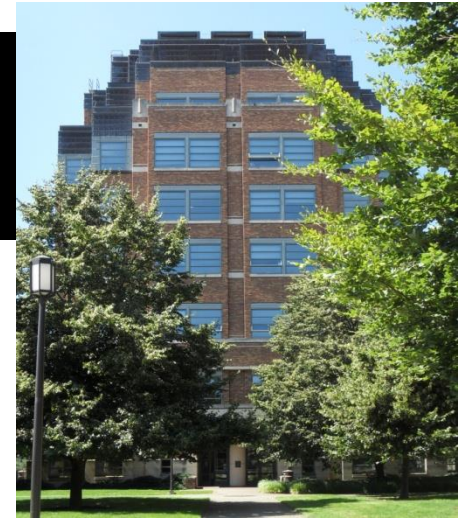
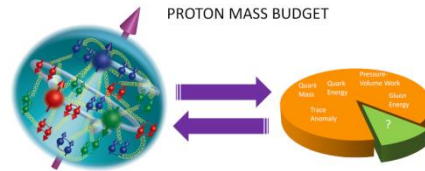


INSTITUTE FOR NUCLEAR THEORY

INT Workshop INT-20R-77
Origin of the Visible Universe: Unraveling the Proton Mass
June 13-17, 2022



Virial theorem and nucleon mass structure

Based on [C.L., Metz, Pasquini, Rodini, JHEP11 (2021) 121]

Cédric Lorcé



June 14, 2022, INT, Seattle, USA

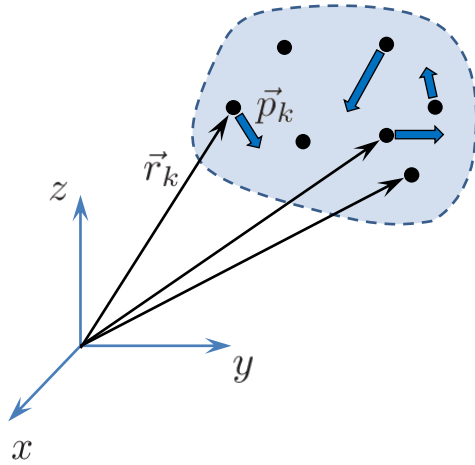
Outline

- **What is the **virial theorem** ?**
- **What is its **physical meaning** ?**
- **What is its **relation to mass** ?**



Virial theorem (classical point mechanics)

[Clausius, PM40 (1870) 122]
[Goldstein, *Classical Mechanics* (1980)]

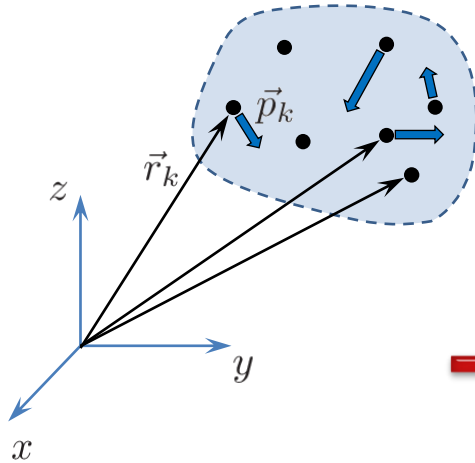


$$\vec{P} = \sum_k \vec{p}_k$$

$$G = \sum_k \vec{p}_k \cdot \vec{r}_k$$

Virial theorem (classical point mechanics)

[Clausius, PM40 (1870) 122]
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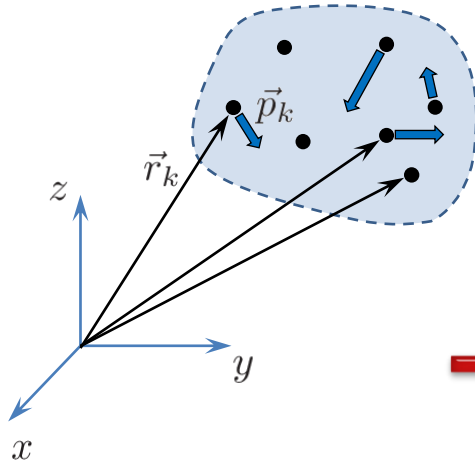
$$\vec{P} = \sum_k \vec{p}_k$$

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$$\Rightarrow \frac{dG}{dt} = \sum_k \left(\vec{p}_k \cdot \vec{v}_k + \vec{F}_k \cdot \vec{r}_k \right) \quad \begin{aligned} \vec{v}_k &= \frac{d\vec{r}_k}{dt} \\ \vec{F}_k &= \frac{d\vec{p}_k}{dt} \end{aligned}$$

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Time average

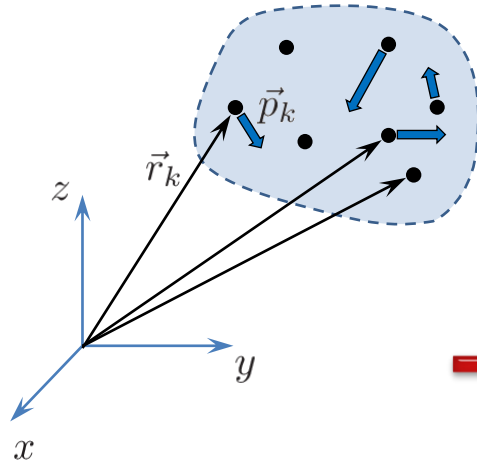
$$\langle\langle O \rangle\rangle \equiv \lim_{\tau \rightarrow \infty} \frac{1}{\tau} \int_0^\tau dt O(t)$$

$$\left\langle\left\langle \frac{dG}{dt} \right\rangle\right\rangle = \lim_{\tau \rightarrow \infty} \frac{G(\tau) - G(0)}{\tau} = 0$$

Bound state
at rest

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Bound state
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$$\Rightarrow \mathcal{T} = \frac{1}{2} \sum_k m_k \vec{v}_k^2$$

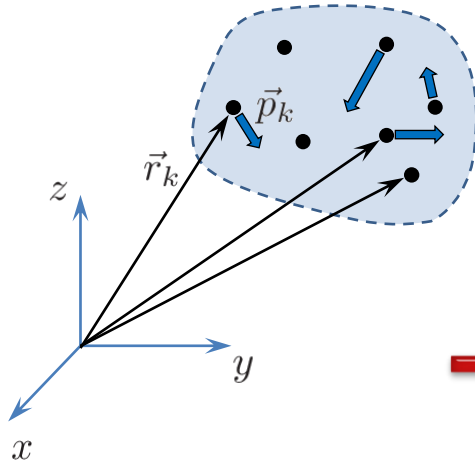
$$\left[\left[\mathcal{T} \right] \right] = -\frac{1}{2} \sum_k \left[\left[\vec{F}_k \cdot \vec{r}_k \right] \right]$$

Virial

Vis (latin): force, energy, power

Virial theorem (classical point mechanics)

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$$= \frac{n}{2} [[\mathcal{V}]]$$

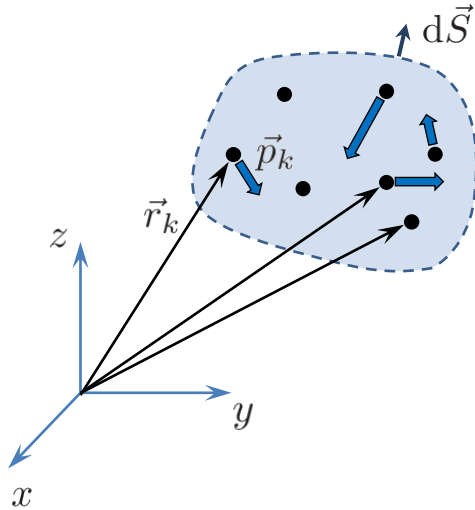
$$\vec{F}_k = -\frac{\partial \mathcal{V}}{\partial \vec{r}_k}, \quad \mathcal{V} \propto |\vec{r}_i - \vec{r}_j|^n$$

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Vis (latin): force, energy, power

Virial theorem (classical point mechanics)

[Schectman , Good, AJP25 (1957) 219]

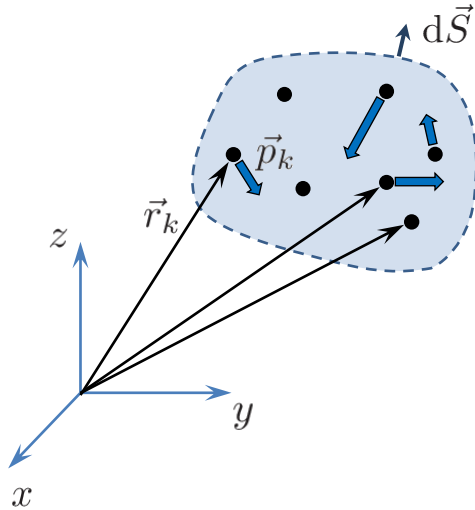


Ideal gas

$$-\frac{1}{2} \sum_k \left[\vec{F}_k \cdot \vec{r}_k \right]_{\text{Container}} = \frac{1}{2} \int p d\vec{S} \cdot \vec{r}$$

Virial theorem (classical point mechanics)

[Schectman , Good, AJP25 (1957) 219]

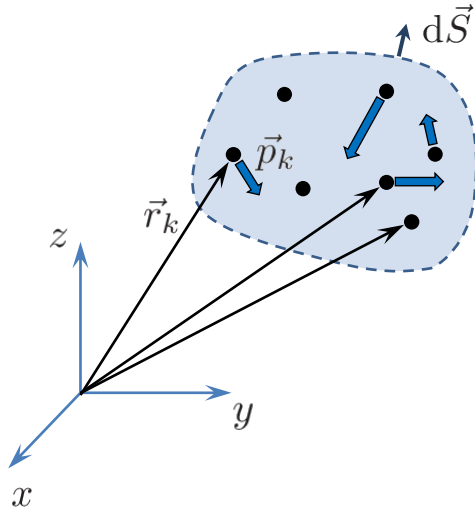


Ideal gas

$$\begin{aligned} -\frac{1}{2} \sum_k \text{Container} [\vec{F}_k \cdot \vec{r}_k] &= \frac{1}{2} \int p d\vec{S} \cdot \vec{r} \\ &= \frac{1}{2} p \int d^3r \vec{\nabla} \cdot \vec{r} \end{aligned}$$

Virial theorem (classical point mechanics)

[Schectman , Good, AJP25 (1957) 219]

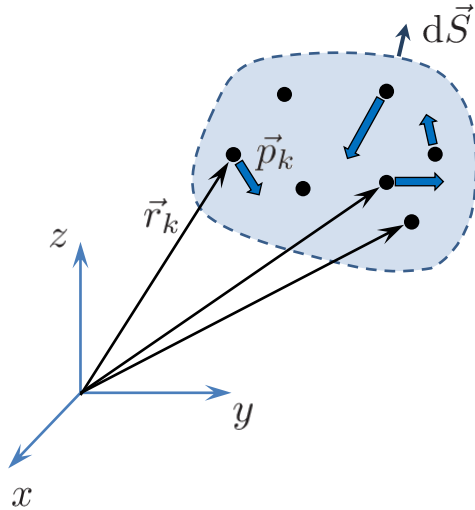


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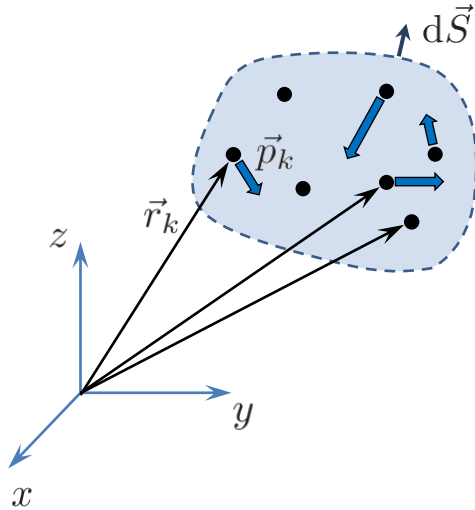
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Virial theorem $[\mathcal{T}] = \frac{3}{2} pV$

Equipartition theorem $[\mathcal{T}] = \frac{3}{2} Nk_B T$

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Ideal gas

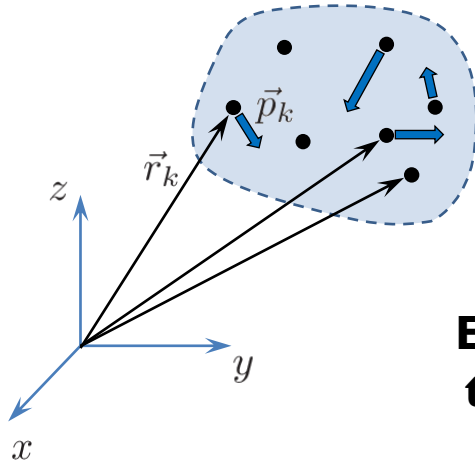
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Virial theorem	$[\mathcal{T}] = \frac{3}{2} pV$
Equipartition theorem	$[\mathcal{T}] = \frac{3}{2} Nk_B T$

⇒ $pV = Nk_B T$

Virial theorem (quantum mechanics)

[Born, Heisenberg, Jordan, ZP35 (1926) 557]
[Killingbeck, AJP38 (1970) 590]



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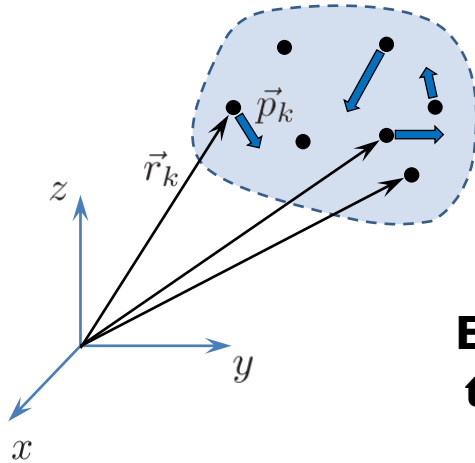
**Erhenfest
theorem**

$$\frac{d}{dt} \langle G \rangle = \frac{1}{i} \langle [G, H] \rangle$$

$$H = \sum_k \frac{\vec{p}_k^2}{2m_k} + \mathcal{V}(\{\vec{r}_k\})$$

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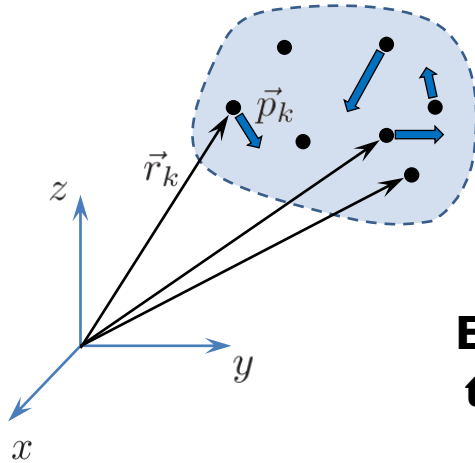
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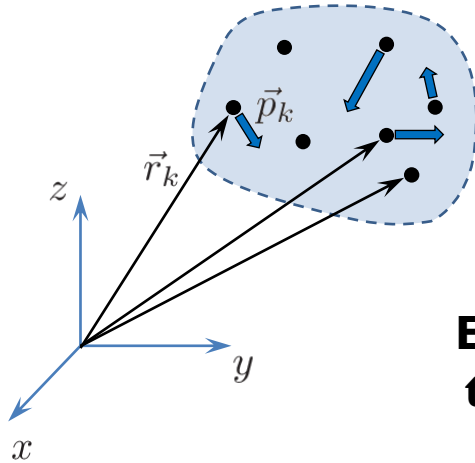
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Normalizable stationary state

$$H|\Psi\rangle = E|\Psi\rangle \Rightarrow \frac{d}{dt} \langle G \rangle = 0$$

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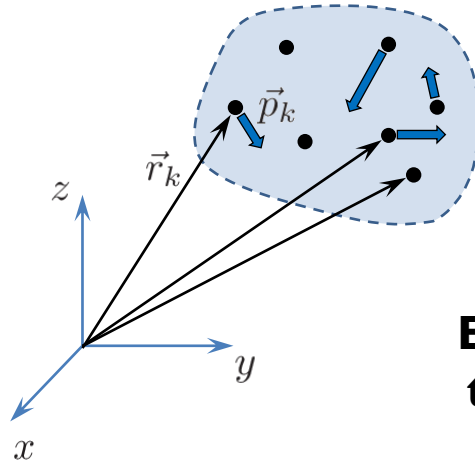
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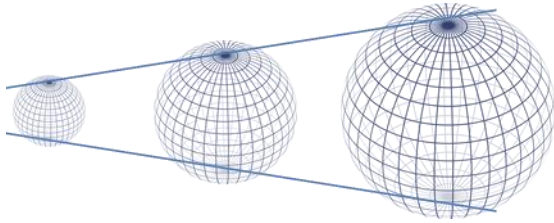
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- NB:**
- For a *superposition* of stationary states, one also averages over time $\left[\frac{d\langle G \rangle}{dt} \right] = 0$
 - The system is *at rest* since $\frac{d}{dt} \langle \vec{R}_{CM} \rangle = \vec{0}$

Virial theorem (quantum mechanics)

[Fock, ZP63 (1930) 855]
[Lucha, Schöberl, PRL64 (1990) 2733]

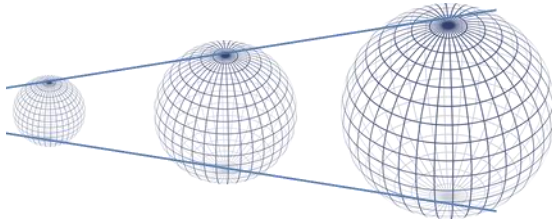


$$G = \sum_k \vec{p}_k \cdot \vec{r}_k \quad \text{generates spatial dilatations}$$

$$U_D^{-1} \vec{r}_k U_D = \lambda \vec{r}_k, \quad U_D^{-1} \vec{p}_k U_D = \lambda^{-1} \vec{p}_k \quad \begin{aligned} U_D &= e^{-i\kappa G} \\ \lambda &= e^\kappa \end{aligned}$$

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Variational approach

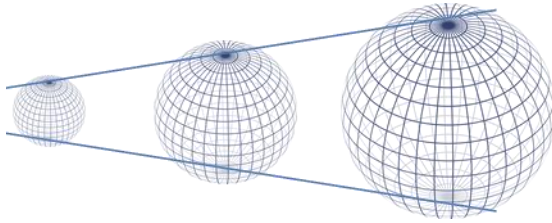
$$E(\kappa) \equiv \langle \Psi_\kappa | H | \Psi_\kappa \rangle = \langle \Psi | U_D^{-1} H U_D | \Psi \rangle \quad |\Psi_\kappa\rangle = U_D |\Psi\rangle$$

$|\Psi\rangle$ is a stationary state with eigenvalue $E = E(0)$ if

$$\left. \frac{\partial E}{\partial \kappa} (0) = \langle \Psi | \frac{\partial (U_D^{-1} H U_D)}{\partial \kappa} \right|_{\kappa=0} | \Psi \rangle = 0$$

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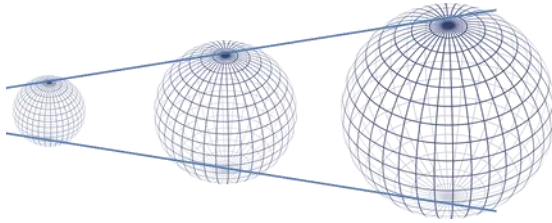
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NB: Bag-like models use this variational approach to determine the mass of the system

Virial theorem (field theory)

[Landau, Lifshitz, *The Classical Theory of Fields* (1951)]

[Deser, PLB64 (1976) 463]

[Dudas, Pirjol, PLB260 (1991) 186]

[C.L., Metz, Pasquini, Rodini, JHEP11 (2021) 121]

$$G = \sum_k \vec{p}_k \cdot \vec{r}_k \quad \Rightarrow \quad \int d^3x T^{0i} x^i$$

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$$= \int d^3x \vec{\mathcal{F}} \cdot \vec{x} + \sum_i \int d^3x T^{ii}$$

Four-force density $\mathcal{F}^\nu \equiv \partial_\mu T^{\mu\nu}$

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Classical

$$\llbracket \sum_i \int d^3x T^{ii} \rrbracket = - \llbracket \int d^3x \vec{\mathcal{F}} \cdot \vec{x} \rrbracket$$

Quantum
(stationary state)

$$\langle \sum_i \int d^3x T^{ii} \rangle = - \langle \int d^3x \vec{\mathcal{F}} \cdot \vec{x} \rangle$$

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[C.L., Metz, Pasquini, Rodini, JHEP11 (2021) 121]

$$G = \sum_k \vec{p}_k \cdot \vec{r}_k \quad \Rightarrow \quad \int d^3x T^{0i} x^i$$

$$\begin{aligned} \frac{dG}{dt} &= \int d^3x \partial_0 T^{0i} x^i \\ &= \int d^3x (\partial_\mu T^{\mu i}) x^i - \int d^3x (\partial_k T^{ki}) x^i \\ &= \int d^3x \vec{\mathcal{F}} \cdot \vec{x} + \sum_i \int d^3x T^{ii} \end{aligned} \quad \begin{array}{l} \text{Four-force} \\ \text{density} \end{array} \quad \mathcal{F}^\nu \equiv \partial_\mu T^{\mu\nu}$$

Classical

$$\left[\sum_i \int d^3x T^{ii} \right] = - \left[\int d^3x \vec{\mathcal{F}} \cdot \vec{x} \right] = 0$$

Isolated system
(von Laue condition)

Quantum
(stationary state)

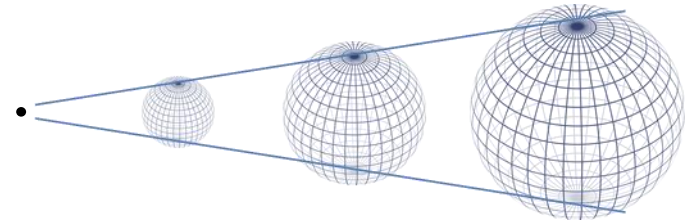
$$\left\langle \sum_i \int d^3x T^{ii} \right\rangle = - \left\langle \int d^3x \vec{\mathcal{F}} \cdot \vec{x} \right\rangle = 0$$

Virial theorem (field theory)

Physical interpretation $\vec{x} \mapsto (1 + \delta\kappa)\vec{x}$

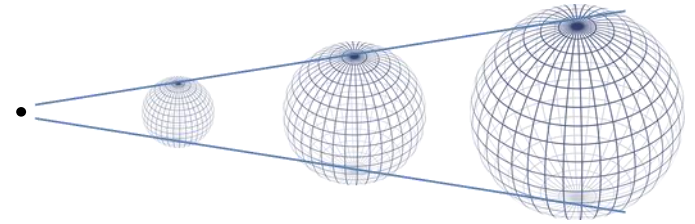
$$\delta H = -\delta W$$

$$\delta H = \frac{1}{i} [H, G] \delta\kappa = -\frac{dG}{dt} \delta\kappa$$



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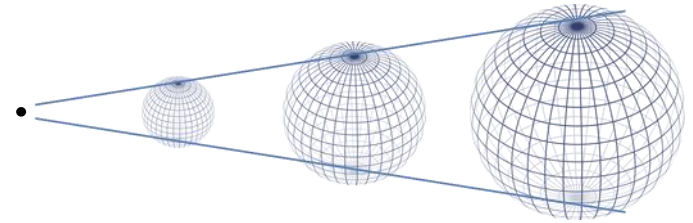


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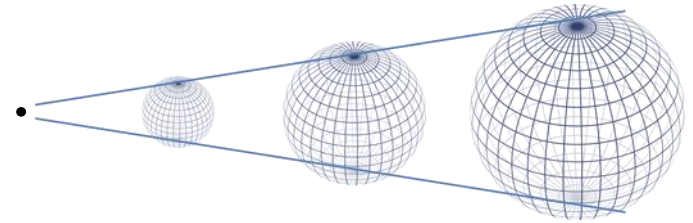
$$= \int d^3x \underbrace{\partial_0 T^{0i}}_{\text{Local force}} \delta x^i$$

$$\begin{aligned} \delta \vec{x} &= \delta\kappa \vec{x} \\ \delta(d^3x) &= 3\delta\kappa d^3x \end{aligned}$$

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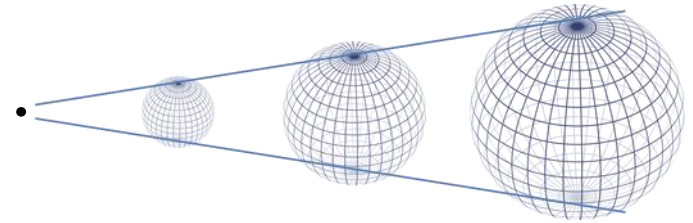
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$$= \underbrace{\int d^3x \vec{F} \cdot \delta \vec{x}}_{\text{Work on the system}} + \int \delta(d^3x) \frac{1}{3} \sum_i T^{ii}$$

Virial theorem (field theory)

Physical interpretation $\vec{x} \mapsto (1 + \delta\kappa)\vec{x}$

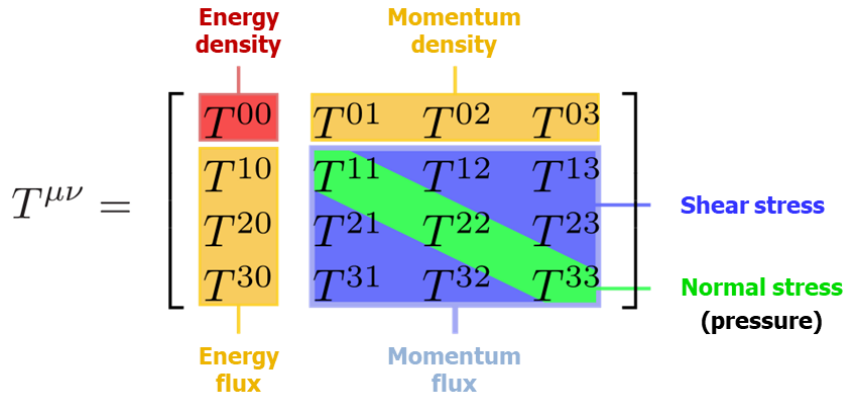


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Local force

$$\delta \vec{x} = \delta\kappa \vec{x}$$

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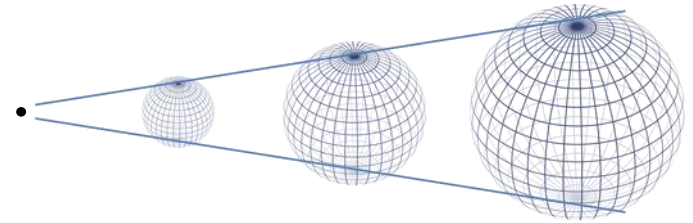
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Work on the system

Work by the system

Virial theorem (field theory)

Physical interpretation $\vec{x} \mapsto (1 + \delta\kappa)\vec{x}$

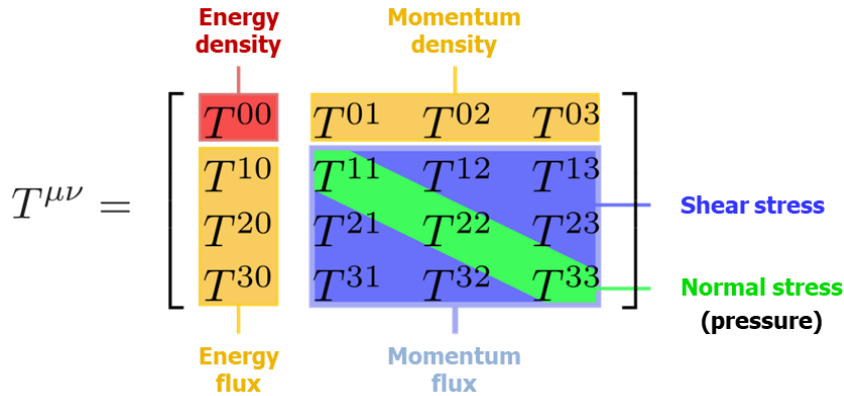


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Work on the system

Work by the system

Virial theorem

$$\langle \delta W \rangle = 0$$

Mechanical equilibrium !

Virial theorem (field theory)

Generalization to spacetime dilatations

$$j_D^\mu = T^{\mu\nu} x_\nu$$

$$D = \int d^3x j_D^0 = Ht - G$$

$$e^{i\kappa D} \phi(x) e^{-i\kappa D} = e^{\kappa d_\phi} \phi(e^\kappa x)$$
$$\Leftrightarrow \frac{1}{i} [\phi(x), D] = (x_\mu \partial^\mu + d_\phi) \phi(x)$$

$$H = \int d^3x T^{00}$$

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$$\frac{1}{i} [P^\mu, D] = \underbrace{P^\mu}_{\text{Spacetime rescaling}} - g^{0\mu} \underbrace{\frac{dD}{dt}}_{\text{Dilatation breaking}}$$

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Spacetime
rescaling

Dilatation
breaking

**Virial
theorem**

$$\langle H \rangle = \left\langle \frac{dD}{dt} \right\rangle$$

Temporal
rescaling

$$\frac{dD}{dt} = \int d^3x T^\mu{}_\mu + \int d^3x \mathcal{F}^\mu x_\mu$$

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Temporal
rescaling

$$= \langle H \rangle - \left\langle \frac{dG}{dt} \right\rangle$$

Temporal
dilatation
breaking

Spatial
dilatation
breaking

$$\frac{dD}{dt} = \int d^3x T^\mu{}_\mu + \int d^3x \mathcal{F}^\mu x_\mu$$

$$\frac{dG}{dt} = \sum_i \int d^3x T^{ii} + \int d^3x \vec{\mathcal{F}} \cdot \vec{x}$$

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Temporal
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breaking

Spatial
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$$\frac{dG}{dt} = \sum_i \int d^3x T^{ii} + \int d^3x \vec{\mathcal{F}} \cdot \vec{x}$$

No new information from temporal dilatations !

Virial theorem (field theory)

To sum up, the virial theorem

1. is a statement about **mechanical equilibrium** of stationary states under **dilatations**
2. provides an equality between **average values** of different quantities **at rest**
3. does **not require isolation** of the system

Virial theorem (field theory)

To sum up, the virial theorem

1. is a statement about **mechanical equilibrium** of stationary states under **dilatations**
2. provides an equality between **average values** of different quantities **at rest**
3. does **not require isolation** of the system

Generalization to arbitrary **spatial deformations**


[Parker, PR96 (1954) 1686]

$$G^{ij} = \int d^3x T^{0i} x^j \quad \Rightarrow \quad \langle \int d^3x T^{ij} \rangle = - \langle \int d^3x \mathcal{F}^i x^j \rangle$$

Tensor virial theorem

What is mass ?

Formal operator definition $P^\mu P_\mu = M^2$

 **Not additive** and hence not suited for a mass decomposition



What is mass ?

Formal operator definition $P^\mu P_\mu = M^2$



⚠ Not additive and hence not suited for a mass decomposition

Expectation value
$$M = \frac{\langle p | P^\mu P_\mu | p \rangle}{\langle p | p \rangle} \frac{1}{M}$$

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Formal operator definition $P^\mu P_\mu = M^2$



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CM four-velocity

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CM four-velocity

$$= \frac{\langle p_{\text{rest}} | H | p_{\text{rest}} \rangle}{\langle p_{\text{rest}} | p_{\text{rest}} \rangle}$$

Proper inertia (i.e. rest-frame energy) of the system

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Proper inertia (i.e. rest-frame energy) of the system



A mass decomposition is fundamentally an **energy** decomposition

$$H = \sum_a H_a$$

Consequences of the virial theorem

[Rafelski, PRD16 (1977) 1890]

Example: Dirac theory with external potential

$$E = \underbrace{\int d^3r \psi^\dagger \vec{\alpha} \cdot \vec{p} \psi}_{\text{Kinetic energy}} + \underbrace{m \int d^3r \psi^\dagger \beta \psi}_{\text{Rest mass energy}} + \underbrace{\int d^3r \psi^\dagger \mathcal{V}(\vec{r}) \psi}_{\text{Potential energy}}$$

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Virial theorem

$$\mathcal{V}(\vec{r}) \propto r^n$$

$$\int d^3r \psi^\dagger \vec{\alpha} \cdot \vec{p} \psi = n \int d^3r \psi^\dagger \mathcal{V}(\vec{r}) \psi$$

**Numerical equality between
different physical quantities**

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➔

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The virial theorem **simplifies** the calculations
BUT spoils the physical picture !

Consequences of the virial theorem

[C.L., EPJC78 (2018) 120]

[C.L., Metz, Pasquini, Rodini, JHEP11 (2021) 121]

For an **isolated system** in a **stationary state** (at rest)

**Definition
of mass**

$$\langle H \rangle = M$$

**Virial
theorem**

$$\langle H \rangle = \left\langle \frac{dD}{dt} \right\rangle = \left\langle \int d^3x T^\mu{}_\mu \right\rangle$$

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**Starting point of
trace decomposition**

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**Starting point of
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Decomposition into **irreducible representations** of Poincaré group

$$T^{\mu\nu} = \left(T^{\mu\nu} - \frac{1}{4} g^{\mu\nu} T^\alpha{}_\alpha \right) + \frac{1}{4} g^{\mu\nu} T^\alpha{}_\alpha$$

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$$\Rightarrow \underbrace{\left\langle \int d^3x T^{00} \right\rangle}_{= M} = \underbrace{\left\langle \int d^3x \left(T^{00} - \frac{1}{4} T^\alpha{}_\alpha \right) \right\rangle}_{= \frac{3}{4}M} + \underbrace{\left\langle \int d^3x \frac{1}{4} T^\alpha{}_\alpha \right\rangle}_{= \frac{1}{4}M}$$

**Starting point of
Ji's decomposition**

Consequences of the virial theorem

[C.L., EPJC78 (2018) 120]

[C.L., Metz, Pasquini, Rodini, JHEP11 (2021) 121]

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**Starting point of
Ji's decomposition**

The virial theorem introduces the **stress tensor** T^{ij} in the mass decomposition even though it has **nothing to do with the notion of mass**

$$P^\mu = \int d^3x T^{0\mu}(x)$$

Mass decompositions

Trace decomposition

$$M = \underbrace{\langle \int d^3x \bar{\psi} m \psi \rangle}_{\sigma_q} + \underbrace{\langle \int d^3x \left(\frac{\beta(g)}{2g} G^2 + \gamma_m \bar{\psi} m \psi \right) \rangle}_{M - \sigma_q}$$

[Shifman, Vainshtein, Zakharov, PL78B (1978) 443]
[Donoghue, Golowich, Holstein, *Dynamics of the Standard Model* (1992)]
[Kharzeev, PISPF130 (1996) 105]

**Requires 1
independent input**

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Energy decomposition

$$M = \underbrace{\langle \int d^3x (T_q^{00} - \bar{\psi} m \psi) \rangle}_{[A_q(0) + \bar{C}_q(0)] M - \sigma_q} + \underbrace{\langle \int d^3x \bar{\psi} m \psi \rangle}_{\sigma_q} + \underbrace{\langle \int d^3x T_g^{00} \rangle}_{[A_g(0) + \bar{C}_g(0)] M}$$

Requires 2 independent inputs

[C.L., EPJC78 (2018) 120]
[Metz, Pasquini, Rodini, PRD102 (2020) 114042]

$$\begin{aligned} A_q(0) + A_g(0) &= 1 \\ \bar{C}_q(0) + \bar{C}_g(0) &= 0 \end{aligned}$$

$$\begin{aligned} A_q(0) &= \langle x \rangle_q \\ A_q(0) + 4\bar{C}_q(0) &= c_1 + c_2 \frac{\sigma_q}{M} \end{aligned}$$

Scheme and
scale-dependent !

Mass decompositions

Trace decomposition

$$M = \underbrace{\langle \int d^3x \bar{\psi} m \psi \rangle}_{\sigma_q} + \underbrace{\langle \int d^3x \left(\frac{\beta(g)}{2g} G^2 + \gamma_m \bar{\psi} m \psi \right) \rangle}_{M - \sigma_q}$$

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$$M = \underbrace{\langle \int d^3x (T_q^{00} - \bar{\psi} m \psi) \rangle}_{[A_q(0) + \bar{C}_q(0)] M - \sigma_q} + \underbrace{\langle \int d^3x \bar{\psi} m \psi \rangle}_{\sigma_q} + \underbrace{\langle \int d^3x T_g^{00} \rangle}_{[A_g(0) + \bar{C}_g(0)] M}$$

Requires 2 independent inputs

[C.L., EPJC78 (2018) 120]

[Metz, Pasquini, Rodini, PRD102 (2020) 114042]

$$\begin{aligned} A_q(0) + A_g(0) &= 1 \\ \bar{C}_q(0) + \bar{C}_g(0) &= 0 \end{aligned}$$

$$A_q(0) = \langle x \rangle_q$$

$$A_q(0) + 4\bar{C}_q(0) = c_1 + c_2 \frac{\sigma_q}{M}$$

Scheme and
scale-dependent !

Ji's decomposition

$$M = \underbrace{\langle \int d^3x (\bar{T}_q^{00} - \frac{3}{4} \bar{\psi} m \psi) \rangle}_{\frac{3}{4}[A_q(0) M - \sigma_q]} + \underbrace{\langle \int d^3x \bar{\psi} m \psi \rangle}_{\sigma_q} + \underbrace{\langle \int d^3x \bar{T}_g^{00} \rangle}_{\frac{3}{4}A_g(0) M} + \underbrace{\langle \int d^3x \frac{1}{4} \left(\frac{\beta(g)}{2g} G^2 + \gamma_m \bar{\psi} m \psi \right) \rangle}_{\frac{1}{4}(M - \sigma_q)}$$

Requires 2 independent inputs

$$\bar{T}^{\mu\nu} = T^{\mu\nu} - \frac{1}{4} g^{\mu\nu} T^\alpha_\alpha$$

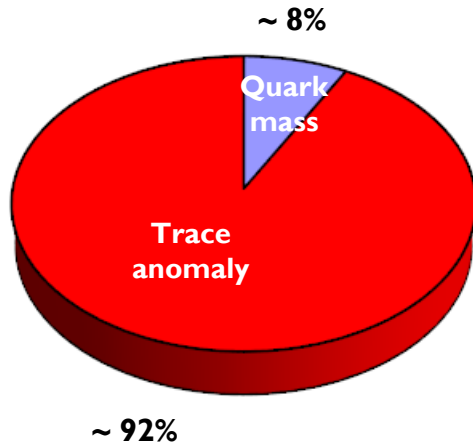
[Ji, PRL74 (1995) 1071]

[Ji, PRD52 (1995) 271]

Mass decompositions (in D2 scheme)

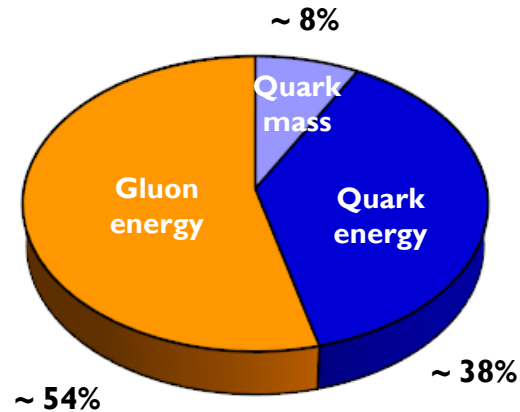
Trace decomposition

$\mu = 2 \text{ GeV}$



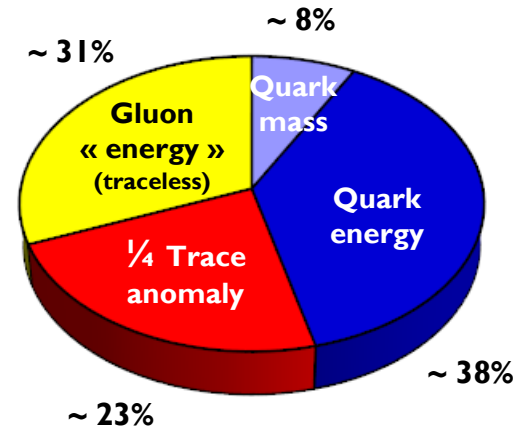
Relies on
virial theorem

Energy decomposition



Independent of
virial theorem

Ji's decomposition



Motivated by
virial theorem

Conclusions

- **What is the virial theorem ?**

- $\langle \sum_i \int d^3x T^{ii} \rangle = - \langle \int d^3x \vec{F} \cdot \vec{x} \rangle$

- **What is its physical meaning ?**

- Statement about mechanical equilibrium under spatial dilatations

- **What is its relation to mass ?**

- It has nothing to do with mass (i.e. rest-frame energy) but it can be used to create « new » sum rules

Mass (energy) decomposition

$$M = \langle \int d^3x T^{00} \rangle = \sum_a \langle \int d^3x T_a^{00} \rangle$$

Virial theorem

$$0 = \langle \sum_i \int d^3x T^{ii} \rangle = \sum_a \langle \sum_i \int d^3x T_a^{ii} \rangle$$



$$M = \sum_a \langle \int d^3x [\alpha T_a^{00} + \beta \sum_i T_a^{ii}] \rangle + \sum_a \langle \int d^3x [(1 - \alpha) T_a^{00} + \gamma \sum_i T_a^{ii}] \rangle$$

Trace decomposition $\alpha = 1, \quad \beta = -1, \quad \gamma = 0$

Ji's decomposition $\alpha = \frac{3}{4}, \quad \beta = \frac{1}{4}, \quad \gamma = -\frac{1}{4}$

Backup slides

Energy-momentum tensor (EMT)

 The definition of the **EMT** is **not unique**

Canonical EMT (Noether's theorem)

$$T_{\text{can}}^{\mu\nu} = \sum_a \frac{\partial \mathcal{L}}{\partial(\partial_\mu \phi_a)} \partial^\nu \phi_a - g^{\mu\nu} \mathcal{L}$$

Usually **neither symmetric** (for fields with non-zero spin)

nor gauge invariant (when field gradients do not transform covariantly under gauge transformations)

However, P^μ is unique and gauge invariant !

« **Improved** » **EMT** (relocalization of energy and momentum)

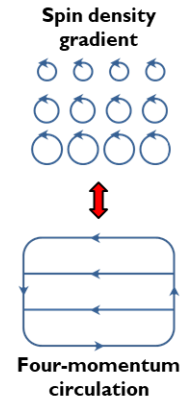
$$T_{\text{new}}^{\mu\nu} = T_{\text{can}}^{\mu\nu} + \partial_\alpha G^{\alpha\mu\nu}$$

$$G^{\alpha\mu\nu} = -G^{\mu\alpha\nu}$$

$$\Rightarrow P^\mu = \int d^3x T_{\text{new}}^{0\mu} = \int d^3x T_{\text{can}}^{0\mu}$$

Energy-momentum tensor (EMT)

	Gauge invariant	Symmetric
Canonical	✗	✗
Kinetic	✓	✗
Belinfante	✓	✓



Gauge invariance is a **necessity**

Symmetry under exchange of indices is only motivated by GR ...

NB: In GR one *assumes* the symmetry of the metric
or the absence of torsion
or the purely orbital form of AM

Kinetic EMT (most natural one in QFT)

$$J^{\mu\alpha\beta} = \underbrace{x^\alpha T^{\mu\beta} - x^\beta T^{\mu\alpha}}_{\text{Orbital}} + \underbrace{S^{\mu\alpha\beta}}_{\text{Intrinsic}}$$

$$\begin{aligned} \partial_\mu T^{\mu\nu} &= 0 \\ \partial_\mu J^{\mu\alpha\beta} &= 0 \end{aligned} \quad \Rightarrow \quad \boxed{T^{\alpha\beta} - T^{\beta\alpha} = -\partial_\mu S^{\mu\alpha\beta}}$$

Four-momentum conservation

Expectation value

$$\langle P_a^\mu \rangle = \frac{\langle p | P_a^\mu | p \rangle}{\langle p | p \rangle} = \frac{\int d^3r}{\underbrace{(2\pi)^3 \delta^{(3)}(\mathbf{0})}_{=1}} \frac{\langle p | T_a^{0\mu}(0) | p \rangle}{2p^0}$$

$$\langle p | T_a^{\mu\nu}(0) | p \rangle = 2p^\mu p^\nu A_a(0) + 2M^2 g^{\mu\nu} \bar{C}_a(0)$$

$$\Rightarrow \langle P_a^\mu \rangle = p^\mu A_a(0) + \frac{M^2}{p^0} g^{0\mu} \bar{C}_a(0)$$

Not a four-vector !
(unless state is massless)

Light-front
version

$$\langle P_{a,\text{LF}}^\mu \rangle = p^\mu A_a(0) + \frac{M^2}{p^+} g^{+\mu} \bar{C}_a(0)$$

$$\Rightarrow \langle P_{a,\text{LF}}^+ \rangle = \underbrace{A_a(0)}_{=\langle x \rangle_a} p^+ \quad g^{++} = 0$$

**Deep-inelastic
scattering**

Four-momentum sum rules

$$p^\mu = \sum_a \langle P_a^\mu \rangle \Rightarrow \begin{cases} \sum_a A_a(0) = 1 \\ \sum_a \bar{C}_a(0) = 0 \end{cases}$$


Why two sum rules ?

What is the meaning of $\bar{C}_a(0)$?

Mechanical equilibrium

Physical interpretation is simpler in target rest frame

$$\frac{\langle p_{\text{rest}} | \int d^3x T_a^{\mu\nu}(x) | p_{\text{rest}} \rangle}{\langle p_{\text{rest}} | p_{\text{rest}} \rangle} = M \left(\begin{array}{c|ccc} A_a(0) + \bar{C}_a(0) & 0 & 0 & 0 \\ \hline 0 & -\bar{C}_a(0) & 0 & 0 \\ 0 & 0 & -\bar{C}_a(0) & 0 \\ 0 & 0 & 0 & -\bar{C}_a(0) \end{array} \right)$$
$$\Leftrightarrow \left(\begin{array}{c|ccc} \varepsilon_a & 0 & 0 & 0 \\ \hline 0 & p_a & 0 & 0 \\ 0 & 0 & p_a & 0 \\ 0 & 0 & 0 & p_a \end{array} \right) V$$

 $-\bar{C}_a(0)$ measures the **average stress** (or pressure) exerted by subsystem a

Mechanical equilibrium implies $\sum_a p_a = 0 \Rightarrow \sum_a \bar{C}_a(0) = 0$

Mass decomposition

[Shifman, Vainshtein, Zakharov, PL78B (1978) 443]
 [Donoghue, Golowich, Holstein, *Dynamics of the Standard Model* (1992)]
 [Kharzeev, PISPF130 (1996) 105]

Trace decomposition

Poincaré symmetry tells us that

$$\langle p | T^{\mu\nu}(0) | p \rangle = 2p^\mu p^\nu \quad \langle p' | p \rangle = 2p^0 (2\pi)^3 \delta^{(3)}(\vec{p}' - \vec{p})$$

$$\Rightarrow \langle p | T^\mu_\mu(0) | p \rangle = 2M^2 \quad \text{or} \quad \frac{\langle p | \int dV T^\mu_\mu(x) | p \rangle}{\langle p | p \rangle} = M, \quad dV = u^0 d^3x$$

Proper volume element

Quark mass and quantum corrections break conformal symmetry

$$T^\mu_\mu = \left[\frac{\beta(g)}{2g} G^2 + \gamma_m \bar{\psi} m \psi \right] + \bar{\psi} m \psi$$

Trace anomaly Quark mass matrix

$$\Rightarrow \underbrace{\langle \int dV \frac{\beta(g)}{2g} G^2 \rangle}_{\sim 90\% \text{ (to be measured)}} + \underbrace{\langle \int dV (1 + \gamma_m) \bar{\psi} m \psi \rangle}_{\sim 10\% \text{ (measurement to be improved)}} = M \quad \langle \bar{\psi} m \psi \rangle \text{ Nucleon-meson scattering}$$

$\mu = 2 \text{ GeV}$

$\langle G^2 \rangle$ Near-threshold heavy meson production

Mass decomposition

Trace decomposition

The **physical interpretation** of the « quark » and « gluon » contributions is however not so clear ...

It is clearer to work in the rest frame

$$\underbrace{\langle \int d^3x T^\mu{}_\mu \rangle}_{= M} = \underbrace{\langle \int d^3x T^{00} \rangle}_{= M} - \sum_i \underbrace{\langle \int d^3x T^{ii} \rangle}_{= 0}$$

Mechanical equilibrium

$$T^{\mu\nu} = \sum_a T_a^{\mu\nu}$$



$$\underbrace{\langle \int d^3x T_{a\mu}^\mu \rangle}_{\text{Can be negative!}} = \underbrace{\langle \int d^3x T_a^{00} \rangle}_{= \langle H_a \rangle} - \sum_i \underbrace{\langle \int d^3x T_a^{ii} \rangle}_{\neq 0} \quad a = q, g$$

Partial pressure-volume work

The « gluon » contribution is enhanced because the gluon pressure-volume work is **negative** (attractive forces)

Mass decomposition

Energy decomposition

[C.L., EPJC78 (2018) 120]
 [Metz, Pasquini, Rodini, PRD102 (2020) 114042]
 [C.L., Metz, Pasquini, Rodini, JHEP11 (2021) 121]

Renormalized QCD operators

$$T^{\mu\nu} = T_q^{\mu\nu} + T_g^{\mu\nu}$$

$$T_q^{\mu\nu} = \bar{\psi} \gamma^\mu \frac{i}{2} \overleftrightarrow{D}^\nu \psi$$

$$T_g^{\mu\nu} = -G^{\mu\lambda} G^\nu{}_\lambda + \frac{1}{4} g^{\mu\nu} G^2$$

Rest-frame energy

$$M = \langle H_q \rangle + \langle H_g \rangle$$

$$= \underbrace{\langle \int d^3x \bar{\psi} \gamma^0 i D^0 \psi \rangle}_{[A_q(0) + \bar{C}_q(0)] M} + \underbrace{\langle \int d^3x \frac{1}{2} (\vec{E}^2 + \vec{B}^2) \rangle}_{[A_g(0) + \bar{C}_g(0)] M}$$

$$A_a(0) = \langle x \rangle_a$$

$$\bar{C}_a(0) = f_a(\langle x \rangle_q, \langle \bar{\psi} m \psi \rangle)$$

Known but **scheme**
and **scale**-dependent !

Refinement

$$M = \underbrace{\langle \int d^3x \bar{\psi} \vec{\gamma} \cdot i \vec{D} \psi \rangle}_{\sim 21\% (\overline{\text{MS}})} + \underbrace{\langle \int d^3x \bar{\psi} m \psi \rangle}_{\sim 8\% (\overline{\text{MS}})} + \underbrace{\langle \int d^3x \frac{1}{2} (\vec{E}^2 + \vec{B}^2) \rangle}_{\sim 71\% (\overline{\text{MS}})}$$

$\sim 38\% (\text{D2})$ $\sim 8\% (\text{D2})$ $\sim 54\% (\text{D2})$

$\mu = 2 \text{ GeV}$

Mass decomposition

[Ji, PRL74 (1995)]
[Ji, PRD52 (1995)]

Ji's decomposition

It combines features from both trace and energy decompositions

Step 1

$$T^{\mu\nu} = \bar{T}^{\mu\nu} + \hat{T}^{\mu\nu}$$

$$\bar{T}^{\mu\nu} = T^{\mu\nu} - \frac{1}{4} g^{\mu\nu} T^\alpha{}_\alpha \quad \text{Twist-2}$$

$$\hat{T}^{\mu\nu} = \frac{1}{4} g^{\mu\nu} T^\alpha{}_\alpha \quad \text{Twist-4}$$

Poincaré symmetry ensures that this separation is scheme and scale-independent !

Step 2

$$\bar{T}^{\mu\nu} = \bar{T}_q^{\mu\nu} + \bar{T}_g^{\mu\nu}$$

$$\hat{T}^{\mu\nu} = \hat{T}_m^{\mu\nu} + \hat{T}_a^{\mu\nu}$$

$$\hat{T}_m^{\mu\nu} = \frac{1}{4} g^{\mu\nu} \bar{\psi} m \psi$$

$$\hat{T}_a^{\mu\nu} = \frac{1}{4} g^{\mu\nu} \left[\frac{\beta(g)}{2g} G^2 + \gamma_m \bar{\psi} m \psi \right]$$

Rest-frame energy

$$\rightarrow M = \langle \int d^3x (\bar{T}_q^{00} - \frac{3}{4} \bar{\psi} m \psi) \rangle + \langle \int d^3x \bar{\psi} m \psi \rangle + \langle \int d^3x \bar{T}_g^{00} \rangle + \langle \int d^3x \hat{T}_a^{00} \rangle$$

« Quantum anomalous energy »

Mass decomposition

Ji's decomposition

The **physical interpretation** of the « quark » and « gluon » contributions is however not so clear ...

$$T_a^{00} = \underbrace{\bar{T}_a^{00}}_{= \frac{3}{4} T_a^{00} + \frac{1}{4} \sum_i T_a^{ii}} + \underbrace{\hat{T}_a^{00}}_{= \frac{1}{4} T_a^{00} - \frac{1}{4} \sum_i T_a^{ii}} \quad a = q, g$$

$\sum_i \langle \int d^3x T_a^{ii} \rangle \neq 0$
Partial pressure-volume work

Also, it is tempting to write

$$\bar{T}_q^{00} = \frac{3}{4} \bar{\psi} m \psi \stackrel{?}{=} \bar{\psi} \vec{\gamma} \cdot i \vec{D} \psi$$
$$\bar{T}_g^{00} \stackrel{?}{=} \frac{1}{2} (\vec{E}^2 + \vec{B}^2)$$

... but there is **no scheme** where both are **simultaneously** justified !