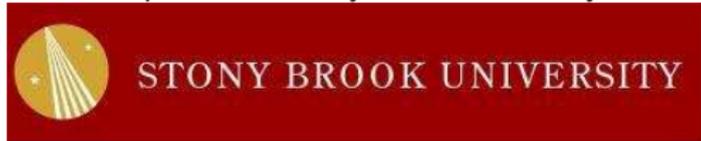


# Constraints on the EOS and nuclear symmetry energy from experiments and observations

J. M. Lattimer

Department of Physics & Astronomy



The r-process and the nuclear EOS  
after LIGO-Virgo's third observing run  
INT, Seattle, WA, May 23-27, 2022

# Acknowledgements

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DOE - Nuclear Physics

DOE - Toward Exascale Astrophysics of Mergers and Supernovae (TEAMS)

NASA - Neutron Star Interior Composition ExploreR (NICER)

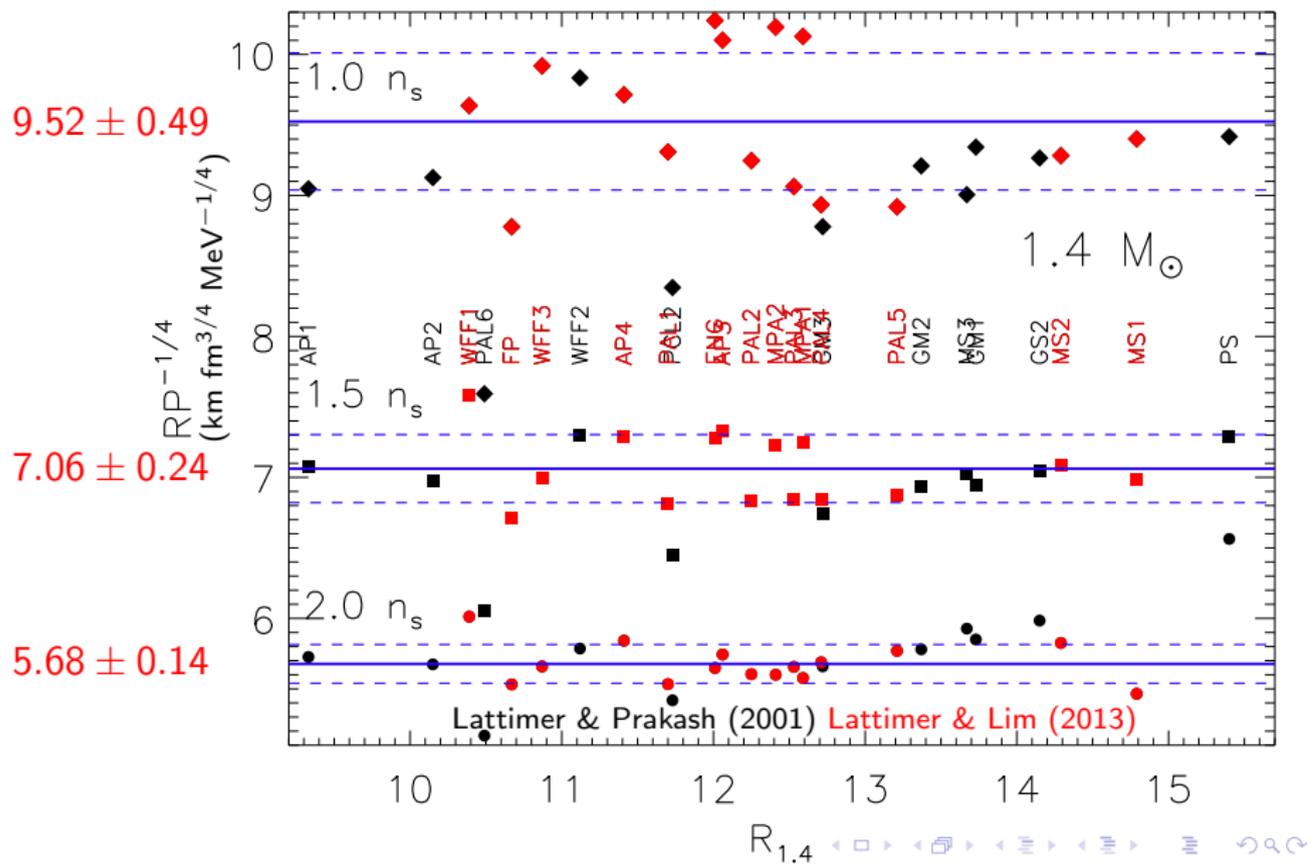
NSF - Neutrinos, Nuclear Astrophysics and Symmetries (PFC - N3AS)

DOE - Nuclear Physics from Multi-Messenger Mergers (NP3M)

## **Recent Collaborators:**

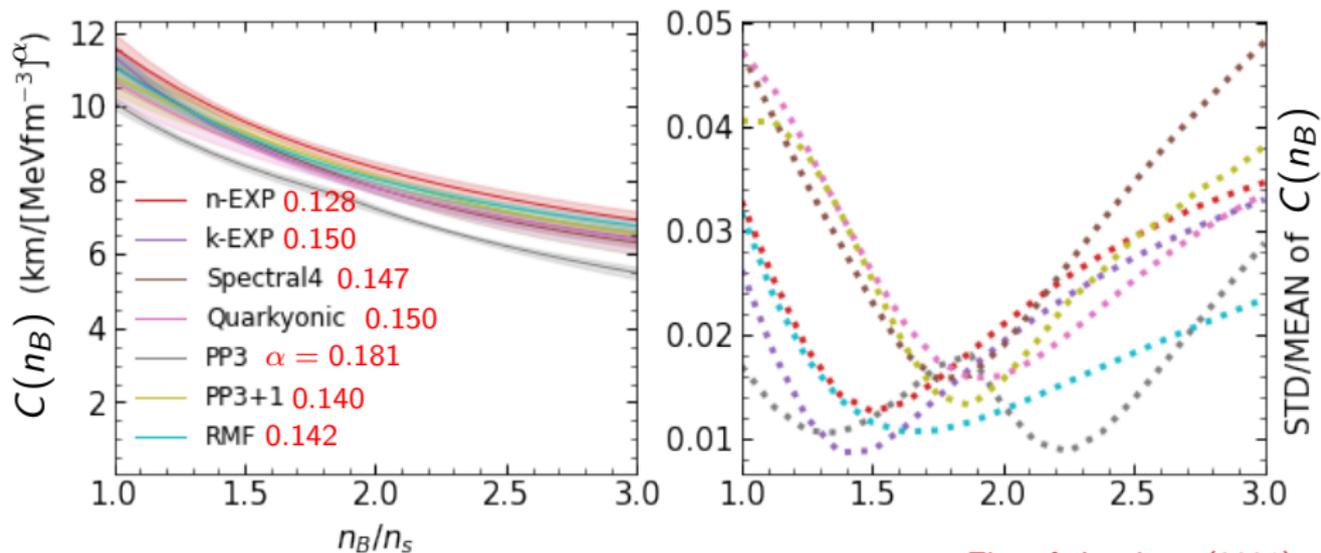
Duncan Brown & Soumi De (Syracuse), Christian Drischler (Berkeley), Sophia Han & Sanjay Reddy (INT), Madappa Prakash & Tianqi Zhao (Ohio), Evgeni Kolomeitsev (Matej Bei, Slovakia), Akira Ohnishi (YITP, Kyoto), Achim Schwenk (Darmstadt), Andrew Steiner (Tennessee) & Ingo Tews (LANL)

# The Radius – Pressure Correlation



# $R_{1.4} - P_{\text{NSM}}$ Correlation with Parameterized EOSs

Optimize  $R_{1.4} = C(n_B)P_{\text{NSM}}(n_B)^\alpha$  for  $n_B/n_s \in [1, 3]$



Zhao & Lattimer (2021)

# Nuclear Symmetry Energy and the Pressure

The symmetry energy is the difference between the energies of pure neutron matter ( $x = 0$ ) and symmetric ( $x = 1/2$ ) nuclear matter:

$$S(n) = E(n, x = 0) - E(n, x = 1/2)$$

Usually approximated as an expansion around the saturation density ( $n_s$ ) and isopin symmetry ( $x = 1/2$ ):

$$E(n, x) = E(n, 1/2) + (1-2x)^2 S_2(n) + \dots$$

$$S_2(n) = S_v + \frac{L}{3} \frac{n - n_s}{n_s} + \dots$$

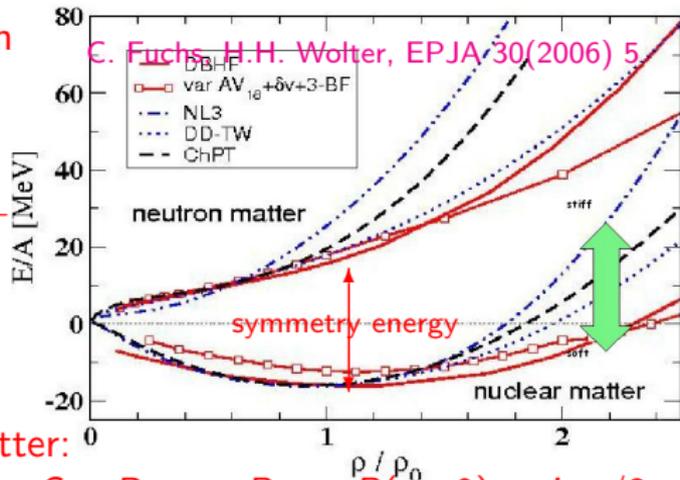
$$S_v \simeq 31 \text{ MeV}, \quad L \simeq 50 \text{ MeV}$$

Extrapolated to pure neutron matter:

$$E_N = E(n_s, 0) \approx S_v + E(n_s, 1/2) \equiv S_v - B, \quad P_N = P(n_s, 0) = Ln_s/3$$

Neutron star matter (beta equilibrium) is nearly neutron matter:

$$\frac{\partial(E + E_e)}{\partial x} = 0, \quad P(n_s, x_\beta) \simeq \frac{Ln_s}{3} \left[ 1 - \left( \frac{4S_v}{\hbar c} \right)^3 \frac{4 - 3S_v/L}{3\pi^2 n_s} \right]$$



# Energy Expansions

$$S(u) = E_N(u) - E_{1/2}(u), \quad u = n/n_s$$

$$E_{1/2}(u) = -B + \frac{K_{1/2}}{18}(u-1)^2 + \frac{Q_{1/2}}{162}(u-1)^3 + \dots$$

$$E_N(u) = S_V - B + \frac{L}{3}(u-1) + \frac{K_N}{18}(u-1)^2 + \frac{Q_N}{162}(u-1)^3 + \dots$$

$$S(u) = S_V + \frac{L}{3}(u-1) + \frac{K_{\text{sym}}}{18}(u-1)^2 + \frac{Q_{\text{sym}}}{162}(u-1)^3 + \dots$$

Empirical saturation properties:

$$n_s = 0.155 \pm 0.005 \text{ fm}^{-3}, \quad B = 16 \pm 1 \text{ MeV}, \quad K_{1/2} = 230 \pm 20 \text{ MeV}$$

261 nuclear interactions fit to nuclei yield these correlations:

$$K_{\text{sym}} = 3.501L - 305.67 \pm 24.26 \text{ MeV}$$

$$Q_{\text{sym}} = -6.443L + 708.74 \pm 118.14 \text{ MeV}$$

$$Q_{1/2} = -0.870L - 354.71 \pm 178.04 \text{ MeV}$$

# Symmetry Parameter Correlation from Masses

Liquid drop model approximately valid

$$E_{\text{sym}}(N, Z) = (S_V A - S_S A^{2/3}) I^2$$

$$\chi^2 = \frac{1}{N\sigma_D^2} \sum_{i=1}^N (E_{\text{ex},i} - E_{\text{sym},i})^2$$

$$\chi_{wv} = \frac{2}{N\sigma_D^2} \sum_{i=1}^N I_i^4 A_i^2 \simeq 61.6 \sigma_D^{-2}$$

$$\chi_{ss} = \frac{2}{N\sigma_D^2} \sum_{i=1}^N I_i^4 A_i^{4/3} \simeq 1.87 \sigma_D^{-2}$$

$$\chi_{vs} = -\frac{2}{N\sigma_D^2} \sum_{i=1}^N I_i^4 A_i^{5/3} \simeq -10.7 \sigma_D^{-2}$$

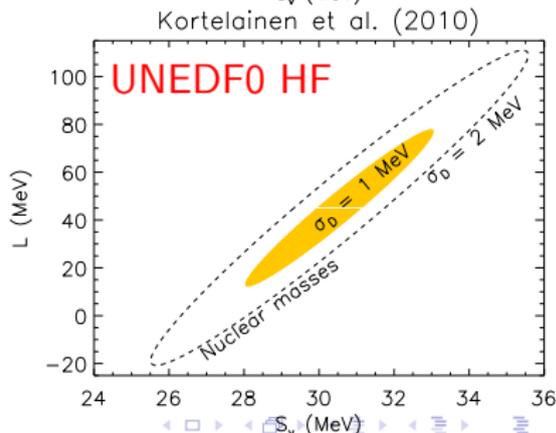
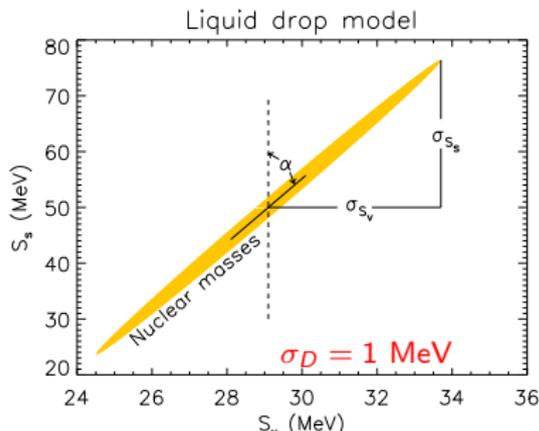
$$\sigma_{S_V} = \sqrt{\frac{2\chi_{ss}}{\chi_{wv}\chi_{ss} - \chi_{sv}^2}} \simeq 2.3 \sigma_D$$

$$\sigma_{S_s} = \sqrt{\frac{2\chi_{wv}}{\chi_{wv}\chi_{ss} - \chi_{sv}^2}} \simeq 13.2 \sigma_D$$

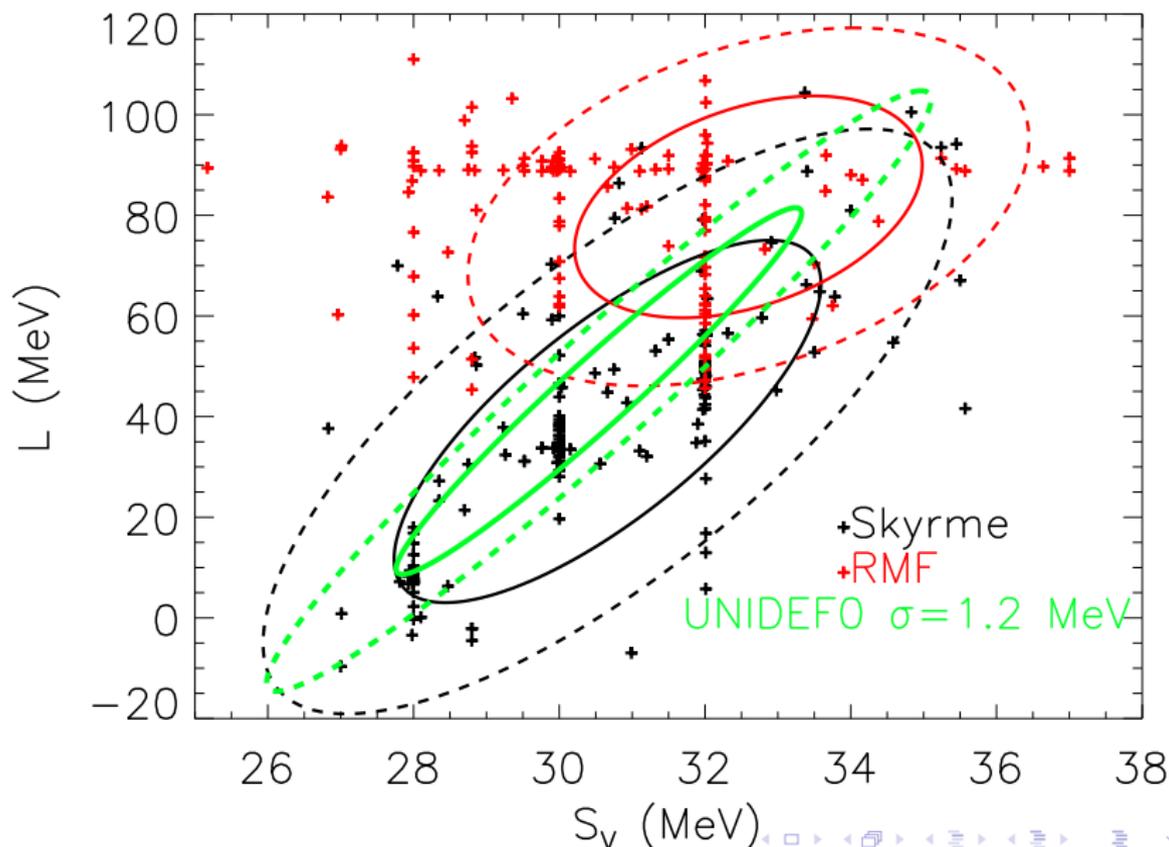
$$\alpha = \frac{1}{2} \tan^{-1} \frac{2\chi_{vs}}{\chi_{wv} - \chi_{ss}} \simeq 9^\circ.8$$

$$r_{vs} = -\frac{\chi_{vs}}{\sqrt{\chi_{wv}\chi_{ss}}} \simeq 0.997$$

$S_s$  is highly correlated with  $L$  and  $S_v$ .

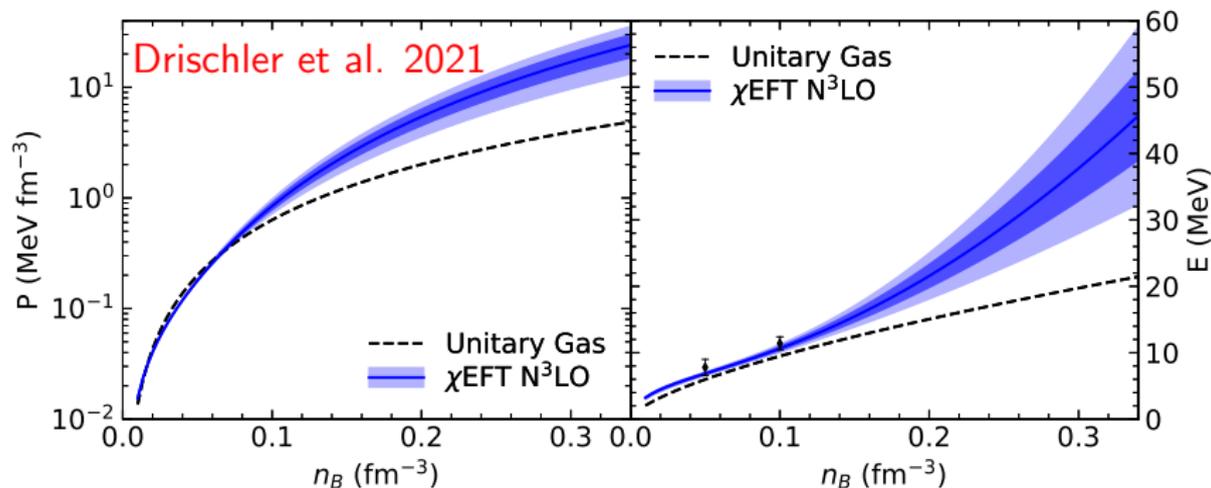


# Correlations from Fitting Nuclei



# Theoretical Neutron Matter Studies

Recently developed chiral effective field theory allows a systematic expansion of nuclear forces at low energies based on the symmetries of quantum chromodynamics. It exploits the gap between the pion mass (the pseudo-Goldstone boson of chiral symmetry-breaking) and the energy scale of short-range nuclear interactions established from experimental phase shifts. It provides the only known consistent framework for estimating energy uncertainties.



# Symmetry Parameters From Neutron Matter

Pure neutron matter calculations are more reliable than symmetric matter calculations.

Symmetric matter emerges from a delicate cancellation sensitive to short- and intermediate-range three-body interactions at N<sup>2</sup>LO that are Pauli-blocked in pure neutron matter.

N<sup>3</sup>LO symmetric matter calculations don't saturate within empirical ranges for  $n_s$  and  $B$ ,

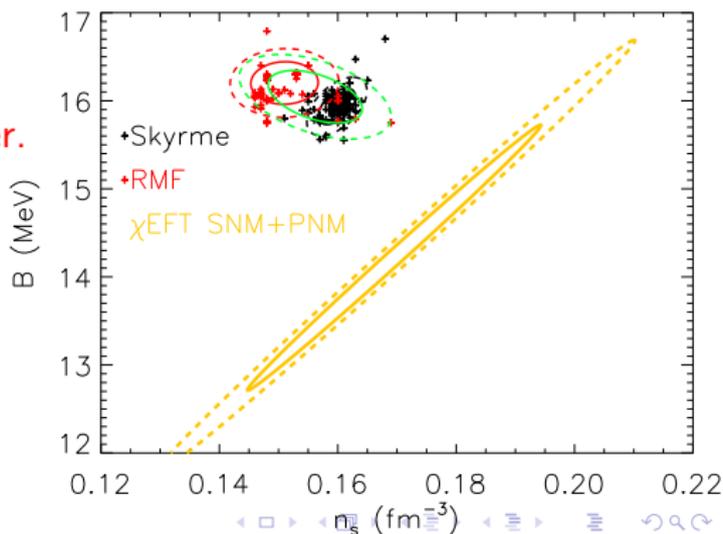
and introduce spurious correlations in symmetric matter.

We infer symmetry parameters from  $E_N(n_s)$  and  $P_N(n_s)$  using

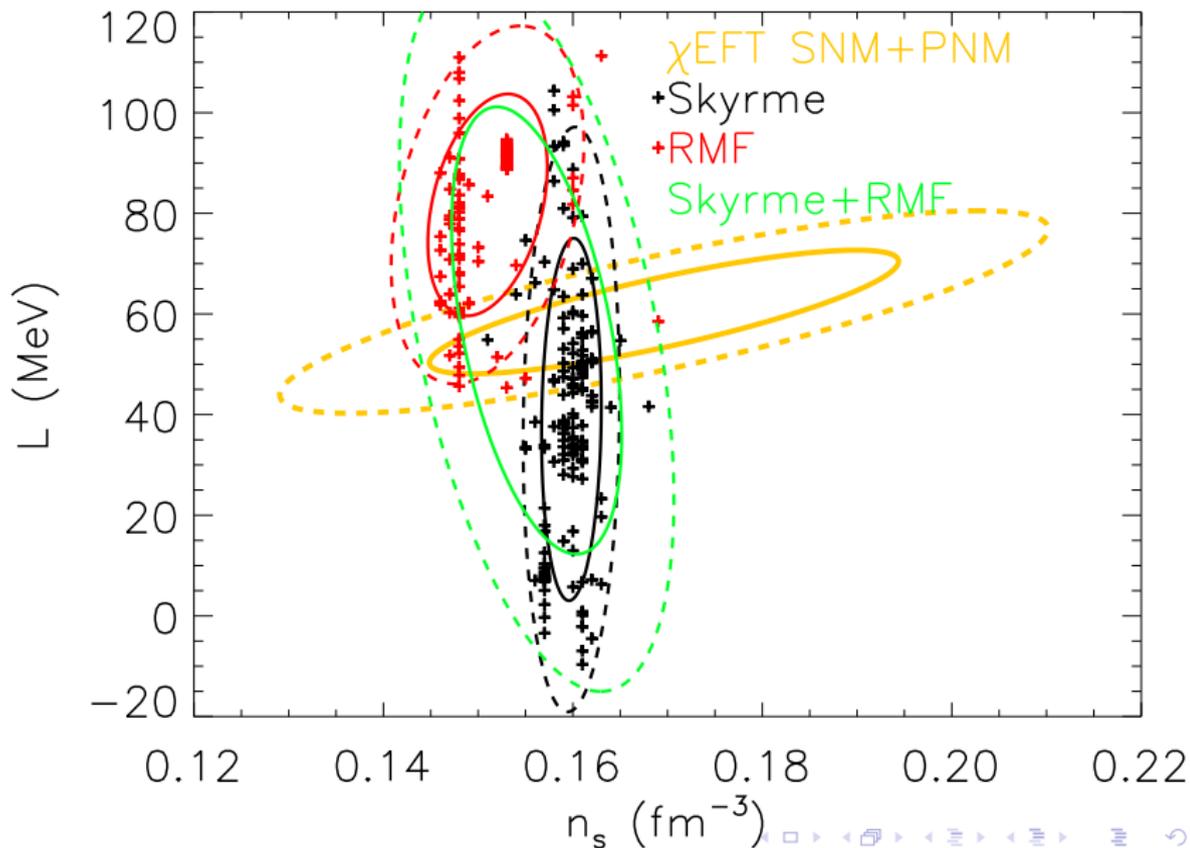
$$S_V = E_N(n_s) + B$$

$$L = 3P_N(n_s)/n_s$$

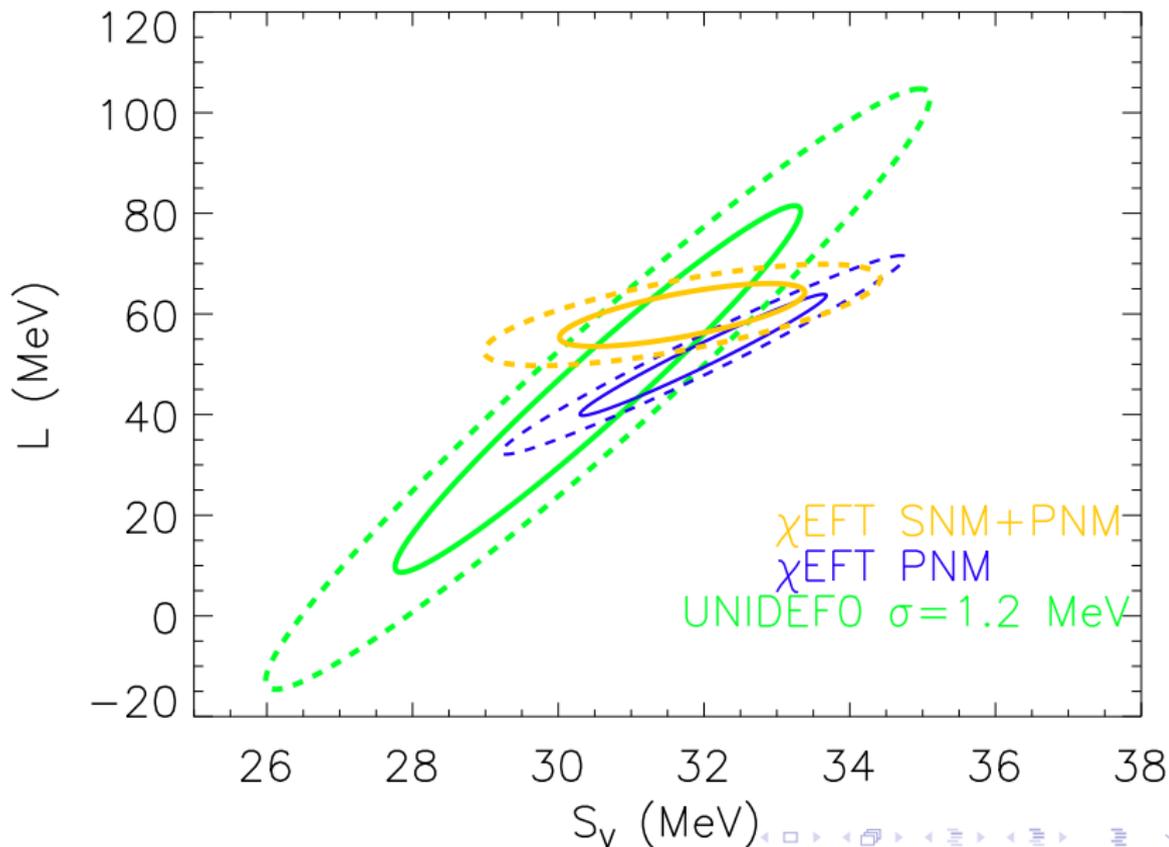
and include uncertainties in  $E_N$ ,  $P_N$ ,  $n_s$  and  $B$ .



# Correlations from Chiral EFT



# Correlations from Chiral EFT



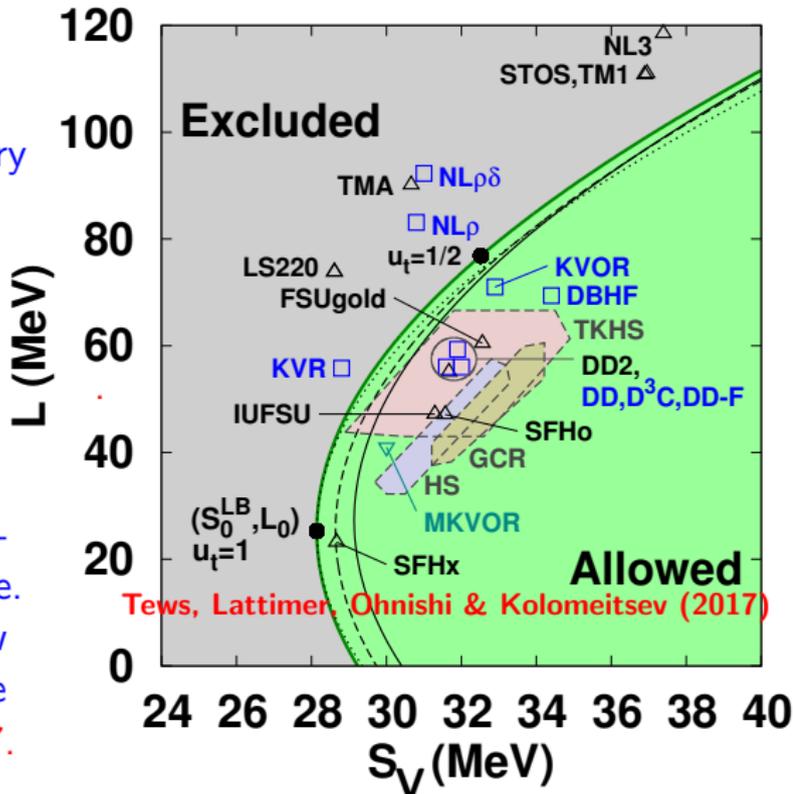
# Bounds From The Unitary Gas Conjecture

## The Conjecture:

Neutron matter energy is larger than that of the unitary gas  $E_{UG} = \xi_0(3/5)E_F$ , or

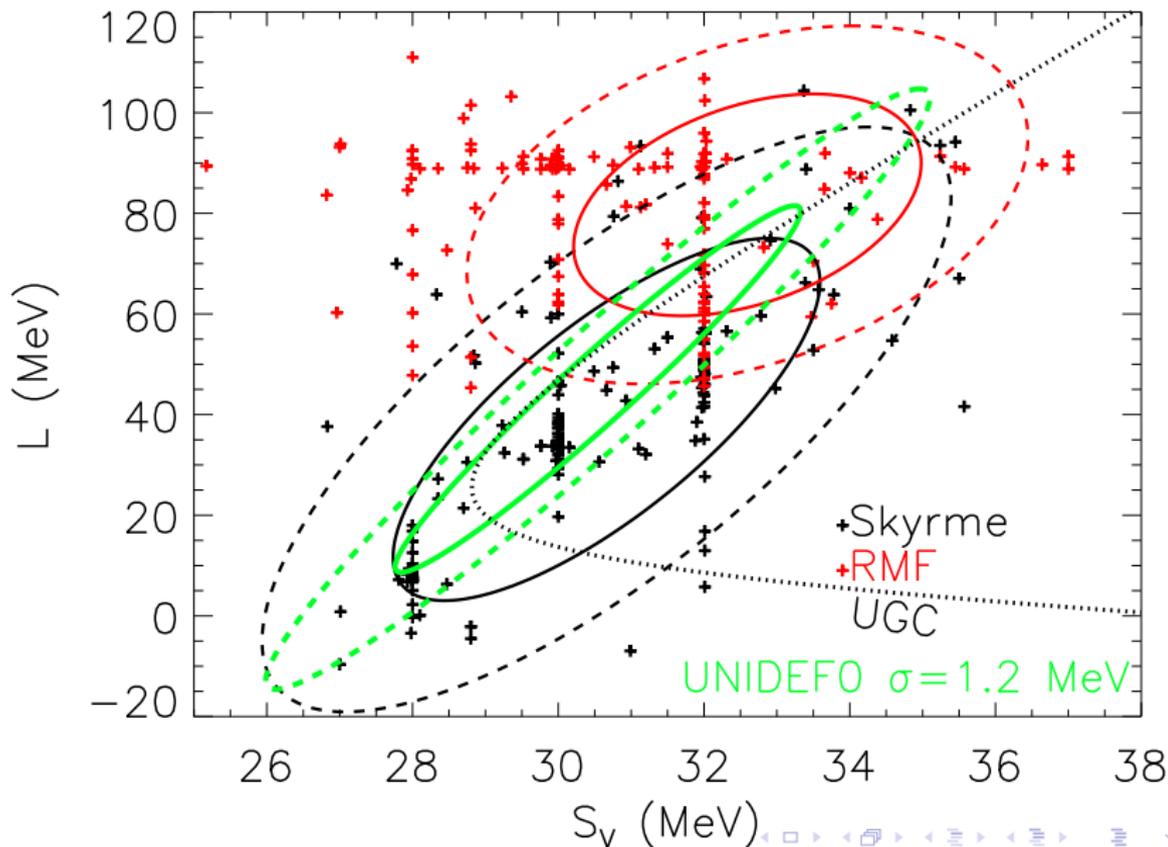
$$E_{UG} \simeq 12.6 \left( \frac{n}{n_s} \right)^{2/3} \text{ MeV}$$

The unitary gas consists of fermions interacting via a pairwise short-range s-wave interaction with infinite scattering length and zero range. Cold atom experiments show a universal behavior with the Bertsch parameter  $\xi_0 \simeq 0.37$ .

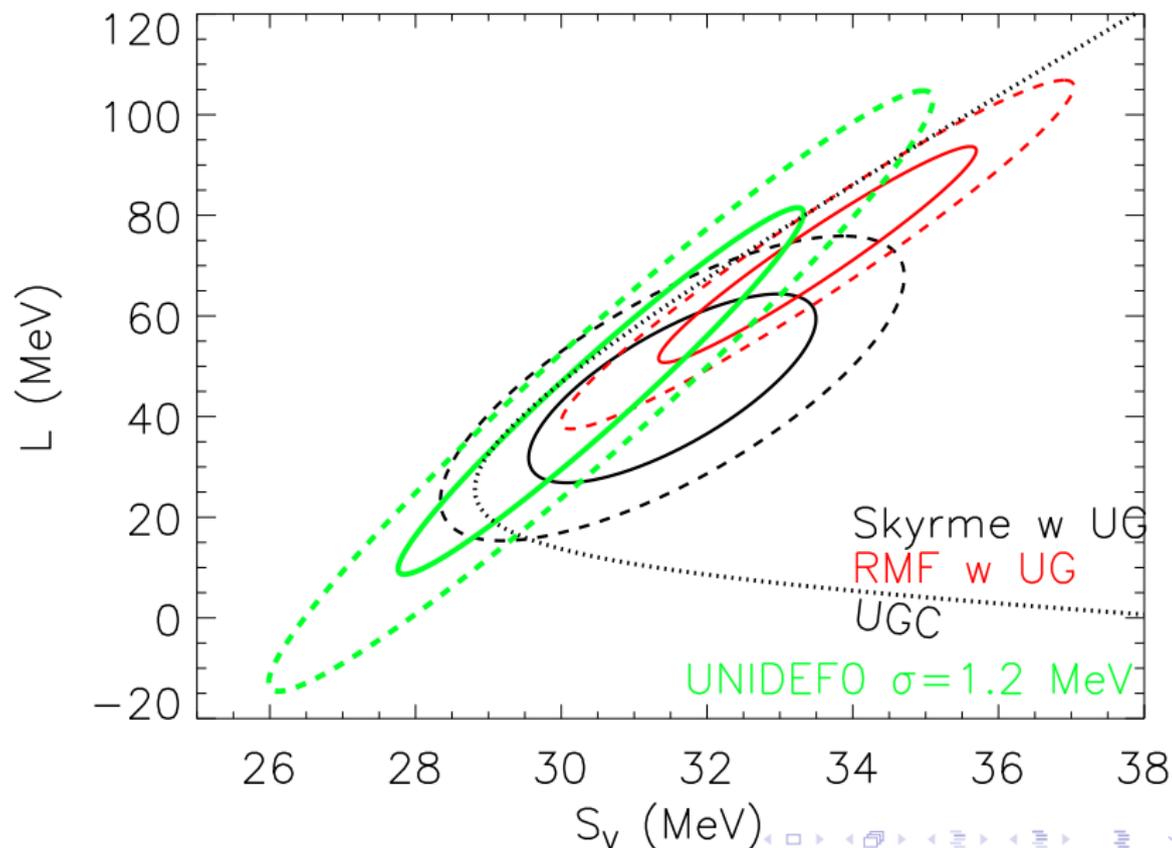


$$S_V \geq 28.6 \text{ MeV}; L \geq 25.3 \text{ MeV}; P_N(n_s) \geq 1.35 \text{ MeV fm}^{-3}; R_{1.4} \geq 9.7 \text{ km}$$

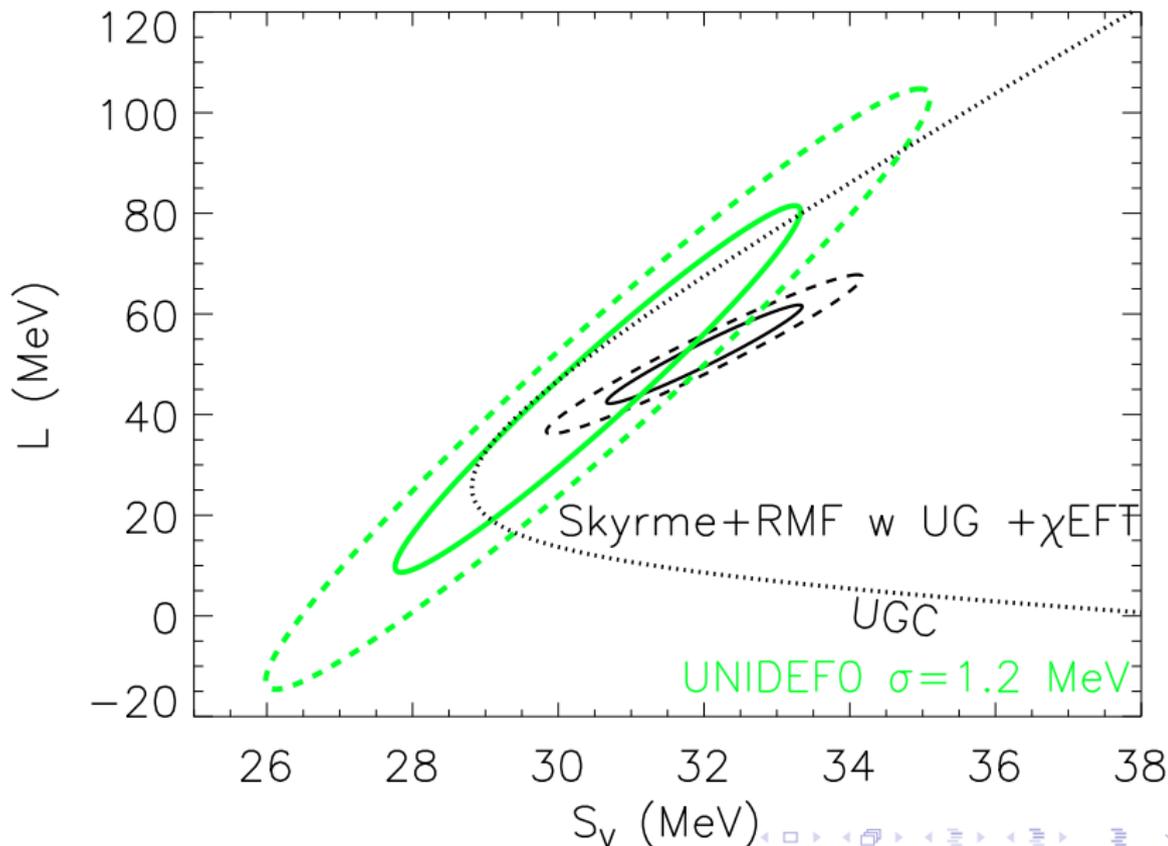
# Before Applying the UG Constraint



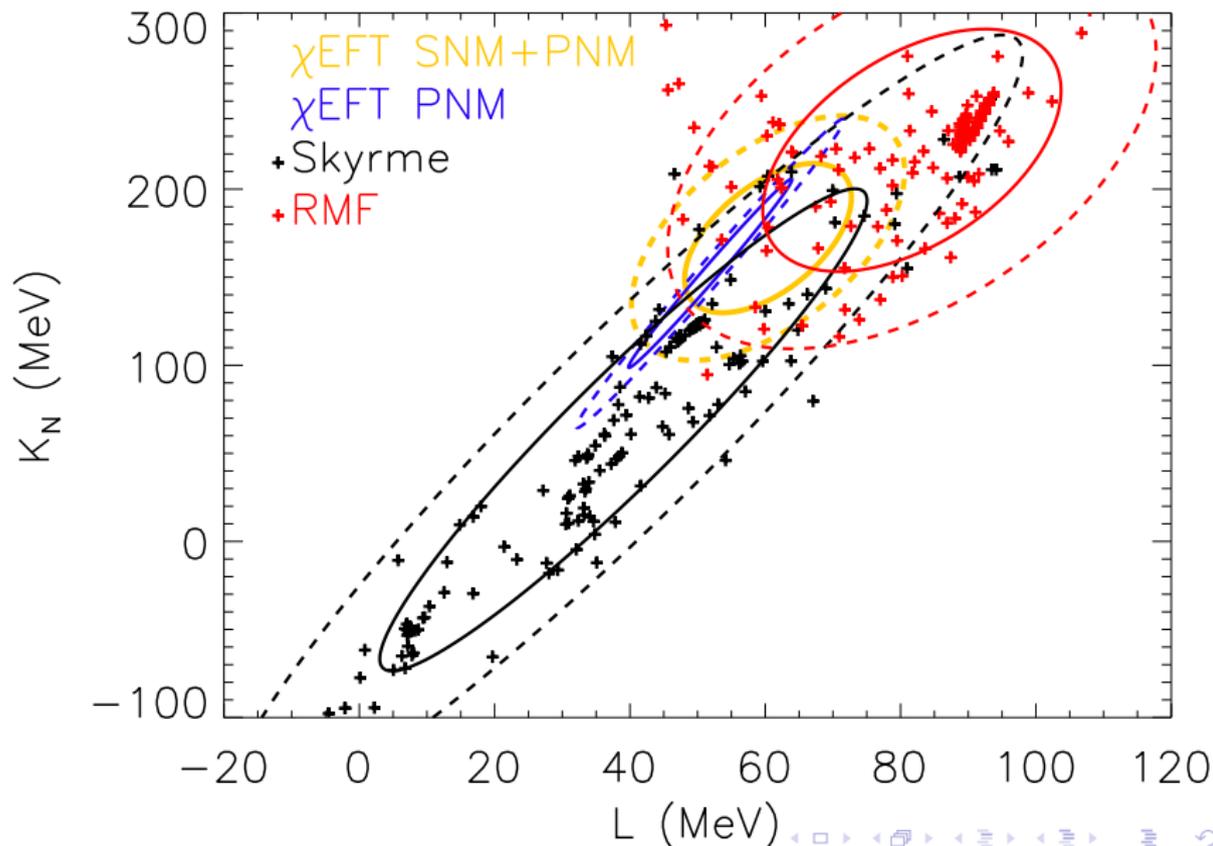
# After Applying the UG Constraint



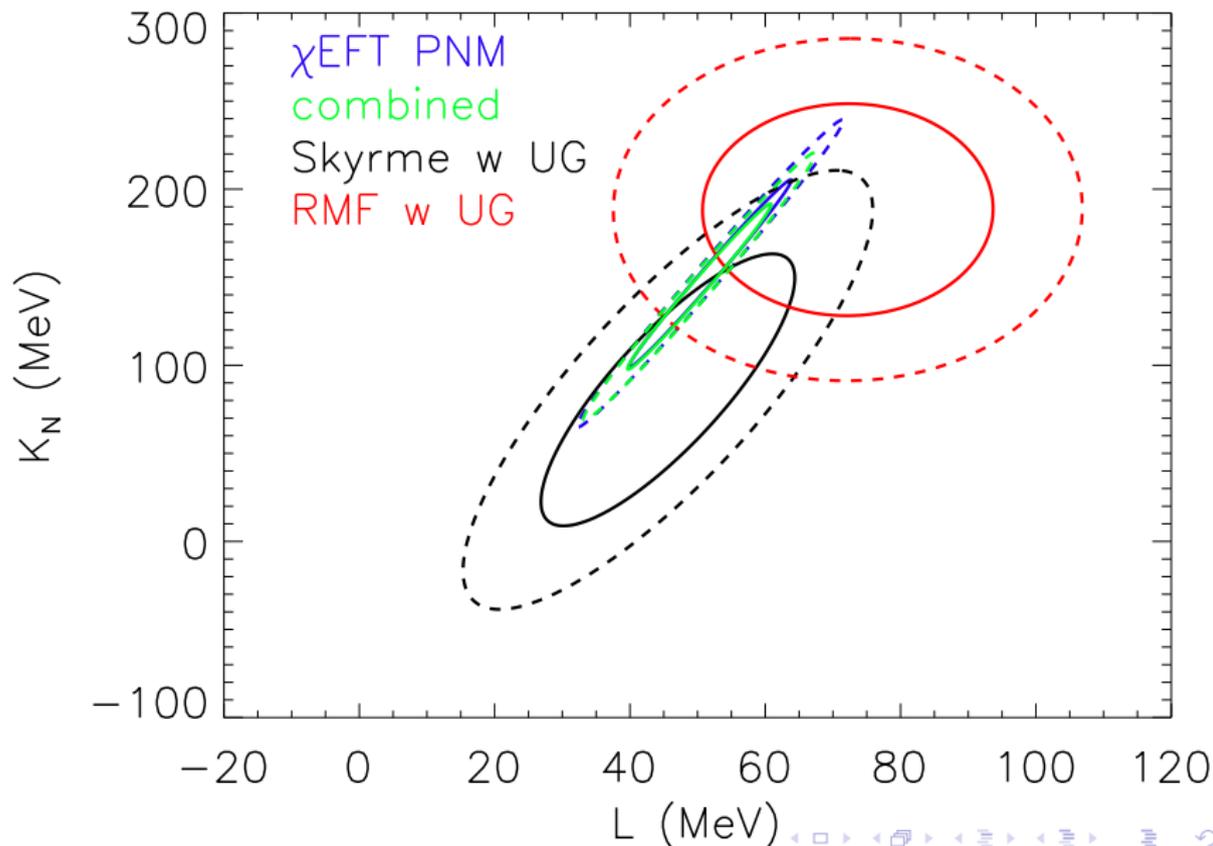
# Combining Mass Fits With Chiral EFT



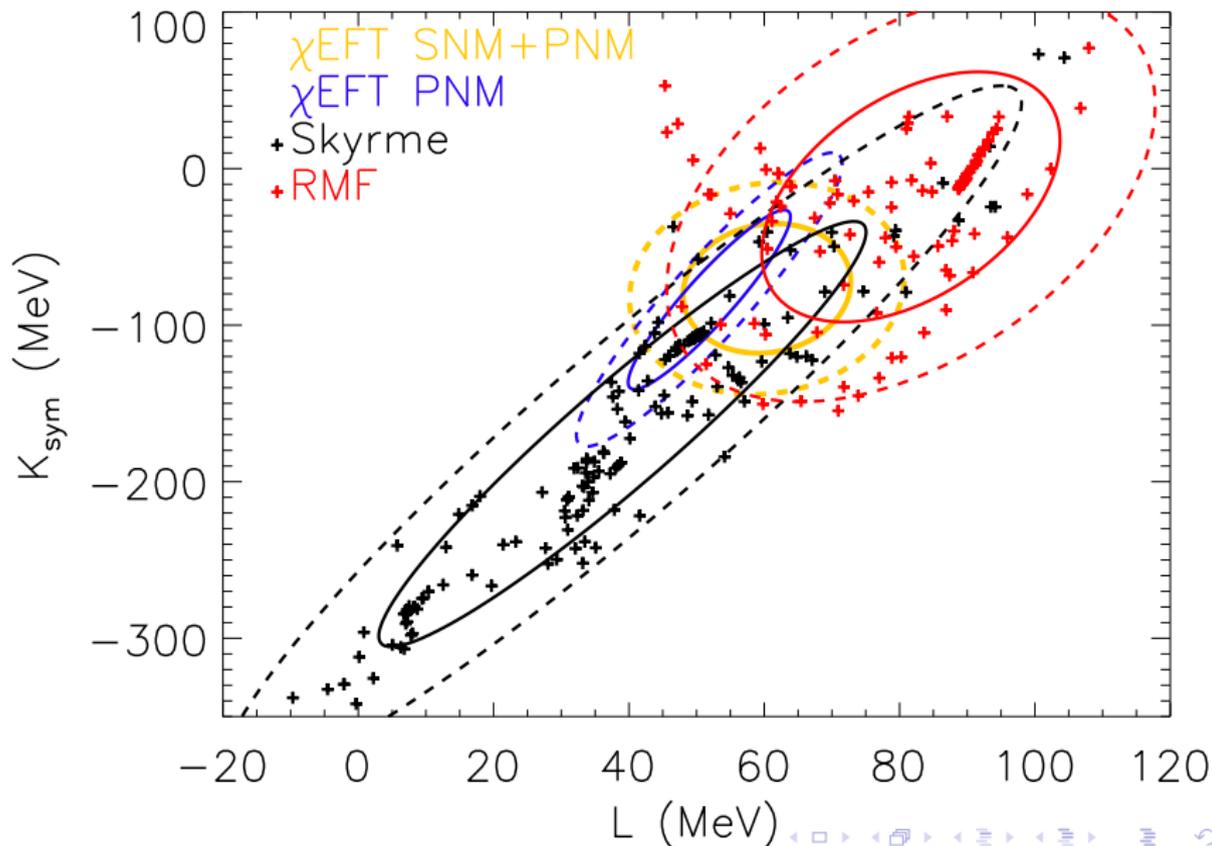
# $K_N - L$ Correlations



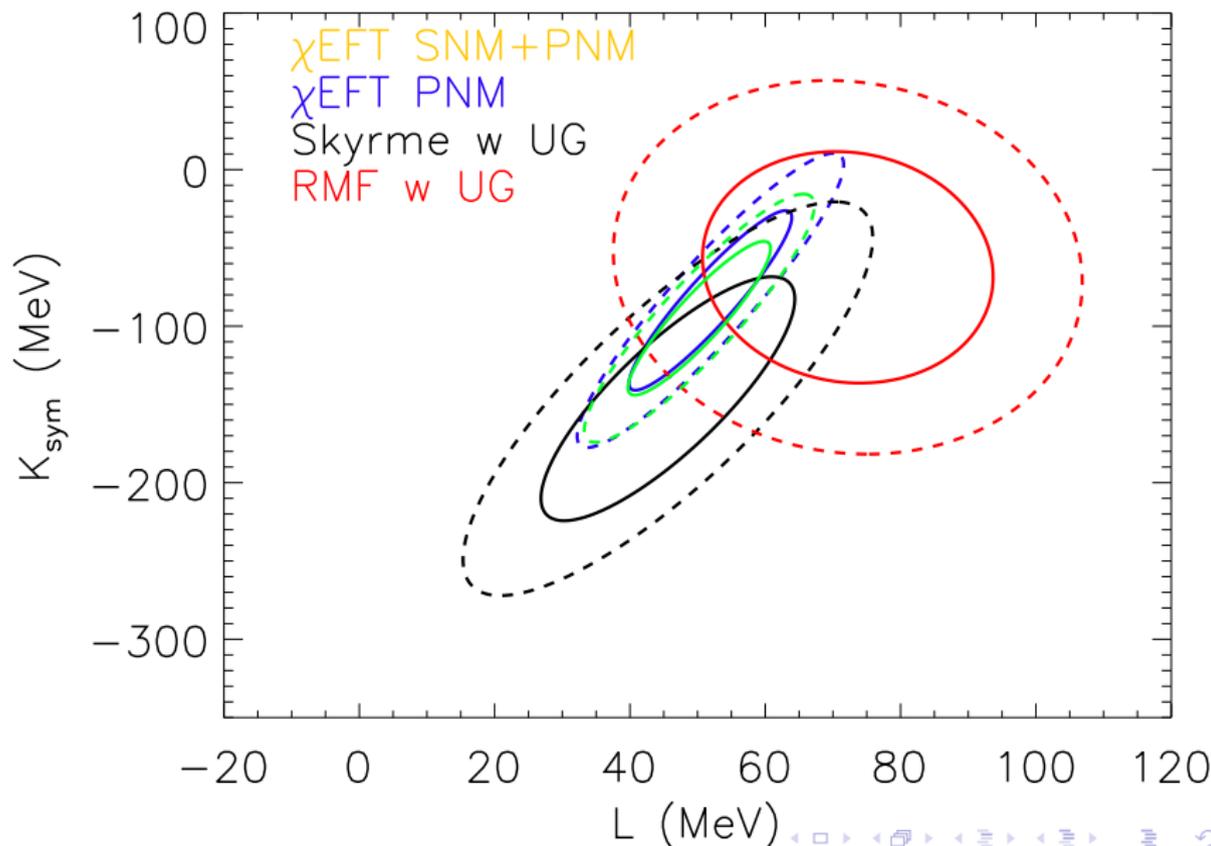
# $K_N - L$ Correlations



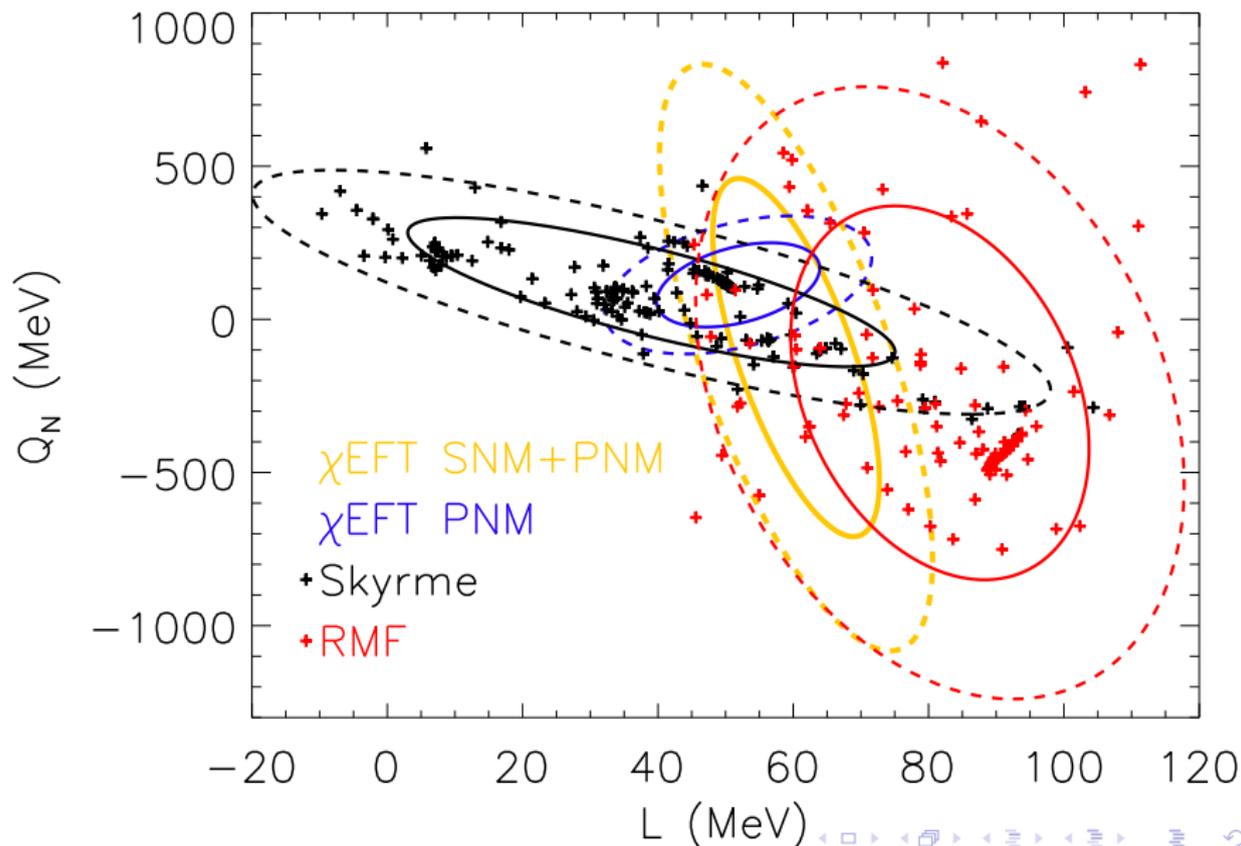
# $K_{\text{sym}} - L$ Correlations



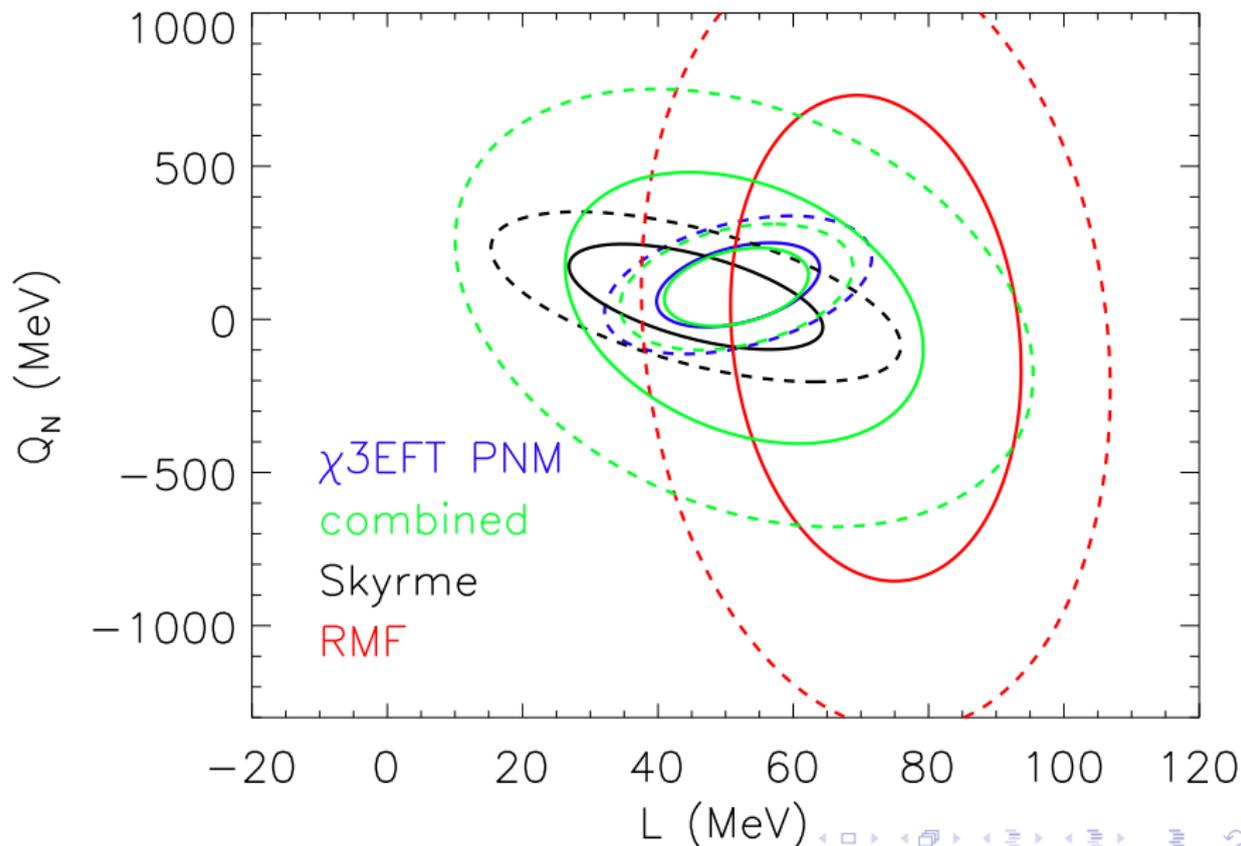
# $K_{\text{sym}} - L$ Correlations



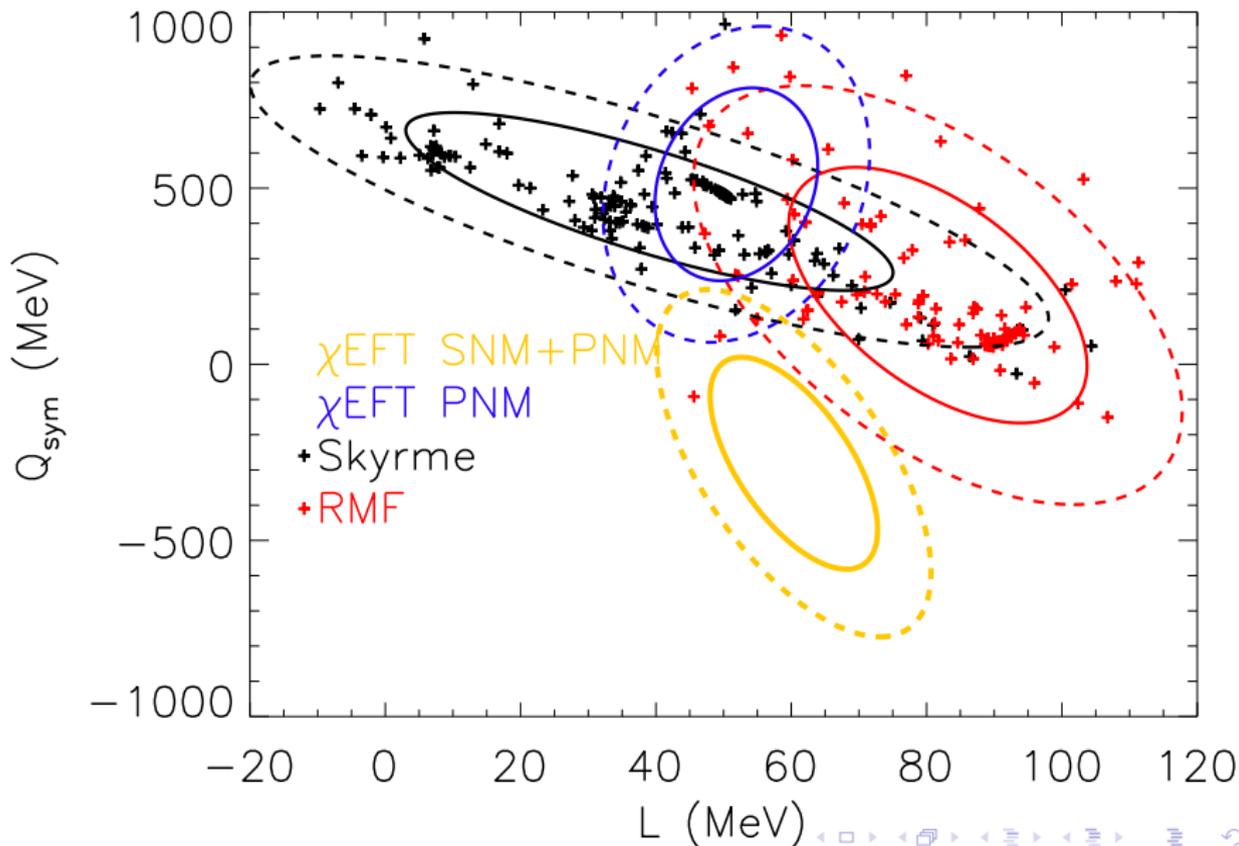
# $Q_N - L$ Correlations



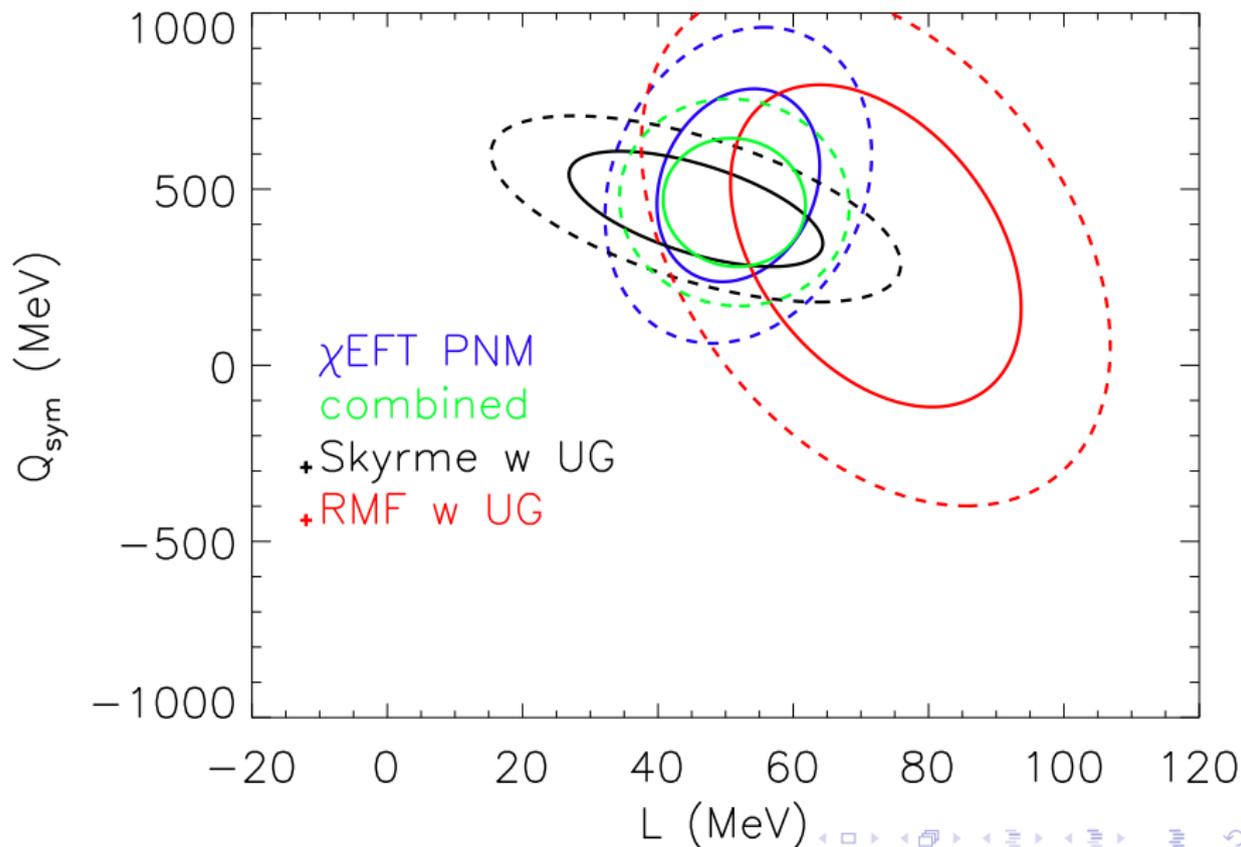
# $Q_N - L$ Correlations



# $Q_{\text{sym}} - L$ Correlations



# $Q_{\text{sym}} - L$ Correlations



# Neutron Skin Thickness

The difference between the mean neutron and proton radii in the liquid droplet model is  $t_{np} = R_n - R_p$

$$t_{np} = \frac{2r_0 l}{3} \frac{S_s}{S_V} \left[ 1 + S_s A^{-1/3} / S_V \right]^{-1} \quad r_{np} = \sqrt{\langle R_n \rangle^2 - \langle R_p \rangle^2}$$

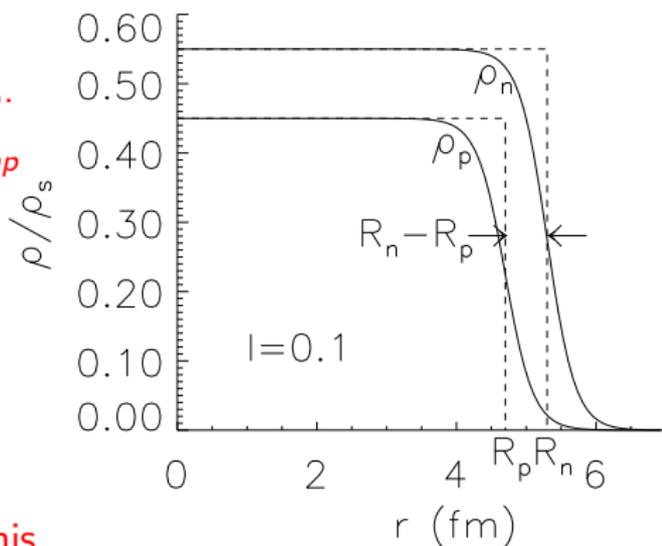
As for masses, this implies an  $L - S_V$  correlation for a given  $t_{np}$ .

Additionally, Brown found that  $r_{np}$  was more highly correlated with  $dS/dn$  at  $n \sim 2n_s/3$  than with  $L$ .

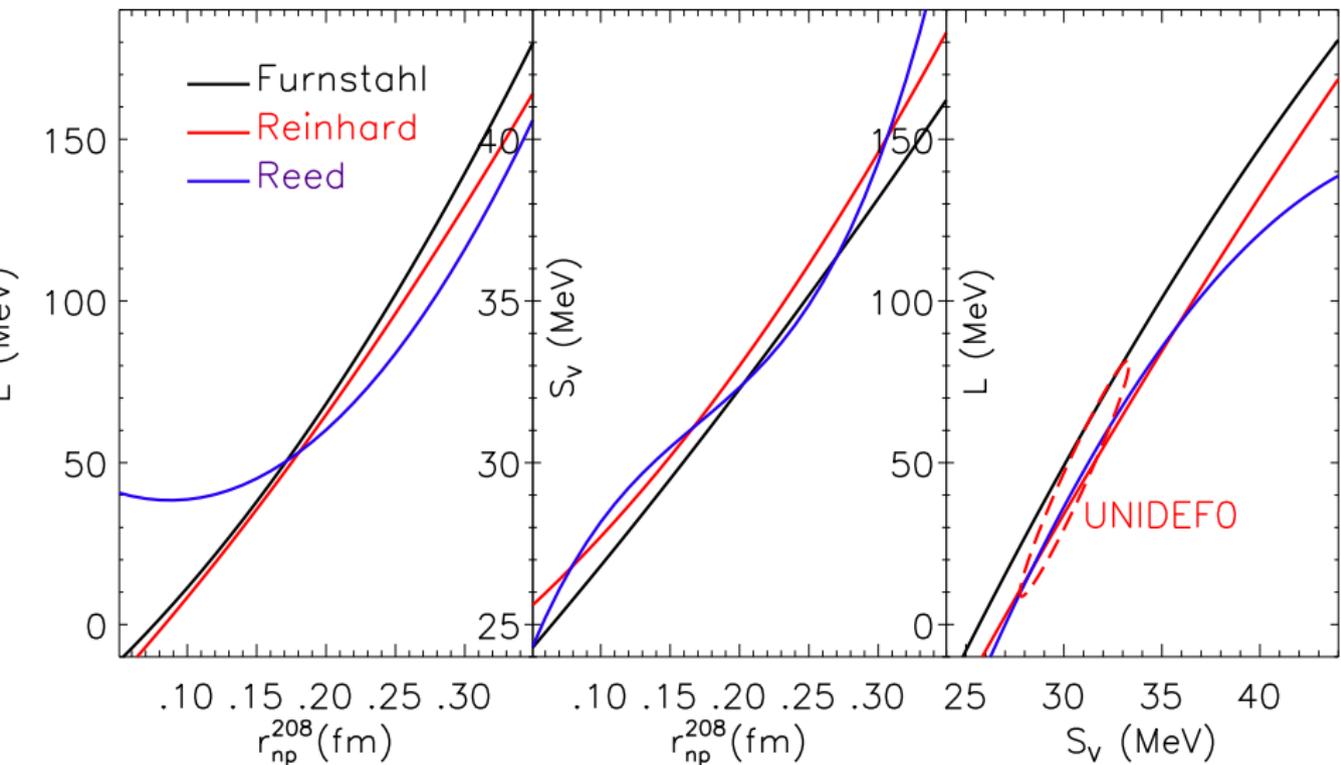
$r_{np} \propto \tilde{L}(2n_s/3)$ ;  $\tilde{L} = 3ndS/dn$ .

$$r_{np}^{208} \simeq \frac{(dS/dn)_{0.1}}{(882 \pm 32) \text{ MeV fm}^{-2}}$$

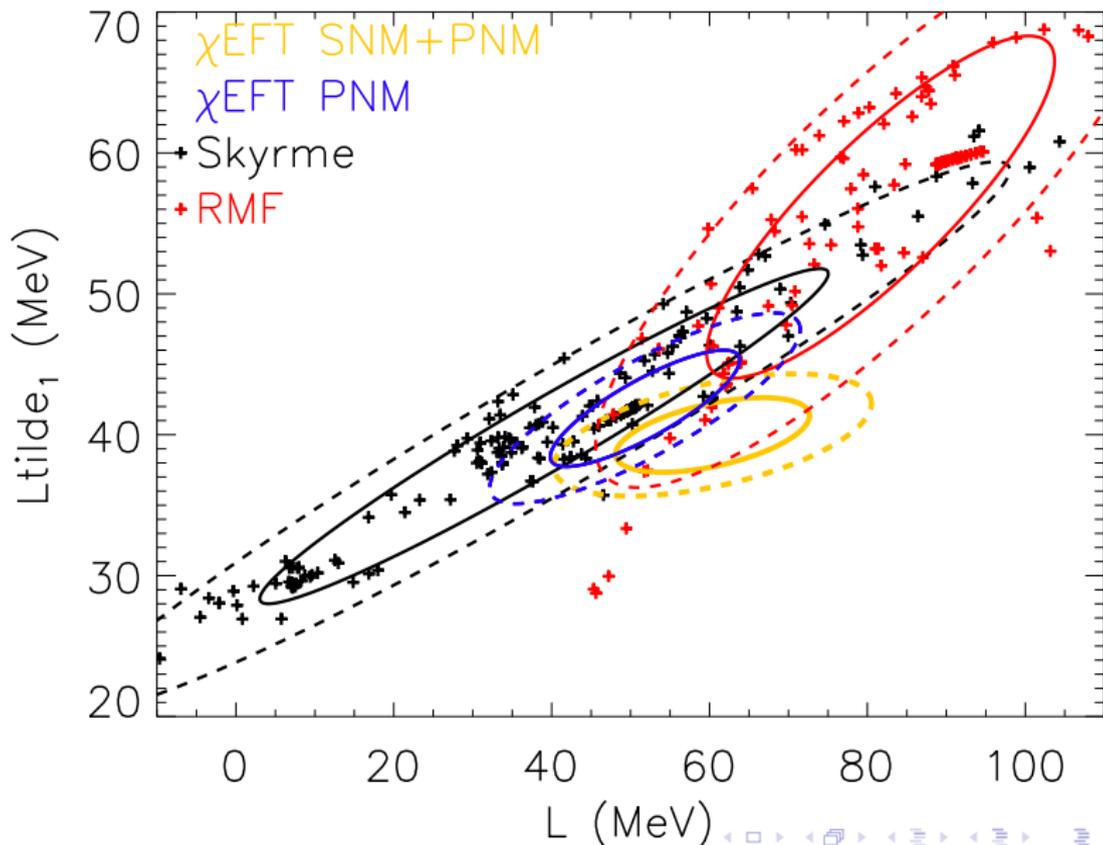
Since  $S(u) \simeq S_V + (u - 1)L/3$ , this is similar to the mass correlation.



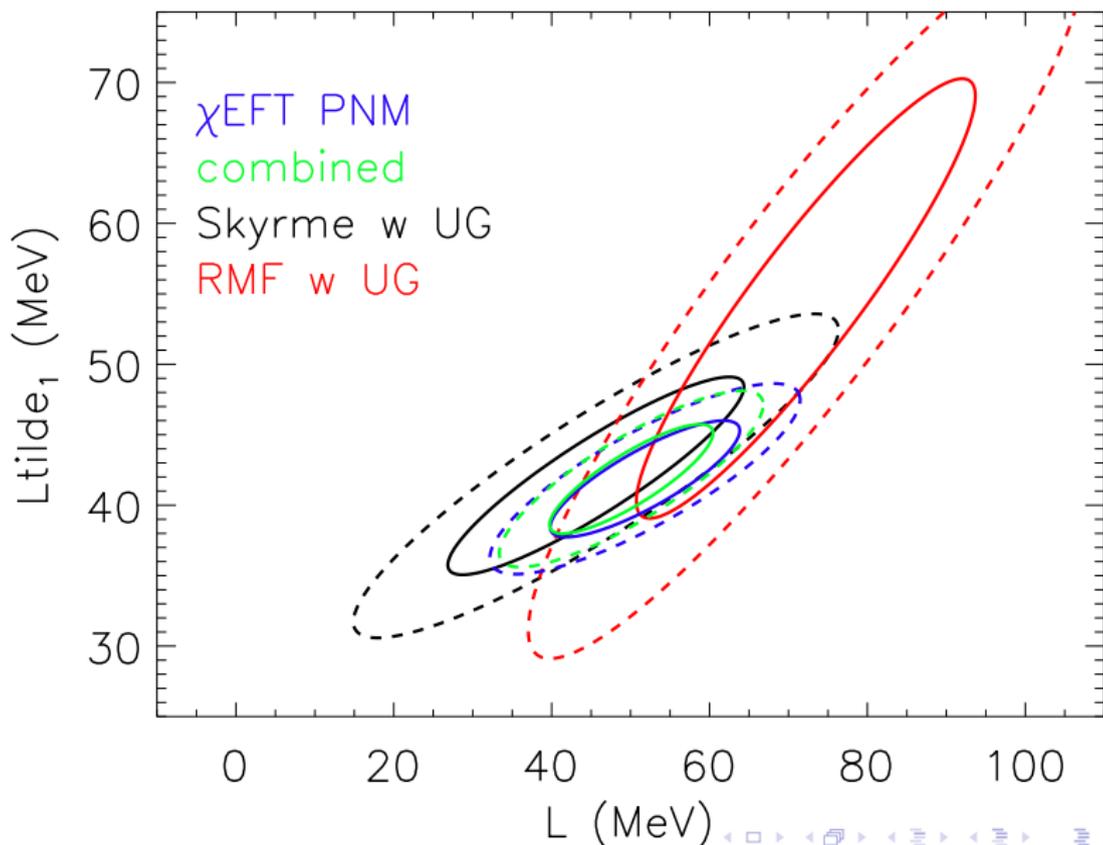
# Theoretical Neutron Skin Calculations



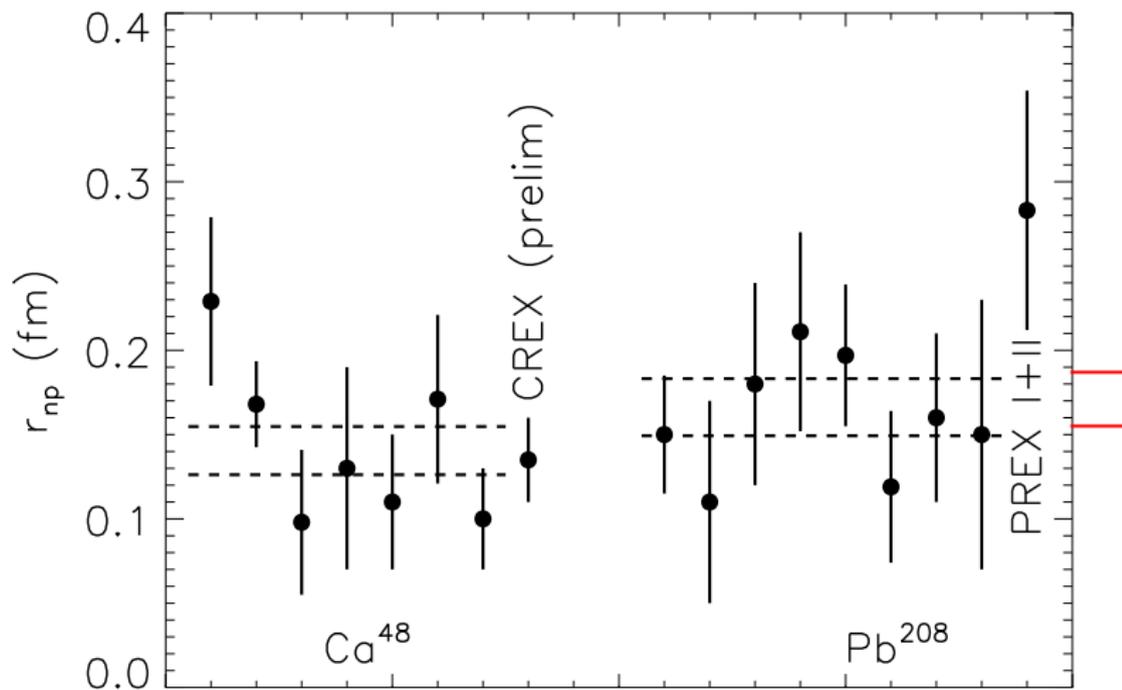
# $L_{\text{tilde}_1} - L$ Correlations



# $L_{\text{tilde}_1} - L$ Correlations



# Neutron Skin Data



# Extracting the Physics : CREX Weak Form Factor

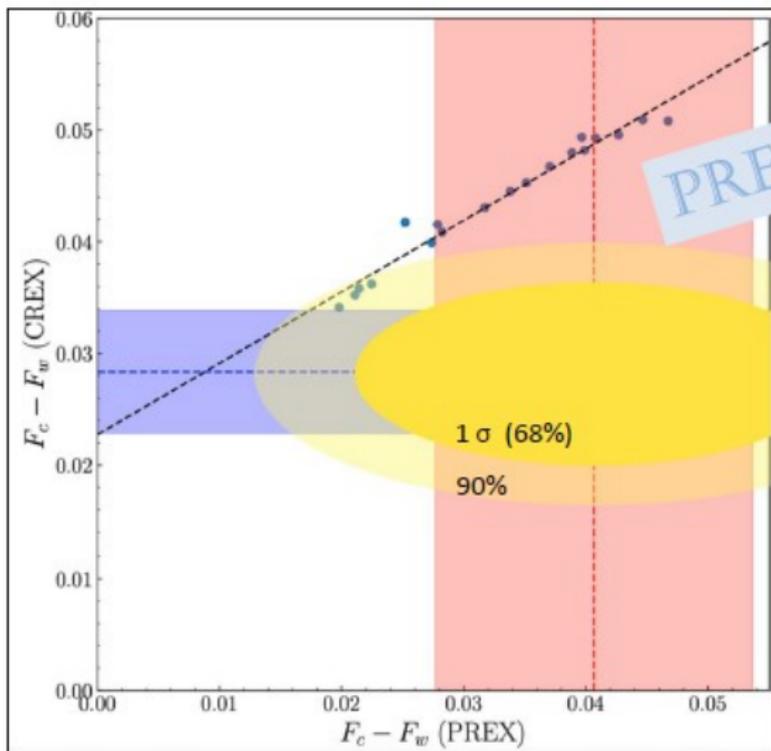
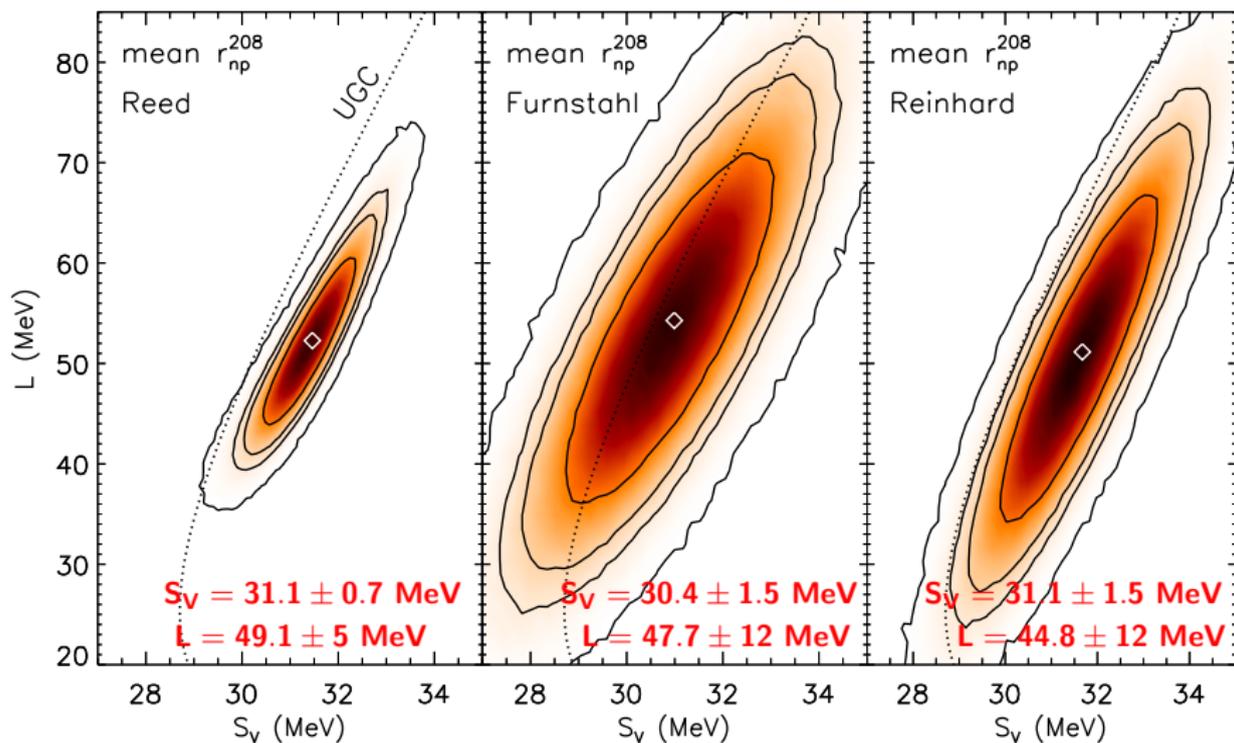


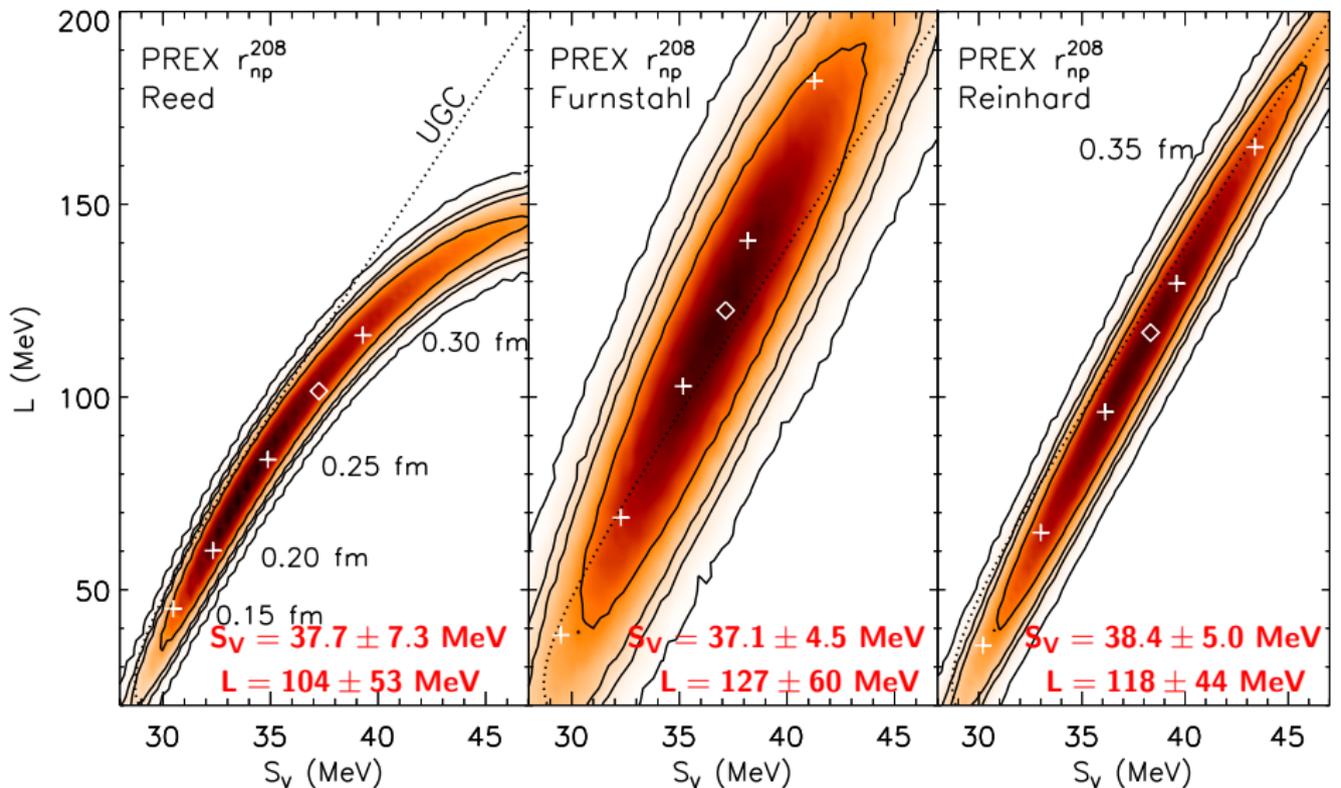
Figure from  
Charles Horowitz  
Brendan Reed,  
Ciprian Gal,  
Kent Paschke

Charge form factor  $F_{ch}$  minus weak form factor  $F_w$  for  $^{48}\text{Ca}$  versus  $F_{ch} - F_w$  for  $^{208}\text{Pb}$ . The error bands show the CREX and PREX-2 results. The points show a collection of nonrelativistic and relativistic density functional results.

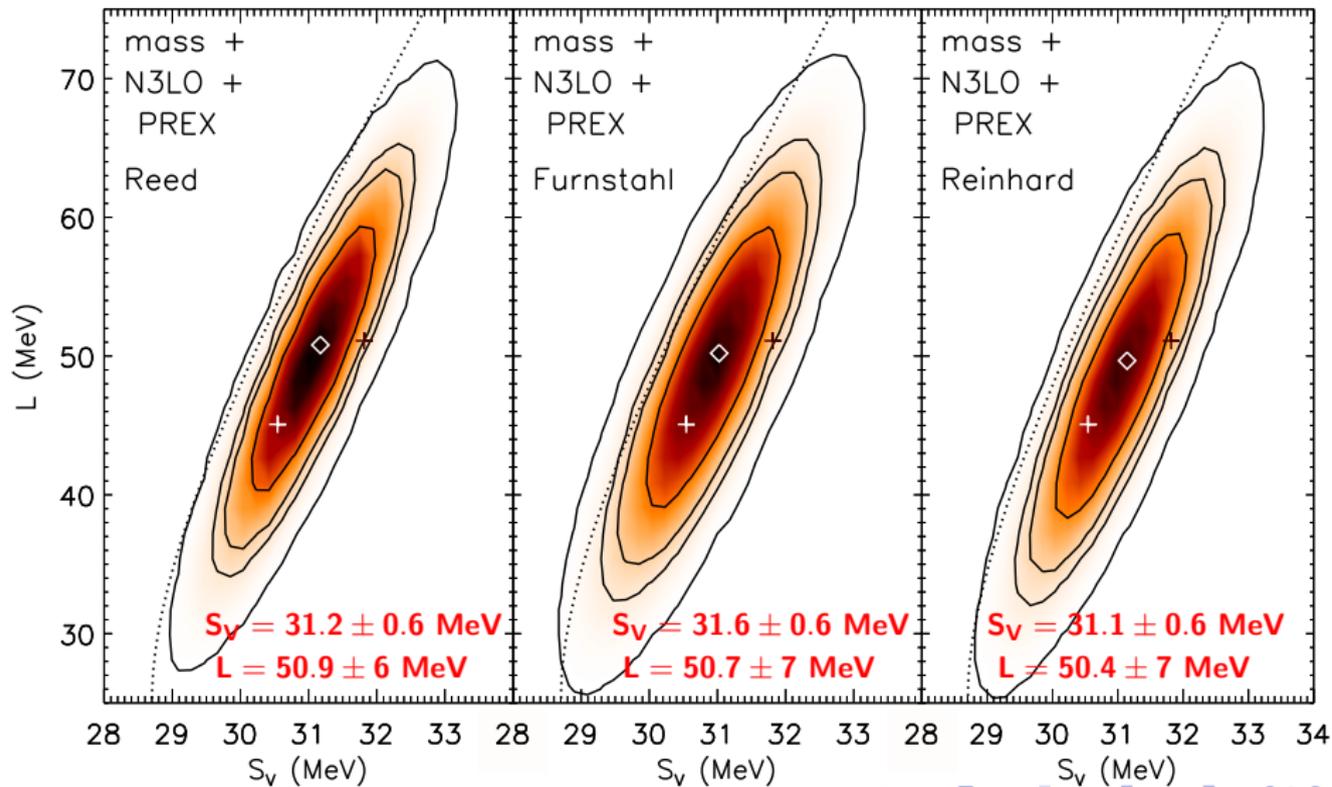
# Inferred $L - S_V$ with mean $r_{np}^{208}$



# Inferred $L - S_V$ with PREX $r_{np}^{208}$



# Masses + N3LO + PREX



# Electric Dipole Polarizability

Trippa et al. (2005) found central energy of giant dipole resonance of  $^{208}\text{Pb}$  has highest correlation with symmetry energy at  $n_1 = 0.1 \text{ fm}^{-3}$ , with  $S(n_1) = 24.1 \pm 0.8 \text{ MeV}$ .

Zhang et al. (2015) found dipole polarizability has highest correlation at  $n_2 = 0.05 \text{ fm}^{-3}$ , and  $S(n_2) = 16.54 \pm 1.0 \text{ MeV}$ .

$\Rightarrow L = 10.9S_V - 287.3 \pm 8.9 \text{ MeV}$ ,  $L = 8.05S_V - 206.9 \pm 9.6 \text{ MeV}$

Hashimoto (2015) Sn:  $\alpha_D^{120} = 8.59 \pm 0.37 \text{ fm}^3$

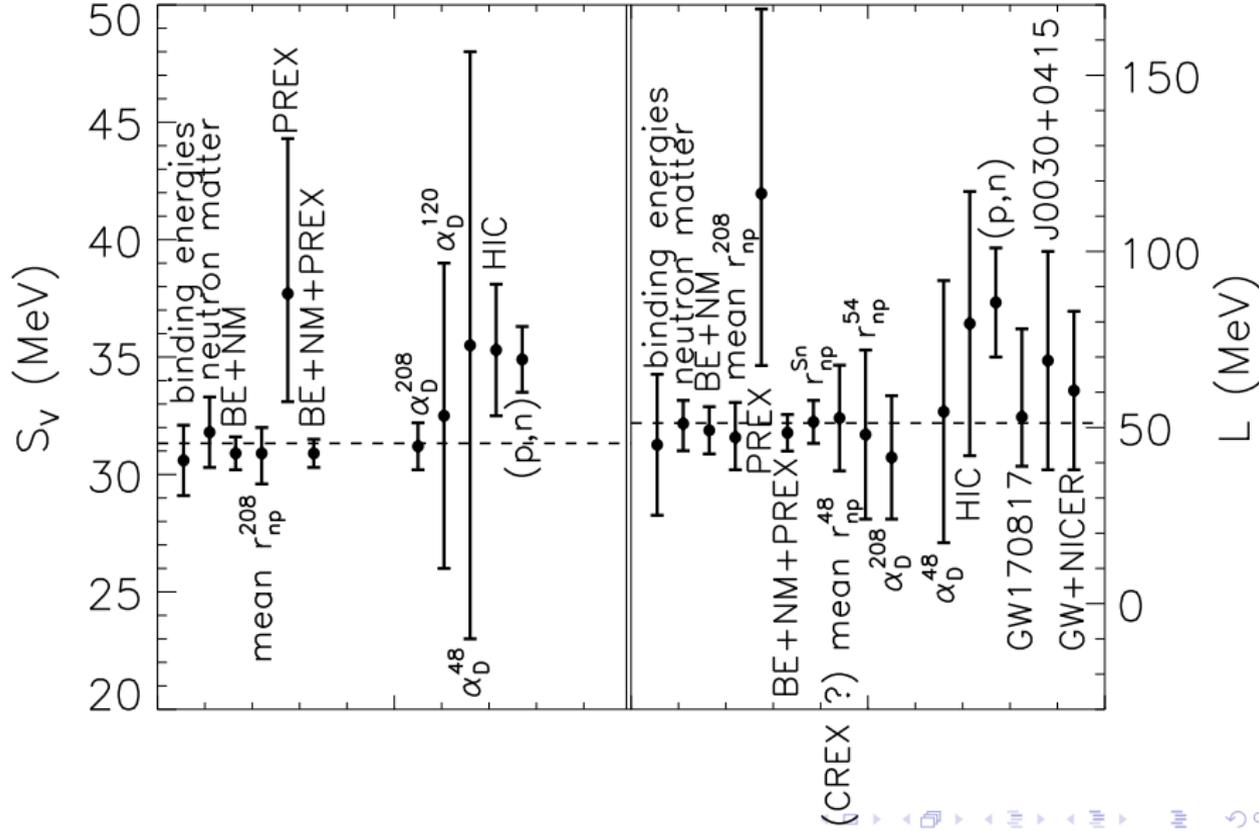
Tamii (2012) Pb:  $\alpha_D^{208} = 19.6 \pm 0.6 \text{ fm}^3$

Birkhan (2017) Ca:  $\alpha_D^{48} = 2.07 \pm 0.22 \text{ fm}^3$

Roca-Maza et al. (2015) showed (using liquid droplet model as justification)  $\alpha_D S_V \propto r_{np}$ :

$$\begin{aligned}\alpha_D^{48} S_V &= (355 \pm 44) (r_{np}^{48}/\text{fm}) + 12 \pm 19 \text{ MeV fm}^3, \\ \alpha_D^{120} S_V &= (1234 \pm 93) (r_{np}^{120}/\text{fm}) + 115 \pm 36 \text{ MeV fm}^3, \\ \alpha_D^{208} S_V &= (1922 \pm 73) (r_{np}^{208}/\text{fm}) + 301 \pm 32 \text{ MeV fm}^3,\end{aligned}$$

# Summary of Constraints on $S_V$ and $L$



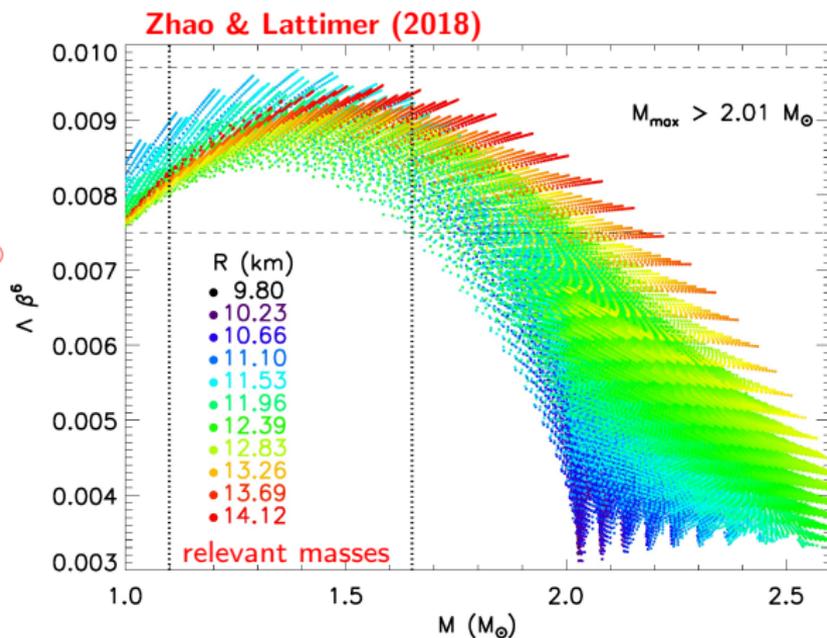
# Measuring Neutron Star Masses and Radii

- ▶ Pulsar timing in binary systems
  - ▶ Accurately measure masses, most being  $1.2M_{\odot} - 1.5M_{\odot}$ . The lowest well-measured mass is  $1.174 \pm 0.004M_{\odot}$ , the highest are  $2.08 \pm 0.07M_{\odot}$  and  $2.01 \pm 0.04M_{\odot}$ .
  - ▶ Moment of inertia measurements via spin-orbit coupling
- ▶ X-ray observations yield radii, but are uncertain to a few km.
  - ▶ Quiescent binary sources in globular clusters
  - ▶ Thermonuclear explosions on accreting neutron stars in binaries leading to photospheric radius expansion bursts
  - ▶ Pulse profile modeling of hot spots on rapidly rotating neutron stars (NICER).
- ▶ Gravitational waves from merging binaries measure masses, tidal deformabilities, and give insights about  $M_{\max}$ .
- ▶ Gravitational collapse supernova neutrinos measure proto-neutron star masses, radii and possibly  $M_{\max}$ .

# $\Lambda$ is Highly Correlated With $M$ and $R$

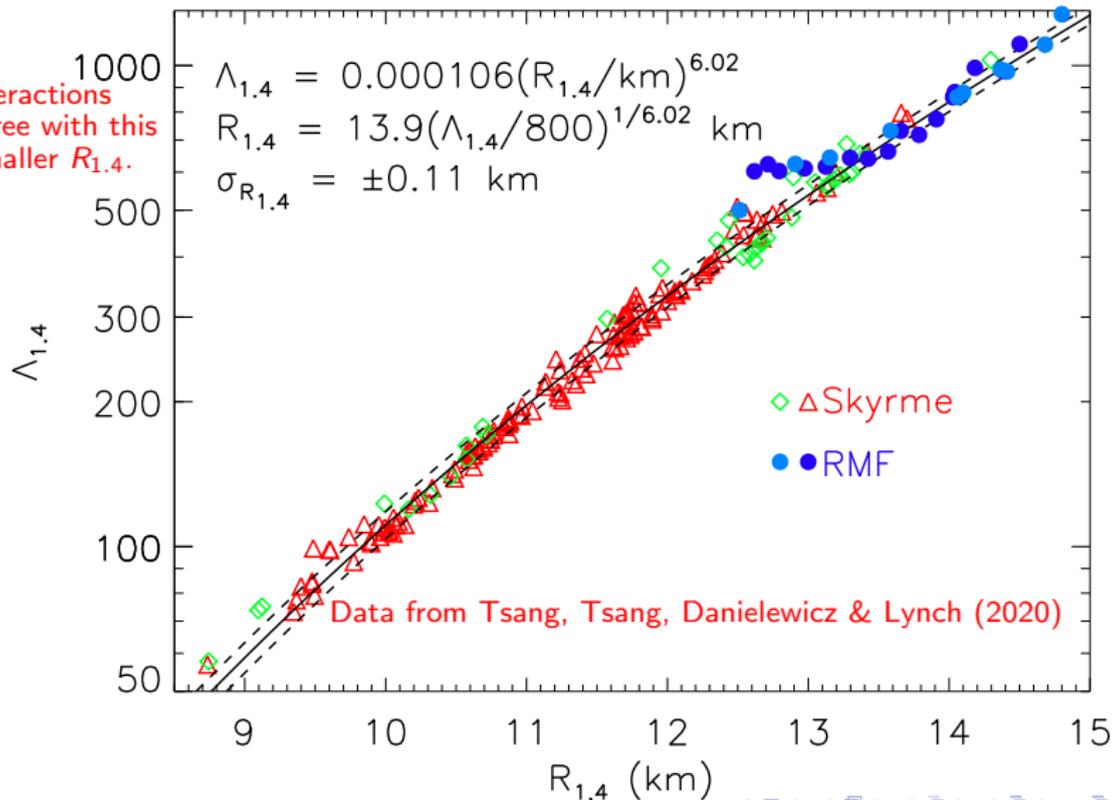
- ▶  $\Lambda = a\beta^{-6}$   
 $\beta = GM/Rc^2$   
 $a = 0.0086 \pm 0.0011$   
for  
 $M = (1.35 \pm 0.25) M_{\odot}$
- ▶ If  $R_1 \simeq R_2 \simeq R_{1.4}$ ,  
it follows that  
 $\Lambda_2 \simeq q^{-6}\Lambda_1$ .

$$R = 13.9 \left( \frac{M}{1.4 M_{\odot}} \right) \left( \frac{\Lambda}{800} \right)^{1/6} \text{ km}$$



# 186 Skyrme Interactions

RMF interactions  
don't agree with this  
fit for smaller  $R_{1.4}$ .





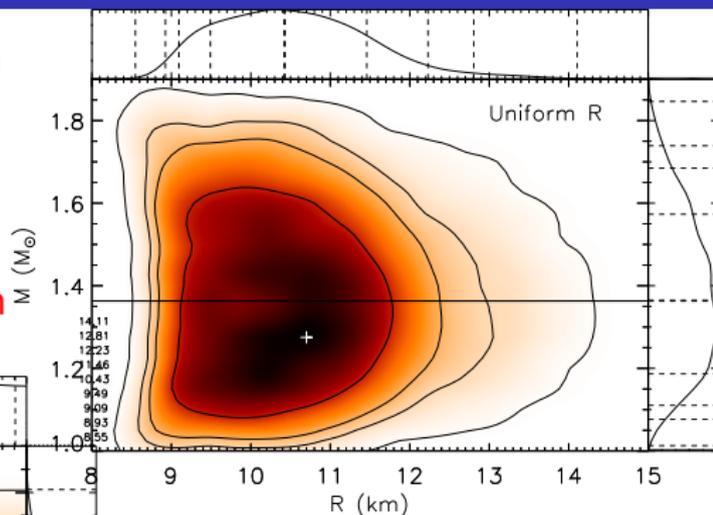
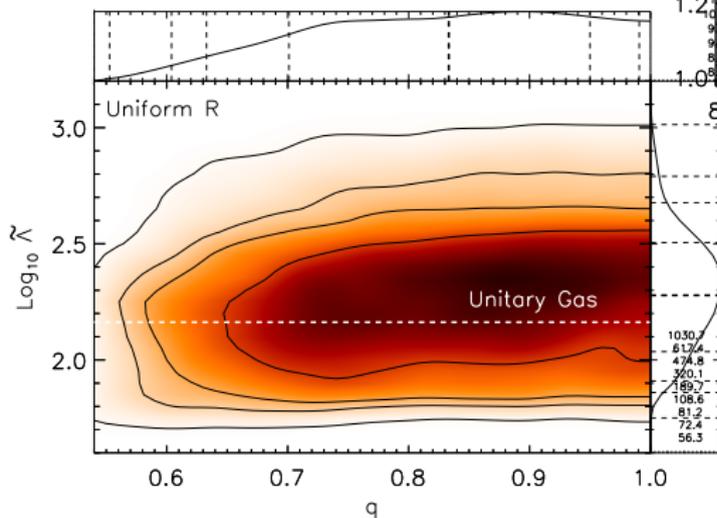
# 68.3%, 90%, 95.4% and 99.7% Confidence Bounds

Waveform analysis by De et al. (2018)

Zhao and Lattimer (2021)

Uniform  $\ln \Lambda$  and causality priors

$$R = 10.4^{+1.1}_{-0.9} \text{ km}$$



$$\tilde{\Lambda} = 190^{+130}_{-81}$$

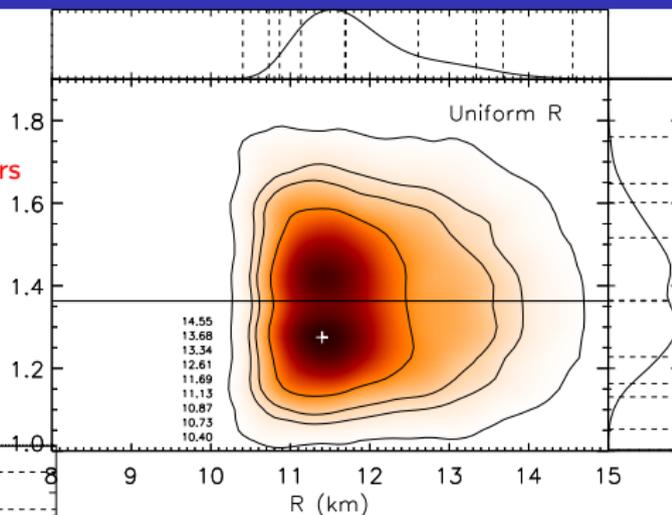
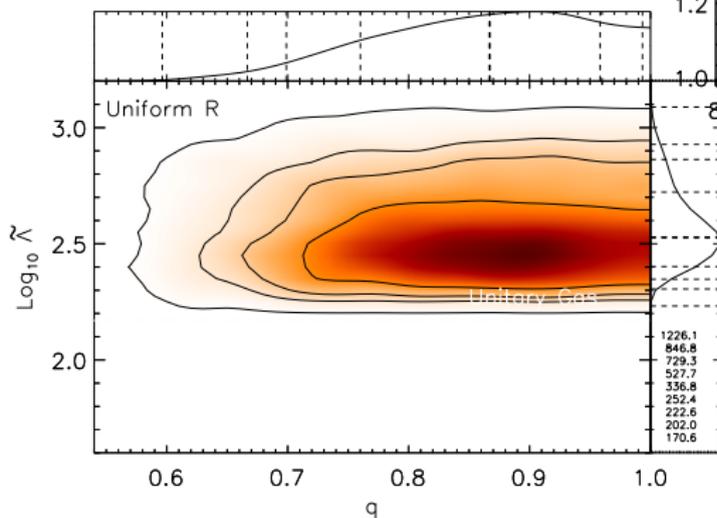
# 68.3%, 90%, 95.4% and 99.7% Confidence Bounds

Waveform analysis by De et al. (2018)

Zhao and Lattimer (2021)

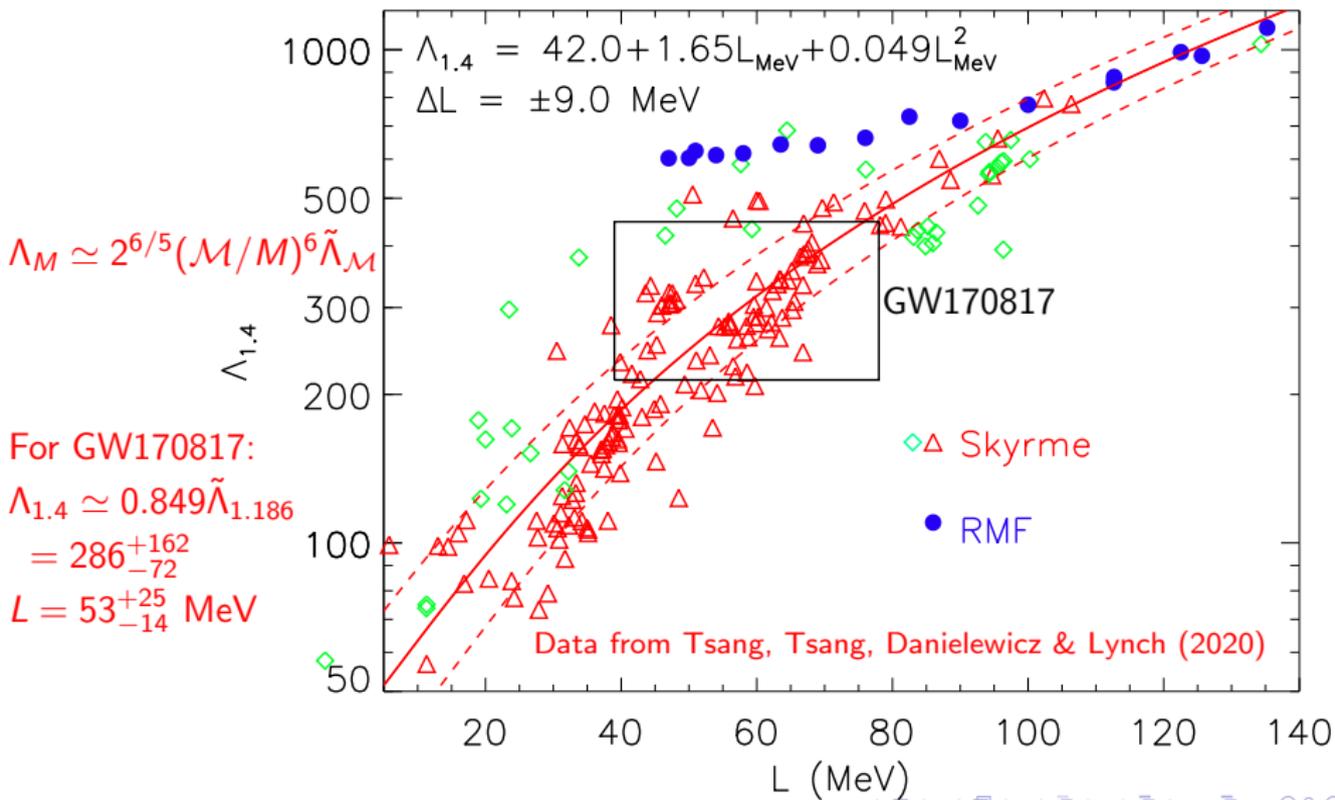
Uniform In  $\Lambda$  and Unitary Gas Conjecture priors

$$R = 11.7^{+0.9}_{-0.5} \text{ km}$$

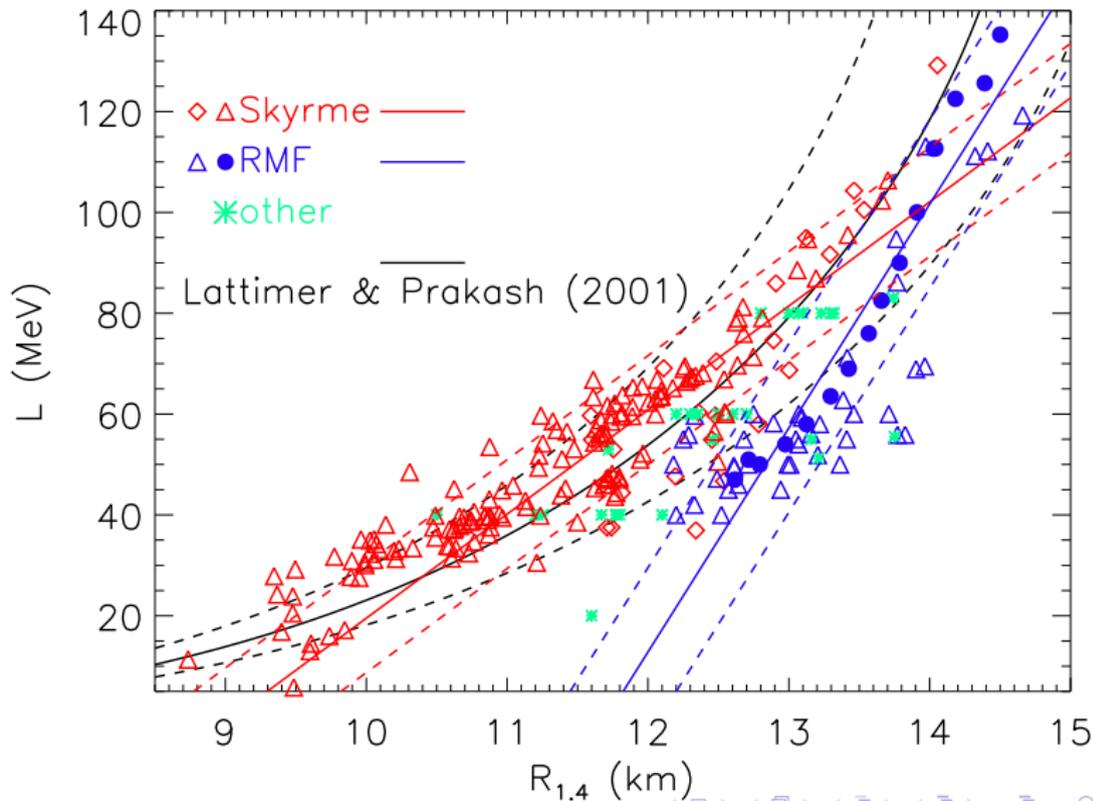


$$\tilde{\Lambda} = 337^{+191}_{-85}$$

# 186 Skyrme Interactions



# Relation Between $R_{1.4}$ and $L$



# Model-Dependence of $R_{1.4} - L$ Relation

Many studies show that  $R_{1.4}$  is most sensitive to the pressure at about  $2n_s$ .

The usual energy expansion for dense matter ( $u = n/n_s, x = n_p/n$ ) is

$$E(u, x \simeq 0) = -B + \frac{K_0}{18}(u-1)^2 + (1-2x)^2 \left[ S_V + \frac{L}{3}(u-1) + \frac{K_{\text{sym}}}{18}(u-1)^2 + \dots \right],$$

Data from Dutra et al. (2012, 2014)

In  $\beta$  equilibrium,  $x \ll 0.5$ , so the pressure of neutron star matter is

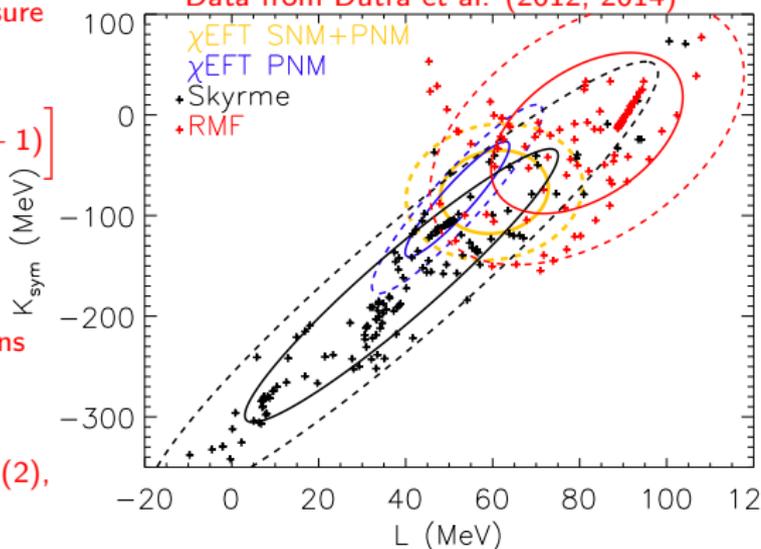
$$P_{\text{NSM}}(u) = n_s u^2 \left[ \frac{L}{3} + \frac{K_0 + K_{\text{sym}}}{9}(u-1) \right]$$

$$P_{\text{NSM}}(2) = \frac{4n_s}{3} \left[ L + \frac{K_0 + K_{\text{sym}}}{3} \right] K_{\text{sym}} \text{ (MeV)}$$

Around  $L = 50$  MeV, Skyrme interactions average  $K_{\text{sym}} \sim -130$  MeV, but RMF interactions average  $K_{\text{sym}} \sim -30$  MeV.

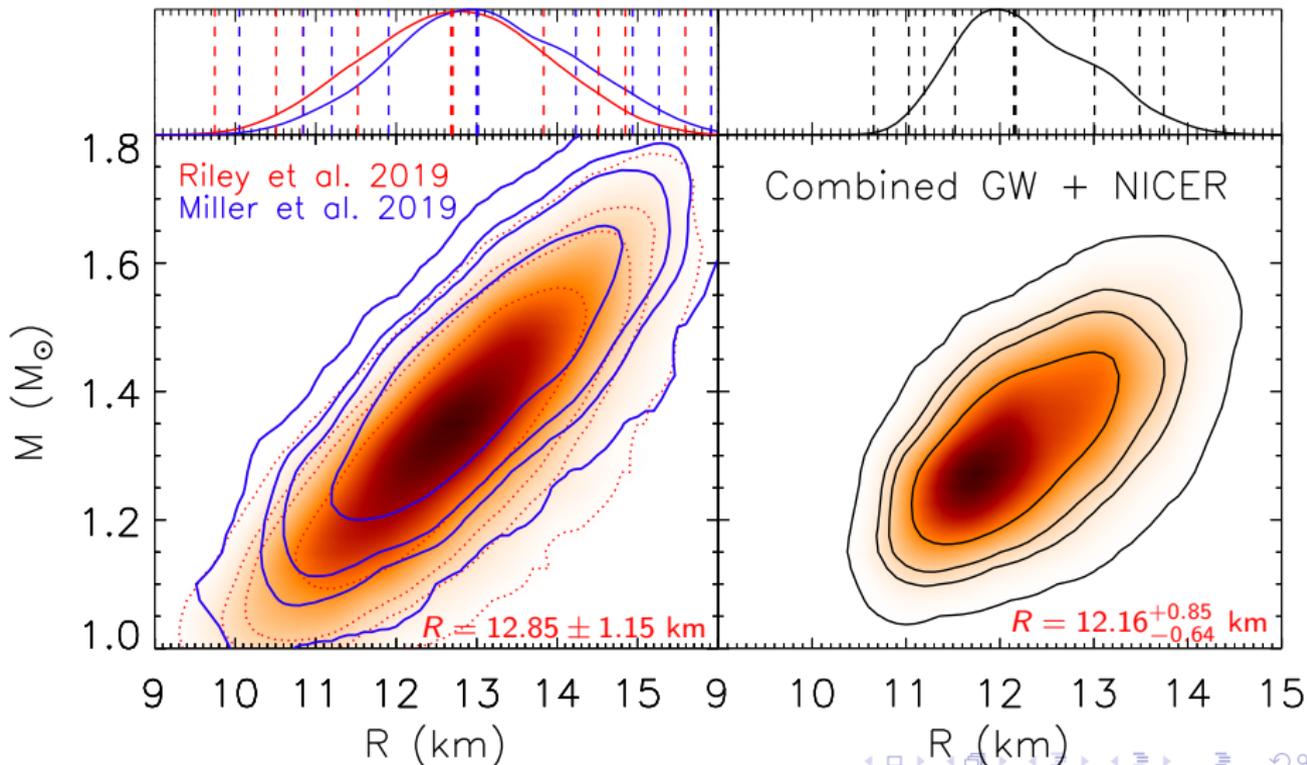
This produces  $\simeq 40\%$  increase in  $P_{\text{NSM}}(2)$ , and 10% larger  $R_{1.4}$  for the same  $L$ .

For  $L \gtrsim 70$  MeV, values of  $K_{\text{sym}}$  for Skyrme and RMF are similar, but these  $L$  values are disfavored by nuclear systematics (mass fits, neutron matter theory, neutron skin and dipole polarizability measurements).



# NICER Results For PSR J0030+0451

PSR J0030+0415 and GW170817 have neutron stars with similar masses  $\simeq 1.4M_{\odot}$ , but PSR J0740+6620 has a larger mass  $\simeq 2.0M_{\odot}$ , so don't include it in this analysis.



# Recent Moment of Inertia Measurement

