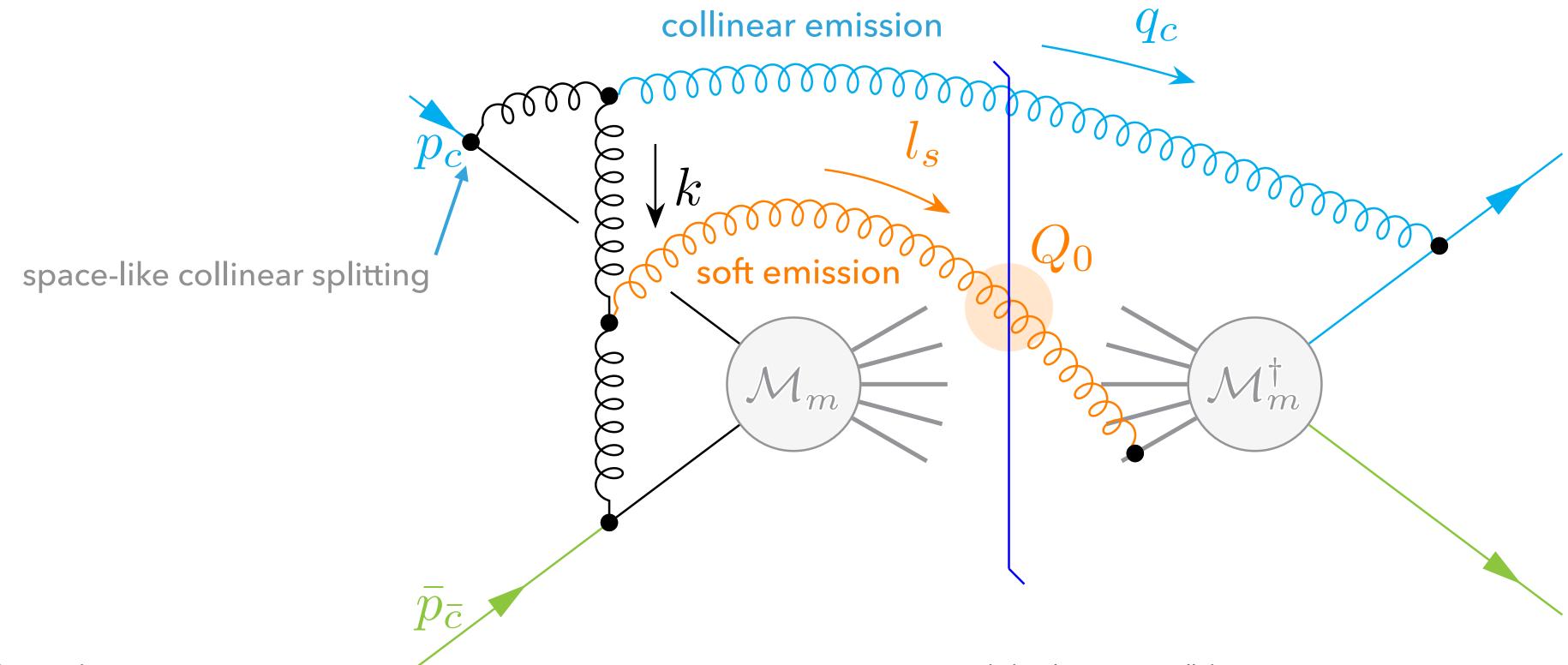
 $\Gamma^c$ : soft-collinear emission operator

 $\Gamma^C$ : collinear emission operator



[Becher, Hager, Jaskiewicz, MN, Schwienbacher (2024) Phys. Rev. Lett. **134** (2025) 6, 061901]

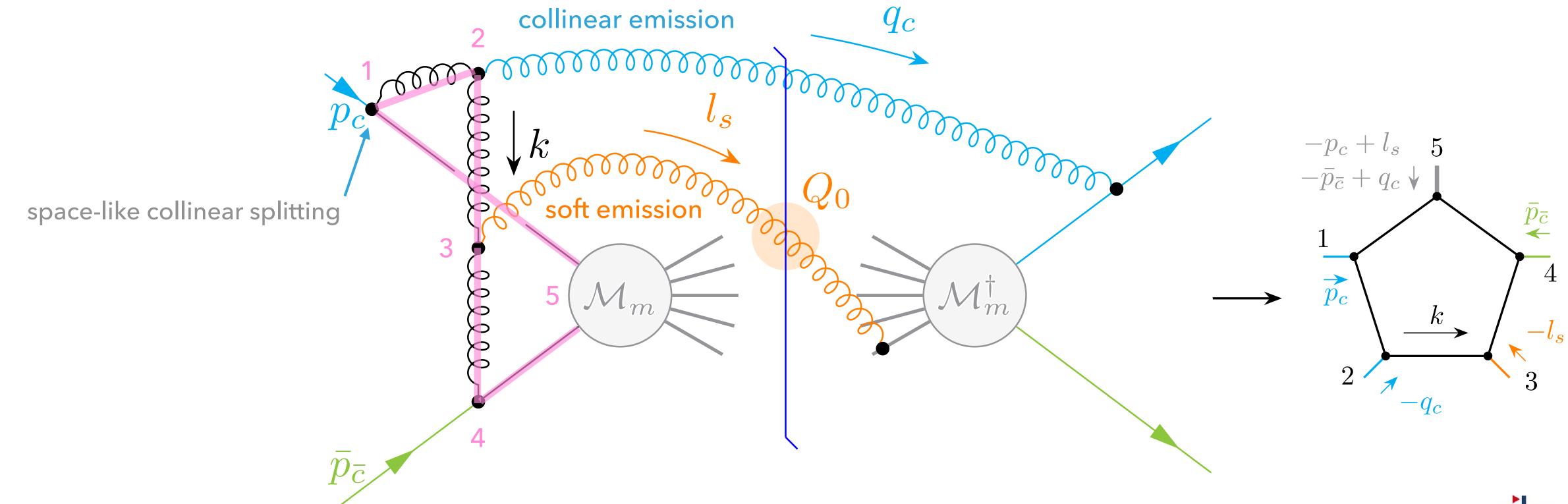
Relevant graphs feature a soft gluon emission into the gap, a space-like collinear splitting, and a virtual gluon exchange:





[Becher, Hager, Jaskiewicz, MN, Schwienbacher (2024) Phys. Rev. Lett. **134** (2025) 6, 061901]

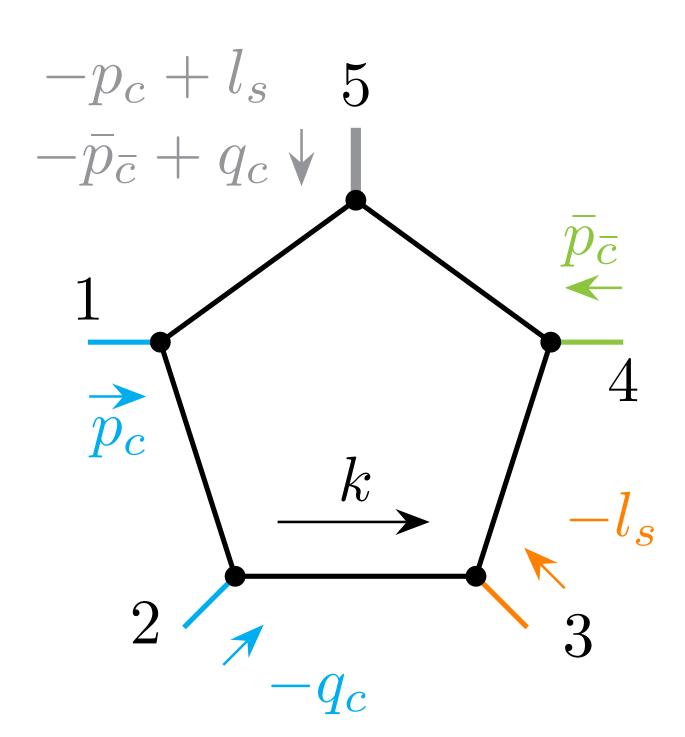
Relevant graphs feature a soft gluon emission into the gap, a space-like collinear splitting, and a virtual gluon exchange:



[Becher, Hager, Jaskiewicz, MN, Schwienbacher (2024) Phys. Rev. Lett. 134 (2025) 6, 061901]

Region analysis of the pentagon integral (exact expression known):

[Bern, Dixon, Kosower (1993)]



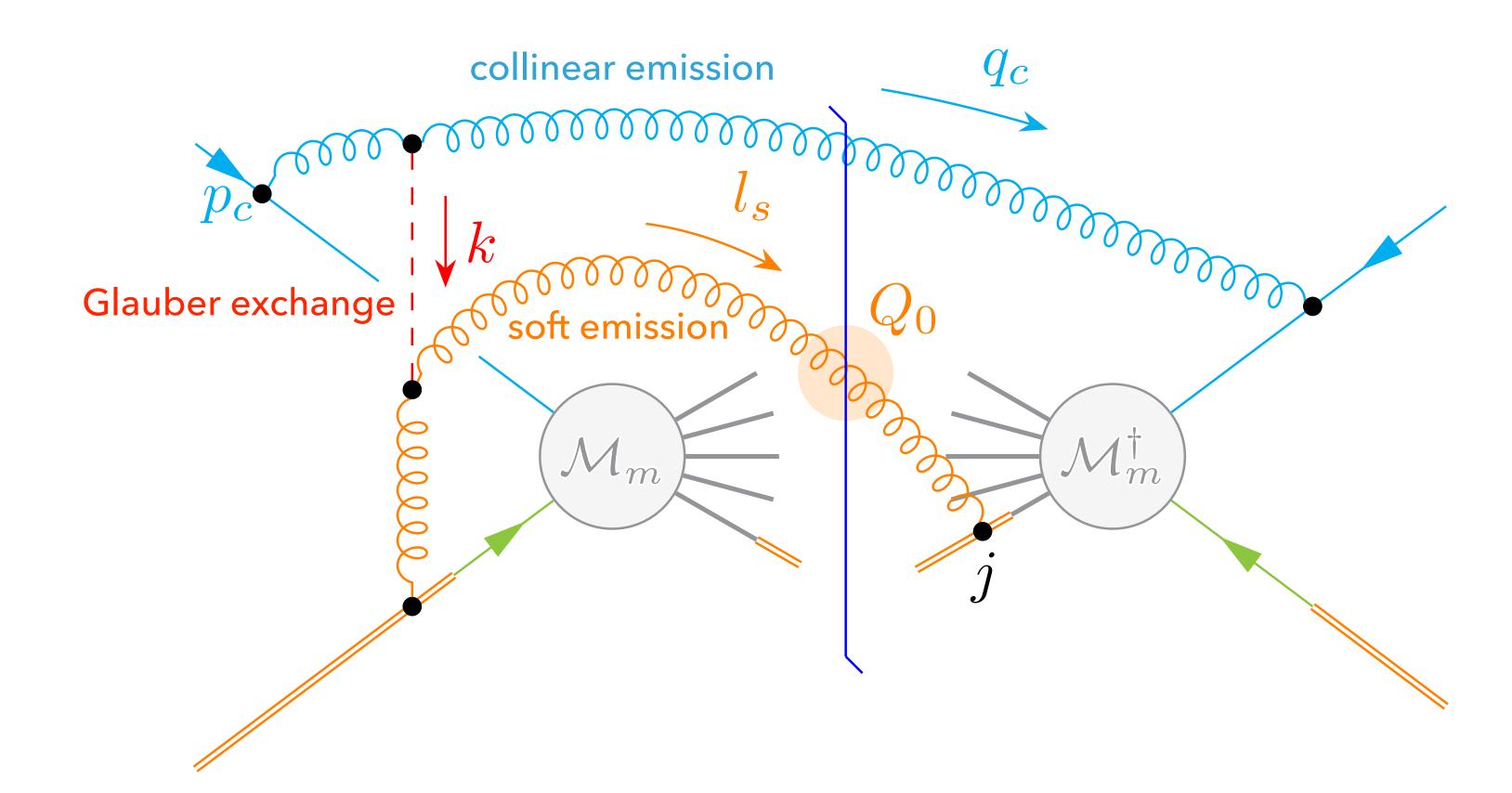
- ◆ Euclidean kinematics: only a single region (soft-collinear) contributes
- igoplus Physical kinematics: appearance of non-trivial phase factors  $e^{2\pi i \varepsilon}$  introduces a new Glauber region, which we were unable to find using existing region-finder codes such as Asy2.1

[Pak, Smirnov (2011); Jantzen, Smirnov, Smirnov (2012)]



[Becher, Hager, Jaskiewicz, MN, Schwienbacher (2024) Phys. Rev. Lett. **134** (2025) 6, 061901]

Relevant graphs in SCET with Glauber gluons: [Rothstein, Stewart (2016)]





Assuming PDF factorization, we predict:

$$\mathbf{\mathcal{W}}_{m}^{\text{bare}} = \mathbf{1} + \frac{\alpha_{s}}{4\pi} \frac{\overline{\Gamma}}{2\varepsilon} + \left(\frac{\alpha_{s}}{4\pi}\right)^{2} \left(\frac{\mathbf{V}^{G} \overline{\Gamma}}{2\varepsilon^{2}} + \dots\right) + \left(\frac{\alpha_{s}}{4\pi}\right)^{3} \left[\frac{\mathbf{\Gamma}^{c} \mathbf{V}^{G} \overline{\Gamma}}{3\varepsilon^{3}} \left(\frac{11}{6\varepsilon} + \ln \frac{\mu_{s}^{2}}{Q^{2}} + \frac{9}{2} \ln \frac{\mu_{s}^{2}}{Q_{0}^{2}}\right) + \frac{1}{12\varepsilon^{3}} \left[\mathbf{\Gamma}^{C}, [\mathbf{V}^{G}, \overline{\Gamma}]\right] + \frac{\mathbf{V}^{G} \mathbf{V}^{G} \overline{\Gamma}}{3\varepsilon^{3}} + \dots\right] + \mathcal{O}(\alpha_{s}^{4})$$

where:

 $\overline{\Gamma}$ : soft emission operator

 $oldsymbol{V}^G$ : Glauber operator

double-logarithmic evolution above  $Q_0$ 

 $\Gamma^c$ : soft-collinear emission operator

 $\Gamma^C$ : collinear emission operator

non-DGLAP collinear evolution above  $Q_0$ 

(to appear)



Assuming PDF factorization, we predict:

$$\mathbf{\mathcal{W}}_{m}^{\text{bare}} = \mathbf{1} + \frac{\alpha_{s}}{4\pi} \frac{\overline{\Gamma}}{2\varepsilon} + \left(\frac{\alpha_{s}}{4\pi}\right)^{2} \left(\frac{\mathbf{V}^{G} \overline{\Gamma}}{2\varepsilon^{2}} + \dots\right) + \left(\frac{\alpha_{s}}{4\pi}\right)^{3} \left[\frac{\mathbf{\Gamma}^{c} \mathbf{V}^{G} \overline{\Gamma}}{3\varepsilon^{3}} \left(\frac{11}{6\varepsilon} + \ln \frac{\mu_{s}^{2}}{Q^{2}} + \frac{9}{2} \ln \frac{\mu_{s}^{2}}{Q^{2}^{2}}\right) + \frac{1}{12\varepsilon^{3}} \left[\mathbf{\Gamma}^{C}, [\mathbf{V}^{G}, \overline{\Gamma}]\right] + \frac{\mathbf{V}^{G} \mathbf{V}^{G} \overline{\Gamma}}{3\varepsilon^{3}} + \dots\right] + \mathcal{O}(\alpha_{s}^{4})$$

double-logarithmic evolution above  $Q_0$ 

non-DGLAP collinear evolution above  $Q_0$  (to appear)

#### where:

 $\overline{\Gamma}$ : soft emission operator

 $oldsymbol{V}^G$ : Glauber operator

 $\Gamma^c$ : soft-collinear emission operator

 $\in 128\pi \mathcal{P}_{q\to q}(\xi_1) \,\delta(1-\xi_2) \,f_{abc} \,\mathrm{Tr}(t^a \,t^A \,t^B)$ 

 $\times \sum_{j=3}^{m} J_j \mathbf{T}_2^b \mathbf{T}_j^c + (1 \leftrightarrow 2)$ 

 $\Gamma^C$ : collinear emission operator



## "FACTORIZATION RESTORATION" THROUGH GLAUBER GLUONS

Collinear factorization violation at  $\mu \sim Q$ 



Soft-collinear factorization violation by Glauber gluons at  $\mu \sim Q_0$ 

PDF factorization restored for  $\mu < Q_0$ 

We have proved this to 3-loop order and conjecture that it holds in general!

#### **SUMMARY**

- Based on a SCET factorization theorem, we have performed the first allorder resummation of super-leading logarithms
- The main open challenge is to combine this with the resummation of nonglobal single logarithms
- We have uncovered a new mechanism reconciling the breaking of collinear factorization with unbroken PDF factorization: in an interplay of space-like collinear splittings and soft emissions, perturbative Glauber gluons restore the factorization of the cross section
- Understanding the all-order structure of these effects would pave the way to a proof of PDF factorization for a much wider class of observables!

