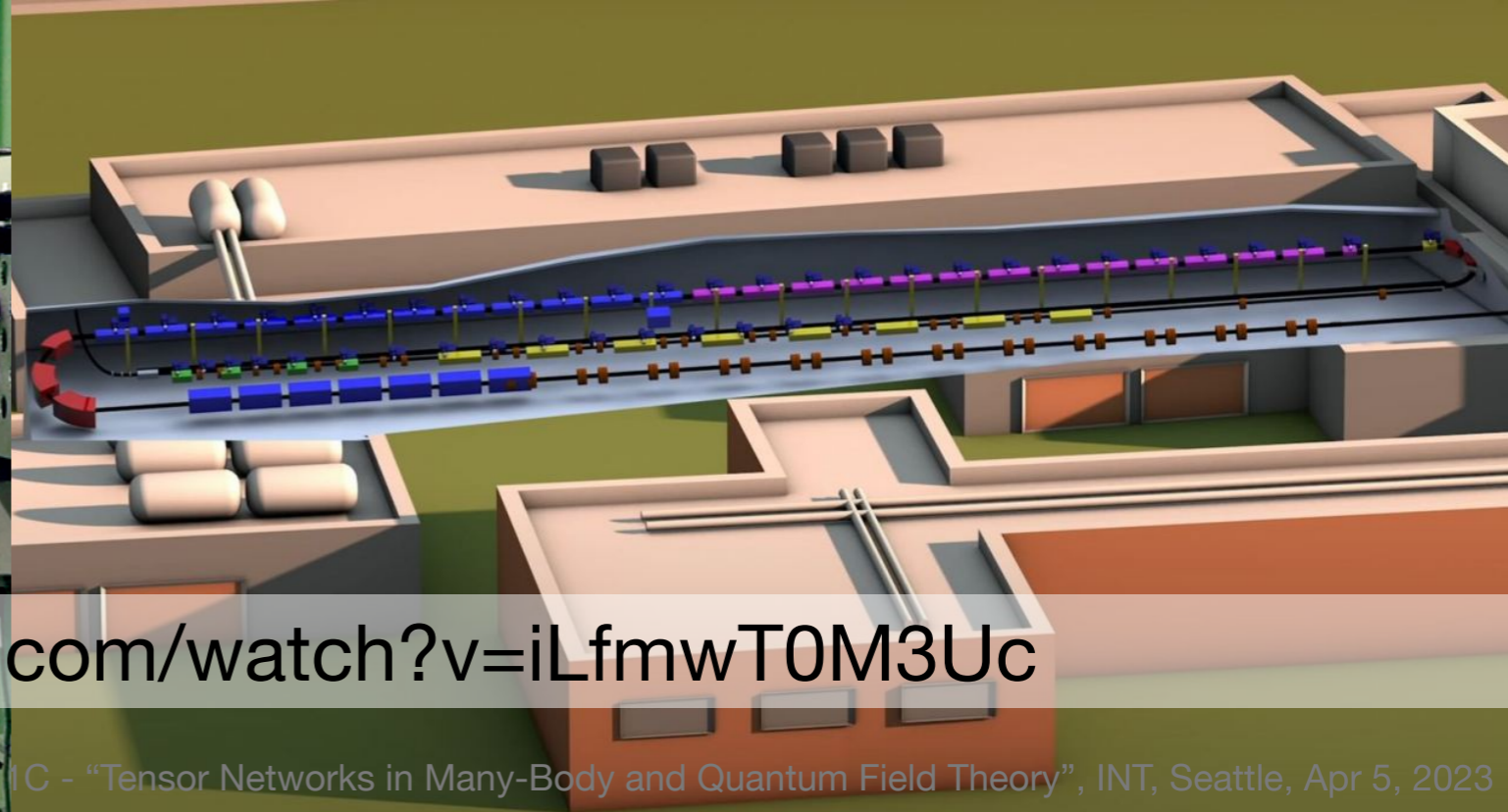


Towards a More Effective Nuclear Many-Body Problem

Heiko Hergert
Facility for Rare Isotope Beams
& Department of Physics and Astronomy
Michigan State University



FRIB Has Commenced Operation



virtual tour: <https://www.youtube.com/watch?v=iLfmwT0M3Uc>

Crossing $N = 28$ Toward the Neutron Drip Line: First Measurement of Half-Lives at FRIB

H. L. Crawford^{1,*}, V. Tripathi,² J. M. Allmond,³ B. P. Crider,⁴ R. Grzywacz,⁵ S. N. Liddick,^{6,7} A. Andalib,^{6,8} E. Argo,^{6,8} C. Benetti,² S. Bhattacharya,² C. M. Campbell,¹ M. P. Carpenter,⁹ J. Chan,⁵ A. Chester,⁶ J. Christie,⁵ B. R. Clark,⁴ I. Cox,⁵ A. A. Doetsch,^{6,8} J. Dopfer,^{6,8} J. G. Duarte,¹⁰ P. Fallon,¹ A. Frotscher,¹ T. Gaballah,⁴ T. J. Gray,³ J. T. Harke,¹⁰ J. Heideman,⁵ H. Heugen,⁵ R. Jain,^{6,8} T. T. King,³ N. Kitamura,⁵ K. Kolos,¹⁰ F. G. Kondev,⁹ A. Laminack,³ B. Longfellow,¹⁰ R. S. Lubna,⁶ S. Luitel,⁴ M. Madurga,⁵ R. Mahajan,⁶ M. J. Mogannam,^{6,7} C. Morse,¹¹ S. Neupane,⁵ A. Nowicki,⁵ T. H. Ogunbeku,^{4,6} W.-J. Ong,¹⁰ C. Porzio,¹ C. J. Prokop,¹² B. C. Rasco,³ E. K. Ronning,^{6,7} E. Rubino,⁶ T. J. Ruland,¹³ K. P. Rykaczewski,³ L. Schaedig,^{6,8} D. Seweryniak,⁹ K. Siegl,⁵ M. Singh,⁵ S. L. Tabor,² T. L. Tang,² T. Wheeler,^{6,8} J. A. Winger,⁴ and Z. Xu⁵

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⁹*Argonne National Laboratory, Argonne, Illinois 60439, USA*

¹⁰*Lawrence Livermore National Laboratory, Livermore, California 94550, USA*

¹¹*Brookhaven National Laboratory, Upton, New York 11973, USA*

¹²*Los Alamos National Laboratory, Los Alamos, New Mexico 87545, USA*

¹³*Department of Physics and Astronomy, Louisiana State University, Baton Rouge, Louisiana 70803, USA*



(Received 19 July 2022; accepted 14 September 2022; published 14 November 2022)

New half-lives for exotic isotopes approaching the neutron drip-line in the vicinity of $N \sim 28$ for $Z = 12-15$ were measured at the Facility for Rare Isotope Beams (FRIB) with the FRIB decay station initiator. The first experimental results are compared to the latest quasiparticle random phase approximation and shell-model calculations. Overall, the measured half-lives are consistent with the available theoretical descriptions and suggest a well-developed region of deformation below ^{48}Ca in the $N = 28$ isotones. The erosion of the $Z = 14$ subshell closure in Si is experimentally confirmed at $N = 28$, and a reduction in the ^{38}Mg half-life is observed as compared with its isotopic neighbors, which does not seem to be predicted well based on the decay energy and deformation trends. This highlights the need for both additional data in this very exotic region, and for more advanced theoretical efforts.

DOI: [10.1103/PhysRevLett.129.212501](https://doi.org/10.1103/PhysRevLett.129.212501)

Need for Precision Nuclear Structure

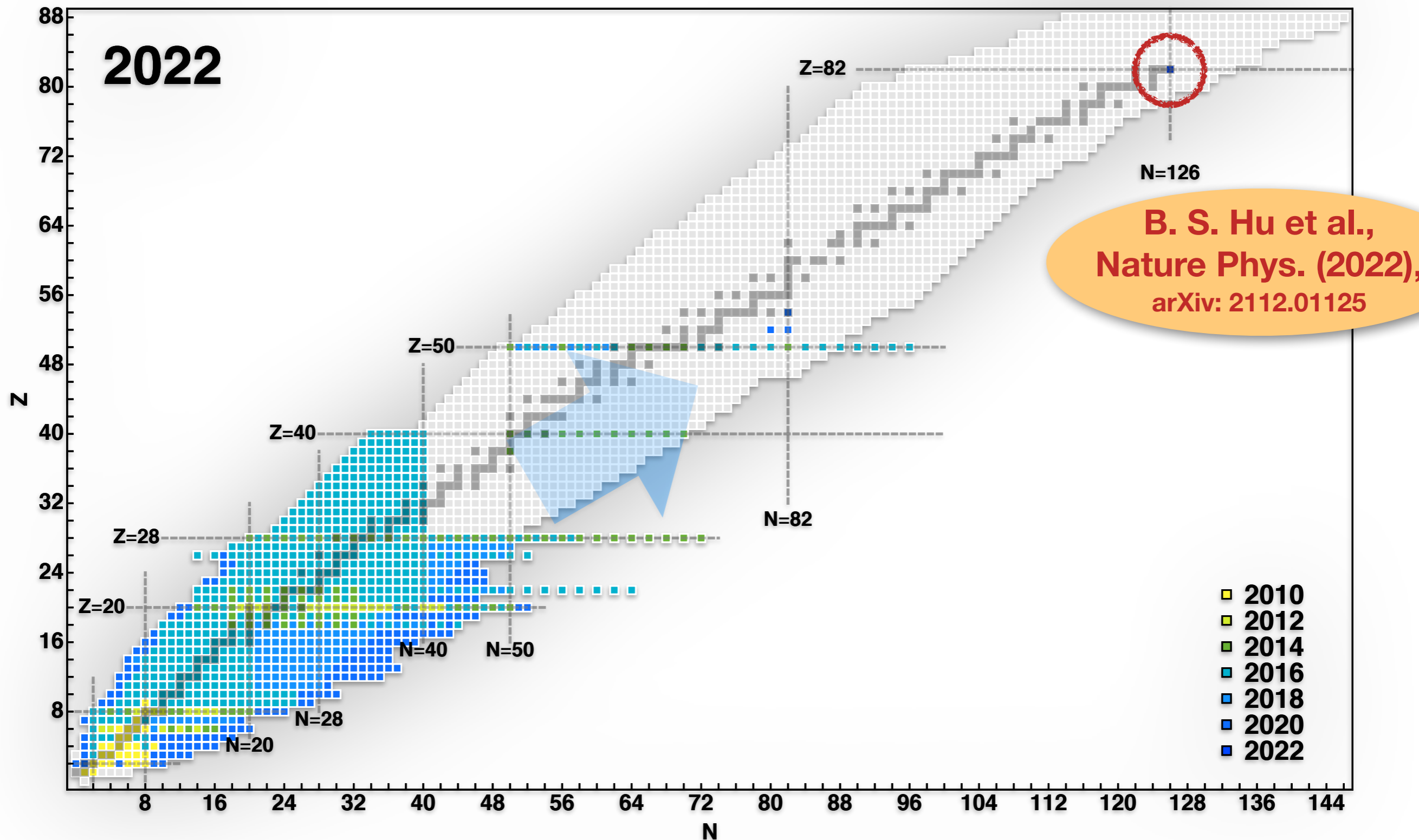


- understanding **nuclear forces** (i.e., low-energy QCD), **emergent phenomena** (clustering, halos, ...)
- **nuclear & neutron matter equation of state**
 - crucial for supernovae and neutron star mergers
- **nucleosynthesis**
 - explaining processes and resulting abundances
- searches for **physics beyond the Standard Model**
 - **nuclei and radioactive molecules** offer alternatives to ever larger colliders
 - neutrinoless double beta decay, CKM unitarity Tests, electric dipole moments, ...

Progress in *Ab Initio* Calculations

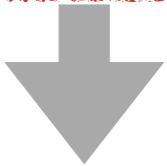


[cf. HH, *Front. Phys.* 8, 379 (2020)]



Chiral EFT

- **Interactions (& Operators) from Chiral EFT**
 - symmetries of low-energy QCD
 - power counting



RG
(similarity trasfos)

- **(Similarity) Renormalization Group**
 - systematically dial resolution scales (cutoffs) of theory
 - trade-off: enhanced convergence & accuracy of many-body methods vs. omitted induced $4N, \dots, AN$ forces



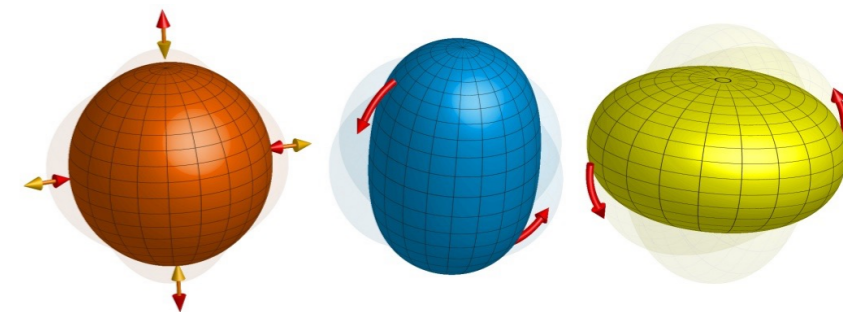
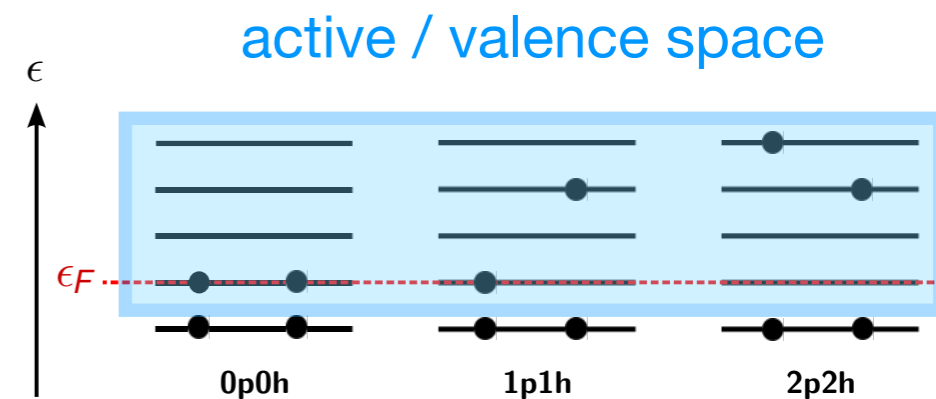
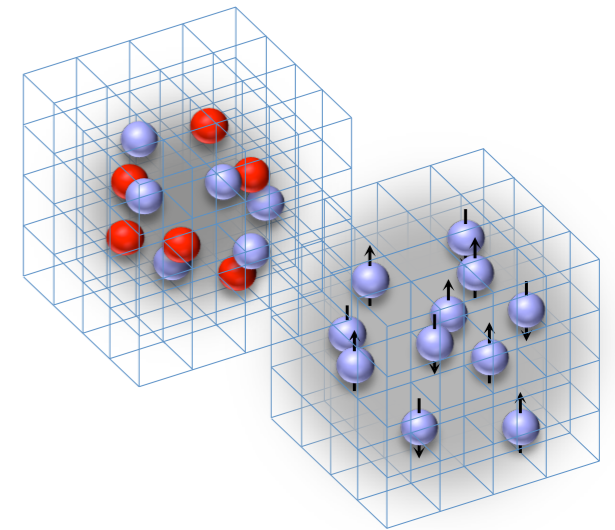
**many-body
method**

- ***Ab Initio* Many-Body Methods**
 - systematically improvable towards exact solution

Paradigms



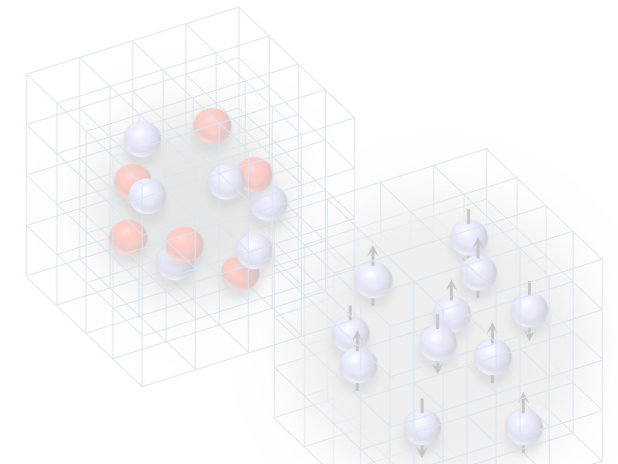
- **Coordinate Space**
 - Quantum Monte Carlo
 - Lattice EFT
- **Configuration Space: Particle-Hole Expansions**
 - Many-Body Perturbation Theory (MBPT)
 - (No-Core) Configuration Interaction (aka Shell Model, (NC)SM)
 - Coupled Cluster (CC)
 - In-Medium Similarity Renormalization Group (IMSRG)
- **Configuration Space / Coordinate Space: Geometric Expansions**
 - deformed HF(B) + projection
 - projected Generator Coordinate Method (PGCM)
 - symmetry-adapted NCSM



Paradigms



- **Coordinate Space**
 - Quantum Monte Carlo
 - Lattice EFT
- **Configuration Space: Particle-Hole Expansions**



Recent(-ish) Reviews:

HH, *Front. Phys.* **8**, 379 (2020)

S. Gandolfi, D. Lonardonì, A. Lovato and M. Piarulli, *Front. Phys.* **8**, 117 (2020)

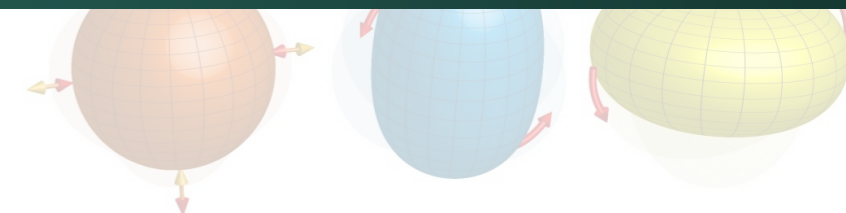
D. Lee, *Front. Phys.* **8**, 174 (2020)

V. Somà, *Front. Phys.* **8**, 340 (2020)

also see

“What is *ab initio* in nuclear theory?”, A. Ekström, C. Forssén, G. Hagen, G. R. Jansen, W. Jiang, T. Papenbrock, [arXiv:2212.11064](https://arxiv.org/abs/2212.11064)

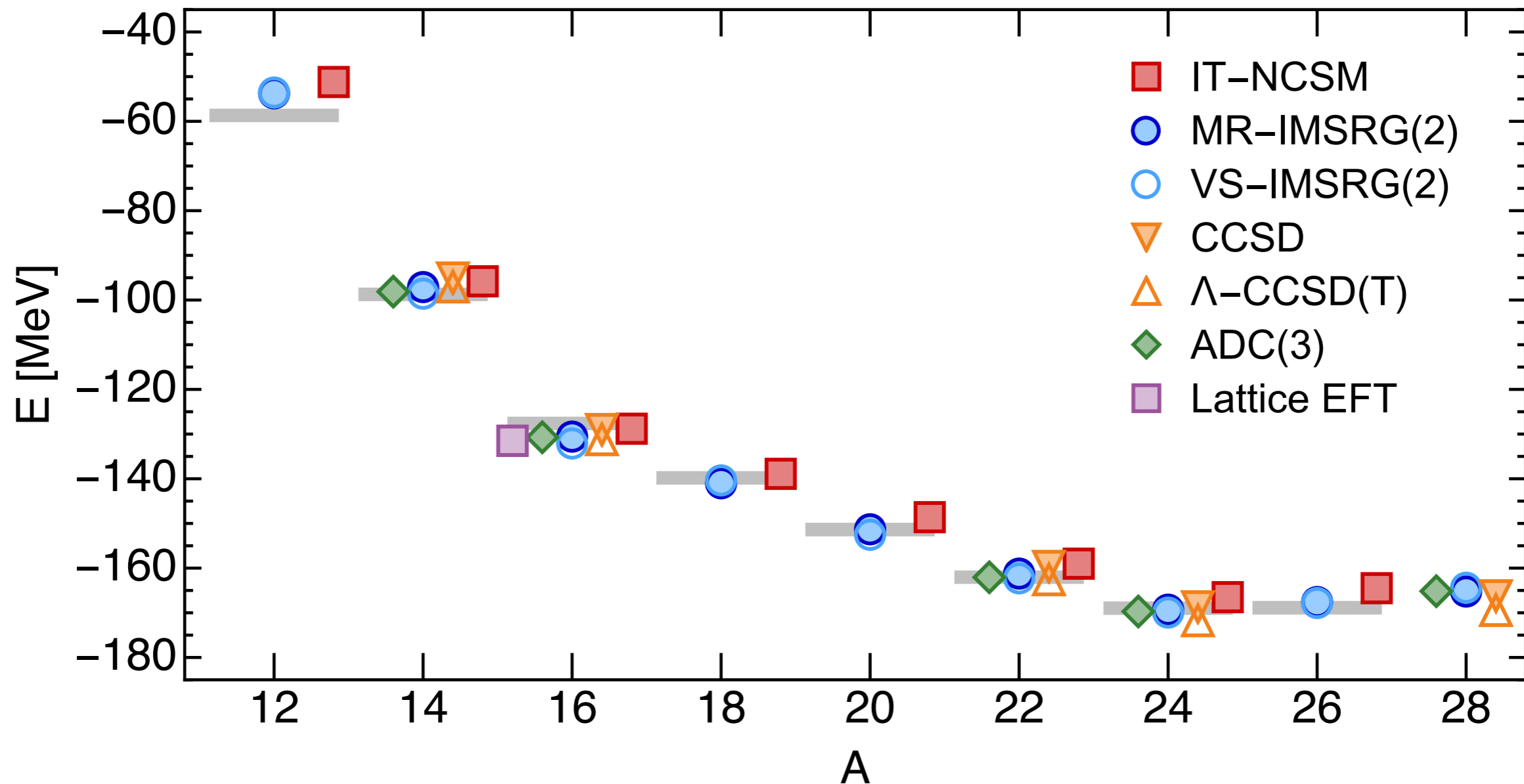
- deformed HF(B) + projection
- projected Generator Coordinate Method (PGCM)
- symmetry-adapted NCSM



Consistency: Oxygen Isotopes



HH, Front. Phys. 8, 379 (2020)



consistent ground-state energies for the **same interaction**
(and comparable Lattice EFT action)

Part I:

Renormalization

S. R. Stroberg, HH, S. K. Bogner and J. D. Holt, *Ann. Rev. Nucl. Part. Sci* **69**, 307 (2019)

HH, *Phys. Scripta*, *Phys. Scripta* **92**, 023002 (2017)

HH, S. K. Bogner, T. D. Morris, A. Schwenk, and K. Tuskuyama, *Phys. Rept.* **621**, 165 (2016)

S. Bogner, R. Furnstahl, and A. Schwenk, *Prog. Part. Nucl. Phys.* **65**, 94 (2010)

Basic Idea

continuous unitary transformation of the Hamiltonian to band-diagonal form w.r.t. a given “uncorrelated” many-body basis

- **flow equation** for Hamiltonian $H(\mathbf{s}) = U(\mathbf{s})H U^\dagger(\mathbf{s})$:

$$\frac{d}{ds}H(\mathbf{s}) = [\eta(\mathbf{s}), H(\mathbf{s})], \quad \eta(\mathbf{s}) = \frac{dU(\mathbf{s})}{ds}U^\dagger(\mathbf{s}) = -\eta^\dagger(\mathbf{s})$$

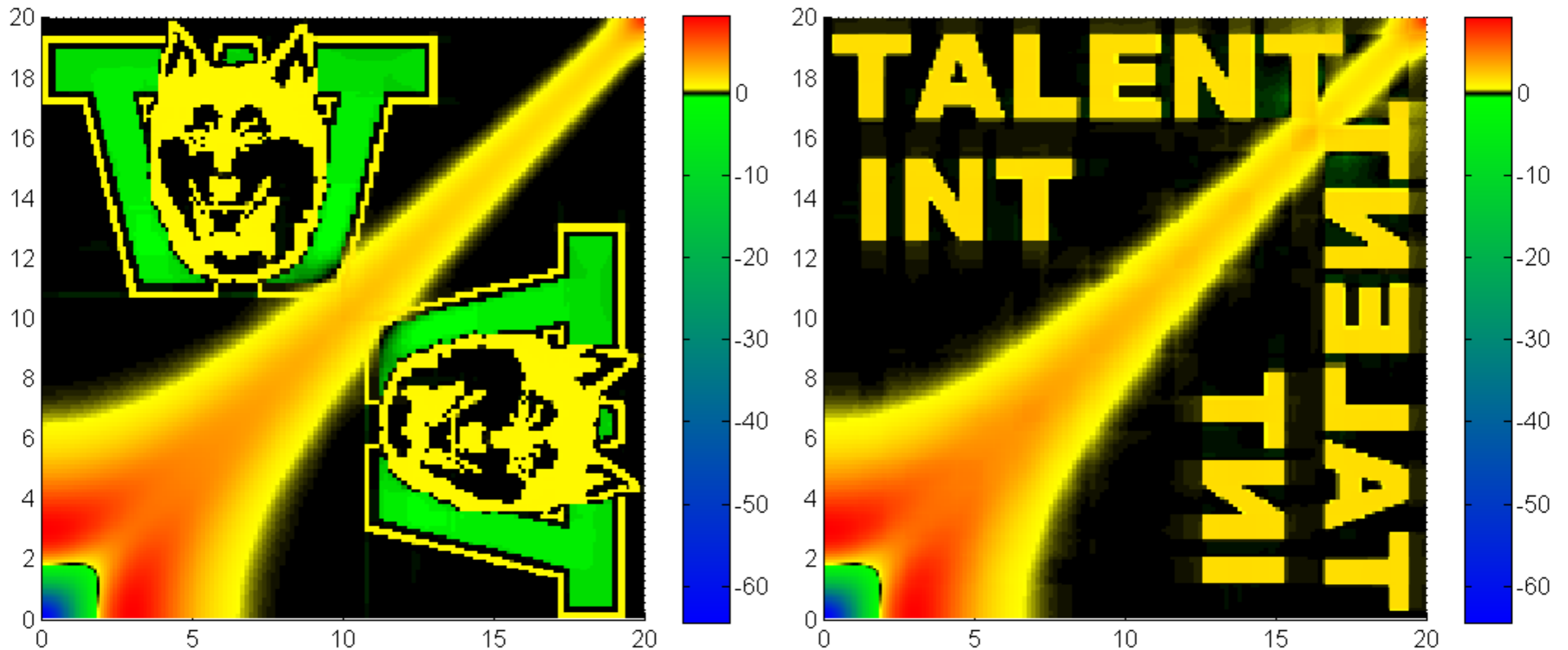
- choose $\eta(\mathbf{s})$ to achieve desired behavior, e.g.,

$$\eta(\mathbf{s}) = [H_d(\mathbf{s}), H_{od}(\mathbf{s})]$$

to **suppress** (suitably defined) **off-diagonal Hamiltonian**

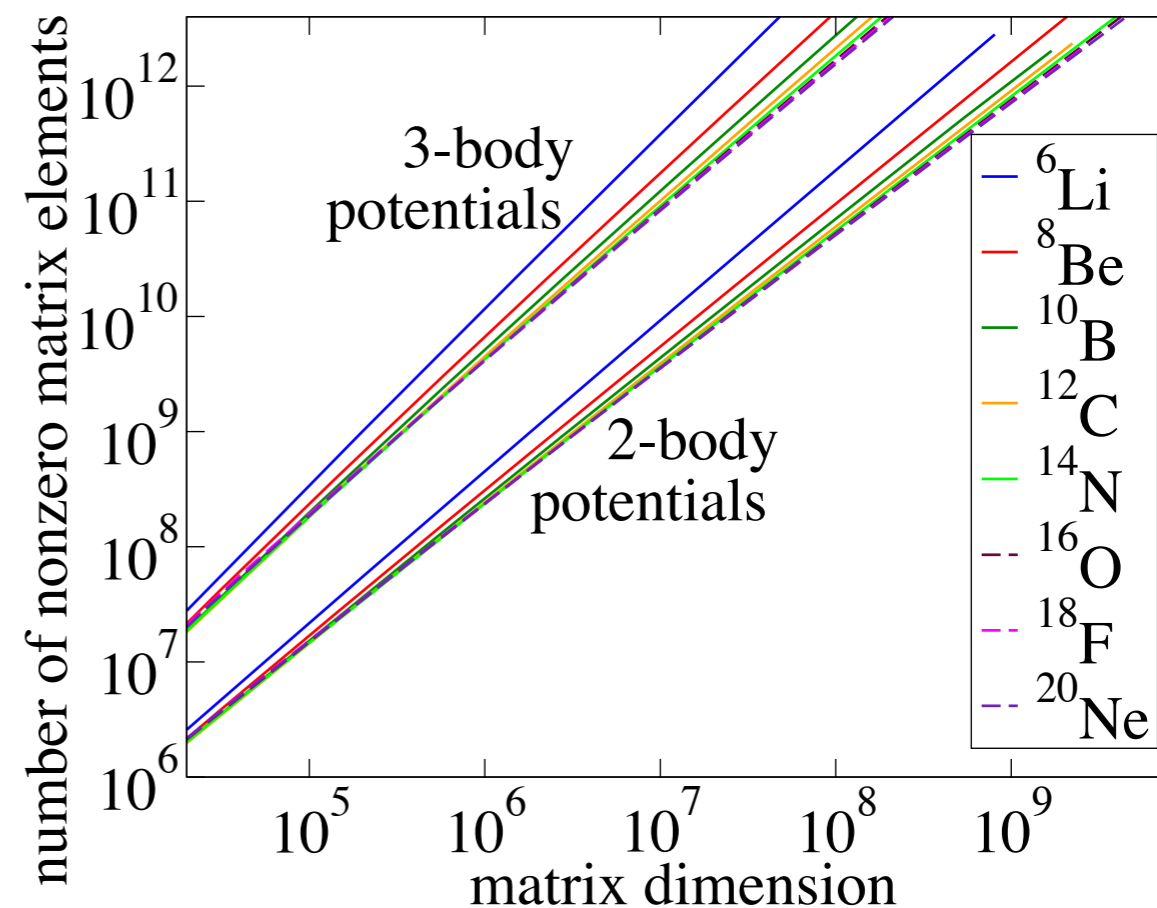
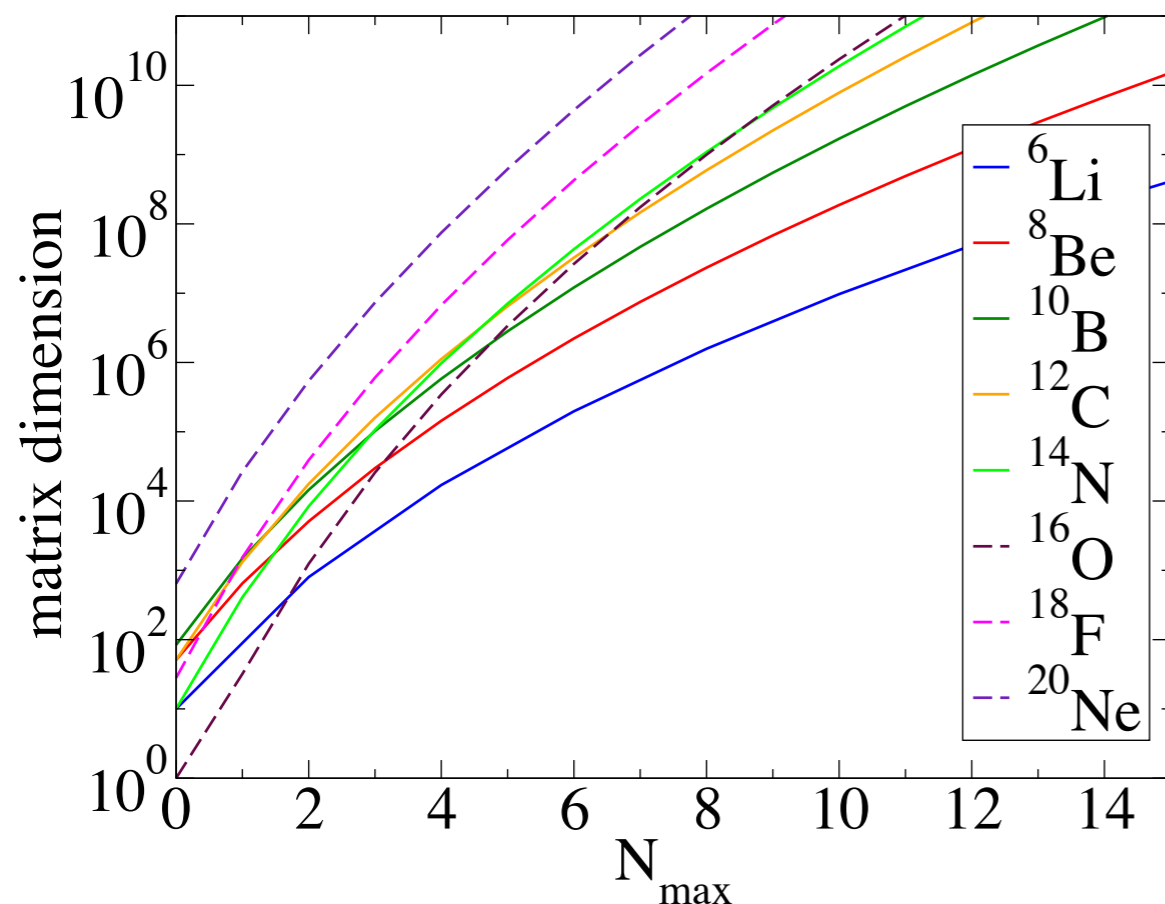
- **consistent evolution** for all **observables** of interest

Tailoring the Hamiltonian



SRG Evolution of an NN Interaction
with the Husky and TALENT Generators
[B. D. Carlsson, TALENT summer school at INT, 2013]

Dimensions for Exact Diagonalization



from: C. Yang, H. M. Aktulga, P. Maris, E. Ng, J. Vary, Proceedings of NTSE-2013

- basis-size “explosion”: **exponential growth**
- **importance truncation** etc. cannot fully compensate this growth as A increases

Operator Bases for the IMSRG



- choose a **basis of operators** to represent the flow (make an educated guess about physics):

$$H(\mathbf{s}) = \sum_i c_i(\mathbf{s}) O_i, \quad \eta(\mathbf{s}) = \sum_i f_i(\{\mathbf{c}(\mathbf{s})\}) O_i$$

- **close algebra by truncation**, if necessary:

$$[O_i, O_j] = \sum_k g_{ijk} O_k$$

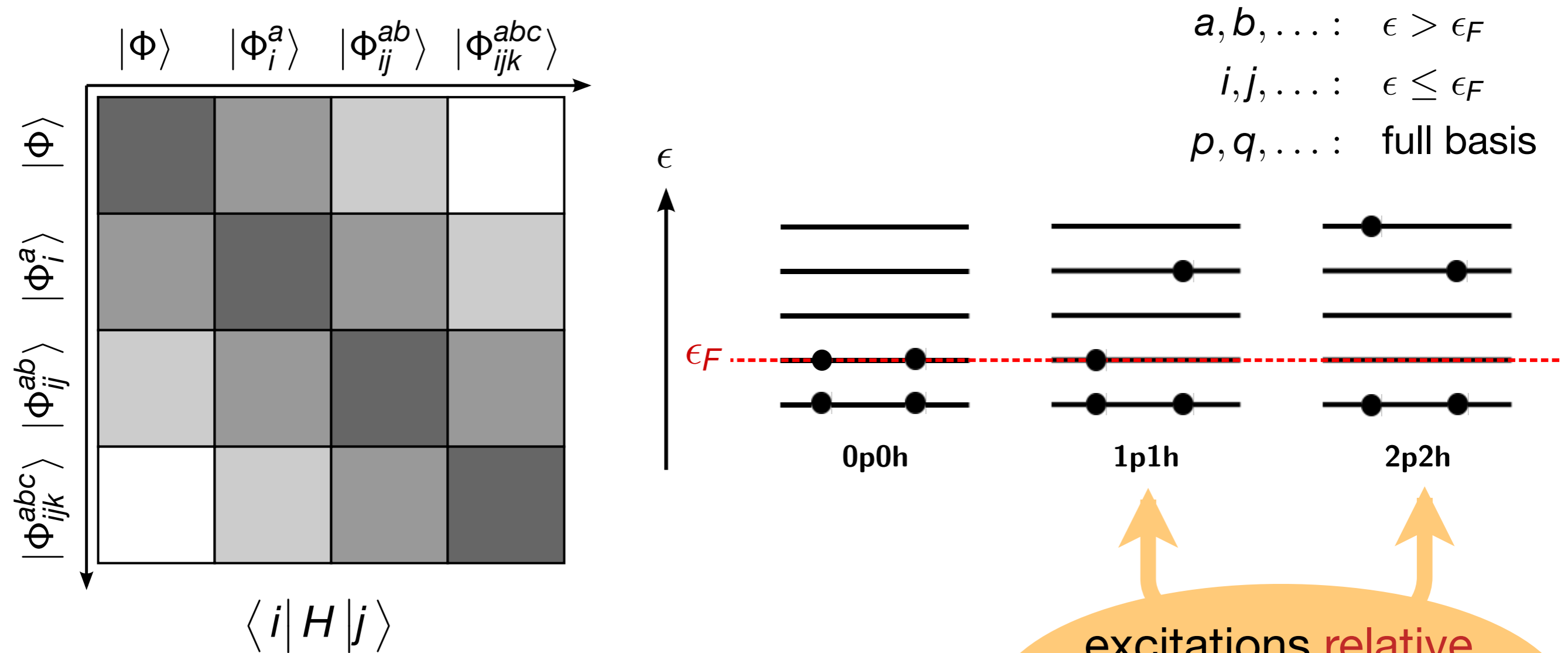
- **flow equations** for the coefficient (**coupling constants**):

$$\frac{d}{ds} c_k = \sum_{ij} g_{ijk} f_i(\{\mathbf{c}\}) c_j$$

- “obvious” choice for many-body problems:

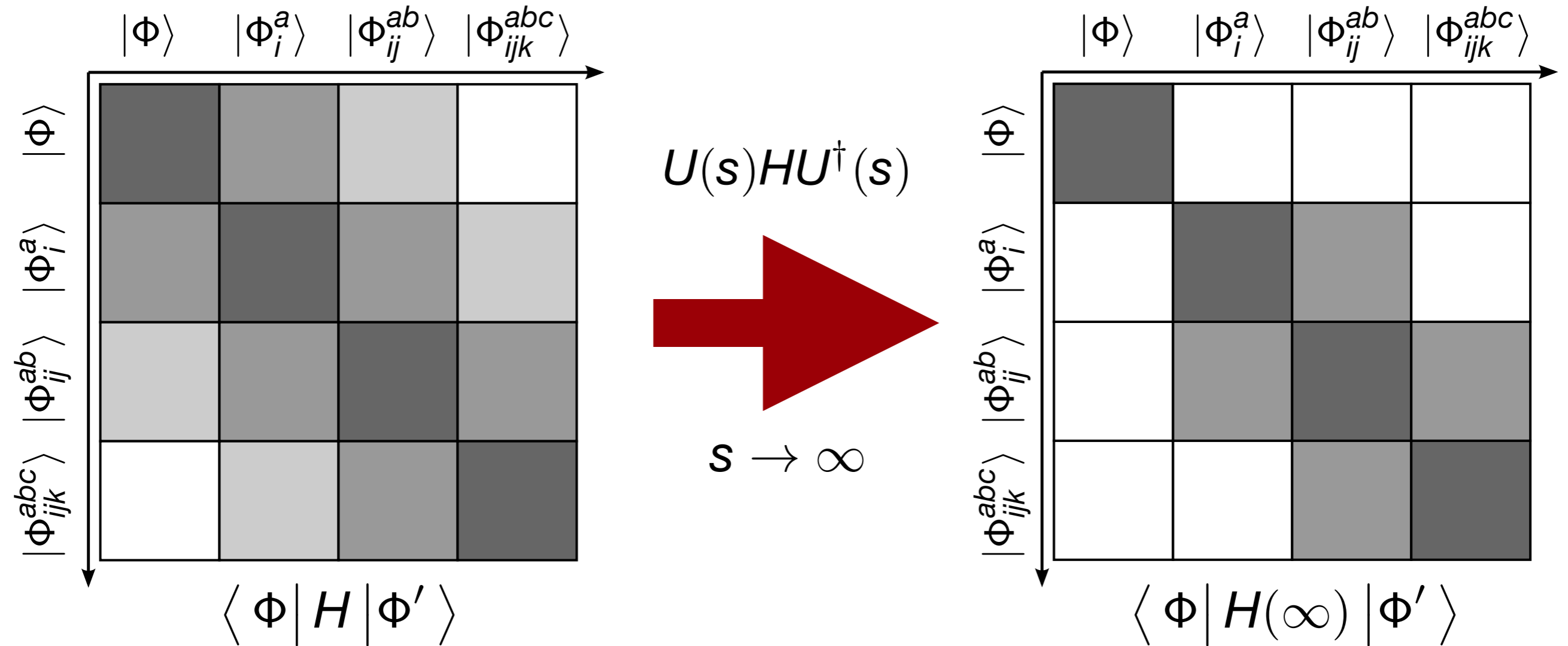
$$\{O_{pq}, O_{pqrs}, \dots\} = \{a_p^\dagger a_q, a_p^\dagger a_q^\dagger a_s a_r, \dots\}$$

Transforming the Hamiltonian



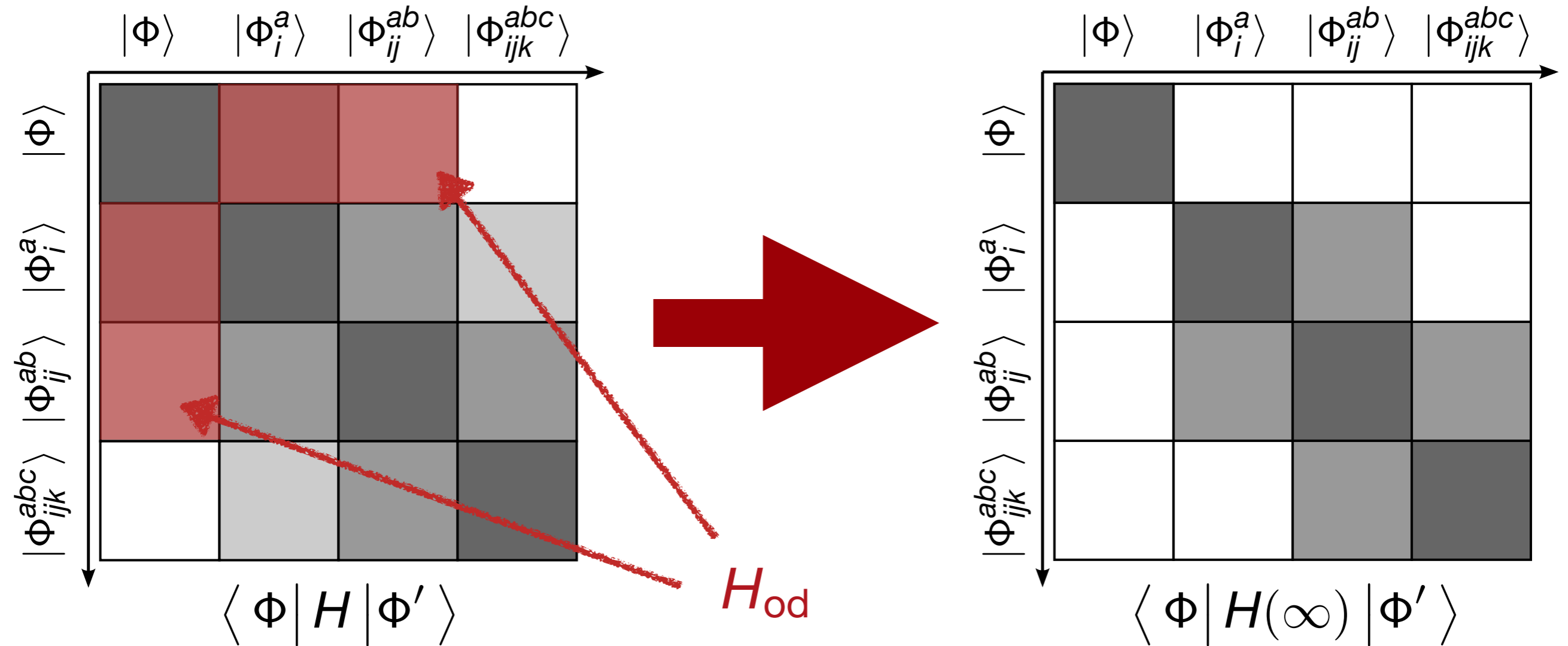
- reference state: **single Slater determinant**

Decoupling in A-Body Space



goal: decouple reference state $|\Phi\rangle$
from excitations

Flow Equation



$$\frac{d}{ds} H(s) = [\eta(s), H(s)],$$

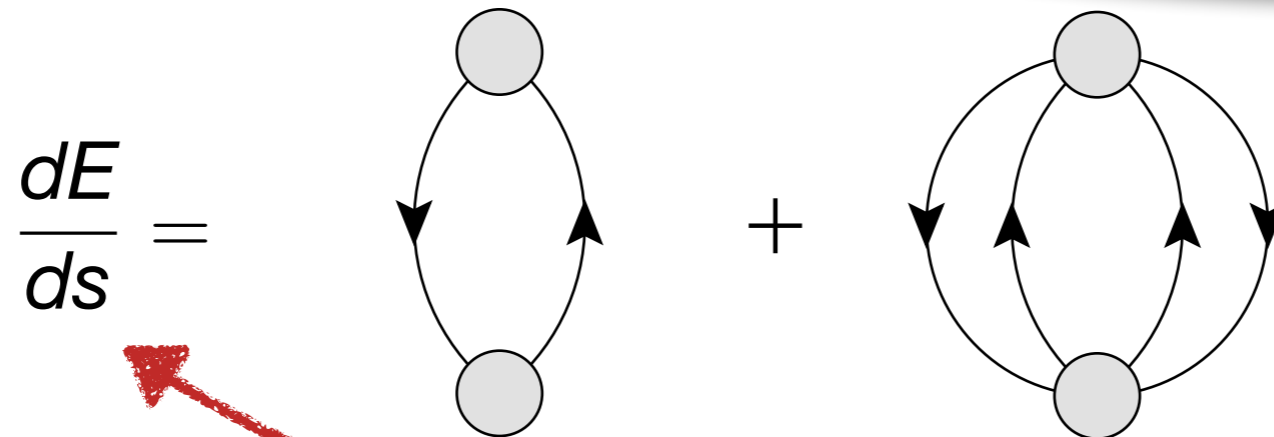
Operators truncated at **two-body level** - matrix is never constructed explicitly!

Standard IMSRG(2) Flow Equations



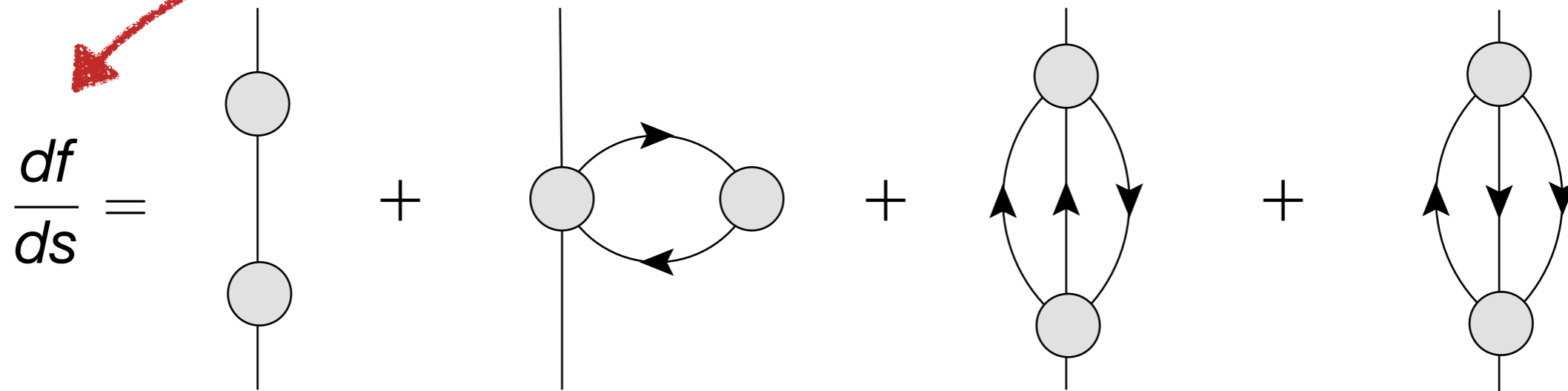
0-body Flow

~ 2nd order MBPT for $H(s)$



1-body Flow

coefficients (couplings) of $H(s)$

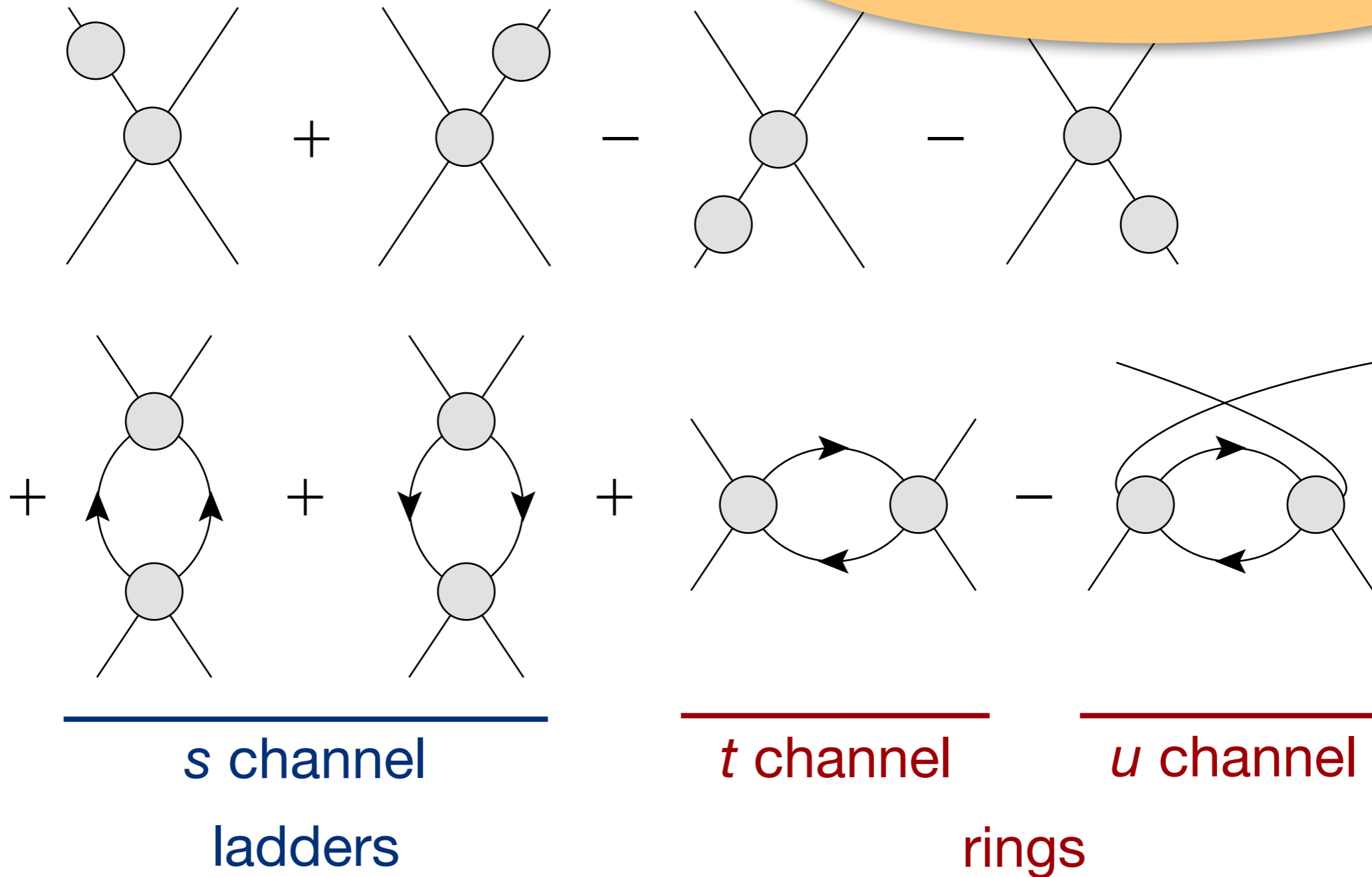


Standard IMSRG(2) Flow Equations



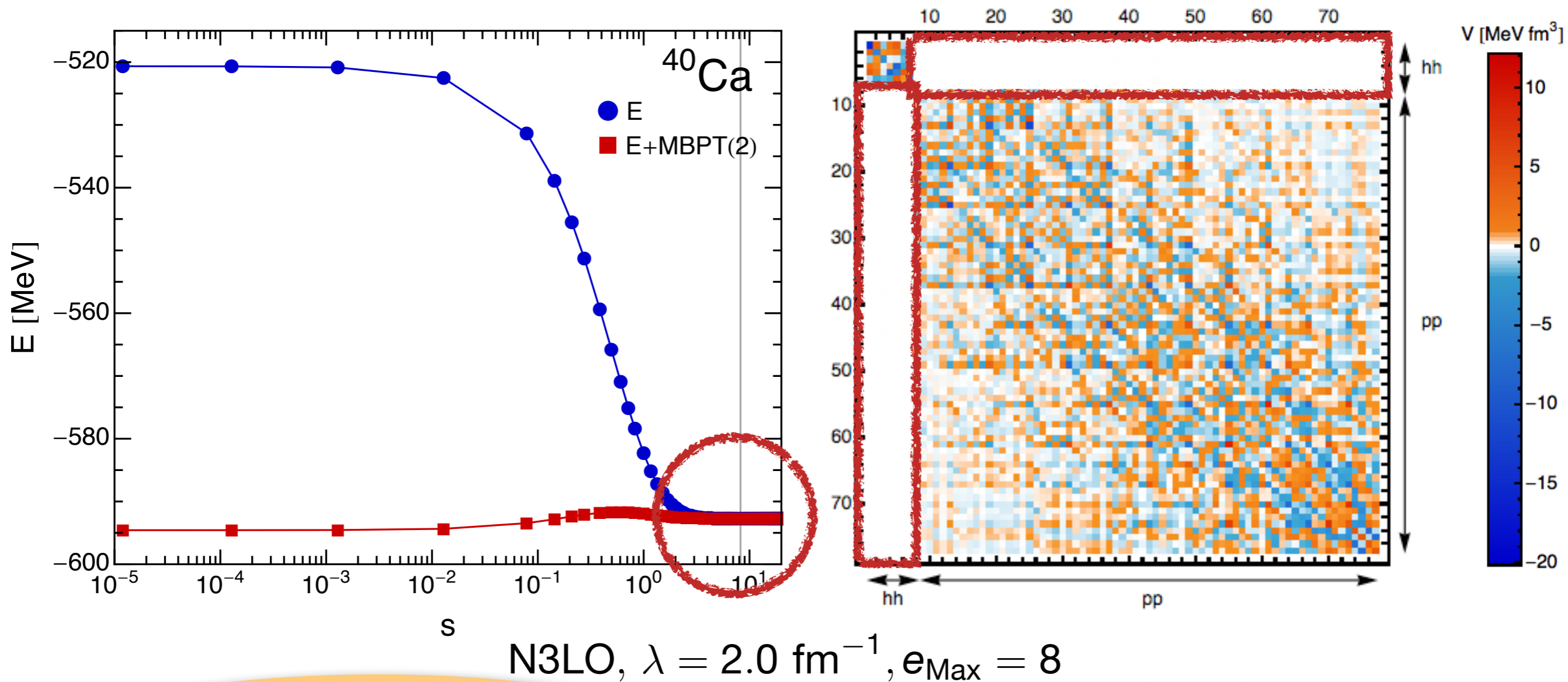
2-body Flow

$$\frac{d\Gamma}{ds} =$$



$O(N^6)$ scaling
 (before particle/hole distinction)

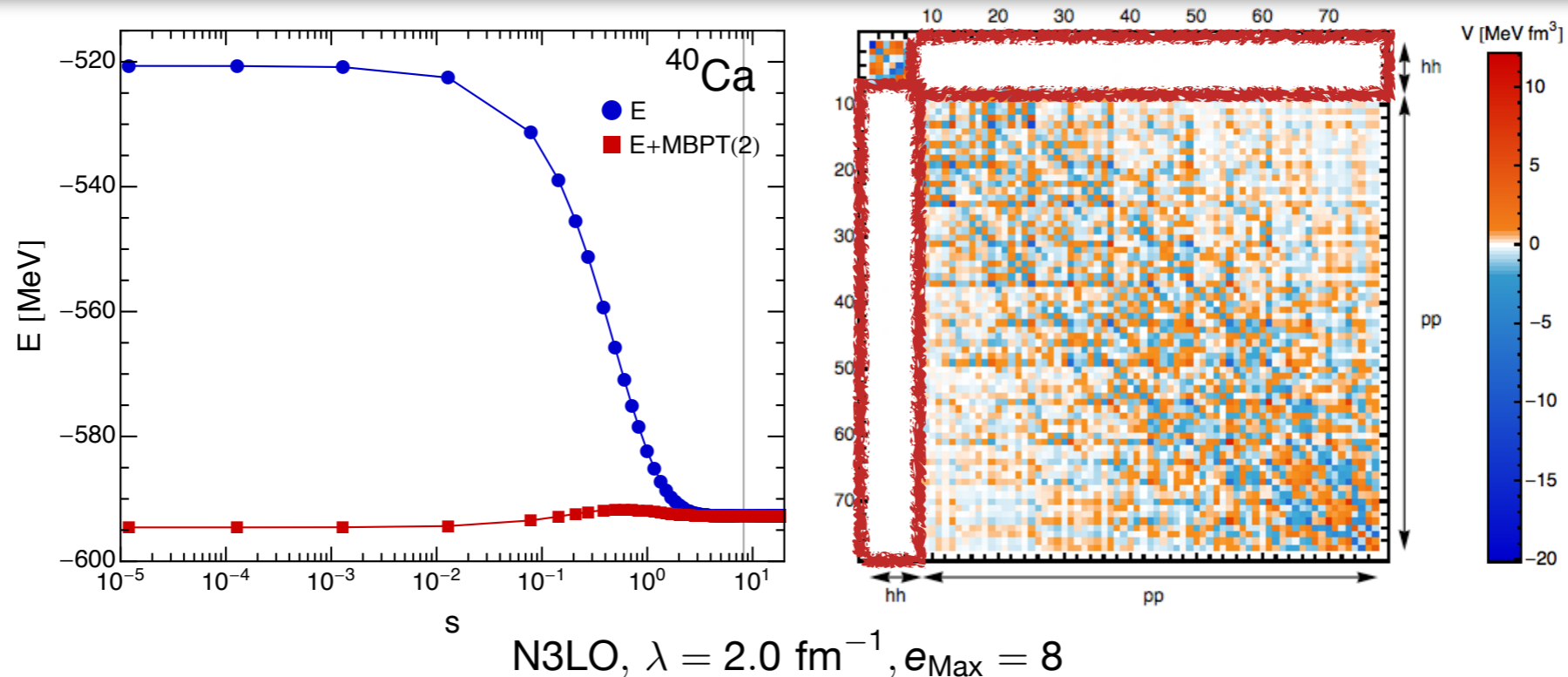
Decoupling



non-perturbative
 resummation of MBPT series
 (correlations)

off-diagonal couplings
 are rapidly driven to zero

Decoupling



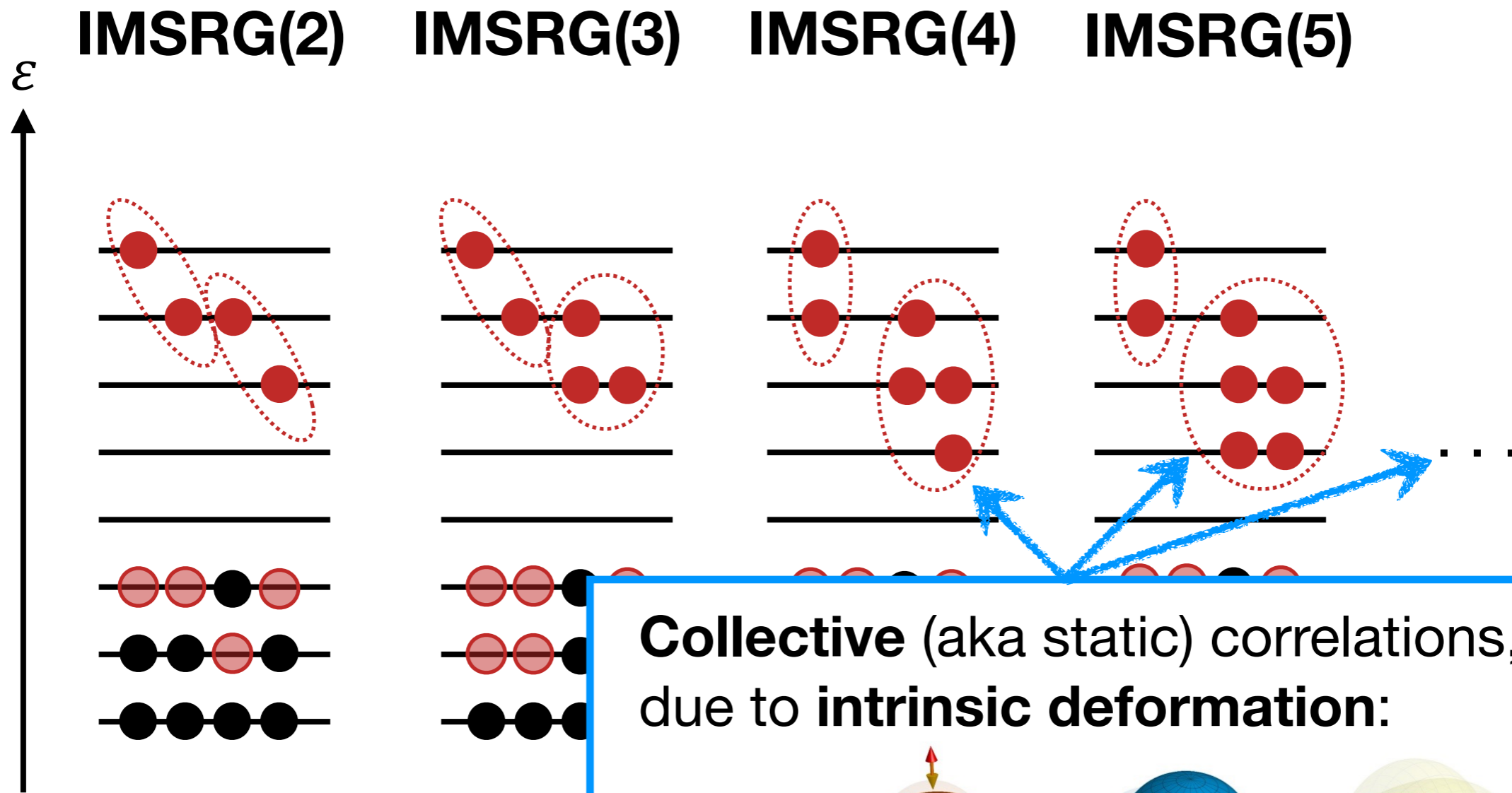
- absorb correlations into **RG-improved Hamiltonian**

$$U(s) H U^\dagger(s) U(s) |\Psi_n\rangle = E_n U(s) |\Psi_n\rangle$$

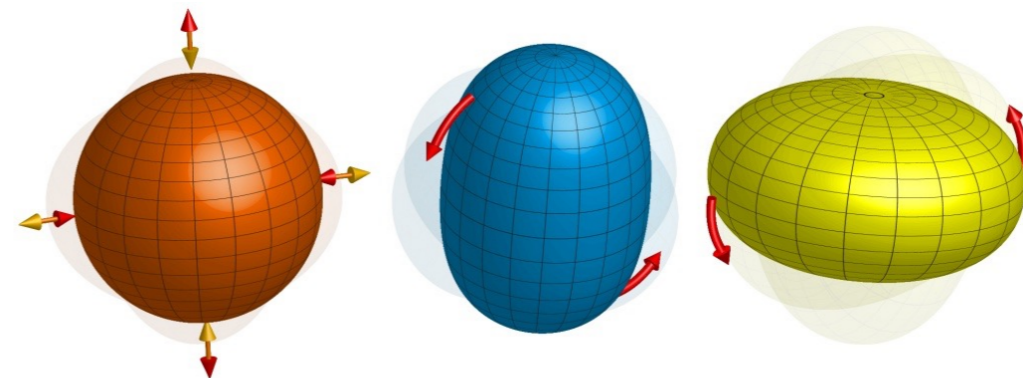
- reference state is ansatz for transformed, **less correlated** eigenstate:

$$U(s) |\Psi_n\rangle \stackrel{!}{=} |\Phi\rangle$$

Correlated Reference States

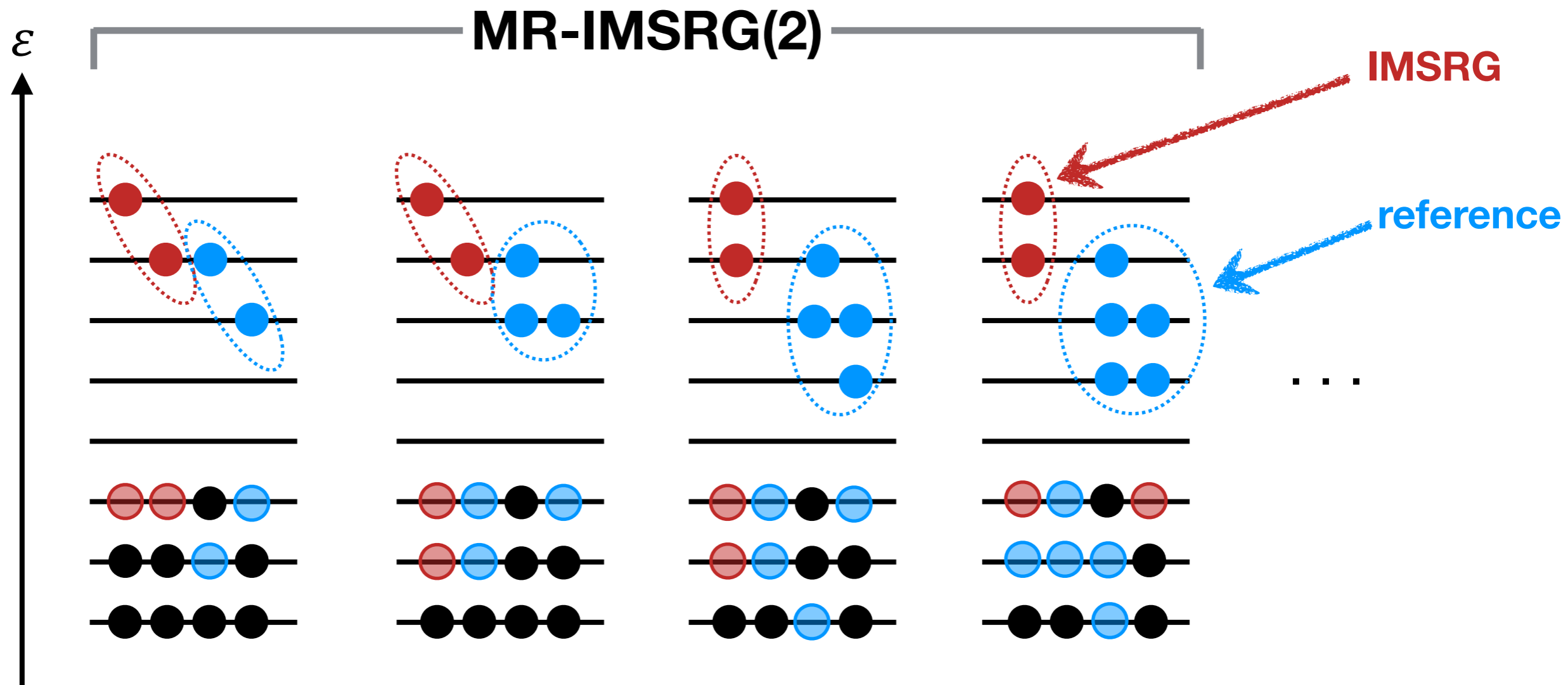


Collective (aka static) correlations, e.g. due to **intrinsic deformation**:



“standard” IMS
Slater determinan

Correlated Reference States



MR-IMSRG: build correlations on top of **already correlated** state (e.g., from a method that describes static correlation well)

IMSRG-Improved Methods



XYZ
define
reference

* mean field or
explicitly correlated

IMSRG
evolve
operators

XYZ
extract
observables

Could add
self-consistency.

- **IMSRG for closed and open-shell nuclei: IM-HF and IM-PHFB**

- HH, Phys. Scripta, Phys. Scripta 92, 023002 (2017)
- HH, S. K. Bogner, T. D. Morris, A. Schwenk, and K. Tuskuyama, Phys. Rept. 621, 165 (2016)

- **Valence-Space IMSRG (VS-IMSRG)**

- S. R. Stroberg, HH, S. K. Bogner, J. D. Holt, Ann. Rev. Nucl. Part. Sci. **69**, 165

- **In-Medium No Core Shell Model (IM-NCSM)**

- E. Gebrerufael, K. Vobig, HH, R. Roth, PRL **118**, 152503

- **In-Medium Generator Coordinate Method (IM-GCM)**

- J. M. Yao, J. Engel, L. J. Wang, C. F. Jiao, HH PRC 98, 054311 (2018)
- J. M. Yao et al., PRL 124, 232501 (2020)

XYZ
define
reference

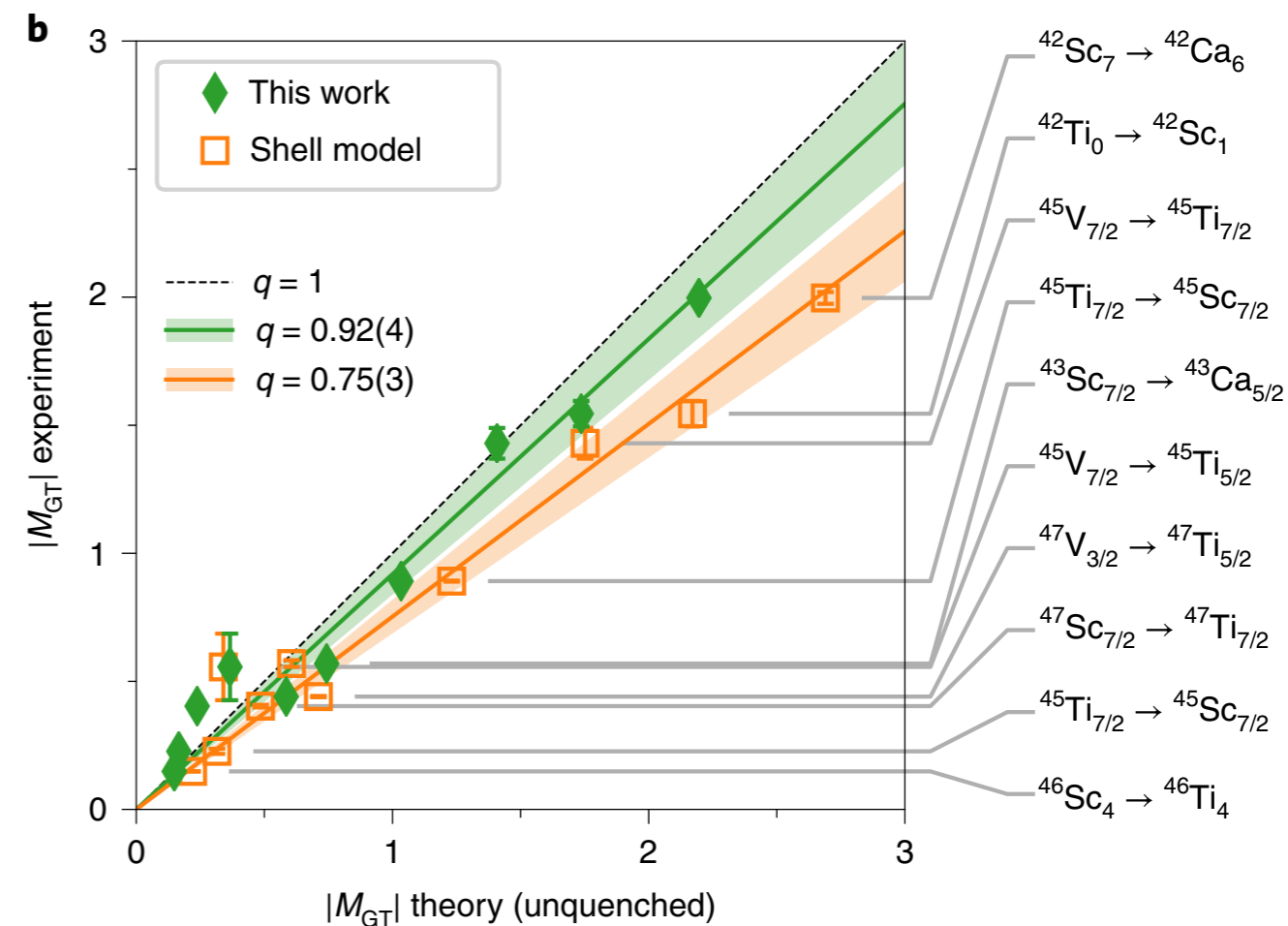
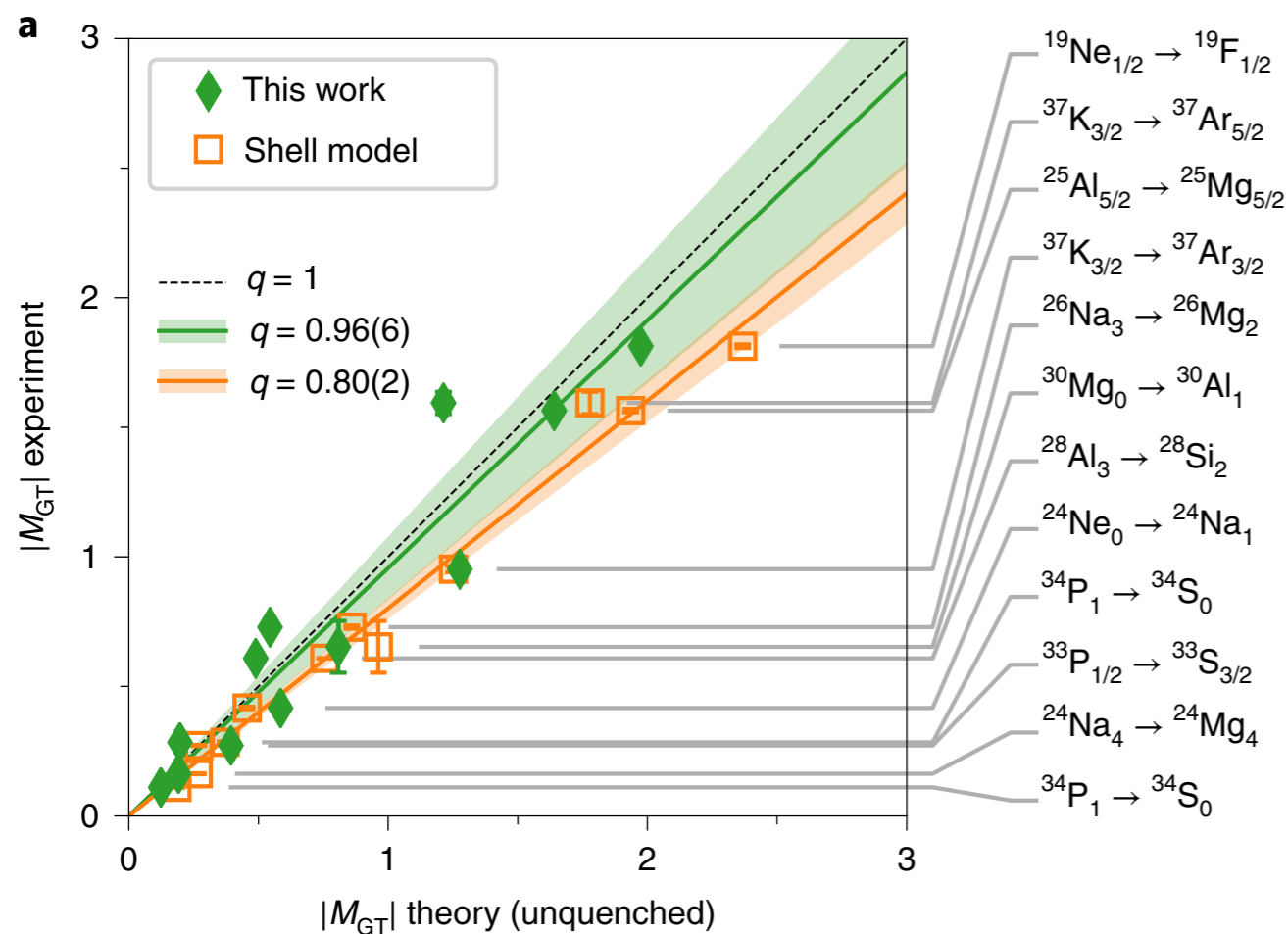
IMSRG
evolve
operators

XYZ
extract
observables

Application: Quenching of Gamow-Teller Decays



P. Gysbers et al., Nature Physics 15, 428 (2019)



- **empirical Shell model** calculations require **quenching factors** of the weak axial-vector coupling g_A
- **VS-IMSRG** explains this through consistent **renormalization** of transition operator, incl. **two-body currents**

Part II:

Entanglement

IMSRG Hybrid Approaches



- **VS-IMSRG**

[review: S. R. Stroberg, HH, S. K. Bogner, J. D. Holt, Ann. Rev. Nucl. Part. Sci **69**, 307 (2019)]

- **IM-NCSM**

[E. Gebrerufael, K. Vobig, HH, R. Roth, PRL **118**, 152503; with R. Roth, T. Mongolia, R. Wirth...]

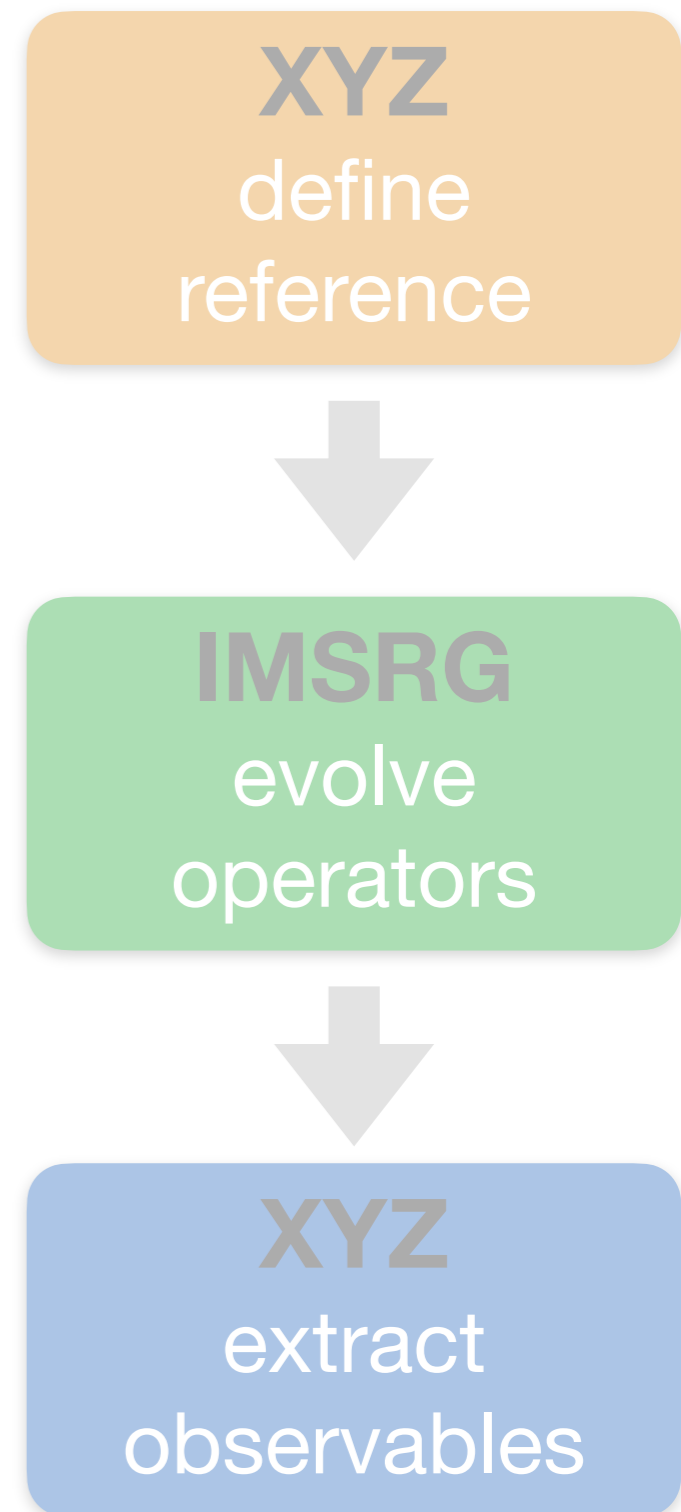
- **unbiased**

- active-space CI / FCI: **exponential scaling**

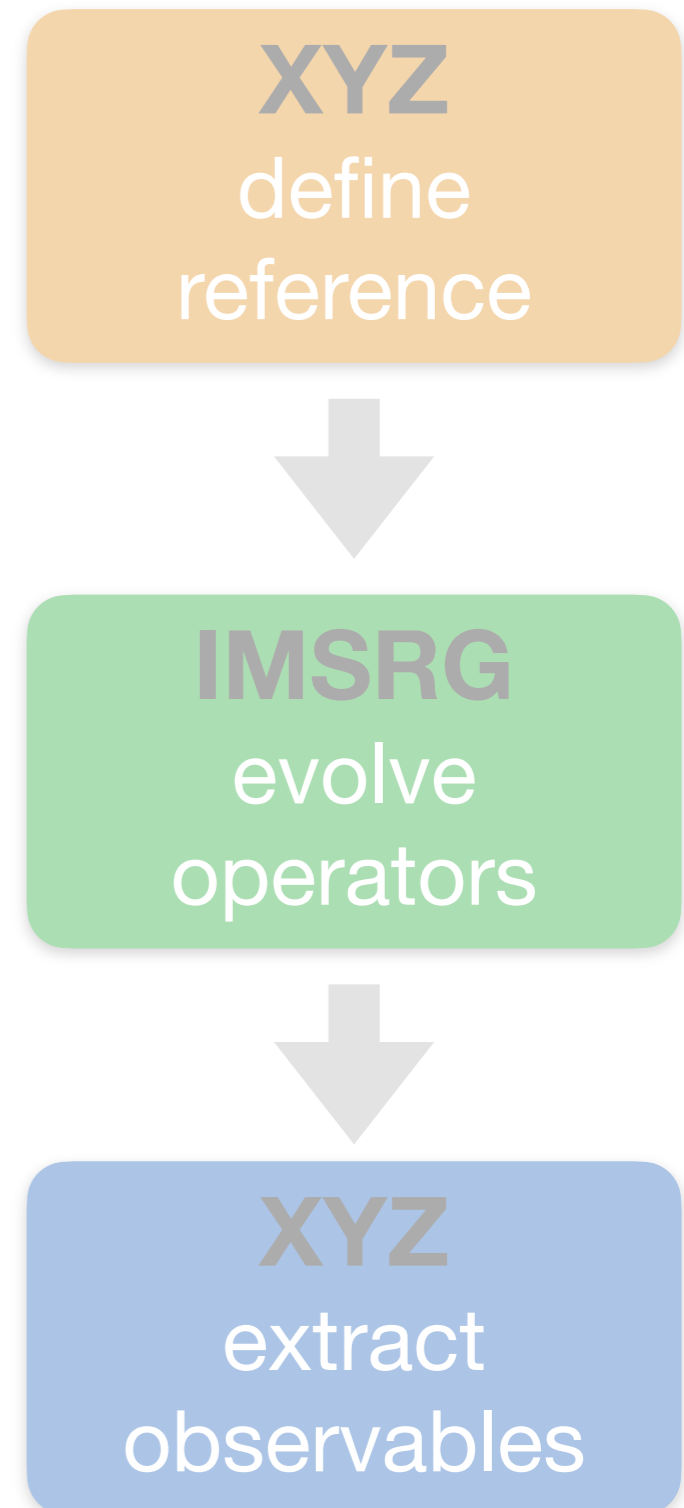
- **IM-GCM**

- requires **very few states ($O(10)$ - $O(100)$)**

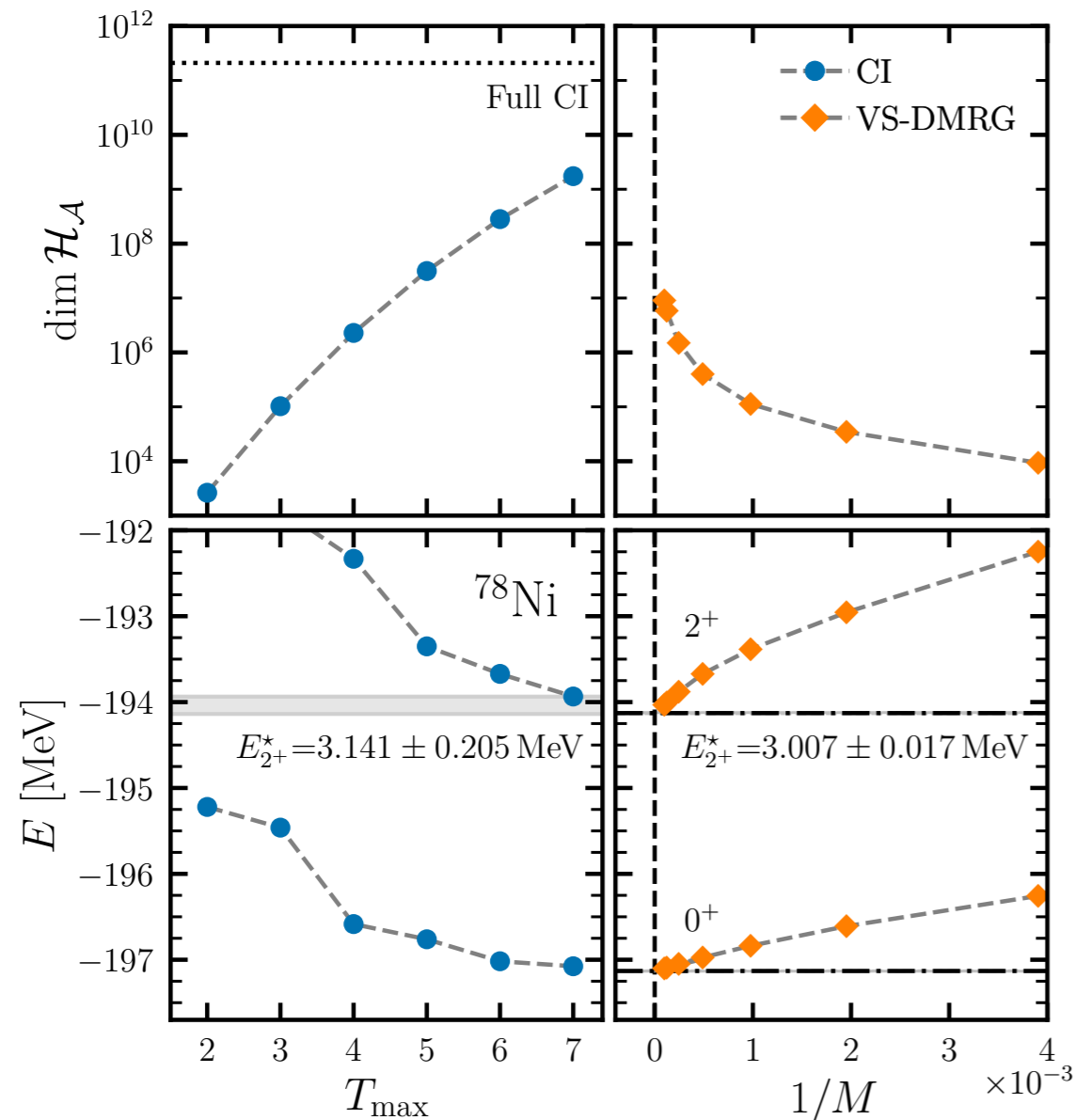
- **biased** selection of configurations and generator coordinates



- How about **IM-DMRG** (or IMSRG + other tensor network methods)?
- aka **Canonical Transformation Theory + DMRG**
[S. White, JCP **117**, 7472; Yanai et al. JCP **124**, 194106; JCP **127**, 104107; JCP **132**, 024105]
- **Efficient and unbiased ?**



- **valence-space / active space DMRG**
 - based on **empirical** interactions (= **low-resolution**)
 - **issues:** mapping of orbitals to 1D chain, implementation of symmetries
[Papenbrock & Dean, JPG 31, S1377 (2004); Thakur et al., PRC 78, 041303]
 - recent advances: better accounting for **entanglement**
[Legeza et al., PRC 02, 051303; Kruppa et al., JPG 48, 025107]
 - inclusion of **continuum** possible via Gamow-DMRG
[J. Rotureau et al., PRC 79, 014304; K. Fosseiz et al., PRC 98, 061302 and arXiv:2105.05287]
- ab initio **No-Core Gamow Shell Model / DMRG** based on RG-evolved **two-nucleon interactions**
 - **slow convergence** an issue beyond mass $A=8-10$



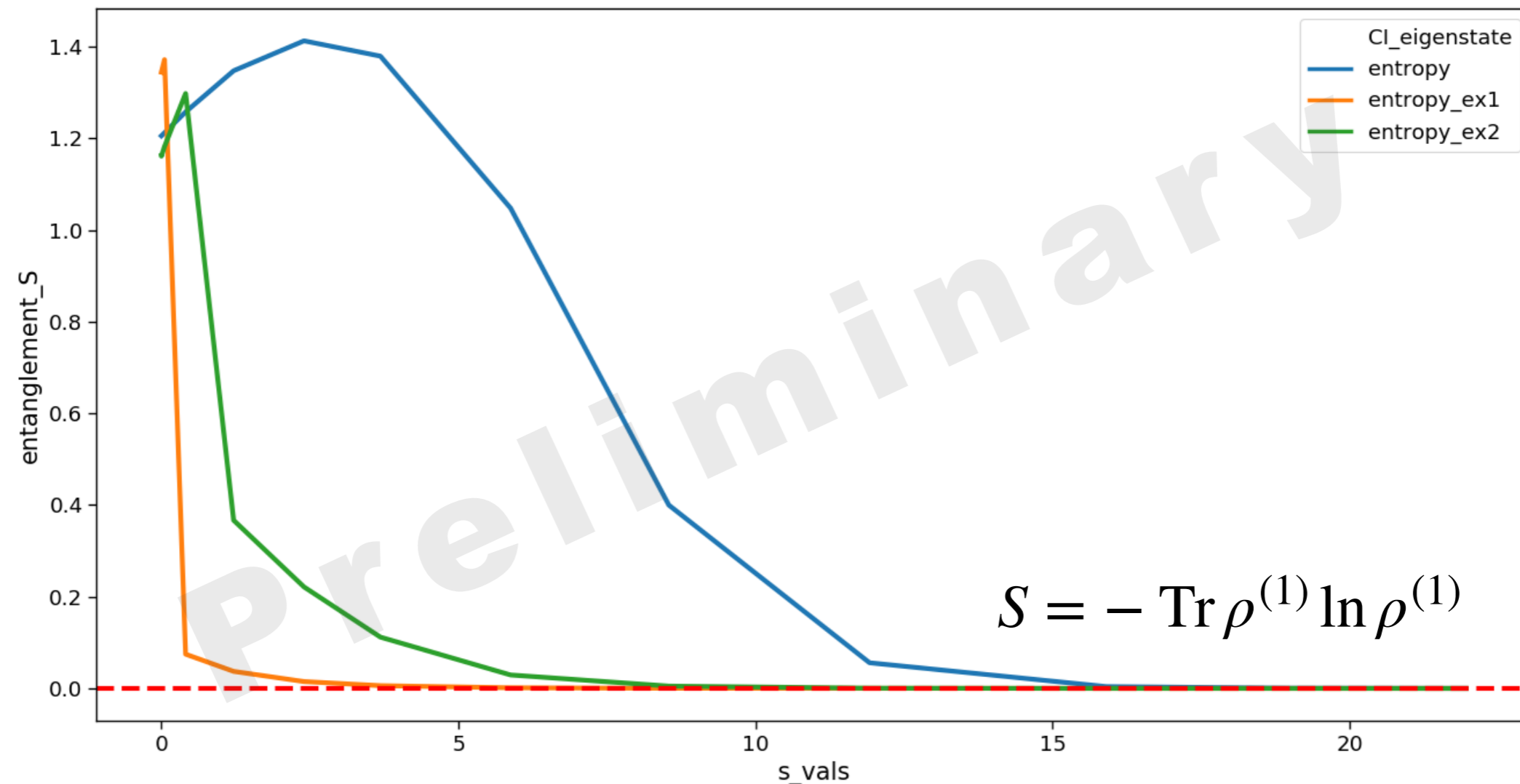
- no-core CI or DMRG with **unevolved** Hamiltonian **unfeasible** for medium-mass nuclei (Hilbert space/ bond dimension)
- **effective valence-space Hamiltonians** from IMSRG
- next: **no-core IM-DMRG** to better understand IMSRG as a disentangler [with K. Fossez (FSU), ...]
- naively: should enable smaller bond dimensions

IMSRG as a Disentangler



Pairing model $g = 1.20$, $pb = 0.00$

[figures by J. Davison]



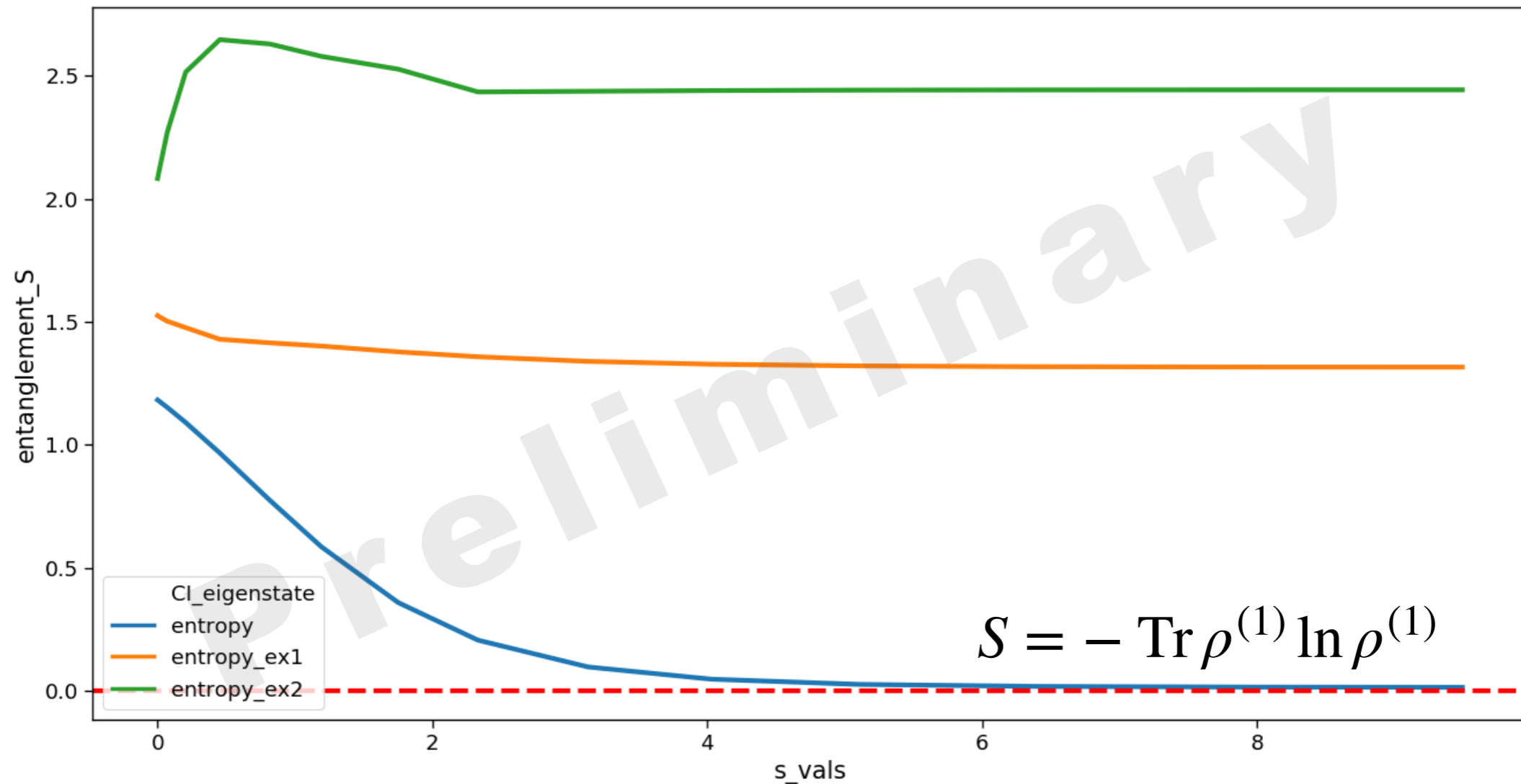
- IMSRG maps **interacting ground state to reference state** (here, a Slater determinant)
- eigenstates with similar structure (fully paired) are mapped onto Slater determinants by the same transformation

IMSRG as a Disentangler



Pairing model $g = 1.20$, $pb = 0.20$

[figures by J. Davison]



- ground-state mapping still successful for more “complex” Hamiltonian (pairing plus pair-breaking)

- **entanglement-based generators** for the IMSRG ?
 - need to translate entanglement from wave function property into operator property, e.g., **entangling power** [see, e.g., Zanardi et al., PRA **62**, 030301; Beane & Farrell, Ann. Phys. 433, 168581]
- (IM)SRG transformations as **disentangler**s in tensor networks? Benefits compared to variational approaches?
- **Tensor network structure** of the IMSRG transformation / wave function $|\Psi\rangle = U(s) |\Phi_{\text{ref}}\rangle$?
- relation with tensor networks, e.g., (c)MERA ? [Haegemann et al., PRL **100**, 100402], ...
- **And probably many more... I'm happy to discuss!**

Part III:

Model-Order Reduction

- “obvious” operator basis for many-body problems:

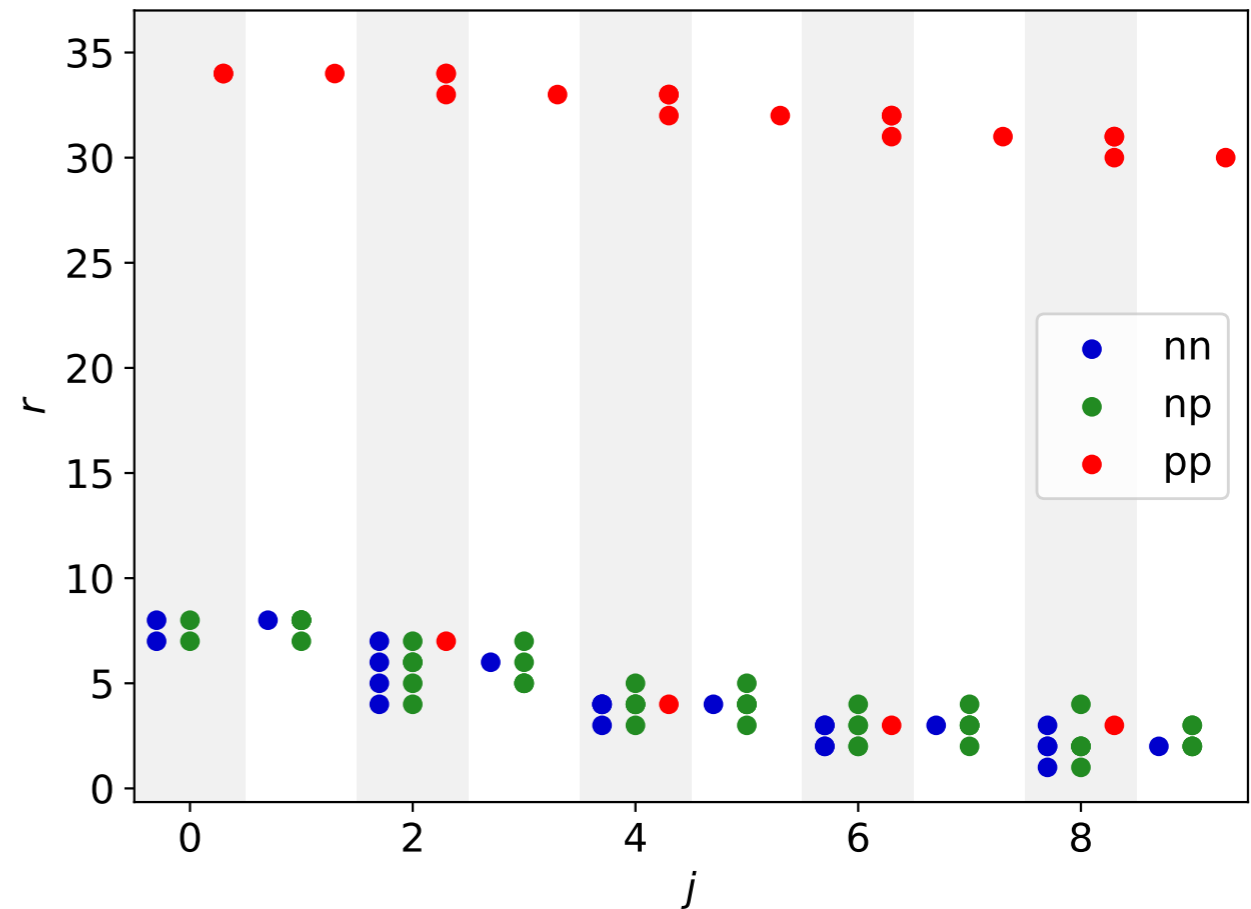
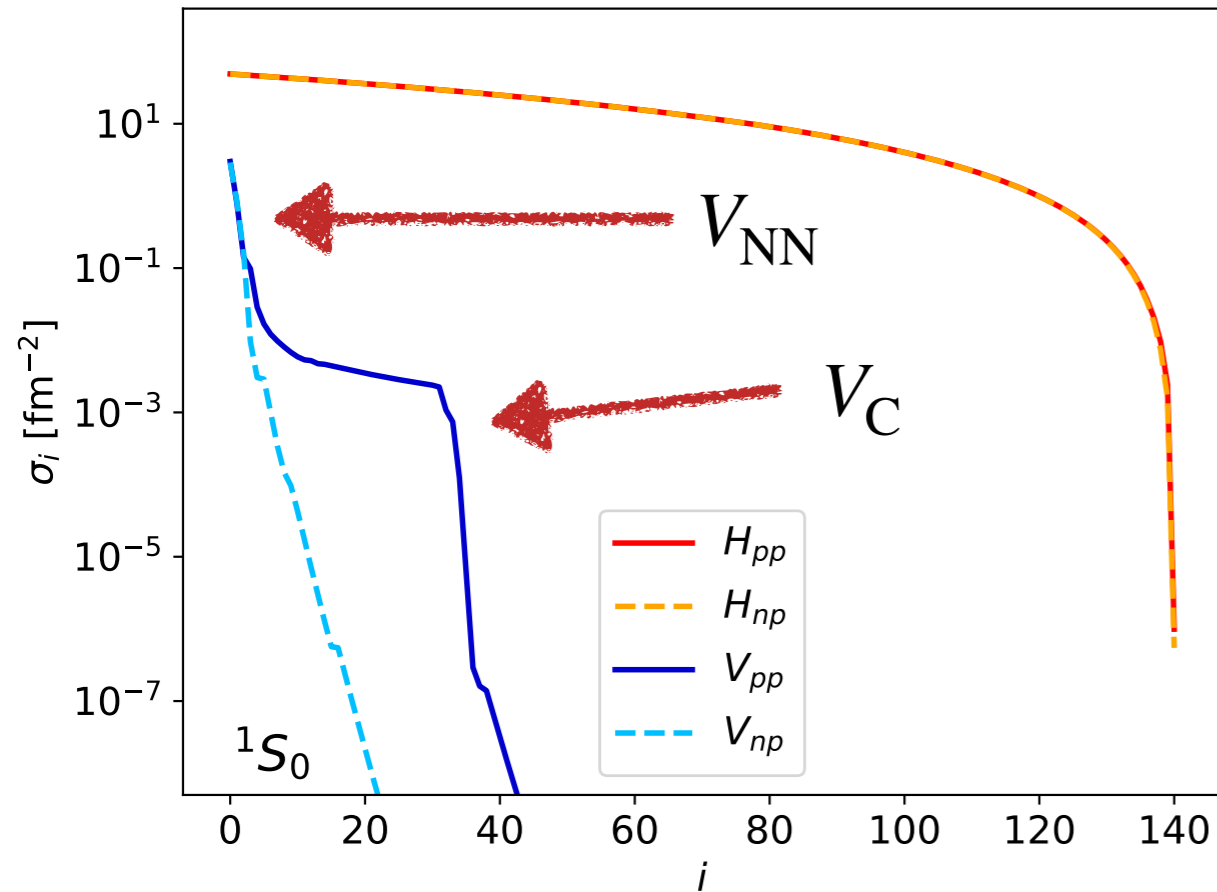
$$\{O_{pq}, O_{pqrs}, O_{pqrst}, \dots\} \equiv \{a_p^\dagger a_q, a_p^\dagger a_q^\dagger a_s a_r, a_p^\dagger a_q^\dagger a_r^\dagger a_u a_t a_s, \dots\}$$

- state of the art: $O(10^8)$ operators & coupling coefficients,
next-level: $O(10^{12})$ or even more
 - normal ordering “informs” the operator basis of physics, but doesn’t change its size
 - **in contrast:** $O(10)$ interaction **operators** (even with $3N$), $O(100)$ particles - there must be **lots of redundancy**
- ➔ **principal component analysis & tensor factorization**

Factorized Interactions



B. Zhu, R. Wirth, HH, PRC **104**, 044002 (2021)

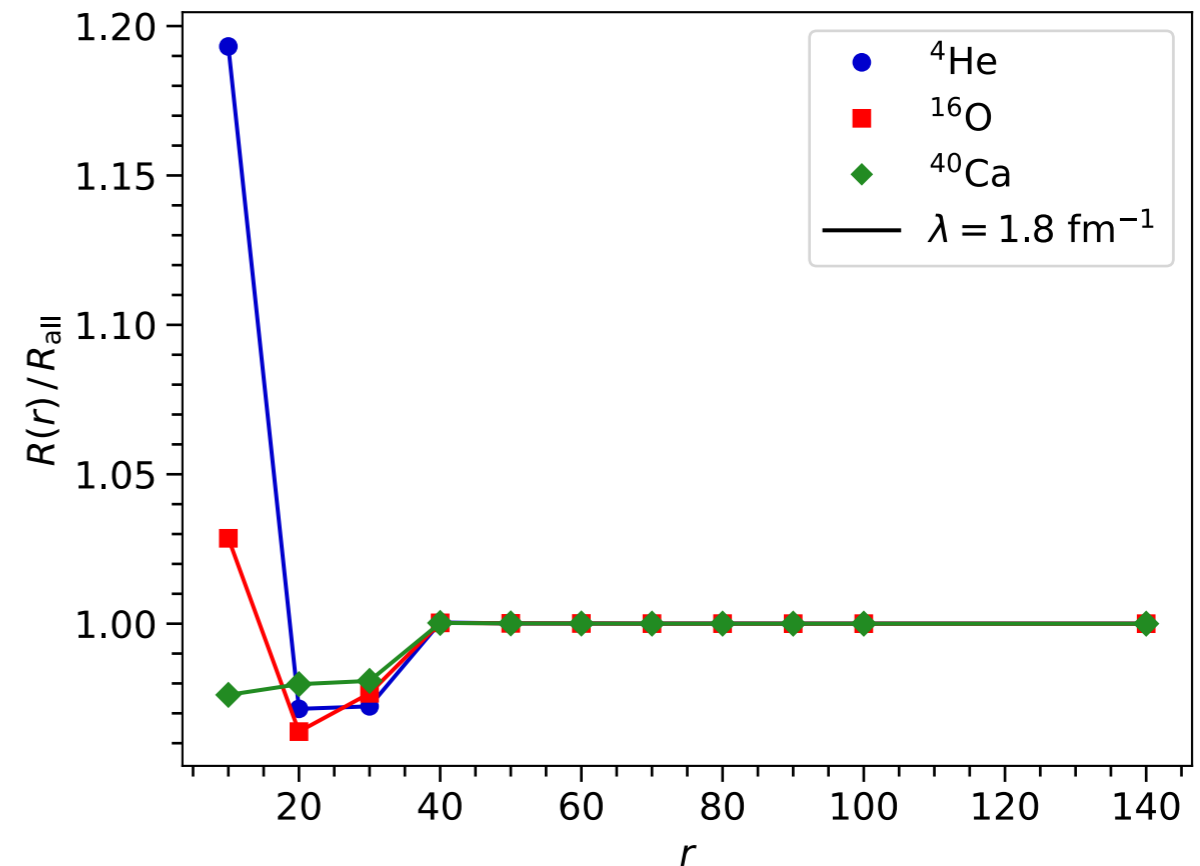
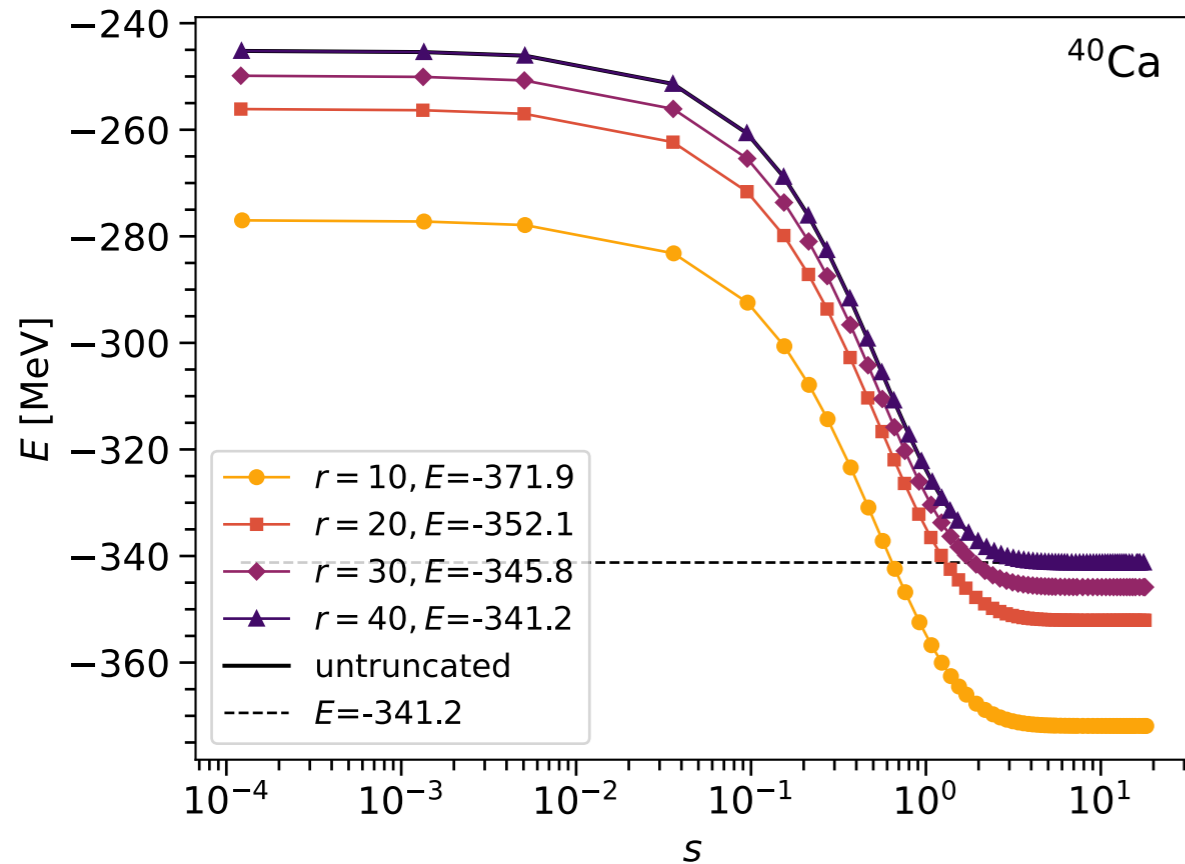


- $O(10)$ operators, $O(100)$ particles, but $O(10^8-10^{12})$ flow equations, basis dimension... there must be **redundancy**
- **NN interaction:** 5-10 SVD components (**short range**)
- **Coulomb interaction:** less well-behaved, but $\sim 25-30$ components sufficient (**long range, no explicit scale**)

Factorized Interactions



B. Zhu, R. Wirth, HH, PRC 104, 044002 (2021)

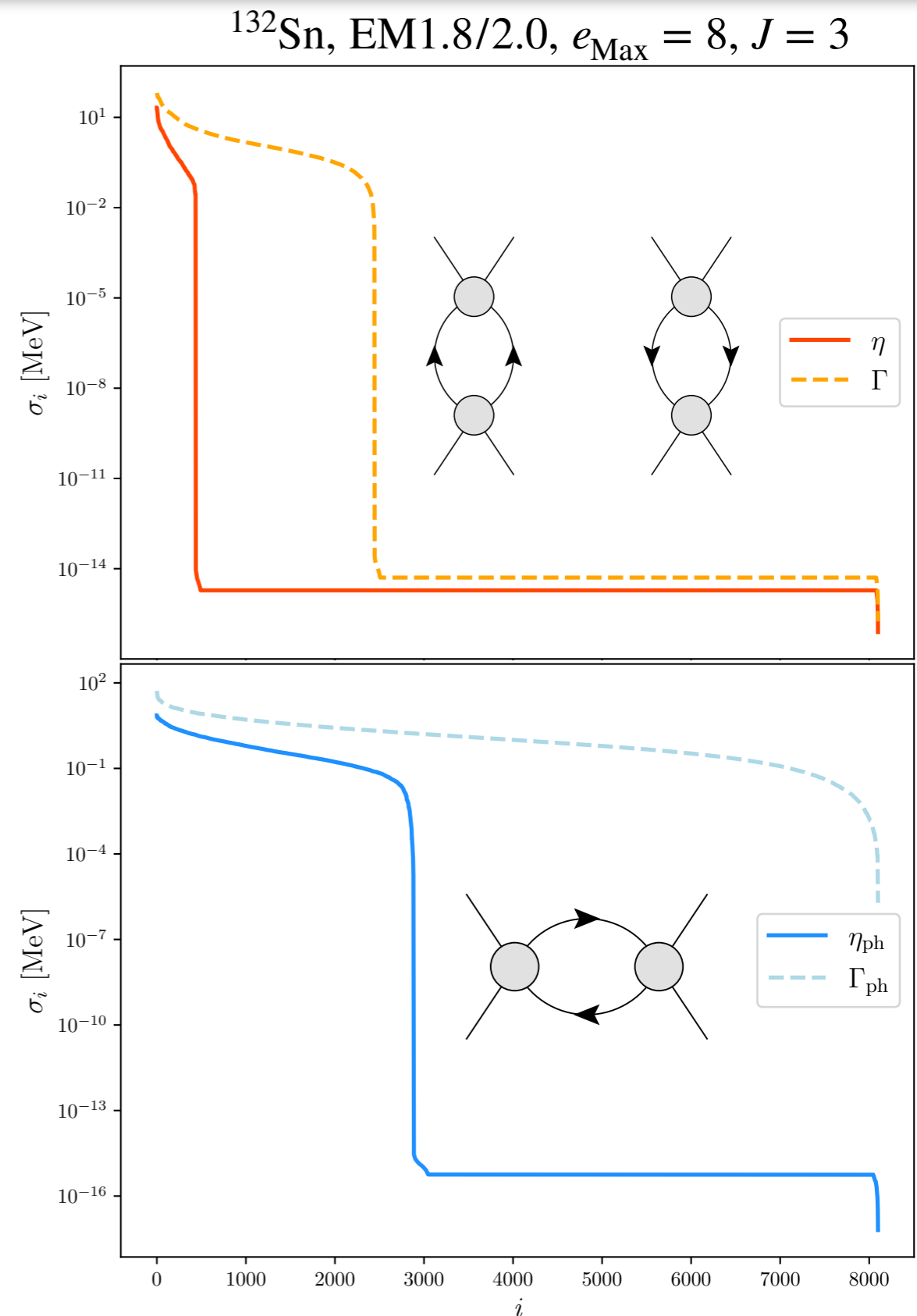


- implementing factorized SRG flow has **no adverse affect** on other observables / expectation values
- **But:** rank is **inflated** when we transform to single-particle coordinates (lab frame) - can **tensor representations** help?

Low-Rank Structures in Flow Equations



- η, H_{od} coefficient tensors can have inherent reduced rank based on definition
- SVD rank depends on **type of representation**: particle-particle/ladder vs. particle-hole/ring
- **problem**: need both representations in 2B flow equation - either ladder or ring terms prevent reduction
- **next**: tensor decompositions, but symmetries might cause issues (?)



Dynamic Mode Decomposition



S. L. Brunton et al., arXiv:2102.12086

Kutz et al., "Dynamic Mode Decomposition" (SIAM, 2016), <https://www.dmdbook.com>

- create snapshot matrices of discretized dynamic system

$$\mathbf{X} = (\mathbf{h}_0 \quad \cdots \mathbf{h}_{n-1}), \quad \mathbf{X}' = (\mathbf{h}_1 \quad \cdots \mathbf{h}_n)$$

- express evolution with the help of the **Koopman operator** \mathbf{K}

$$\mathbf{h}_{i+1} = \mathbf{K}\mathbf{h}_i \quad \rightarrow \quad \mathbf{X}' = \mathbf{K}\mathbf{X}$$

- take the Moore-Penrose pseudo-inverse \mathbf{X}^+ to compute an (approximate) matrix representation of \mathbf{K} :

$$\mathbf{K} = \mathbf{X}'\mathbf{X}^+$$

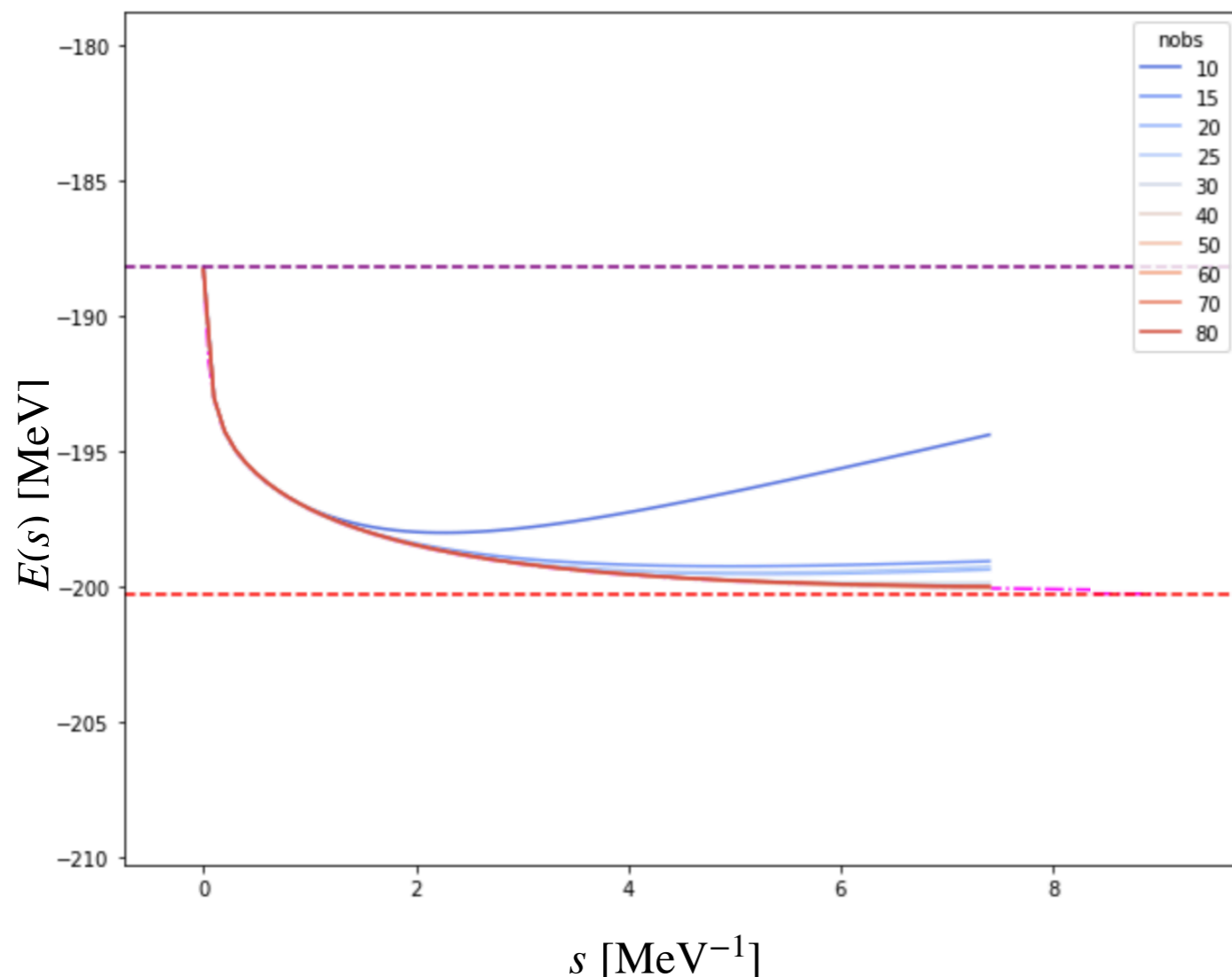
- solve **eigenvalue problem** for Koopman operator to construct **reduced basis** of **dynamic modes**

Application: Emulating IMSRG Flows



J. Davison, J. Crawford, S. Bogner, HH, in preparation

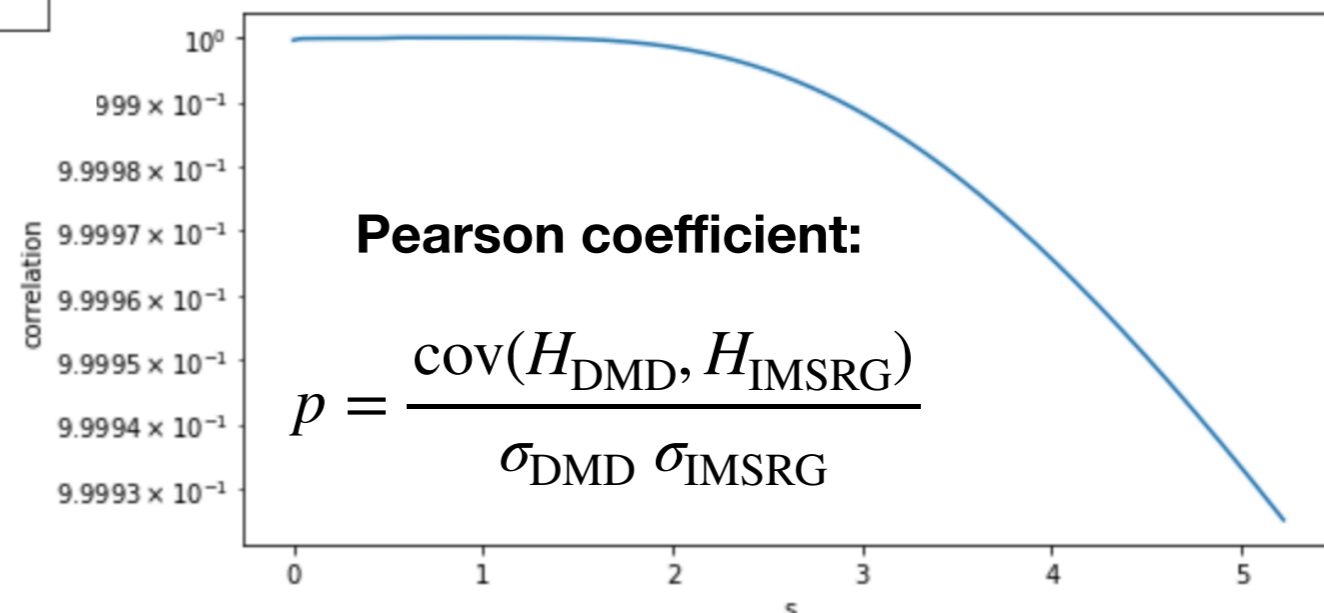
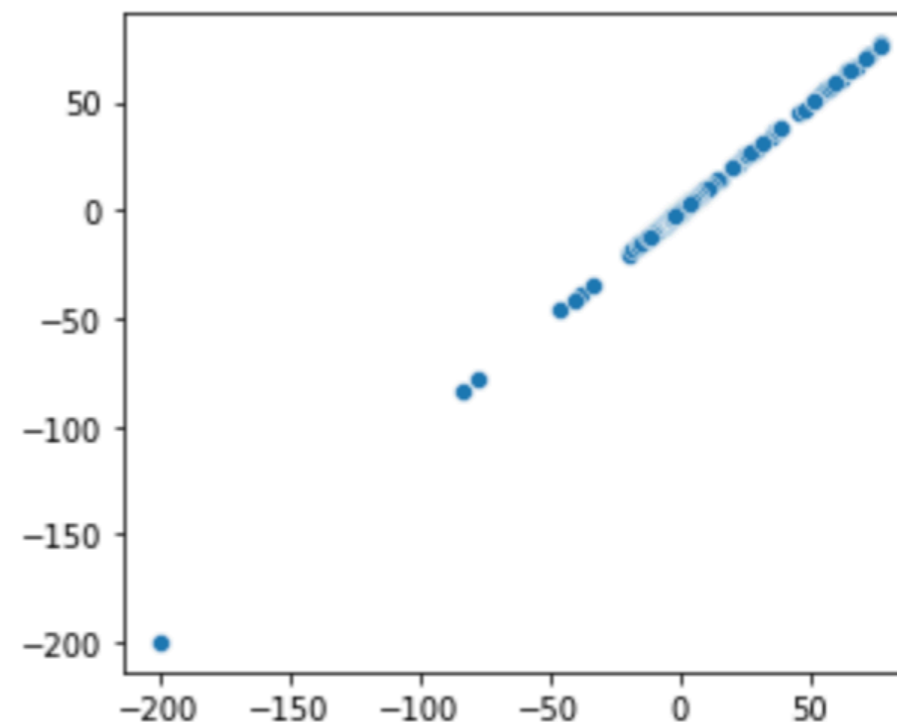
EM(500) N³LO, $\lambda = 2.0 \text{ fm}^{-1}$



Dynamic Mode Decomposition emulator “learns” **all flowing operator coefficients** from snapshots!

$H_{\text{DMD}}(s)$ vs. $H_{\text{IMSRG}}(s)$

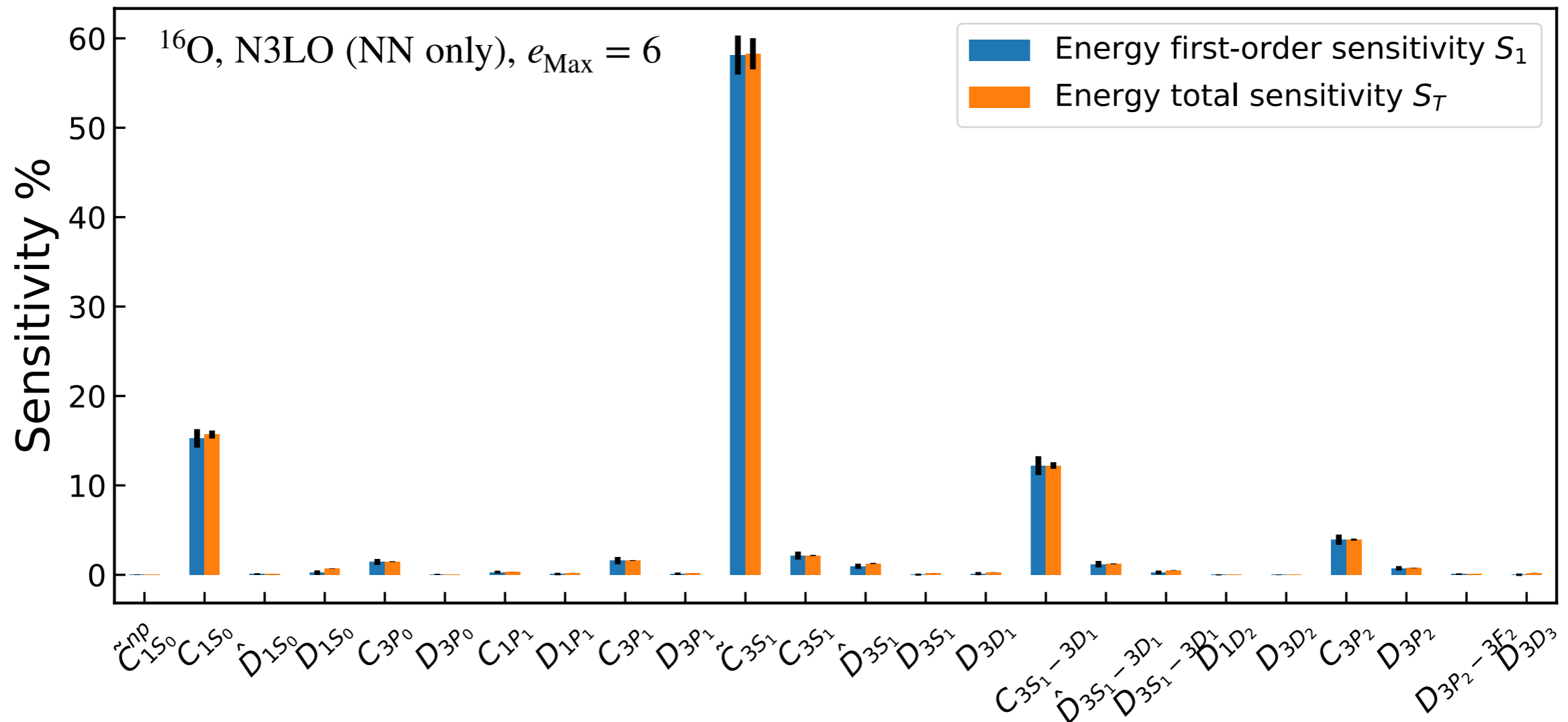
$s = 5.25$



Application: Sensitivity Analysis & UQ



J. Davison, J. Crawford, S. Bogner, HH, in preparation



- reduction to **dominant DMD modes** allows sensitivity studies & uncertainty quantification (**while still generating full $H(s)$**)
- showing 200k+ Monte Carlo samples in LEC parameter space:
4-5 order of magnitude computing time reduction

Epilogue

- Nuclear many-body theory plays a crucial role in answering a variety of fundamental questions
 - need **predictive *ab initio* theory** with systematic uncertainties & convergence to exact result
 - expand capabilities: spectra, radii, transitions, **clustering**, bridge to **dynamics /reactions...**
 - **scalable** methods: from day-to-day data analysis to leadership calculations
- **(How) Can we unlock more efficiency?**
 - apply quantum information theory (**entanglement**)
 - DMRG, tensor networks, ... (**improve scaling**)

Acknowledgments



S. K. Bogner, B. A. Brown, J. Davison, M. Hjorth-Jensen, D. Lee, R. Wirth, B. Zhu
FRIB, Michigan State University

J. M. Yao
Sun Yat-sen University

S. R. Stroberg
University of Notre Dame

J. Engel
University of North Carolina - Chapel Hill

A. Belley, J. D. Holt, P. Navrátil
TRIUMF, Canada

C. Haselby, M. Iwen, A. Zare
CMSE, Michigan State University

B. Bally, T. Duguet, M. Frosini, V. Somà
CEA Saclay, France

P. Arhuis, K. Hebel, M. Heinz, R. Roth, T. Mongelli, T. Miyagi, A. Schwenk, A. Tichai
TU Darmstadt

A. M. Romero
Universitat de Barcelona, Spain

T. R. Rodríguez
Universidad Complutense de Madrid, Spain

K. Fosse
Florida State University

G. Hagen, G. Jansen, J. G. Lietz, T. D. Morris, T. Papenbrock
UT Knoxville & Oak Ridge National Laboratory

R. J. Furnstahl
The Ohio State University

and everyone I forgot to list...

Grants: US Dept. of Energy, Office of Science, Office of Nuclear Physics **DE-SC0017887**, **DE-SC0023516**, as well as **DE-SC0018083**, **DE-SC0023175** (SciDAC NUCLEI Collaboration)



Postdoctoral Position @ FRIB



- **focus:** extensions of IMSRG Framework and applications (incl. fundamental symmetries)
- broad portfolio of nuclear theory research @ FRIB, great opportunities for collaboration
- 2 years (+ possible renewal)
- Contact me: hergert@frib.msu.edu ...
- ... or apply directly at <https://careers.msu.edu/en-us/job/513047/research-associatefixed-term>
- **review of applications has started, but will continue until position is filled**
- **Please encourage suitable candidates to apply!**

Supplements

Sources of Uncertainty



Chiral EFT



RG
(similarity trafos)



**many-body
method**

- selection of degrees of freedom
 - regulators
 - truncation
 - low-energy constant (**LEC**) uncertainties
-
- selection of operator basis / model space
 - truncation
-
- symmetry restrictions
 - model-space & many-body truncation(s)
 - continuum

Nuclear Interactions from Chiral Effective Field Theory

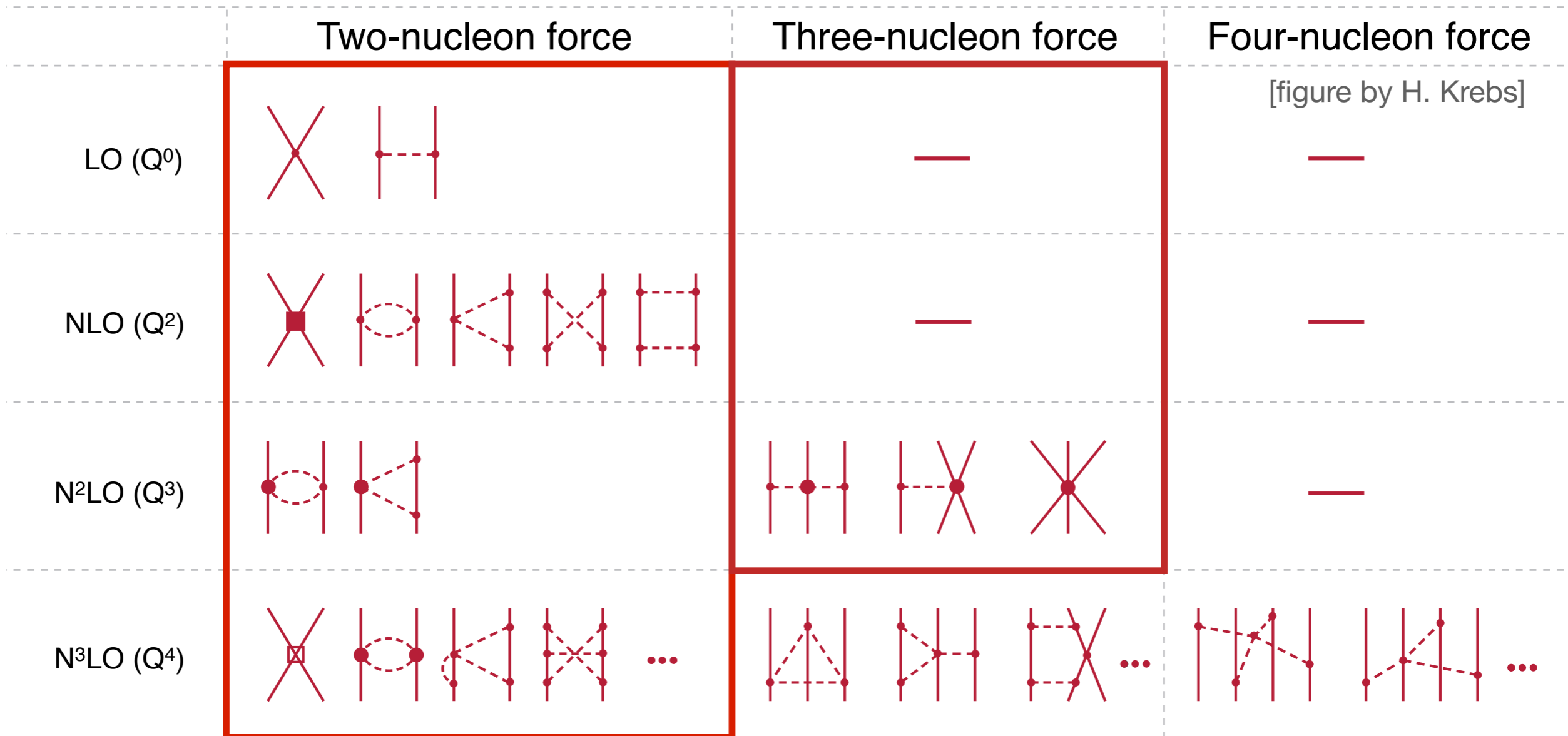
Recent(-ish) Reviews:

E. Epelbaum, H. Krebs and P. Reinert, *Front. Phys.* **8**, 98 (2002)

M. Piarulli and I. Tews, *Front. Phys.* **7**, 245 (2020)

R. Machleidt and F. Sammarruca, *Phys. Scripta* **91**, 083007 (2016)

Interactions from Chiral EFT



- organization in powers $(Q/\Lambda_\chi)^\nu$ allows **systematic improvement**
- low-energy constants **fit to NN, 3N data** (future: from Lattice QCD (?))
- **consistent NN, 3N, ... interactions & operators (electroweak transitions!)**

Basic Idea

continuous unitary transformation of the Hamiltonian to band-diagonal form w.r.t. a given “uncorrelated” many-body basis

- **flow equation** for Hamiltonian $H(\mathbf{s}) = U(\mathbf{s})H U^\dagger(\mathbf{s})$:

$$\frac{d}{ds}H(\mathbf{s}) = [\eta(\mathbf{s}), H(\mathbf{s})], \quad \eta(\mathbf{s}) = \frac{dU(\mathbf{s})}{ds}U^\dagger(\mathbf{s}) = -\eta^\dagger(\mathbf{s})$$

- choose $\eta(\mathbf{s})$ to achieve desired behavior, e.g.,

$$\eta(\mathbf{s}) = [H_d(\mathbf{s}), H_{od}(\mathbf{s})]$$

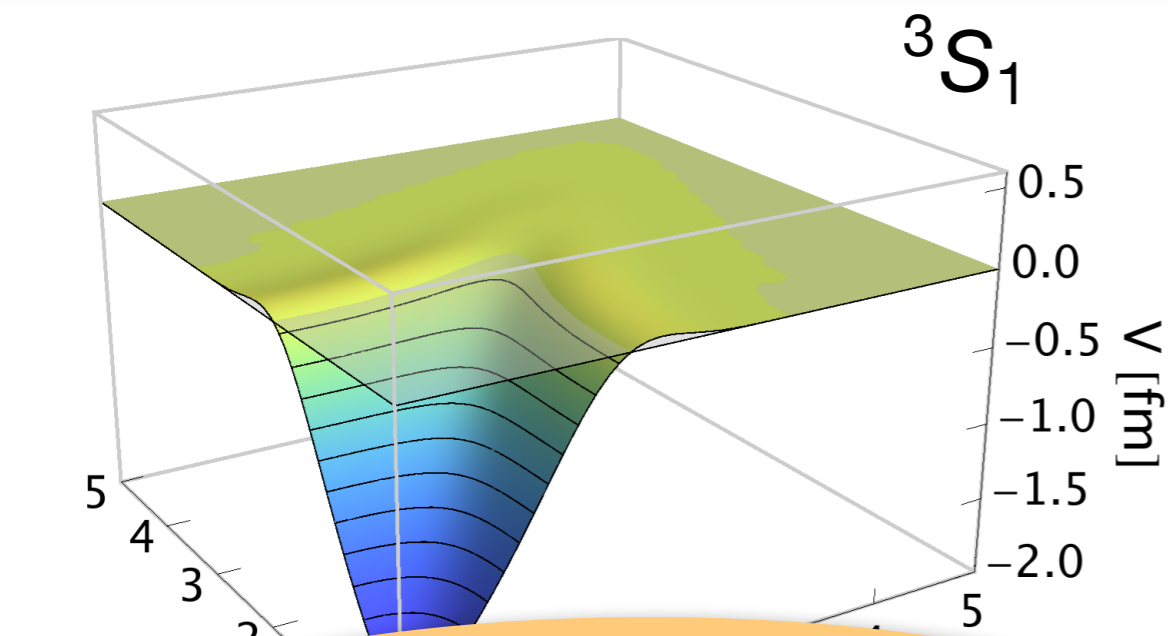
to **suppress** (suitably defined) **off-diagonal Hamiltonian**

- **consistent evolution** for all **observables** of interest

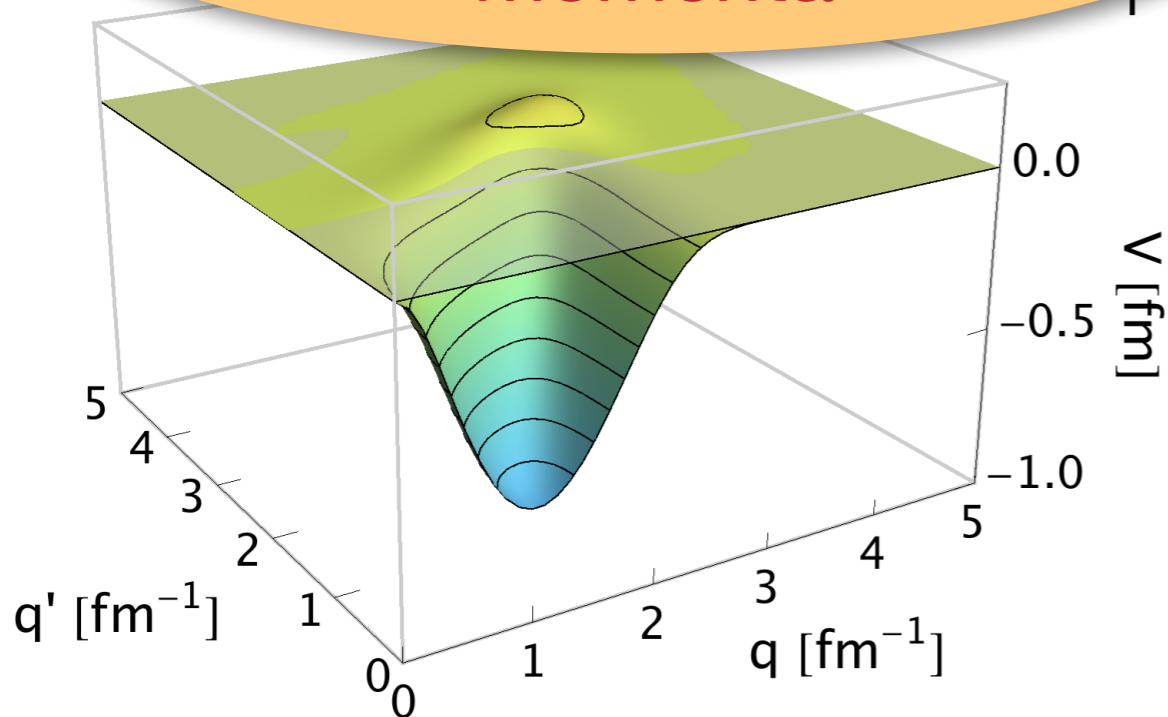
SRG in Two-Body Space



momentum space matrix elements



lowering resolution scale λ
 \Leftrightarrow decoupling of low and high momenta

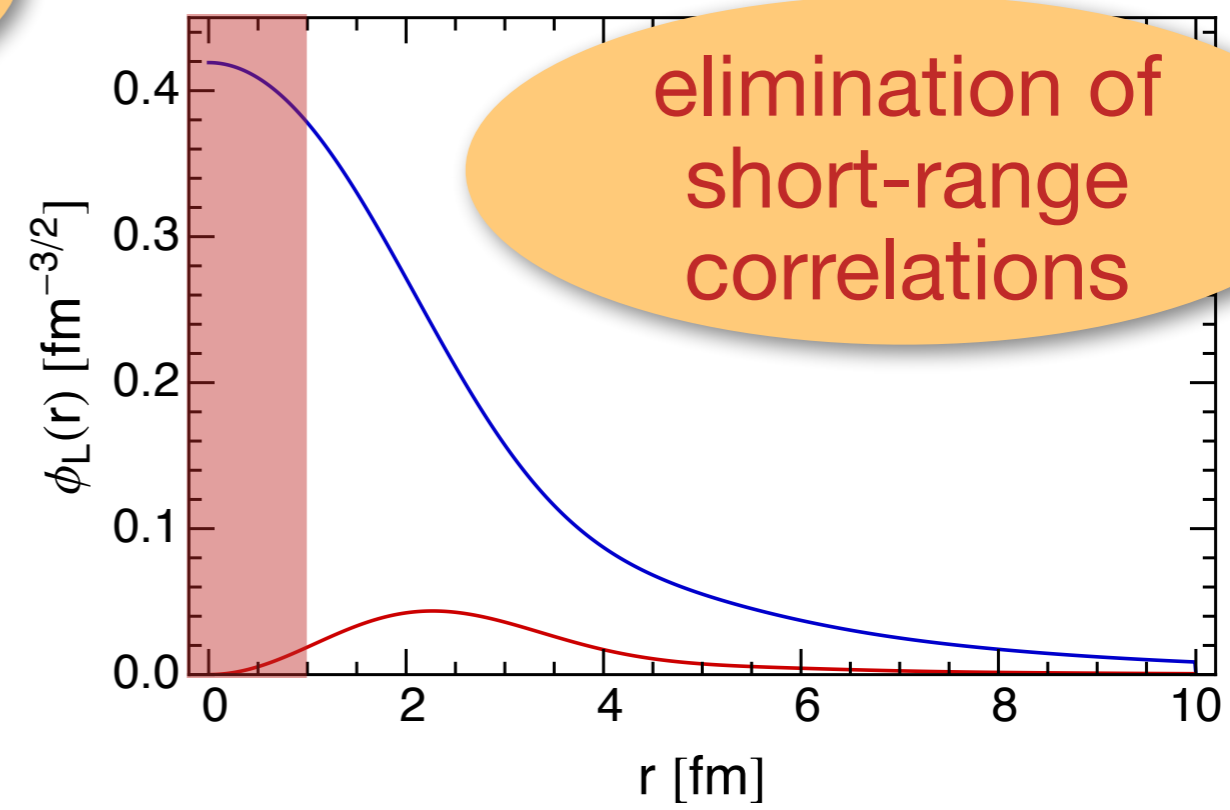


$$\lambda = 1.8 \text{ fm}^{-1}$$

$$\eta(\lambda) = 2\mu [T_{\text{rel}}, H(\lambda)]$$

$$\lambda = s^{-1/4}$$

deuteron wave function



elimination of short-range correlations

- SRG is a **unitary transformation** in **A-body space**
- up to **A-body interactions** are **induced** during the flow:

$$\frac{dH}{d\lambda} = \left[\left[\sum a^\dagger a, \underbrace{\sum a^\dagger a^\dagger aa}_{2\text{-body}} \right], \underbrace{\sum a^\dagger a^\dagger aa}_{2\text{-body}} \right] = \dots + \underbrace{\sum a^\dagger a^\dagger a^\dagger aaa}_{3\text{-body}} + \dots$$

- state-of-the-art: evolve in three-body space, truncate induced four- and higher many-body forces
(Jurgenson, Furnstahl, Navratil, PRL 103, 082501; Hebeler, PRC 85, 021002; Wendt, PRC 87, 061001)
- **λ -dependence** of eigenvalues is a **diagnostic** for size of omitted induced interactions

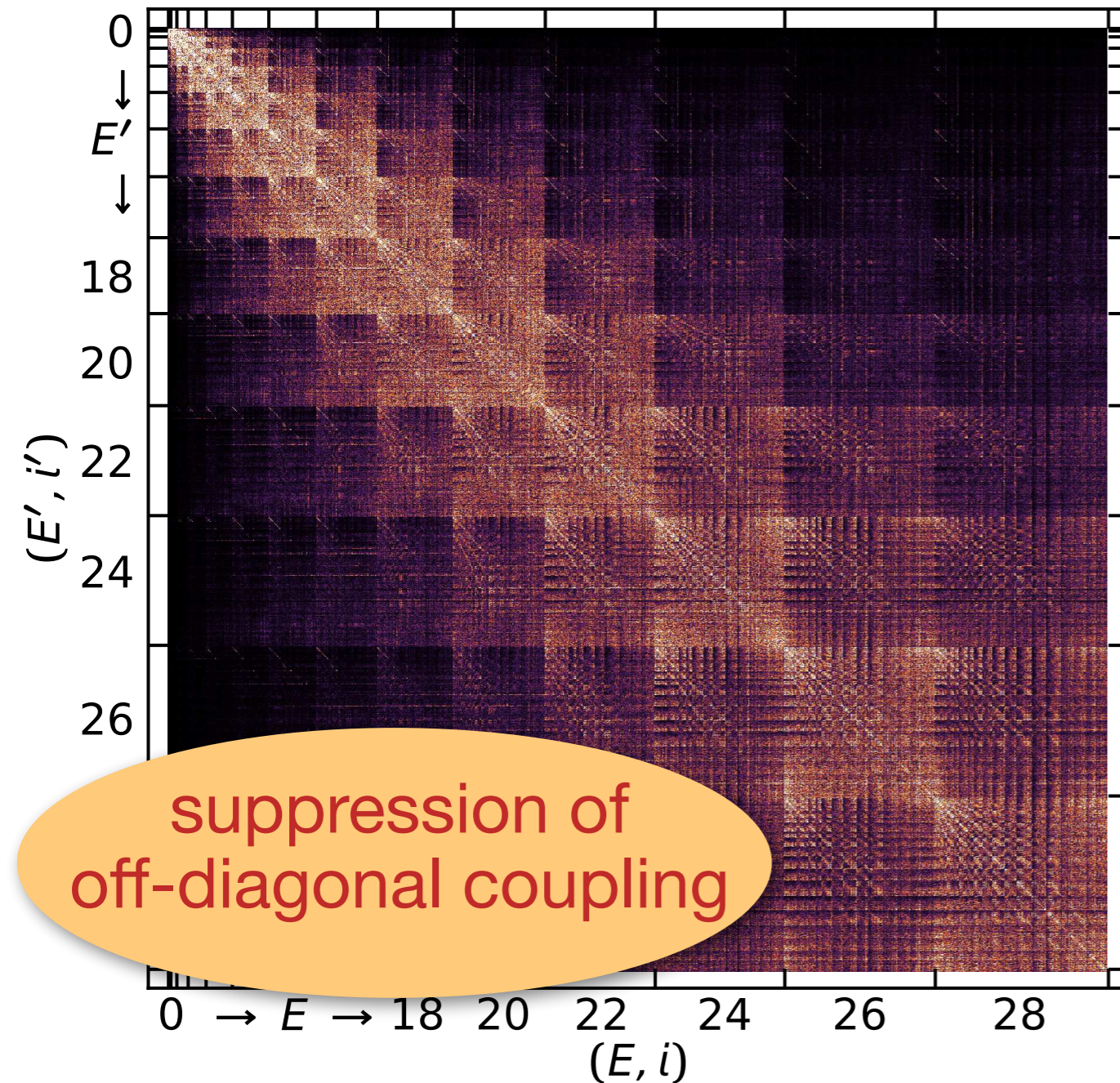
SRG in Three-Body Space



[figures by R. Roth, A. Calci, J. Langhammer]

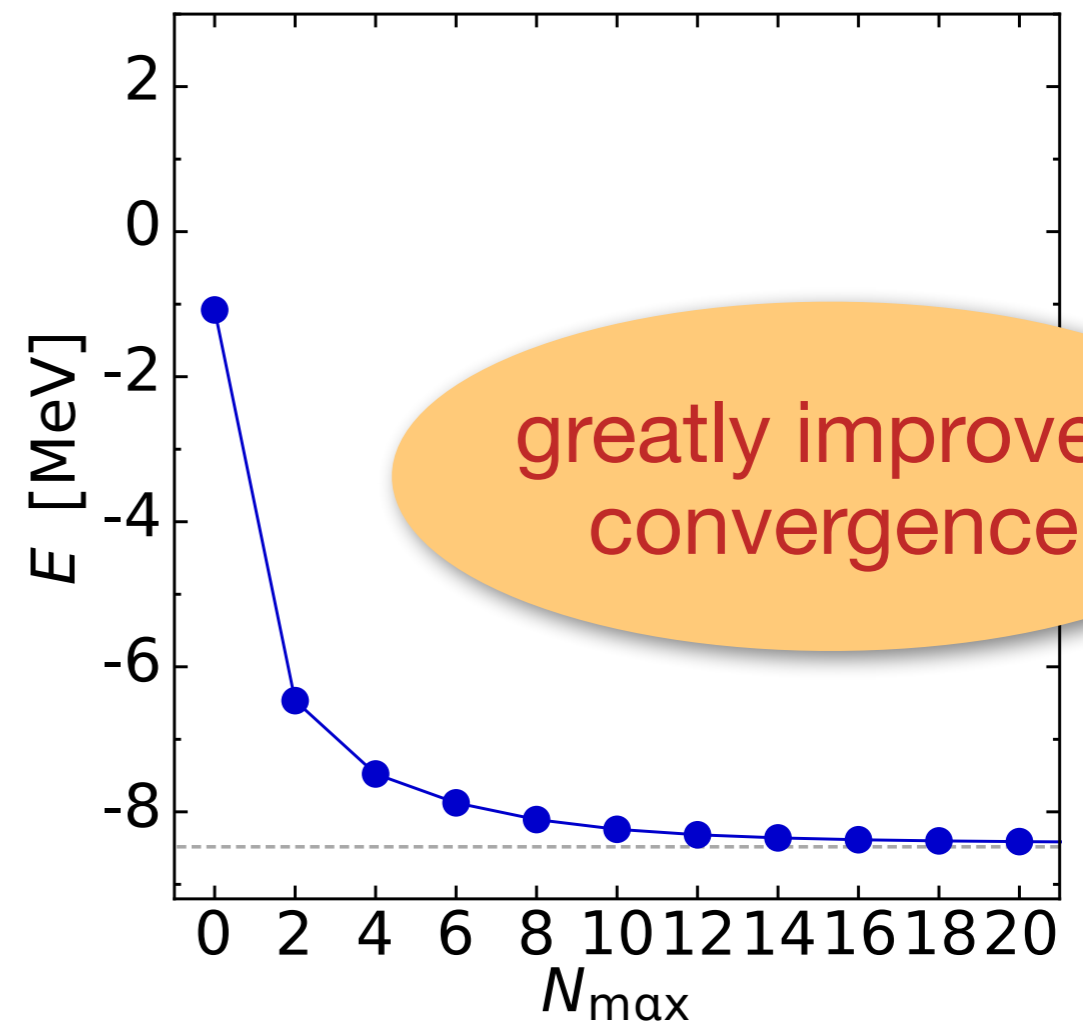
3B Jacobi-HO Matrix Elements

$$J^\pi = \frac{1}{2}^+, T = \frac{1}{2}, \hbar\Omega = 28 \text{ MeV}$$



$$\lambda = 1.33 \text{ fm}^{-1}$$

^3H ground-state (NCSM)

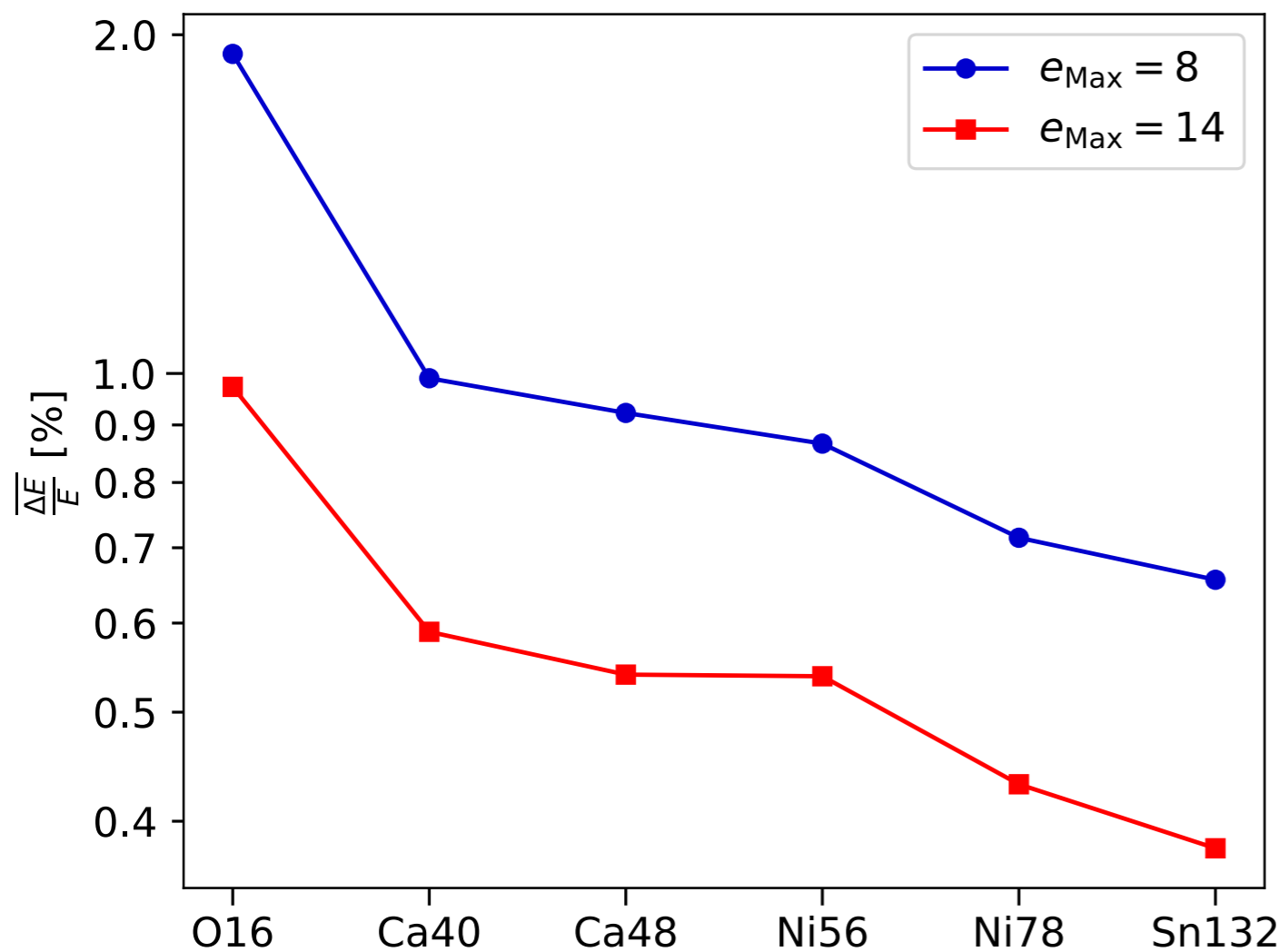


Compression with Random Projections



A. Zare, R. Wirth, C. Haselby, HH, M. Iwen, arXiv:2211.01315

EM1.8/2.0 NN+3N, MBPT(2), $c_{\text{tot}} < 10^{-3}$



- tensorial (= modewise) **Johnson-Lindenstrauss embeddings**
- purely based on **features of (sparse) big data sets** - integrate with physics-based ideas?
- suitable for **streaming** transforms: compress on the fly while reading from disk

Koopman Operator Theory



- **nonlinear** dynamical system:
 - $\mathbf{x} \in X \subseteq \mathbb{R}^n$, $\mathbf{F}^t : X \rightarrow X$, $\mathbf{x}(t) = \mathbf{F}^t(\mathbf{x}(0))$
 - flow map \mathbf{F}^t propagates $\mathbf{x}(0)$ forward in time
- define a set $\mathcal{G}(X)$ of **observables or measurement functions**
 $g : X \rightarrow \mathbb{C}$
- define the semi-group of **Koopman operators** by
 - $K^t : \mathcal{G}(X) \rightarrow \mathcal{G}(X)$, $K^t g(\mathbf{x}) = g(\mathbf{F}^t(\mathbf{x}))$
 - K^t is **linear** if $\mathcal{G}(X)$ is a **linear** function space, e.g., $L^2(\mathbb{R})$
- Describe **nonlinear dynamics** through a generally **infinite-dimensional linear operator** that acts on **measurements!**

Koopman Operators & IMSRG



- IMSRG flow is a **nonlinear** “dynamical” system Review: S.-L. Brunton et al., arXiv:2102.12086
- Hamiltonian in (NO2B) operator algebra:

$$H \equiv E_0 + \sum_{pq} f_{pq} : a_p^\dagger a_q : + \frac{1}{4} \sum_{pqrs} \Gamma_{pqrs} : a_p^\dagger a_q^\dagger a_s a_r :$$

- define $\mathbf{h} \equiv (E_0 \quad \cdots \quad f_{pq} \quad \cdots \quad \Gamma_{pqrs} \quad \cdots)^T \dots$
- ... and write the evolution in **Koopman operator form**:

$$K^{\bar{s}} \mathbf{h} = \left((U_{\bar{s}} H U_{\bar{s}}^\dagger)_0 \quad \cdots \quad (U_{\bar{s}} H U_{\bar{s}}^\dagger)_{pq} \quad \cdots \quad (U_{\bar{s}} H U_{\bar{s}}^\dagger)_{pqrs} \quad \cdots \right)^T$$

- **What have we gained compared to other approaches? We can construct Koopman operators from “observations”!**

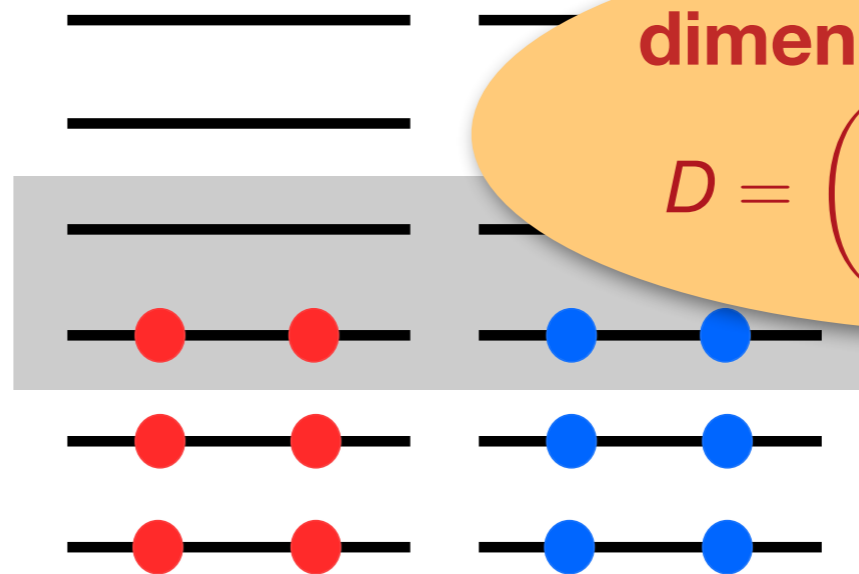
Core and Valence Spaces



non-valence
particle states

valence
particle states

hole states
(core)



dimension reduced to

$$D = \binom{n_v^{(p)}}{Z_v} \times \binom{n_v^{(n)}}{N_v}$$

- introduce an **inert core**: restrict states to the form

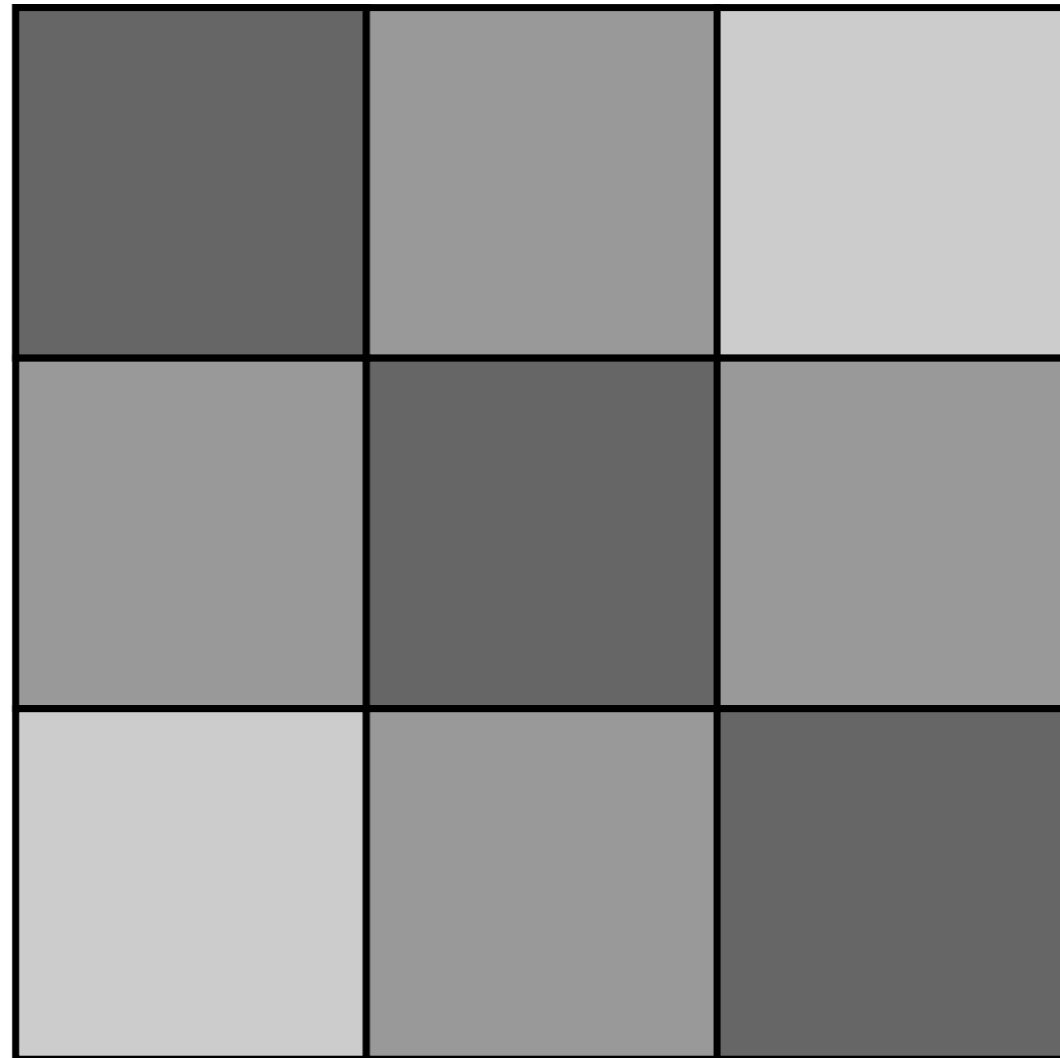
$$|\psi_i\rangle = |\bar{\Psi}_i\rangle \otimes |\text{core}\rangle$$

- basis states:

$$|\Phi_{V_1, \dots, V_{A_V}}\rangle = a_{V_1}^\dagger \dots a_{V_{A_V}}^\dagger |\text{core}\rangle$$

- wave functions for $A_v < A$ ($A_v \ll A$) particles (**core implicit**)

Effective Hamiltonian

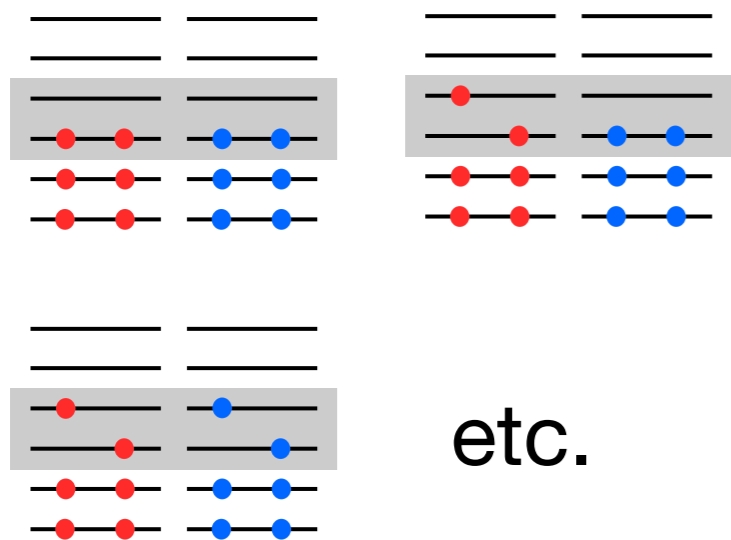


Effective Hamiltonian



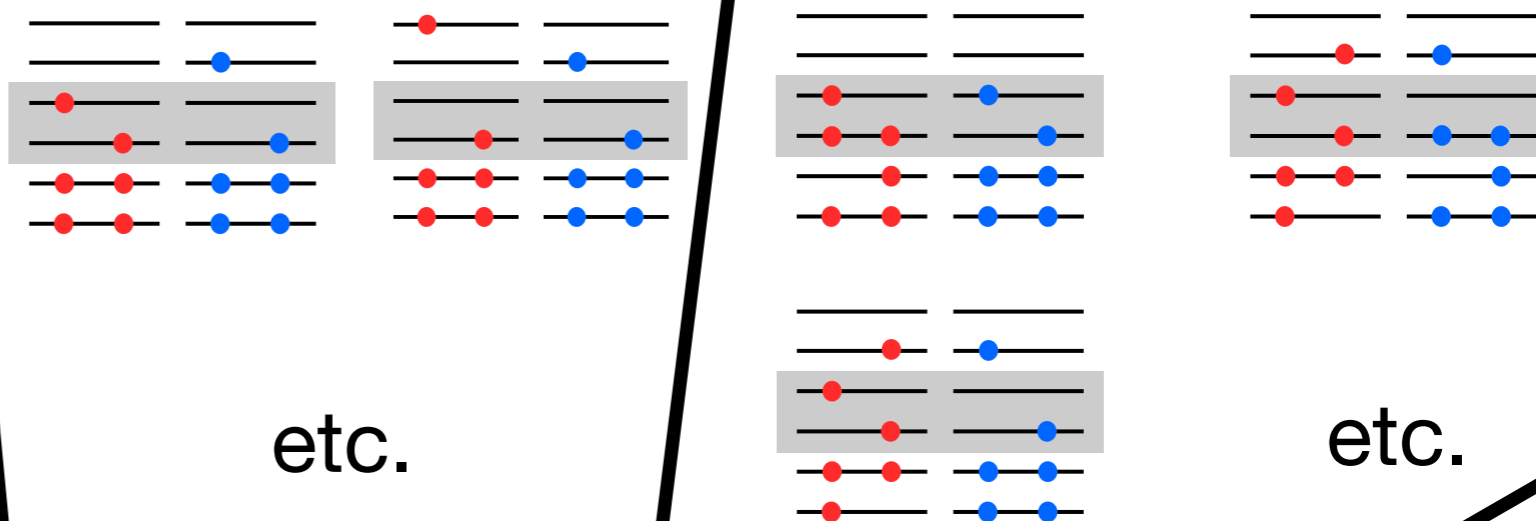
P space

(included configurations)



Q space

(excluded configurations)



Effective Hamiltonian

