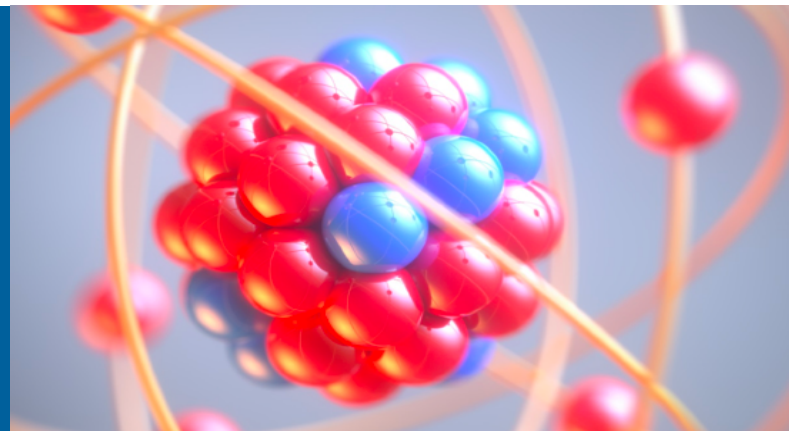


# UNCERTAINTY QUANTIFICATION IN QMC CALCULATIONS OF LEPTON- NUCLEUS SCATTERING



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Trento Institute for  
Fundamental Physics  
and Applications



Theoretical Physics Uncertainties to Empower  
Neutrino Experiments

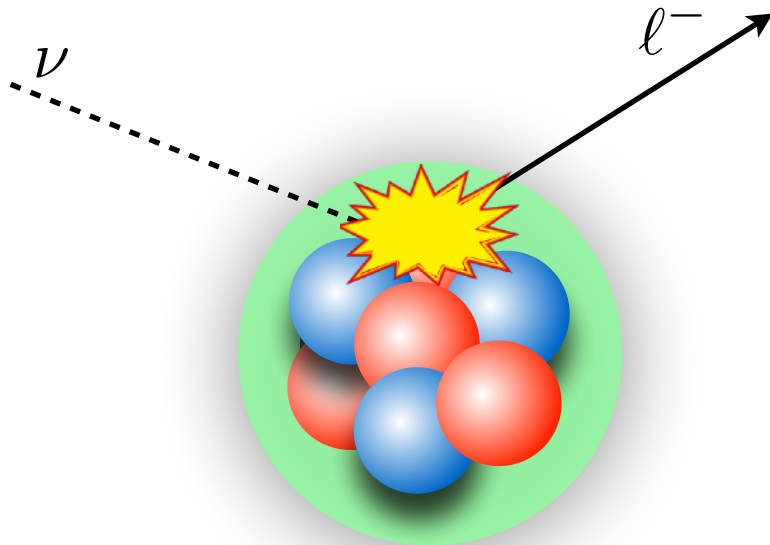
Seattle, October 31, 2023

# INTRODUCTION

Accurate neutrino-nucleus scattering calculations critical for the success of the experimental program

$$N_{\text{near}} \approx \int dE \Phi_{\text{near}}(E) \times \underline{\sigma(E)}$$

$$N_{\text{far}} \approx \int dE P(E) \times \Phi_{\text{far}}(E) \times \underline{\sigma(E)}$$



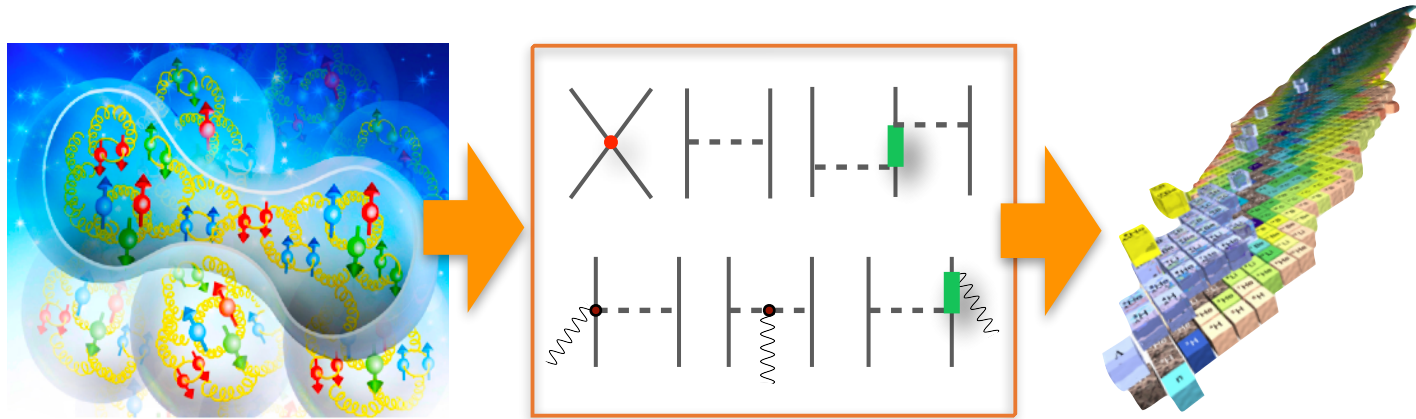
# PART 1

## THE NUCLEAR MANY-BODY PROBLEM

# THE NUCLEAR MANY-BODY PROBLEM

In the low-energy regime, quark and gluons are confined within hadrons and the relevant degrees of freedom are protons, neutrons, and pions

Effective field theories connect QCD with nuclear observables.

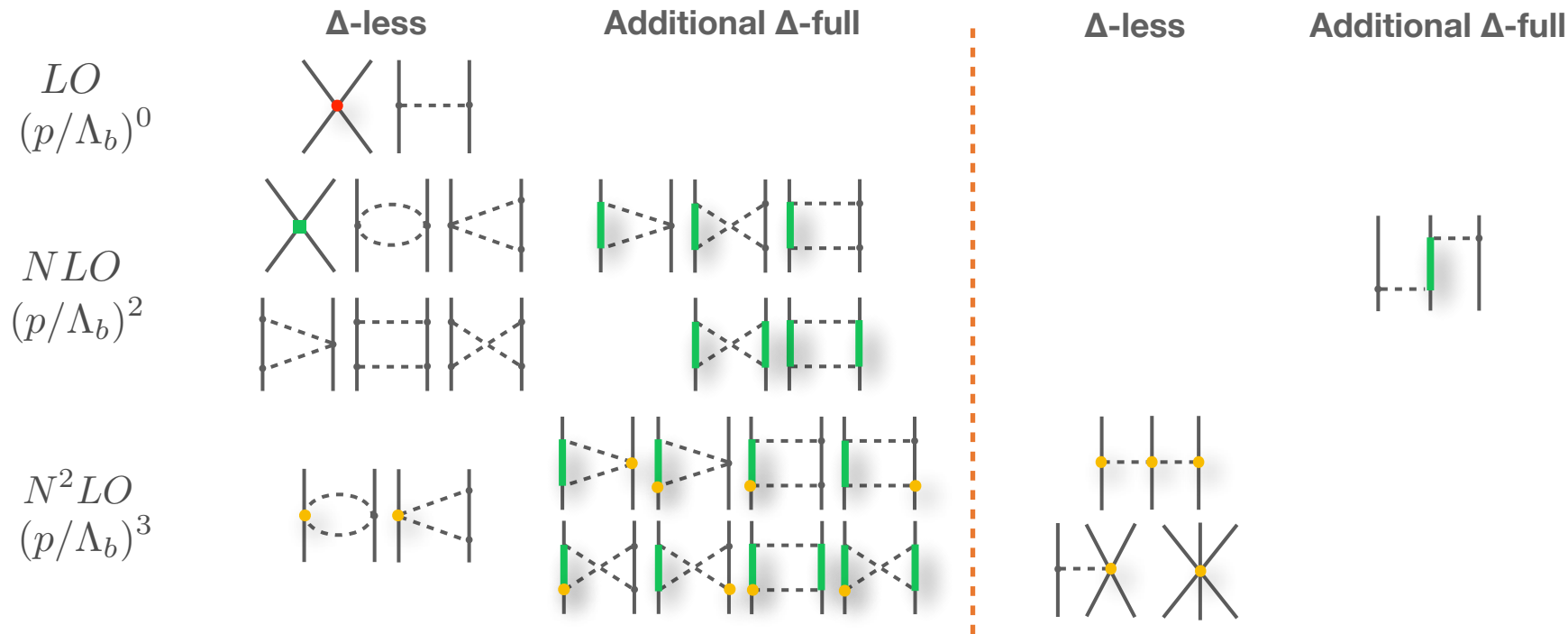


$$H = \sum_i \frac{\mathbf{p}_i^2}{2m} + \sum_{i<j} v_{ij} + \sum_{i<j<k} V_{ijk}$$

$$J = \sum_i j_i + \sum_{i<j} j_{ij}$$

# NUCLEAR HAMILTONIANS

Chiral EFT exploits the broken chiral symmetry of QCD to construct potentials and consistent currents



# UQ FOR NUCLEAR HAMILTONIANS

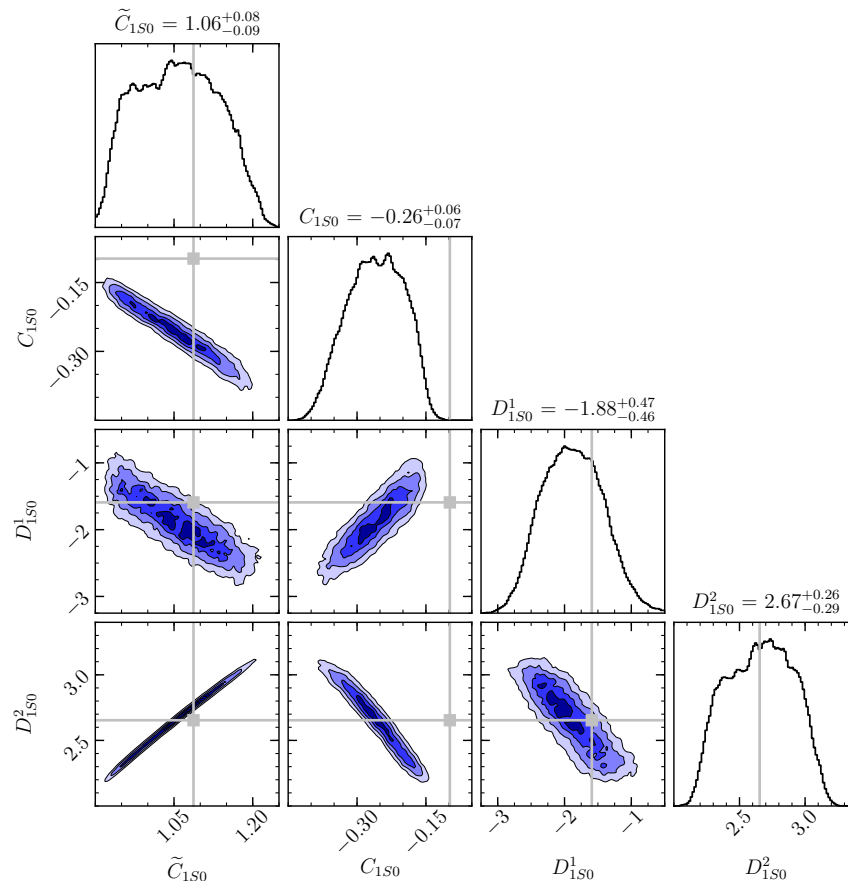
- EFTs enables to rigorously estimate the uncertainties originating in the nuclear Hamiltonian
- Bayesian frameworks recently developed for parameter estimation in nuclear EFTs
- Correlations among different low-energy constants

*S. Wesolowski et al., Phys.Rev.C 104 (2021) 6, 064001*

*S. Wesolowski et al., J. Phys. G 46 (2019) 4, 045102*

*R. J. Furnstahl et al., Phys. Rev. C 92 (2015) 2, 024005*

*R. J. Furnstahl et al., J.Phys. G 42 (2015) 3, 034028*



# SOLVING THE NUCLEAR MANY-BODY PROBLEM

Non relativistic many body theory aims at solving the many-body Schrödinger equation

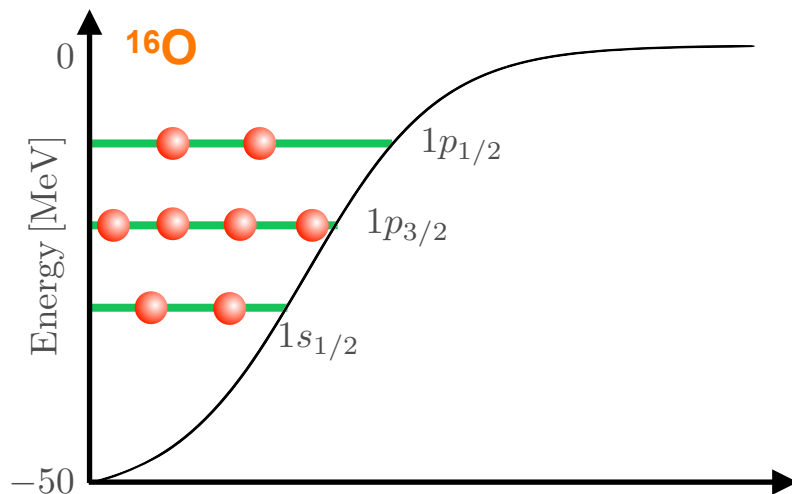
$$H\Psi_0(x_1, \dots, x_A) = E_0\Psi_0(x_1, \dots, \dots, x_A) \quad \longleftrightarrow \quad x_i \equiv \{\mathbf{r}_i, s_i^z, t_i^z\}$$

$$\Psi_0(x_1, \dots, x_i, \dots, x_j, \dots, x_A) = -\Psi_0(x_1, \dots, x_j, \dots, x_i, \dots, x_A)$$

- Mean field: the ground-state wave function is a single Slater determinant

$$\Phi_0(x_1, \dots, x_A) = \mathcal{A}[\phi_{n_1}(x_1) \dots \phi_{n_A}(x_A)]$$

- Only statistical, no dynamical correlations



# CONFIGURATION-INTERACTION METHODS

The exact ground-state wave function can be expressed as a sum of Slater determinants

$$\Psi_0(x_1, \dots, x_A) = \sum_n c_n \Phi_n(x_1, \dots, x_A)$$

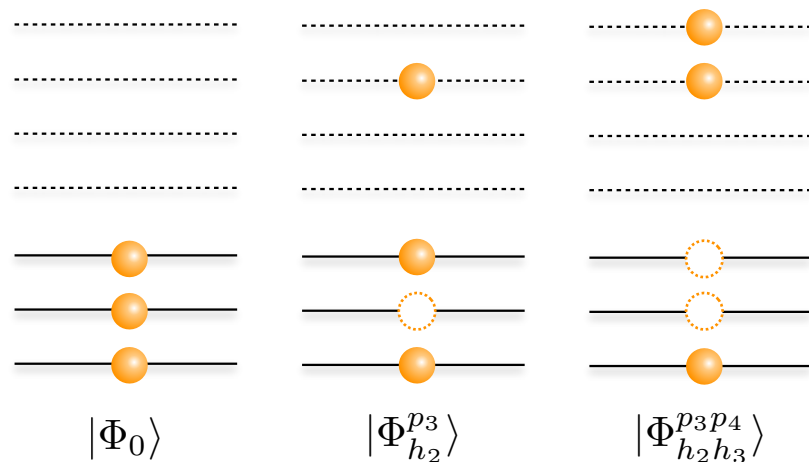
The occupation-number representation automatically encompasses the fermion antisymmetry

$$|\Psi_0\rangle = \sum_{h_1, \dots, p_1, \dots} c_{h_1, \dots, p_1, \dots}^{p_1, \dots} |\Phi_{h_1, \dots}^{p_1, \dots}\rangle$$

$$|\Phi_{h_1, \dots}^{p_1, \dots}\rangle = a_{p_1}^\dagger \dots a_{h_1} \dots |\Phi_0\rangle$$

The dimensionality explodes quickly

$$\binom{N}{A} = \frac{N!}{(N-A)!A!}$$



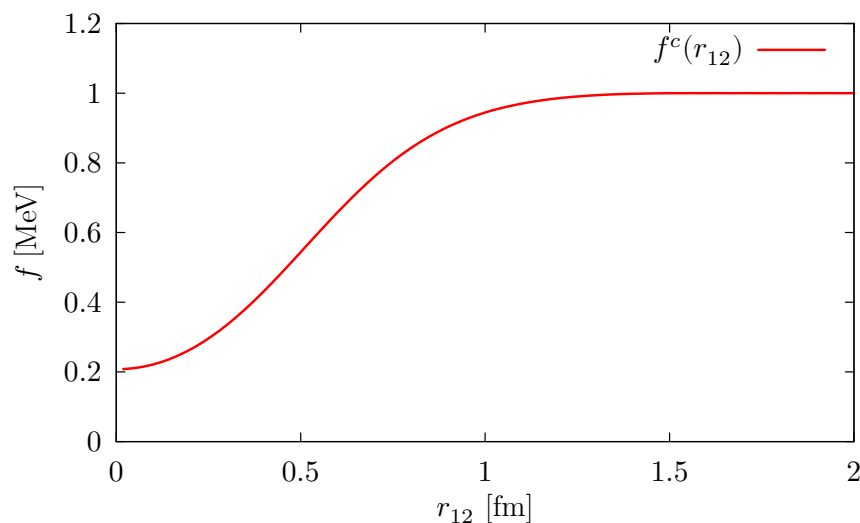
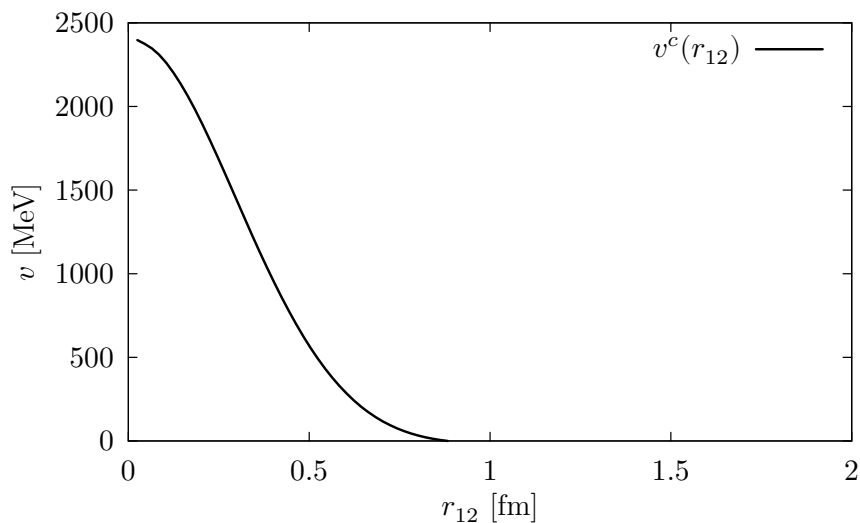


# VARIATIONAL MONTE CARLO

In variational Monte Carlo, one assumes a “suitable” ansatz for the trial wave function

$$|\Psi_T\rangle = \left(1 + \sum_{ijk} F_{ijk}\right) \left(\mathcal{S} \prod_{i<j} F_{ij}\right) |\Phi_{J,T_z}\rangle \iff E_T = \langle \Psi_T | H | \Psi_T \rangle \geq E_0$$

The correlations are consistent with the underlying nuclear interaction



# GREEN'S FUNCTION MONTE CARLO

The trial wave function can be expanded in the set of the Hamiltonian eigenstates

$$|\Psi_T\rangle = \sum_n c_n |\Psi_n\rangle$$

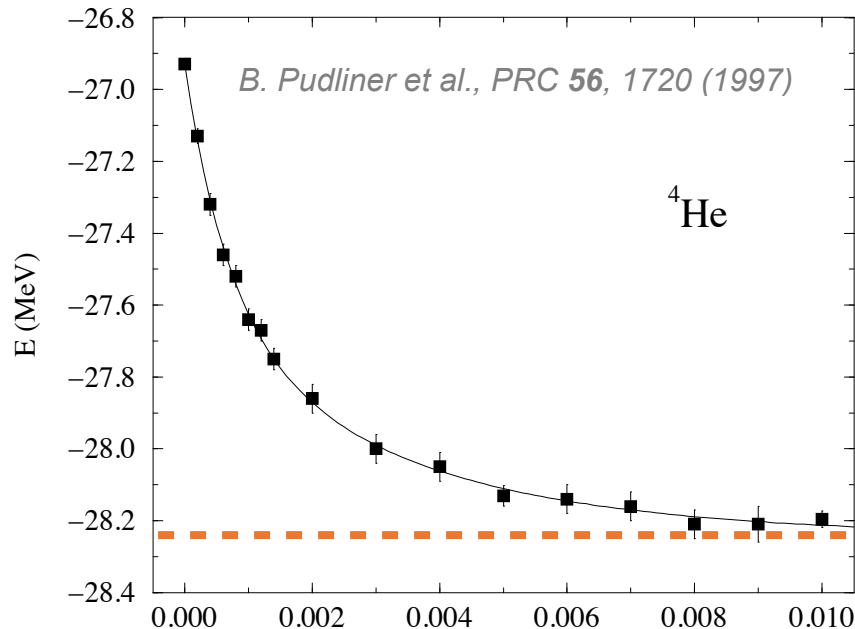
$$H|\Psi_n\rangle = E_n|\Psi_n\rangle$$

GFMC projects out the lowest-energy state using an imaginary-time propagation

$$\lim_{\tau \rightarrow \infty} e^{-(H-E_0)\tau} |\Psi_T\rangle = c_0 |\Psi_0\rangle$$

*J. Carlson Phys. Rev. C 36, 2026 (1987)*

GFMC suffers from the fermion-sign problem, but it is “virtually exact” for light nuclear systems.

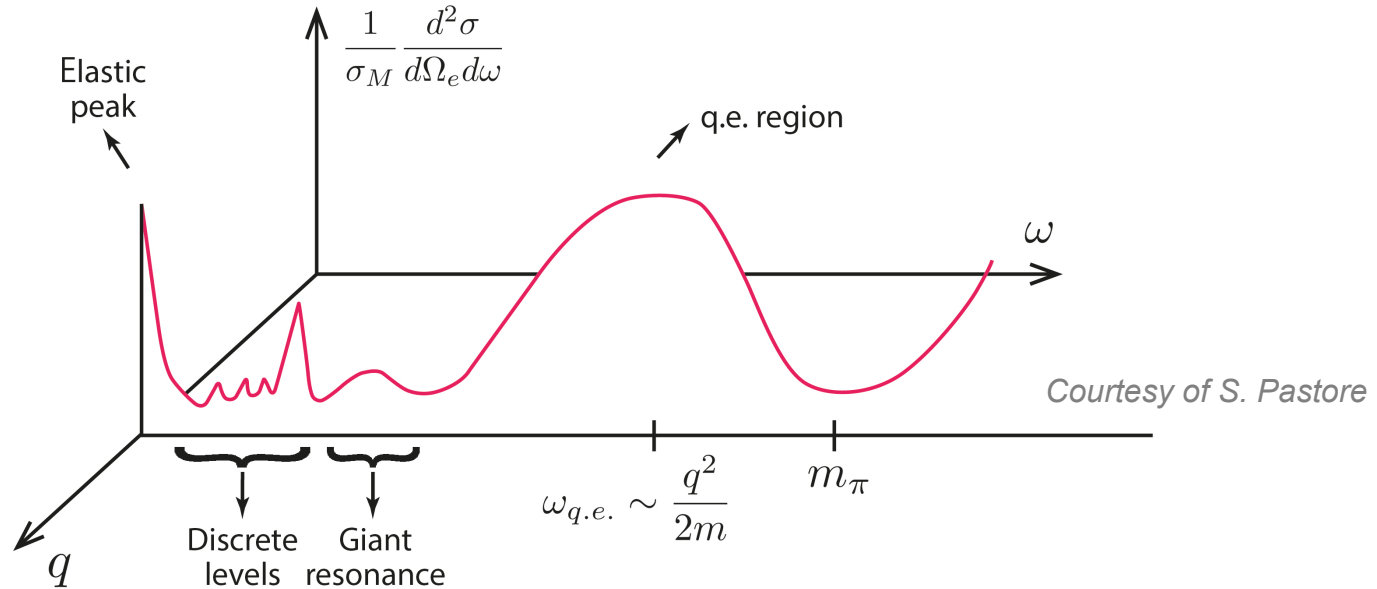


## **PART 2.A.**

# **INCLUSIVE LEPTON-NUCLEUS SCATTERING**

# LEPTON-NUCLEUS SCATTERING

The inclusive cross section is characterized by a variety of reaction mechanisms



The response functions contain all nuclear-dynamics information

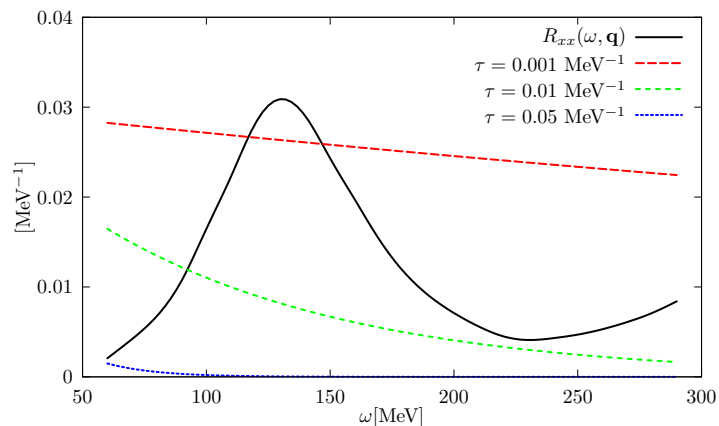
$$R_{\alpha\beta}(\omega, \mathbf{q}) = \sum_f \langle \Psi_0 | J_\alpha^\dagger(\mathbf{q}) | \Psi_f \rangle \langle \Psi_f | J_\beta(\mathbf{q}) | \Psi_0 \rangle \delta(\omega - E_f + E_0)$$

# EUCLIDEAN RESPONSES

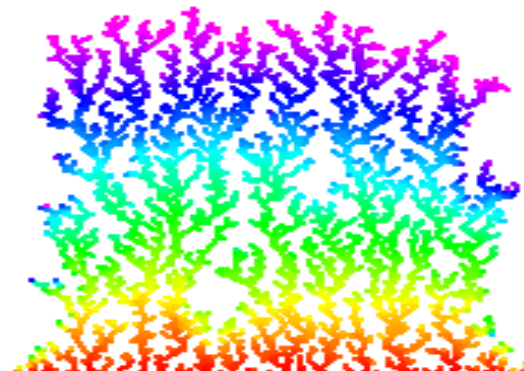
Our GFMC calculations rely on the Laplace kernel

$$E_{\alpha\beta}(\tau, \mathbf{q}) \equiv \int d\omega e^{-\omega\tau} R_{\alpha\beta}(\omega, \mathbf{q})$$

At finite imaginary time the contributions from large energy transfer are quickly suppressed



The system is first heated up by the transition operator. Its cooling determines the Euclidean response of the system

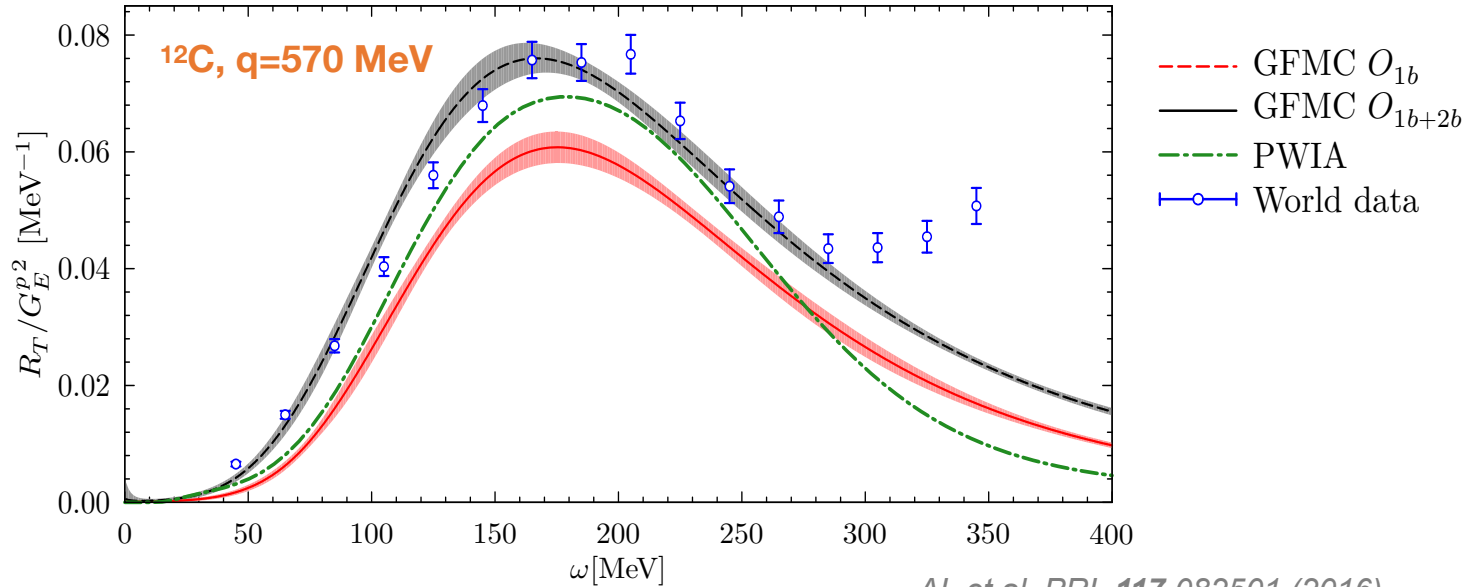


$$E_{\alpha\beta}(\tau, \mathbf{q}) = \langle \Psi_0 | J_{\alpha}^{\dagger}(\mathbf{q}) e^{-(H-E_0)\tau} J_{\beta}(\mathbf{q}) | \Psi_0 \rangle$$

$$\sum_f |\Psi_f\rangle \langle \Psi_f|$$

↑

# VALIDATION WITH ELECTRON SCATTERING



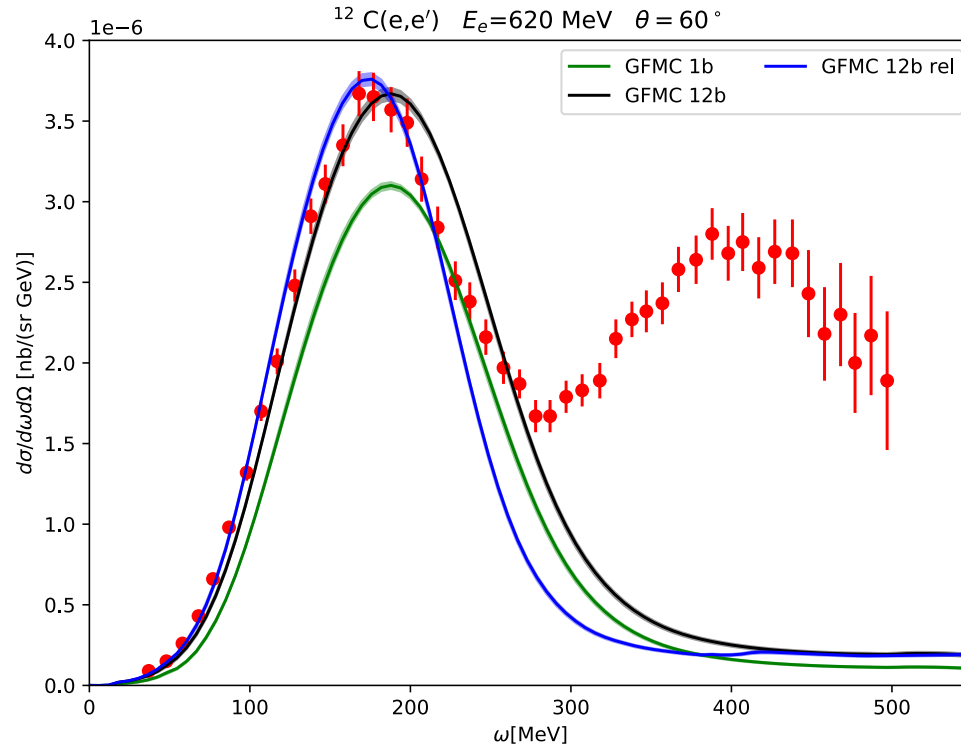
AL et al. PRL 117 082501 (2016)

Two-body currents generate additional strength in over the whole quasi-elastic region

Correlations redistribute strength from the quasi-elastic peak to high-energy transfer regions

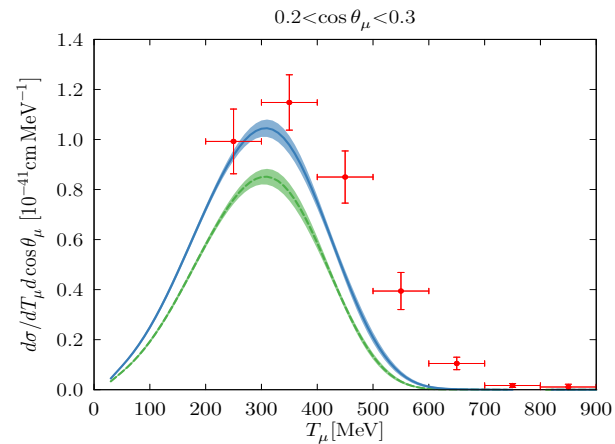
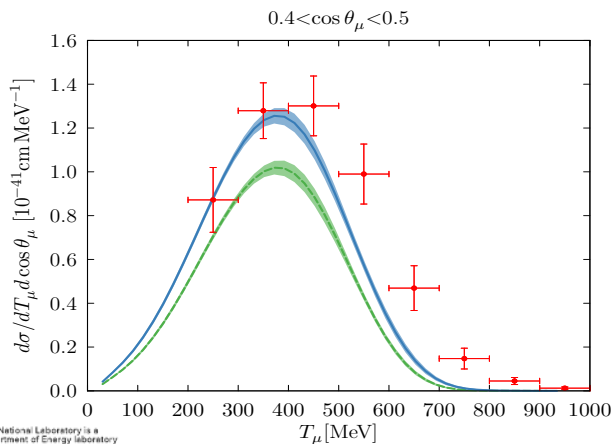
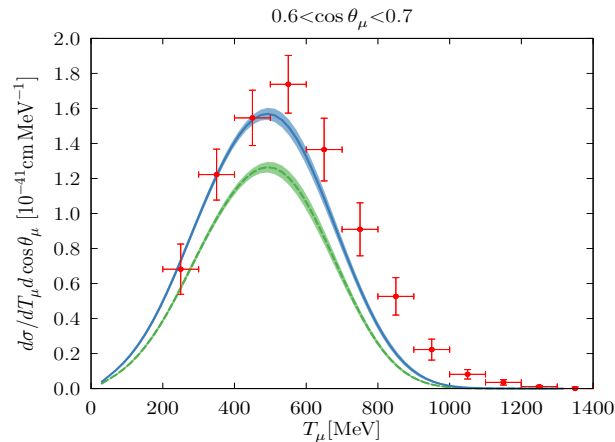
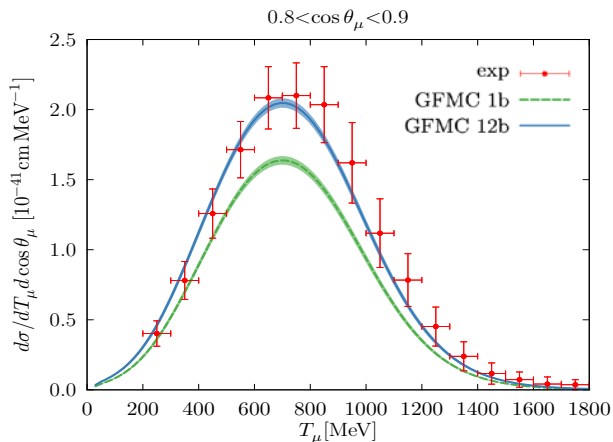
# VALIDATION WITH ELECTRON SCATTERING

Included relativistic effects in inclusive cross section



# MINIBOONE CROSS SECTIONS

AL et al., PRX 10, 031068 (2020)





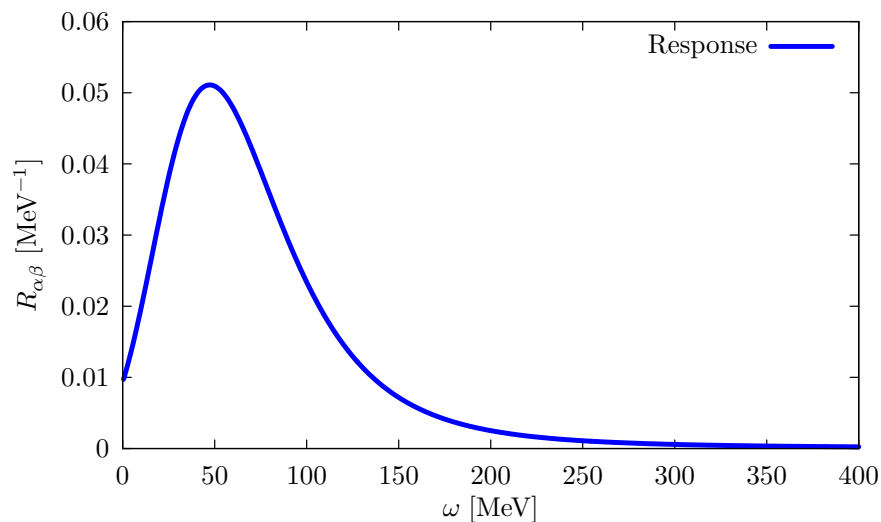
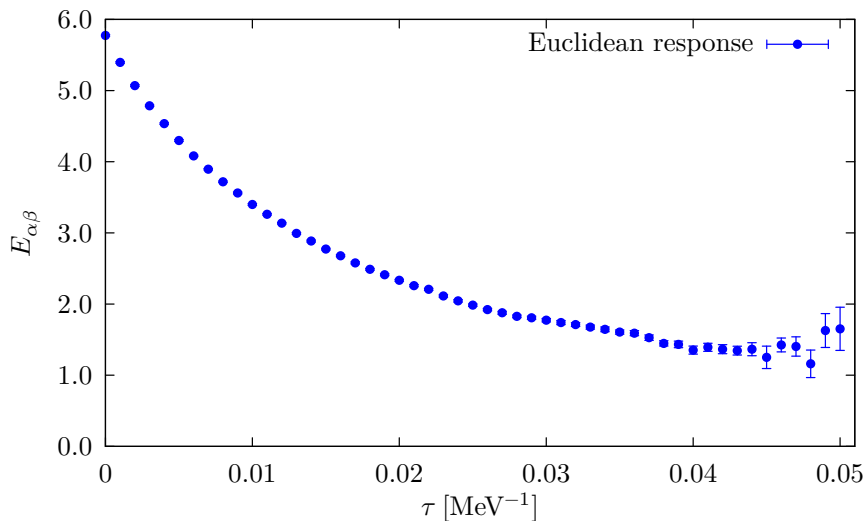
# UQ IN INVERTING THE LAPLACE TRANSFORM

Inverting the Euclidean response is an ill posed problem: any set of observations is limited and noisy and the situation is even worse since the kernel is a smoothing operator.

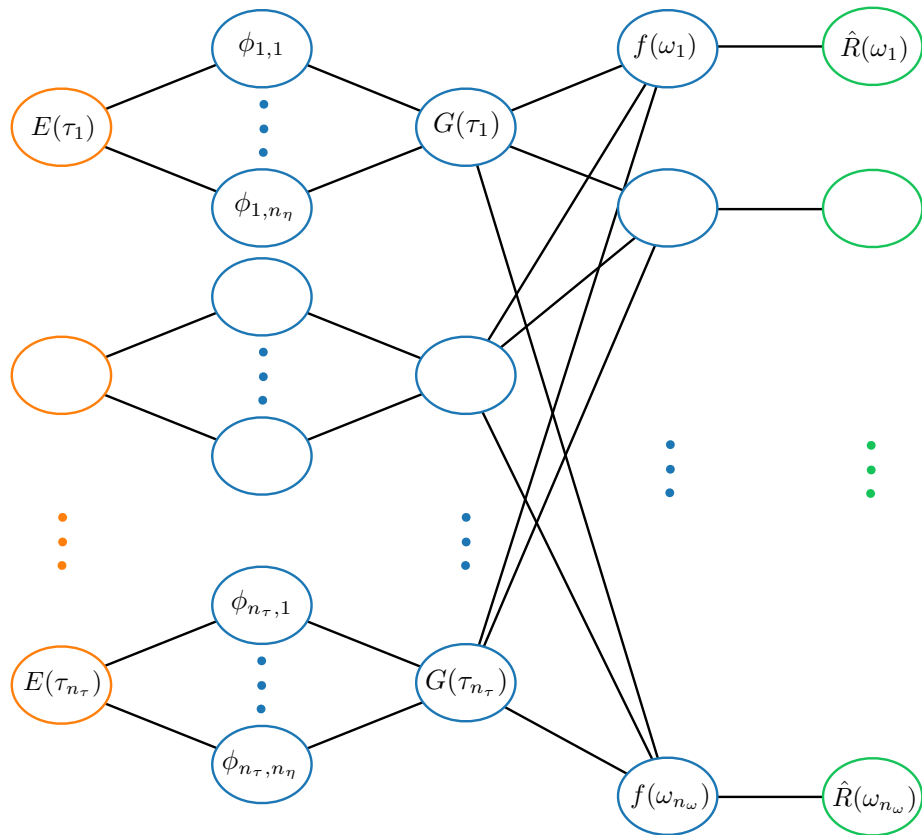
$$E_{\alpha\beta}(\tau, \mathbf{q})$$



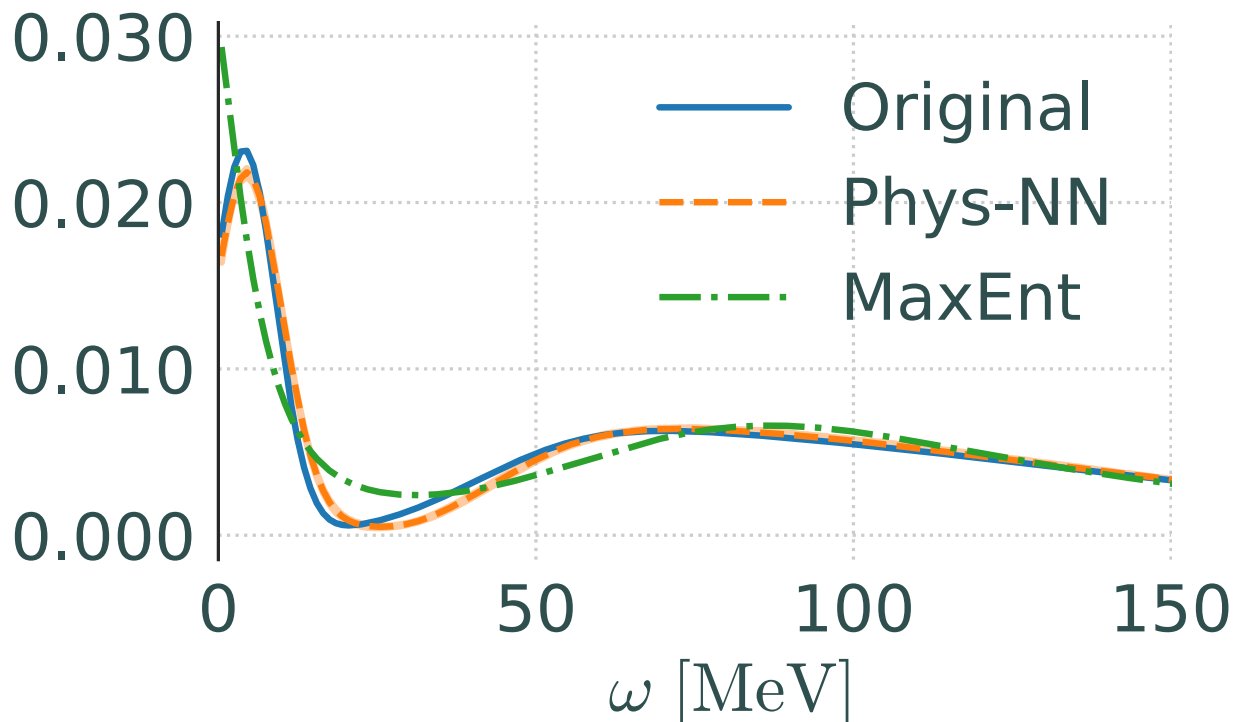
$$R_{\alpha\beta}(\omega, \mathbf{q})$$



# UQ IN INVERTING THE LAPLACE TRANSFORM



# UQ IN INVERTING THE LAPLACE TRANSFORM



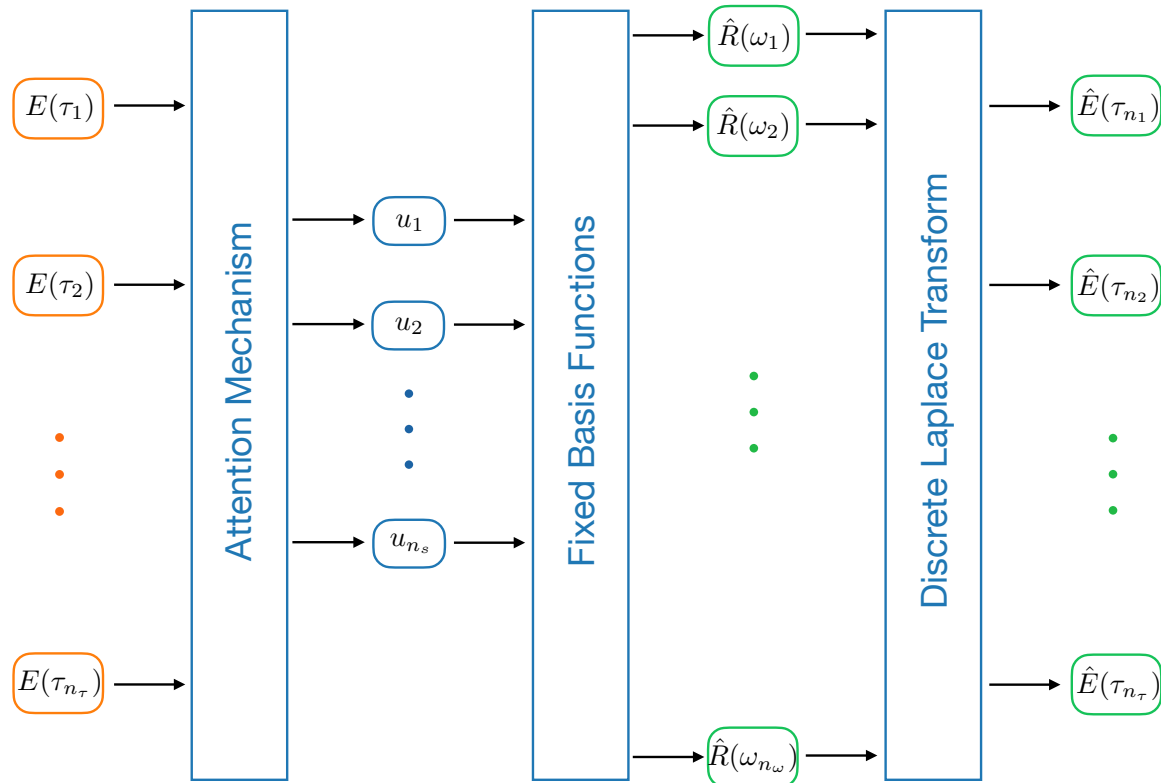
# UQ IN INVERTING THE LAPLACE TRANSFORM

Expand in a basis function inspired by MaxEnt

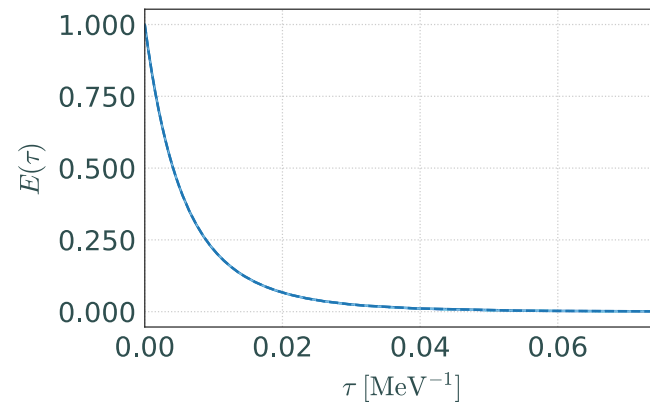
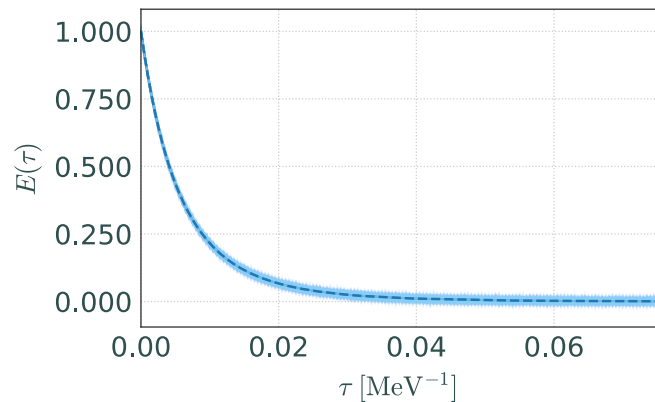
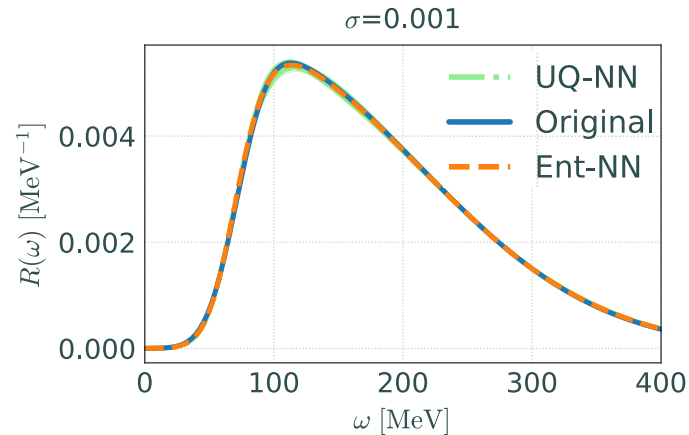
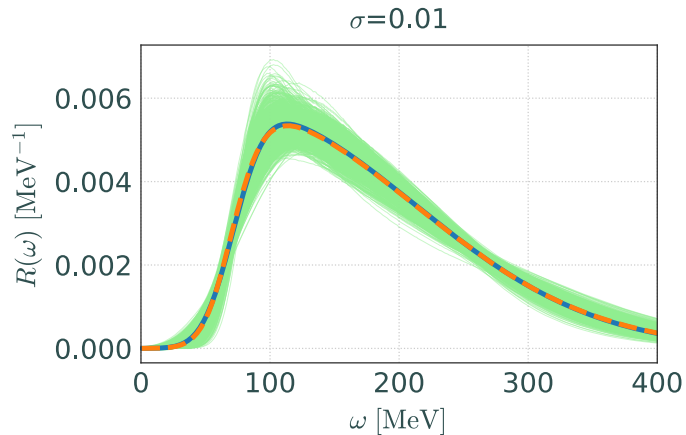
$$\left\{ \begin{array}{l} R_i = M_i \exp \left( \sum_{j=1}^{n_s} U_{ij} u_j \right) \\ K = V \Sigma U^T \\ K_{ij} = e^{-\omega_j \tau_i} \Delta \omega_j \end{array} \right.$$

Augment the training dataset with noisy Euclidean responses

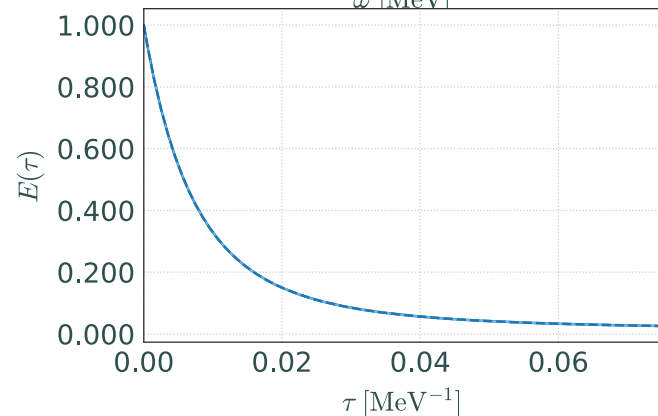
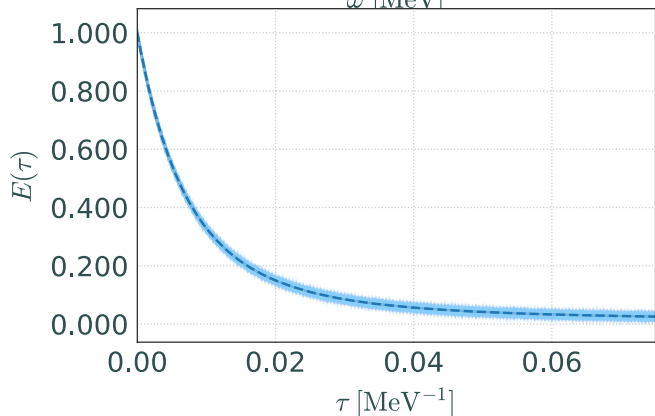
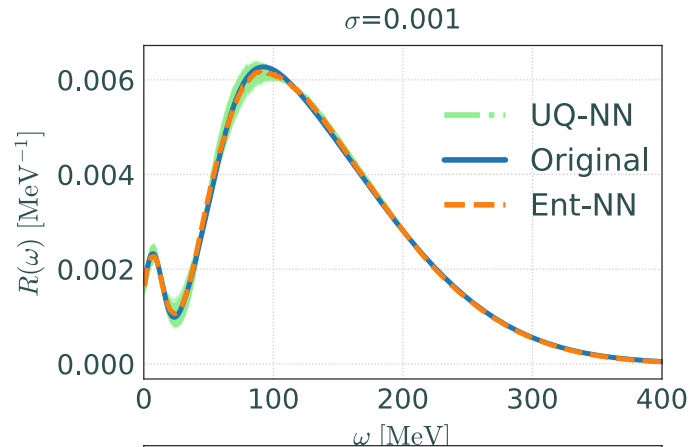
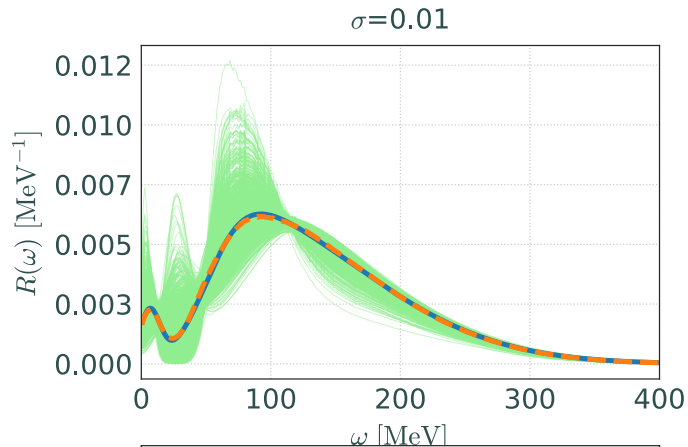
$$E_i^\sigma = \bar{E}_i + \epsilon_i,$$



# UQ IN INVERTING THE LAPLACE TRANSFORM



# UQ IN INVERTING THE LAPLACE TRANSFORM



# **PART 2.B. ELEMENTARY AMPLITUDES INPUT**

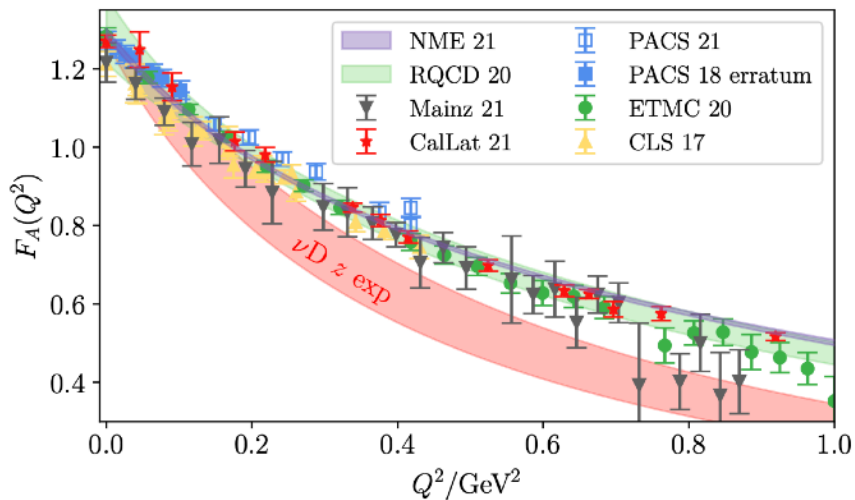
# AXIAL FORM FACTOR

A precise knowledge of the **nucleon's axial-current form factors** is crucial for modeling neutrino-nucleus interactions;

Scarce (old) experimental data available

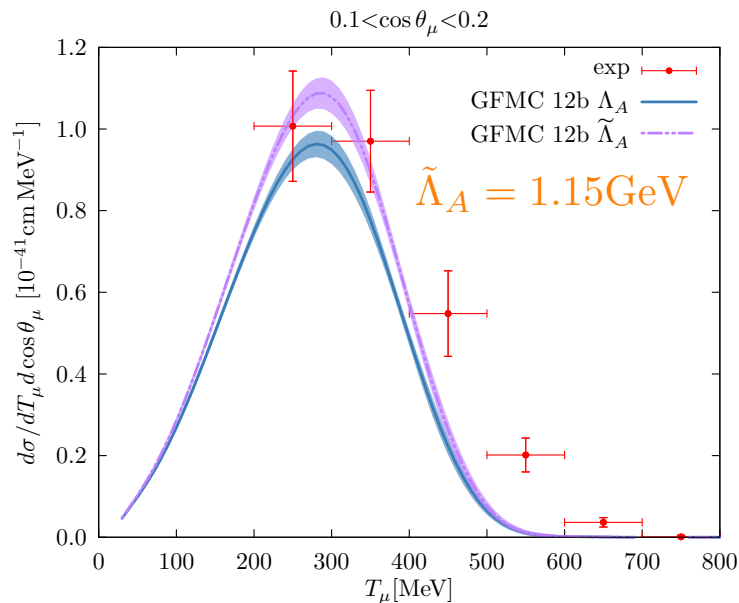


Lattice-QCD calculations are essential



A. Meyer et al., *Ann. Rev. Nucl. Part. Sci.* 72 (2022) 205

We have considered a value of the axial mass more in line with recent LQCD determinations

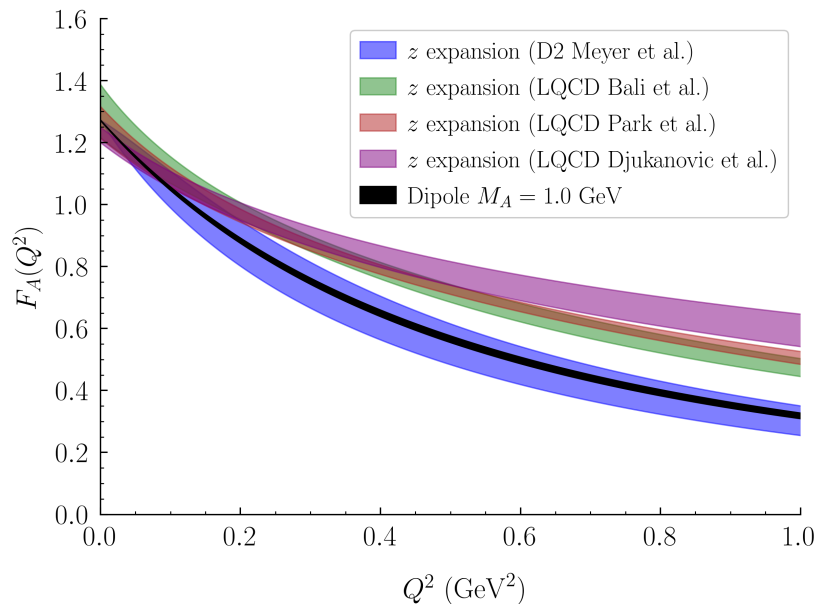


AL et al., *PRX* 10, 031068 (2020)

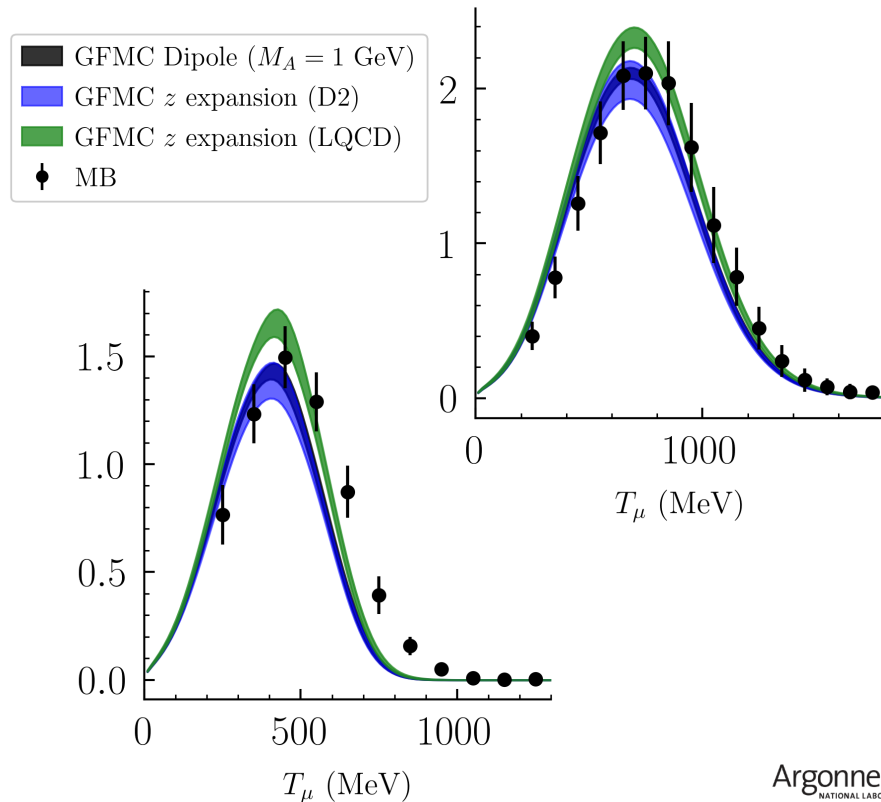


# AXIAL FORM FACTOR, CAREFUL ANALYSIS

We employed  $z$ -expansion parameterizations of axial form factors, consistent with experimental or LQCD data



*D. Simons, et al, arXiv:2210.02455*

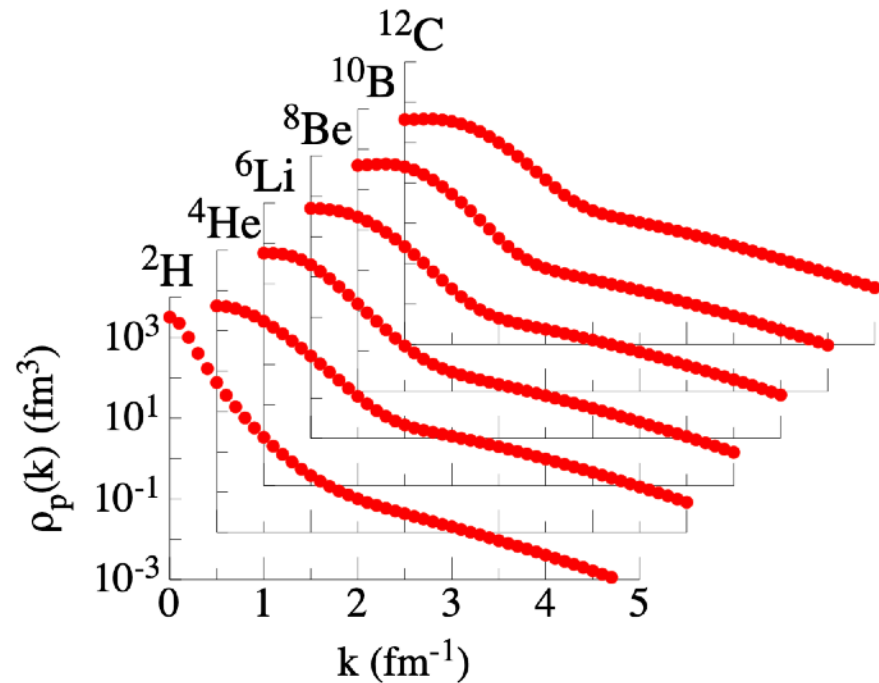
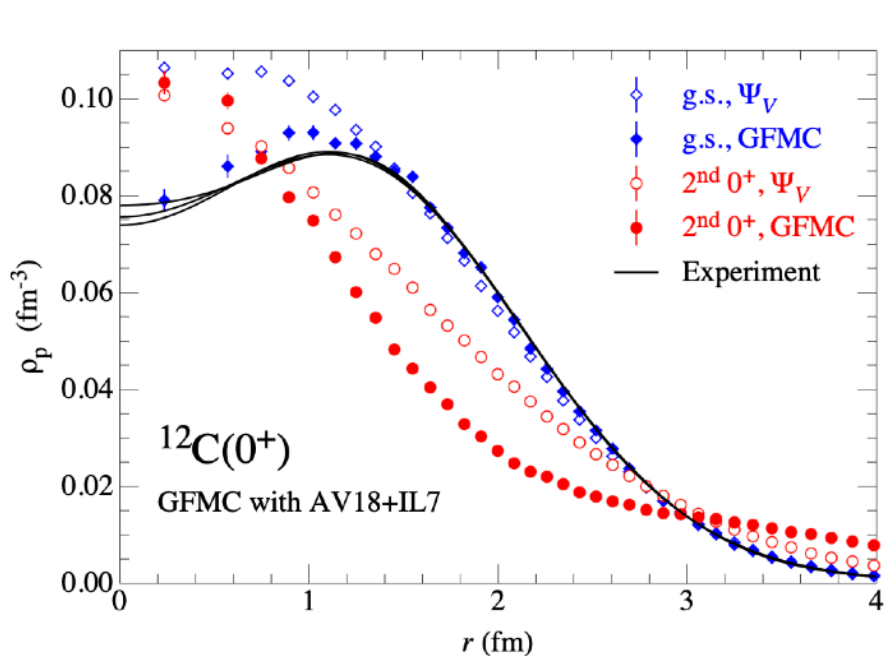


# **PART 3**

## **DISTRIBUTIONS RELEVANT TO EVENT GENERATORS**

# SPATIAL AND MOMENTUM DISTRIBUTIONS

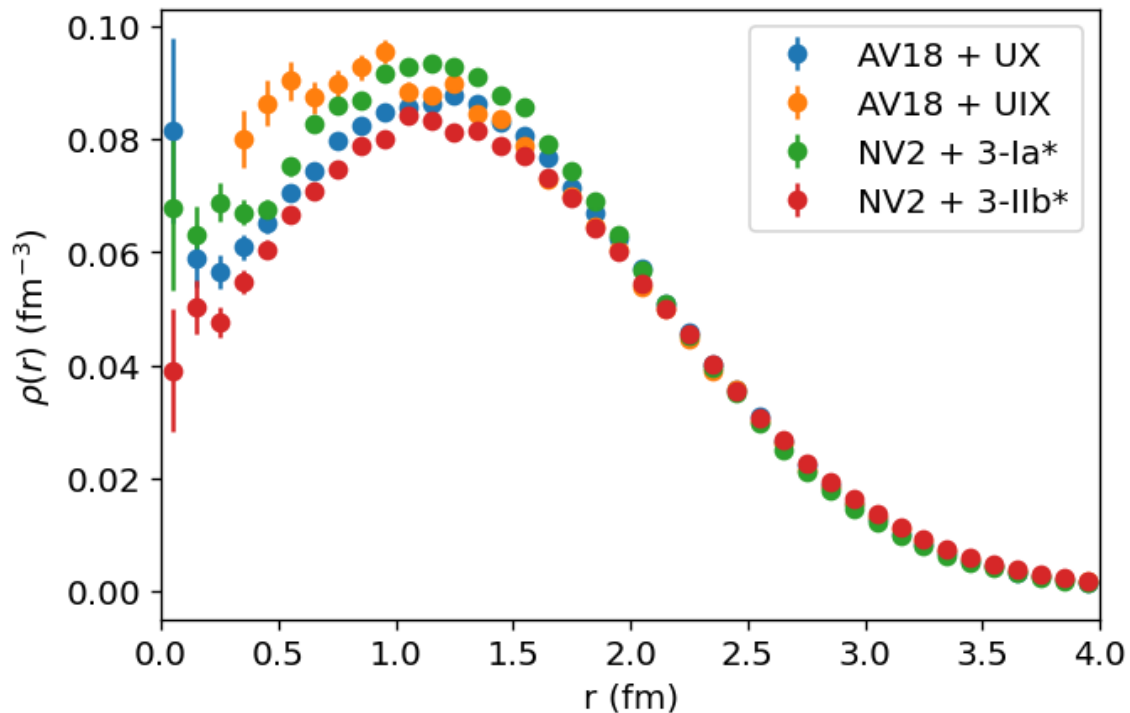
QMC methods provide information on the spatial and momentum distributions



*J. Carlson et al., Rev. Mod. Phys. 87 (2015) 1067*

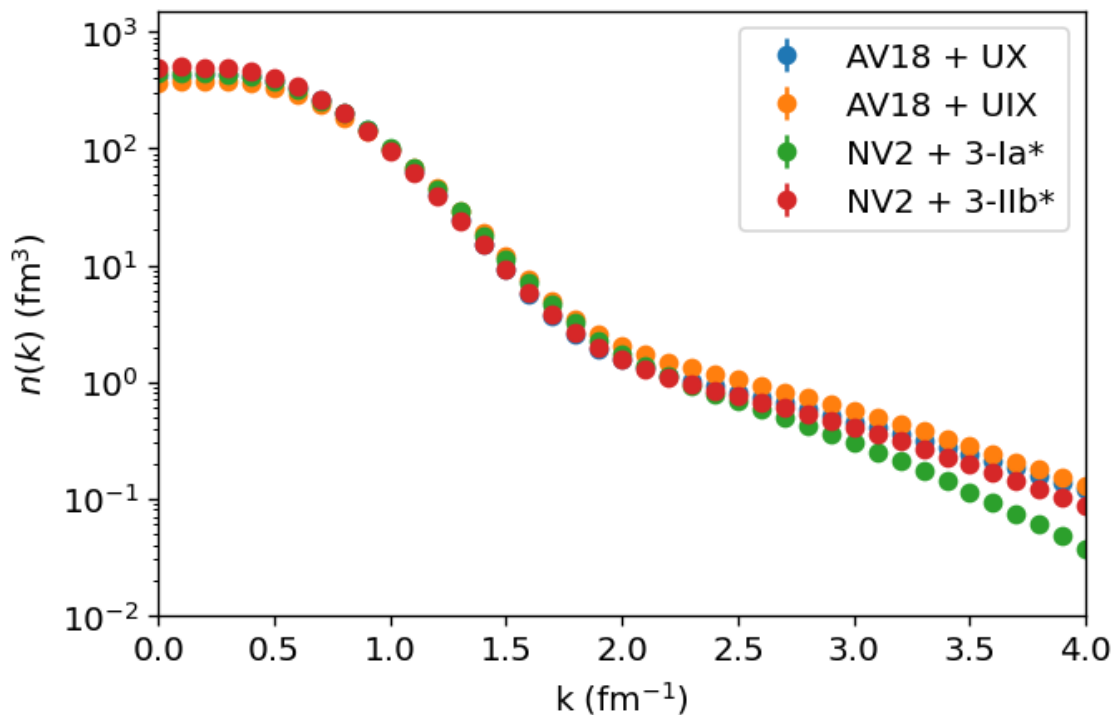
# UQ ON SINGLE-NUCLEON DISTRIBUTIONS

UQ using different realistic input Hamiltonians



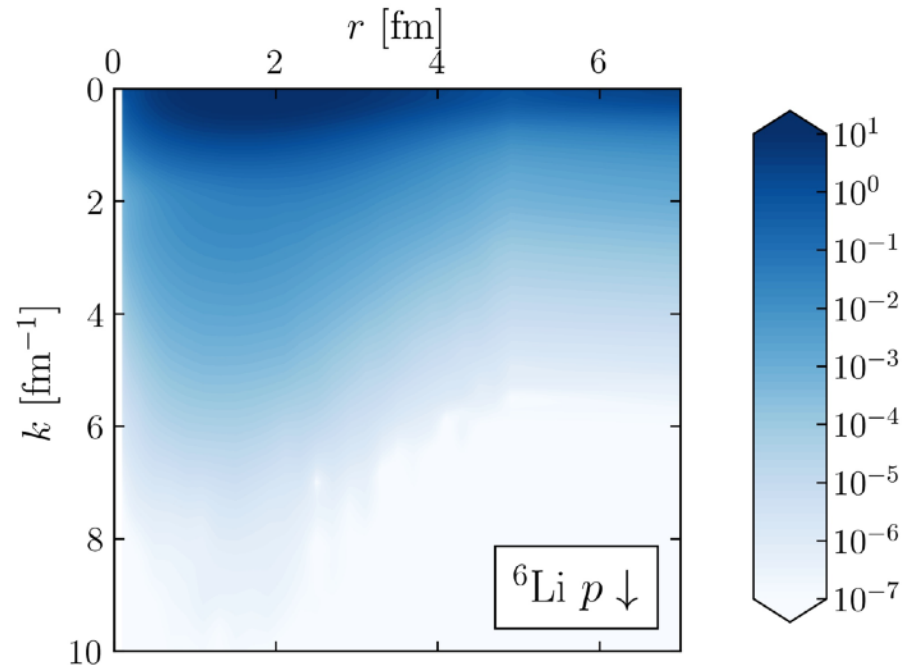
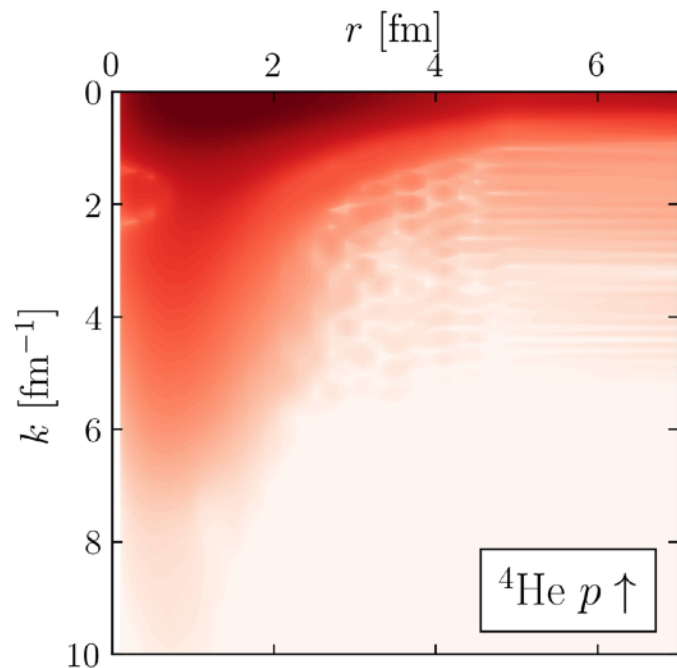
# UQ ON SINGLE-NUCLEON DISTRIBUTIONS

UQ using different realistic input Hamiltonians



# WIGNER FUNCTIONS

Wigner quasi-probability distributions retain the correlations between positions and momenta



# SIMILARITY RENORMALIZATION GROUP

We can use renormalization-group to reduce the resolution of the starting “bare” Hamiltonian

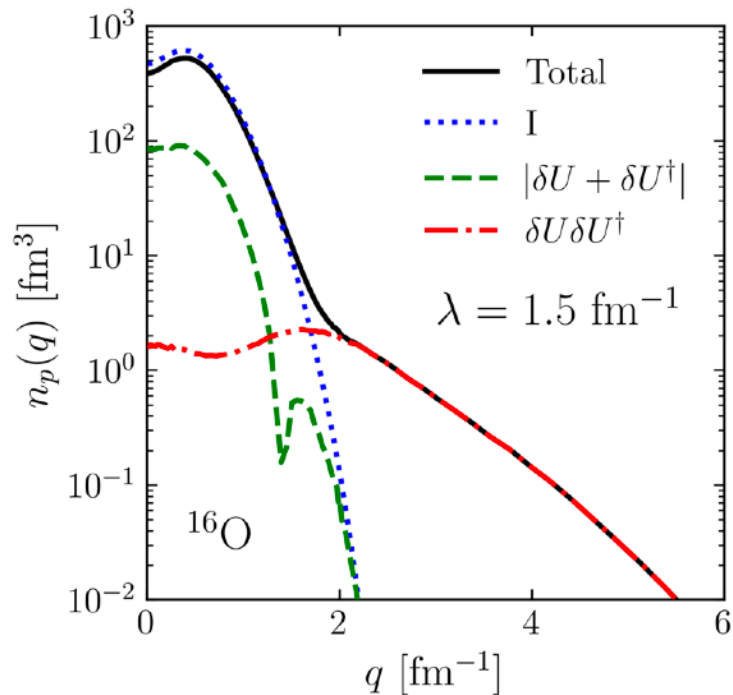
$$H(\lambda) = U(\lambda)H_0U^\dagger(\lambda)$$

Use low-resolution, mean-field, wave functions and evolved operators

$$n(\mathbf{q}) = \langle \Psi_0 | a_{\mathbf{q}}^\dagger a_{\mathbf{q}} | \Psi_0 \rangle = \langle \Psi_\lambda | a_{\mathbf{q}}^\dagger(\lambda) a_{\mathbf{q}}(\lambda) | \Psi_\lambda \rangle$$

Expand the SRG operator and keep two-body terms only

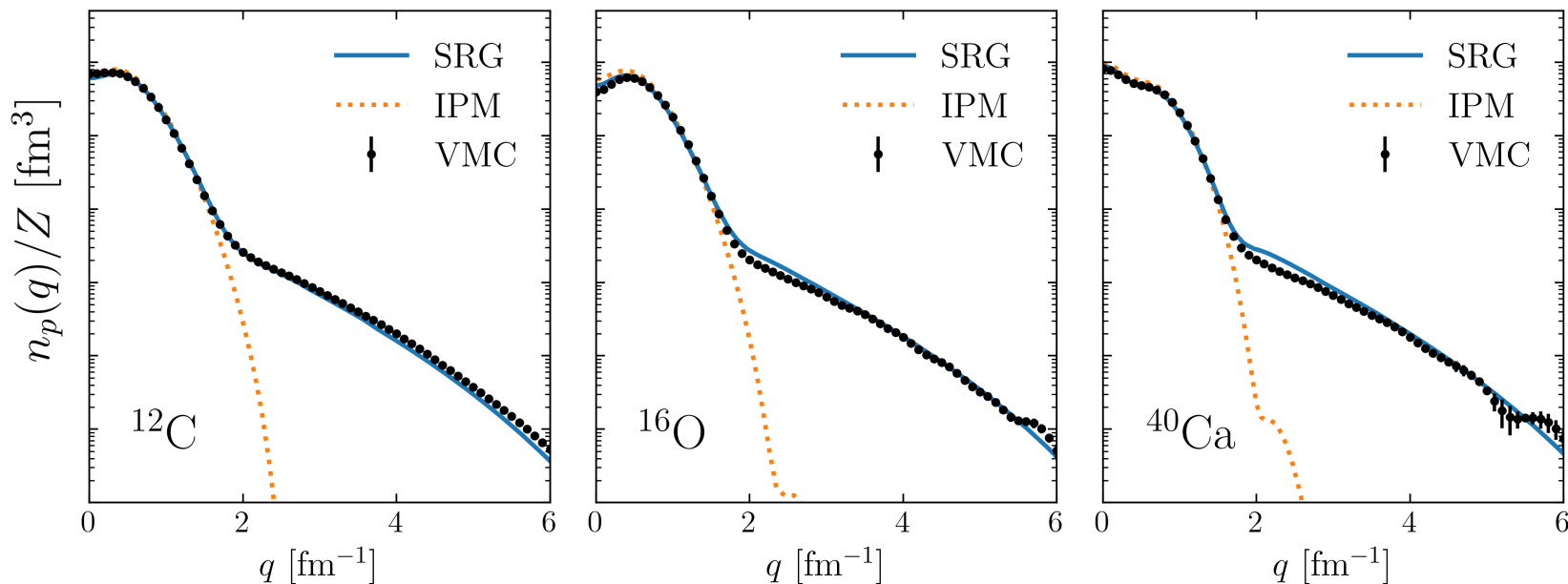
$$U(\lambda) = 1 + \delta U(\lambda)$$



# SIMILARITY RENORMALIZATION GROUP

We can use renormalization-group to reduce the resolution of the starting “bare” Hamiltonian

$$H(\lambda) = U(\lambda)H_0U^\dagger(\lambda)$$





# TREAT LARGER NUCLEI

# GFMC VS AFDMC

GFMC: many-body basis

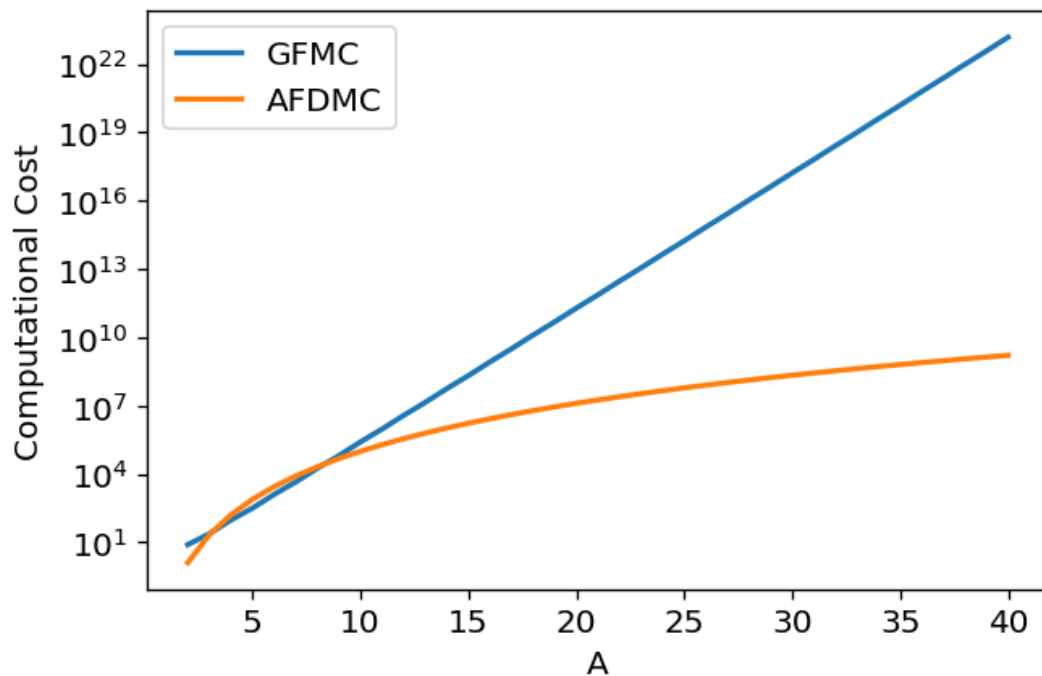


$$|S\rangle \equiv C_{\uparrow\uparrow\uparrow} |\uparrow\uparrow\uparrow\rangle + C_{\uparrow\uparrow\downarrow} |\uparrow\uparrow\downarrow\rangle + \dots + C_{\downarrow\downarrow\downarrow} |\downarrow\downarrow\downarrow\rangle$$

AFDMC: single-spinor basis



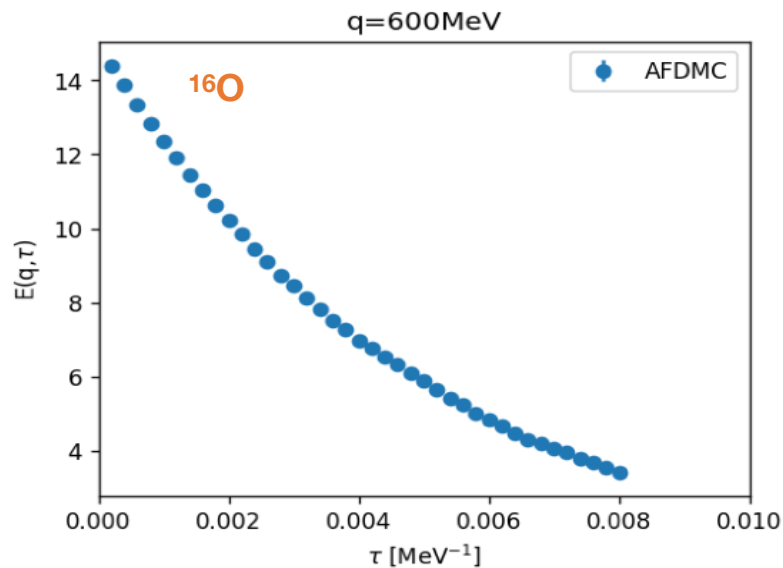
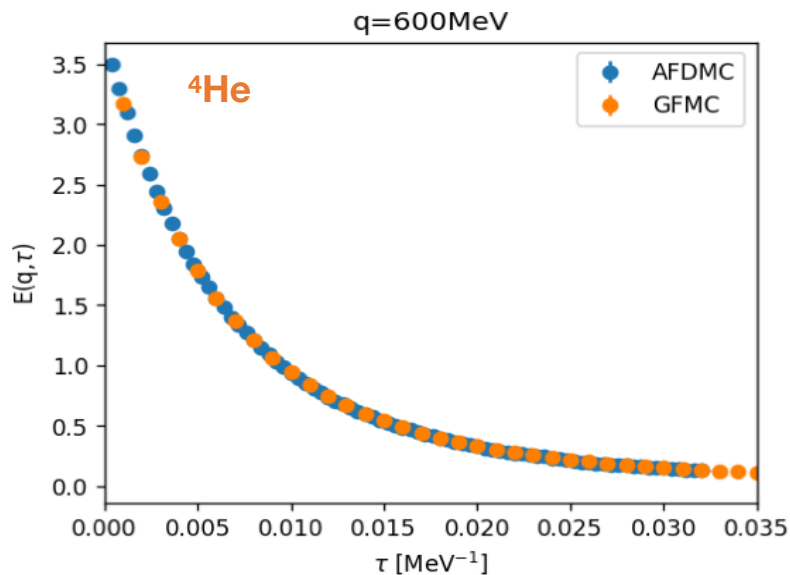
$$|S\rangle \equiv (u_1 |\uparrow\rangle_1 + d_1 |\downarrow\rangle_1) \otimes \dots \otimes (u_A |\uparrow\rangle_A + d_A |\downarrow\rangle_A)$$



# BEYOND $^{12}\text{C}$ WITH THE AFDMC

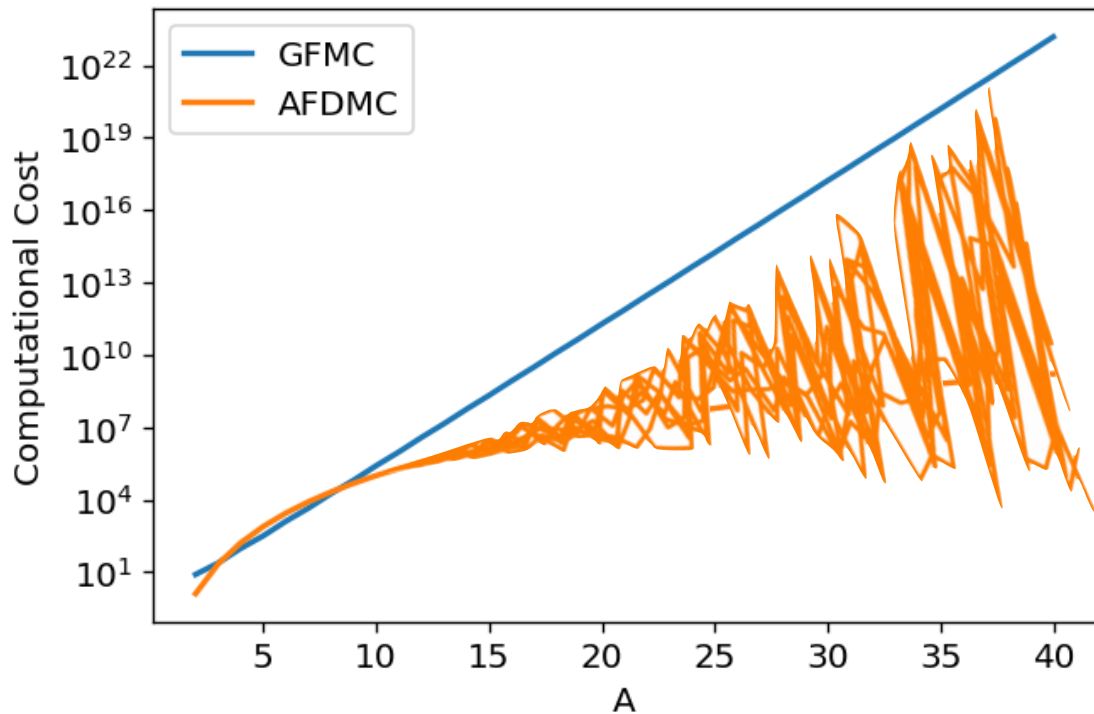
The auxiliary-field diffusion Monte Carlo method can treat  $^{16}\text{O}$  sampling the spin-isospin

We developed the AFDMC to allow for the calculation of Euclidean response functions



*N. Rocco, AL et al., in preparation*

# HOW TO TACKLE (EVEN) LARGER NUCLEI?



GFMC

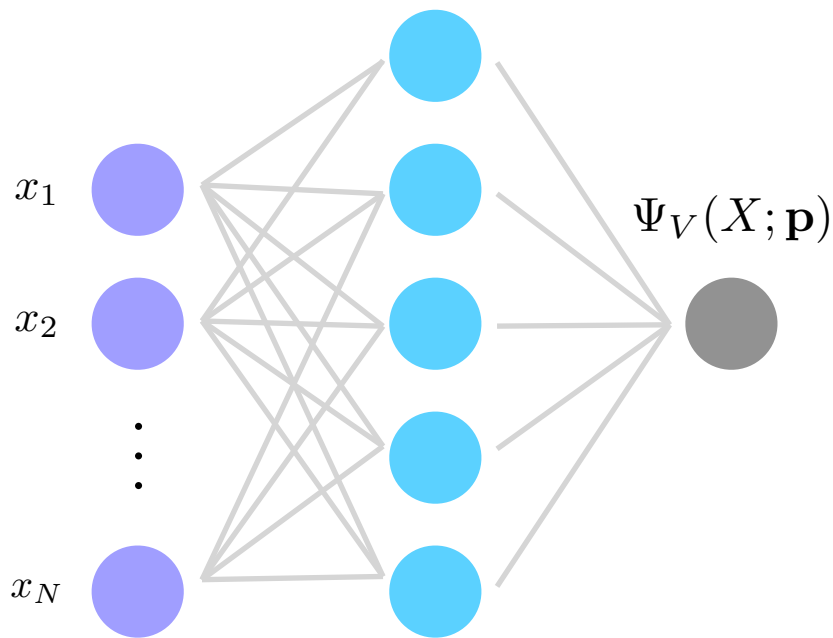
$$|\Psi_T\rangle \sim \prod_{i<j} F_{ij} |\Phi\rangle$$

AFDMC

$$|\Psi_T\rangle \sim (1 + \sum_{i<j} F_{ij}) |\Phi\rangle$$

# NEURAL-NETWORK QUANTUM STATES

Originally introduced by Carleo and Troyer for spin systems, NQS are now widely and successfully applied to study condensed-matter systems



$$E_V \equiv \frac{\langle \Psi_V | H | \Psi_V \rangle}{\langle \Psi_V | \Psi_V \rangle} > E_0$$

$$E_V \simeq \frac{1}{N} \sum_{X \in |\Psi_V(X)|^2} \frac{\langle X | H | \Psi_V \rangle}{\langle X | \Psi_V \rangle}$$

$$\mathbf{p}_{\tau+\delta\tau} = \mathbf{p}_{\tau} - \eta(S_{\tau} + \epsilon I)^{-1} \mathbf{g}_{\tau}$$

# NQS IN REAL SPACE (1<sup>ST</sup> QUANTIZATION)

NQS in 1<sup>st</sup> quantization must explicitly encode the antisymmetry of the wave function

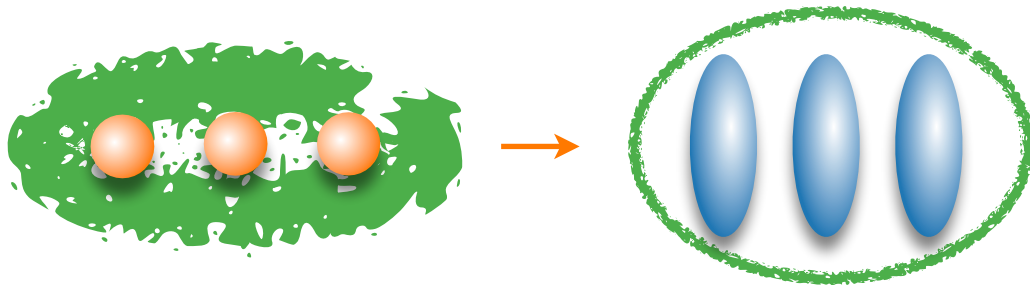
$$\Psi_V(x_1, \dots, x_i, \dots, x_j, \dots, x_A; \mathbf{p}) = -\Psi_V(x_1, \dots, x_j, \dots, x_i, \dots, x_A; \mathbf{p})$$

Usually expressed as product of permutation-invariant and anti-symmetric functions

$$\Psi_V(X; \mathbf{p}) = e^{\mathcal{U}(X; \mathbf{p})} \times \Phi(X; \mathbf{p})$$

Universality through back-flow transformations, “hidden” degrees of freedom, or both

$$x_i \longrightarrow y_i(x_i; x_1, \dots, x_A; \mathbf{p})$$



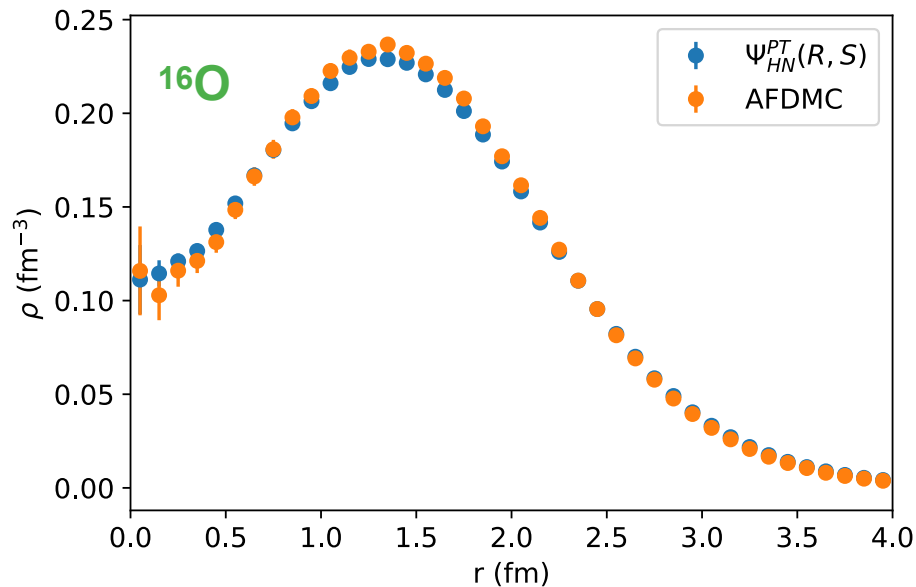
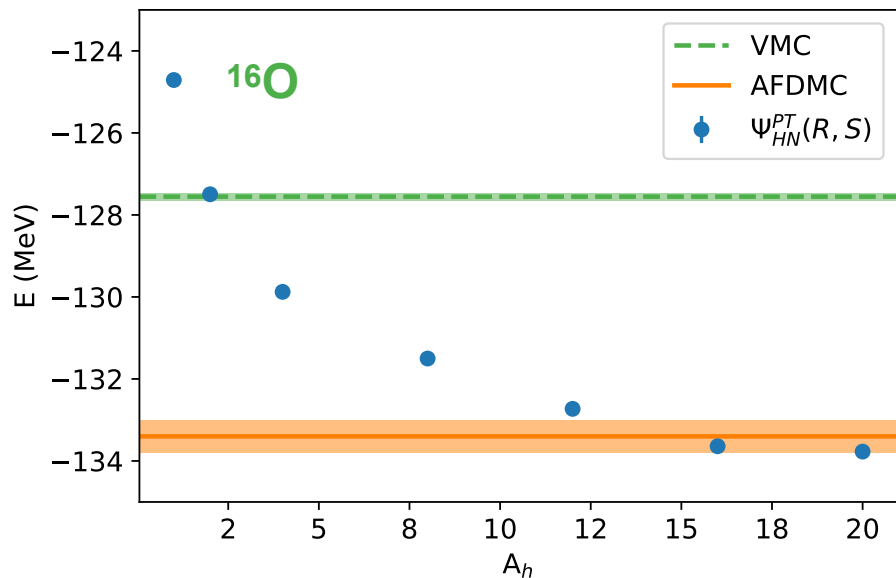
*Pfau et al., PRR 2, 033429 (2020)*

*Hermann et al., Nature Chemistry, 12, 891 (2020)*

*J. R. Moreno, et al., PRL 125, 076402 (2022)*

# NQS: HIDDEN NUCLEONS

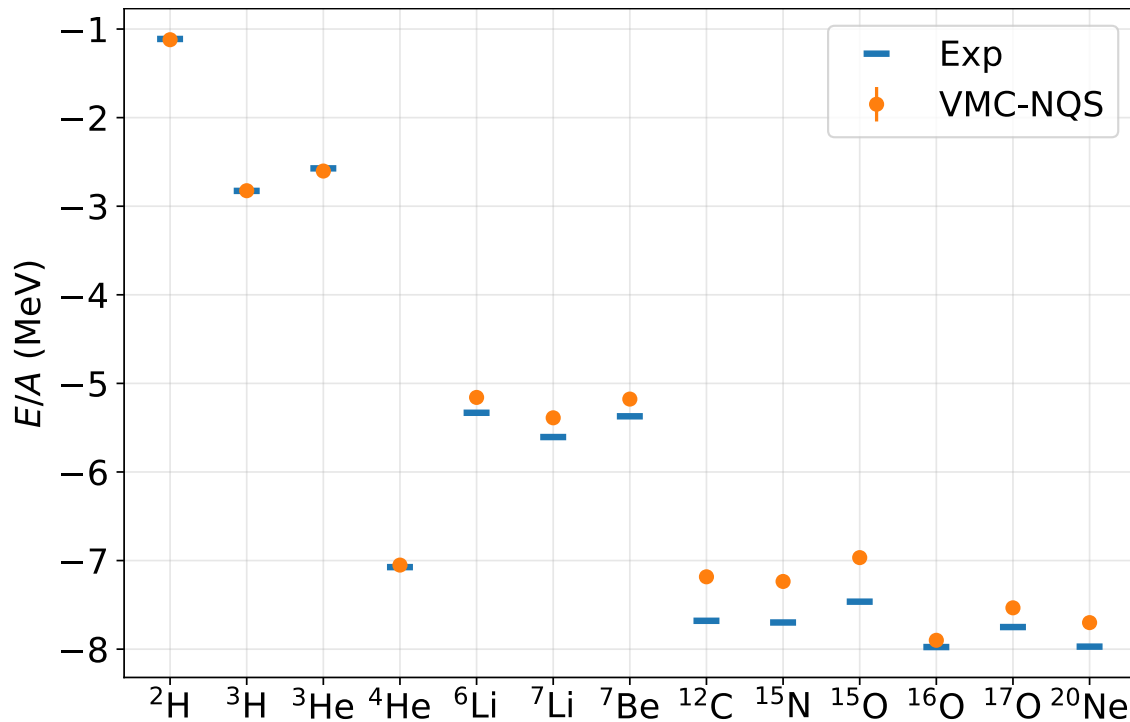
In addition to its ground-state energy, we evaluate the point-nucleon density of  $^{16}\text{O}$  with  $A_h=16$



AL, et al., Phys.Rev.Res. 4 (2022) 4, 043178

# ESSENTIAL ELEMENTS OF NUCLEAR BINDING

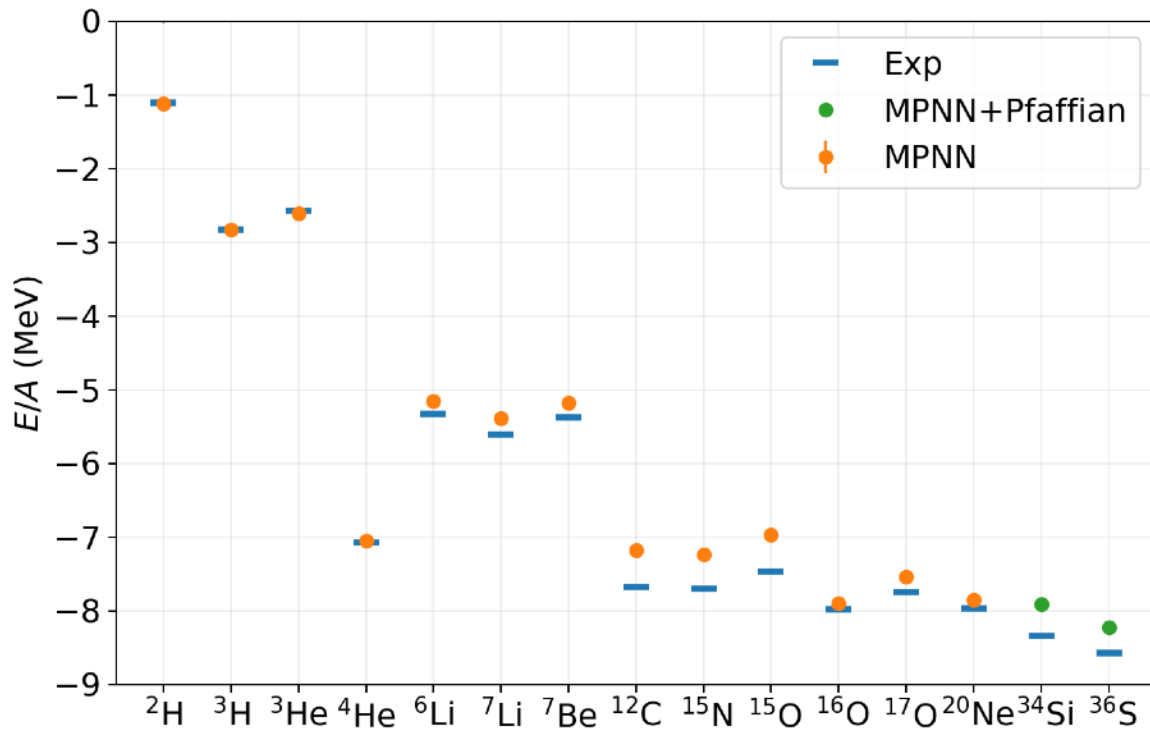
A simple pionless-EFT Hamiltonian reproduces well the spectrum of different nuclei



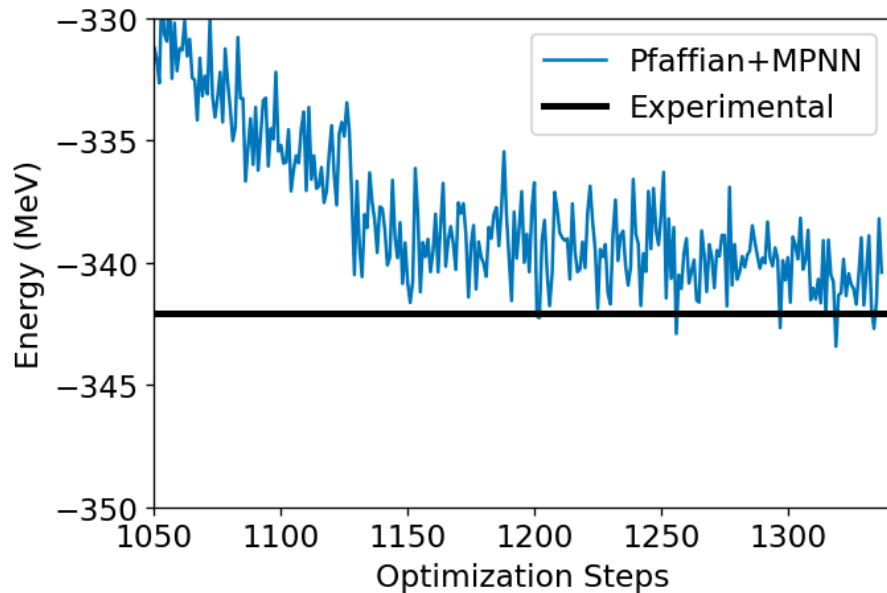
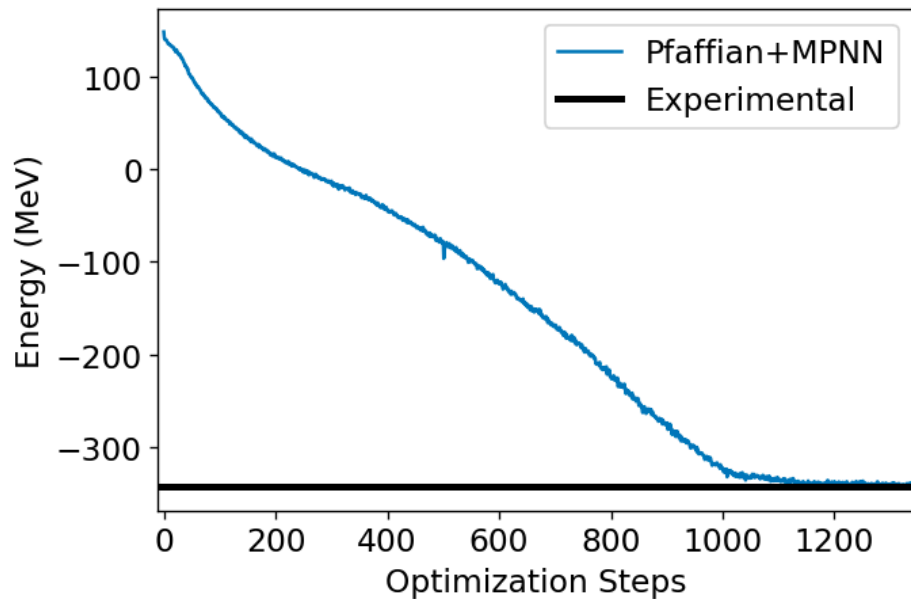


# ESSENTIAL ELEMENTS OF NUCLEAR BINDING

A simple pionless-EFT Hamiltonian reproduces well the spectrum of different nuclei

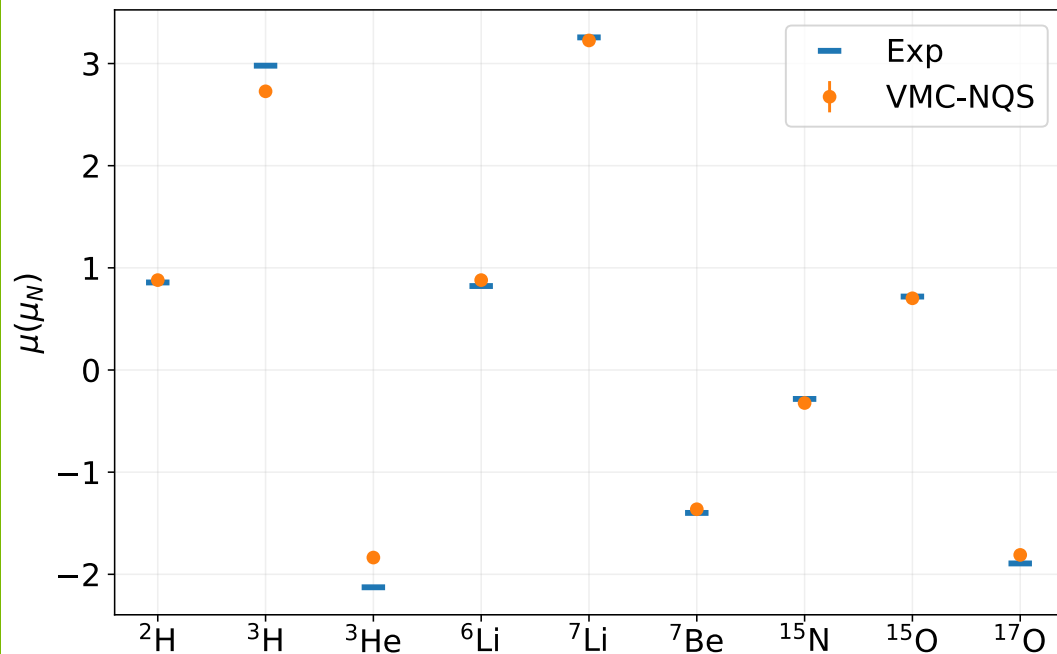


# NQS ALLOWS US TO REACH $A=40$

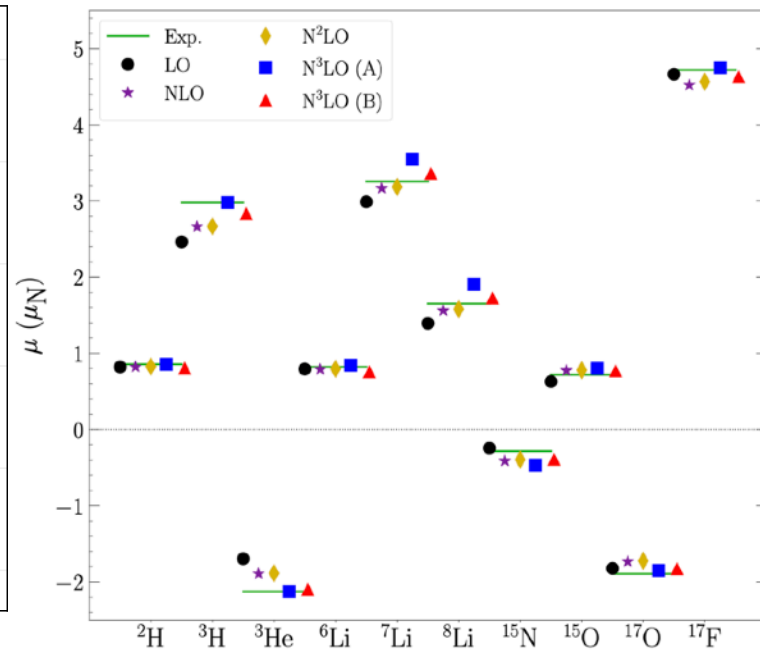


# MAGNETIC MOMENTS WITH MPNN

In addition to energies and single-particle densities, we compute electroweak properties



A. Gnech, et al., 2308.16266



J. D. Martin, et al., 2301.08349 [nucl-th]

# CONCLUSIONS

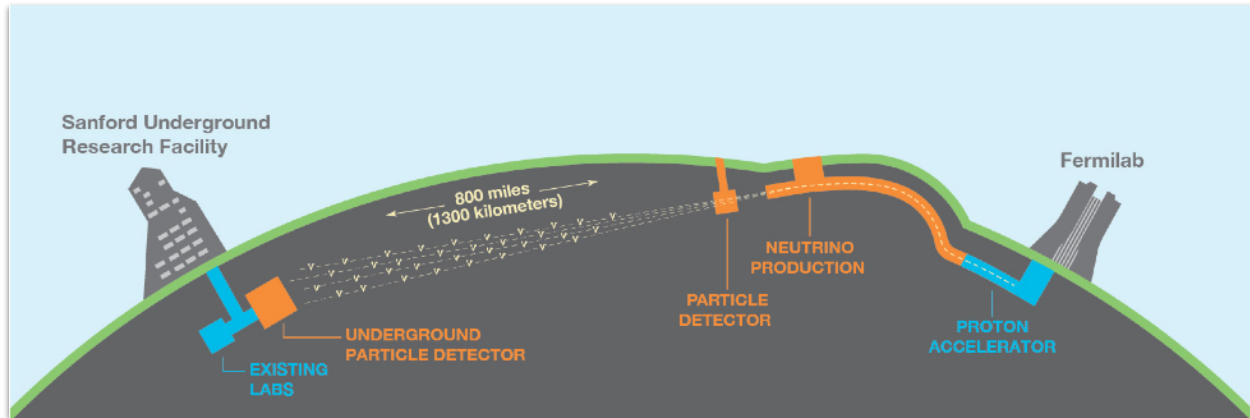
- Three main sources of theoretical errors:
  - 1) Hamiltonian and (hopefully) consistent currents.
  - 2) Elementary amplitudes: axial form factor...
  - 3) Nuclear many-body methods of choice.
- QMC allows us to reduce 3) and to explore wide classes of Hamiltonian and currents.
- Neural-network quantum states extend the reach of QMC methods to nuclei used in oscillation experiments.

# PERSPECTIVES

- Access “real-time” dynamics: the prototypal exponentially-hard problem in many-body theory

$$\mathcal{D} (|\Psi(\mathbf{p}_{t+\delta t})\rangle, e^{-iHt}|\Psi(\mathbf{p}_t)\rangle)^2 = \arccos \left( \sqrt{\frac{\langle \Psi(\mathbf{p}_{t+\delta t}) | e^{-iHt} | \Psi(\mathbf{p}_t) \rangle \langle \Psi(\mathbf{p}_t) | e^{iHt} | \Psi(\mathbf{p}_{t+\delta t}) \rangle}{\langle \Psi(\mathbf{p}_{t+\delta t}) | \Psi(\mathbf{p}_{t+\delta t}) \rangle \langle \Psi(\mathbf{p}_t) | \Psi(\mathbf{p}_t) \rangle}} \right)^2$$

- Relevant for: lepton-nucleus scattering, fusion, and collective neutrino oscillation;

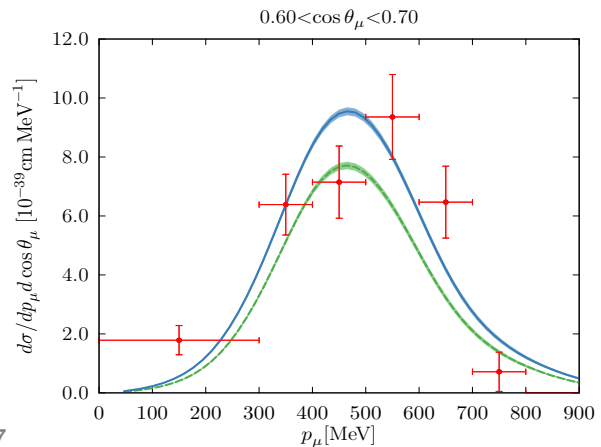
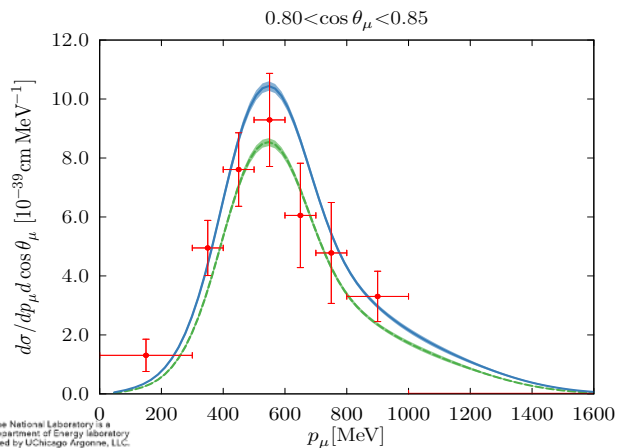
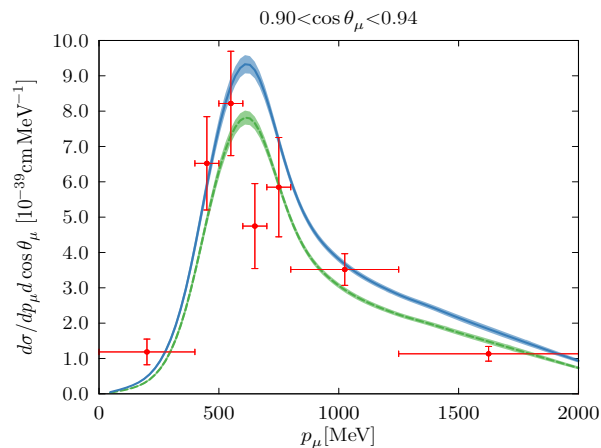
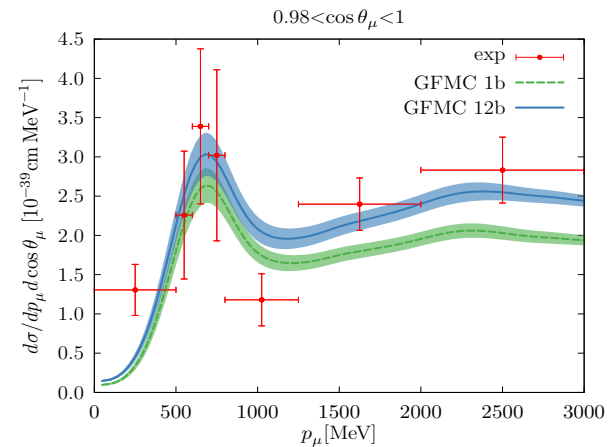


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**THANK YOU**

# T2K CROSS SECTIONS

AL et al., PRX 10, 031068 (2020)



# QUANTUM MONTE CARLO METHODS

Continuum nuclear quantum Monte Carlo make use of coordinate-space representation of many-body wave functions.

- They have no difficulties in treating “stiff” nuclear forces: test the convergence of nuclear EFTs;
- Access to high-momentum components of the nuclear wave functions;
- Limited to relatively light nuclear systems

