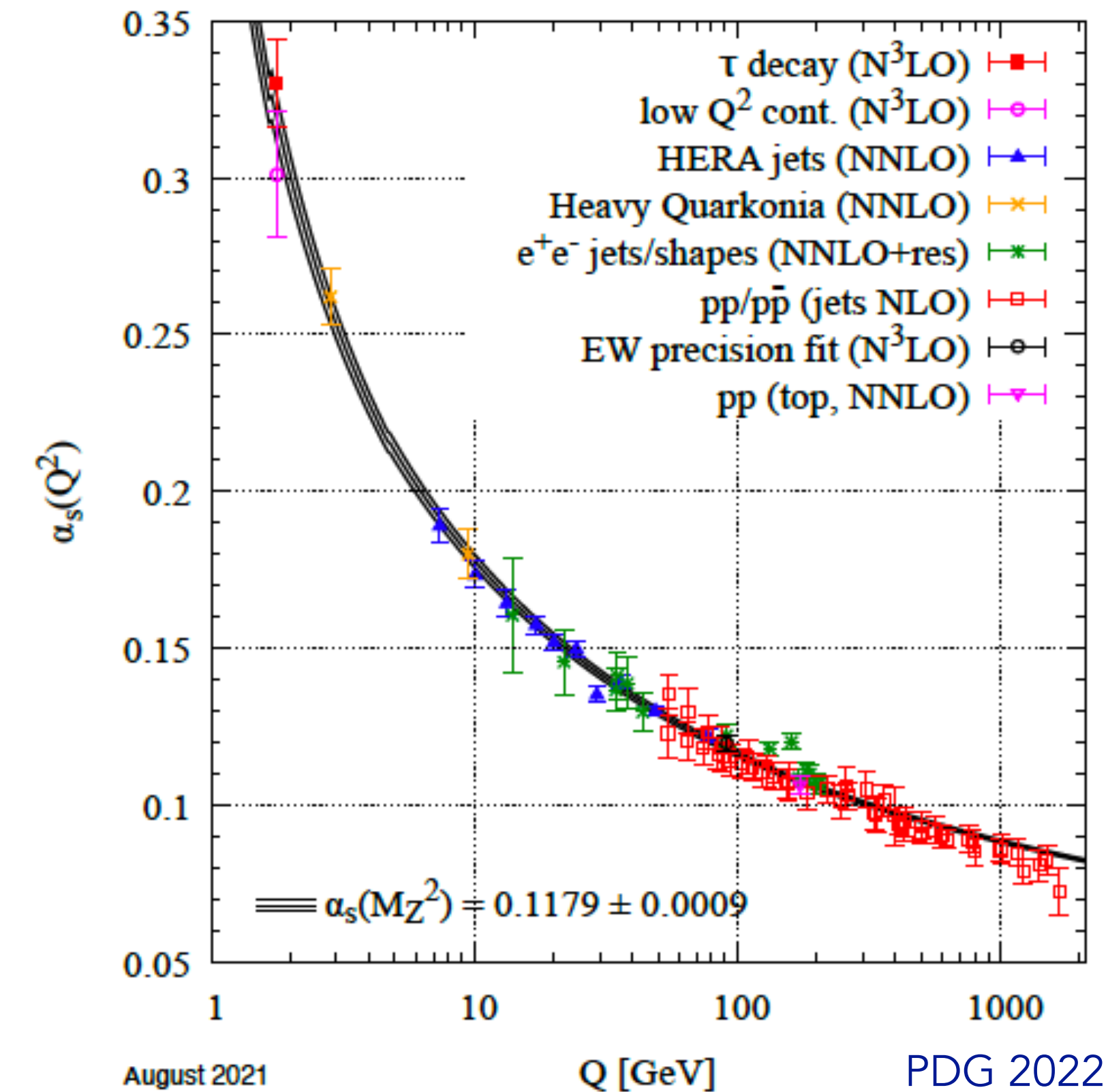
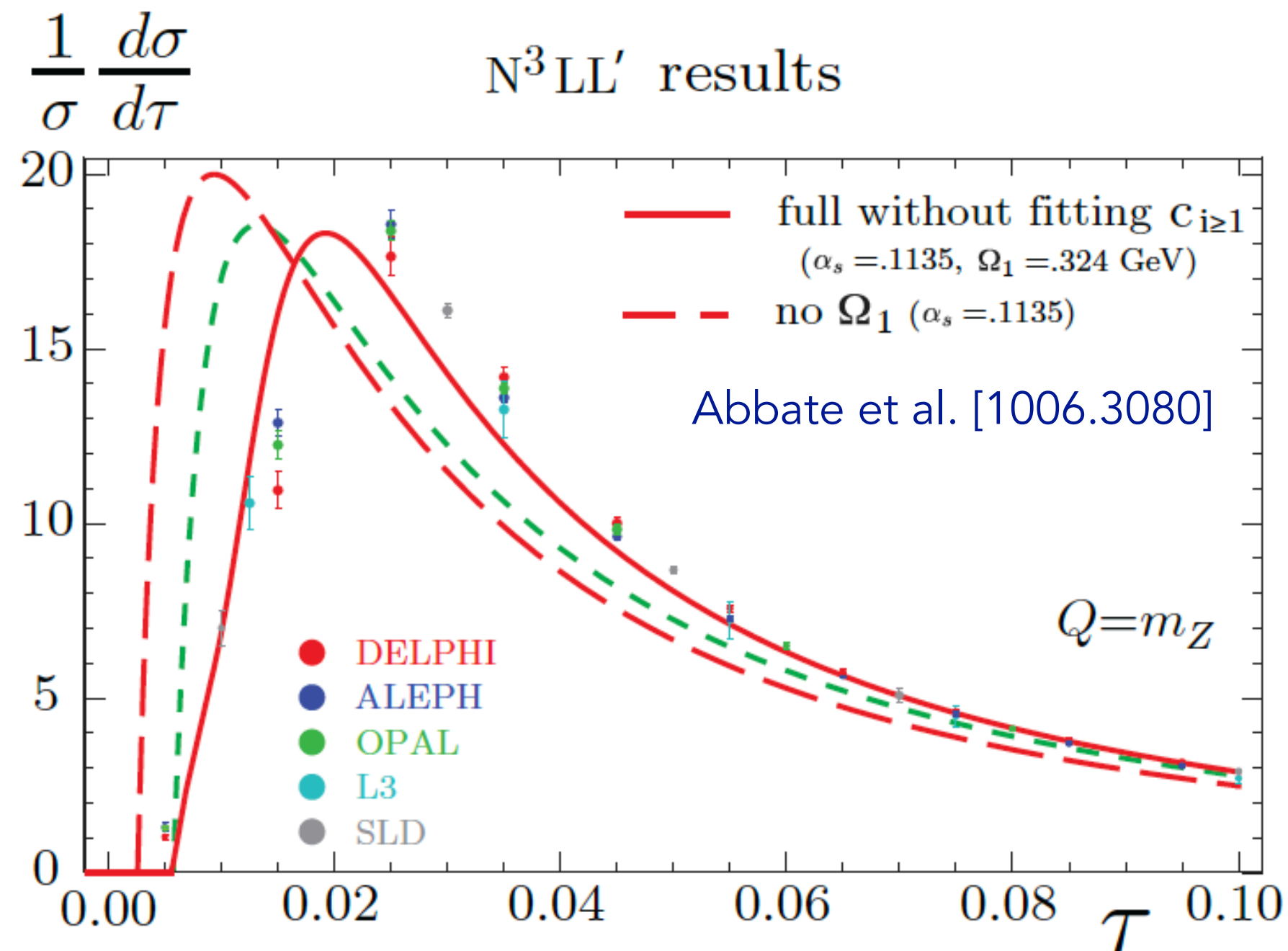


# Impact of nonperturbative effects on determination of $\alpha_s$ from event shapes

Christopher Lee (LANL)



INT Workshop on Probing QCD at High Energy and Density with Jets

# Collaborators

---

- G. Bell, Y. Makris, **J. Talbert**, B. Yan, [arXiv:soon]
- See also G. Bell, A. Hornig, CL, J. Talbert, [arXiv:1808.07867]

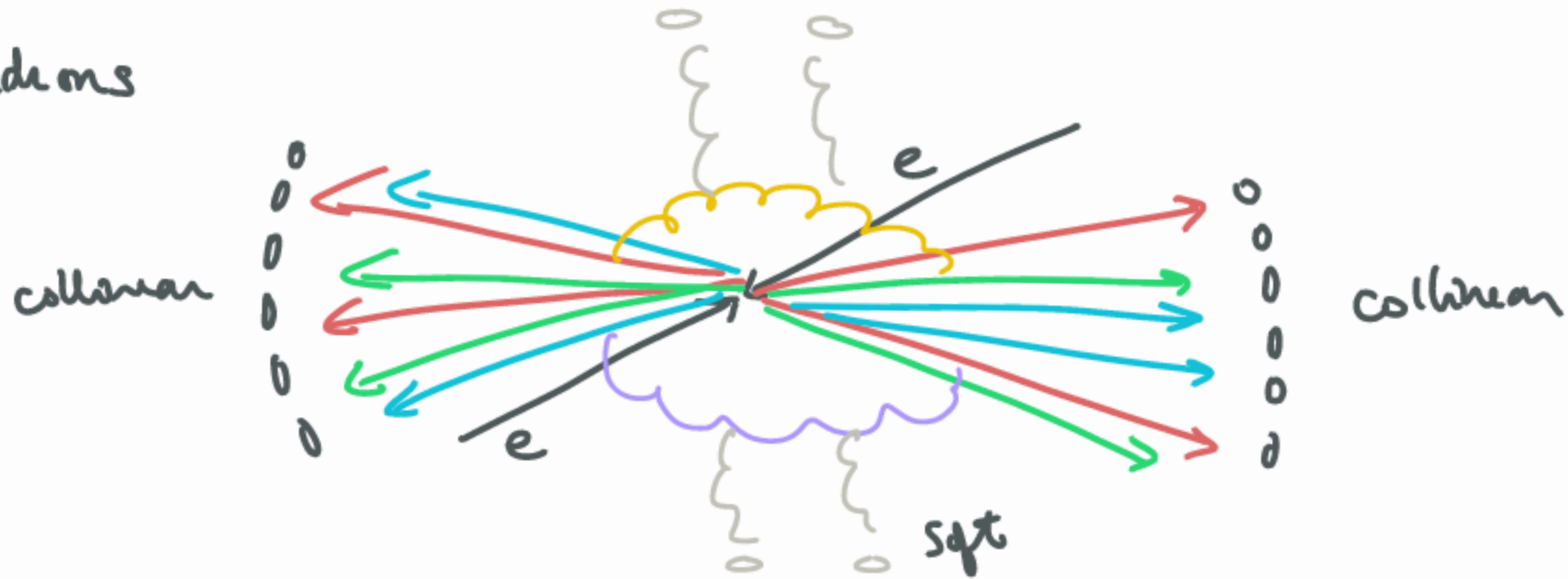
# Outline of the talk

---

- Event shapes and the strong coupling
- EFT, factorization, resummation of perturbative logs
- Nonperturbative corrections and renormalon subtraction schemes
- Effects of perturbative and nonperturbative scale & scheme choices on fits for  $\alpha_s$ 
  - In a nutshell: some of these choices have a few % effect on the tails of event shape distributions and the values of  $\alpha_s$  extracted by comparing them to data
- Motivations for more data on more event shapes

# HADRONIC EVENT SHAPES: Global measures of "jetty" structure

$e^+e^- \rightarrow \text{hadrons}$

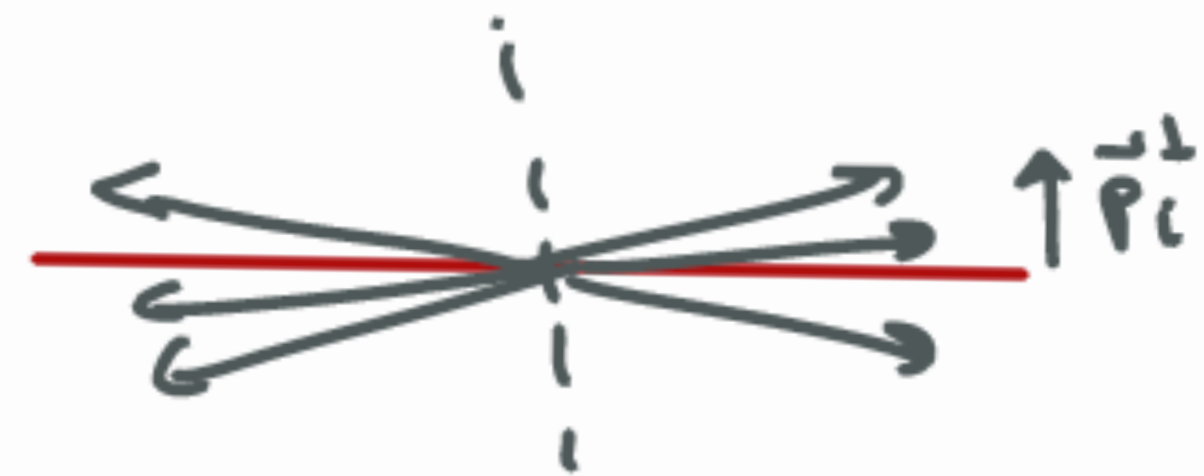
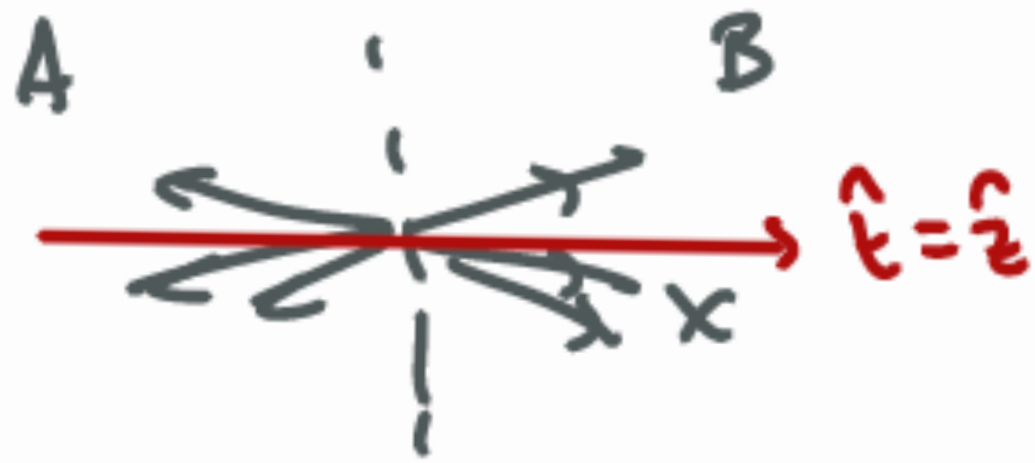


e.g.

THRUST:  $T = \frac{1}{Q} \max_{\hat{t}} \sum_{i \in X} |\vec{p}_i \cdot \hat{t}|$

BROADENING:  $B = \frac{1}{Q} \sum_{i \in X} |\vec{p}_i^\perp|$

$= \frac{2}{Q} |\vec{p}_2^A|$  or  $\tau = 1 - T$



# Angularities event shapes in $e^+e^-$ collisions

- Consider *Angularities*, which can be defined in terms of the rapidity and  $p_T$  of a final state particle 'i', with respect to the thrust axis:

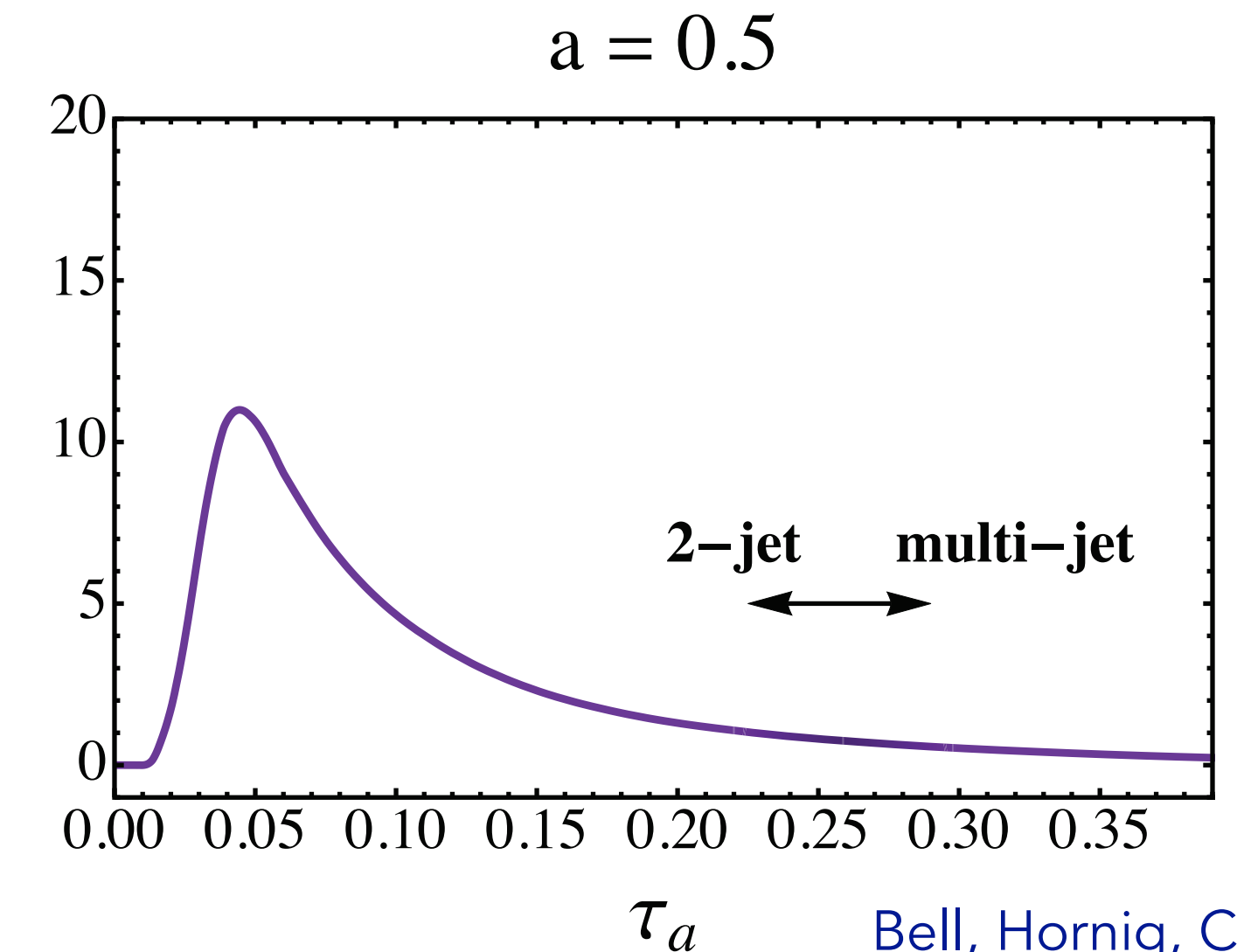
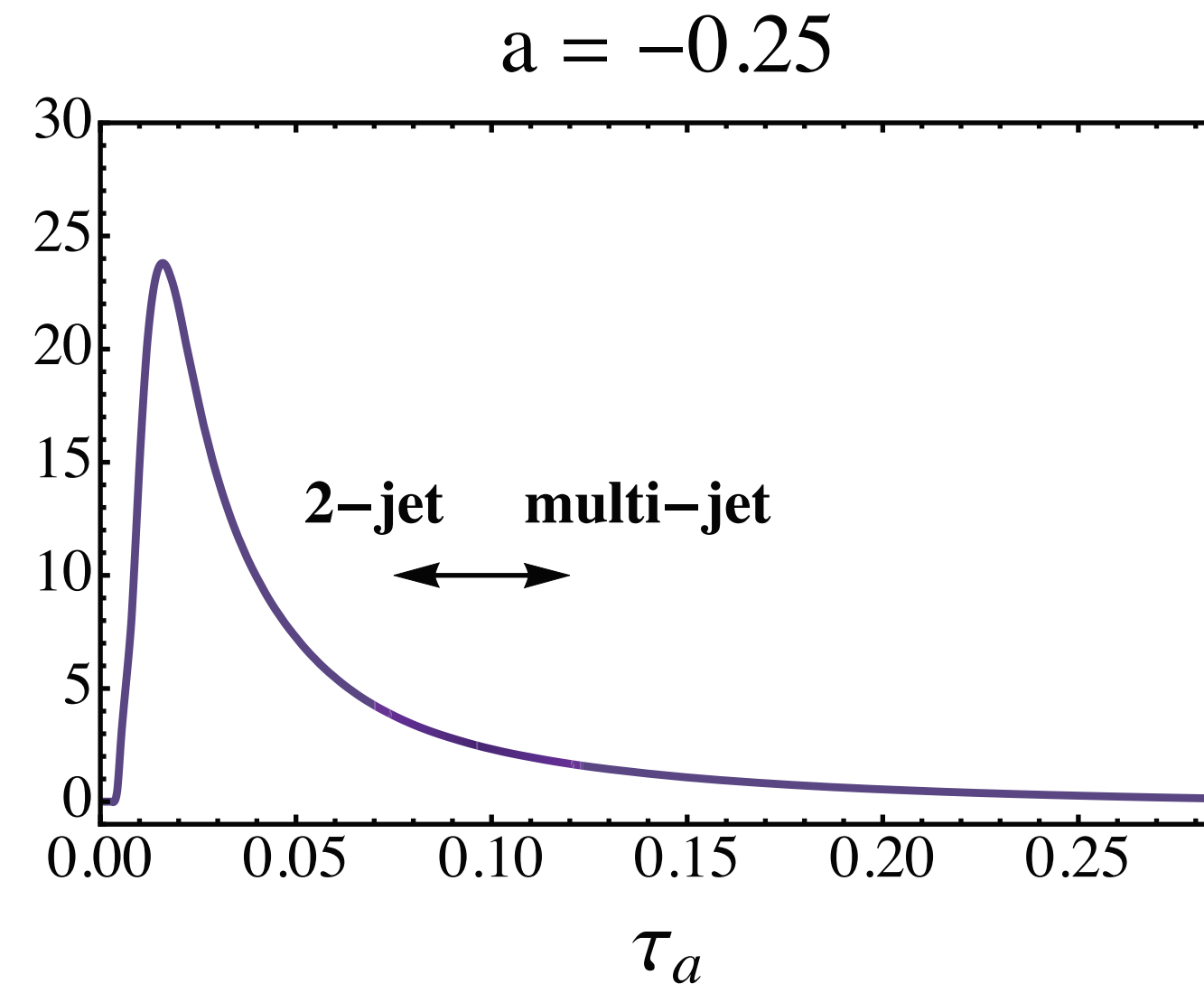
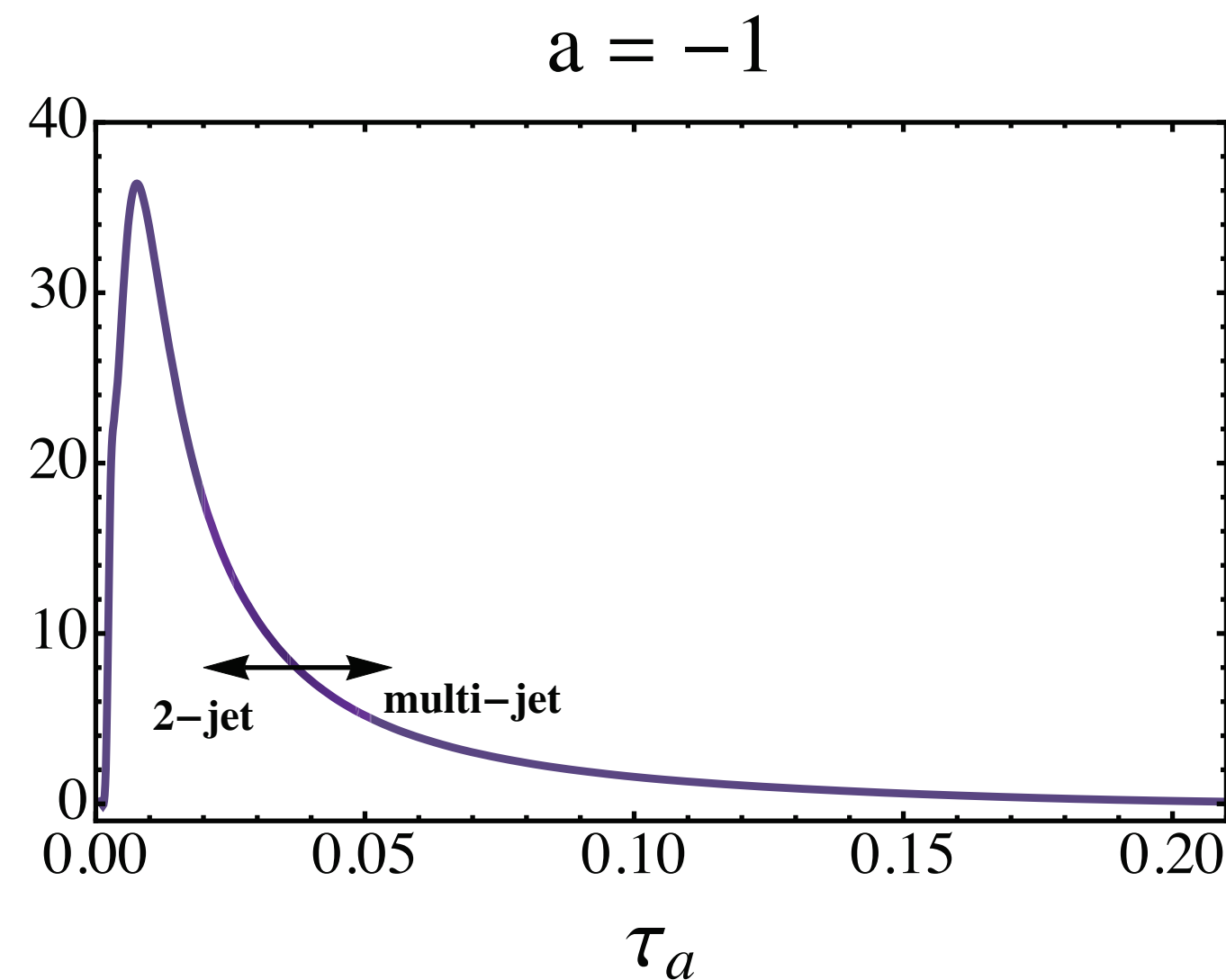
Berger, Kucs, Sterman  
[hep-ph/0303051]

IR safe for  $a \in \{-\infty, 2\}$

$$\tau_a = \frac{1}{Q} \sum_i |\mathbf{p}_{\perp}^i| e^{-|\eta_i|(1-a)}$$

$a = 0 \leftrightarrow$  'Thrust'

$a = 1 \leftrightarrow$  'Jet Broadening' (for us  $a < 1$ )

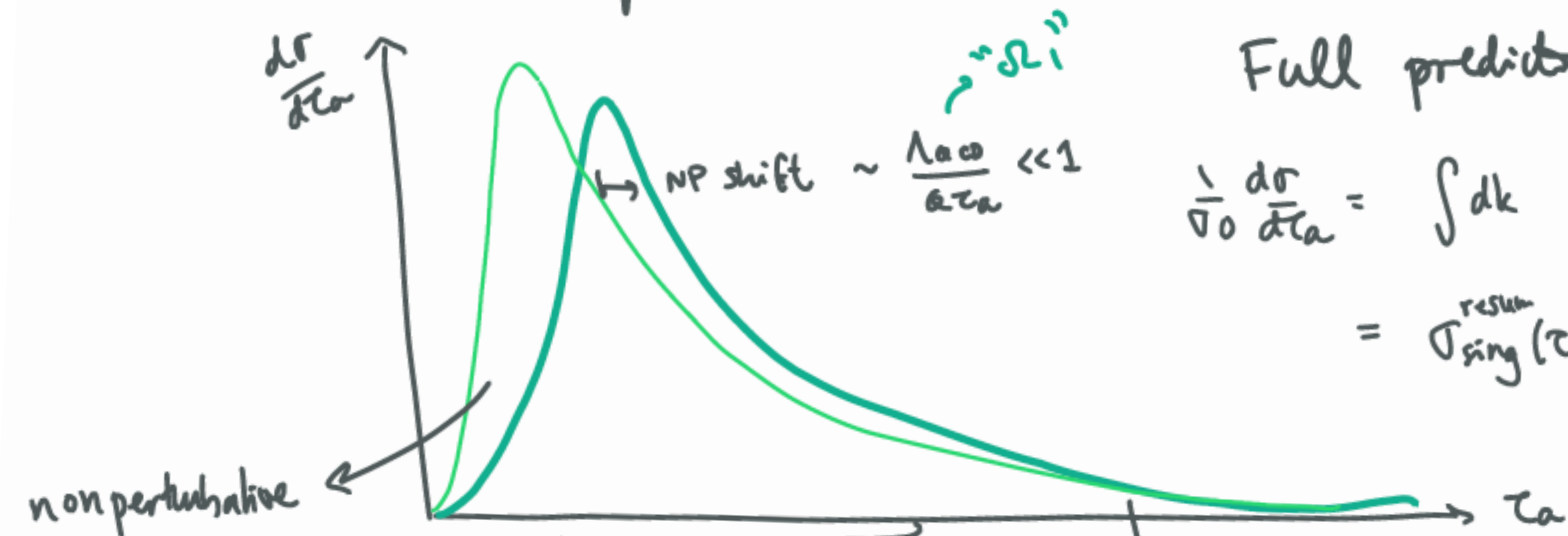


Bell, Hornig, CL, Talbert  
[1808.07867]

(for some arbitrary, but uniform, definition of "2-jet")

# EVENT SHAPES & SENSITIVITY TO $\alpha_s$

$\tau_a$ 's and similar event shapes probe QCD effects over wide range of scales, perturbative and nonperturbative:



Full prediction:

$$\frac{1}{\sigma_0} \frac{d\sigma}{d\ln Q} = \int dk \underbrace{\sigma_{PT}(\tau_a - \frac{k}{Q})}_{\text{resum}} S_{NP}(k)$$

$$= \sigma_{\text{sing}}^{\text{resum}}(\tau_a; \mu_{H, \tau, s}) + \sigma_{\text{na-sing}}^{\text{F.O.}}(\tau_a; \mu_s)$$

$$\sigma_{PT}(\tau - \frac{k}{Q}) \otimes S_{NP}(k)$$

$$\frac{\Lambda_{QCD}}{Q\tau} \sim 1$$

SHAPE FUNCTION

expansion in  
 $\alpha_s(Qz), \alpha_s(Qz^{1-a})$

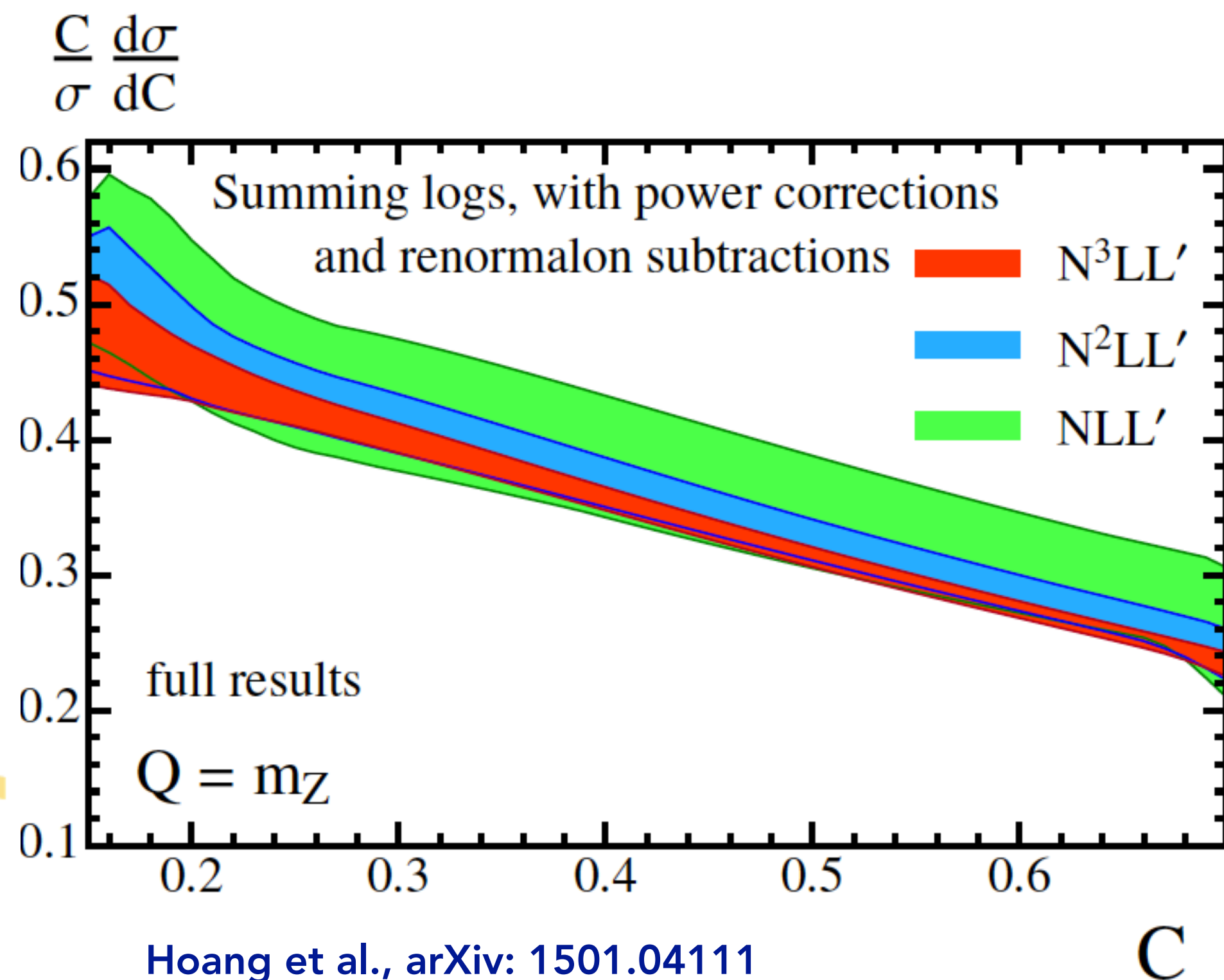
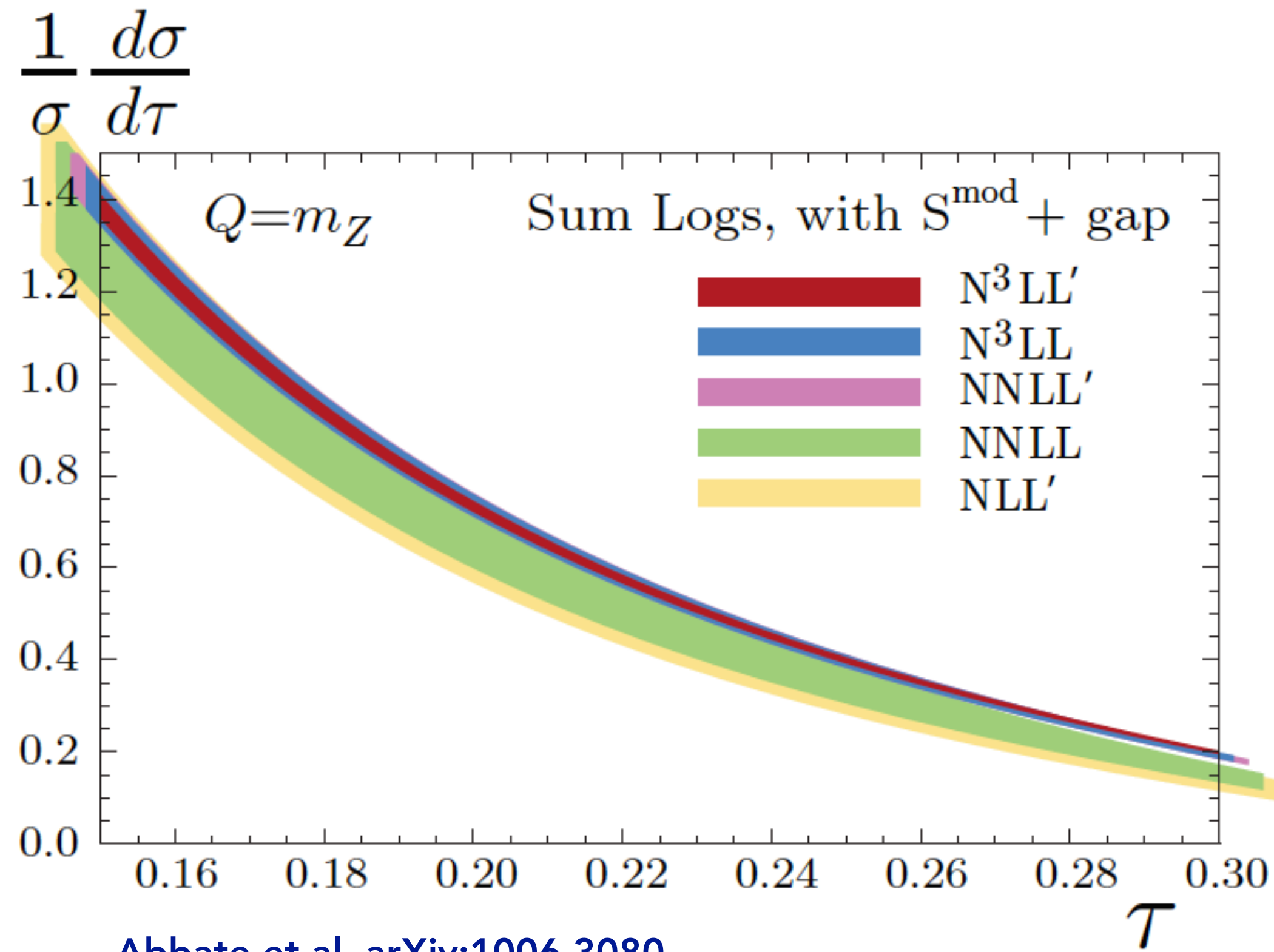
RESUMMATION

expansion  
in  $\alpha_s(Q)$

FIXED ORDER

# Event shapes to high precision

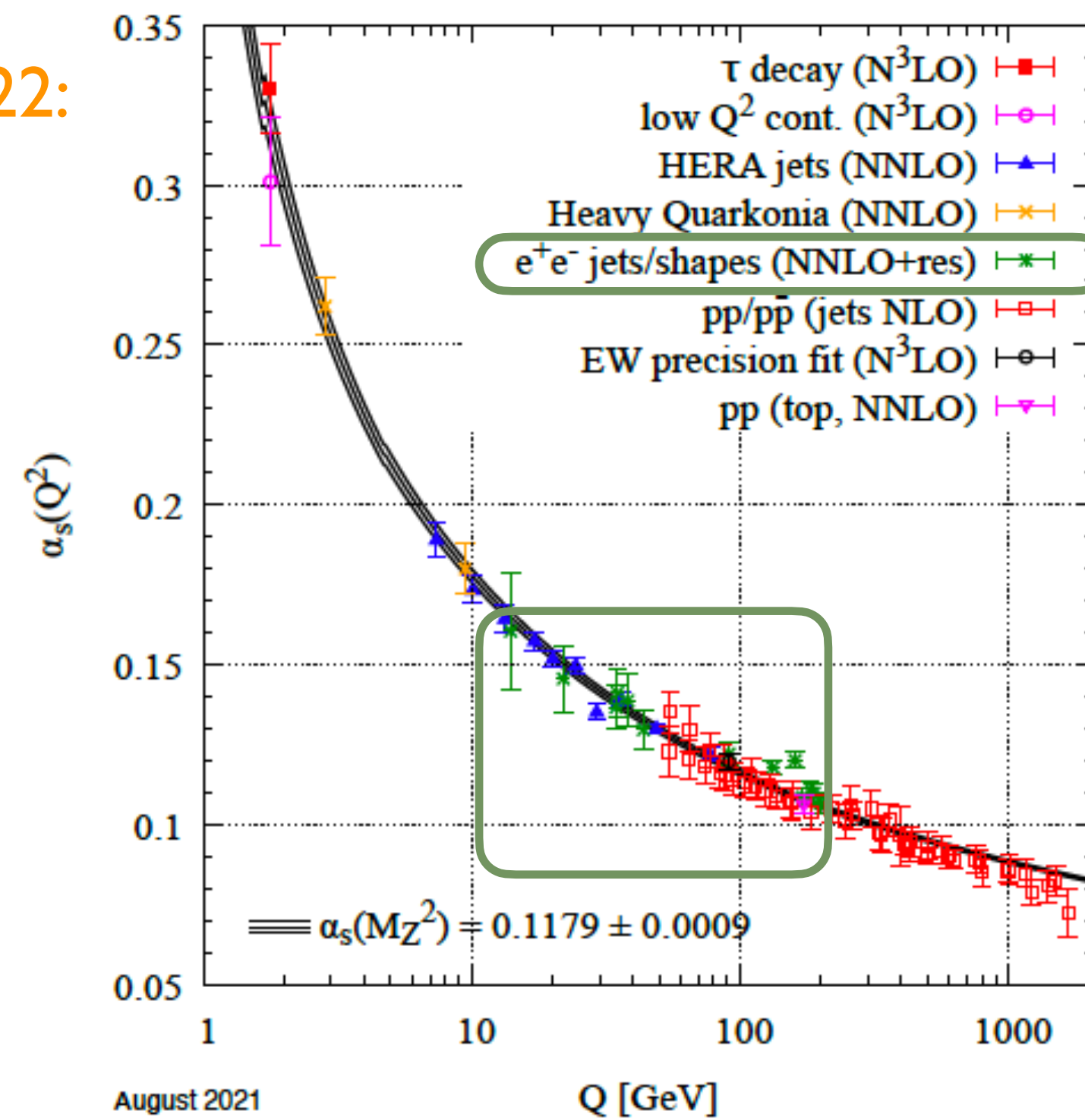
- First  $N^3LL'$  resummed event shape distributions with state-of-the-art treatment of nonperturbative corrections, e.g.:



Makes  $e+e^-$  event shapes one of the most precise ways, in principle, to determine  $\alpha_s$

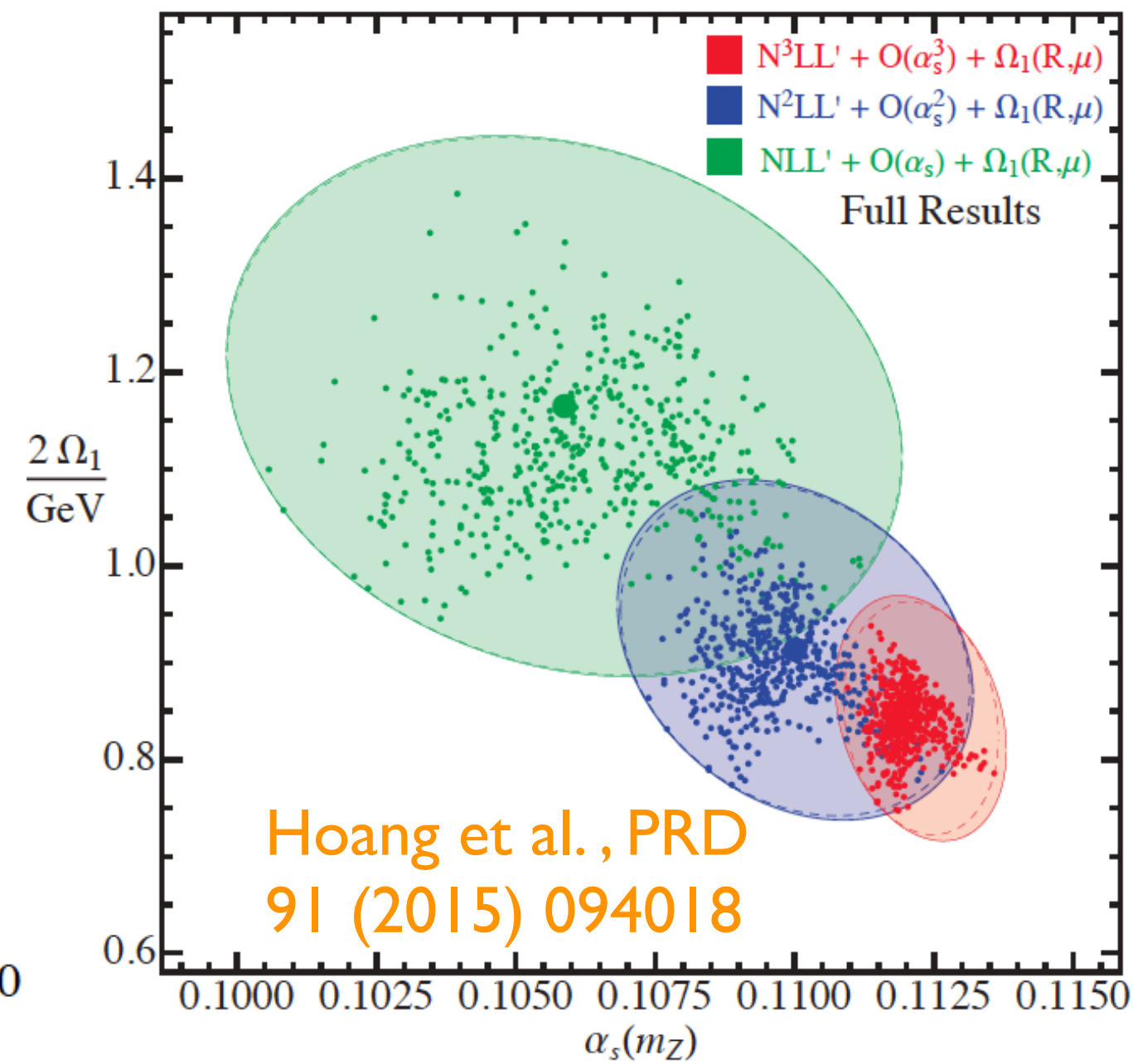
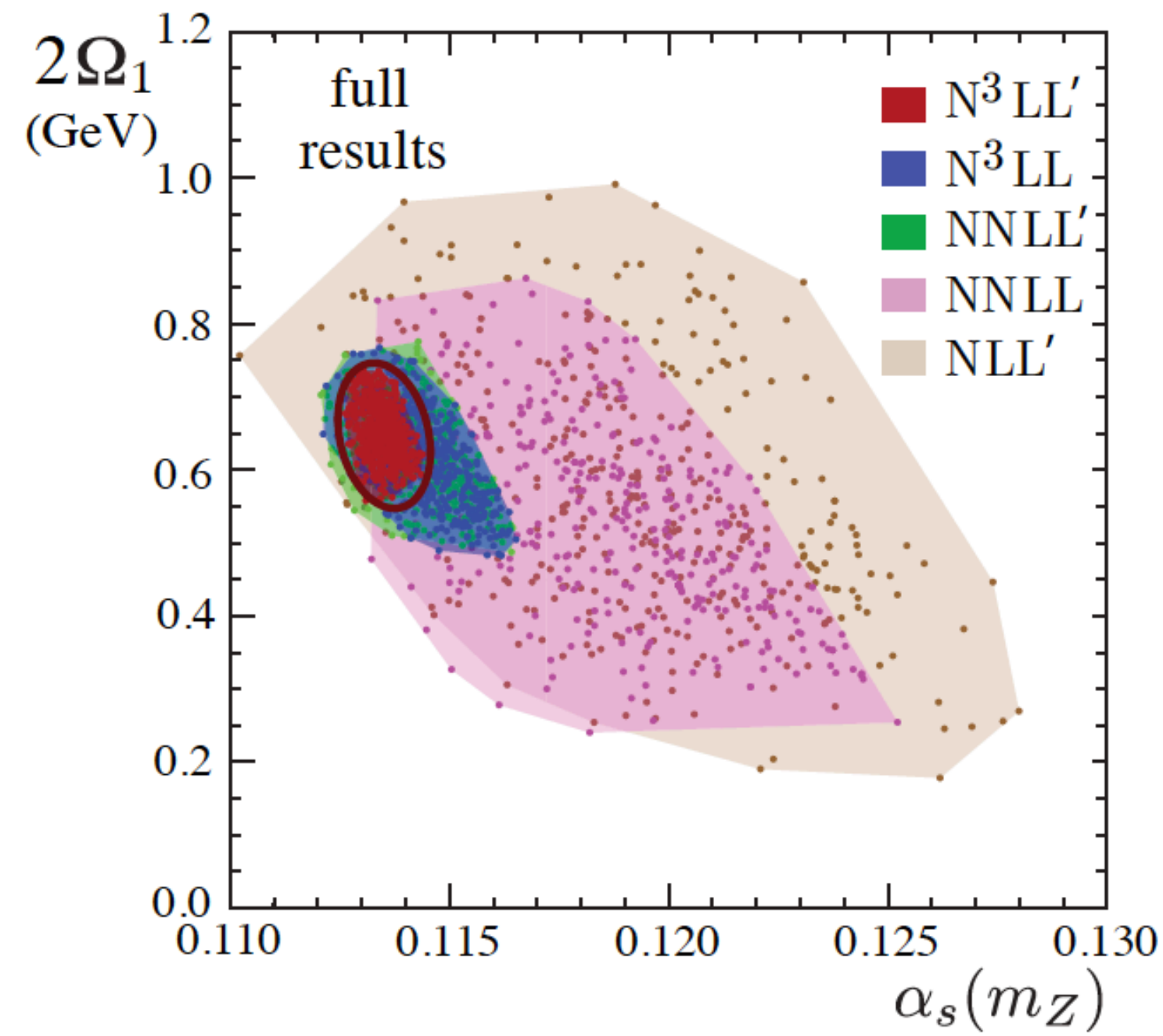
# Event shapes and the strong coupling

PDG 2022:



August 2021

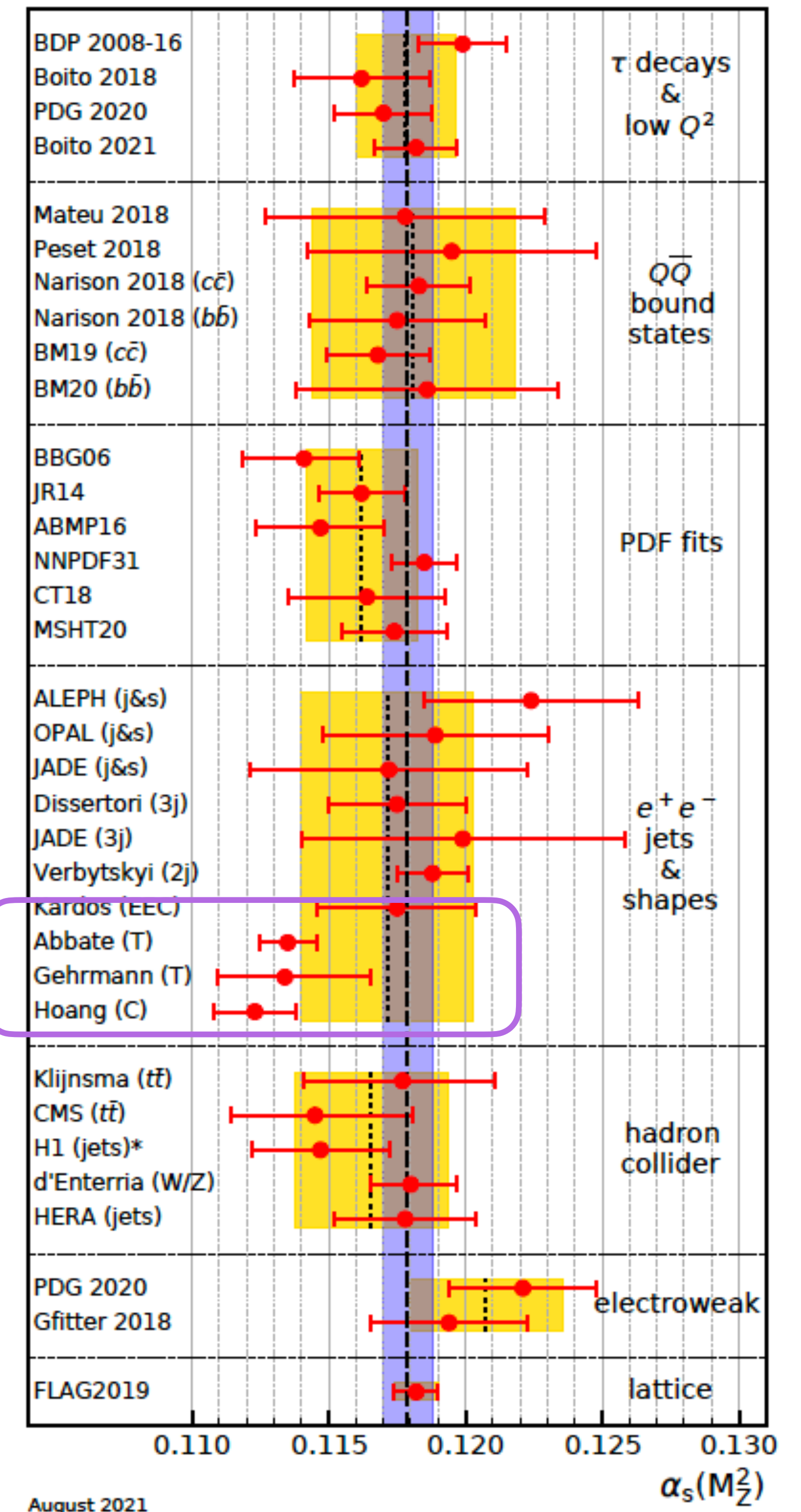
Abbate et al., PRD 83 (2011) 074021



Hoang et al., PRD 91 (2015) 094018

Event shapes

$\sim 3\sigma$  anomaly?

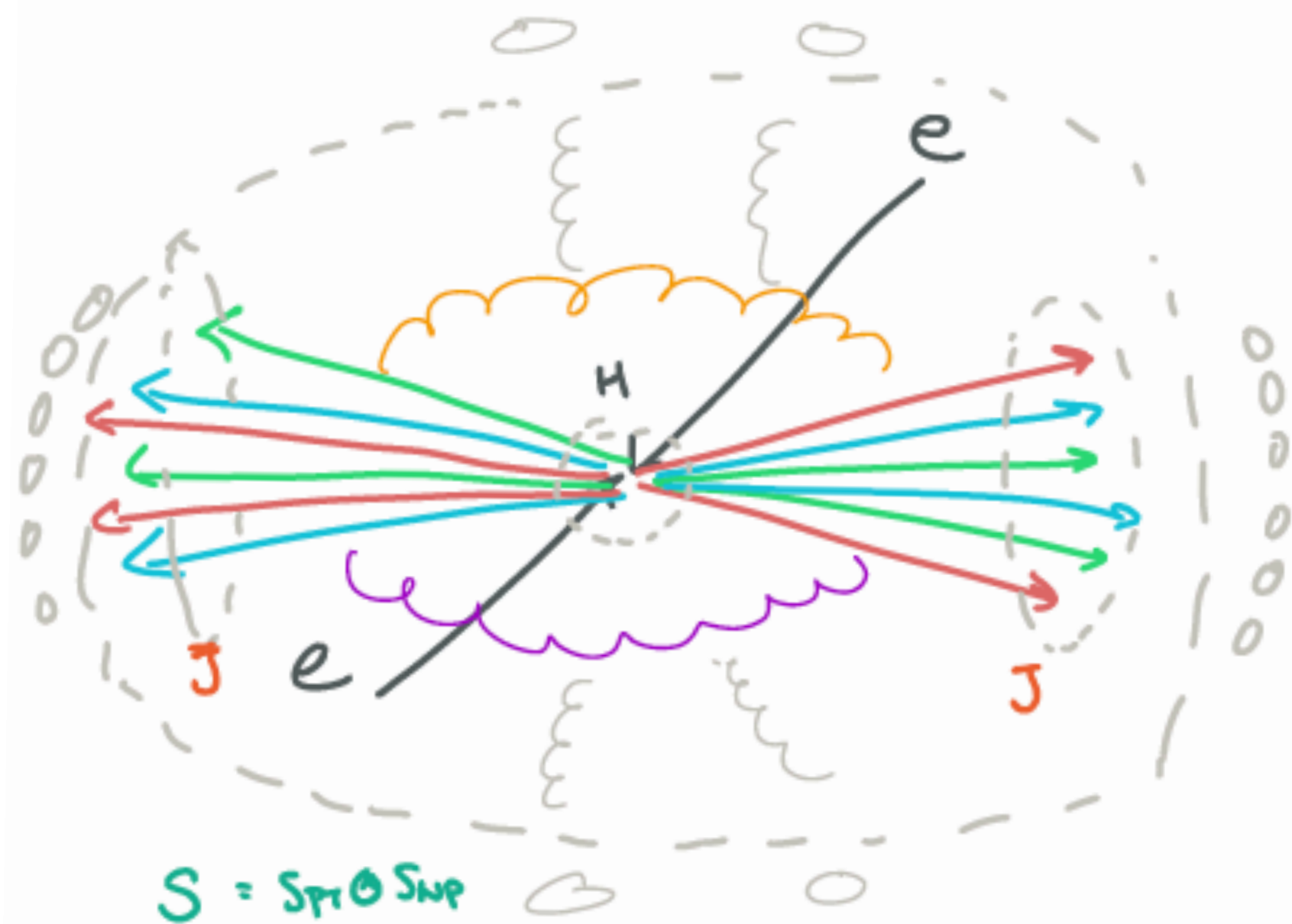


August 2021



# Factorization, Resummation and Nonperturbative Effects in EFT

# FACTORIZATION IN A NUTSHELL



For  $\tau_a \ll 1$ :

$$\frac{d\sigma}{d\tau_a} = \sigma_0 \times \left\{ \begin{array}{c} \text{tree} \\ + \\ \text{loop} \\ + \dots \end{array} \right\} H(Q^2, \mu)$$

$$\times \int dt_j dt_{\bar{j}} dk_s \delta\left(\tau_a - \frac{t_j + t_{\bar{j}}}{Q^{2-a}} - \frac{k_s}{Q}\right)$$

$$\times \left\{ \text{jet} \right\} t_j = \sum_i |p_i|^{2-a} = t_j \left( \text{jet} \right)$$

$$J_n^+(t_j, \mu) J_n^-(t_{\bar{j}}, \mu)$$

defined as matrix elements of operators in SCET

$$k_s = \sum_i (n \cdot k_i)^+ \bar{n} \cdot k_i^-$$

$$\leftarrow S_a(k_s, \mu)$$

$$= \sigma_0 H(Q^2, \mu) \int dt_j dt_{\bar{j}} dk_s \delta\left(\tau_a - \frac{t_j + t_{\bar{j}}}{Q^{2-a}} - \frac{k_s}{Q}\right) J(t_j, \mu) J(t_{\bar{j}}, \mu) S(k_s, \mu)$$

# Evolution and resummation of logs

- An all-order dijet factorization theorem for the observable is easily derived in SCET:

$$d\sigma \sim H \cdot \mathcal{J} \otimes \mathcal{J} \otimes \mathcal{S} \quad \xleftrightarrow{\text{RGE}} \quad \frac{dH(Q^2, \mu)}{d \ln \mu} = \left[ 2\Gamma_{\text{cusp}} \ln\left(\frac{Q^2}{\mu^2}\right) + 4\gamma_H(\alpha_s) \right] H(Q^2, \mu)$$

hep-ph/0303051  
hep-ph/1401.4460

- Evolving all scales to/from their 'natural' settings, one arrives at the resummed cross section:

$$\frac{\sigma_{\text{sing}}(\tau_a)}{\sigma_0} = e^{K(\mu, \mu_H, \mu_J, \mu_S)} \left(\frac{\mu_H}{Q}\right)^{\omega_H(\mu, \mu_H)} \left(\frac{\mu_J^{2-a}}{Q^{2-a}\tau_a}\right)^{2\omega_J(\mu, \mu_J)} \left(\frac{\mu_S}{Q\tau_a}\right)^{\omega_S(\mu, \mu_S)} H(Q^2, \mu_H) \quad \mathcal{F}(\Omega) = \frac{e^{\gamma_E \Omega}}{\Gamma(-\Omega)}$$

$$\times \tilde{J}\left(\partial_\Omega + \ln \frac{\mu_J^{2-a}}{Q^{2-a}\tau_a}, \mu_J\right)^2 \tilde{S}\left(\partial_\Omega + \ln \frac{\mu_S}{Q\tau_a}, \mu_S\right) \times \begin{cases} \frac{1}{\tau_a} \mathcal{F}(\Omega) & \sigma = \frac{d\sigma}{d\tau_a} \\ \mathcal{G}(\Omega) & \sigma = \sigma_c \end{cases} \quad \mathcal{G}(\Omega) = \frac{e^{\gamma_E \Omega}}{\Gamma(1-\Omega)}$$

**Hard**

$$\mu_H = Q$$

$$p_S \sim Q(\tau, \tau, \tau)$$

**Jet**

$$\mu_J = Q\tau^{1/2}$$



$$p_c \sim Q(1, \tau, \tau^{1/2})$$

**Soft**

$$\mu_S = Q\tau$$

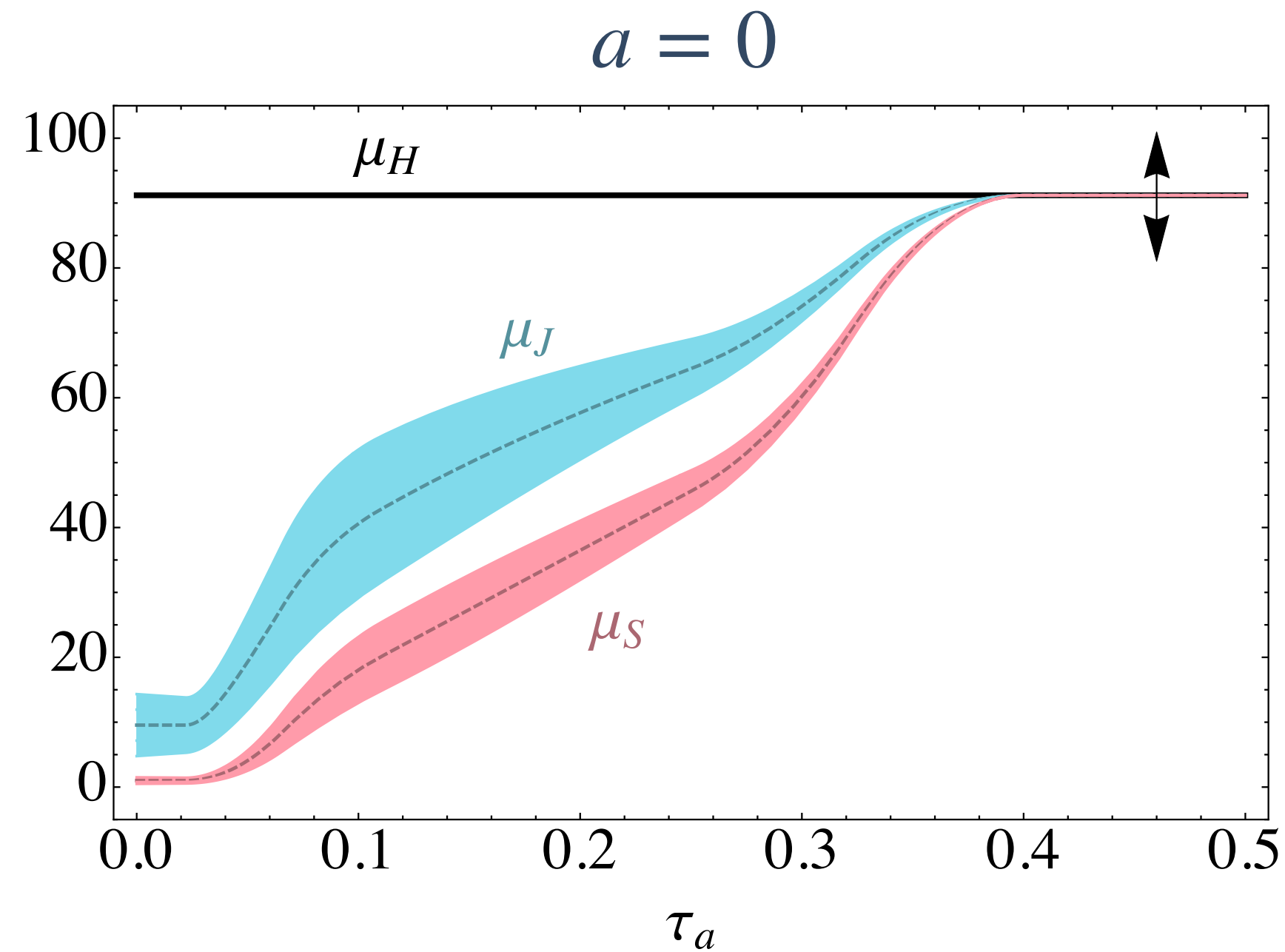
$$\gamma_F(\mu) = -j_F \kappa_F \Gamma_{\text{cusp}}[\alpha_s(\mu)] \ln \frac{Q_F}{\mu} + \gamma_F[\alpha_s(\mu)]$$

$$\Omega = 2\omega_J + \omega_S$$

$$\omega_F = -2\kappa_F \int_{\mu_F}^{\mu} \frac{d\mu'}{\mu'} \Gamma_{\text{cusp}}[\alpha_s(\mu')]$$

$$K = \sum_{F=H,J,S} \int_{\mu_F}^{\mu} \frac{d\mu'}{\mu'} \gamma_F(\mu')$$

# Perturbative scale profiles



Full prediction:

$$\frac{1}{\sigma_0} \frac{d\sigma}{d\tau_a} = \int dk \underbrace{\sigma_{PT}(\tau_a^{-\frac{k}{a}})}_{\text{resum}} S_{NP}(k)$$

$$= \sigma_{\text{sing}}^{\text{resum}}(\tau_a; \mu_{H,J,S}) + \sigma_{\text{non-sing}}^{\text{F.O.}}(\tau_a; \mu_{NS})$$

Will consider two non-singular scale choices “2010” and “2018”:

$$\mu_{\text{ns}} = \begin{cases} \mu_J & \text{default} \\ (\mu_J + \mu_S)/2 & \text{lo} \\ \mu_H & \text{hi} \end{cases}$$

[1006.3080]

$$\mu_{\text{ns}} = \begin{cases} \mu_H & \text{default} \\ (\mu_H + \mu_J)/2 & \text{lo} \\ (3\mu_H - \mu_J)/2 & \text{hi} \end{cases}$$

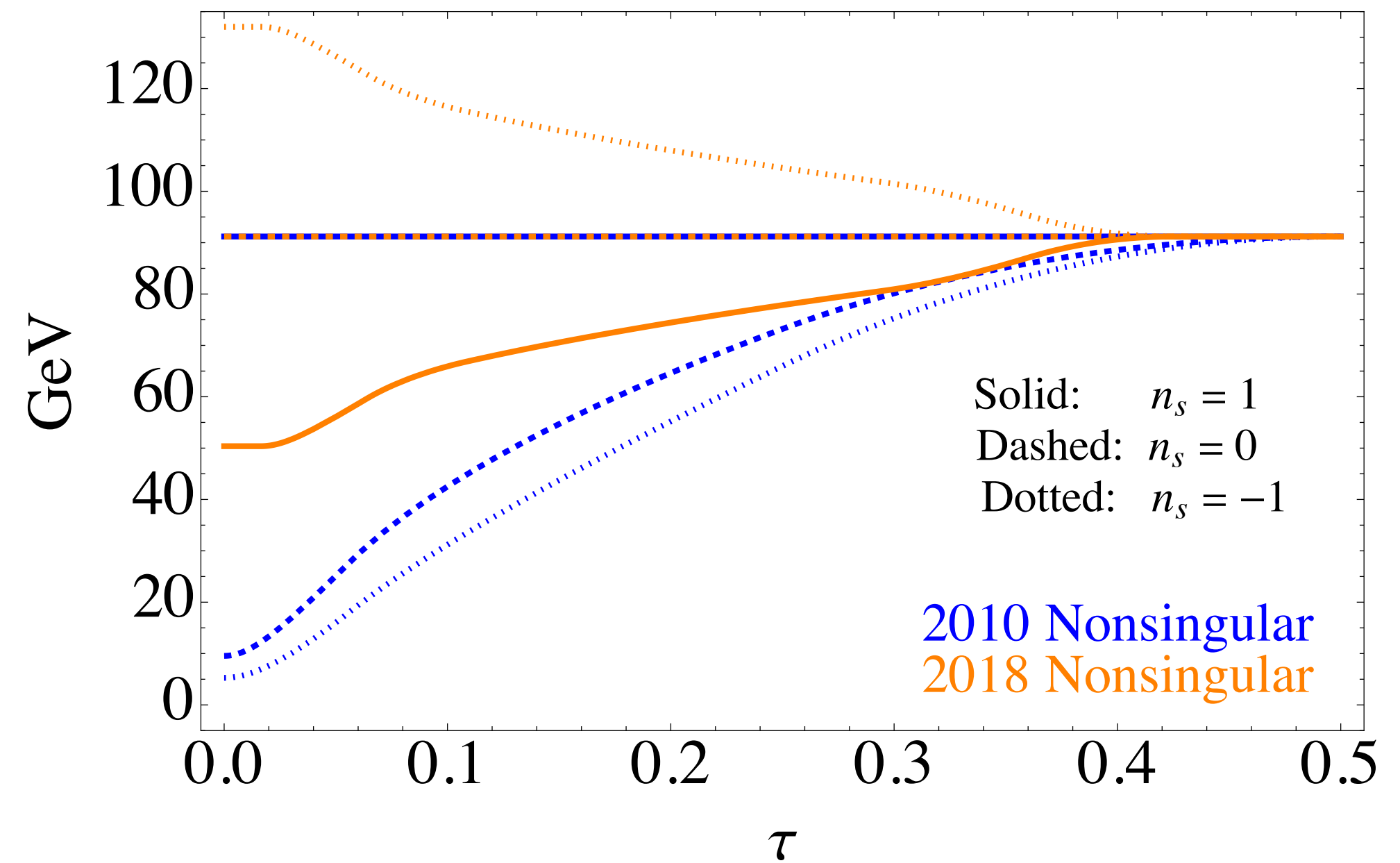
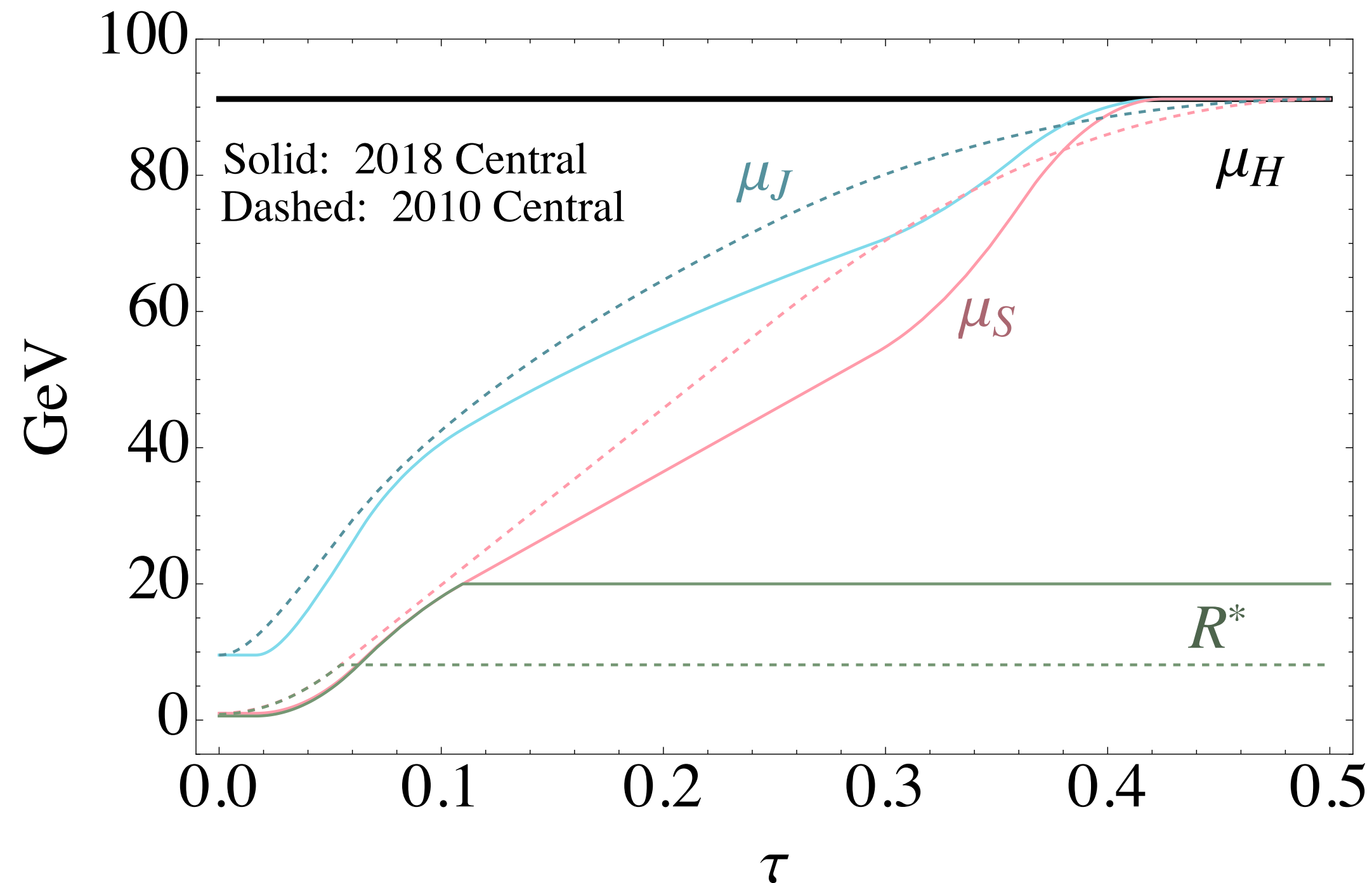
[1808.07867,  
1501.04111]

# Perturbative scale profiles

- Scale choices for resummed and fixed-order parts:

2010: [1006.3080]

2018: [1808.07867] based on [1501.04111]



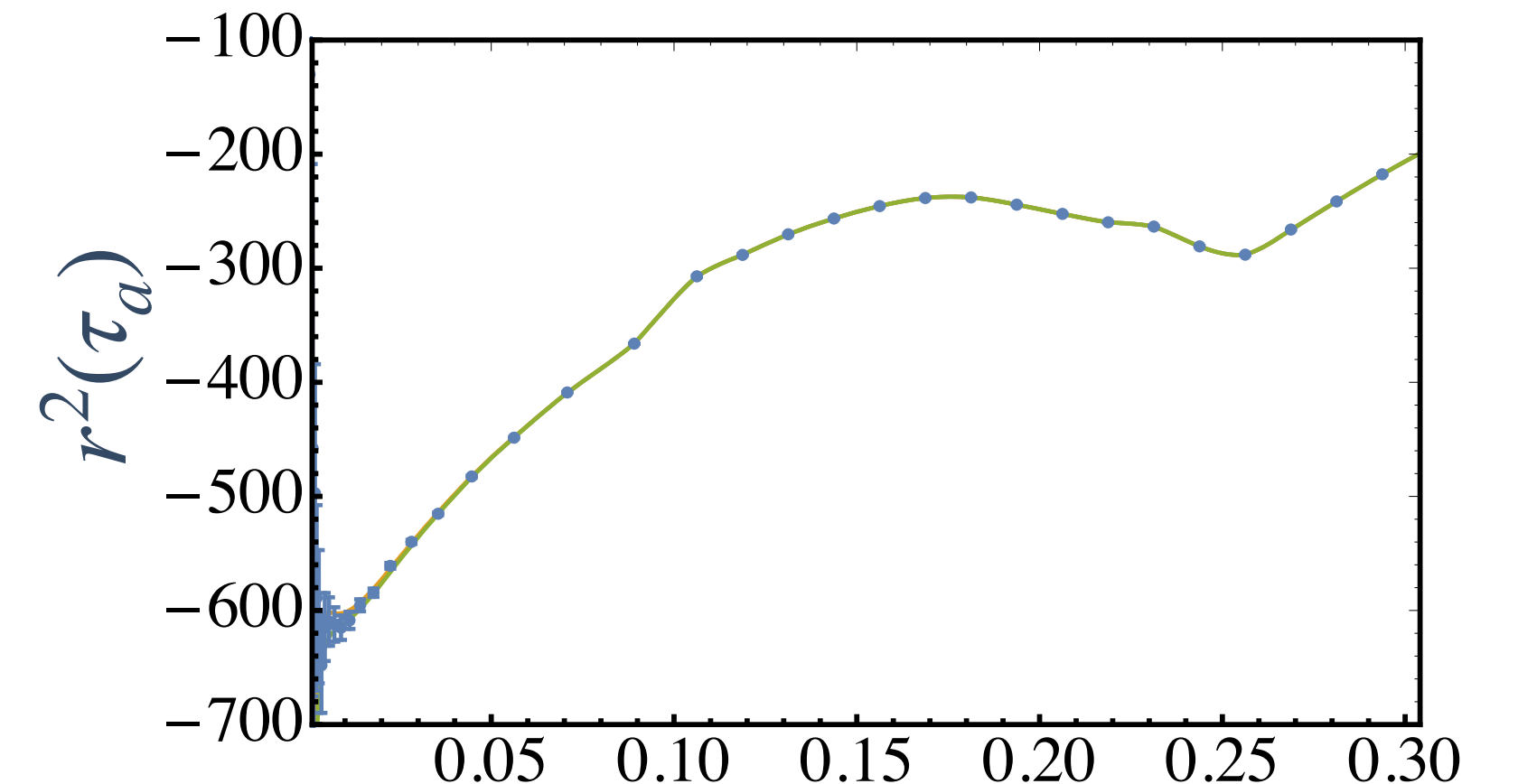
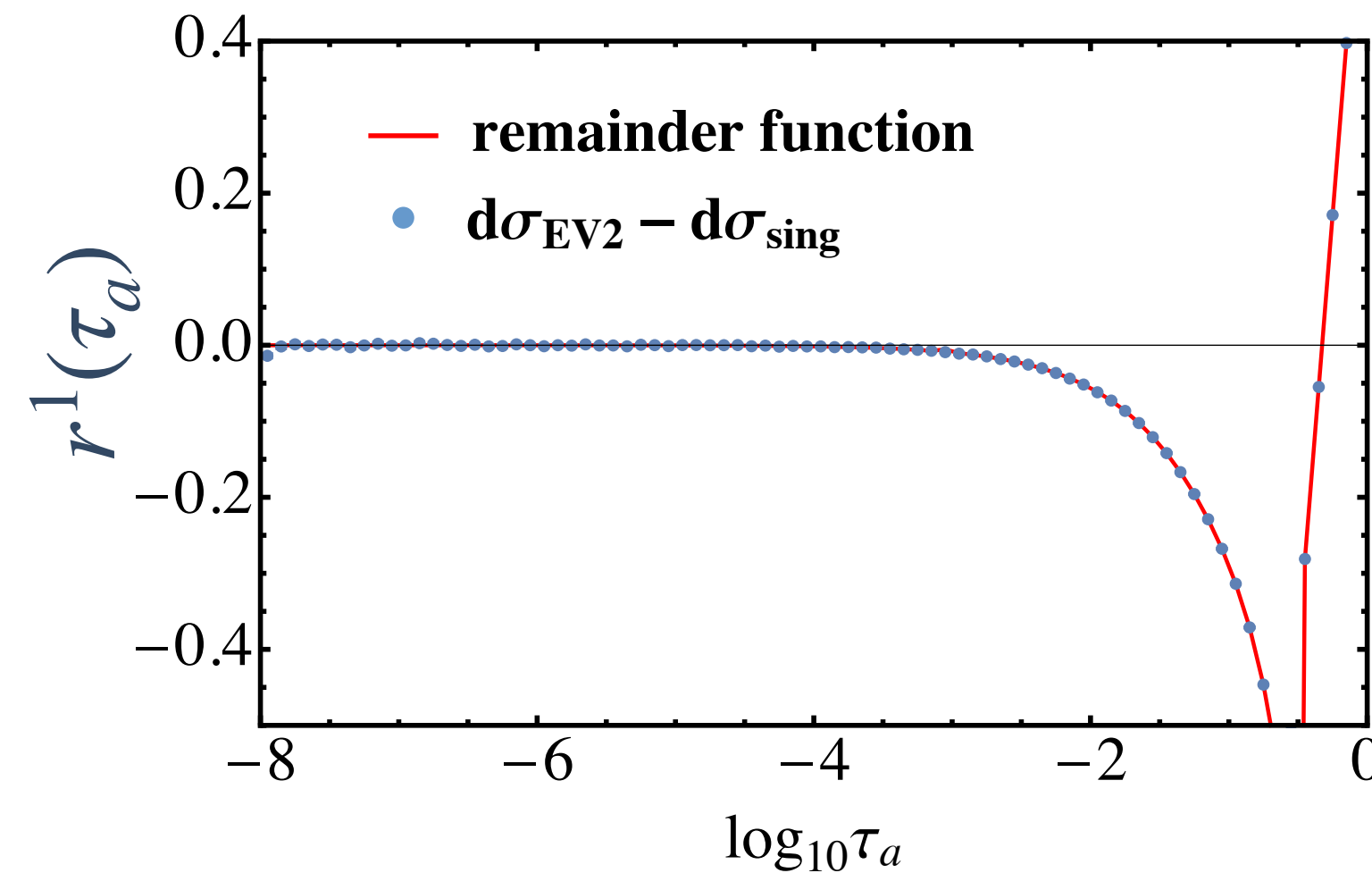
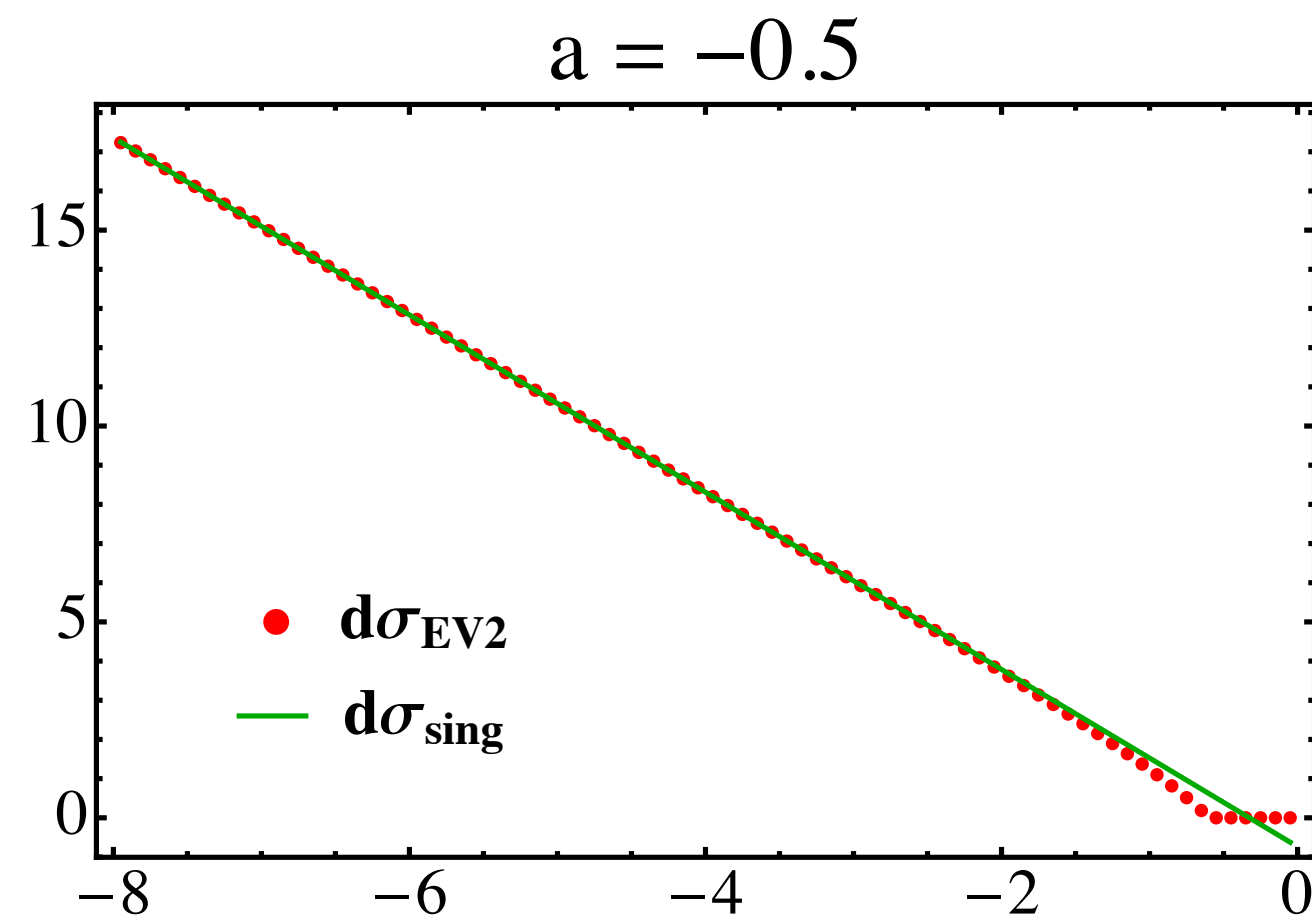
$$\sigma_{PT}(\tau) = \sigma_{sing}(\tau; \mu_H, \mu_J, \mu_S) + \sigma_{ns}(\tau; \mu_{ns})$$

$$\sigma(\tau) = \sigma_{PT}(\tau; \mu_i, R) \otimes f_{mod}(\tau, \Delta(R))$$

# Fixed-order tails

- The above predicts the (resummed) singular component of the cross section. One must then match to fixed-order QCD for large  $\tau$ :

$$\frac{\sigma_c(\tau_a)}{\sigma_0} - \frac{\sigma_{c,\text{sing}}(\tau_a)}{\sigma_0} = r_c(\tau_a) = \theta(\tau_a) \left\{ \frac{\alpha_s(Q)}{2\pi} r_c^1(\tau_a) + \left( \frac{\alpha_s(Q)}{2\pi} \right)^2 r_c^2(\tau_a) \right\} + \dots$$



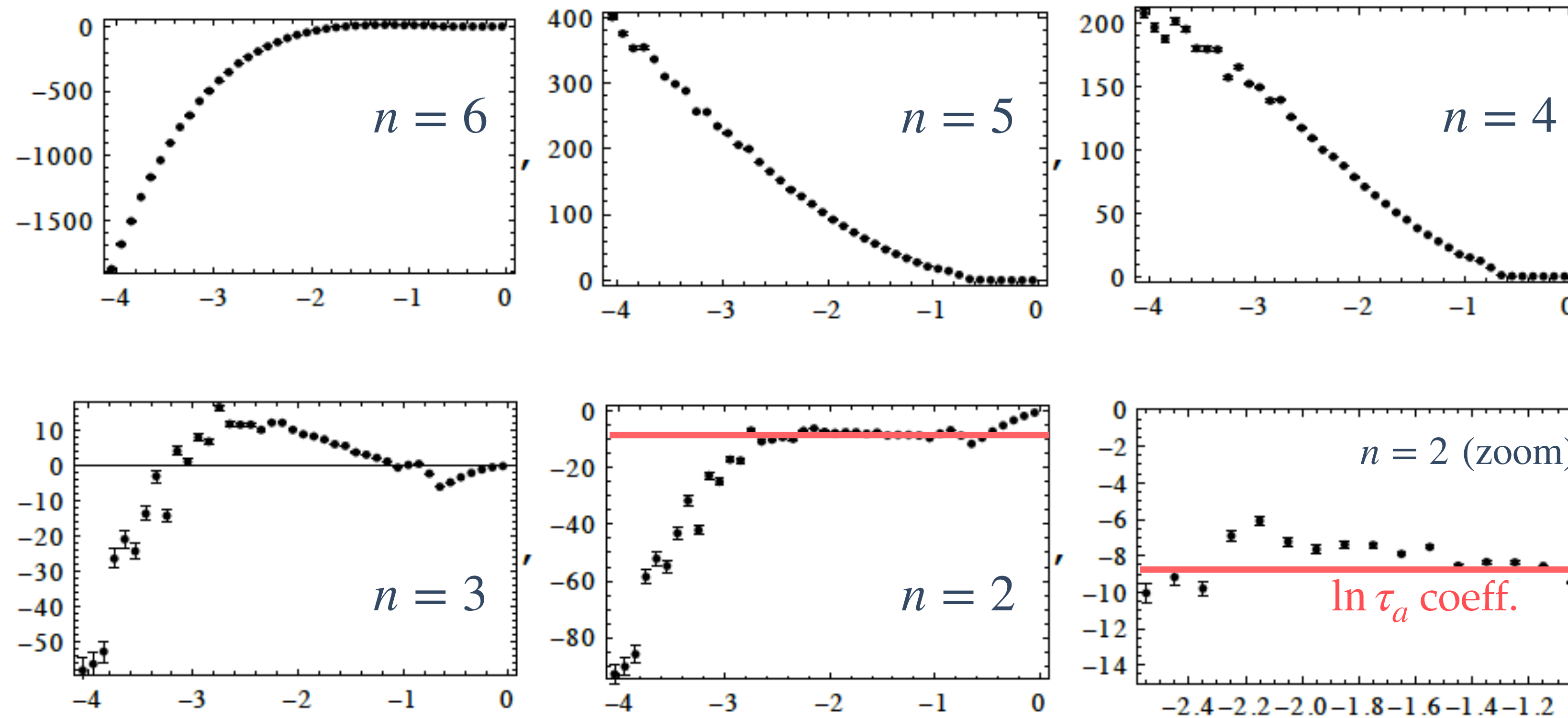
Bell, Hornig, CL, Talbert  
[1808.07867]

# New remainder functions

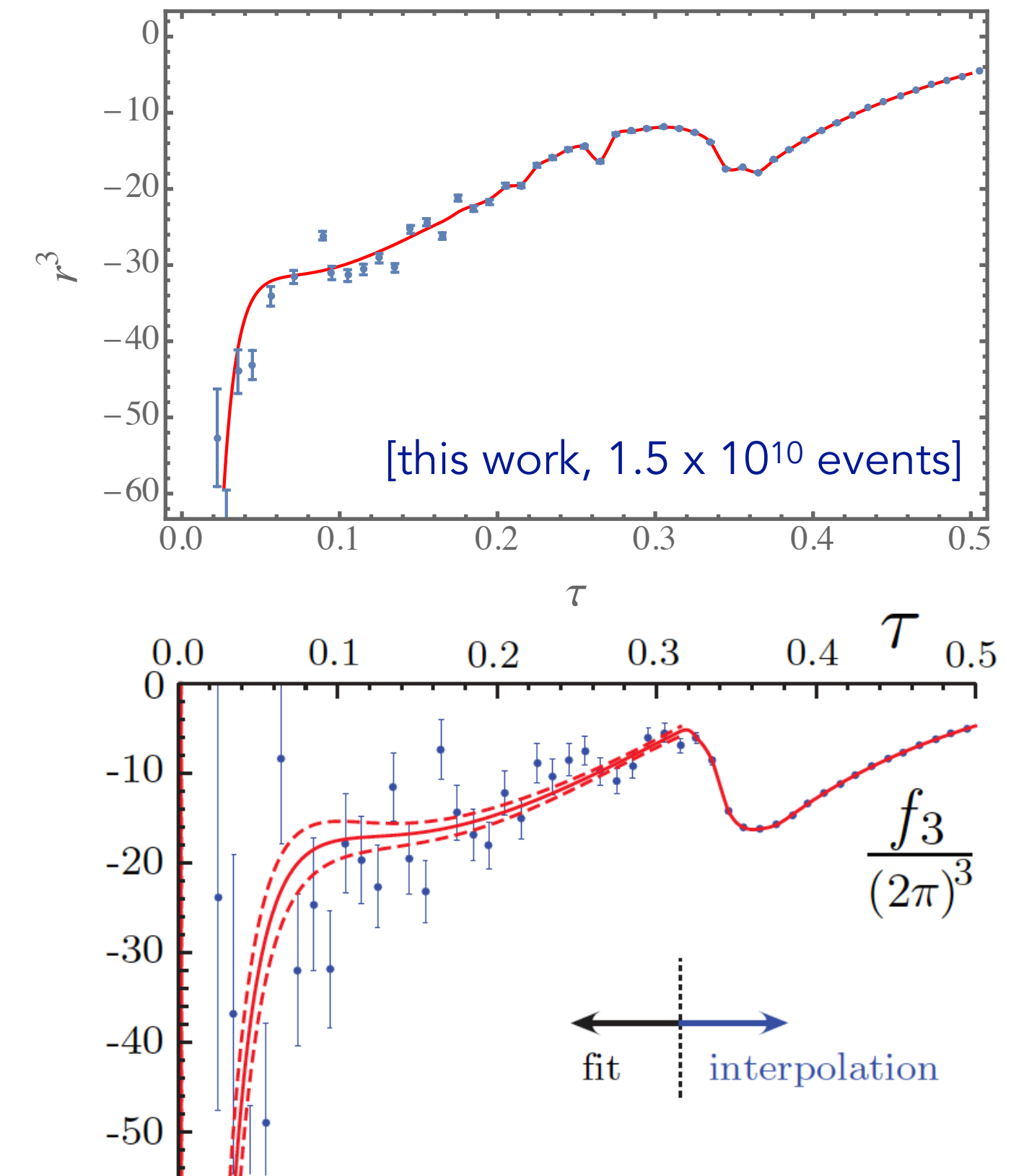
- Results for 3-loop fixed-order angularity distributions from EERAD3 (IR cutoff  $10^{-7}$ ,  $1.5 \times 10^{10}$  events)

$\frac{1}{\sigma} \frac{d\sigma}{d \ln \tau}$  minus  $\ln^n \tau_a$  terms:

e.g. for  $a = -1$ :



- "Finite" remainder functions,  $a=0$ :



- N.B.: 3-loop results computed but not included in  $\alpha_s$  determinations presented in this talk: single log coefficient for  $a=0$  (thrust) **differs** from QCD predictions: needs to be revisited

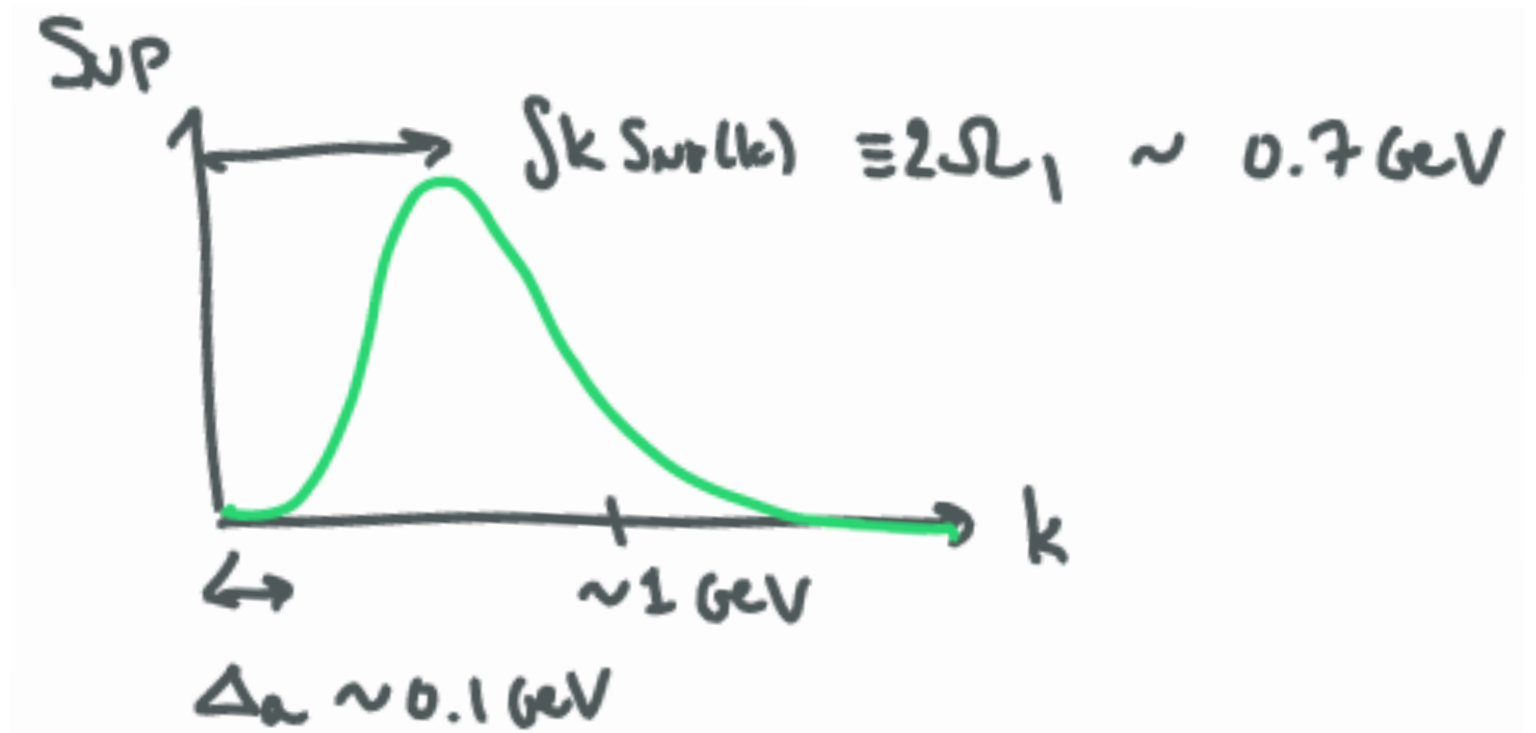
[1006.3080,  $6 \times 10^7$  events]

# Non-perturbative effects and gapped soft function

- Additionally, a treatment of non-perturbative effects is critical in  $e^+e^- \rightarrow \text{hadrons}$
- When dominant power corrections come from the soft function, NP effects can be parameterized into a shape function  $f_{\text{mod}}$ :

$$S(k, \mu) = \int dk' S_{\text{PT}}(k - k', \mu) f_{\text{mod}}(k' - 2\bar{\Delta}_a) \quad \begin{array}{l} [0709.3519] \\ [0807.1926] \end{array}$$

'Gap' parameter accounting for parton  $\rightarrow$  hadron transition

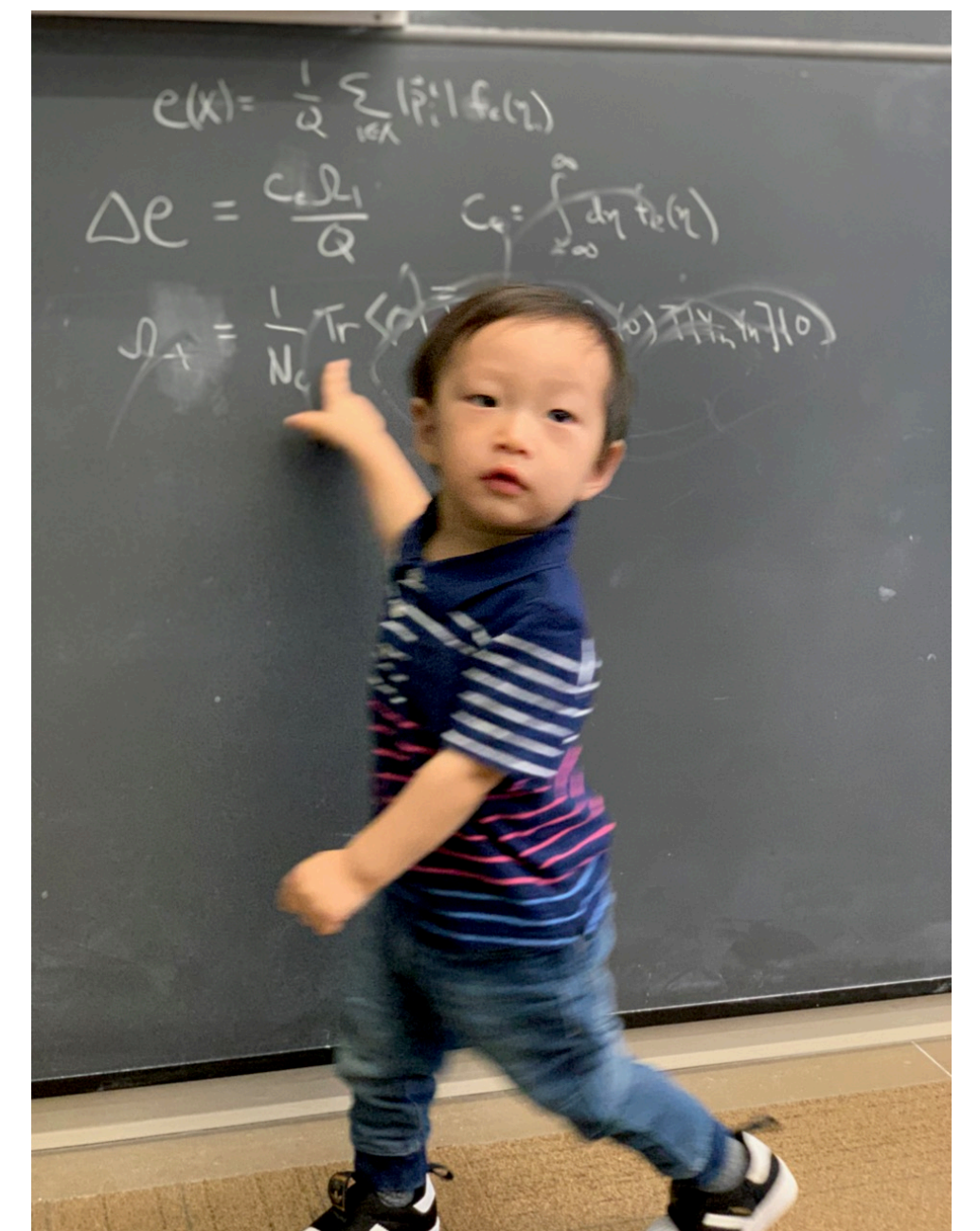


- The effect of  $f_{\text{mod}}$  is to shift the first moment of the perturbative distribution:

$$\langle \tau_a \rangle = \langle \tau_a \rangle_{\text{PT}} + \frac{2\Omega_1}{Q(1-a)} \quad \frac{2\Omega_1}{1-a} = 2\bar{\Delta}_a + \int dk k f_{\text{mod}}(k)$$

- This scaling and the *universality* of  $\Omega_1$  can be proven from QCD / SCET factorization:

C. Lee & G. Sterman [hep-ph/0611061]



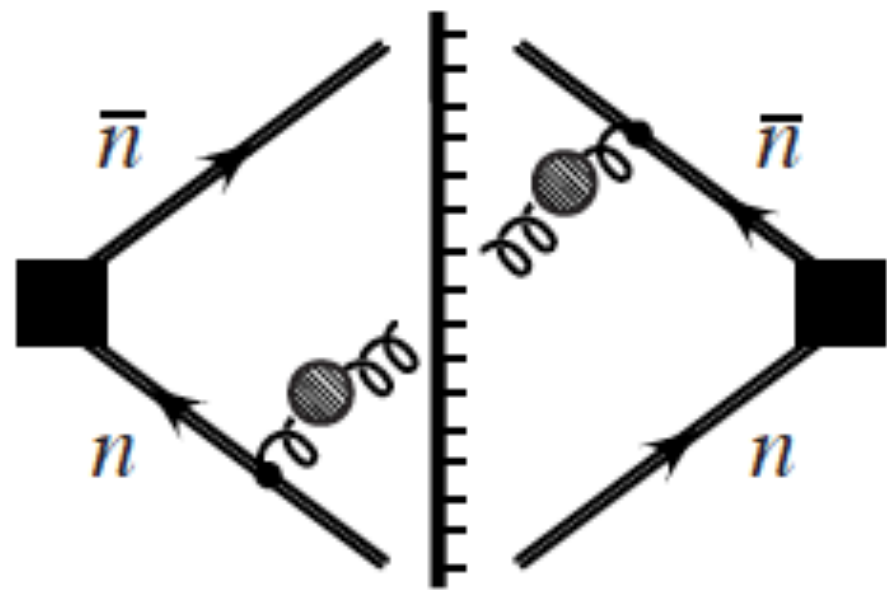
Caltech, March 2019



# Non-perturbative effects and gapped soft function

- However, both the perturbative soft function and gap parameter suffer renormalon ambiguities.

$$S(k, \mu) = \int dk' S_{\text{PT}}(k - k', \mu) f_{\text{mod}}(k' - 2\bar{\Delta}_a)$$



$$\text{gluon loop} = \text{tree} + \text{one loop} + \text{two loops} + \dots$$

- $\mathcal{O}(\Lambda_{\text{QCD}})$  ambiguity in gap  $\bar{\Delta}_a$

- Subtract a series with the same/canceling ambiguity from both PT and NP pieces:

$$\bar{\Delta}_a = \Delta_a(\mu) + \delta_a(\mu) \quad \xrightarrow{\text{Laplace space}} \quad \tilde{S}(\nu, \mu) = \left[ e^{-2\nu\Delta_a(\mu)} \tilde{f}_{\text{mod}}(\nu) \right] \left[ e^{-2\nu\delta_a(\mu)} \tilde{S}_{\text{PT}}(\nu, \mu) \right]$$

*renormalon free*
*renormalon free*

- Choosing the  $R_{\text{gap}}$  scheme to cancel the leading renormalon,

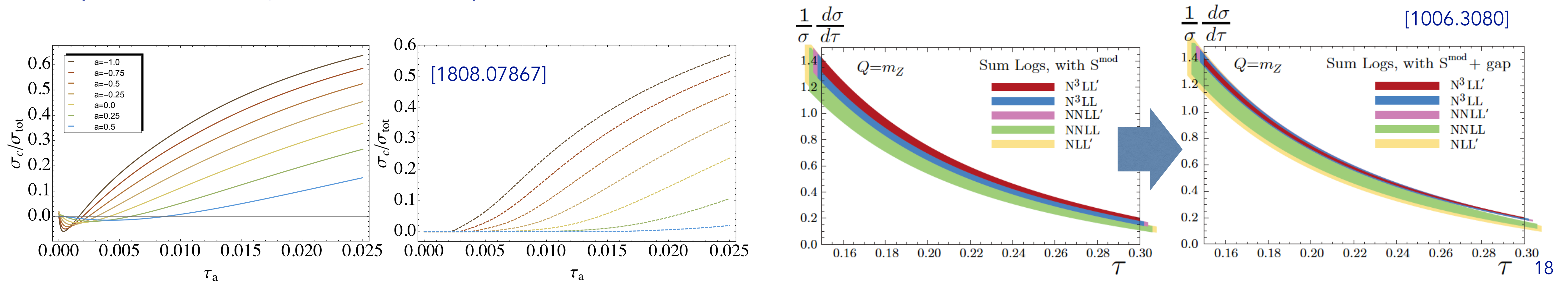
$$Re^{\gamma_E} \frac{d}{d \ln \nu} \left[ \ln \hat{S}_{\text{PT}}(\nu, \mu) \right]_{\nu=1/(Re^{\gamma_E})} = 0 \quad \longrightarrow \quad \delta_a(\mu, R) = \frac{1}{2} Re^{\gamma_E} \frac{d}{d \ln \nu} \left[ \ln \tilde{S}_{\text{PT}}(\nu, \mu) \right]_{\nu=1/(Re^{\gamma_E})},$$

$$\hat{S}_{\text{PT}}(\nu, \mu) = e^{-2\nu\delta_a(\mu)} \tilde{S}_{\text{PT}}(\nu, \mu)$$

**Gapped and renormalon free soft function**  $S(k, \mu) = \int dk' S_{\text{PT}}(k - k', \mu) \left[ e^{-2\delta_a(\mu, R) \frac{d}{dk'}} f_{\text{mod}}(k' - 2\Delta_a(\mu, R)) \right]$

**Final cross section is expanded order-by-order in bracketed term**  $\frac{1}{\sigma_0} \sigma(\tau_a) = \int dk \sigma_{\text{PT}}\left(\tau_a - \frac{k}{Q}\right) \left[ e^{-2\delta_a(\mu_S, R) \frac{d}{dk}} f_{\text{mod}}(k - 2\Delta_a(\mu_S, R)) \right]$

- Improves small  $\tau_a$  behavior and perturbative convergence:



- Choosing the  $R_{\text{gap}}$  scheme to cancel the leading renormalon,

$$Re^{\gamma_E} \frac{d}{d \ln \nu} \left[ \ln \hat{S}_{\text{PT}}(\nu, \mu) \right]_{\nu=1/(Re^{\gamma_E})} = 0 \quad \longrightarrow \quad \delta_a(\mu, R) = \frac{1}{2} Re^{\gamma_E} \frac{d}{d \ln \nu} \left[ \ln \tilde{S}_{\text{PT}}(\nu, \mu) \right]_{\nu=1/(Re^{\gamma_E})},$$

$$\delta(\mu, R) = \frac{Re^{\gamma_E}}{2} \sum_{n=1}^{\infty} \left( \frac{\alpha_S(\mu)}{4\pi} \right)^n \delta^n(\mu_S, R)$$

$$\delta^1(\mu_S, R) = 2\Gamma_s^0 \ln \frac{\mu_S}{R}$$

$$\delta^2(\mu_S, R) = 2\Gamma_s^0 \beta_0 \ln^2 \frac{\mu_S}{R} + 2\Gamma_s^1 \ln \frac{\mu_S}{R} + \gamma_s^1 + 2c_{\tilde{S}}^1 \beta_0$$

$$\delta^3(\mu_S, R) = \dots$$

- Want to keep  $R$  near IR scales, but also avoid large logs  $\ln \frac{\mu_S}{R}$  in subtraction terms

- but  $\mu_S$  grows to be as large as  $Q$ :

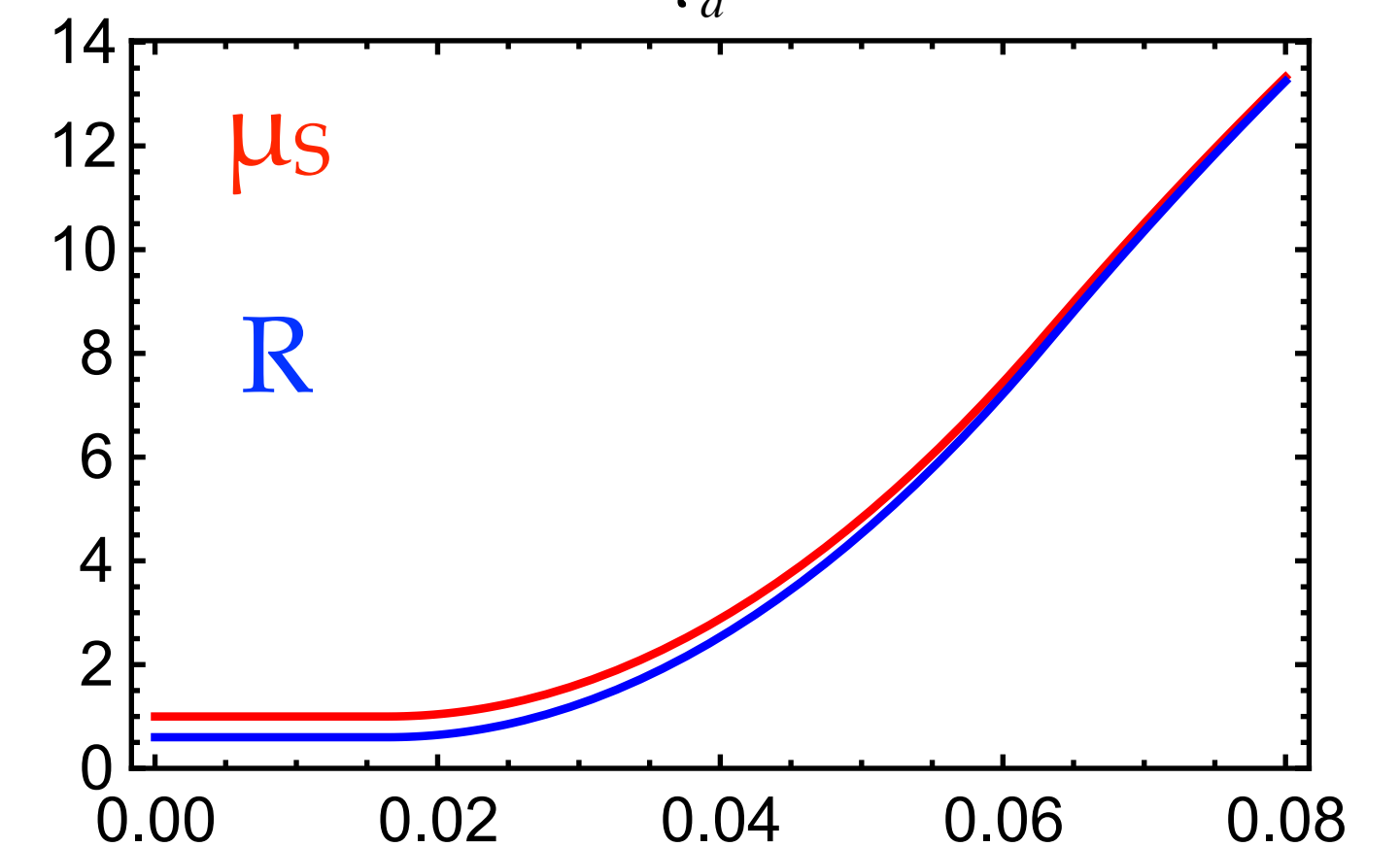
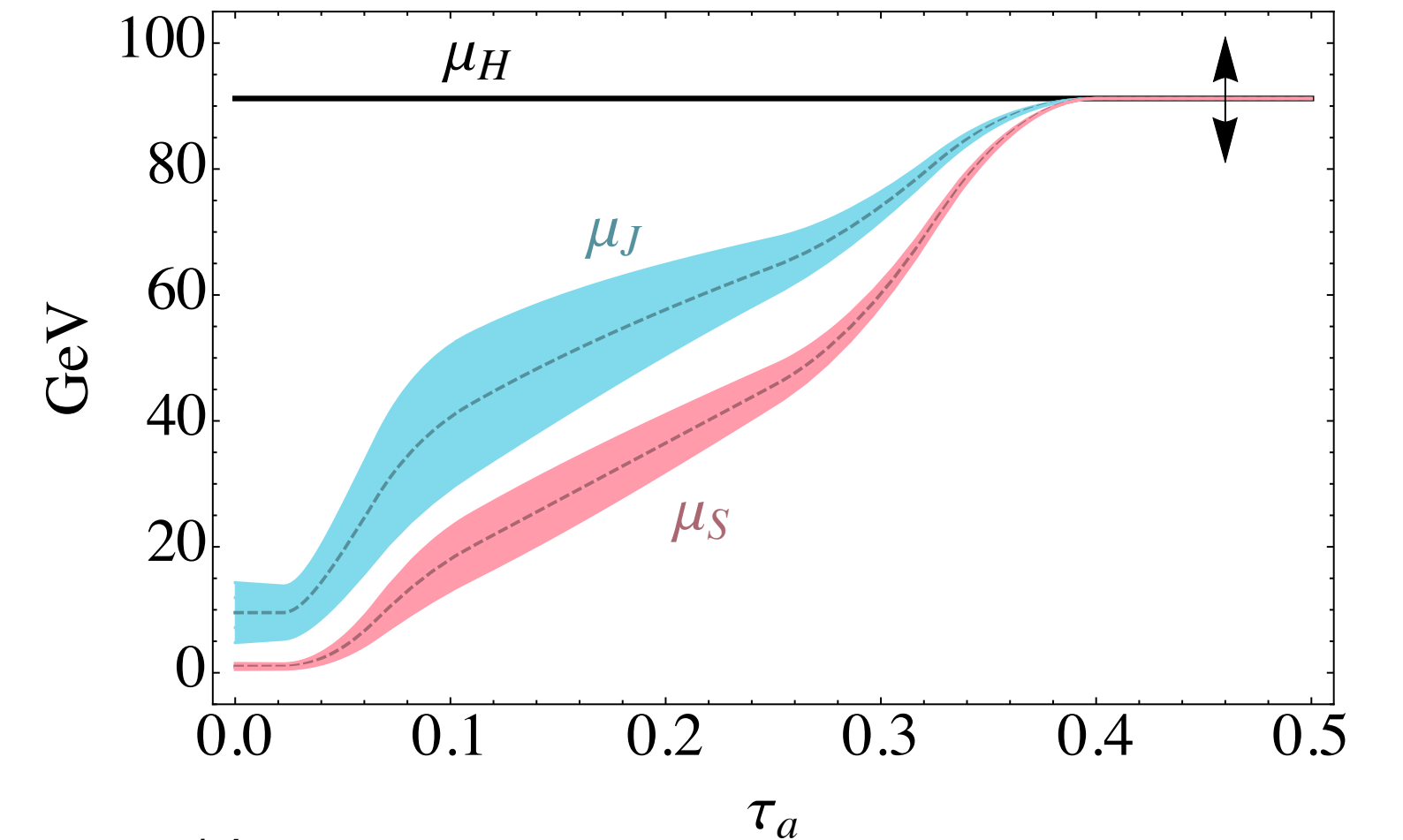
- Sum logs by  $\mu$  and  $R$  evolution:  $\mu \frac{d}{d\mu} \Delta_a(\mu, R) = -\mu \frac{d}{d\mu} \delta_a(\mu, R) \equiv \gamma_{\Delta}^{\mu}[\alpha_s(\mu)]$ .

$$\frac{d}{dR} \Delta_a(R, R) = -\frac{d}{dR} \delta_a(R, R) \equiv -\gamma_R[\alpha_s(R)]$$

- Anomalous dimensions:

$$\gamma_{\Delta}^{\mu}[\alpha_s(\mu)] = -Re^{\gamma_E} \Gamma_S[\alpha_s(\mu)]$$

$$\gamma_R[\alpha_s(R)] = \sum_{n=0}^{\infty} \left( \frac{\alpha_s(R)}{4\pi} \right)^{n+1} \gamma_R^n \quad \gamma_R^0 = 0, \quad \gamma_R^1 = \frac{e^{\gamma_E}}{2} [\gamma_S^1(a) + 2c_S^1 \beta_0]$$



$\tau_0$ .

# Effective non-perturbative shifts

- Before considering gapped renormalons, the leading-order NP effect is a constant shift:

$$\frac{d\sigma}{d\tau_a}(\tau_a) \xrightarrow{\text{NP}} \frac{d\sigma}{d\tau_a} \left( \tau_a - c_{\tau_a} \frac{\Omega_1}{Q} \right) \quad c_{\tau_a} = \frac{2}{1-a} \quad \Omega_1 = \frac{1}{N_C} \text{Tr} \langle 0 | \bar{Y}_{\bar{n}}^\dagger Y_n^\dagger \mathcal{E}_T(0) Y_n \bar{Y}_{\bar{n}} | 0 \rangle$$

**Note: this is only valid in the tail region!**

- Define an 'effective shift' of the distribution in the  $R_{\text{gap}}$  scheme:

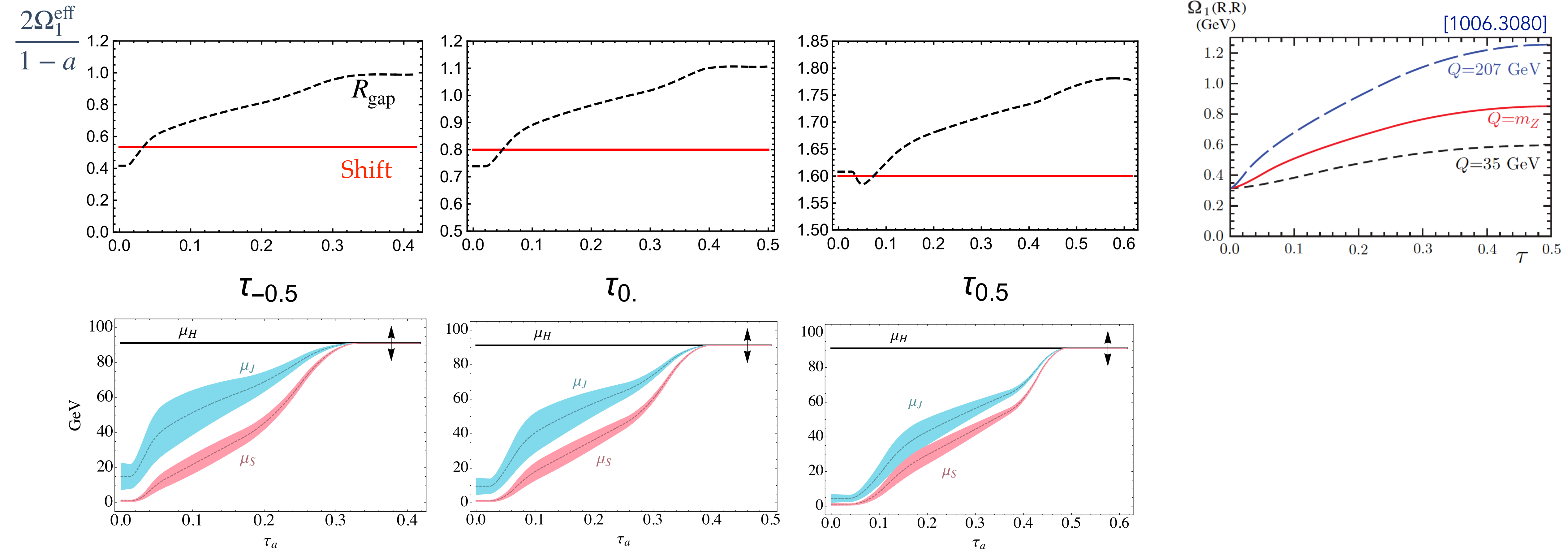
$$\int dk k e^{-2\delta_a(\mu_S, R) \frac{d}{dk}} f_{\text{mod}}(k - 2\Delta_a(\mu_S, R)) = \int dk k \left[ \sum_i f_{\text{mod}}^{(i)}(k - 2\Delta_a(\mu_S, R)) \right] \equiv \frac{2}{1-a} \Omega_1^{\text{eff}}$$

- Shape function expanded order-by-order depending on logarithmic accuracy:

$$\begin{aligned} f_{\text{mod}}^{(0)}(k - 2\Delta_a(\mu_S, R)) &= f_{\text{mod}}(k - 2\Delta_a(\mu_S, R)), \\ f_{\text{mod}}^{(1)}(k - 2\Delta_a(\mu_S, R)) &= -\frac{\alpha_s(\mu_S)}{4\pi} 2\delta_a^1(\mu_S, R) \text{Re} e^{\gamma_E} f'_{\text{mod}}(k - 2\Delta_a(\mu_S, R)), \\ f_{\text{mod}}^{(2)}(k - 2\Delta_a(\mu_S, R)) &= \left( \frac{\alpha_s(\mu_S)}{4\pi} \right)^2 \left[ -2\delta_a^2(\mu_S, R) \text{Re} e^{\gamma_E} f'_{\text{mod}}(k - 2\Delta_a(\mu_S, R)) \right. \\ &\quad \left. + 2(\delta_a^1(\mu_S, R) \text{Re} e^{\gamma_E})^2 f''_{\text{mod}}(k - 2\Delta_a(\mu_S, R)) \right], \end{aligned}$$

# Growing shifts in event shape tails

- Distributional shifts at NNLL' accuracy (central profile scales):



- Effectively, we shift the distribution to the right by *larger* amounts as we move from the 2-jet region out to the multi-jet tail. Is this reasonable? What might be the effect on extracting  $\alpha_s$ ?

# Limiting the growth of the shift

- Can we find a way to cut off the growth of this shift? i.e. turn off  $R$ -evolution above some  $\tau = \tau_{\max}$ :

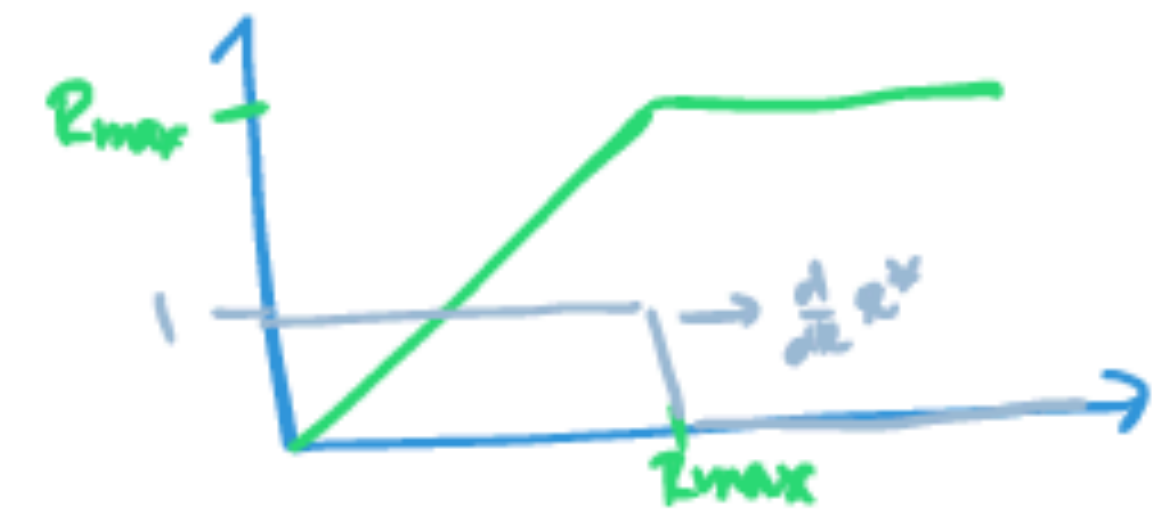
$$\gamma_R \rightarrow \theta(R_{\max} - R)\gamma_R \quad R = R(\tau)$$

need:  $\frac{d}{dR}\delta_a(R, R) = \gamma_R[\alpha_s(R)]\theta(R_{\max} - R)$

recall:  $\delta_a(R, R) = Re^{\gamma_E} \left[ \frac{\alpha_s(R)}{4\pi} \delta_a^1(R, R) + \left( \frac{\alpha_s(R)}{4\pi} \right)^2 \delta_a^2(R, R) + \dots \right]$

to the order we need,  
just change  $R$  to:

$$R^* \equiv \begin{cases} R & R < R_{\max} \\ R_{\max} & R \geq R_{\max} \end{cases}$$



however this can reintroduce large logs of  $\mu_S/R_{\max}$  ...

$$\delta^1(\mu_S, R) = 2\Gamma_s^0 \ln \frac{\mu_S}{R}$$

$$\delta^2(\mu_S, R) = 2\Gamma_s^0 \beta_0 \ln^2 \frac{\mu_S}{R} + 2\Gamma_s^1 \ln \frac{\mu_S}{R} + \gamma_s^1 + 2c_s^1 \beta_0$$

# Another scheme

“ $R^*$  scheme”

$$\delta_a^*(R) = \frac{1}{2} R^* e^{\gamma_E} \frac{d}{d \ln \nu} \left[ \ln S_{\text{PT}}(\nu, \mu = R^*) \right]_{\nu=1/(R^* e^{\gamma_E})}$$

Bell et al. [this work]

we are not forced to set  $\mu = \mu_S$  in the subtraction series, we can pick  $\mu = R$

Bachu, Hoang,  
Mateu, Pathak,  
Stewart [2012.12304]

To the order we work:

$$\delta_a^*(R) = \frac{R e^{\gamma_E}}{2} \left[ \frac{\alpha_s(R)}{4\pi} \cdot 0 + \left( \frac{\alpha_s(R)}{4\pi} \right)^2 (\gamma_S^1 + 2c_{\tilde{S}}^1 \beta_0) + \mathcal{O}(\alpha_s^3) \right]$$

R-evolution:

$$\gamma_R^* = e^{\gamma_E} \left[ \frac{\alpha_s(R)}{4\pi} \cdot 0 + \left( \frac{\alpha_s(R)}{4\pi} \right)^2 (\gamma_S^1 + 2c_{\tilde{S}}^1 \beta_0) + \mathcal{O}(\alpha_s^3) \right]$$

$\mu$ -evolution:

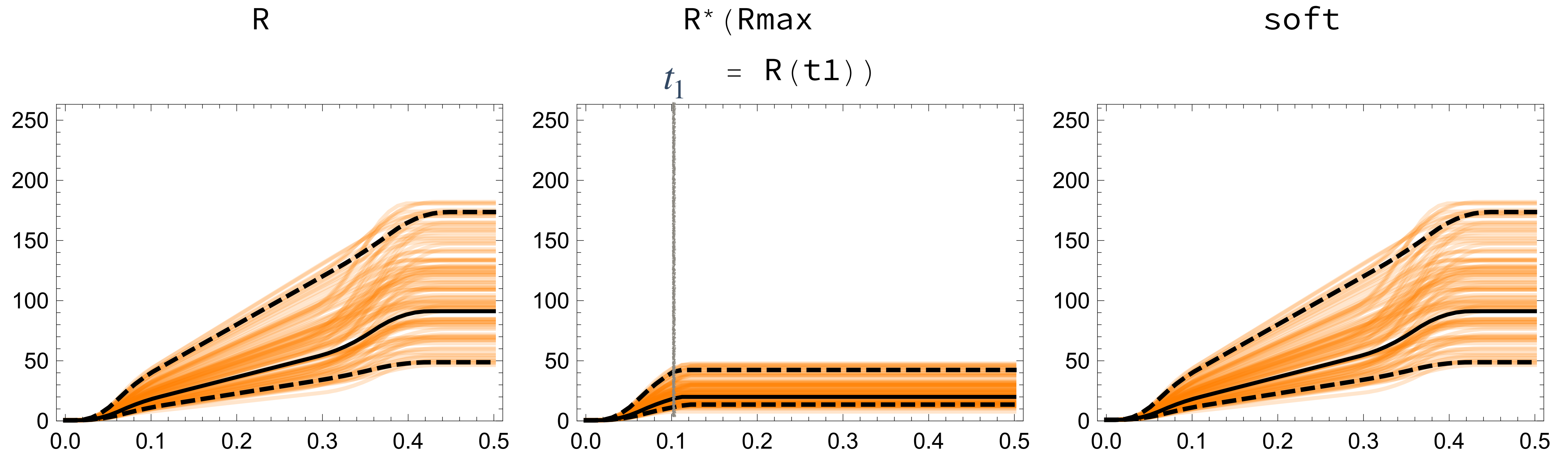
$$\gamma_{\Delta}[\alpha_s(\mu)] = 0$$

- Nothing special about this scheme, just a way to test the impact of changing the effective shift in event shapes.



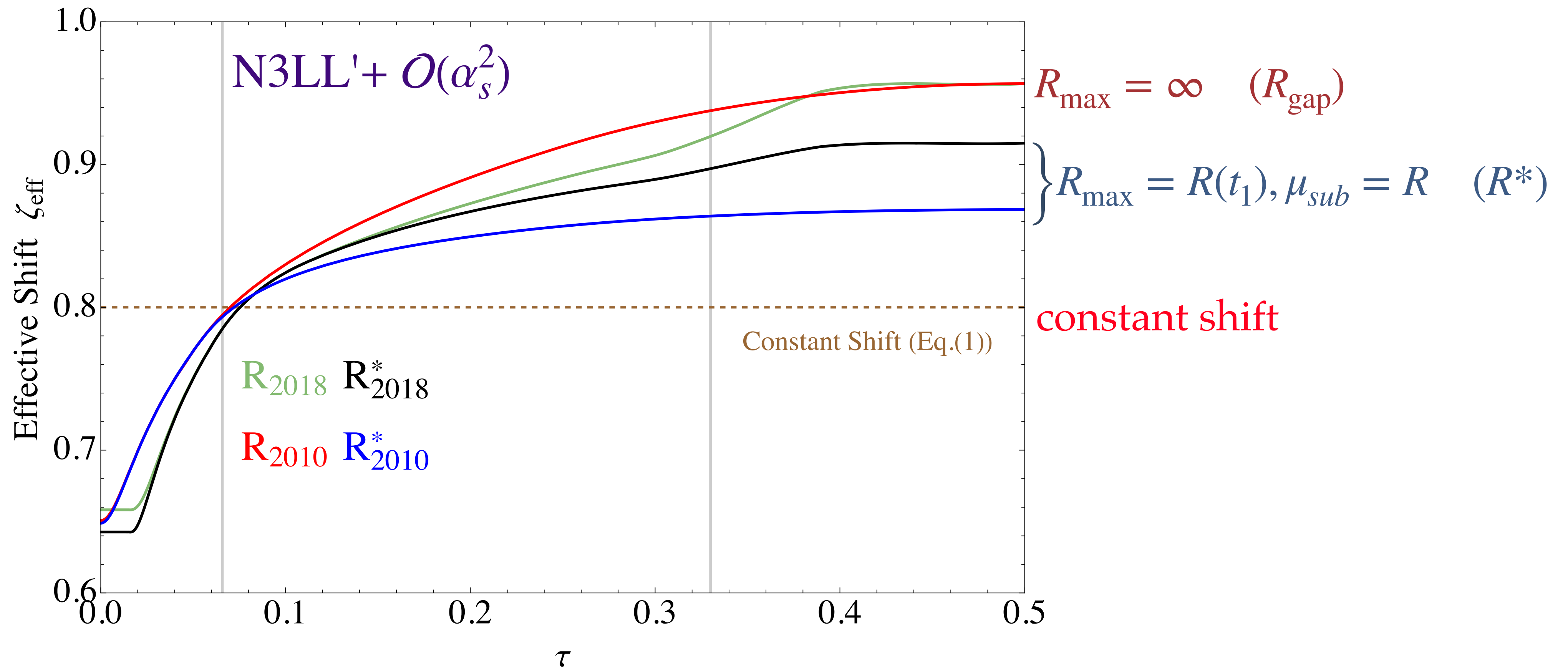
# R vs $R^*$ profiles

- In our results, we let  $R^*$  grow until we hit  $\tau_a = t_1(a)$ , where we finish transitioning from “shape function” region to “resummation region” in profile functions:



- Different  $R_{max}$  values are probed in tandem with variation of the  $t_1$  profile parameter

# Flattened shifts in tails



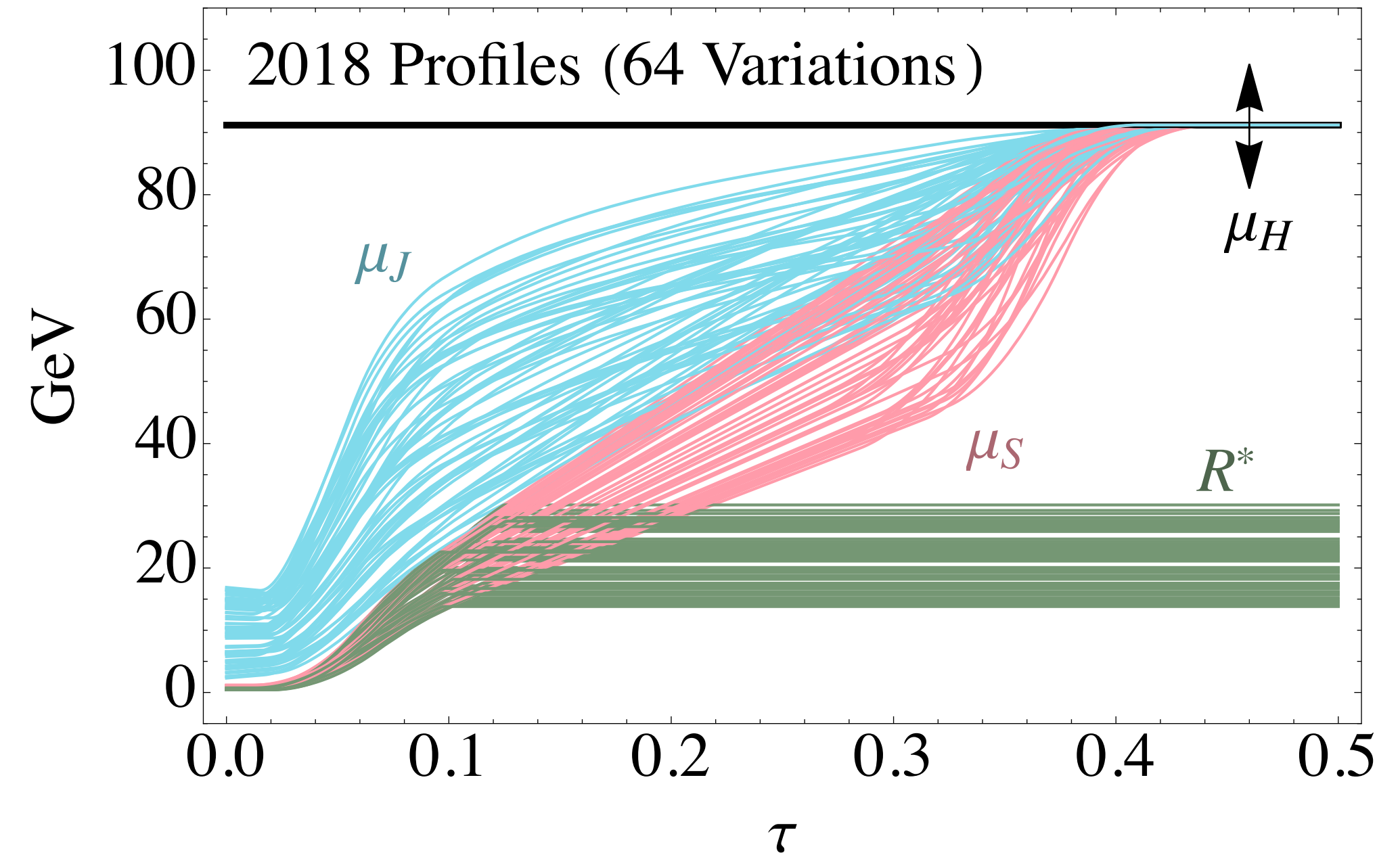
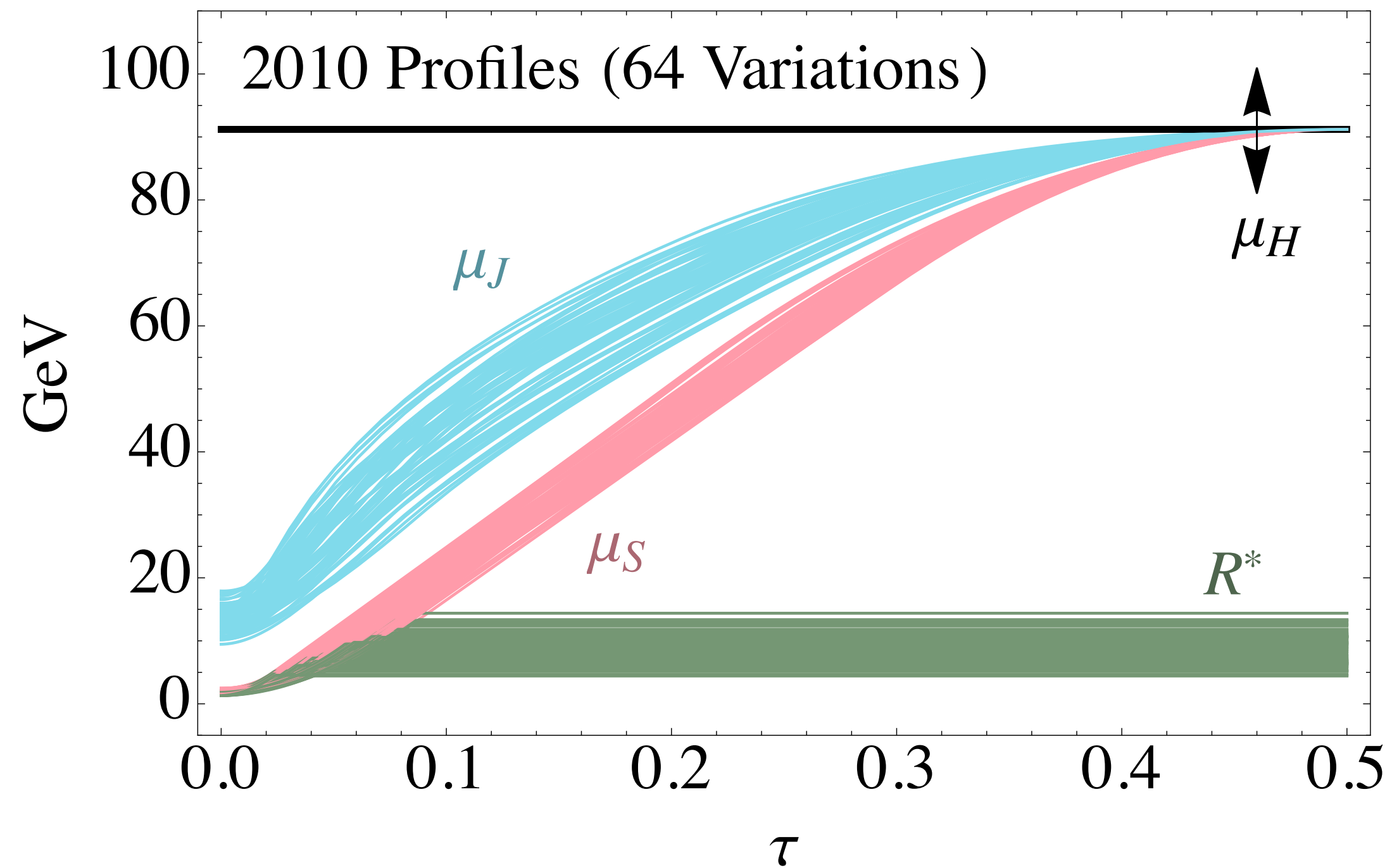
- This can be compared to studies of models of hadronization corrections to 3-jet events in the far tail region, e.g. Luisoni et al. [2012.00622].
- Our method is a way to study variations of how we treat power corrections within a 2-jet factorization framework

# Scale variations

- Random scans over profile function parameters:

2010: [1006.3080]

2018: [1808.07867] based on [1501.04111]



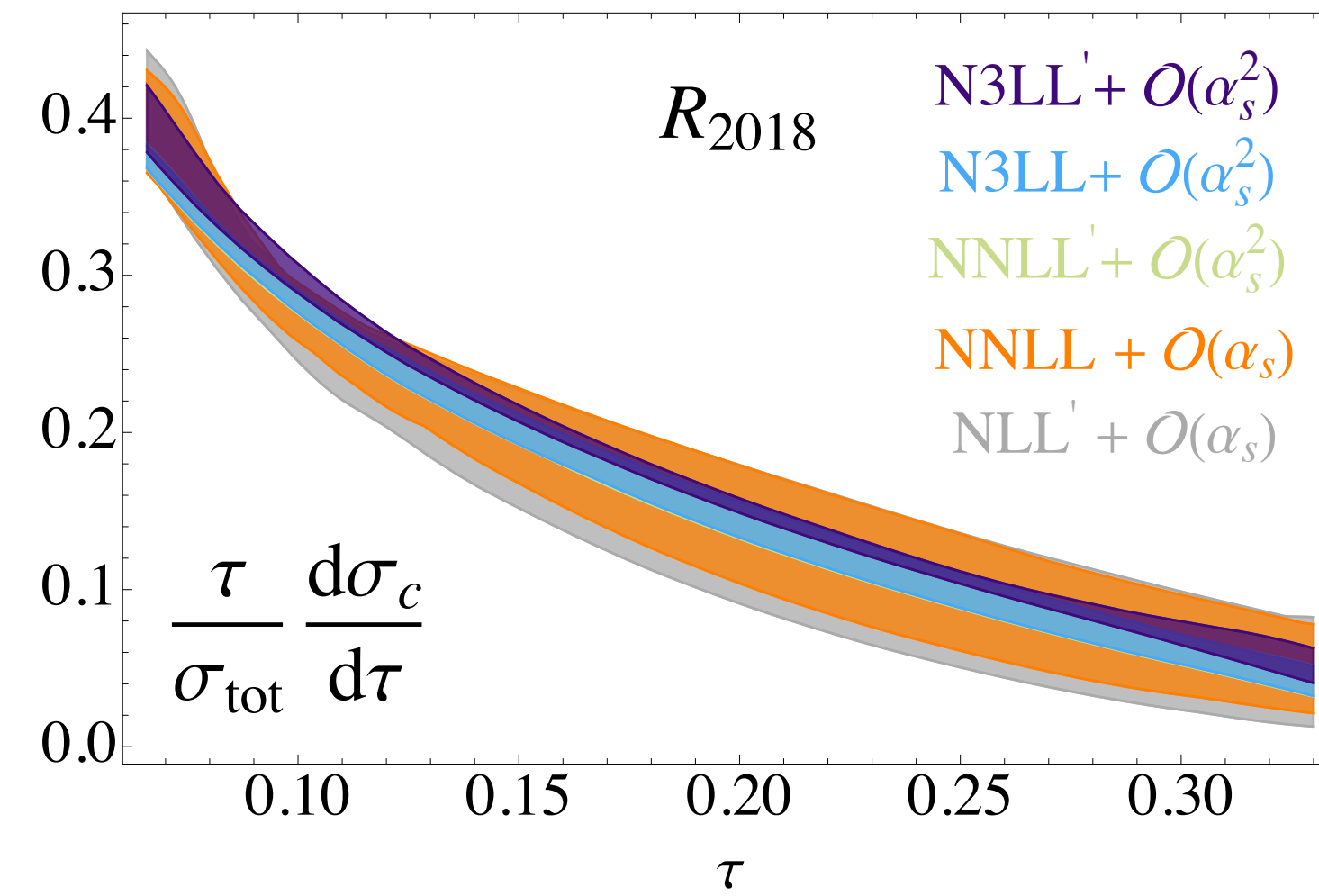
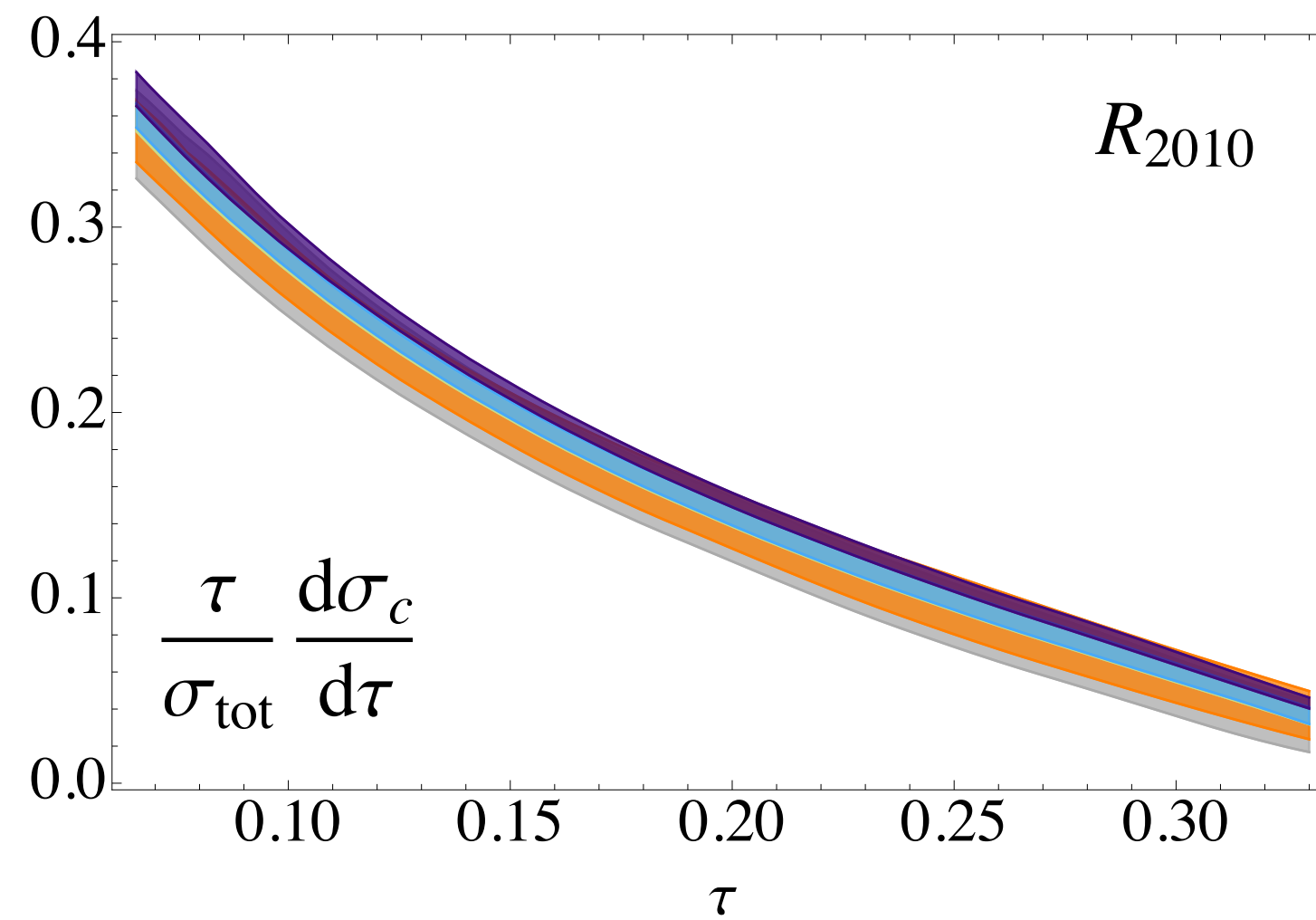
$$\sigma_{PT}(\tau) = \sigma_{sing}(\tau; \mu_H, \mu_J, \mu_S) + \sigma_{ns}(\tau; \mu_{ns})$$

$$\sigma(\tau) = \sigma_{PT}(\tau; \mu_i, R) \otimes f_{mod}(\tau, \Delta(R))$$

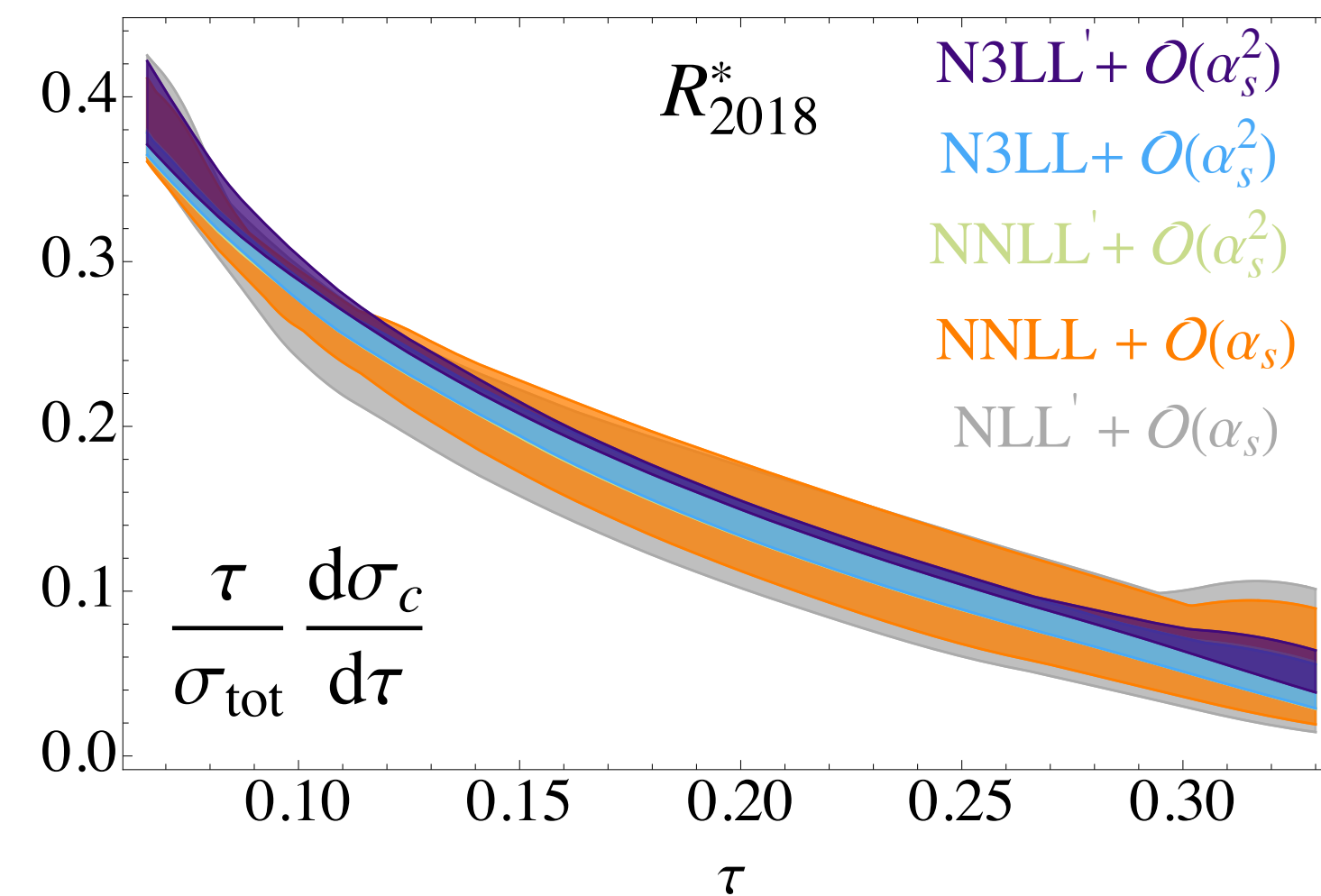
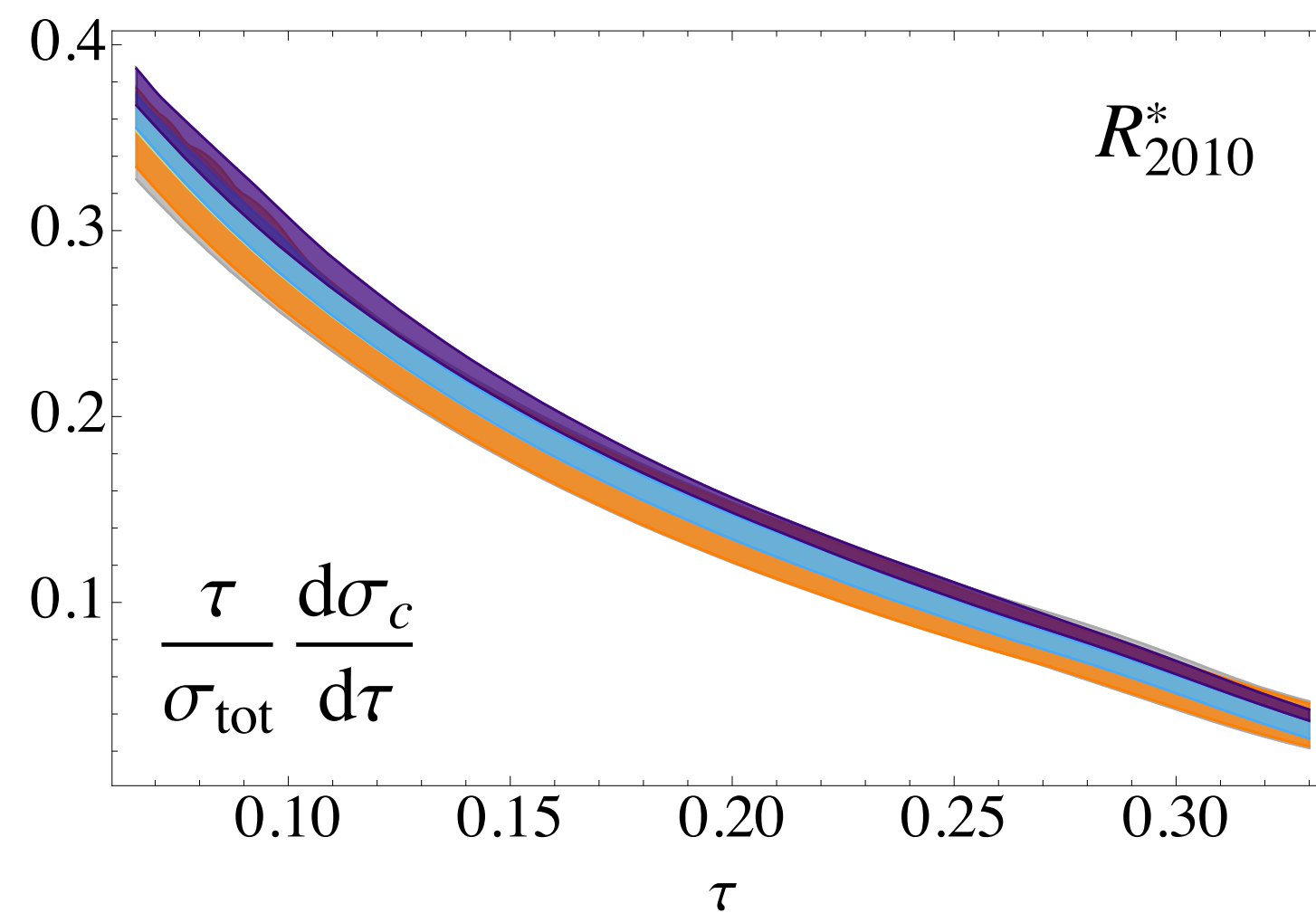
# Convergence in R vs R\* schemes

$$Q = M_Z, a = 0$$

*R<sub>gap</sub> scheme:*

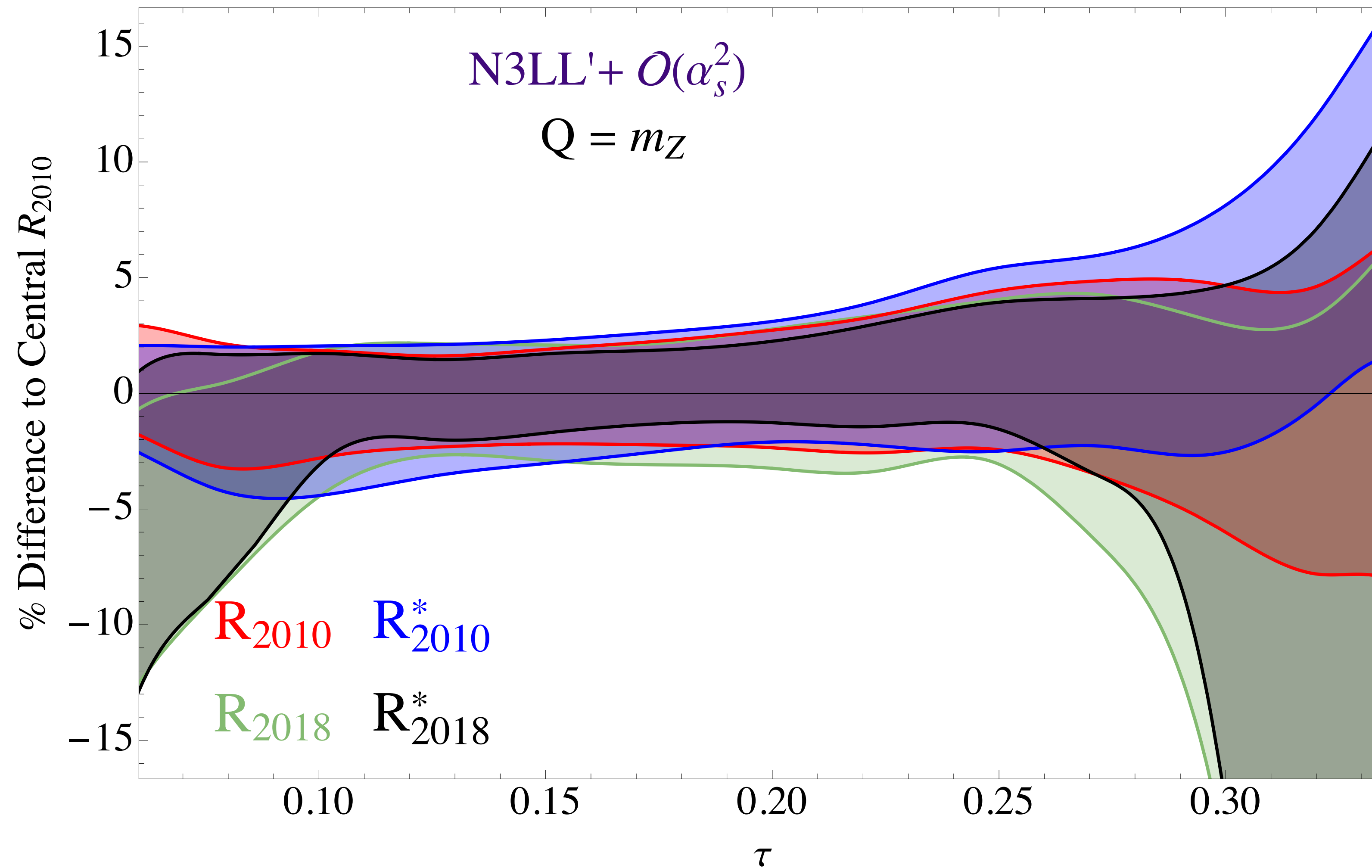


*R\* scheme:*



# Variation in different schemes

$$Q = M_Z, a = 0$$



Comparison with data  
and determination of  $\alpha_s$

# Data sets

## ■ For thrust:

ALEPH-2004: 133. GeV (7)	L3-2004: 172.3 GeV (12)
ALEPH-2004: 161. GeV (7)	L3-2004: 182.8 GeV (12)
ALEPH-2004: 172. GeV (7)	L3-2004: 188.6 GeV (12)
ALEPH-2004: 183. GeV (7)	L3-2004: 194.4 GeV (12)
ALEPH-2004: 189. GeV (7)	L3-2004: 200. GeV (11)
ALEPH-2004: 200. GeV (6)	L3-2004: 206.2 GeV (12)
ALEPH-2004: 206. GeV (8)	L3-2004: 41.4 GeV (5)
ALEPH-2004: 91.2 GeV (26)	L3-2004: 55.3 GeV (6)
AMY-1990: 55.2 GeV (5)	L3-2004: 65.4 GeV (7)
DELPHI-1999: 133. GeV (7)	L3-2004: 75.7 GeV (7)
DELPHI-1999: 161. GeV (7)	L3-2004: 82.3 GeV (8)
DELPHI-1999: 172. GeV (7)	L3-2004: 85.1 GeV (8)
DELPHI-1999: 89.5 GeV (11)	L3-2004: 91.2 GeV (10)
DELPHI-1999: 93. GeV (12)	OPAL-1997: 161. GeV (7)
DELPHI-2000: 91.2 GeV (12)	OPAL-2000: 172. GeV (8)
DELPHI-2003: 183. GeV (14)	OPAL-2000: 183. GeV (8)
DELPHI-2003: 189. GeV (15)	OPAL-2000: 189. GeV (8)
DELPHI-2003: 192. GeV (15)	OPAL-2005: 133. GeV (6)
DELPHI-2003: 196. GeV (14)	OPAL-2005: 177. GeV (8)
DELPHI-2003: 200. GeV (15)	OPAL-2005: 197. GeV (8)
DELPHI-2003: 202. GeV (15)	OPAL-2005: 91. GeV (5)
DELPHI-2003: 205. GeV (15)	SLD-1995: 91.2 GeV (6)
DELPHI-2003: 207. GeV (15)	TASSO-1998: 35. GeV (4)
DELPHI-2003: 45. GeV (5)	TASSO-1998: 44. GeV (5)
DELPHI-2003: 66. GeV (8)	
DELPHI-2003: 76. GeV (9)	
JADE-1998: 35. GeV (5)	
JADE-1998: 44. GeV (7)	
L3-2004: 130.1 GeV (11)	
L3-2004: 136.1 GeV (10)	
L3-2004: 161.3 GeV (12)	

----- Summary -----  
 Total: 516  
 Q > 95 : 345  
 Q < 88 : 89  
 Q ~ MZ : 82

## ■ For angularities:

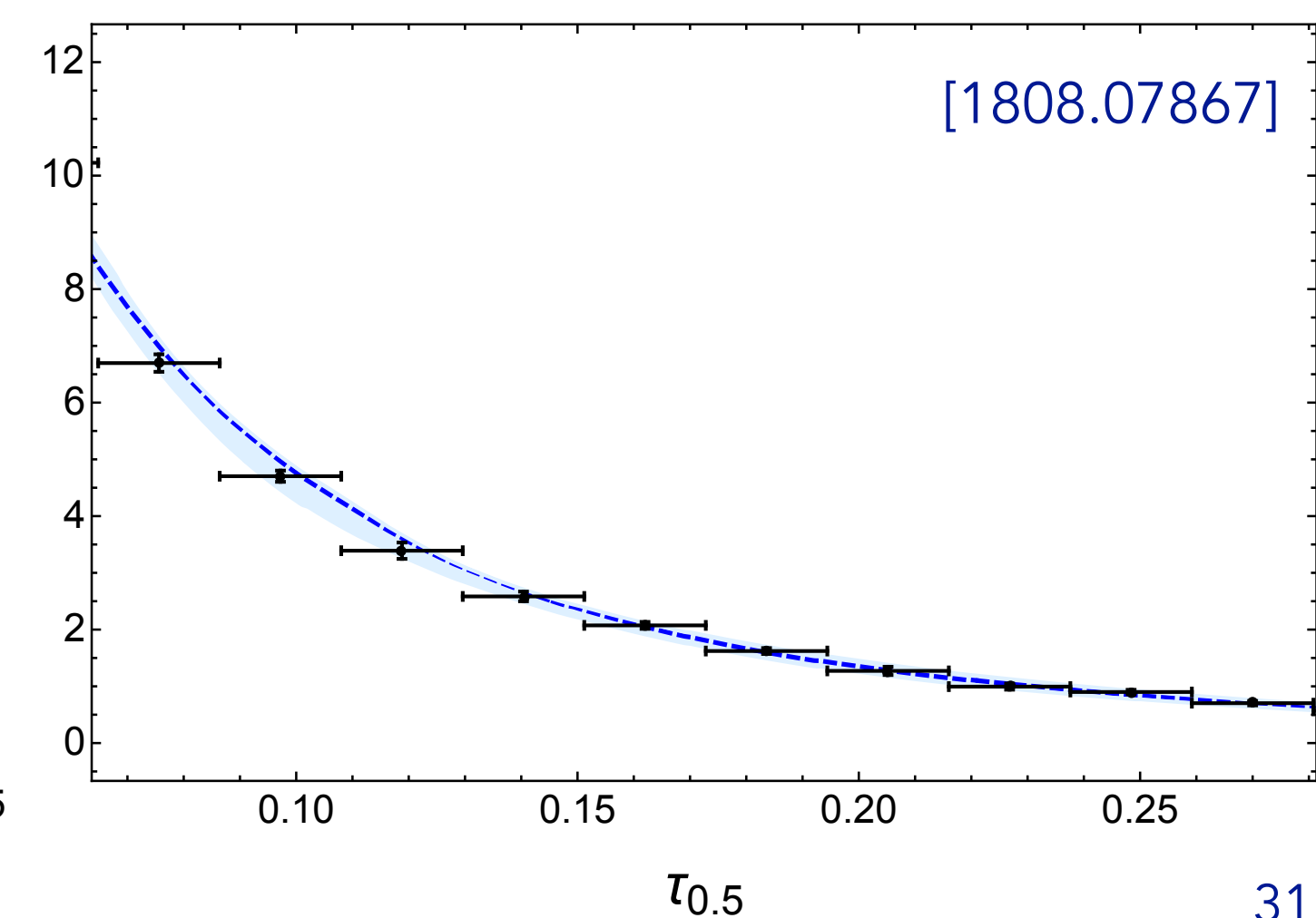
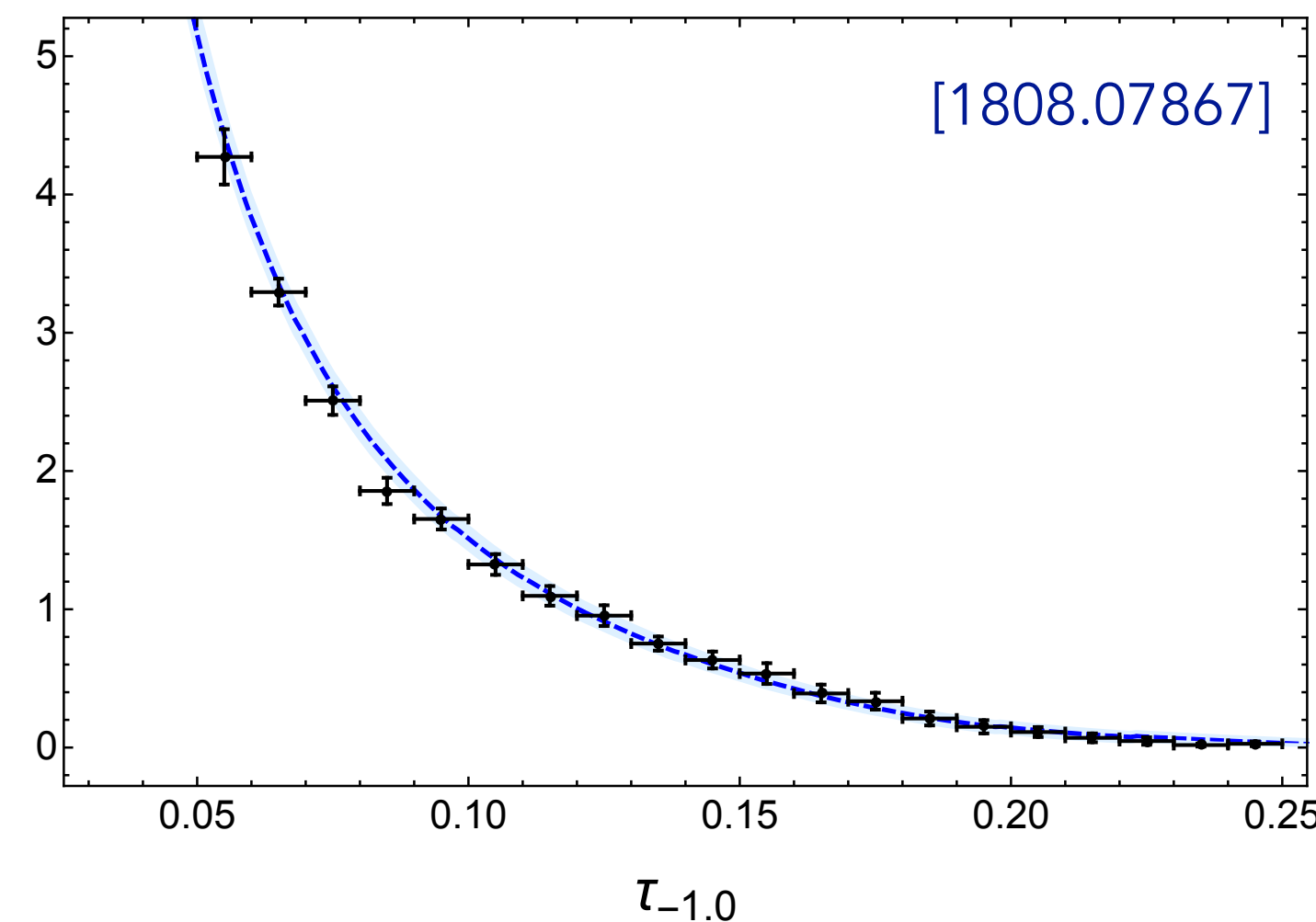
Generalized event shape and energy flow studies in  
 $e^+e^-$  annihilation at  $\sqrt{s} = 91.2-208.0$  GeV

L3 Collaboration

JHEP 10 (2011) 143

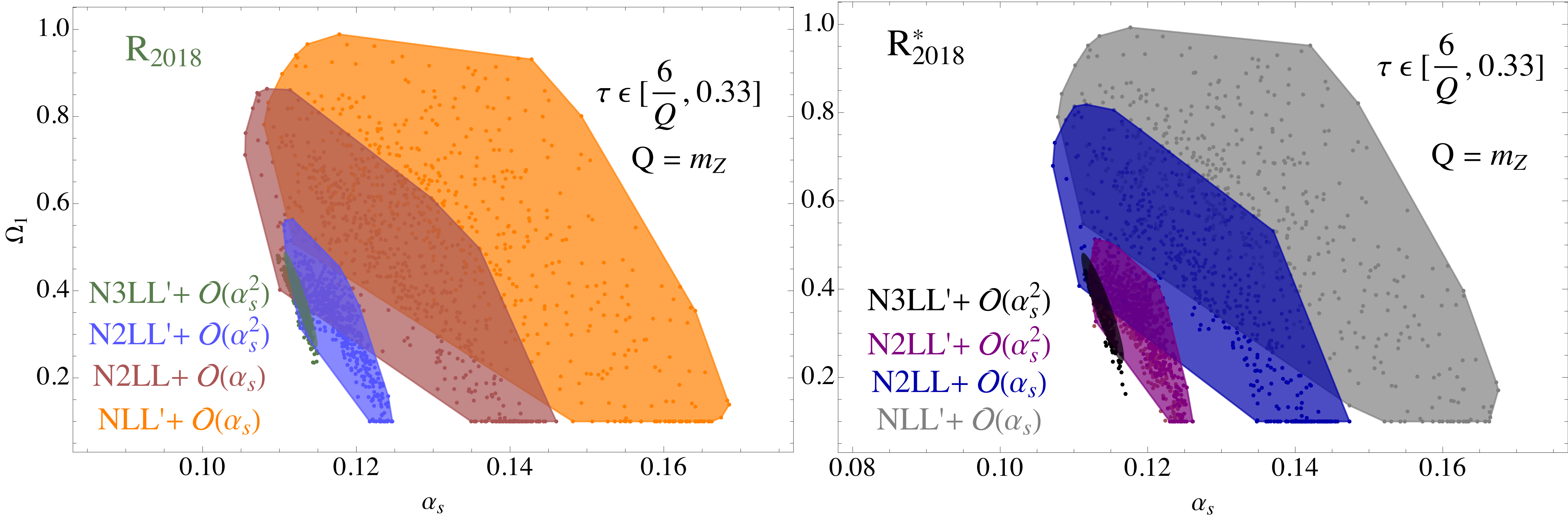
Also see PhD thesis  
 by P. Jindal, Panjab University

- Data for  $a = \{-1.0, -0.75, -0.5, -0.25, 0.0, 0.25, 0.5, 0.75\}$  at 91.2 and 197 GeV
- Total number of bins = (bins per a) x (number of a) = 25 x 7 = 175 bins @ Q = 91.2 GeV
- e.g.  $a = -1$  and 0.5, Q = 91.2 GeV, compared to our NNLL' prediction:



# Effect on thrust fits

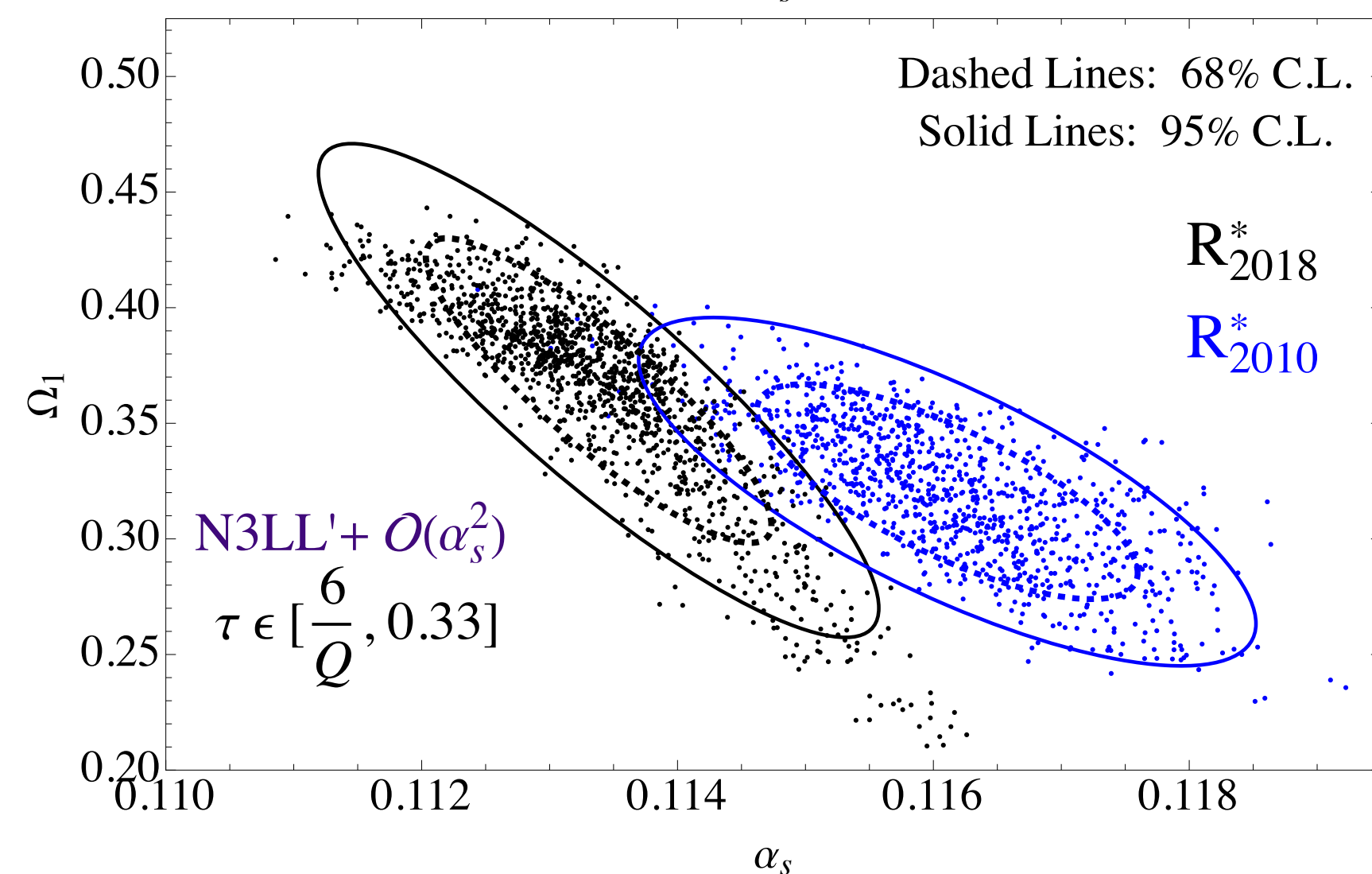
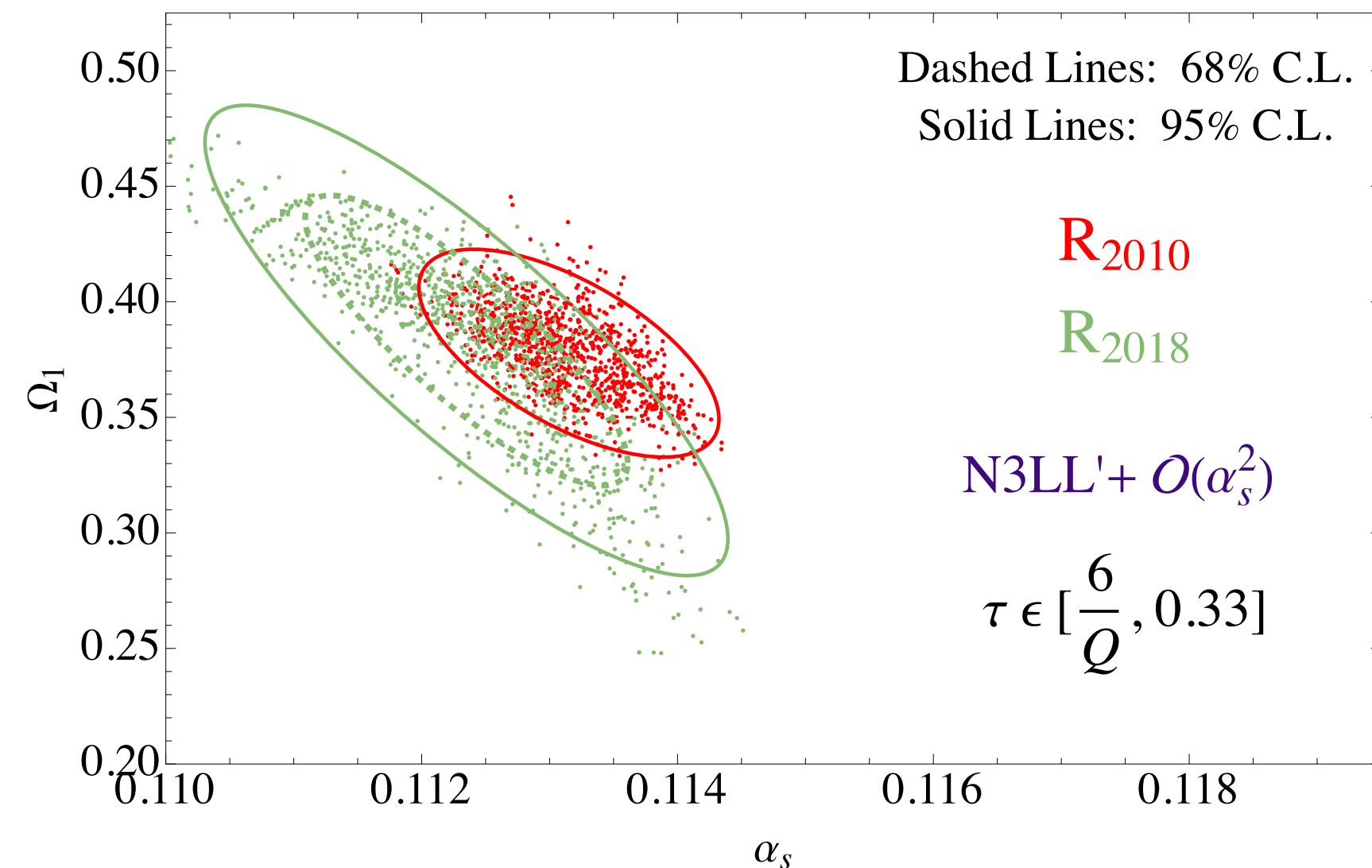
[N<sup>3</sup>LL'+ $\mathcal{O}(\alpha_s^2)$ ]



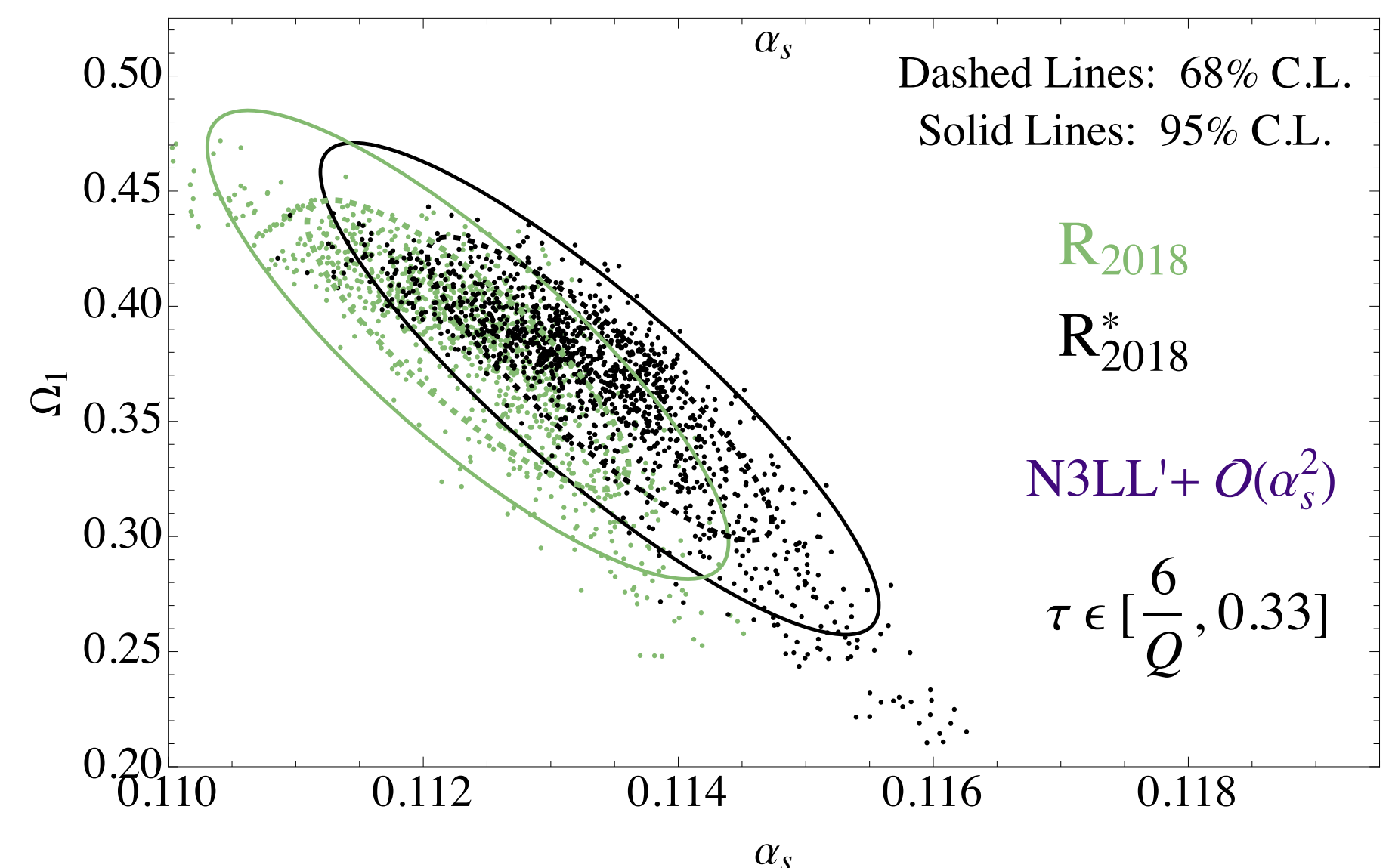
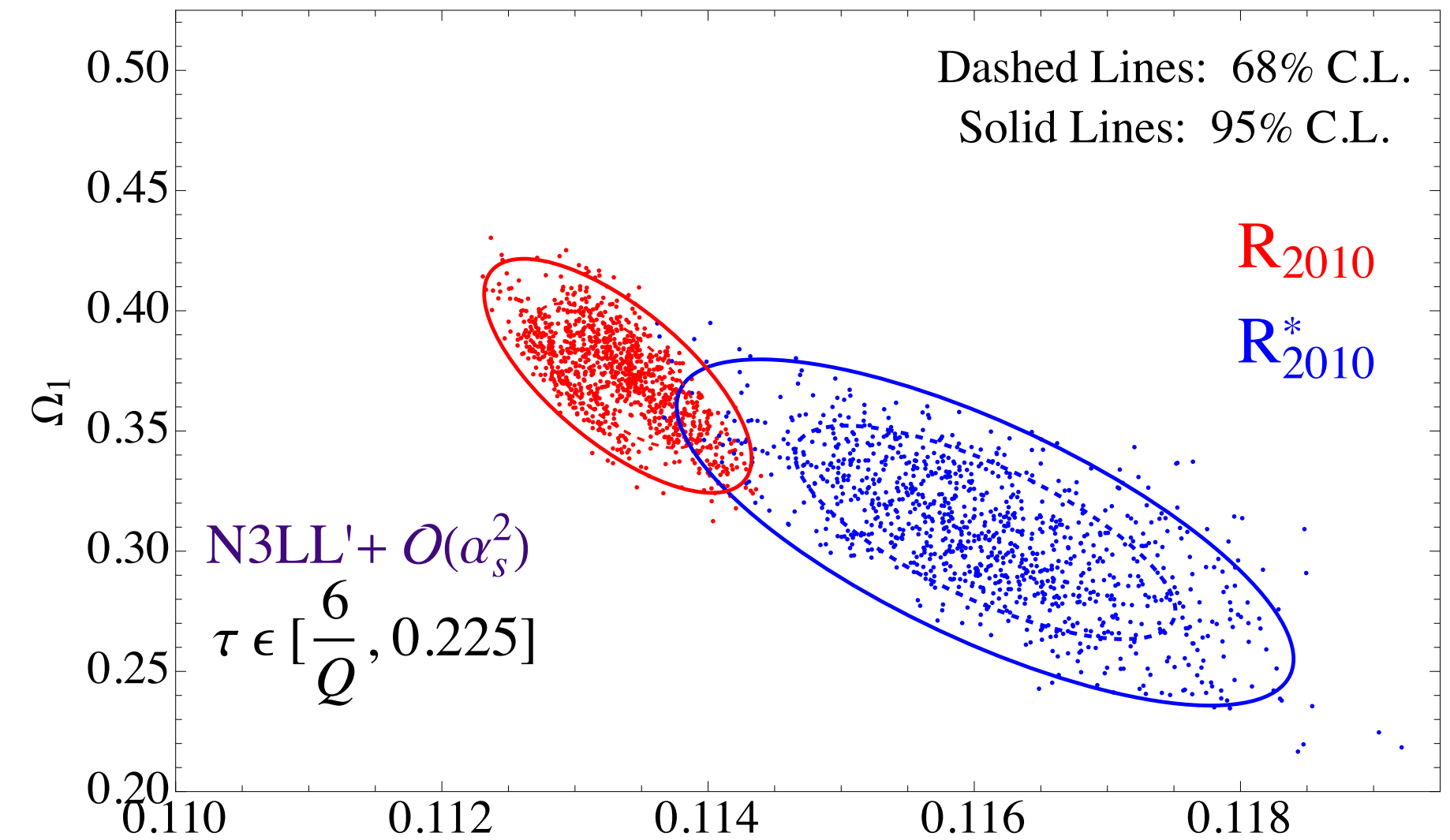


# Effect on thrust fits $[\text{N}^3\text{LL}' + \mathcal{O}(\alpha_s^2)]$

Vary profiles:



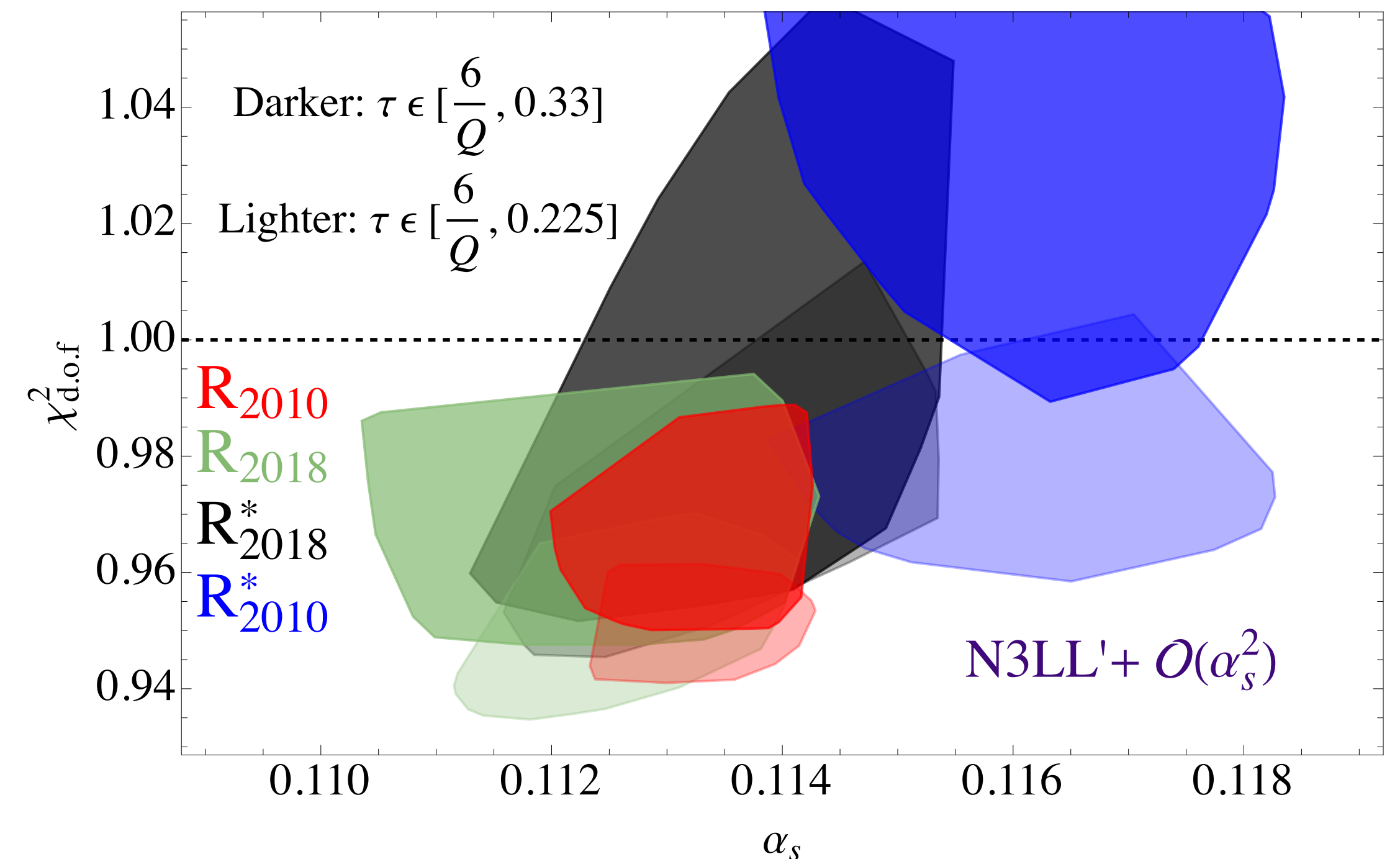
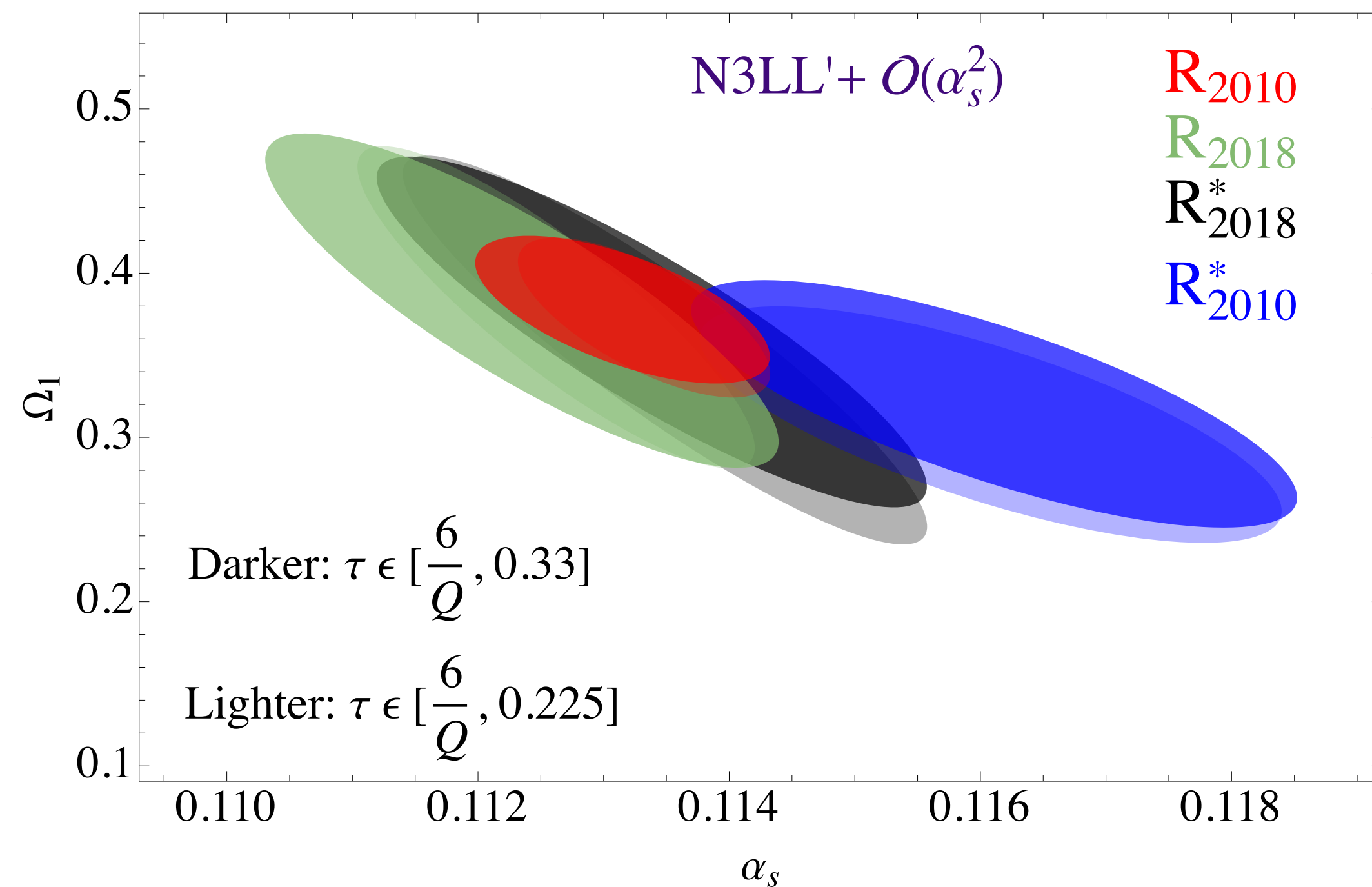
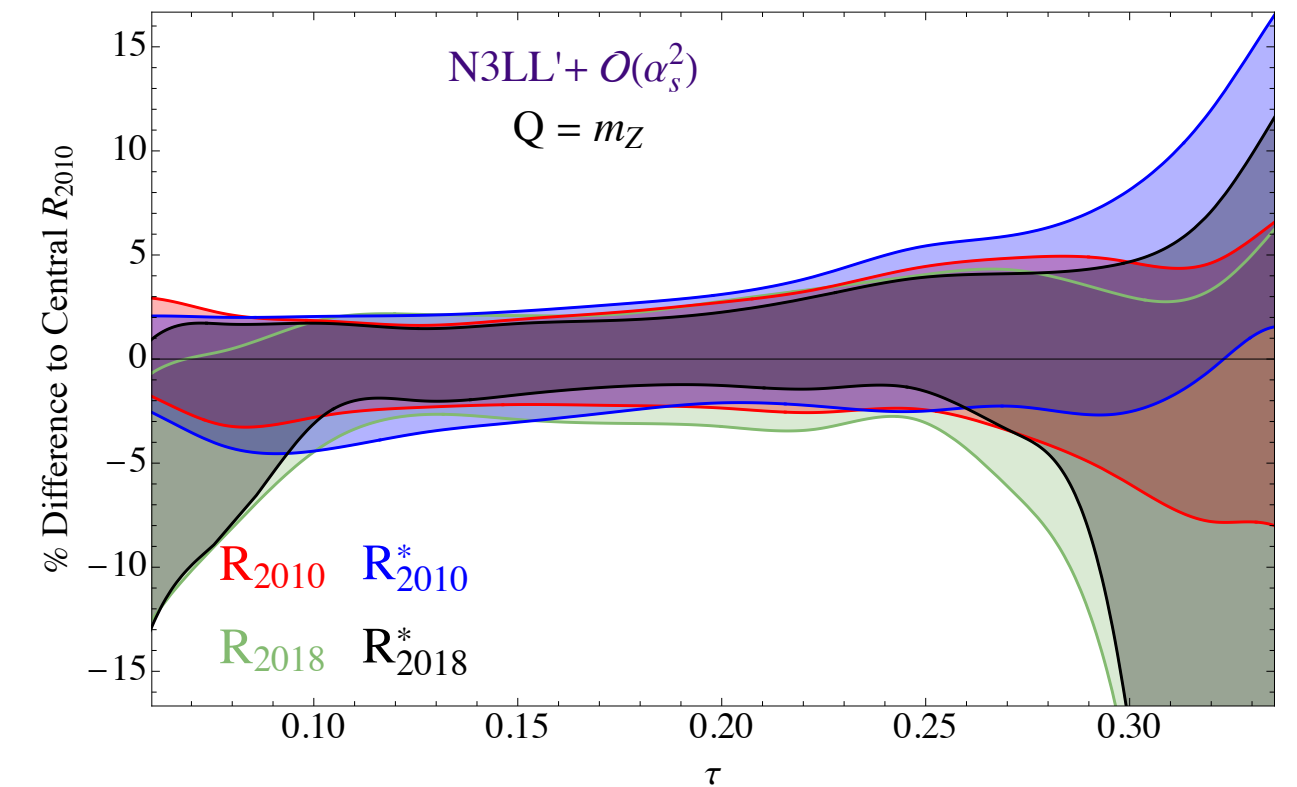
Vary renormalon schemes:



# Fit in a narrower 2-jet region

[N<sup>3</sup>LL'+ $\mathcal{O}(\alpha_s^2)$ ]

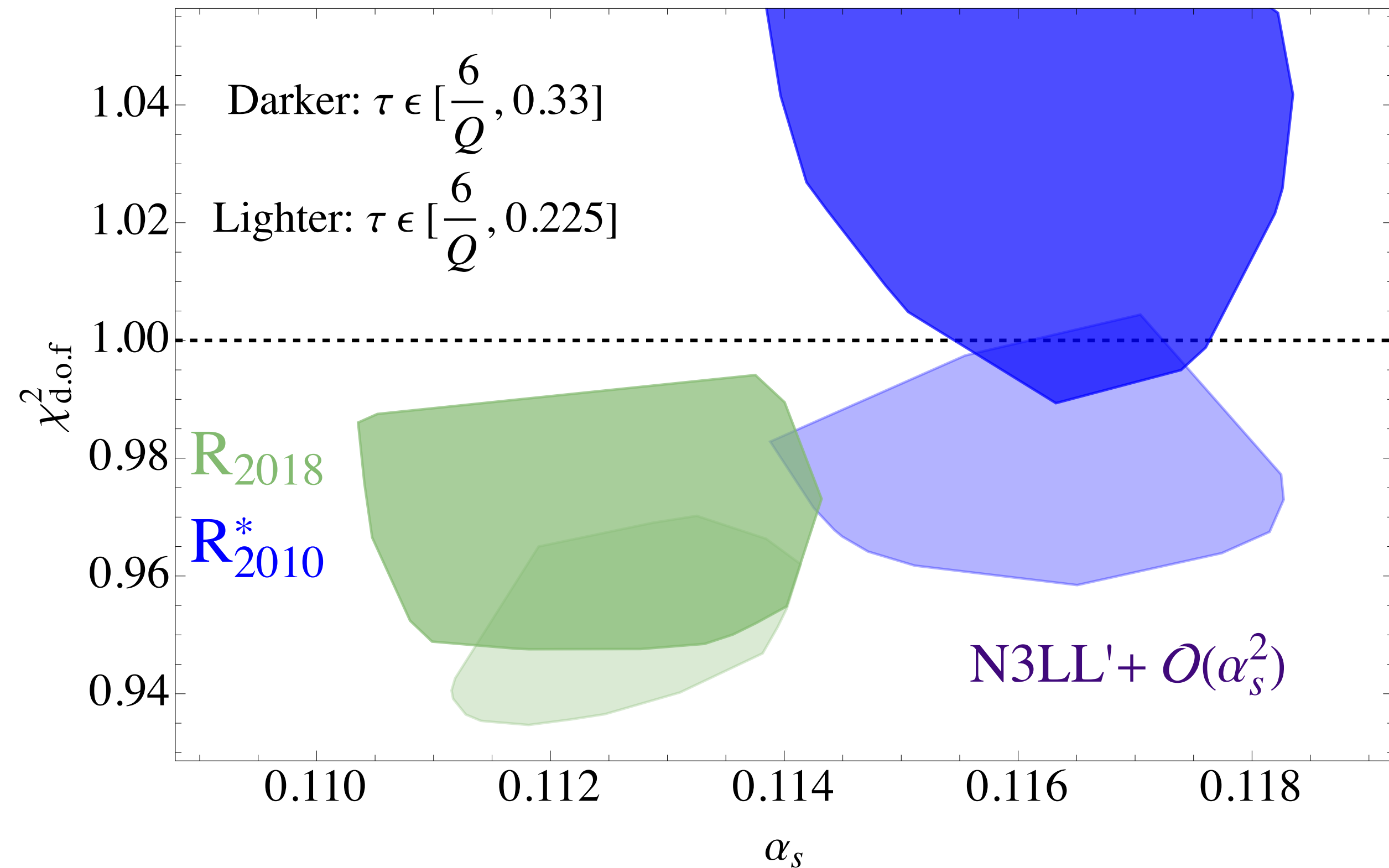
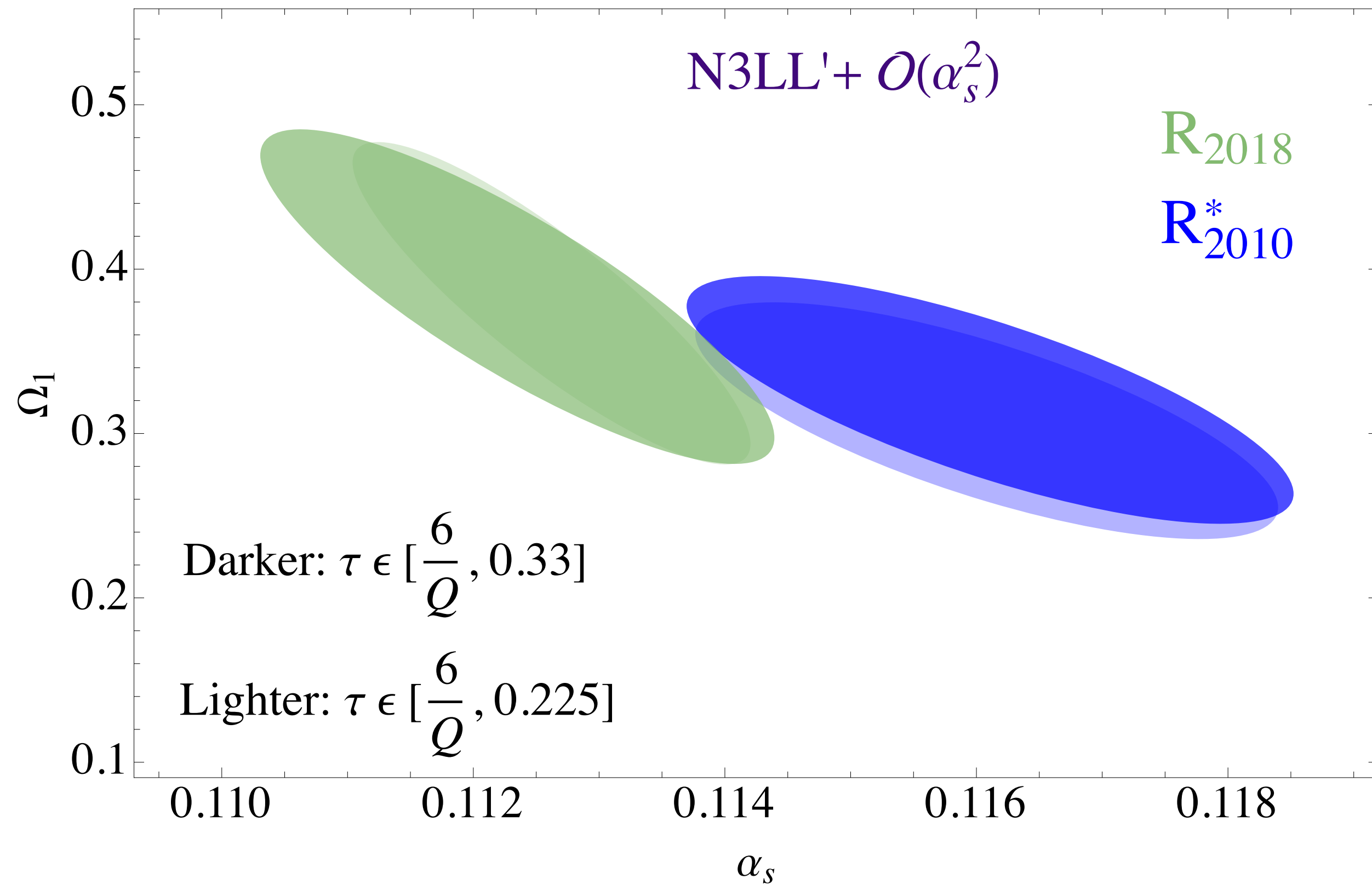
- Variability by scheme lessened in more 2-jet like region vs multi jet tail
- Try limiting fit window to, e.g,  $\tau < 0.225$ :
- Not too much shift in the fit ellipses, but improved *quality* of fit:



# Fit in a narrower 2-jet region

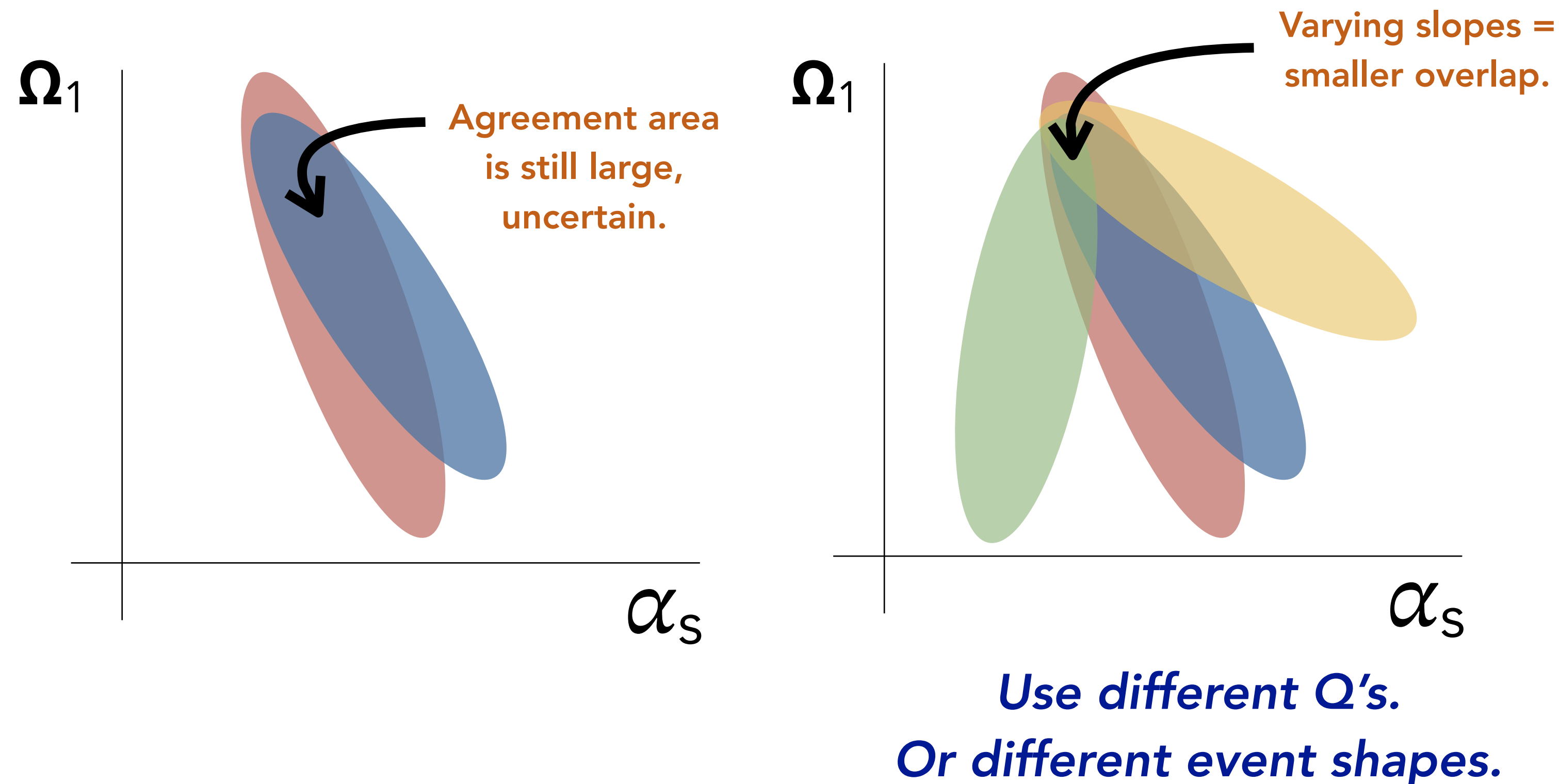
[N<sup>3</sup>LL'+ $\mathcal{O}(\alpha_s^2)$ ]

- Variability by scheme lessened in more 2-jet like region vs multi jet tail
- Try limiting fit window to, e.g,  $\tau < 0.225$ :
- Not too much shift in the fit ellipses, but improved *quality* of fit:



# Future outlook: angularities break degeneracies

- In tail region, leading nonperturbative effect is a shift by  $c_e \Omega_1 / Q$



- Angularities:  
Leading nonperturbative shift is  $\frac{2\Omega_1}{Q(1-a)}$ : changing  $a$  is like changing  $Q$ .
- We have preliminary fits based on angularities, but with quite a small amount of data. *More would be welcome!*

# Looking ahead

---

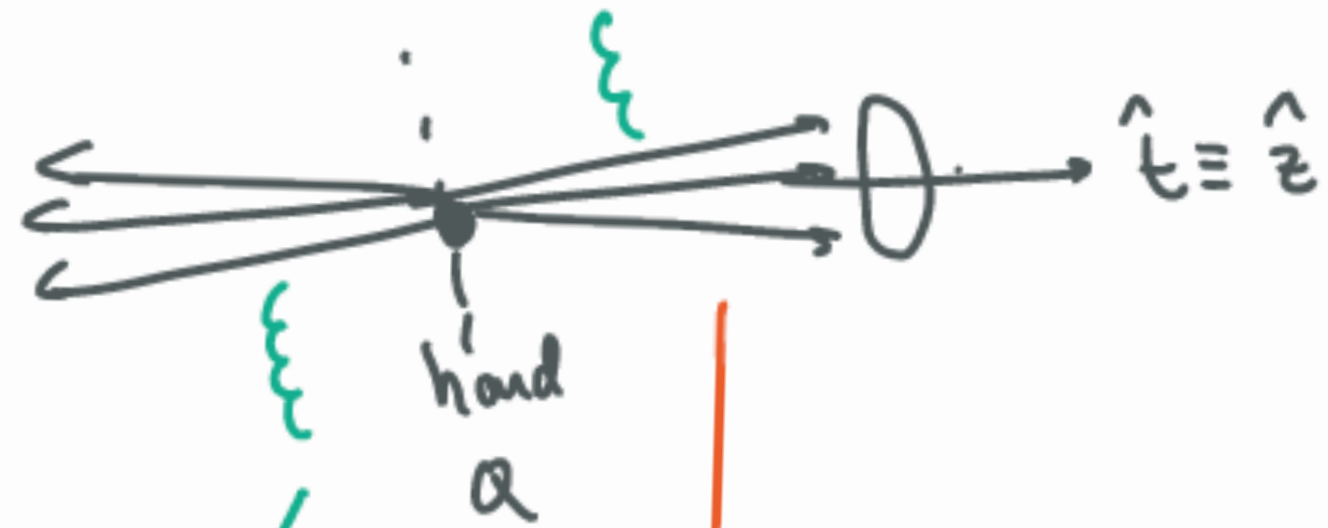
- Welcome more work to understand robust estimation of theoretical uncertainty due to renormalon schemes
- Encouraging signs pointing to the purely 2-jet-like region for fitting, welcome more analysis / data from future LC
- Better computation of 3-loop fixed-order thrust distribution also welcome, extracting small contributions out of large singular background challenging
- **You (and we!) are not allowed to quote a value of  $\alpha_s$  or  $\Omega_1$  coming from this talk!!** [our results limited to  $N^3LL' + \mathcal{O}(\alpha_s^2)$ ]
- **We observe a shift in  $\alpha_s$  of up to a few percent when switching from standard  $R_{\text{gap}}$  to  $R^*$  scheme or between some perturbative scale choices.**
- Shifted values are within uncertainties, but might alleviate tension with PDG value.
  - Similar conclusion, from different considerations, as G. Luisoni, P. Monni, G. Salam [2012.00622] who tried varying size of nonperturbative shift in C-parameter distribution as function of C (smaller shifts for large C  $\Rightarrow$  larger values of  $\alpha_s$  by a few percent)
- Dedicated new analyses or measurements of data in the *true* two-jet region may yield the best results for fits from two-jet event shapes, complementing more rigorous understanding of nonperturbative effects on 3-jet tail to reduce uncertainties that may be induced by variations in that region

# Backups

---

# RELEVANT PHYSICAL SCALES

Thrust:  $M^2 = M_A^2 + M_B^2 = Q^2 \tau \quad (\tau \ll Q^2)$



$$n = (1, +\hat{z})$$

$$\bar{n} = (1, -\hat{z})$$

light-cone coordinates:

$$P^\mu = (\bar{n} \cdot p, n \cdot p, \vec{P}_\perp)$$

presence  
jet mass

collinear  $P_C \sim (Q, \frac{M^2}{Q}, M) \sim Q(1, \tau, \sqrt{\tau})$

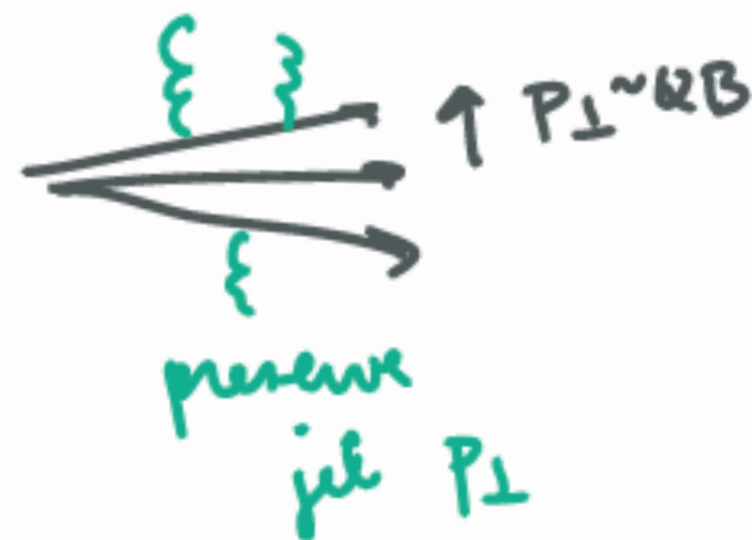
soft  $K_S \sim (\frac{M^2}{Q}, \frac{M^2}{Q}, \frac{M^2}{Q}) \sim Q(\tau, \tau, \tau)$

↑ same

(Angularities:)

$$P_C \sim Q(1, \tau_a^{\frac{2}{2-a}}, \tau_a^{\frac{1}{2-a}})$$

Broadening:

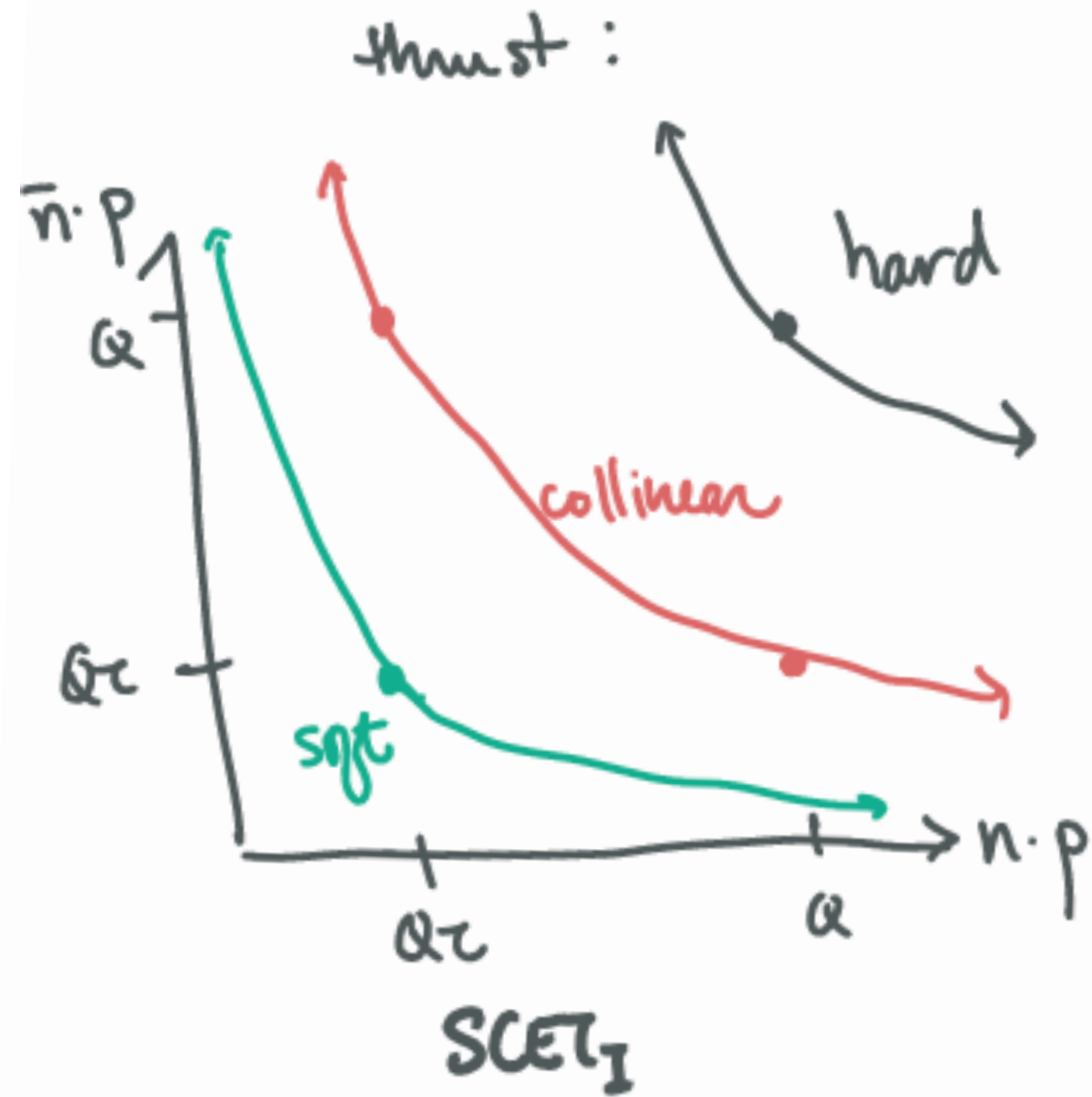


coll  $P_C \sim (Q, QB^2, QB)$

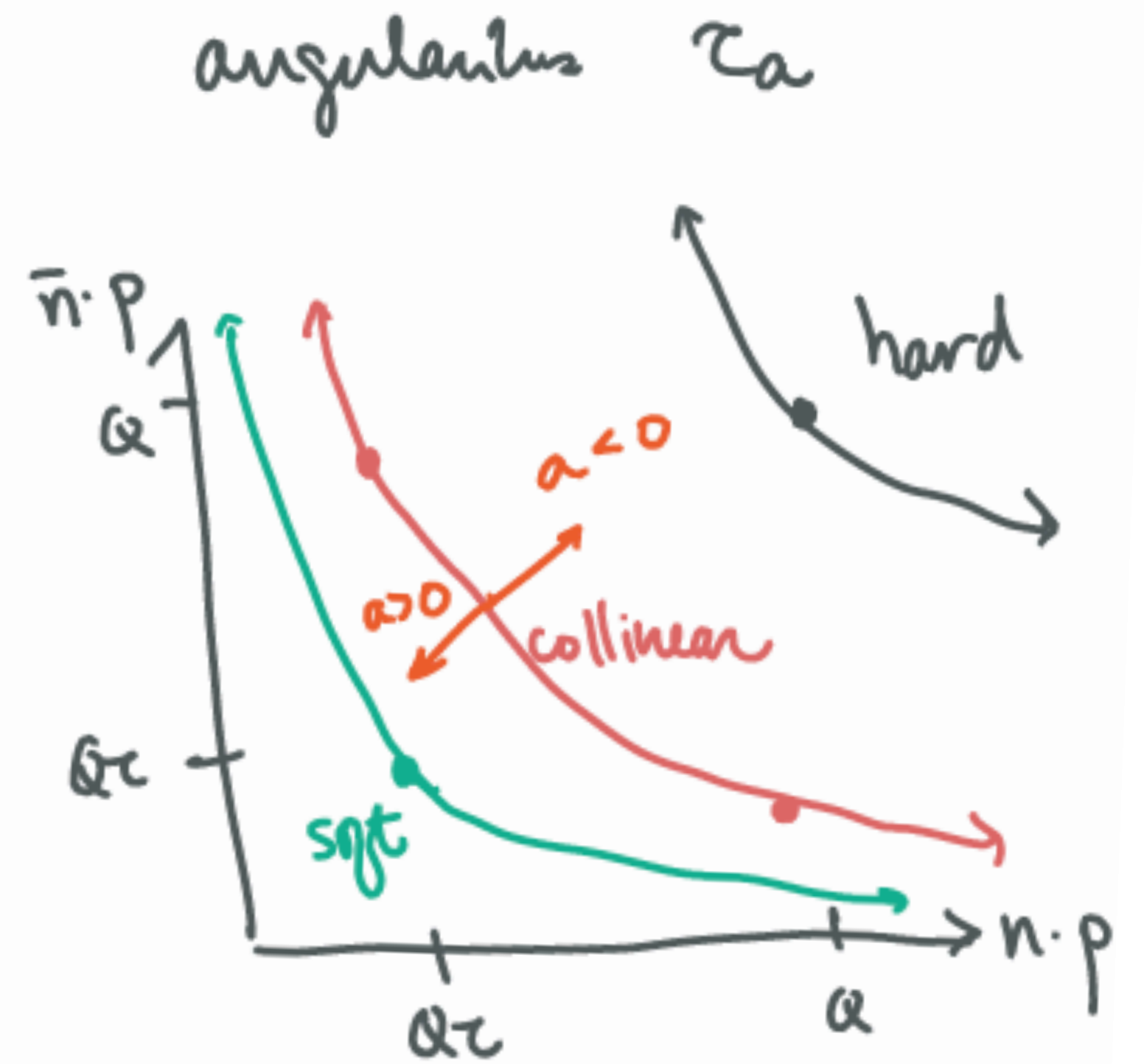
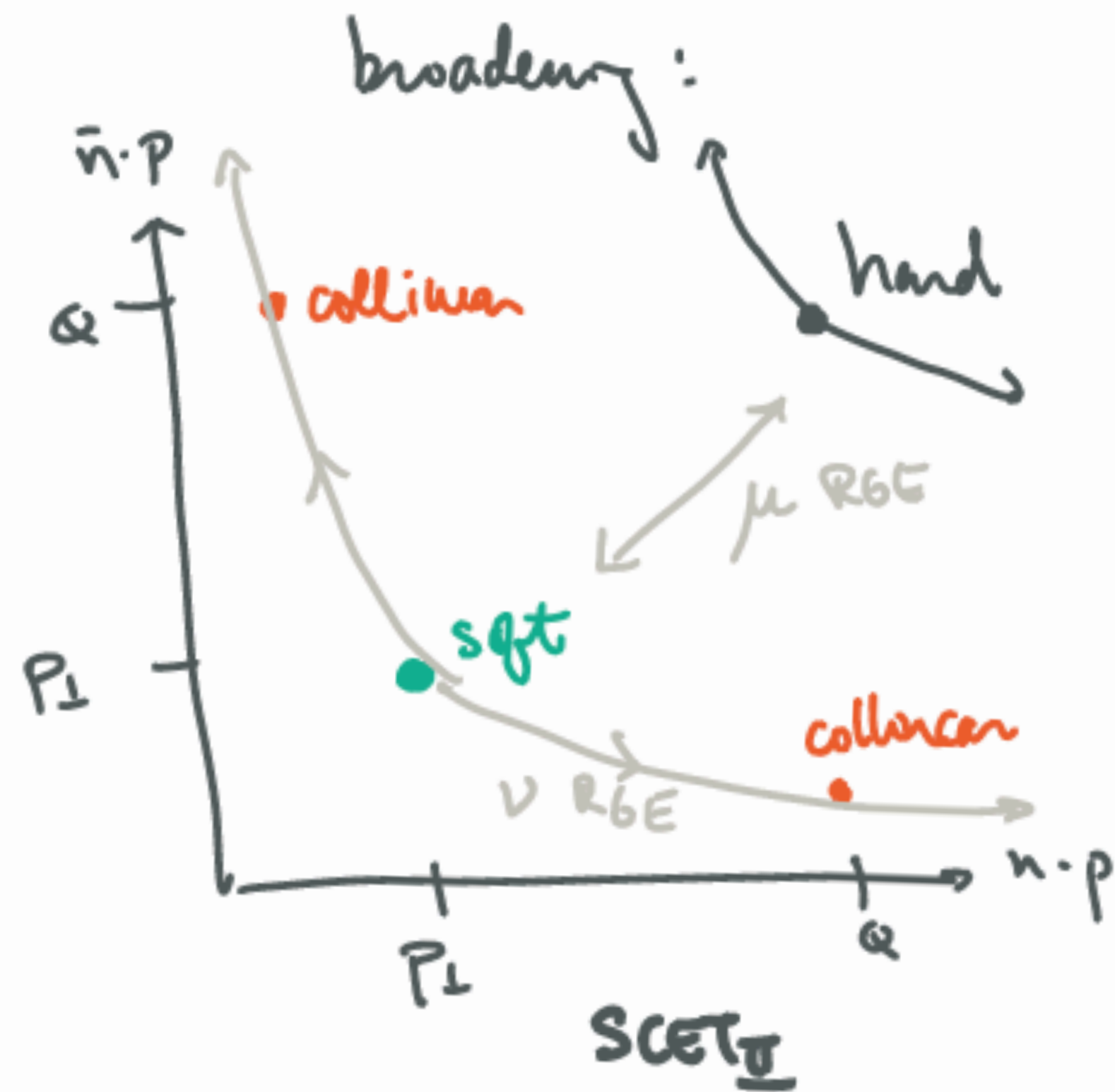
soft  $K_S \sim Q(B, B, B)$

↑ same

# SCALES & MODES:



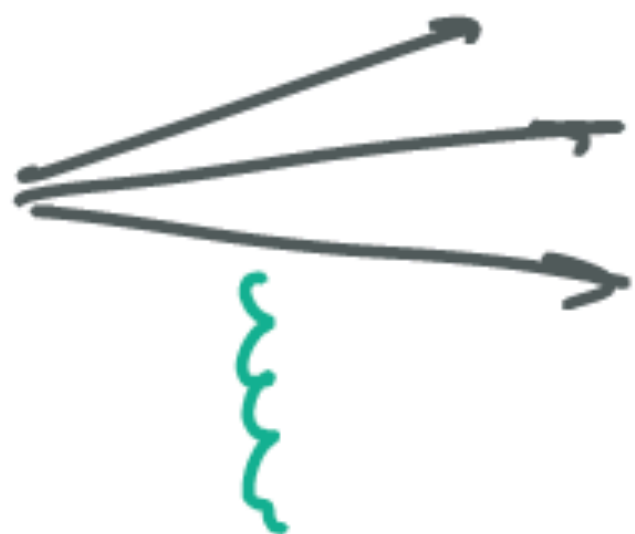
(also C-parameter)



[0901.3780]



Angularities :



$$\tau_a \sim \frac{P_\perp}{Q} \left( \frac{p^+}{p^-} \right)^{\frac{1-a}{2}}$$
$$\sim \frac{1}{Q} (p^+)^{1-\frac{a}{2}} (p^-)^{\frac{a}{2}}$$

$\Rightarrow$  coll

$$\tau_a \sim \left( \frac{p^+}{Q} \right)^{1-\frac{a}{2}}$$

$$\Rightarrow p^+ \sim Q \tau_a^{\frac{2}{2-a}}$$
$$p_\perp \sim Q \tau_a^{\frac{1}{2-a}}$$

$$P_c \sim Q(1, \tau_a^{\frac{2}{2-a}}, \tau_a^{\frac{1}{2-a}})$$

soft

$$\tau_a \sim \frac{k_s}{Q}$$

$$\Rightarrow P_s \sim Q(\tau_a, \tau_a, \tau_a)$$

# NP Shape Function $S_{NP}$

Key properties:

•  $\Omega_1$  has a field theory def:

$$\Omega_1 = \frac{1}{N_c} \text{Tr} \langle 0 | \gamma_n \gamma_n^\dagger \hat{E}_T \gamma_{\bar{n}} \gamma_{\bar{n}}^\dagger | 0 \rangle$$

“anomalous eqy flow”

↓

$$\langle \tau_a \rangle = \langle \tau_a \rangle_{PT}$$

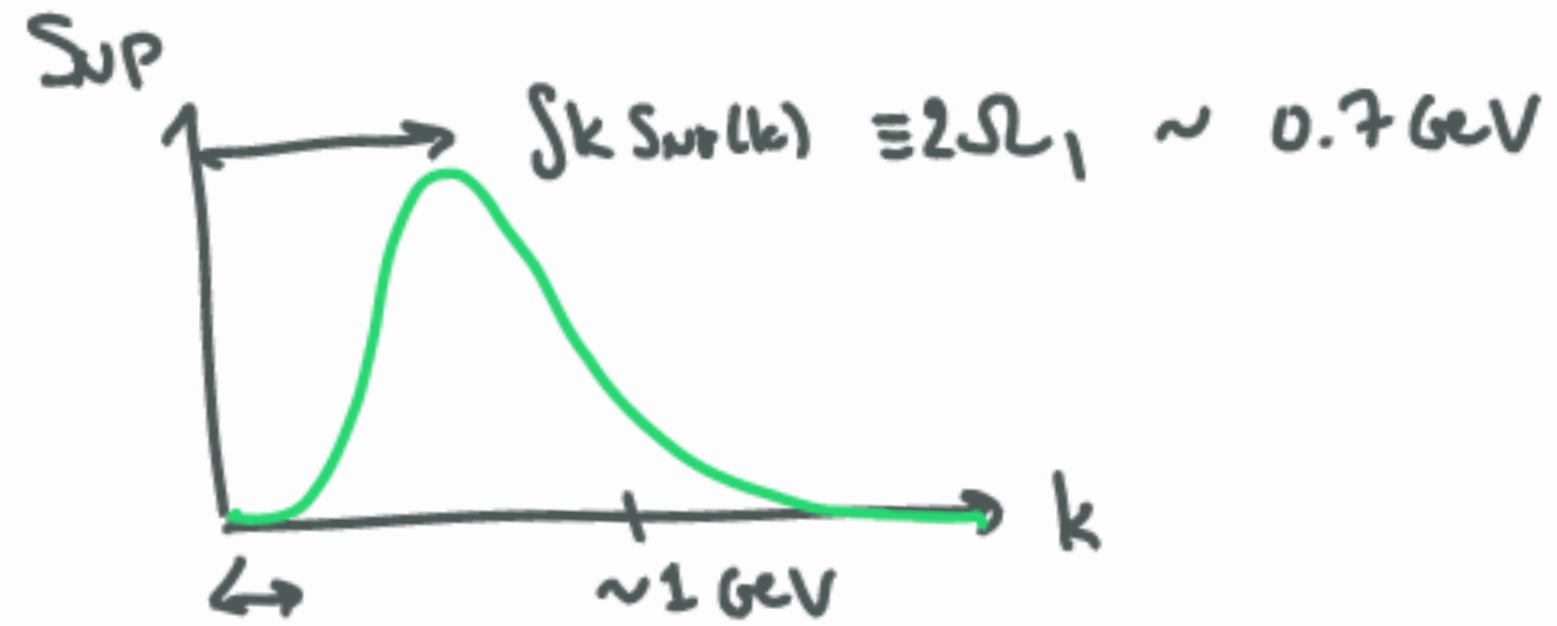
$$+ \frac{2\Omega_1}{Q(1-a)}$$

L, Stewart  
(2006)

universal!

(appears in thrust, C-parameter)

scaling  $\frac{1}{Q} \cdot \frac{1}{1-a}$  is a prediction of QCD factorization



technical details:

• needs renormalon subtraction

• we adopt “R-gap” scheme

Hoang & Stewart

Hoang & Kluth

Hoang, Jain, Scimone, Stewart

“R-evolution”

# PROOF OF UNIVERSAL SHIFT

[Cl, Stromm 2006]



$$\Delta \langle e \rangle_s = \frac{1}{a} \int_{-\infty}^{\infty} d\eta f_e(\eta) \frac{1}{N_c} \text{Tr} \langle 0 | \bar{T} [ \underbrace{Y_{\bar{n}}^\dagger}_{\Lambda_{\bar{n}}^\dagger \eta'} ] \underbrace{\hat{E}_T(\eta)}_{\Lambda_{\eta}^\dagger \eta} \underbrace{T [ Y_n Y_{\bar{n}} ]}_{\Lambda_{\eta}^\dagger \eta'} | 0 \rangle$$

Lorentz boosts:  $\Lambda_{\bar{n}}^\dagger \eta'$   $\Lambda_{\eta}^\dagger \eta'$

$$Y_n = \text{P exp} \left[ ig \int_0^\infty ds n \cdot A_s(ns) \right] \rightarrow Y_n$$

$$|0\rangle \rightarrow |0\rangle$$

$$\hat{E}_T(\eta) \rightarrow \hat{E}_T(\eta + \eta')$$

$$\hat{E}_T(\eta) |X\rangle$$

$$= \sum_{i \in X} |\vec{p}_i^\perp| \delta(\eta - \eta_i) |X\rangle$$

Pick  $\eta'$  to be anything!

$$\Rightarrow \Delta \langle e \rangle_s = \underbrace{\frac{1}{a} \int_{-\infty}^{\infty} d\eta f_e(\eta)}_{= C_e} \underbrace{\frac{1}{N_c} \text{Tr} \langle 0 | \bar{T} [ Y_{\bar{n}}^\dagger Y_n ] \hat{E}_T(0) T [ Y_n Y_{\bar{n}} ] | 0 \rangle}_{\Omega_1}$$

(massless parton case)

generalizes  
single emission models  
e.g. Dokshitzer-Webster  
95-96