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Flavor-violating ALPs at the Electron-Ion Collider

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INT Workshop, "EW and BSM
Physics at the EIC"
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Outline

- This talk will highlight results from two papers on **lepton flavor-violating ALPs**:
 - [arXiv:2112.04513](#): Direct production at the EIC;
 - [arXiv:2402.XXXXX](#): Electron (g-2) anomaly and EIC searches.
- Lots of credit goes to my collaborators:
 - **Hooman Davoudiasl** (BNL)
 - **Roman Marcarelli** (grad student @ CU Boulder, on leave to BNL this semester through the DOE SCGSR program)
- See also [arXiv:2105.05866](#): Higgs decays at the LHC (w/Nicholas Miesch, now grad student @ Stony Brook)

1. Motivation: axion-like particles and flavor violation

Motivation: axion-like particles



- The *QCD axion* is a hypothetical solution to the strong CP problem; being tied to strong CP restricts the allowed masses/couplings.

- “**Axion-like particles**” (**ALPs**) don’t attempt to solve strong CP, broadening the parameter space. They are **pseudo-Nambu-Goldstone bosons** associated w/symmetry breaking.
- ALPs occur in many scenarios (ordinary pions are ALPs!) They generically **1) are light compared to Λ_{NP}** , **2) couple like pseudo-NGBs**.



ALPs + flavor violation?

- **Flavor** is one of the biggest puzzles of the Standard Model; it wouldn't be surprising for new physics to have non-trivial flavor structure.
- **Flavor-violating processes** are also *highly sensitive* probes of new physics, so experimental searches have great reach to high energy scales.
- This talk: **lepton flavor violation (LFV)**. Quark FV is also interesting, but messier and more SM backgrounds (and EIC is especially relevant for lepton FV with e^- .)

- Ignoring quarks, ALP Lagrangian has this structure:

$$\mathcal{L} = \frac{1}{2}(\partial_\mu a)^2 - \frac{1}{2}m_a^2 a^2 + \mathcal{L}_\ell + \mathcal{L}_g + \mathcal{L}_h$$

- Coupling to leptons can be written in general as:

$$\mathcal{L}_\ell = \frac{\partial_\mu a}{\Lambda} \sum_{\ell\ell'} \bar{\ell} \gamma^\mu (V_{\ell\ell'} + A_{\ell\ell'} \gamma_5) \ell' + h.c.$$

- Both vector and axial couplings are allowed; what makes this an ALP is the **derivative coupling**, associated with shift symmetry of a . Decompose into magnitude and angles:

$$\mathcal{L}_\ell = \frac{\partial_\mu a}{\Lambda} \sum_{\ell\ell'} C_{\ell\ell'} \bar{\ell} \gamma^\mu (\sin \theta_{\ell\ell'} + e^{i\phi_{\ell\ell'}} \cos \theta_{\ell\ell'} \gamma_5) \ell' + h.c.$$

- Angle ϕ is CP violating. $\theta=0$ gives purely axial coupling $\theta=\pi/2$ is purely vector, $\pi/4$ is chiral. Set $\phi=0$ for this talk. (Depending on coupling, e.g. electron EDM constrains ϕ to be very small anyway.)

$$\mathcal{L}_\ell = \frac{\partial_\mu a}{\Lambda} \sum_{\ell\ell'} C_{\ell\ell'} \bar{\ell} \gamma^\mu (\sin \theta_{\ell\ell'} + \cos \theta_{\ell\ell'} \gamma_5) \ell' + h.c.$$

- Integrate by parts, use EoM:

$$\mathcal{L}_\ell = a \sum_{\ell\ell'} \frac{C_{\ell\ell'}}{\Lambda} \bar{\ell} [(m_\ell - m_{\ell'}) \sin \theta_{\ell\ell'} + (m_\ell + m_{\ell'}) \cos \theta_{\ell\ell'}] \ell' + h.c.$$

- Important point #1: for flavor-diagonal couplings ($\ell=\ell'$), the vector coupling is irrelevant! PV angle θ only matters for LFV couplings.
- Important point #2: ALP-lepton couplings are proportional to the mass. Provides a natural hierarchy even if all $C_{\ell\ell'} \sim O(1)$ - τ - a couplings are largest!

- What about the other two parts of the Lagrangian?

$$\mathcal{L} = \frac{1}{2}(\partial_\mu a)^2 - \frac{1}{2}m_a^2 a^2 + \mathcal{L}_\ell + \mathcal{L}_g + \mathcal{L}_h$$

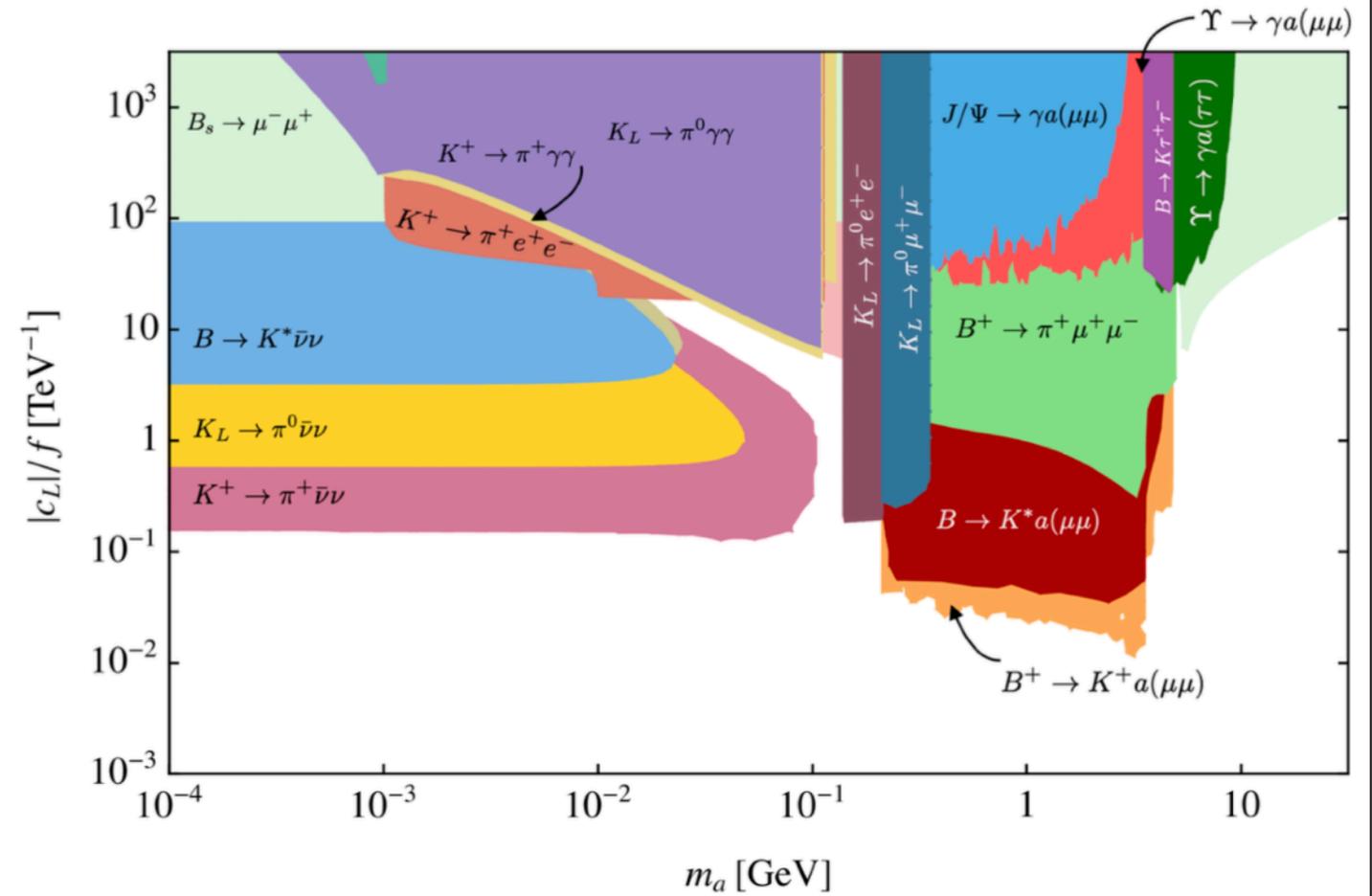
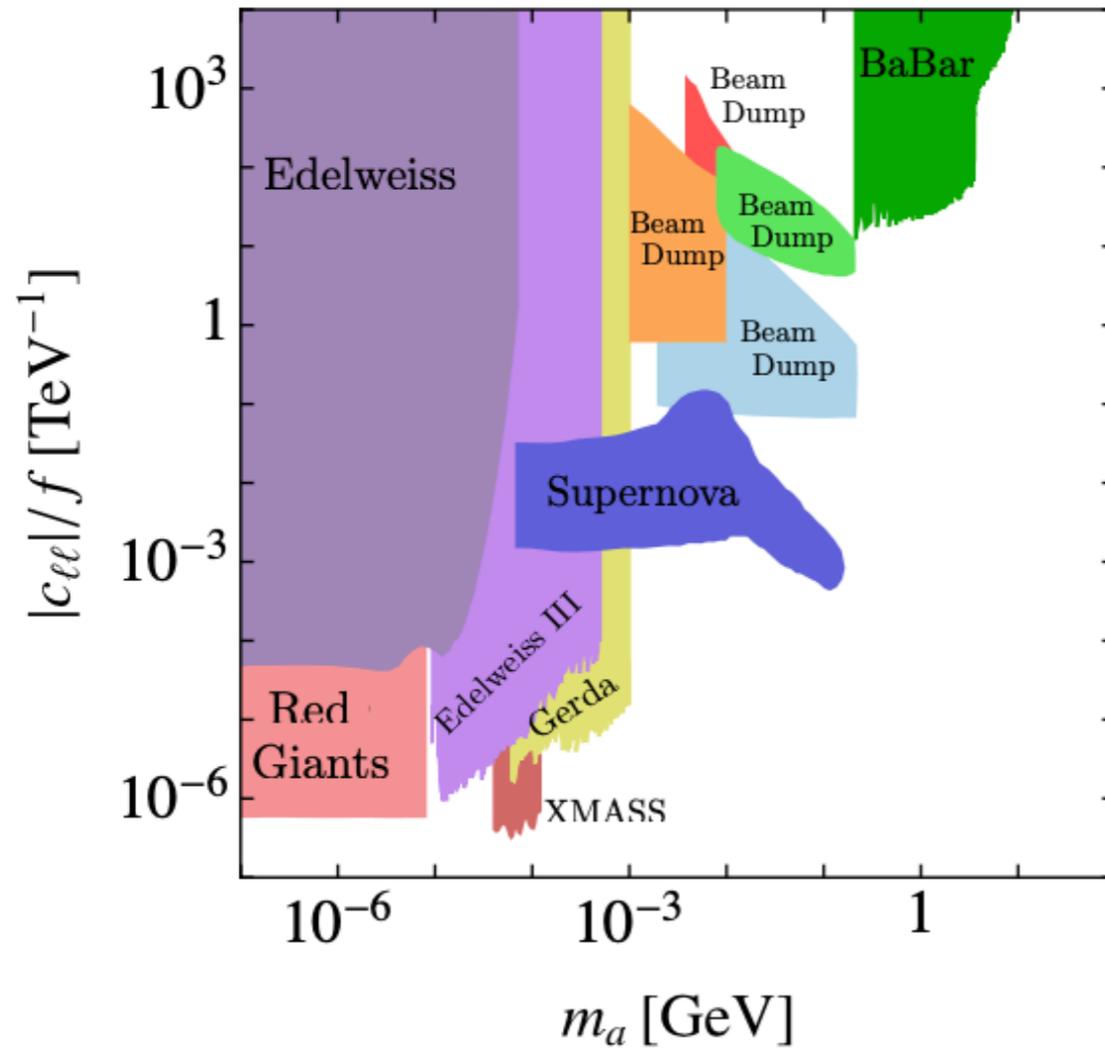
- **Gauge interaction Lagrangian**, focus on two-photon coupling:

$$\mathcal{L}_g = 4\pi\alpha \frac{C_{\gamma\gamma}}{\Lambda} a F_{\mu\nu} \tilde{F}^{\mu\nu} + \dots$$

- This includes tree-level and loop-induced contributions. If we set tree-level $C_{\gamma\gamma} = 0$, loop-induced is always too small to matter (branching to two photons $\sim 10^{-7}$ at $m_a=2$ GeV.)
- Last sub-Lagrangian is **Higgs-ALP interactions**. These are interesting - limits from rare Higgs decays are strong, see our paper 2105.05866! - but model-dependent. *Ignore* for EIC study.

Overview of existing limits

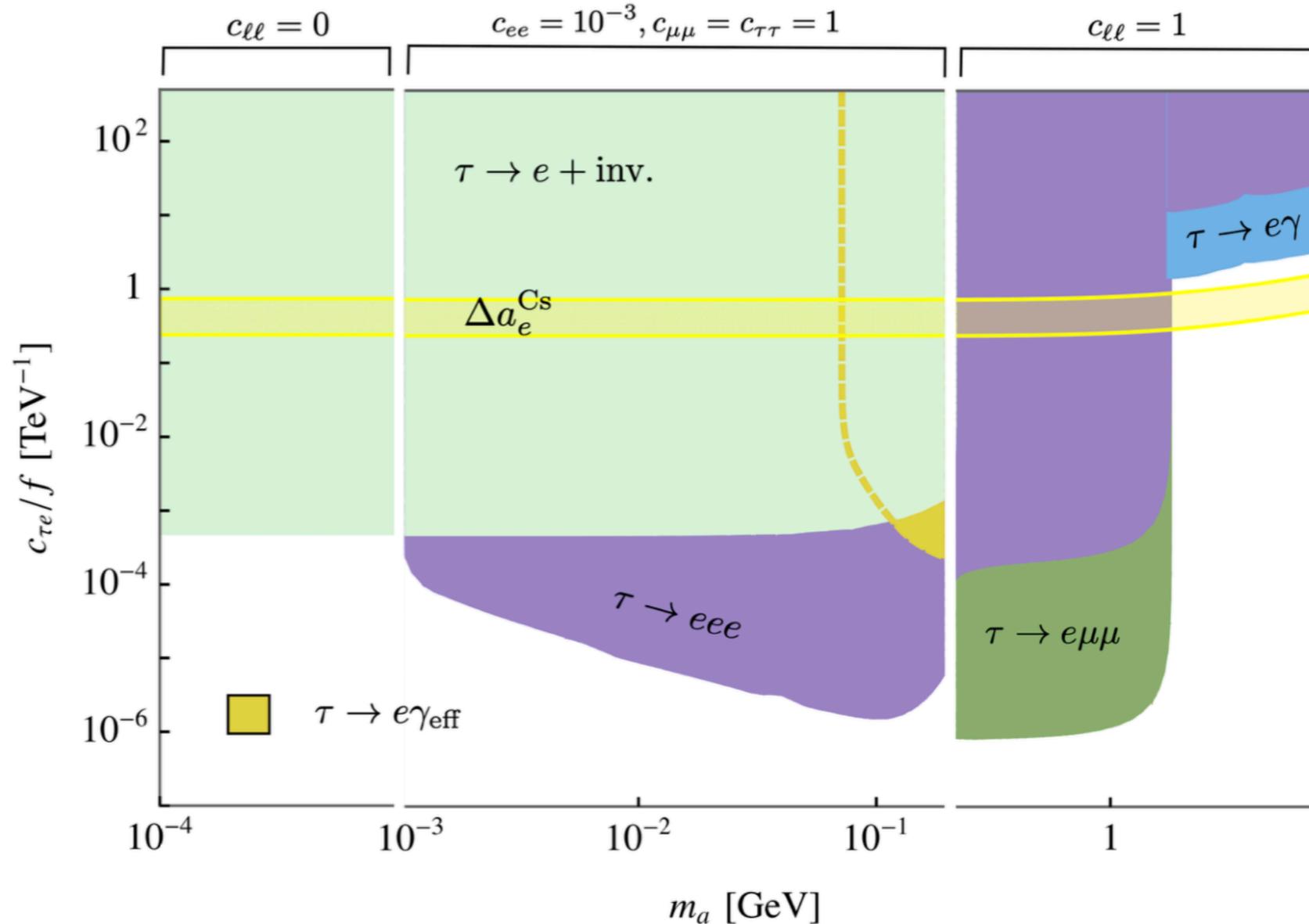
(from Bauer, Neubert, Renner, Schnubel, and Thamm, arXiv:2110.10698)



- Lepton-diagonal couplings: (left) strong astrophysical bounds at $m_a < 10^{-3}$ GeV; beam dumps below 1 GeV. (right) flavor-physics bounds effective above $C_{ll} \sim 0.1/(1 \text{ TeV})$, but more model-dependent (assumes equal coupling to all LH lepton doublets.)

(from Bauer, Neubert, Renner, Schnubel, and Thamm, arXiv:2110.10698)

(see also: Cornella, Paradisi, and Sumensari, arXiv:1911.06279)

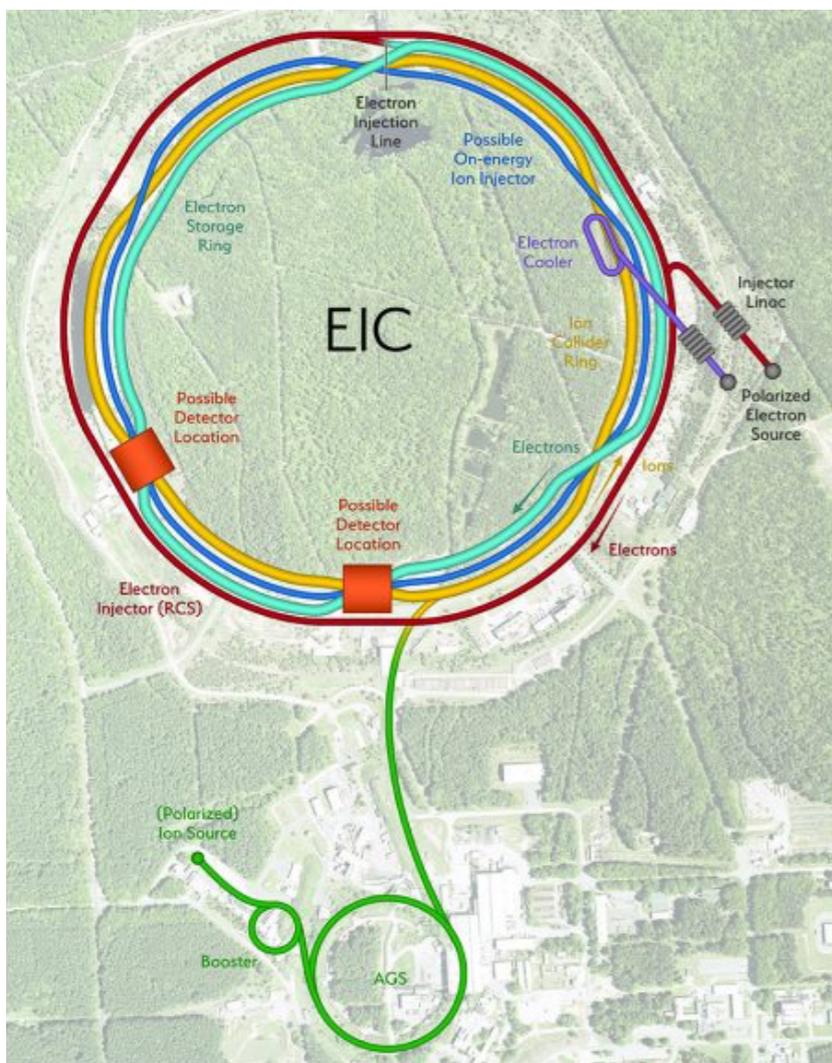


- LFV couplings: bounds are very strong, down to 10⁻⁶ / TeV. Here almost exclusively from exotic tau decays; much weaker above tau mass.
- Note the interplay between diagonal and off-diagonal lepton couplings; at heavier ALP masses, bounds are even weaker if diagonal $c_{\ell\ell}$ are suppressed.

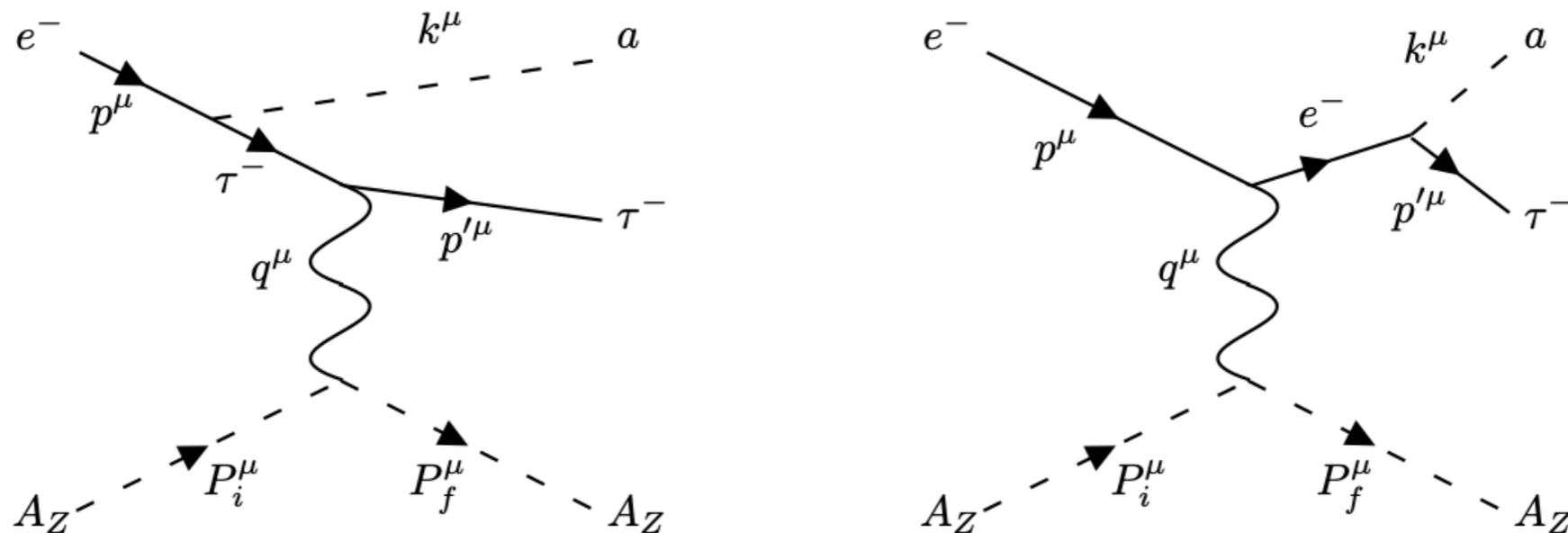
2. LFV ALPs at the EIC

New physics at the EIC?

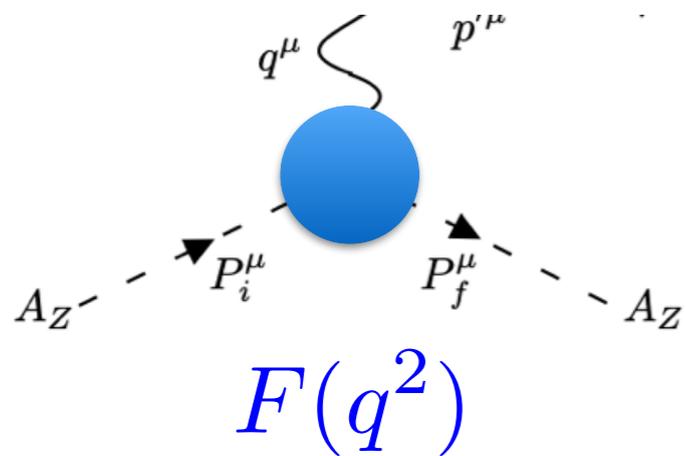
- EIC is a compelling machine for certain BSM searches, as we've heard!
- Study **electron-Au mode**: $|p_e| = 18$ GeV and $|p_{Au}| = 110$ GeV/nucleon; in the ion rest frame this resembles a fixed-target experiment with a **4.2 TeV** electron beam (!)
- Coherent scattering from gold \rightarrow **Z^2 enhancement** of cross section. (But, ion-mode luminosity $(100/A)$ fb $^{-1}$, so overall Z^2/A vs. e-p mode - plus, a big CM energy boost.)
- Lower luminosity than average fixed-target/beam dump, but much better detector coverage and high CM energy; expect EIC to do best with new-physics models that are relatively *heavy* (vs. fixed-target) and have *distinctive, low-background signals* (so we only need a few events.)



Signal process

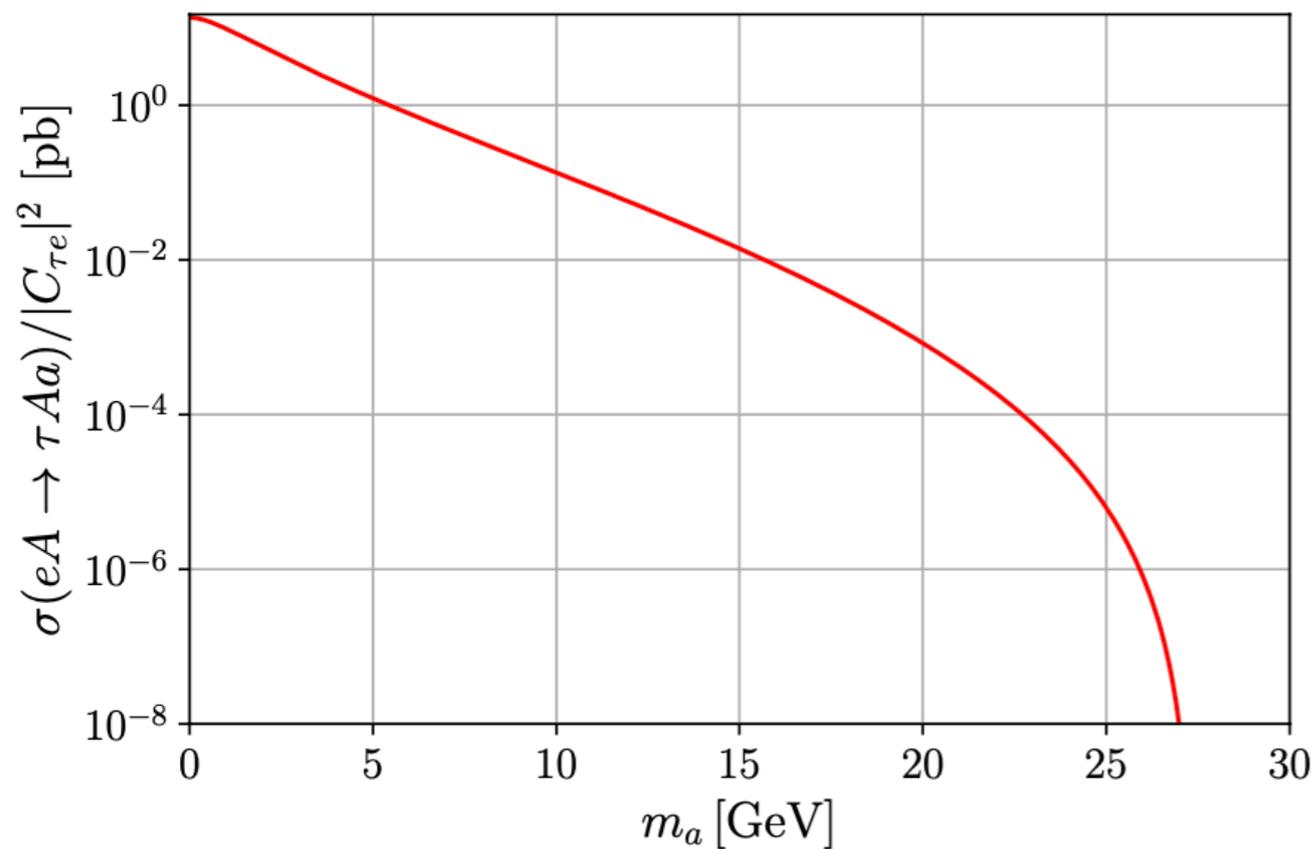


- Focus on $C_{e\tau}$ coupling. $C_{\tau\tau}$ also included, but suppressed, so $\text{Br}(a \rightarrow e\tau) \sim 100\%$. ($C_{\tau\tau}$ suppression can be natural if the parity-violating angle θ is present.)
- **Signal process:** $e^- A_Z \rightarrow \tau^- (a \rightarrow \tau^- e^+) A_Z$
- Extremely distinctive final state: two same-sign τ^- , a positron, and the beam electron is gone!



$$F(q^2) = \frac{3}{q^3 R_A^3} (\sin qR_A - qR_A \cos qR_A) \frac{1}{1 + a_0^2 q^2}$$

- Woods-Saxon form factor for gold ($Z=79$, $A=197$), $a_0=0.79$ fm, $R_A=(1.1 \text{ fm}) A^{1/3}$.



- The form factor suppression is active for $m_a > 20$ GeV or so (left.)
- We also impose a hard cutoff $q^2 < (100 \text{ MeV})^2$, to avoid nuclear breakup; this corresponds to $m_a < 27$ GeV. (Form factor suppression already large.)

Signal selection and efficiency

Signal processes:

$$e^- A_Z \rightarrow \tau^- (a \rightarrow \tau^- e^+) A_Z$$

$$e^- A_Z \rightarrow \tau^- (a \rightarrow \tau^- \tau^+) A_Z$$

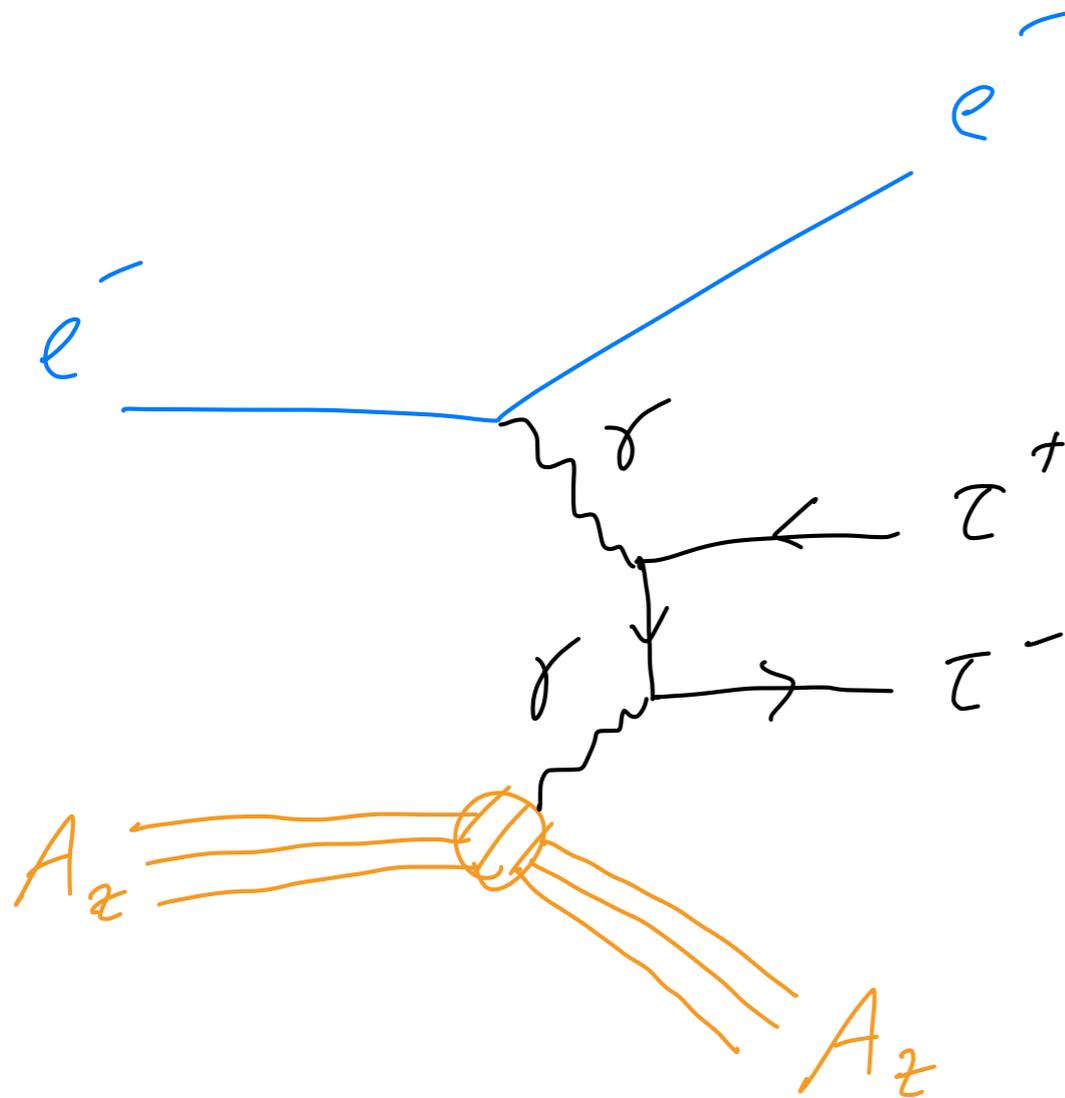
(subleading)

- **Selection criteria:**

1. **One tau** identified in the final state;
2. **One e+** identified in the final state;
3. **Veto** on final-state **e-**;
4. **Veto** on **nuclear breakup**.

- We assume **1% efficiency** for τ identification (3-prong only; from ECCE paper, J.-L. Zhang et al., arXiv:2207.10261); see talk by A. Hurley on Wednesday.
- Can tag either final-state τ^- ; small additional loss when τ^- gives back an electron. Overall signal efficiency **$\epsilon \sim 1.6\%$** .

Background



- Dominant background expected is τ pair production, specifically from the **Bethe-Heitler process** (left):

$$e^- A_Z \rightarrow e^- A_Z \tau^+ \tau^-$$

- Same Z^2 enhancement as our signal process!
- We adopt the results of Bulmahn and Reno (arXiv:0812.5008) for muons scattering on “rock” ($Z=11$, $A=22$) at ~ 4 TeV, and rescale by $(Z_{\text{Au}}/Z_{\text{rock}})^2$.
- Estimate: $\sigma_{\text{bg}} \sim 26$ nb.

BG: $e^- A_Z \rightarrow e^- A_Z \tau^+ \tau^-$

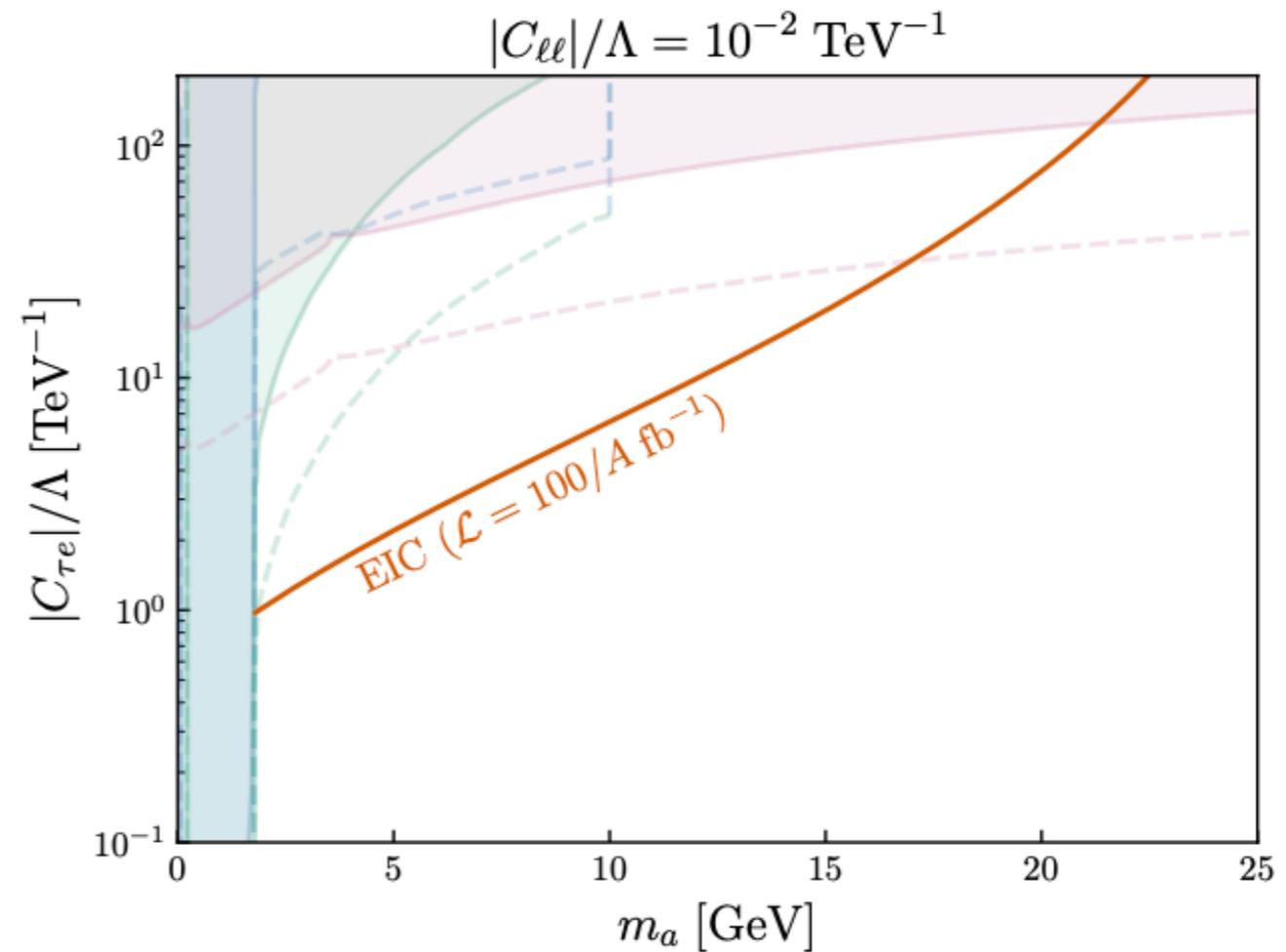
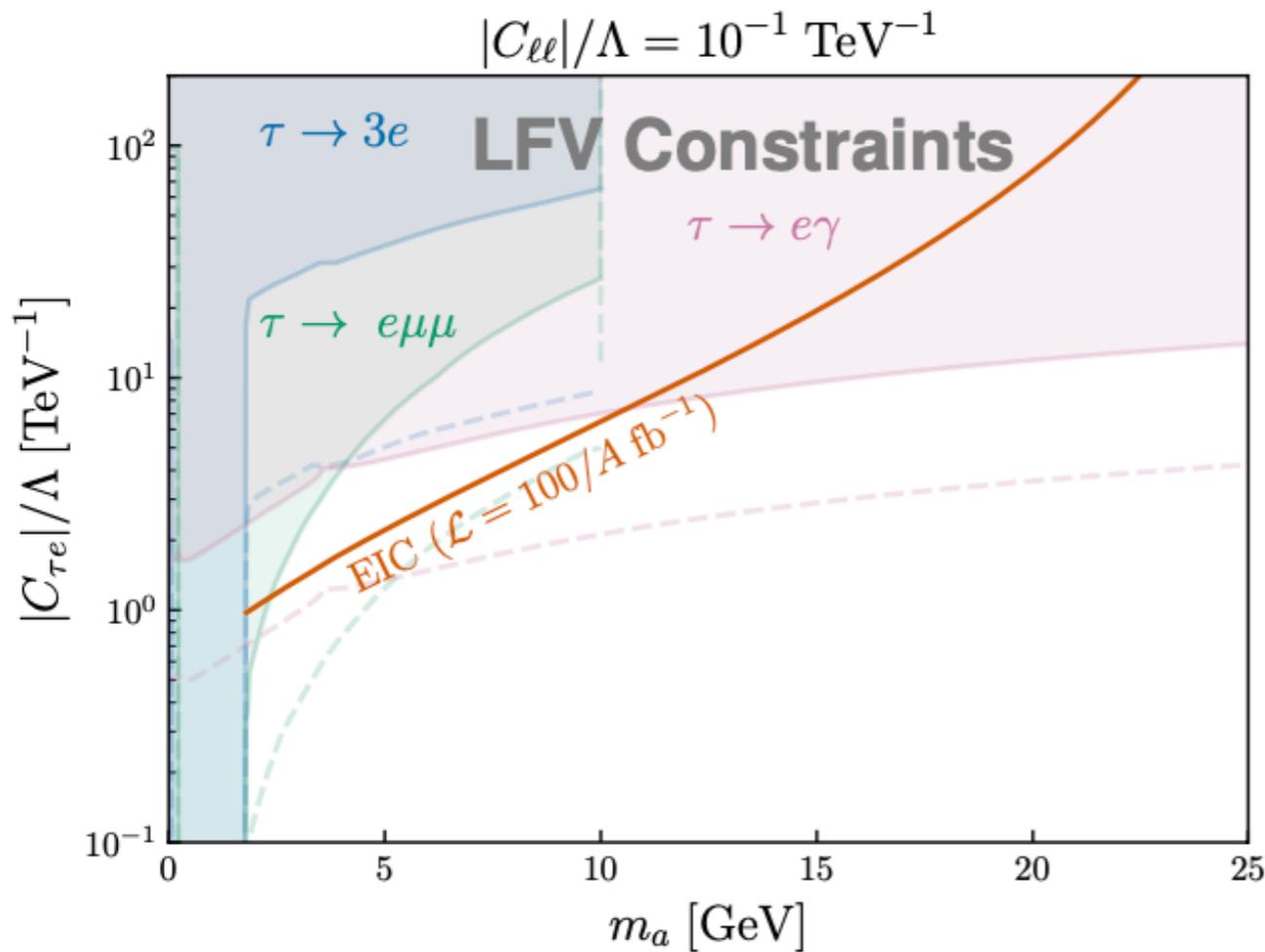
- Two ways this can pass our selection cuts:
 - A. Mis-ID the beam e^- as e^+ (10^{-3} , guess from Yellow Report based on π/e fake rates), and the τ^- does NOT decay to an electron;
 - B. Lose the beam e^- (10^{-2} , from Yellow Report), and τ^+ decays to a positron.
- Either scenario also requires a tagged τ at the same 1% efficiency as the signal.

$$\epsilon_{\text{b.g.,}A} = 10^{-3} \cdot 10^{-2} \cdot (1 + 1 - 0.18) = 1.82 \times 10^{-5}$$

$$\epsilon_{\text{b.g.,}B} = 10^{-2} \cdot 10^{-2} \cdot 0.18 = 1.8 \times 10^{-5}$$

$$\underline{\text{Total:}} \quad \epsilon_{\text{b.g.}} = 3.62 \times 10^{-5}$$

- $L = (100/A) \text{ fb}^{-1} \rightarrow 475$ background events; need 35 signal events for 90% CL.



- Solid regions are current bounds; dashed lines show projections (Belle-II, 50 ab^{-1} .)
- Note that direct flavor-violation bounds for $m_a > m_\tau$ are much weaker if diagonal $C_{\ell\ell}$ is reduced (left to right plot), but EIC reach is unaffected!
- Improvement in tau tagging efficiency (now 1%) or background reduction (now 475 events) could greatly improve sensitivity...(e.g. kinematic cuts to distinguish resonant signal from background might help.)

Summary so far

- EIC search offers useful bounds for GeV-scale LFV ALPs; constraints are *robust vs. small* C_{\parallel} , unlike precision tau-decay searches.
- *Muon capability?* $C_{\mu e}$ is probably not competitive at EIC (down by $(m_{\mu}/m_{\tau})^2$), and direct flavor-violation experiments are stronger.) $C_{\mu\tau}$ could be probed if $C_{e\tau}$ is also present, and final state is even more distinctive:

$$e^{-} A_Z \rightarrow \tau^{-} A_Z (a \rightarrow \tau^{\pm} \mu^{\mp})$$

- *“Far backward” capability?* Versus dark photons (H. Davoudiasl’s talk on Tuesday), ALPs are relatively heavy so signal is peaked at somewhat smaller $|\eta|$. Could still help, but less dramatic.

3. Electron $(g-2)$ and LFV ALPs

Electron (g-2) anomaly

- As mentioned before, tensions between $(g-2)_e = a_e$ measurement* and SM prediction*, depending on which input α is used:

$$\Delta a_e(\text{Rb}) = (34 \pm 16) \times 10^{-14}, \quad (+2.2\sigma)$$

$$\Delta a_e(\text{Cs}) = (-101 \pm 27) \times 10^{-14}. \quad (-3.7\sigma)$$

- Less significant than $(g-2)_\mu$, but cleaner SM theory: hadronic corrections are much smaller.

Axion-like particles, lepton-flavor violation and a new explanation of a_μ and a_e

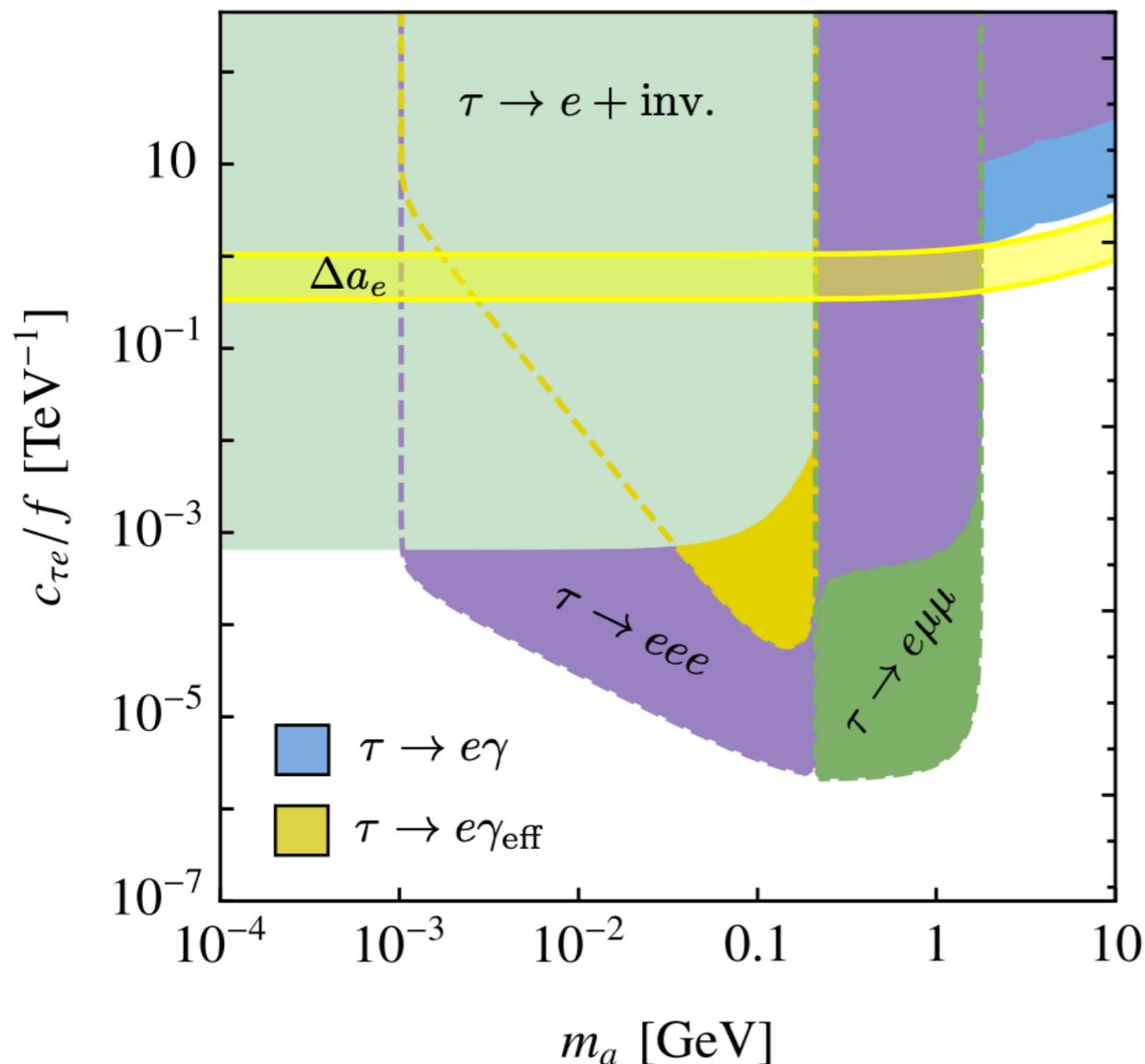
Martin Bauer^a, Matthias Neubert^{b,c}, Sophie Renner^b, Marvin Schnubel^b, and Andrea Thamm^d

^a*Institute for Particle Physics Phenomenology, Department of Physics, Durham University, Durham, DH1 3LE, UK*

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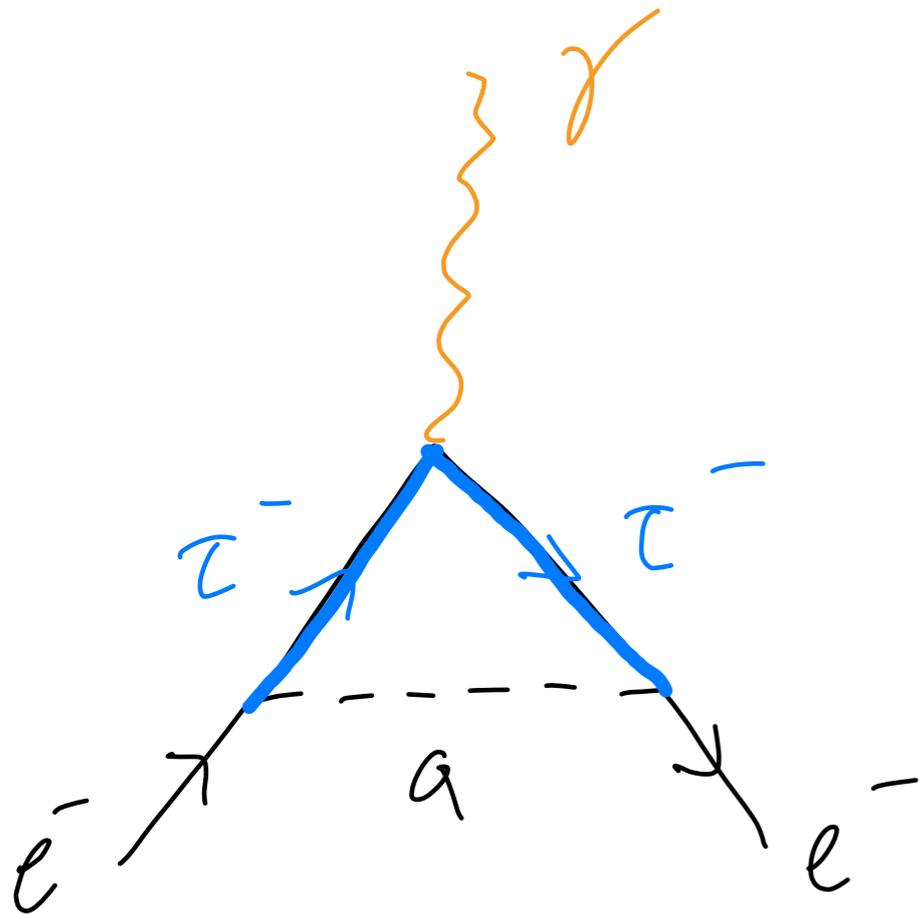
^c*Department of Physics & LEPP, Cornell University, Ithaca, NY 14853, U.S.A.*

^d*Theoretical Physics Department, CERN, 1211 Geneva, Switzerland*



- Matching the Δa_e discrepancy using LFV ALPs has been considered before
- Solution is possible where τ decay bounds are weakest (i.e. above m_τ .)
- The **solution region (left)** is out of reach of EIC, but it assumes $O(1/\text{TeV})$ lepton-diagonal couplings, and doesn't fully explore dependence on parity-violating θ .

- Contribution to $(g-2)_e$ arises from purely the LFV $C_{\tau e}$ coupling:

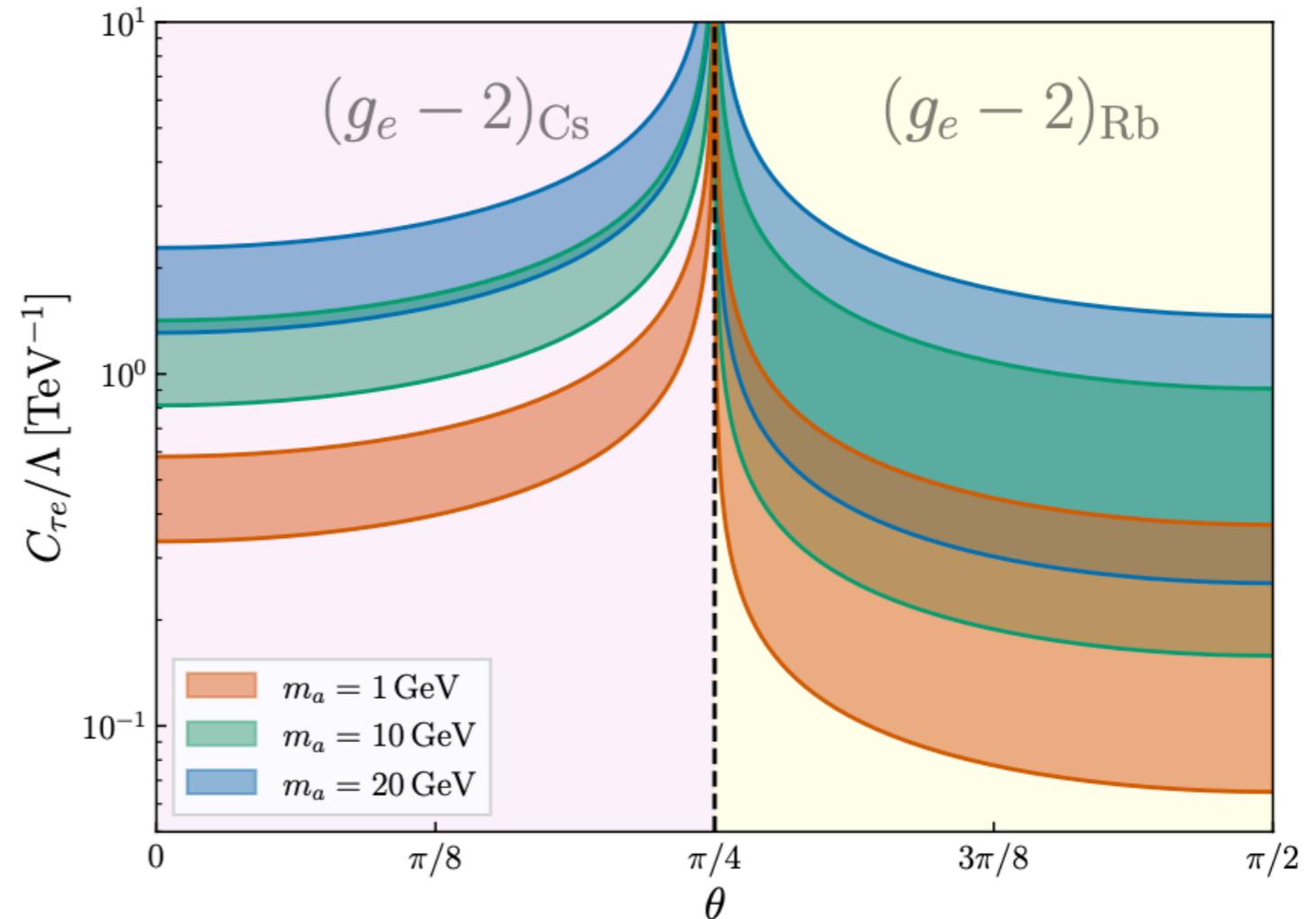


$$\Delta a_e = -\frac{m_e^2 C_{\tau e}^2}{16\pi^2 \Lambda^2} \left(f(x_\tau) + \frac{m_\tau}{m_e} g(x_\tau) \cos 2\theta \right)$$

$$(x_\tau = m_a^2 / m_\tau^2.)$$

- $f(x)$ and $g(x)$ are kinematic factors. The $f(x)$ term is *almost* negligible (down by $m_e/m_\tau \sim 3500$), but results in the maximum anomaly being slightly away from $\theta=\pi/4$.

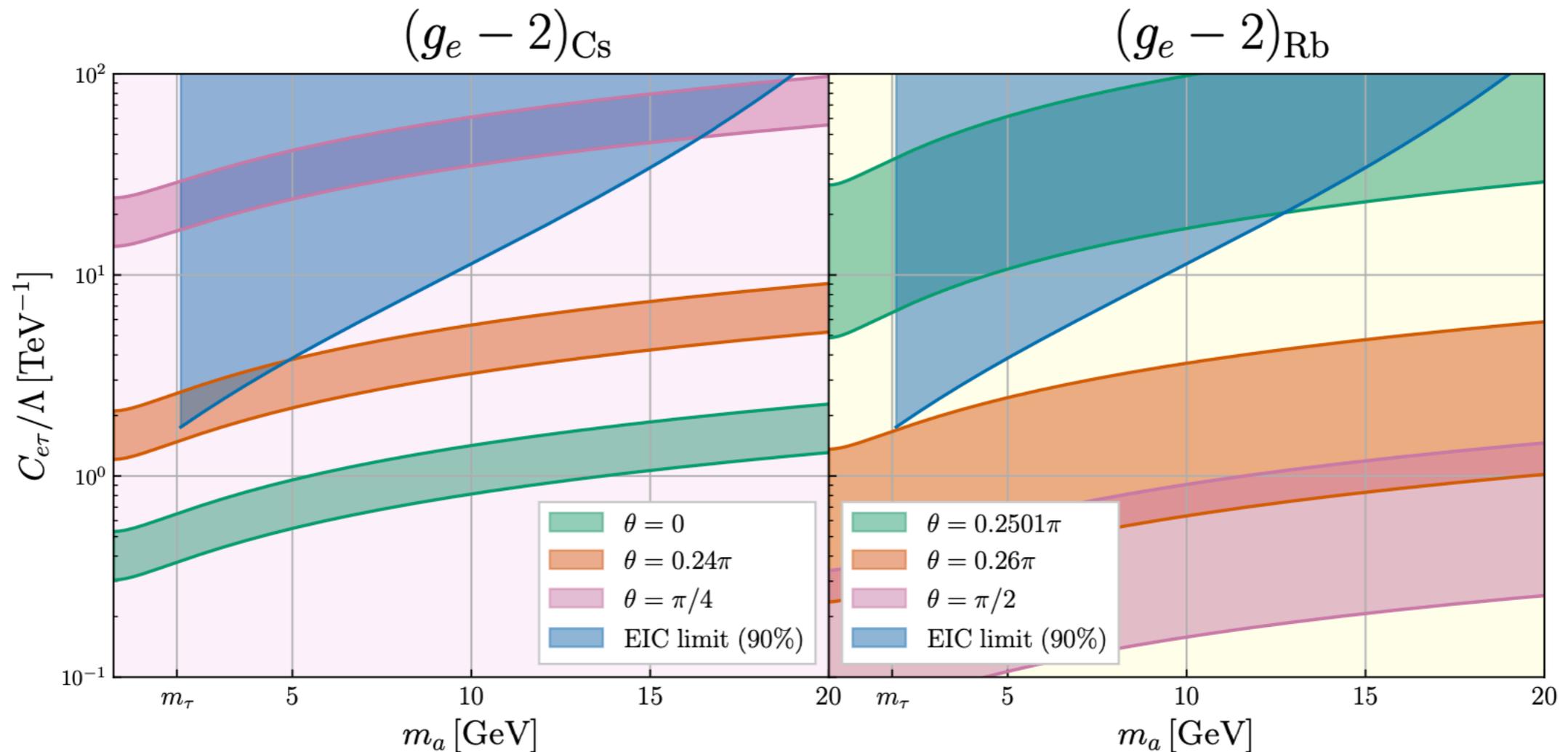
- Solution regions (2σ) for the $(g-2)_e$ anomaly vs. parity-violating angle θ and coupling $C_{\tau e}/\Lambda$.
- Sign flips close to (not exactly at) $\theta=\pi/4$.



$$r_{LR} = (2p - 1) \frac{\sigma_L - \sigma_R}{\sigma_L + \sigma_R}$$

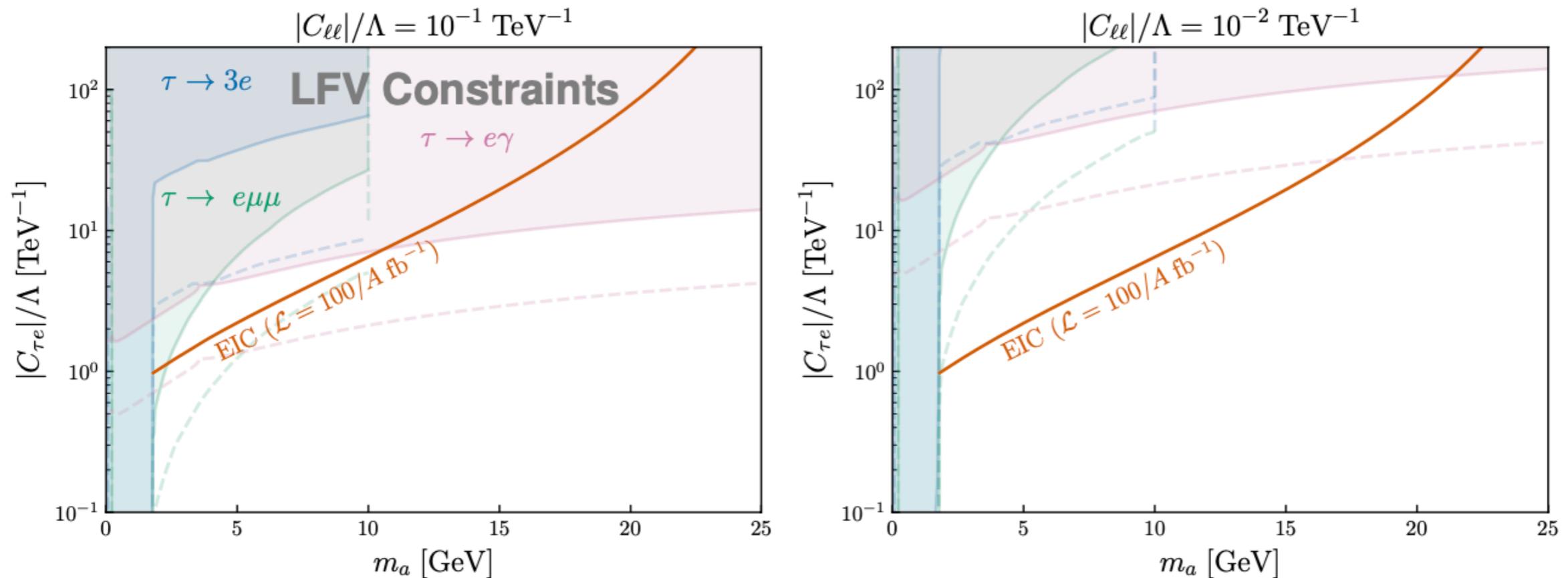
$$= (2p - 1) \sin 2\theta.$$

- EIC beam polarization can directly probe signal chirality, which strongly impacts Δa_e ; if this model does explain the anomaly, can confirm it!



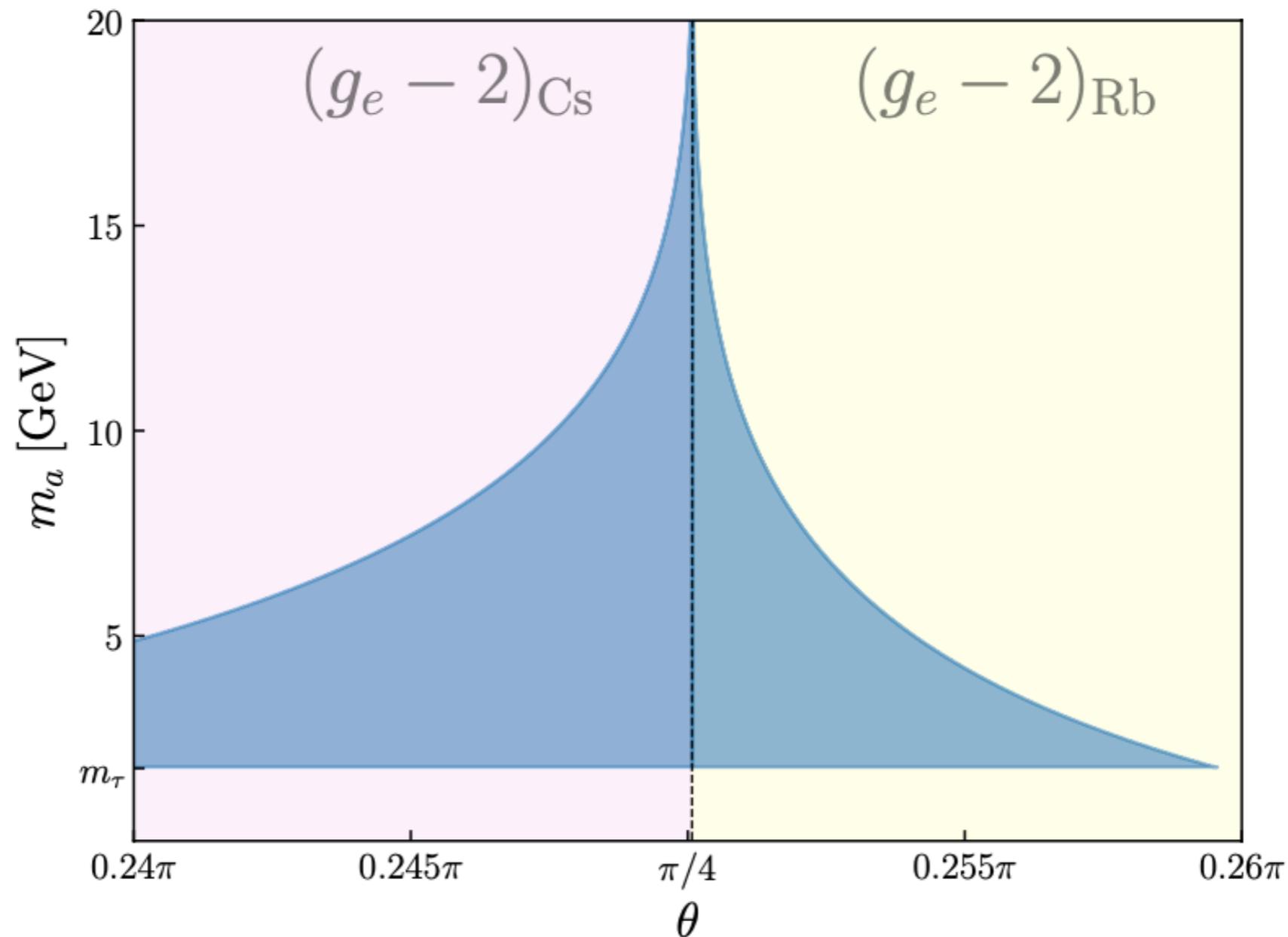
- Combine with the [previously-described EIC search](#). θ has minimal effect on EIC reach, but large effect on $(g-2)_e$.
- EIC search is best at probing solutions which are “close to chiral”, $\theta \sim \pi/4$, where the corresponding coupling is strongest. If the EIC search can be improved enough, may be able to cover all possible θ (especially for $\alpha(\text{Cs})$.)

Conclusions



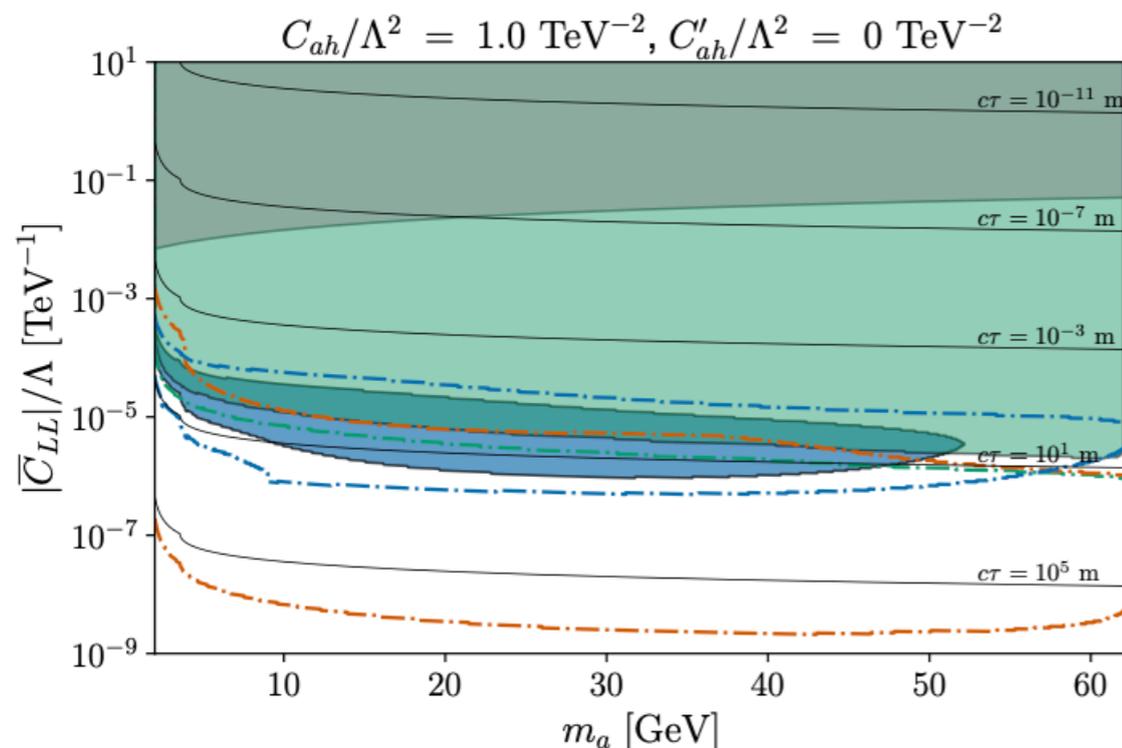
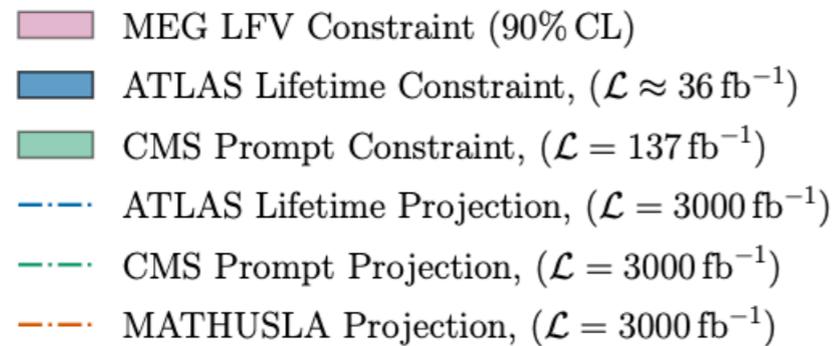
- EIC searches for ALPs with $e\tau$ coupling can probe new regions in parameter space, especially if diagonal lepton couplings are suppressed;
- Can potentially explore parameter space where the same model explains the $(g-2)_e$ discrepancy with the SM.
- Overall, EIC seems promising for a wide range of BSM searches, although not everything; more particle pheno study is needed to understand the best things to look for!

Backup slides



- Region where current EIC projected search can cover an ALP $(g-2)_e$ explanation, vs. ALP mass and θ .

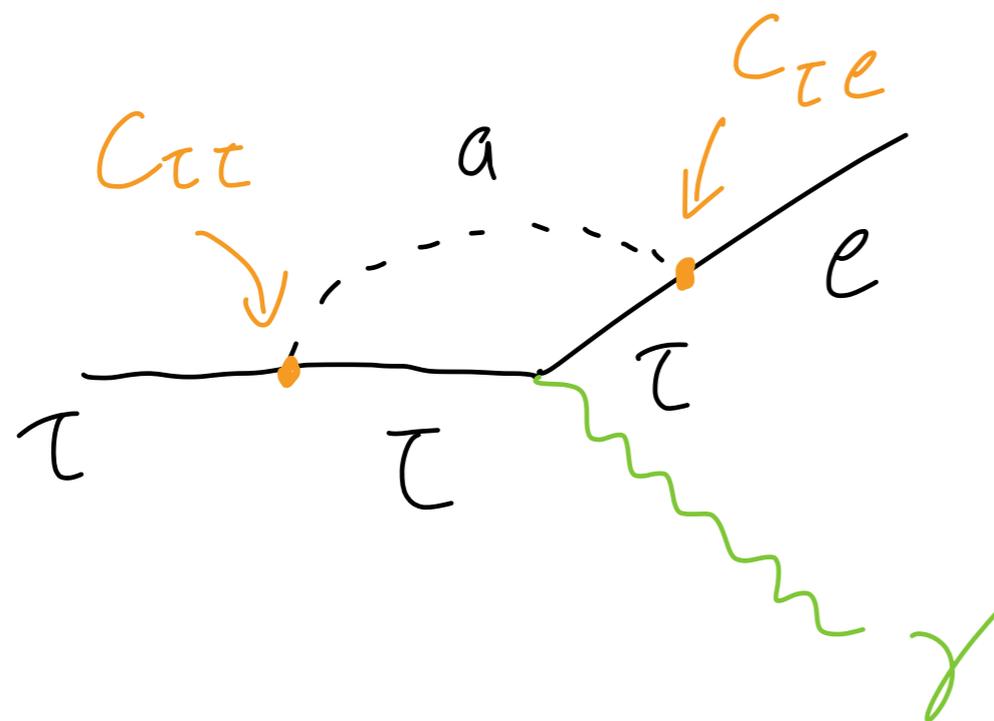
Higgs decays and LFV ALPs



- Signal process:
 $h \rightarrow aa \rightarrow (\tau(\tau/\ell))(\tau(\tau/\ell))$

- Signal selection depends on channel (adapt existing searches), but same-sign lepton pairs are typical + displaced decays at some couplings.
- Projected constraints from HL-LHC, and MATHUSLA; dedicated search for signature not yet considered.
- This channel is MUCH stronger than LFV constraints - *but* depends on Higgs coupling.

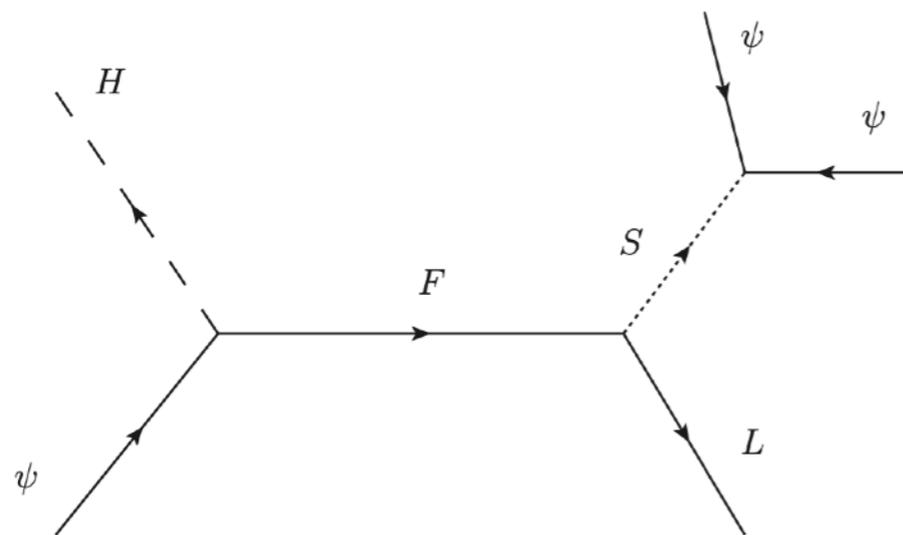
Tau decay and ALP-lepton couplings



- e.g. $\tau \rightarrow e\gamma$, left.
- Any diagram with internal ALP needs both flavor-violating and flavor-diagonal couplings, since total # of vertices is even.
- Decays where a is on-shell only need $C_{e\tau}$, but not present for $m_a > m_\tau$.

Example chiral ALP model

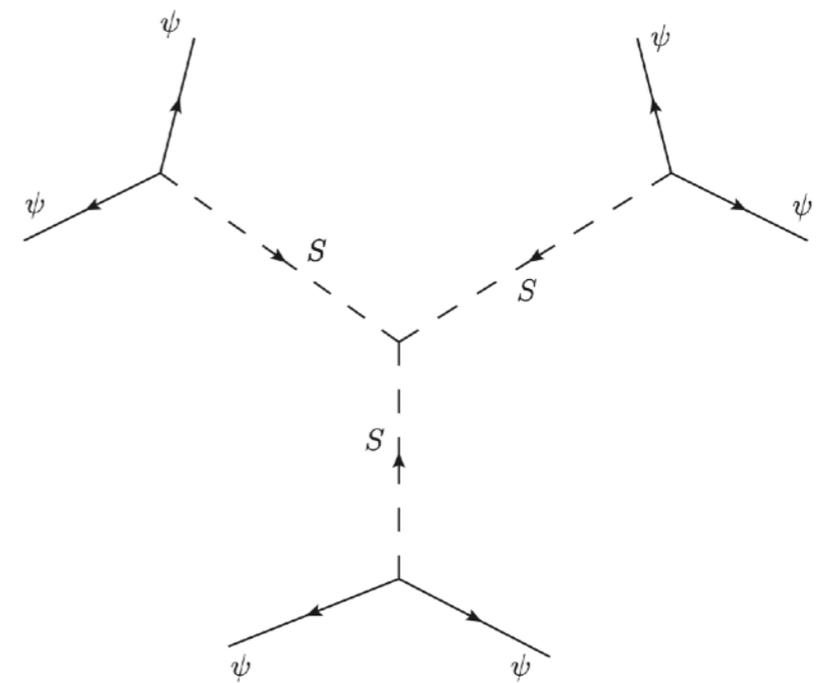
- UV-complete model for neutrino mass + composite dark sector.



$$N \sim (\psi\psi\psi)$$
$$a \sim (\bar{\psi}\psi)$$

$$Y^{f\alpha} \tilde{H}^* \bar{L}_f N_\alpha$$

$$\mu_N^{\alpha\beta} \bar{N}_\alpha^c N_\beta$$



- Couplings to left-handed lepton doublet only leads to chiral structure $\rightarrow \theta = 3\pi/4$.