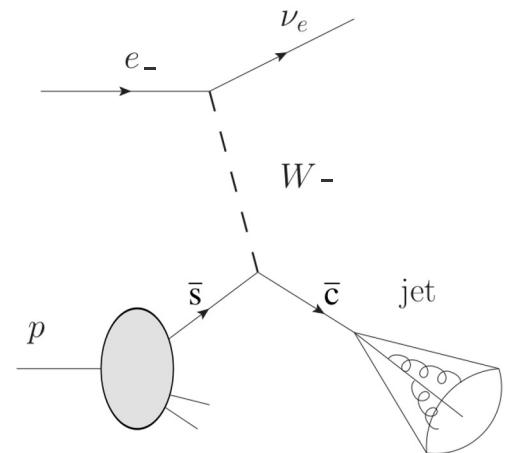
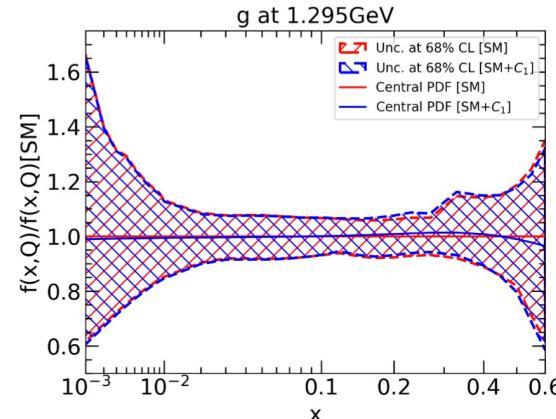
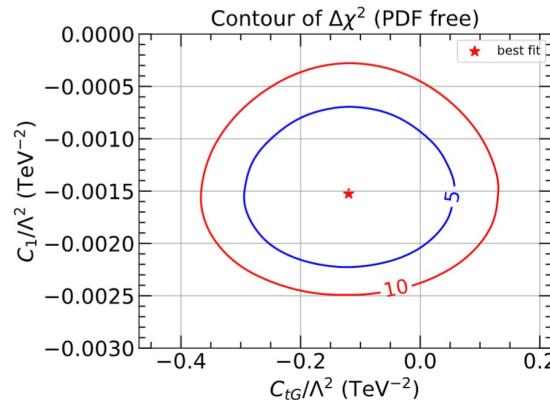


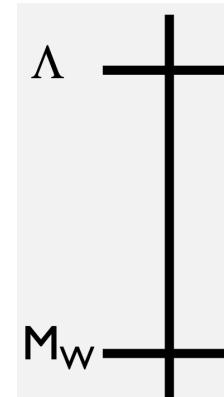
# PDFs and BSM searches in the EIC era



Tim Hobbs



CTEQ-TEA (Tung Et. Al.) working group



S. Dawson

UV Model  $\rightarrow$   $C(\Lambda)$

$$\frac{dC_i(\mu)}{d\log \mu} = \sum_j \frac{1}{16\pi^2} \gamma_{ij} C_j$$

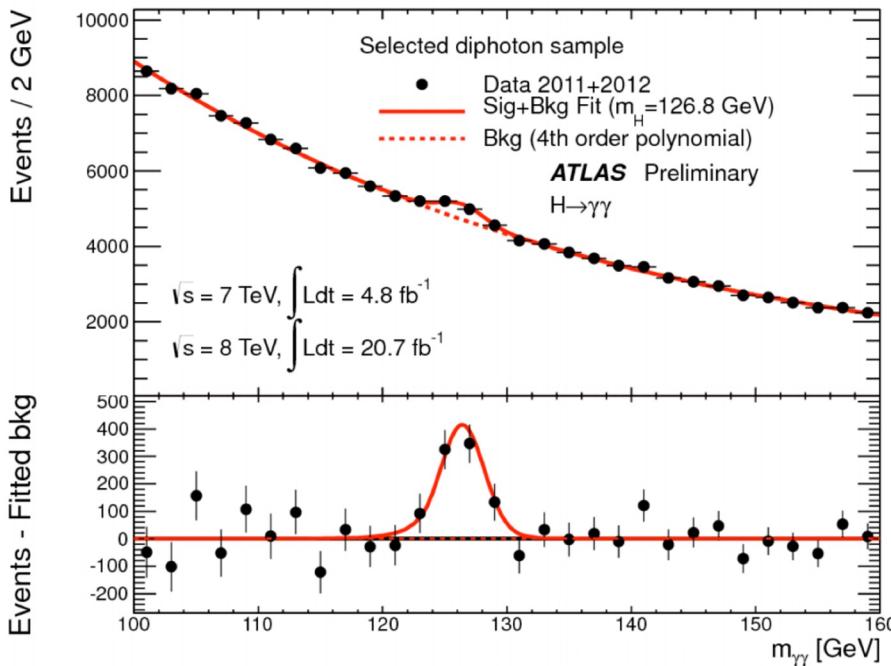
EFT  $\rightarrow$   $C(M_W)$

# searching for physics beyond the Standard Model (BSM)

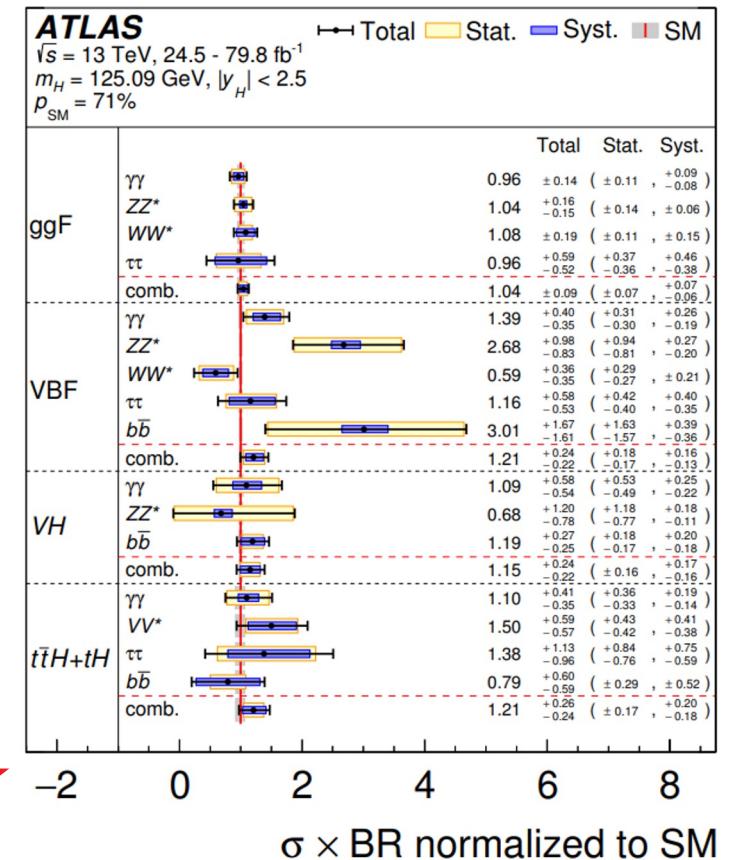
→ discovery searches

e.g., examining cross sections in previously unprobed kinematical regions

## Higgs discovery, 2012



## Higgs prod·decay/SM (PDG)

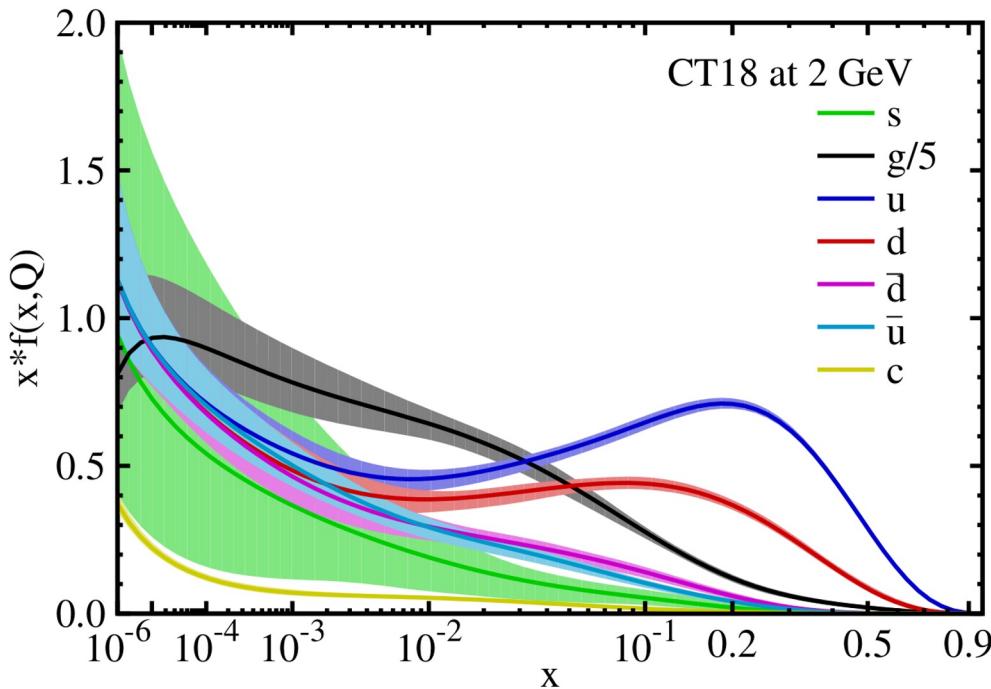


→ still no SUSY: precision searches



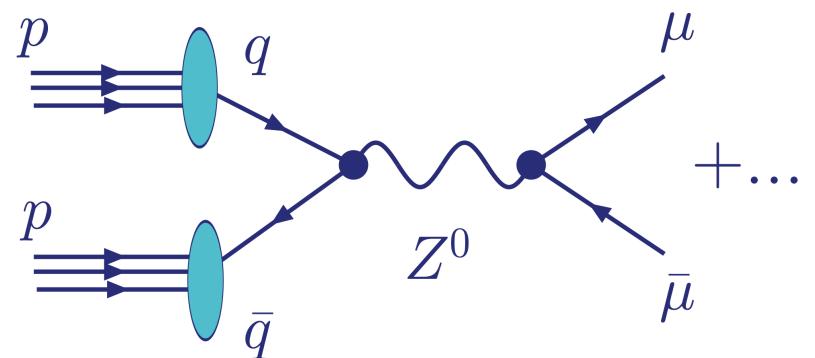
testing the Standard Model through extremely fine measurements

# PDFs are a linchpin for BSM searches



→ QCD factorization theorem; here, for Drell-Yan processes (e.g., LHC):

- today: PDFs from HEP, BSM-search perspective
  - theory, methodology for determinations from hadronic data



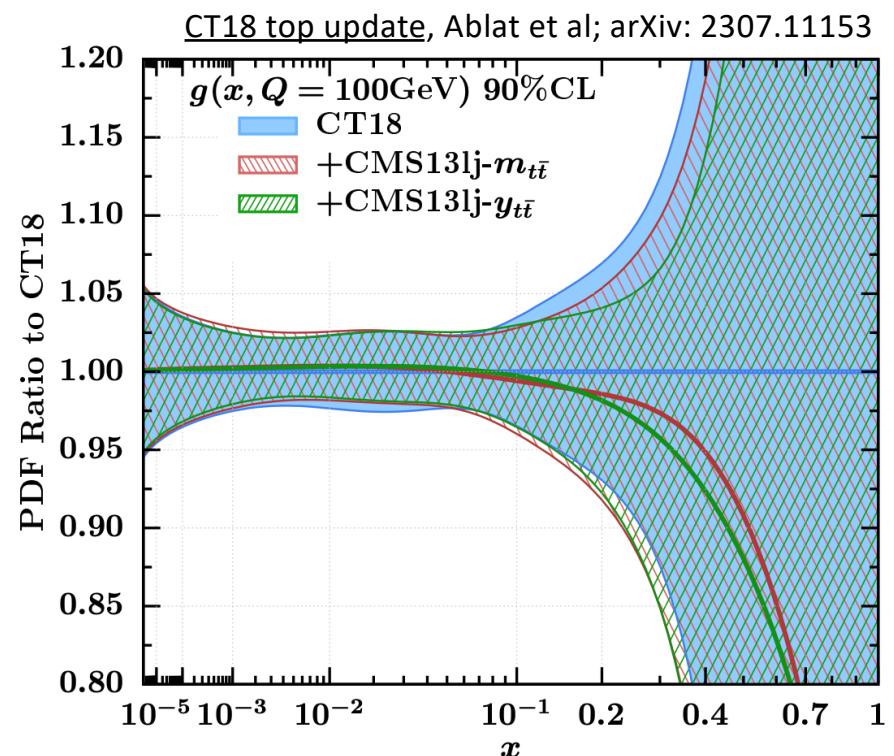
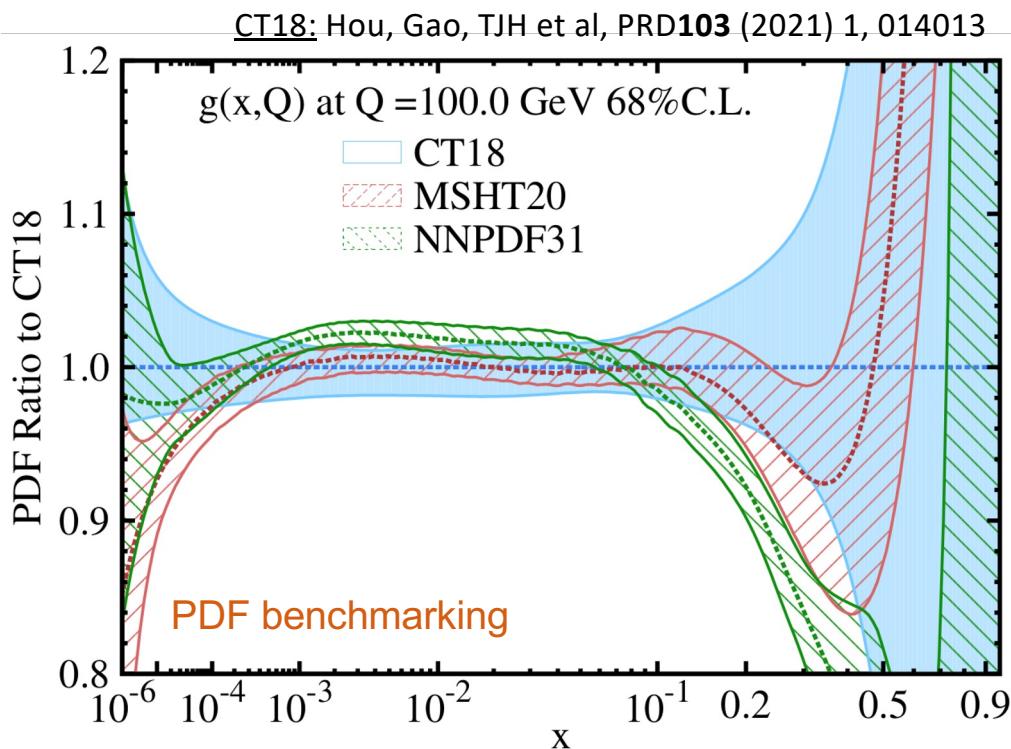
$$\begin{aligned} \sigma_{pp \rightarrow \ell\bar{\ell}X} = & \sum_{a,b=q,\bar{q},g} \int_0^1 d\xi_1 \int_0^1 d\xi_2 \hat{\sigma}_{ab \rightarrow Z \rightarrow \ell\bar{\ell}} \left( \frac{x_1}{\xi_1}, \frac{x_2}{\xi_2}; \frac{Q}{\mu} \right) f_{a/p}(\xi_1, \mu^2) f_{b/p}(\xi_2, \mu^2) \\ & + \mathcal{O}(\Lambda_{QCD}^2/Q^2) \end{aligned}$$

# PDF global analyses have matured to high level of precision

BSM searches, upcoming programs → reductions to PDF uncertainties

→ analyses are large and challenging: (N)NNLO; NLO EW; many subtleties

statistical, methodological assumptions; theory settings; data curation; ...

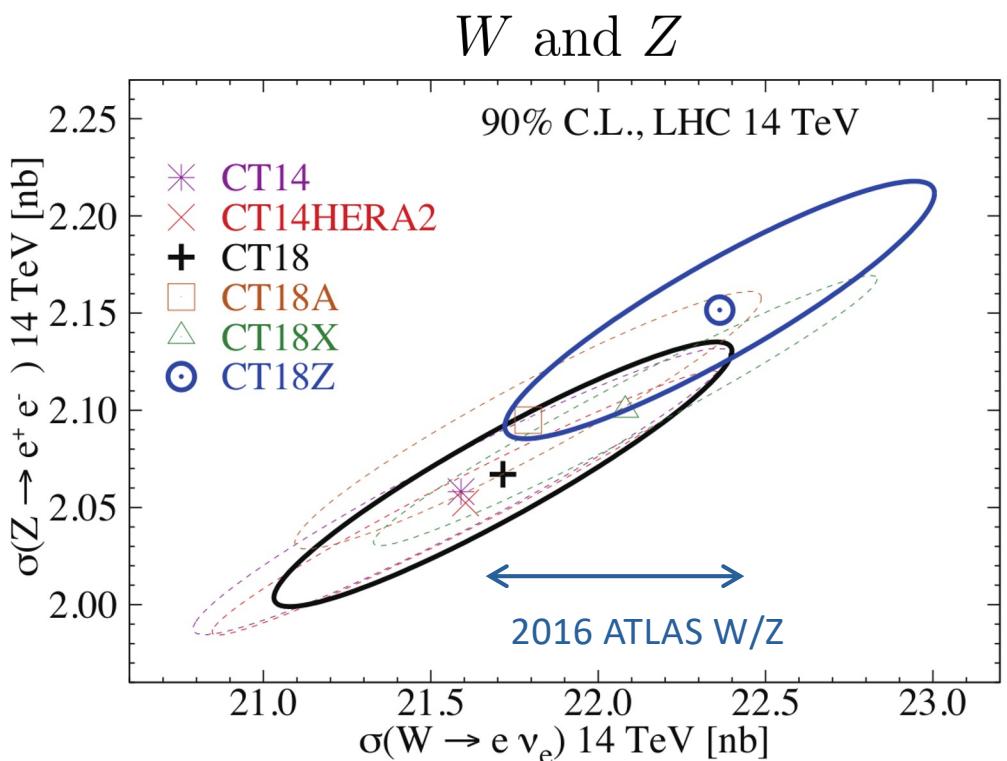
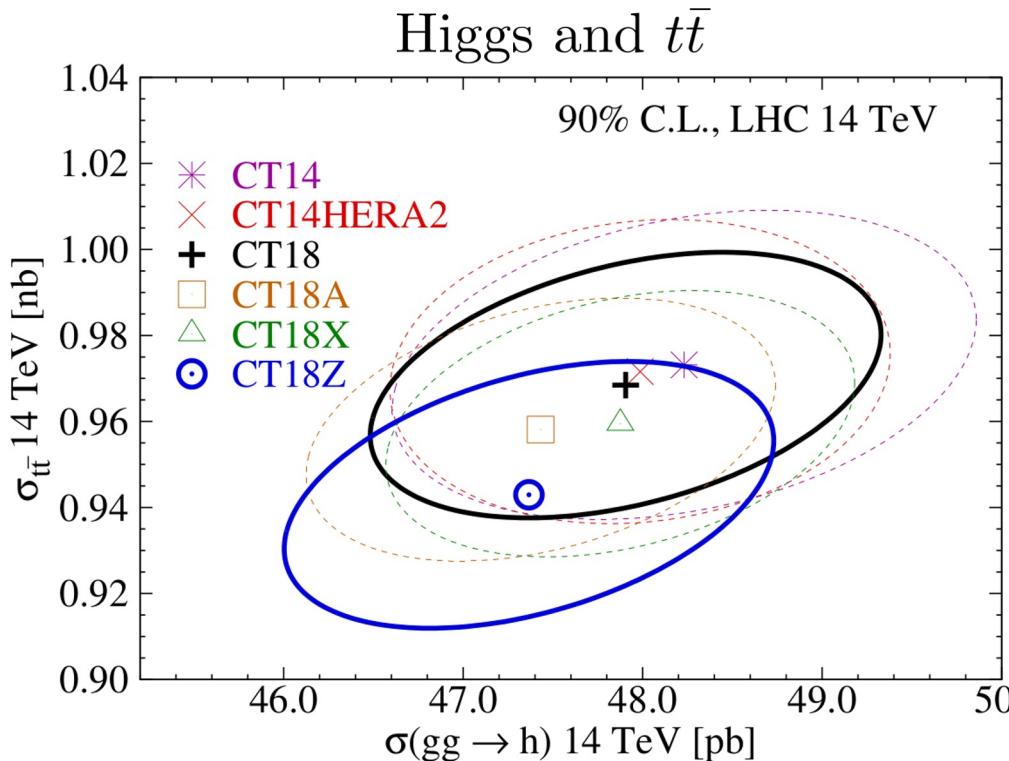


→ significant interest in jet production, top data: connections to gluon PDF

→ PDF fits, SM-only! ...however, data are often **inputs to BSM (EFT) analyses**

# SM theory predictions from global analyses

from (N)NNLO analyses, state-of-the-art predictions for fundamental LHC observables → **e.g., total cross sections at 14 TeV**



Higgs, NNLO QCD: iHixs v1.3  
 $t\bar{t}$ , NNLO+NNLL: Top++ v2.0

$$\mu_R = \mu_F = m_t; m_{W,Z}; m_H$$

NNLO QCD: Vrap v0.9

significant PDF-driven uncertainties; also, systematic effects: W cross sections sensitive to inclusion of 2016 7 TeV ATLAS inclusive W/Z data

# BSM-sensitive channels limited by PDF uncertainties

→ includes many observables:  $\sigma_H$ ,  $\sin^2 \theta_W$ ,  $M_W$ ,  $\dots$

$$M_W^{\text{CDF}} = 80,433.5 \pm 6.4 \text{ (stat)} \pm 6.9 \text{ (syst)} \text{ MeV}$$

→ e.g., recent CDF  $M_W$  measurement: significant PDF dependence

$$M_T \equiv \sqrt{2(p_T^\ell p_T^\nu - \vec{p}_T^\ell \cdot \vec{p}_T^\nu)}$$

Gao, Liu, Xie: 2205.03942 [hep-ph]

$\delta M_W$ in MeV	CT10	CT18	MMHT14	NNPDF4.0	CT14	MSHT20
$W^+ \langle M_T \rangle$ (NLO)	$0^{+12.1}_{-12.9}$	$+1.4^{+21.8}_{-20.0}$	$-10.3^{+11.6}_{-11.1}$	$-17.1^{+7.4}_{-7.4}$	$-16.2^{+23.5}_{-19.1}$	$-24.8^{+16.8}_{-11.9}$
$W^- \langle M_T \rangle$ (NLO)	$0^{+13.5}_{-15.2}$	$-5.7^{+14.0}_{-19.5}$	$+1.1^{+8.6}_{-10.3}$	$+7.5^{+4.9}_{-4.9}$	$-9.6^{+12.8}_{-15.3}$	$-4.5^{+8.3}_{-7.5}$
$W^\pm \langle M_T \rangle$ (NLO)	$0^{+9.8}_{-11.4}$	$-2.3^{+14.4}_{-16.8}$	$-4.5^{+8.2}_{-8.5}$	$-4.4^{+4.6}_{-4.6}$	$-12.8^{+16.6}_{-15.1}$	$-14.3^{+10.9}_{-8.0}$
$W^+ \langle M_T \rangle$ (LO)	$0^{+10.8}_{-11.4}$	$-6.5^{+14.1}_{-10.0}$	$-5.7^{+8.1}_{-7.1}$	$-14.1^{+5.8}_{-5.8}$	$-4.1^{+15.0}_{-12.9}$	$-14.4^{+10.2}_{-7.3}$
$W^- \langle M_T \rangle$ (LO)	$0^{+8.9}_{-11.4}$	$-7.2^{+10.1}_{-12.5}$	$+3.1^{+8.3}_{-9.9}$	$+3.5^{+4.5}_{-4.5}$	$-7.0^{+6.2}_{-8.9}$	$+2.1^{+6.3}_{-4.9}$
$W^\pm \langle M_T \rangle$ (LO)	$0^{+5.2}_{-7.0}$	$-0.6^{+7.6}_{-7.4}$	$-1.2^{+5.3}_{-5.9}$	$-5.0^{+3.0}_{-3.0}$	$-5.6^{+8.0}_{-8.4}$	$-5.9^{+5.9}_{-4.2}$

→ extracted from transverse mass template fits

→ examine mean transverse mass as proxy

→ **large PDF variations; outdistance 1-sigma uncertainties**

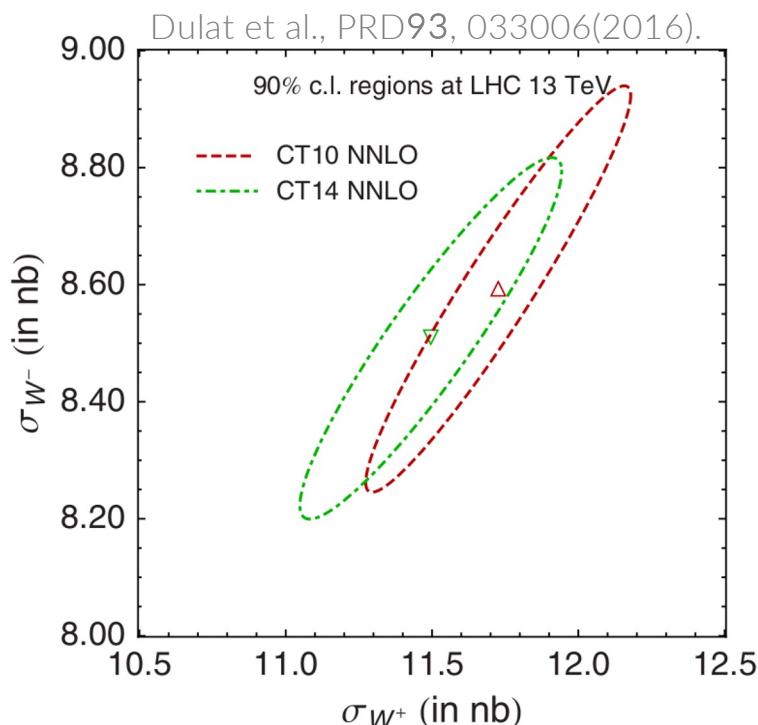
# theory for (precision) electroweak observables: PDF dependence

theory predictions for EW boson production sensitive to high- $x$  PDFs: e.g.,  $d(x)$  at  $x \sim 1$ , which is poorly constrained

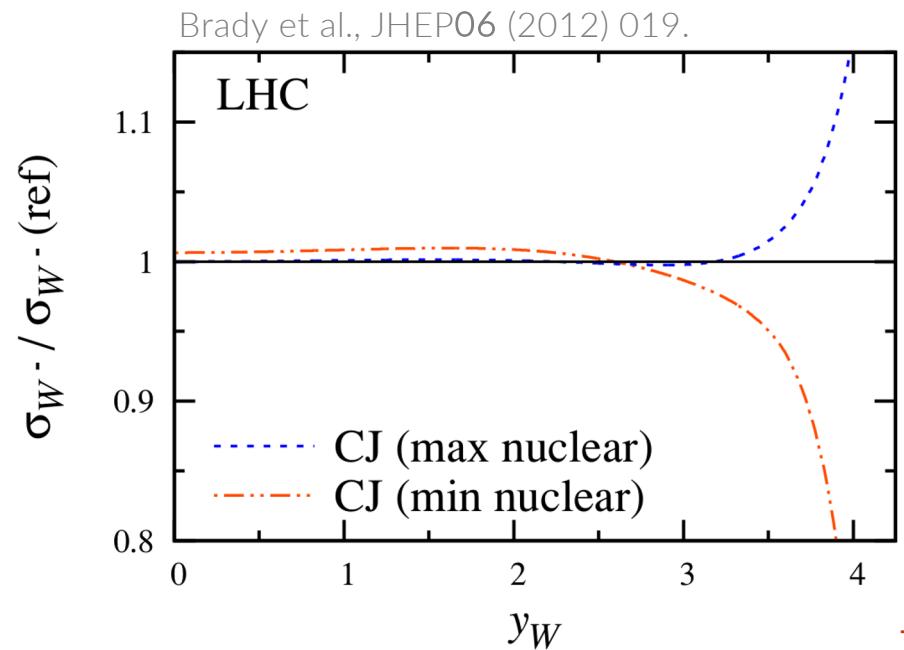
$$\frac{d\sigma}{dy}(pp \rightarrow W^- X) = \frac{2\pi G_F}{3\sqrt{2}} x_1 x_2 \left( \cos^2 \theta_C \{ d(x_1) \bar{u}(x_2) + \bar{u}(x_1) d(x_2) \} \right.$$

$$+ \sin^2 \theta_C \{ s(x_1) \bar{u}(x_2) + \bar{u}(x_1) s(x_2) \} \left. \right)$$

flavor separation is crucial in these channels



see also: Accardi, TJH, Jing, Nadolsky; [2102.01107](#)

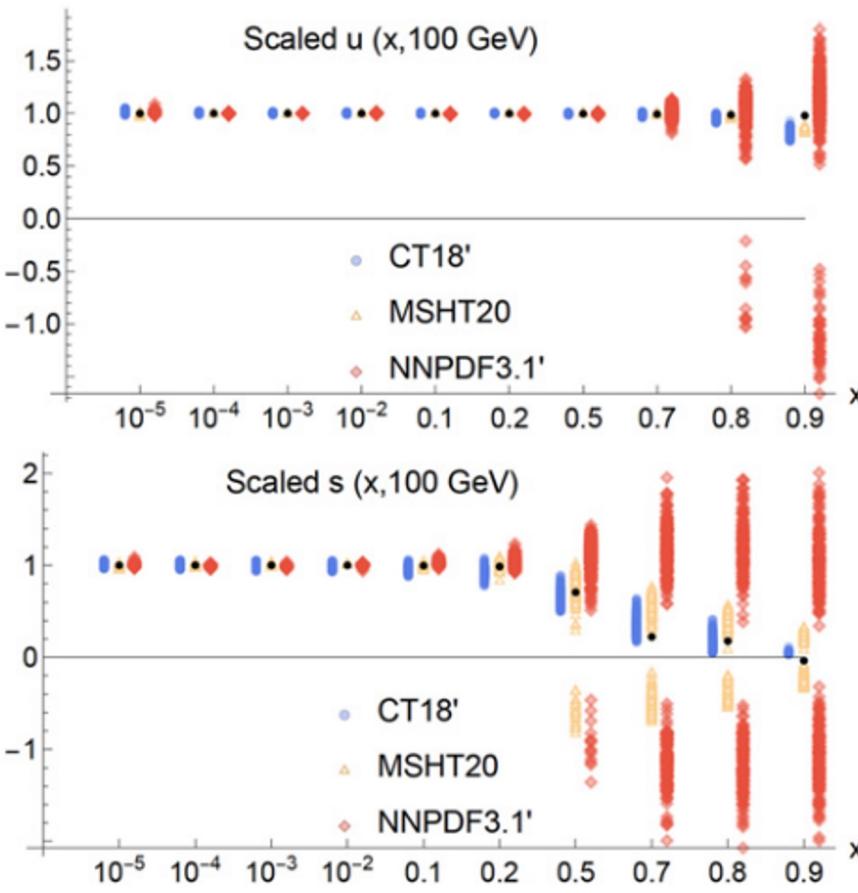


# understanding PDFs and their uncertainties: high $x$

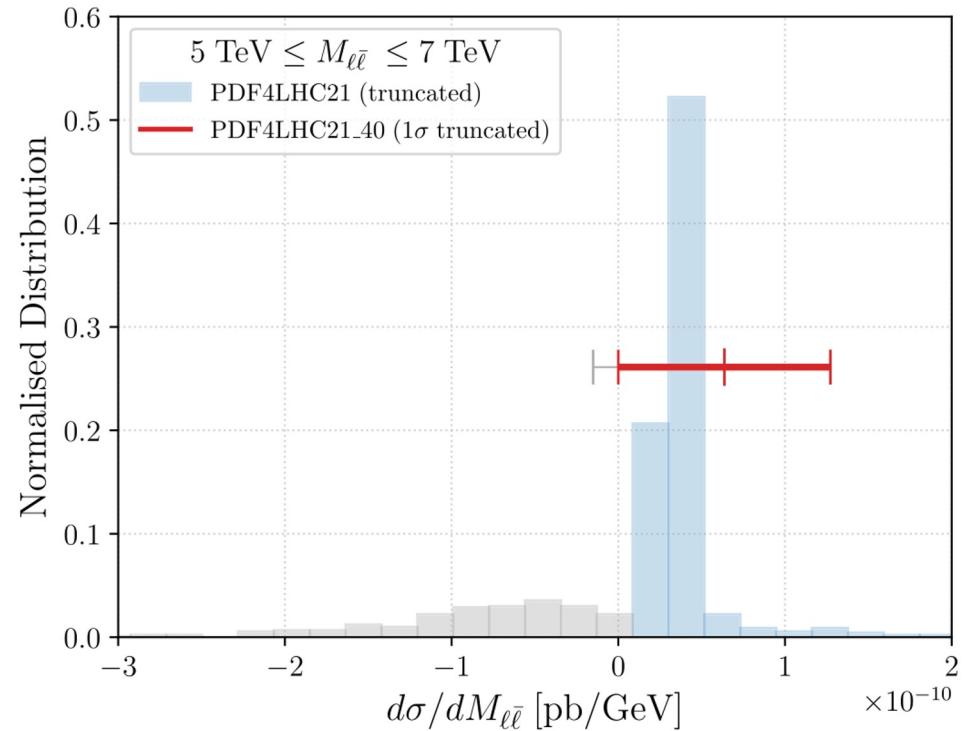
PDF4LHC21 benchmarking: J.Phys.G 49 (2022) 8, 080501.

MC sampling of high- $x$  PDFs can sometimes produce irregularities

→ e.g., positive-definiteness not always guaranteed for  $x \rightarrow 1$



→ can produce subtle but non-negligible phenomenological consequences:



strong need for high- $x$  sensitive data: (HL-)EIC

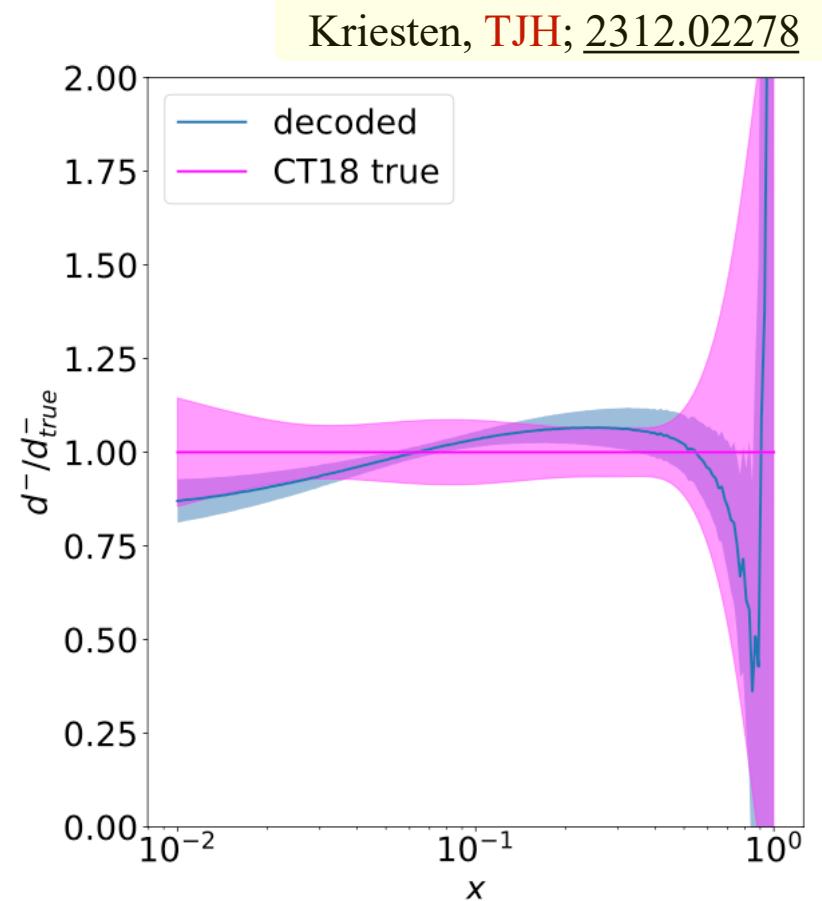
## aside: PDF parametrizations, ML generative models

Name	Diagram	Loss	Recreates PDFs	Tractable Latent	Free Latent Dimension	Moment Constraint
AE-CL		$\mathcal{L} = \ x - d_\phi(e_\theta(x))\ _2^2 + \ z - \hat{m}\ _2^2$	✓	✓	✓	✓

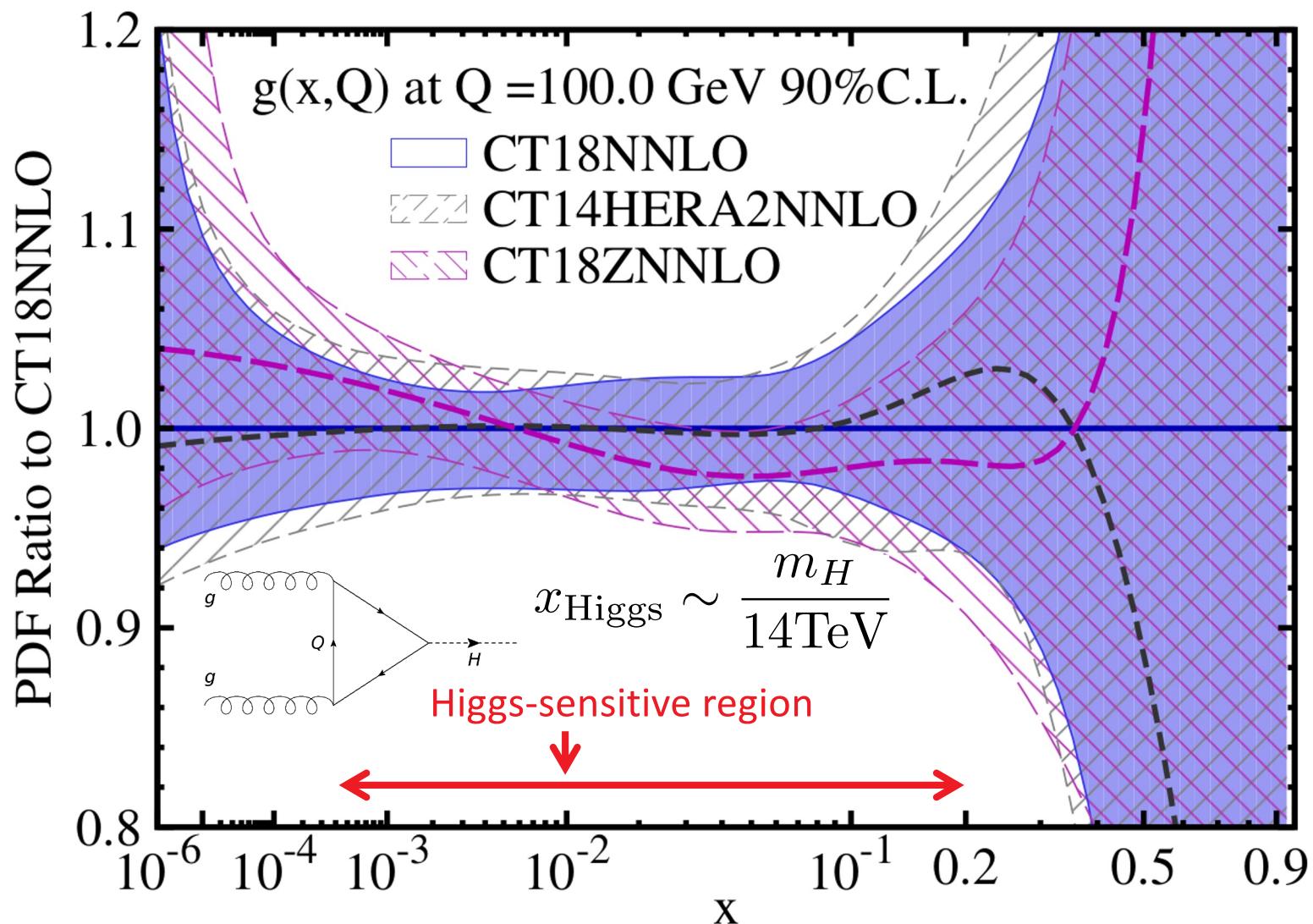
→ parametrization dependence, MC uncertainty sampling are serious bottlenecks to PDF precision

- ML models (encoder-decoder networks): testbeds to disentangle PDF parametrizations

→ can generatively predict PDFs



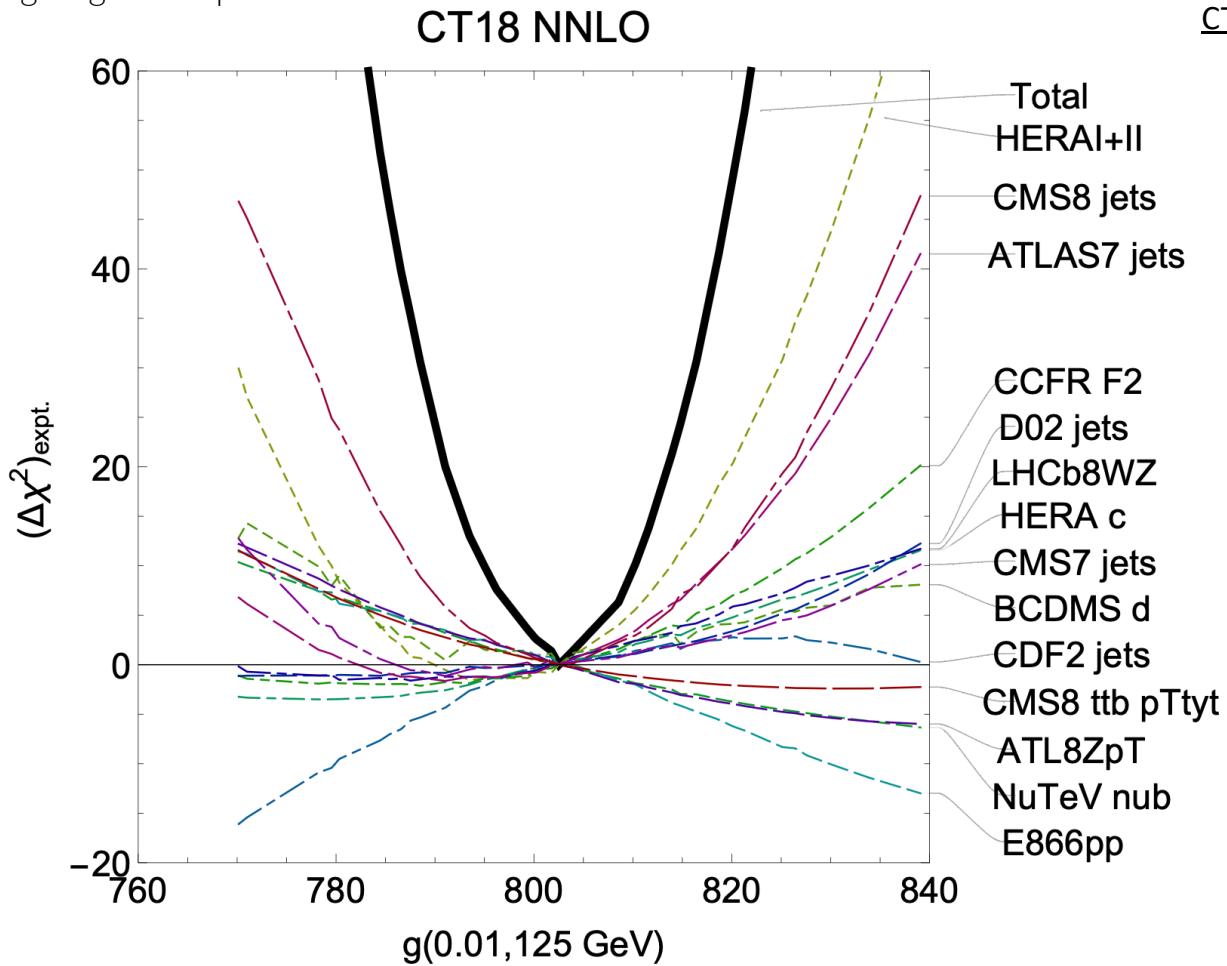
# outside extrapolation region, PDF errors still challenging



compatibility of fitted data sets is a crucial question

tensions among individual fitted experiments drive a larger PDF uncertainty

Lagrange Multiplier scan



CT18: PRD**103** (2021) 1, 014013

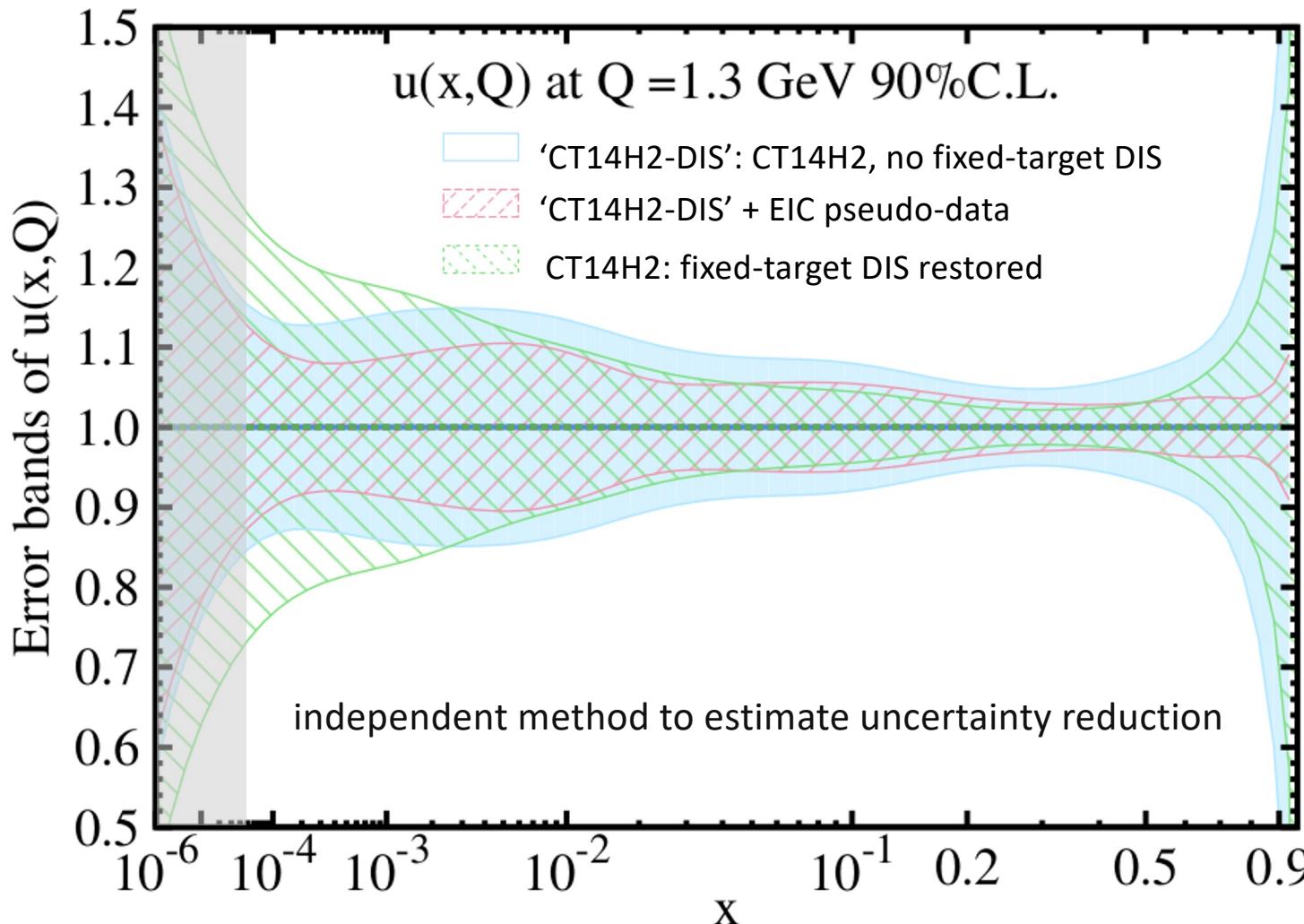
examine change in  $\chi^2$  as PDF continuously varies away from fitted central value

larger gluon... and Higgs cross section... favored by some expts [like E866pp], but not others [like 8 TeV CMS jets]

serious impediment to higher precision in PDFs and resulting theory predictions

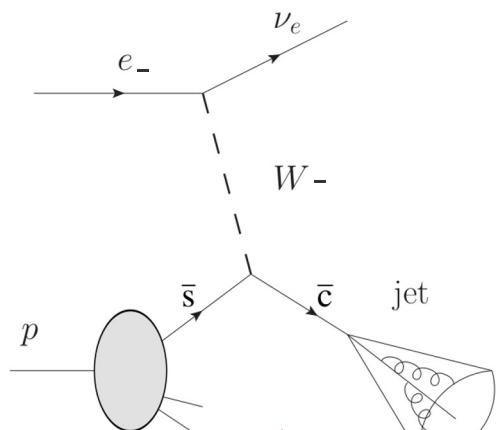
# EIC sensitive to PDFs → strong HEP implications

[impact studies made with error profiling methods]



DIS charm-jet prod.

Arratia, Furletova, TJH, Olness, Sekula  
PRD 103 (2021) 7, 074023



1-yr inclusive EIC dataset drives steep reductions in PDF uncertainties

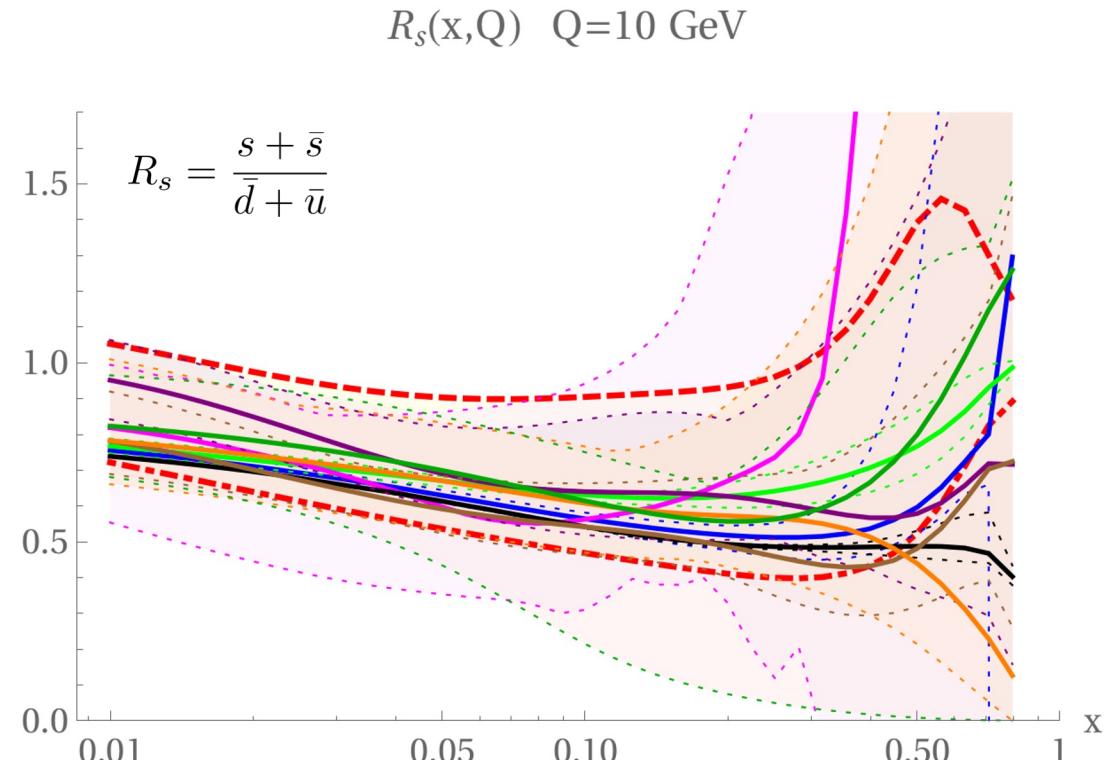
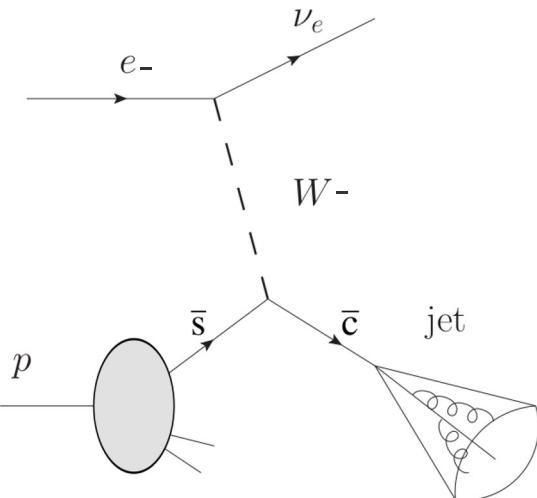
→ just inclusive DIS; many other channels with PDF sensitivity; precision QCD tests

# precision QCD through jet and heavy-flavor production

DIS jet production, including through charge-current interactions, provides further access to quark-level information

Arratia, Furletova, TJH, Olness, Sekula; PRD **103** (2021) 7, 074023.

100 fb<sup>-1</sup> CC DIS (10M simulated events), at 10x275 GeV ( $e^-$  on  $p$ );  $Q^2 > 100 \text{ GeV}^2$



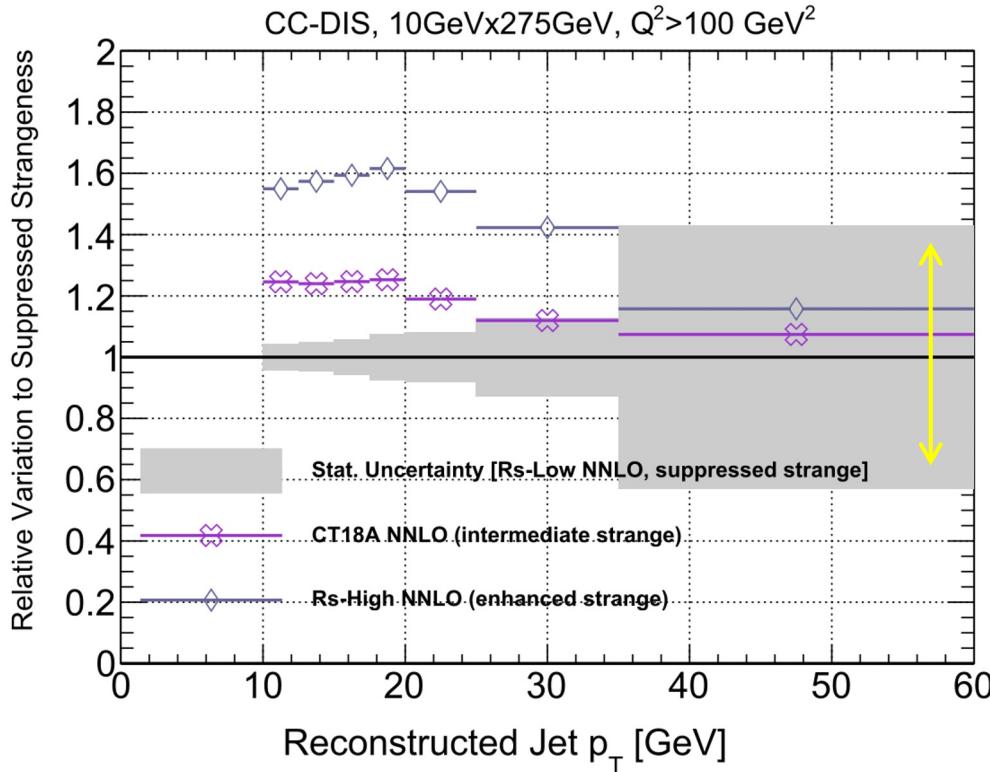
**final-state tagging provides lever arm for flavor separation (here, strangeness)**

n.b.: event generation, detector sim from PYTHIA8 + DELPHES; FASTJET reconstruction

→ analogous jet measurements might be extended to nonperturbative heavy flavor

# precision QCD through jet and heavy-flavor production

challenging measurement: final-state flavor tagging; Jacquet-Blondel reconstruction



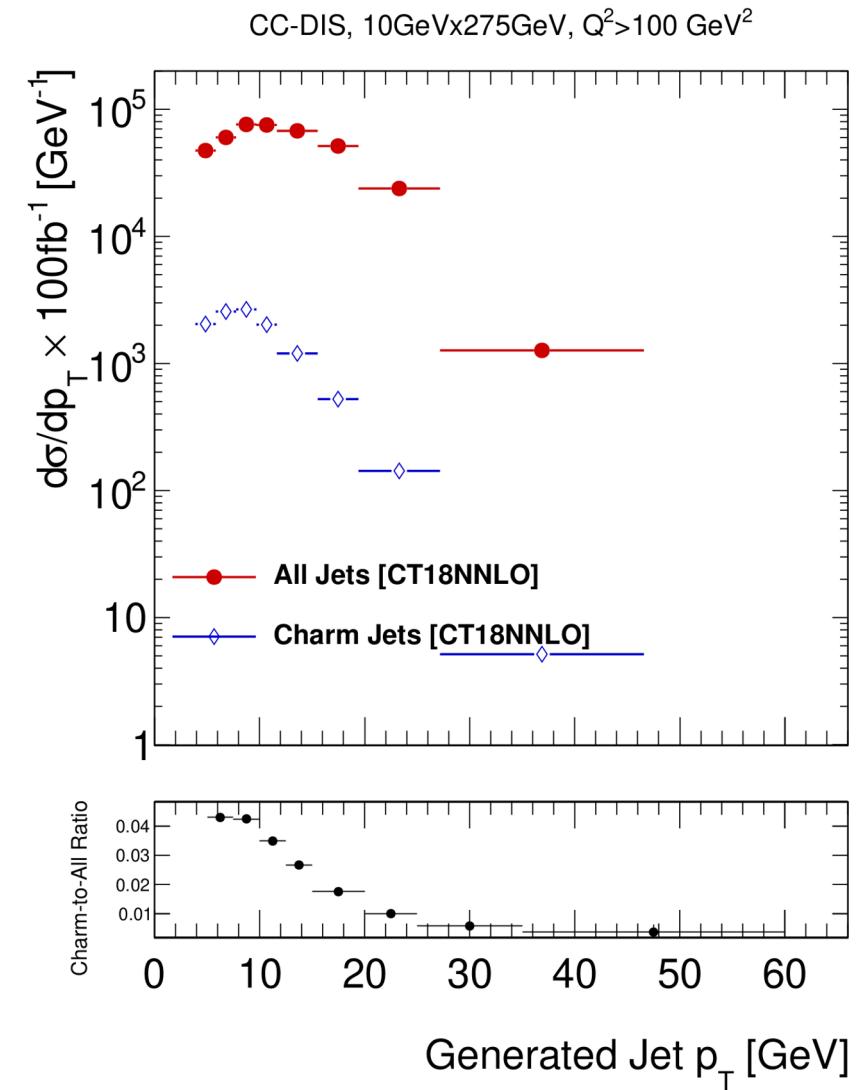
reduced  $\delta_{\text{stat}}$ : enhance knowledge of  $p_T$  spectrum

→ appropriate for ePIC follow-up

- EIC PDF sensitivity will derive from multi-channel data; inclusive, tagging, ...

→ *indirect* BSM impacts through refined SM baselines

Arratia, Furletova, TJH, Olness, Sekula; PRD **103** (2021) 7, 074023.



# meanwhile at colliders: BSM through EFT fits

---

- strong recent interest: model-independent BSM analyses
  - EFT-based parametrizations: *e.g.*, SM effective field theory (SMEFT)
    - conceptually similar to PDF-fitting ideology
- EFT global analyses often assumed *fixed* SM calculations
  - PDFs not actively fitted alongside **SMEFT parameters**
  - could potentially bias resulting SMEFT analysis
- solution: develop **joint SMEFT/PDF fits** (field at early stage)
  - Gao, Gao, **TJH**, Liu, and Shen; JHEP05 (2023) 003
  - this work: example in context of CTEQ-TEA (CT) framework
  - demonstration study focusing on select data: jet,  $t\bar{t}$  production
  - examine possible PDF-SMEFT correlations

# large body of recent EFT analyses

---

- numerous SMEFT fitting frameworks and studies in recent years  
EFTFitter<sup>1</sup>, FitMaker<sup>2</sup>, HEPFit<sup>3</sup>, SFitter<sup>4</sup>, SMEFiT<sup>5</sup>, ...

<sup>1</sup>Castro et al, 1605.05585; <sup>2</sup>Ellis et al, 2012.02779; <sup>3</sup>De Blas et al, 1910.14012;  
<sup>4</sup>Brivio et al, 1910.03606; <sup>5</sup>Hartland et al, 1901.05965; ...

- dedicated EFT fits within CMS, ATLAS
- studies related to current, future expts; *e.g.*, DIS colliders, LHC, ...

multiple talks at this meeting



- disclaimer: this talk → *one example* of recent PDF-SMEFT activity

- *e.g.*, recent fits within the NNPDF-SMEFiT frameworks

Kassabov et al.: top-quark joint fit, 2303.06159

SIMUnet public code: Costantini et al, 2402.03308

- findings here are **representative** of current PDF-SMEFT situation

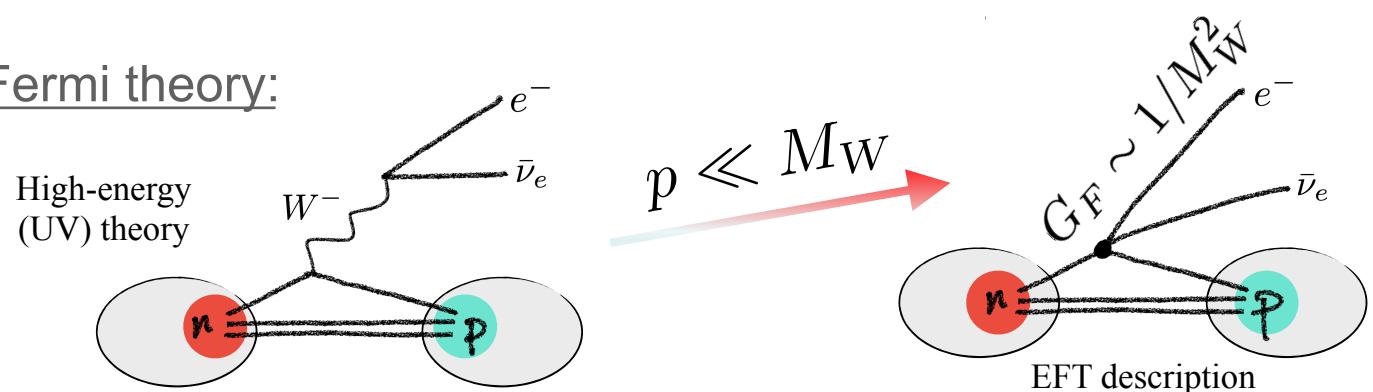
# basics of (SM)EFT

see talks, Boughezal, Cirigliano, ...

- presume BSM scale above the electroweak,  $\Lambda \gg M_{W,Z}$ 
  - explicit non-standard degrees-of-freedom may be integrated away
  - leaves behind basis of higher-dimensional operators

$$\mathcal{L}_{\text{SMEFT}} = \mathcal{L}_{\text{SM}} + \sum_i \frac{C_i O_i^{(6)}}{\Lambda^2} + \dots \quad \text{built from SM field content!}$$

analogous EFT – Fermi theory:



- BSM quantified via nonzero Wilson coefficients,  $C_i \neq 0$ 
  - extract from global fit alongside PDFs

# selecting dominant SMEFT operators

- this study: dim-6 operators only
  - dim-8 contributions small (may be relevant for future precision!)
  - consider several SMEFT operators associated with jet,  $t\bar{t}$

jet production: contact interaction

$$O_1 = 2\pi \left( \sum_{i=1}^3 \bar{q}_{Li} \gamma_\mu q_{Li} \right) \left( \sum_{j=1}^3 \bar{q}_{Lj} \gamma^\mu q_{Lj} \right)$$

Warsaw operator basis

top production

$$\begin{aligned} O_{tu}^1 &= \sum_{i=1}^2 (\bar{t} \gamma_\mu t) (\bar{u}_i \gamma^\mu u_i) , \\ O_{td}^1 &= \sum_{i=1}^3 (\bar{t} \gamma^\mu t) (\bar{d}_i \gamma_\mu d_i) , \\ O_{tG} &= ig_s (\bar{Q}_{L,3} \tau^{\mu\nu} T^A t) \tilde{\varphi} G_{\mu\nu}^A + \text{h.c.} , \\ O_{tq}^8 &= \sum_{i=1}^2 (\bar{Q}_i \gamma_\mu T^A Q_i) (\bar{t} \gamma^\mu T^A t) , \end{aligned}$$

- have imposed multiple symmetries on SMEFT space

# theory calculation setup

- nonzero Wilson coeffs.: finite SMEFT contributions to X-sections
  - pure SM, pure dim-6 SMEFT, and *interference* pieces:

$$\frac{d\sigma}{d\hat{O}} = \frac{d\sigma_{\text{SM}}}{d\hat{O}} + \sum_i \frac{d\tilde{\sigma}_i}{d\hat{O}} \frac{C_i}{\Lambda^2} + \sum_{i,j} \frac{d\tilde{\sigma}_{ij}}{d\hat{O}} \frac{C_i C_j}{\Lambda^4}$$

- relevant for interference term, SMEFT-QCD computed to NLO
- constrain Wilson coefficients,  $C(\mu_c)/\Lambda^2$ , for  $\mu_c = 1 \text{ TeV}$

- status of theory calculations, uncertainties for all processes:

observable	$\mu_0$	SM QCD	SM EW	SMEFT QCD	th. unc.
$t\bar{t}$ total	$m_t$	NNLO+NNLL	no	NLO	$\mu_{F,R}$ var.
$t\bar{t}$ $p_T$ dist.	$m_T/2$	NNLO	NLO	NLO	$\mu_{F,R}$ var.
$t\bar{t}$ $m_{t\bar{t}}$ dist.	$H_T/4$	NNLO(+NLP)	NLO	NLO	$\mu_{F,R}$ var.
$t\bar{t}$ 2D dist.	$H_T/4$	NNLO	no	NLO	no
inc. jet	$p_{T,j}$	NNLO	NLO	NLO	0.5% uncor.
dijet	$m_{jj}$	NNLO	NLO	NLO	0.5% uncor

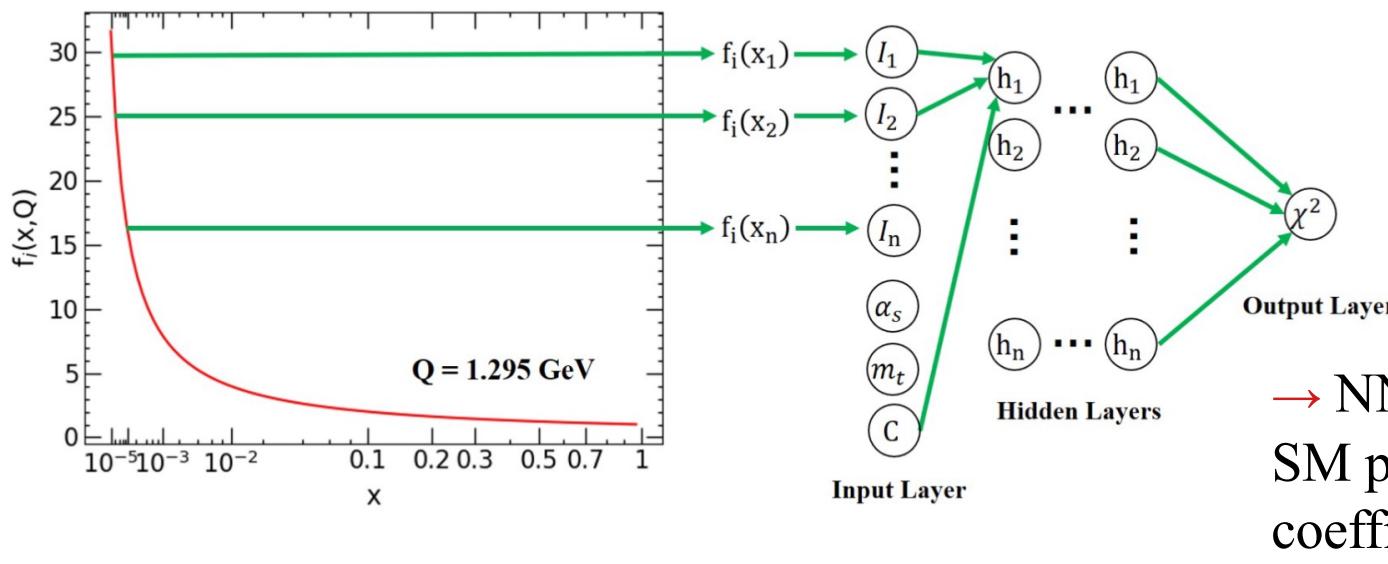
# learning log-likelihoods through neural net training

- quantify agreement of theory/data through  $\chi^2$  :

$$\chi^2(\{a_\ell\}, \{\lambda\}) = \sum_{k=1}^{N_{\text{pt}}} \frac{1}{s_k^2} \left( D_k - T_k(\{a_\ell\}) - \sum_{\alpha=1}^{N_\lambda} \beta_{k,\alpha} \lambda_\alpha \right)^2 + \sum_{\alpha=1}^{N_\lambda} \lambda_\alpha^2$$

→ train a feed-forward neural network (NN) on PDF replicas

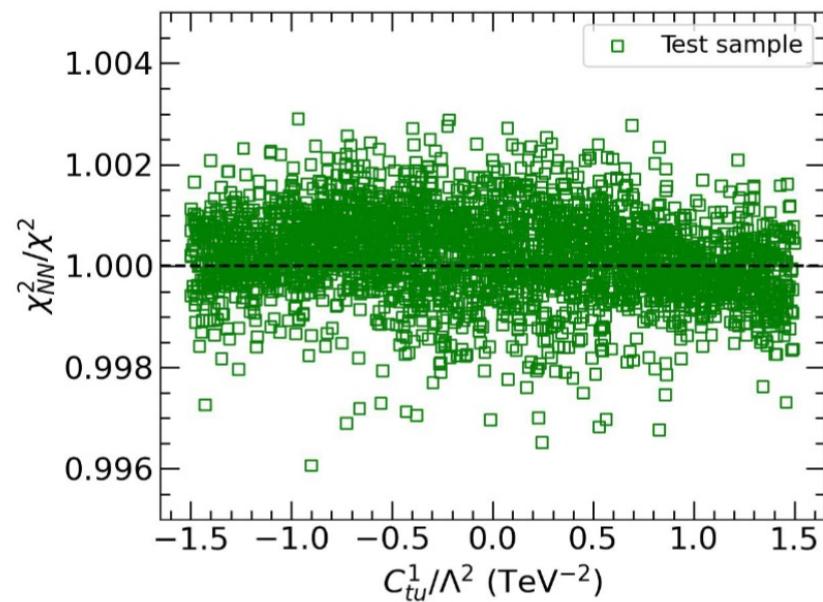
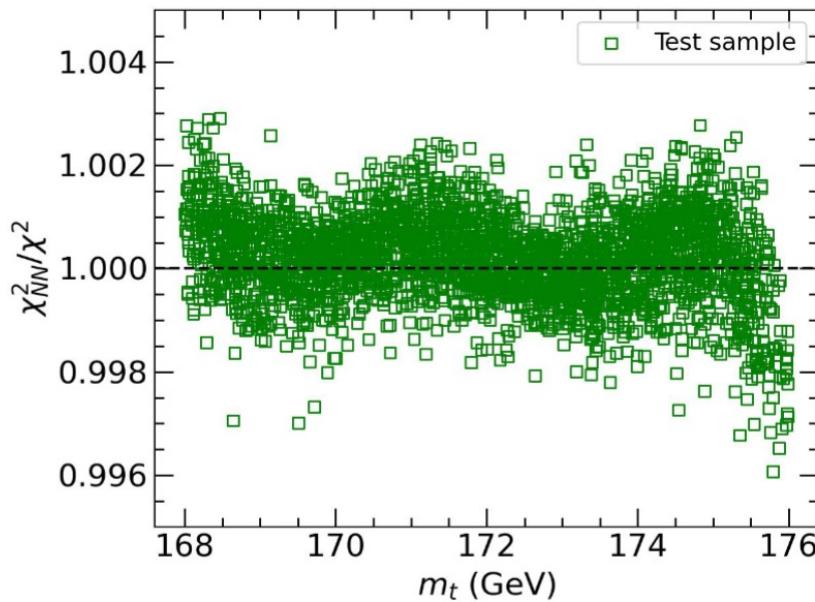
Liu, Sun, and Gao; arXiv: [2201.06586](https://arxiv.org/abs/2201.06586)



→ NN associates PDFs,  
SM parameters, SMEFT  
coefficients with  $\chi^2$

# NNs effectively learn (PDF-SMEFT) likelihood function

- generate  $1.2 \times 10^4$  replicas over PDFs, SM parameters, SMEFT coeffs.
  - validate performance on  $4 \times 10^3$  test set



→ strong, permille-level agreement achieved!

(NB: perfect agreement corresponds to  $\chi_{\text{NN}}^2/\chi^2 = 1$ )

- allows *rapid* exploration of combined PDF-SMEFT uncertainties

# explore constraints from range of LHC expts

- included on top of default CT18 fitted experiments
  - nominally fit  $\sim 112 \text{ fb}^{-1}$  of top data;  $\sim 67 \text{ fb}^{-1}$  for jet production

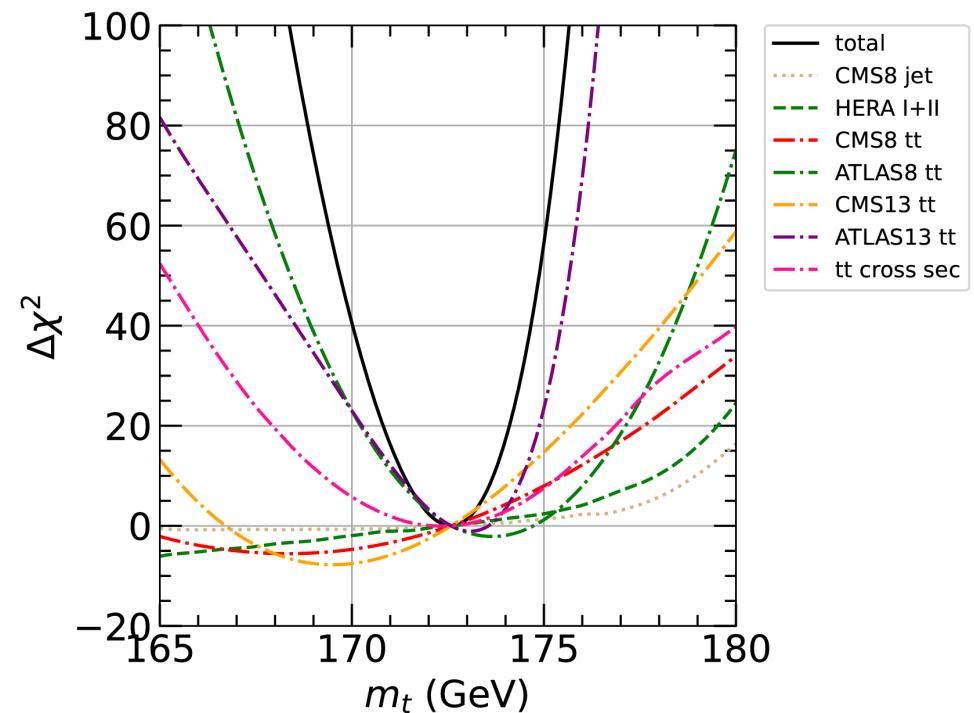
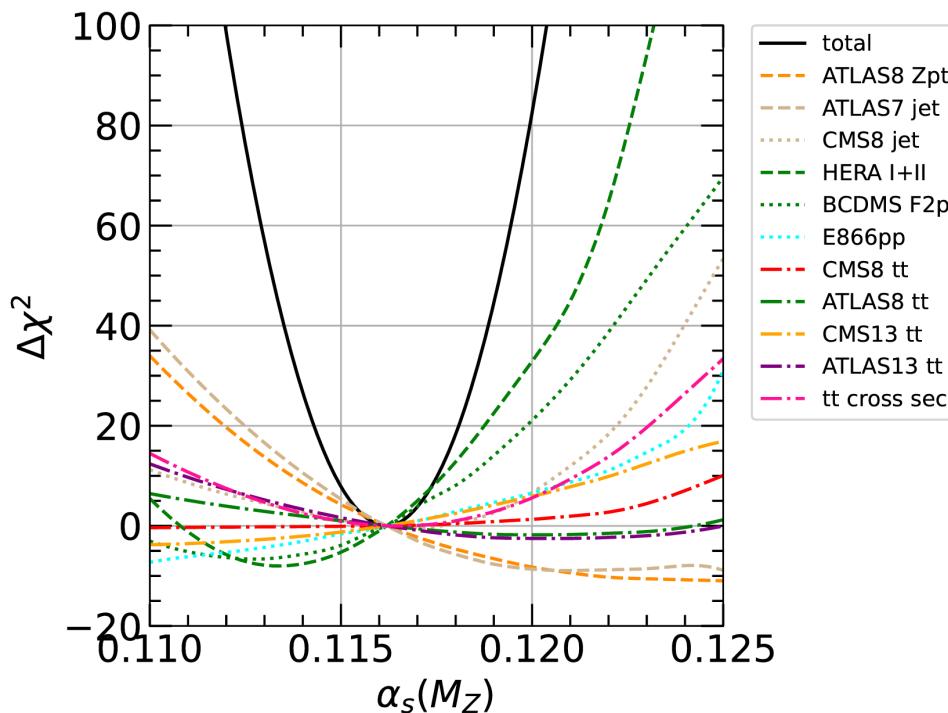
Experiments	$\sqrt{s}(\text{TeV})$	$\mathcal{L}(\text{fb}^{-1})$	observable	$N_{\text{pt}}$
*† LHC(Tevatron)	7/8/13(1.96)	—	$t\bar{t}$ total cross section	8
*† ATLAS $t\bar{t}$	8	20.3	1D dis. in $p_{T,t}$ or $m_{t\bar{t}}$	15
*† CMS $t\bar{t}$	8	19.7	2D dis. in $p_{T,t}$ and $y_t$	16
CMS $t\bar{t}$	8	19.7	1D dis. in $m_{t\bar{t}}$	7
*† ATLAS $t\bar{t}$	13	36	1D dis. in $m_{t\bar{t}}$	7
*† CMS $t\bar{t}$	13	35.9	1D dis. in $m_{t\bar{t}}$	7
*† CDF II inc. jet	1.96	1.13	2D dis. in $p_T$ and $y$	72
*† D0 II inc. jet	1.96	0.7	2D dis. in $p_T$ and $y$	110
*† ATLAS inc. jet	7	4.5	2D dis. in $p_T$ and $y$	140
*† CMS inc. jet	7	5	2D dis. in $p_T$ and $y$	158
* CMS inc. jet	8	19.7	2D dis. in $p_T$ and $y$	185
† CMS dijet	8	19.7	3D dis. in $p_T^{ave.}$ , $y_b$ and $y^*$	122
† CMS inc. jet	13	36.3	2D dis. in $p_T$ and $y$	78

\*(in nominal top fits); †(in nominal jet fits)

- for top data, correlated theory errors included via nuisance parameters; uncorr. for jets

# first: sensitivity to SM QCD parameters

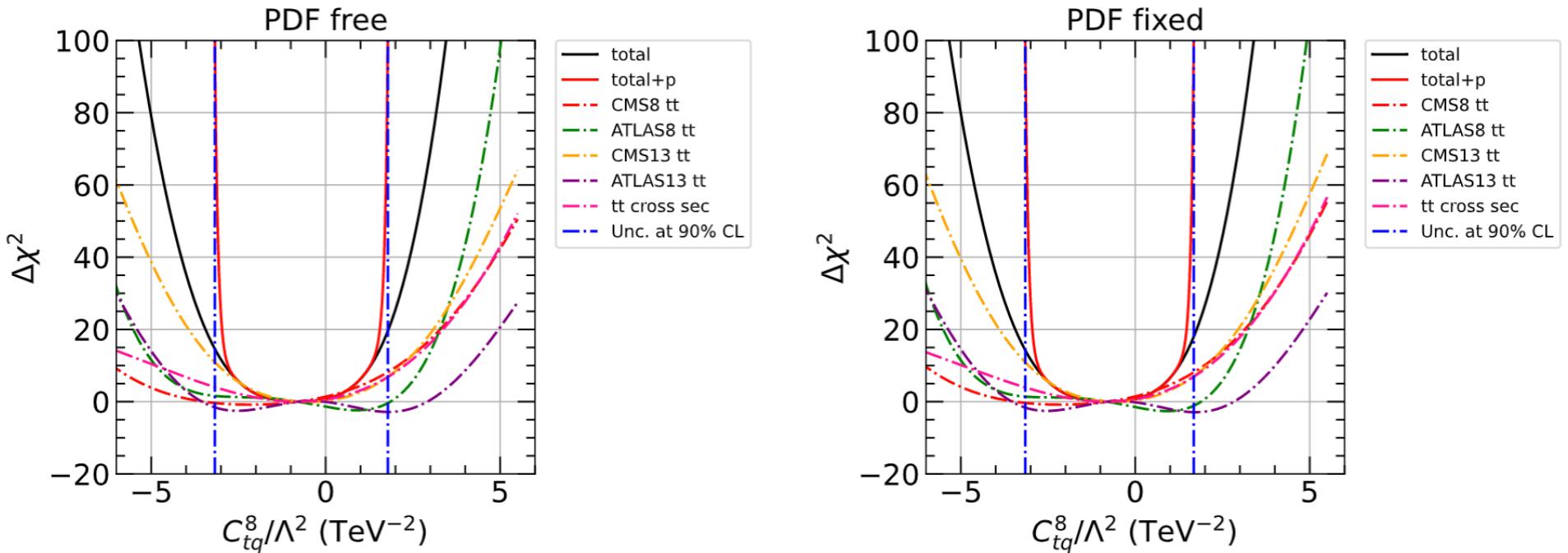
- simultaneously fit  $\alpha_s$  and  $m_t$  in the absence of nonzero SMEFT coefficients



- combined jet and  $t\bar{t}$  data significantly constrain strong coupling, top mass
  - competing pulls between CMS, ATLAS  $t\bar{t}$  data; lower vs higher top mass, resp.  
(consistent with constraints from first near-threshold  $m_{t\bar{t}}$  bin)

# examine SMEFT uncertainties in joint PDF fit tt data

- quantify SMEFT uncert. through Lagrange Multiplier (LM) scans:



→ constraints to top-associated Wilson coefficient,  $C_{tq}^8/\Lambda^2$

- modest increase in uncertainty when co-fitted with PDFs
- predominantly *quartic* shapes for  $\Delta\chi^2$  reflect pure SMEFT contributions  $\sim \frac{1}{\Lambda^4}$ 

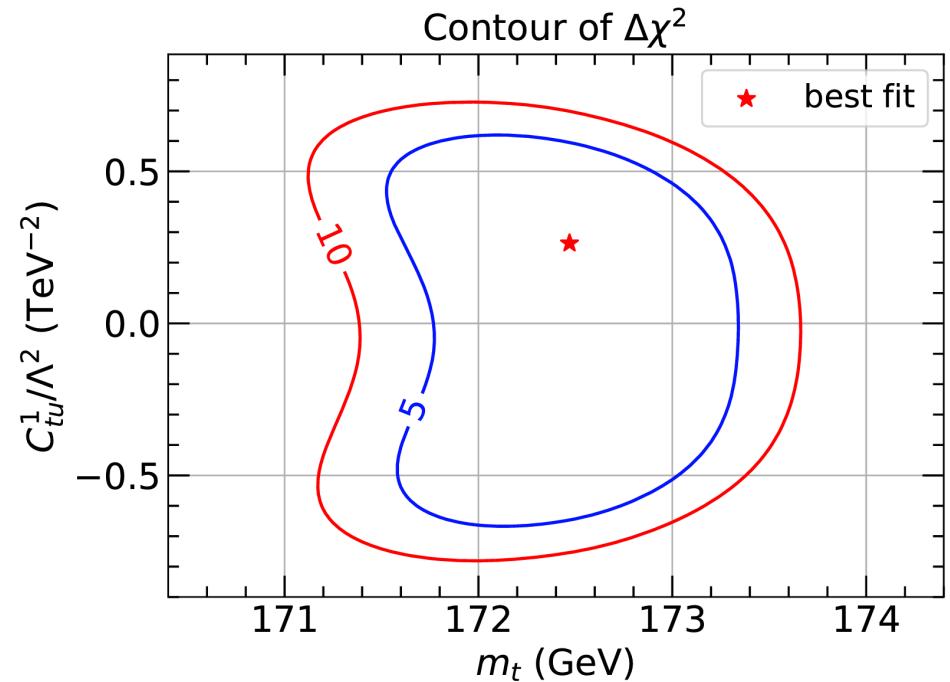
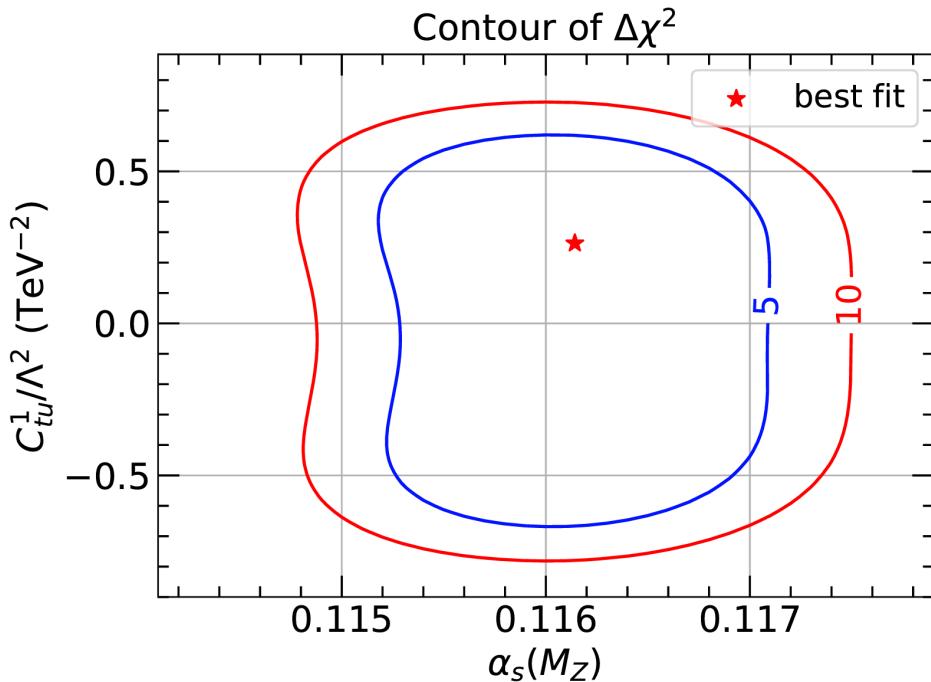
... i.e., importance of quadratic EFT terms in limit-setting

# pQCD correlations with top EFT coefficients

$t\bar{t}$  data

→ 2D Lagrange multiplier scans reveal correlations between fitted quantities; minimize:

$$\Psi(\lambda_1, \lambda_2, \{a_\ell\}) = \chi^2(\{a_\ell\}) + \lambda_1 X_1(\{a_\ell\}) + \lambda_2 X_2(\{a_\ell\})$$



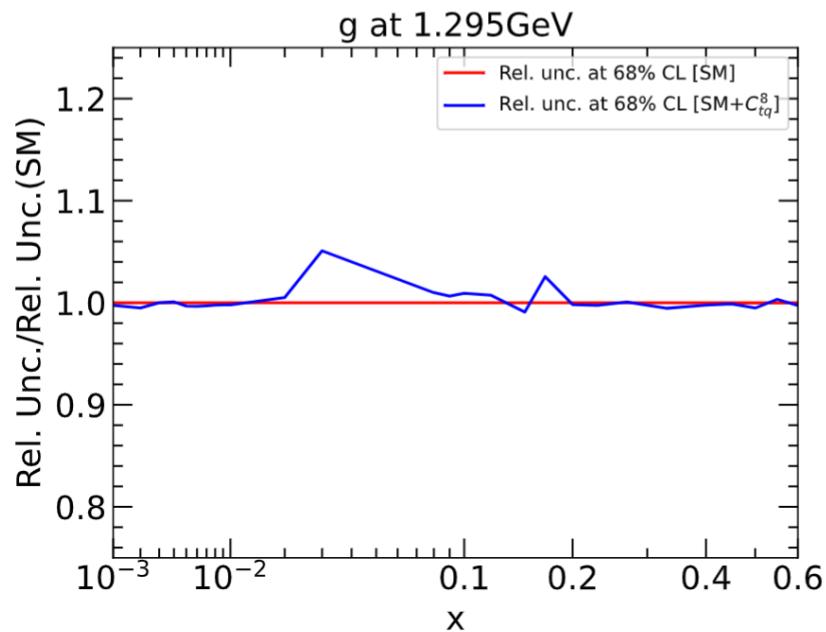
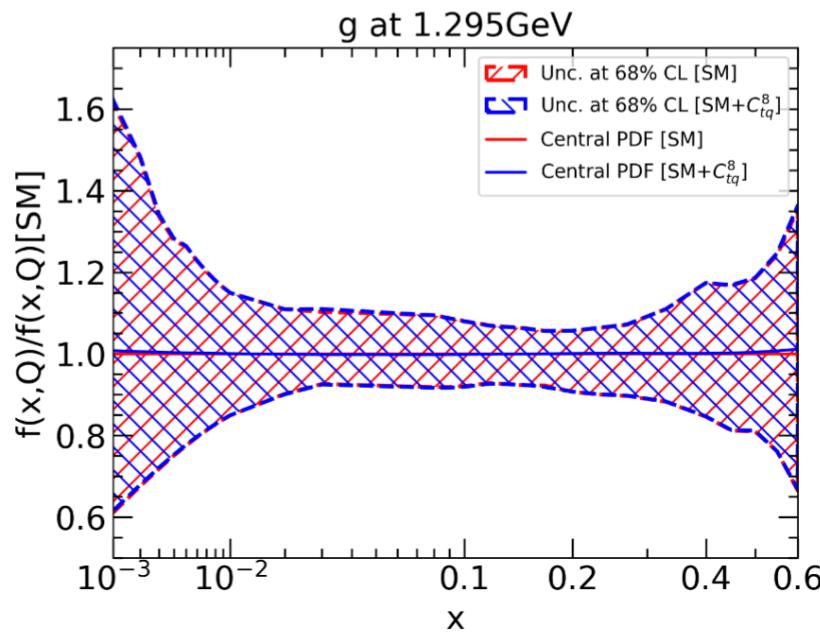
- best fit coupling, mass essentially unshifted when fitted alongside EFT
  - still, some deviation from rotational symmetry: non-quadratic profiles for  $\chi^2$

# joint fits: very weak correlations with PDFs' $x$ dependence

- SMEFT coefficient uncertainties depend on active fitting of PDFs:

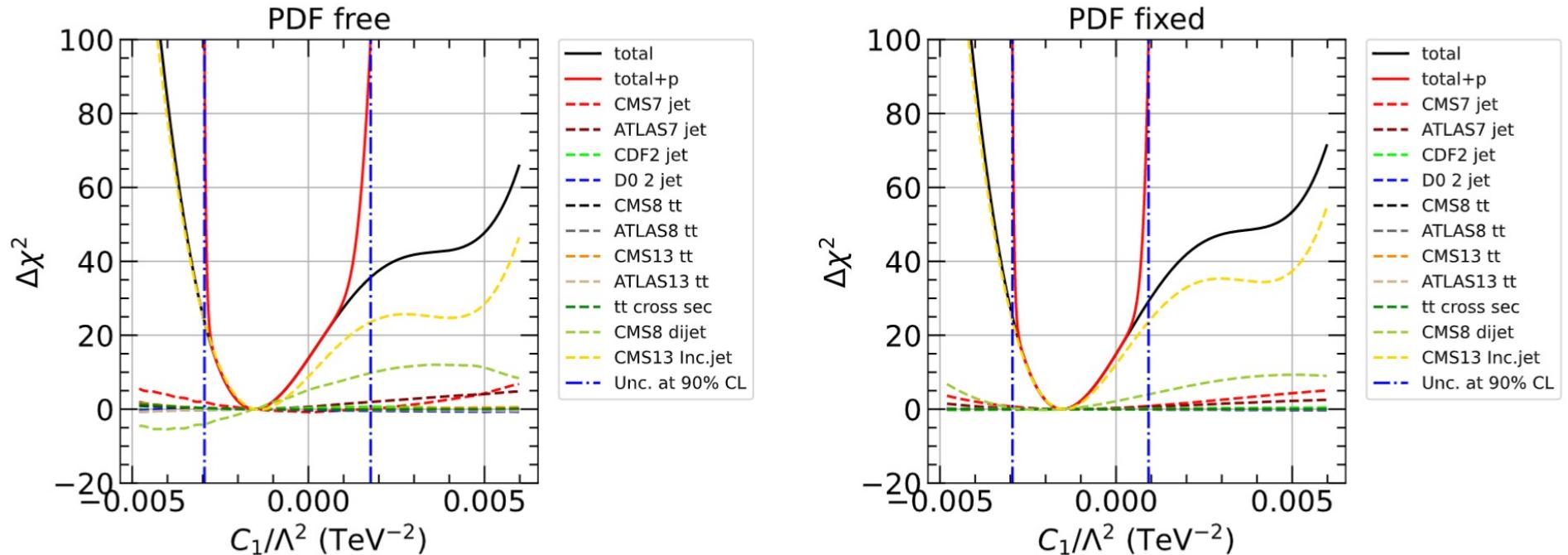
$\text{TeV}^{-2}$	nominal	PDF fixed	no the. unc.
$C_{tu}^1/\Lambda^2$	$0.14^{+0.61}_{-0.97}$	$0.14^{+0.60}_{-0.95}$	$0.14^{+0.57}_{-0.92}$
$C_{tq}^8/\Lambda^2$	$-0.80^{+2.58}_{-2.38}$	$-0.80^{+2.48}_{-2.35}$	-
$C_{tG}/\Lambda^2$	$-0.10^{+0.26}_{-0.30}$	$-0.10^{+0.25}_{-0.30}$	-

- small variations in gluon PDF, unc. from co-fitting SMEFT:



# analogous joint fits: jet data and contact interaction

- fitted jet data modestly sensitive to  $C_1$ :

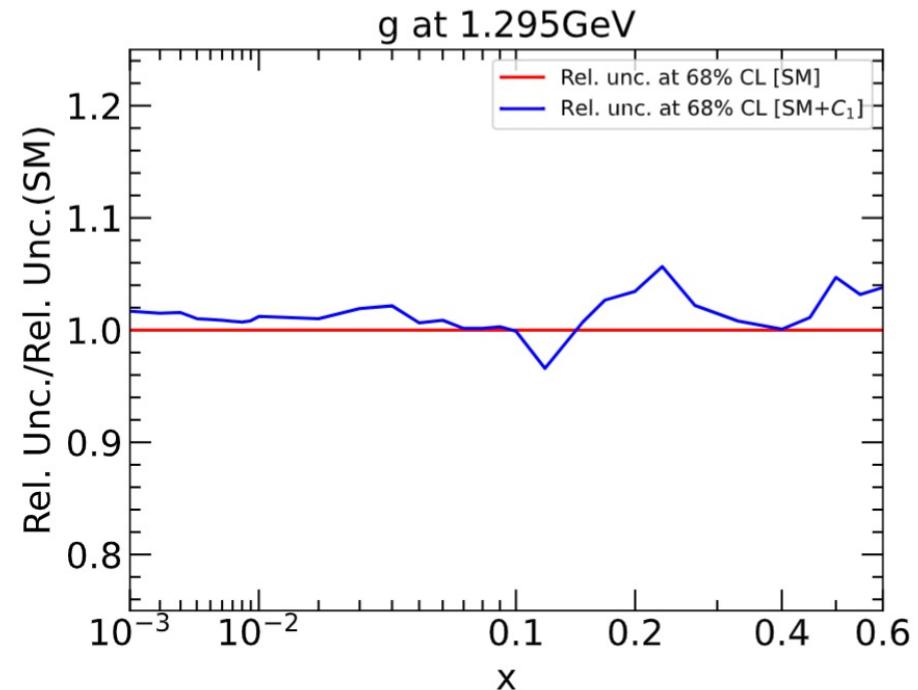
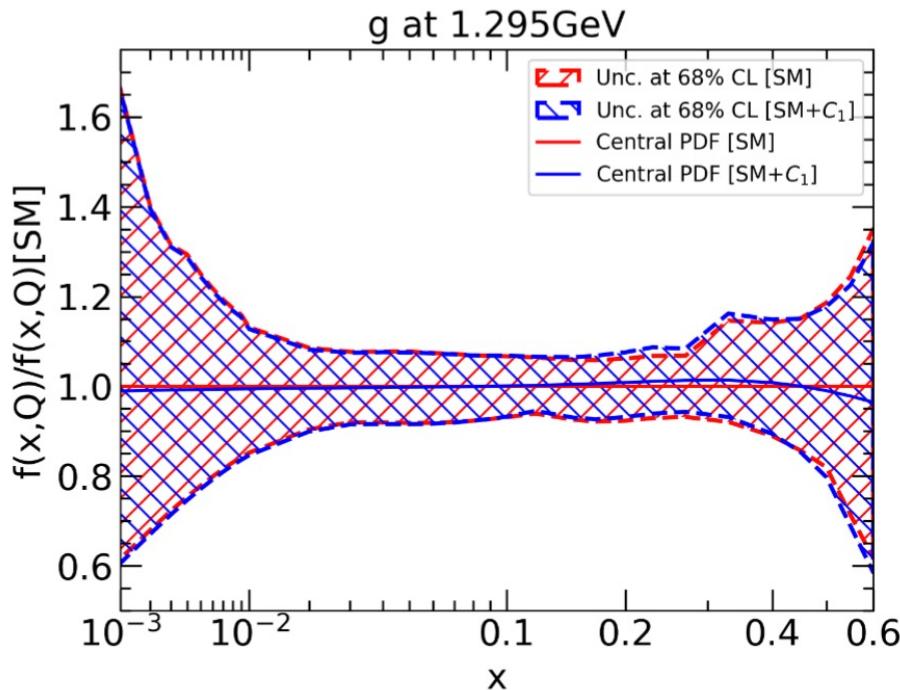


- leading SMEFT sensitivity from CMS: 13 TeV incl. jet, 8 TeV dijet data
- fixing PDFs: (slightly) larger uncertainty underestimate

$\text{TeV}^{-2}$	nominal	CMS 8 dijet	CMS 8 jet	CMS 13 jet
PDF free	$-0.0015^{+0.0033}_{-0.0014}$	$-0.0022^{+0.0187}_{-0.0054}$	$-0.0009^{+0.0138}_{-0.0045}$	$-0.0013^{+0.0059}_{-0.0016}$
PDF fixed	$-0.0015^{+0.0024}_{-0.0014}$	$-0.0022^{+0.0180}_{-0.0051}$	$-0.0009^{+0.0131}_{-0.0049}$	$-0.0013^{+0.0026}_{-0.0015}$

# more pronounced PDF correlation for jet data

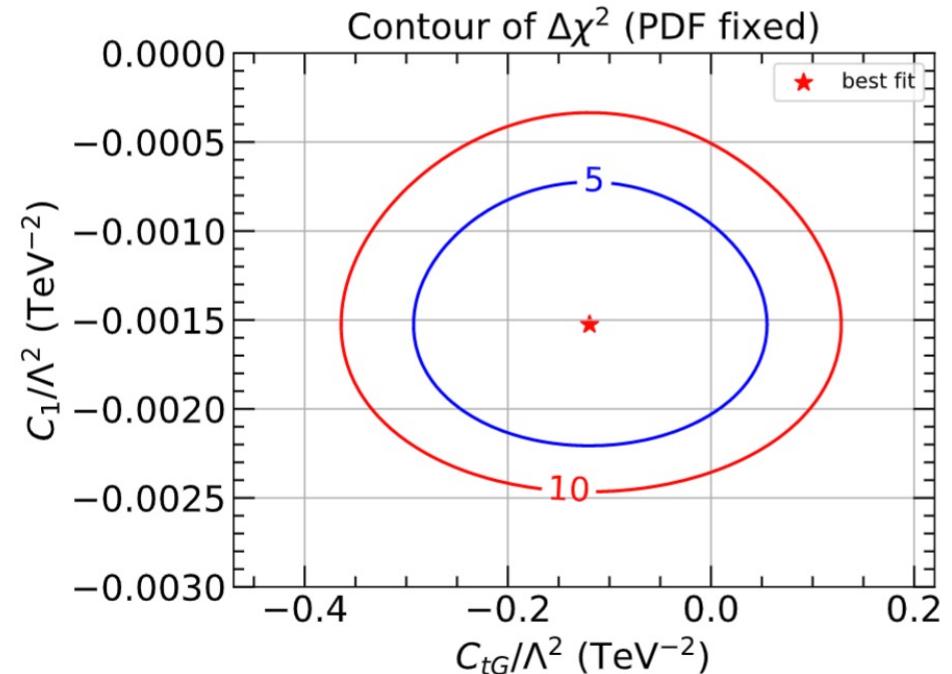
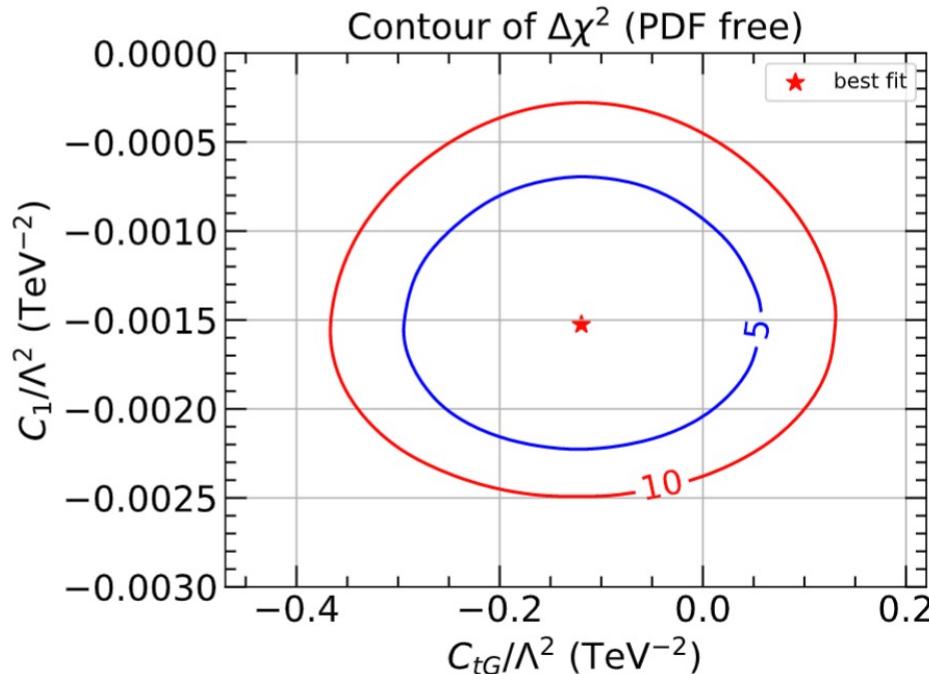
- jointly fitting contact interaction to jet prod. shifts gluon PDF



- effect somewhat greater at large  $x > 0.1$
- suggests slightly stronger correlation of gluon PDF with  $C_1$

# correlations between SMEFT coefficients are mild

- co-varying top-, jet-associated coeffs. minimally effects uncertainties

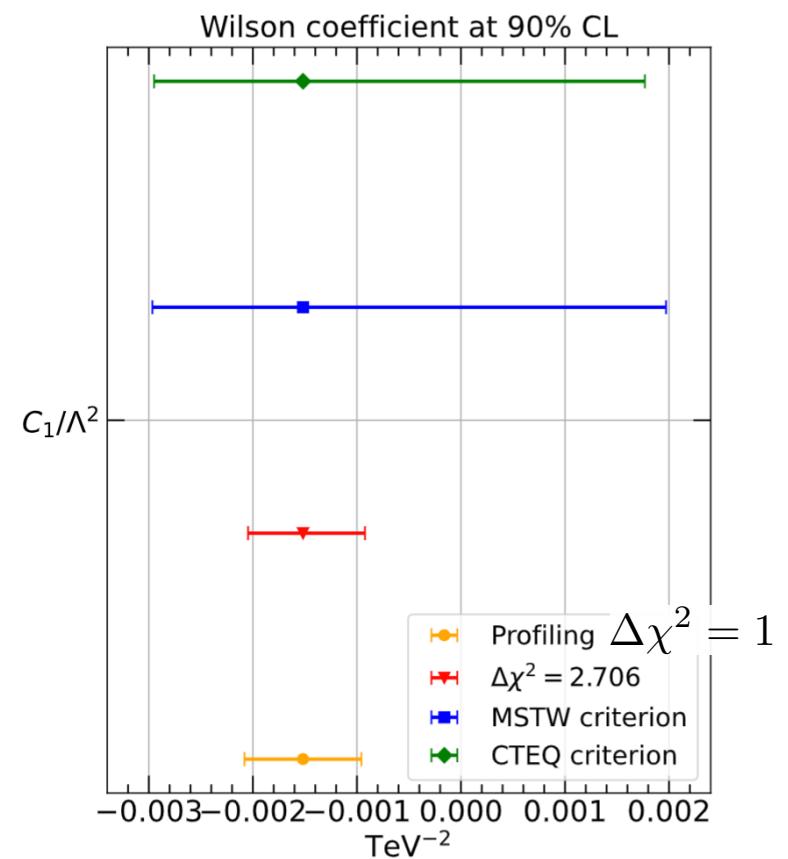
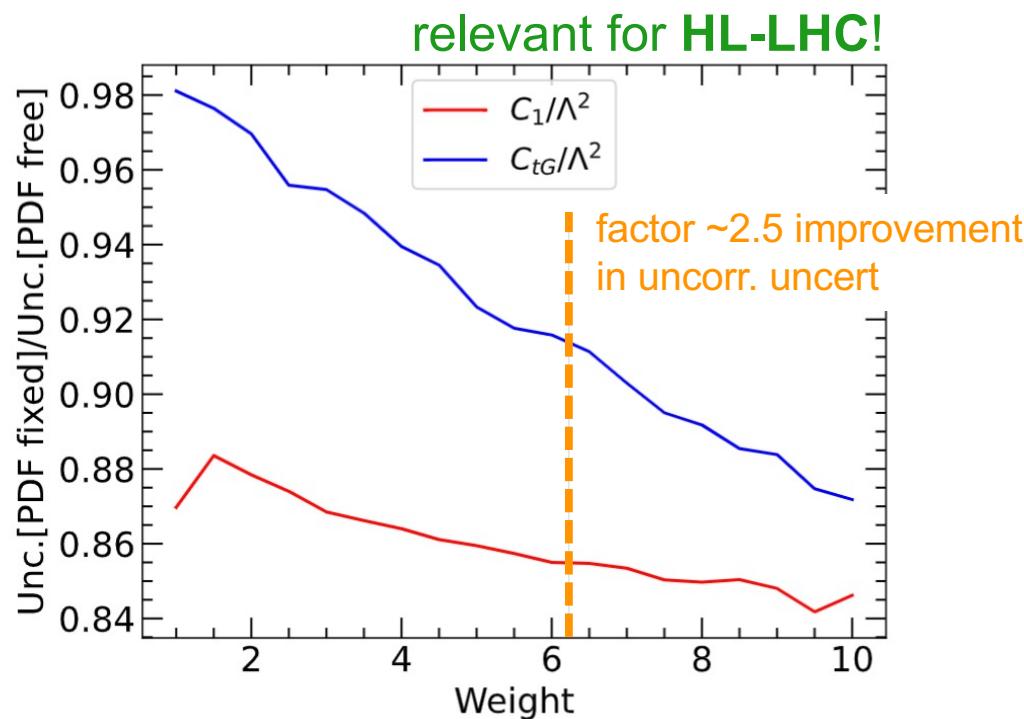


→ strongly rotationally-symmetric  $\Delta\chi^2$  contours imply very weak correlations

TeV $^{-2}$	$C_1, C_{tG}$ free	fix $C_1$	fix $C_{tG}$
$C_1/\Lambda^2$	$-0.0015^{+0.0033}_{-0.0014}$	0	$-0.0015^{+0.0033}_{-0.0014}$
$C_{tG}/\Lambda^2$	$-0.120^{+0.248}_{-0.309}$	$-0.117^{+0.247}_{-0.309}$	0

# correlations may strengthen with future expts

- increasing Weight (expt. precision) enhances SMEFT coeff. uncertainty dependence on co-fitted PDFs



- in addition, extracted SMEFT unc. depends on PDF error (tolerance) conventions
  - both points suggest a **growing need for further investigation**

# summary and outlook

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- EIC will enter complex landscape of BSM-motivated EW experiments
  - extends BSM sensitivity through PDF improvements (& QCD/EW theory)
- 
- jet and  $t\bar{t}$  data as CT PDF-EFT demonstration study; examined correlations
    - robust separation between PDFs, co-fitted EFT coefficients  
(potentially reassuring for contemporary EFT-only analyses)
    - evidence of correlation between high- $x$  gluon, contact interaction
    - these will increase with growing expt precision; e.g., at HL-LHC
    - need further theory development; more operator combinations, ...
- 
- EIC valuable arena to explore PDF-EFT interplay; UQ essential