

Ab initio many-body approaches

Ab initio many-body developments for weak processes:
from $0\nu\beta\beta$ NMEs to precision beta decay

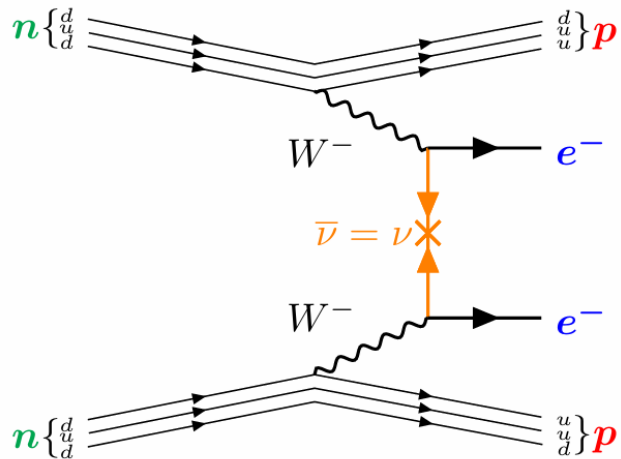
Bingcheng He (何秉承)

Department of Physics and Astronomy
University of Tennessee, Knoxville

NDB Collaboration

Advancing Theory for Nuclear Double-Beta Decay

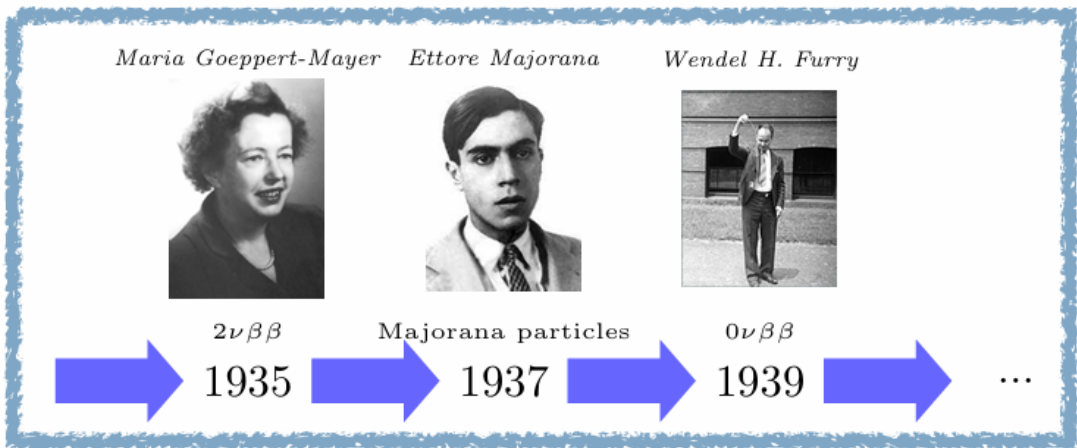
- **11 institutions**
- **Compute NMEs**
- **Quantify uncertainties**



Attendees at the first in-person @NDB meeting, at the University of Notre Dame.

Advancing the $0\nu\beta\beta$ Through Ab Initio Calculations?

Neutrinoless double beta decay ($0\nu\beta\beta$)



$$\left(T_{1/2}^{0\nu\beta\beta}\right)^{-1} = G^{0\nu} \boxed{|M^{0\nu}|^2} \langle m_{\beta\beta} \rangle^2$$

NME: must be calculated

- Lepton-number violation
- Majorana character of neutrino
- Matter/antimatter asymmetry
- Absolute neutrino mass scale

Ab initio nuclear structure theory

Chiral Effective Field Theory

New physics

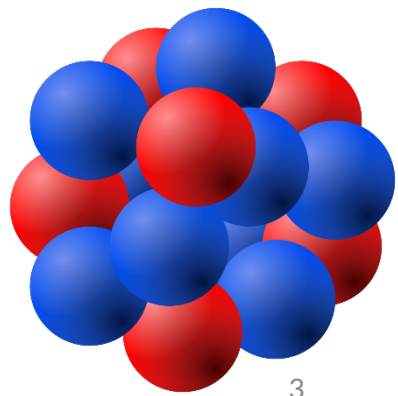


No free parameters

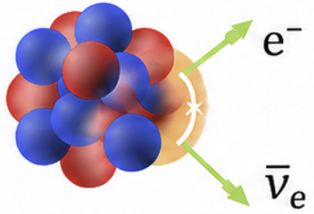
Many-body theory

VS-IMSRG and Coupled-cluster

Uncertainty quantification



$$M^{0\nu\beta\beta} = \langle \Psi_f | O_{eff} | \Psi_i \rangle$$



Outline:

- **Status of Coupled-cluster development**
Gaute Hagen, Thomas Papenbrock
- Preliminary results from VS-IMSRG(3f2)
- Application to superallowed beta decay

Start from chiral EFT Hamiltonian, solve correlations

Many-body Schrödinger equation

$$H|\Psi_\alpha\rangle = E_\alpha|\Psi_\alpha\rangle$$

$$\hat{H} = \hat{H}^{[1]} + \hat{H}^{[2]} + \hat{H}^{[3]}$$

Hartree-Fock (Spherical)

Normal-ordered two-body approximation(NO2B)

$$\hat{H}_N = \hat{H}_N^{[1]} + \hat{H}_N^{[2]} + \cancel{\hat{H}_N^{[3]}}$$

Solve correlations with ab initio many-body methods:

Coupled-cluster
VS-IMSRG

TABLE I. The recommended value for the total NME of $0\nu\beta\beta$ decay in ^{76}Ge , together with the uncertainties from different sources.

$M^{0\nu}$	ϵ_{LEC}	$\epsilon_{\chi\text{EFT}}$	ϵ_{MBT}	ϵ_{OP}	ϵ_{EM}
$2.60^{+1.28}_{-1.36}$	0.75	0.3	0.88	0.47	< 0.06

Coupled-cluster theory

correlated state: $|\Psi\rangle = e^T |\phi\rangle$



Reference state :HF

cluster operator: $\hat{T} = \hat{T}_1 + \hat{T}_2 + \dots + \hat{T}_A$ Only excitations

similarity-transformed Hamiltonian:

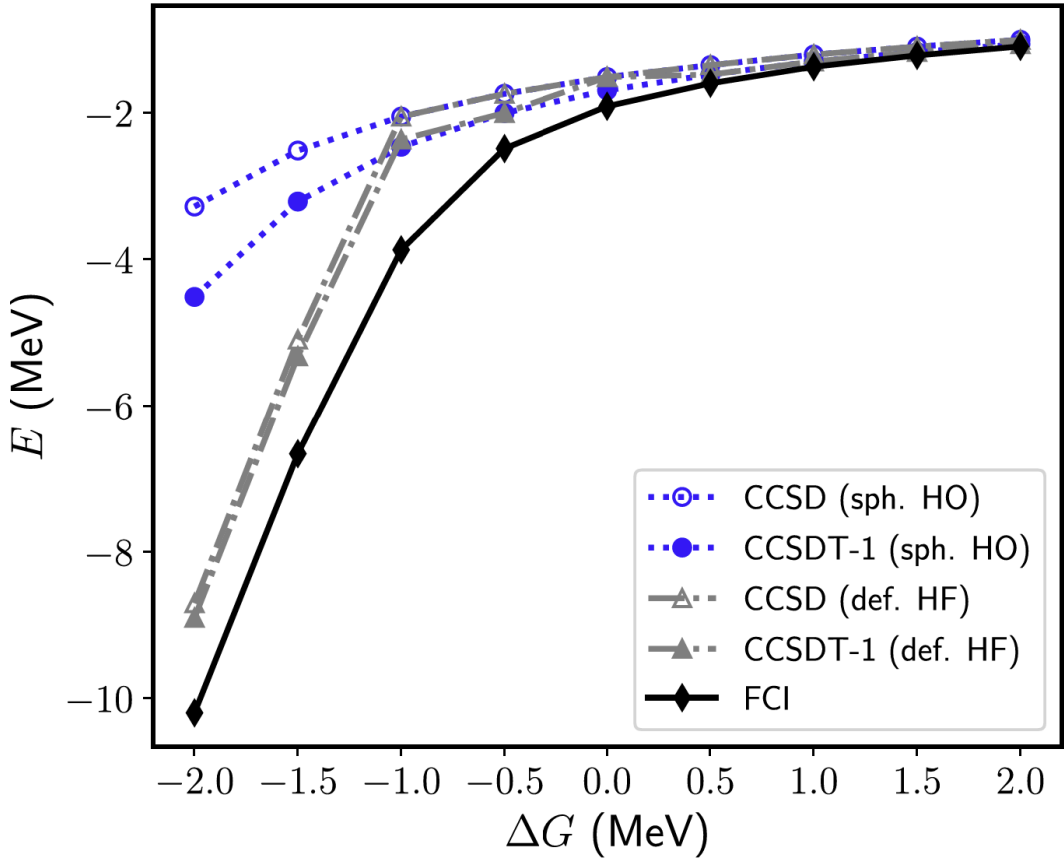
non-Hermitian $\bar{H} = e^{-T} H e^T$ $E_{CC} = \langle \phi | \bar{H} | \phi \rangle$

Baker-Campbell-Hausdorff

$$\langle O \rangle = \frac{\langle \Psi_L | O | \Psi_R \rangle}{\langle \Psi_L | \Psi_R \rangle} \quad \begin{aligned} |\Psi_R\rangle &= e^T |\Phi_0\rangle \\ \langle \Psi_L| &= \langle \Phi_0 | (1 + \Lambda) e^{-T} \end{aligned}$$

Convergence of coupled-cluster method

Ground-state energy of ^{56}Ni based on the GXPF1A interaction as a function of the shift G between the $f_{7/2}$ orbital and the unoccupied orbitals.



Z. H. Sun, G. Hagen, and T. Papenbrock, Phys. Rev. C 108, 014307 (2023)

Exact CI:

$$|\Psi\rangle = \Omega|\Phi\rangle = \left(1 + \sum_{i=1}^A C_i\right)|\Phi\rangle$$

$$C_1 = T_1,$$

$$C_2 = T_2 + \frac{1}{2}T_1^2,$$

$$C_3 = T_3 + T_1T_2 + \frac{T_1^3}{3!},$$

$$C_4 = T_4 + \frac{T_2^2}{2} + T_1T_3 + \frac{T_1^2T_2}{2} + \frac{T_1^4}{4!}$$

...

CCSD Exact for the two-body problem

CCSDT Exact for the three-body problem

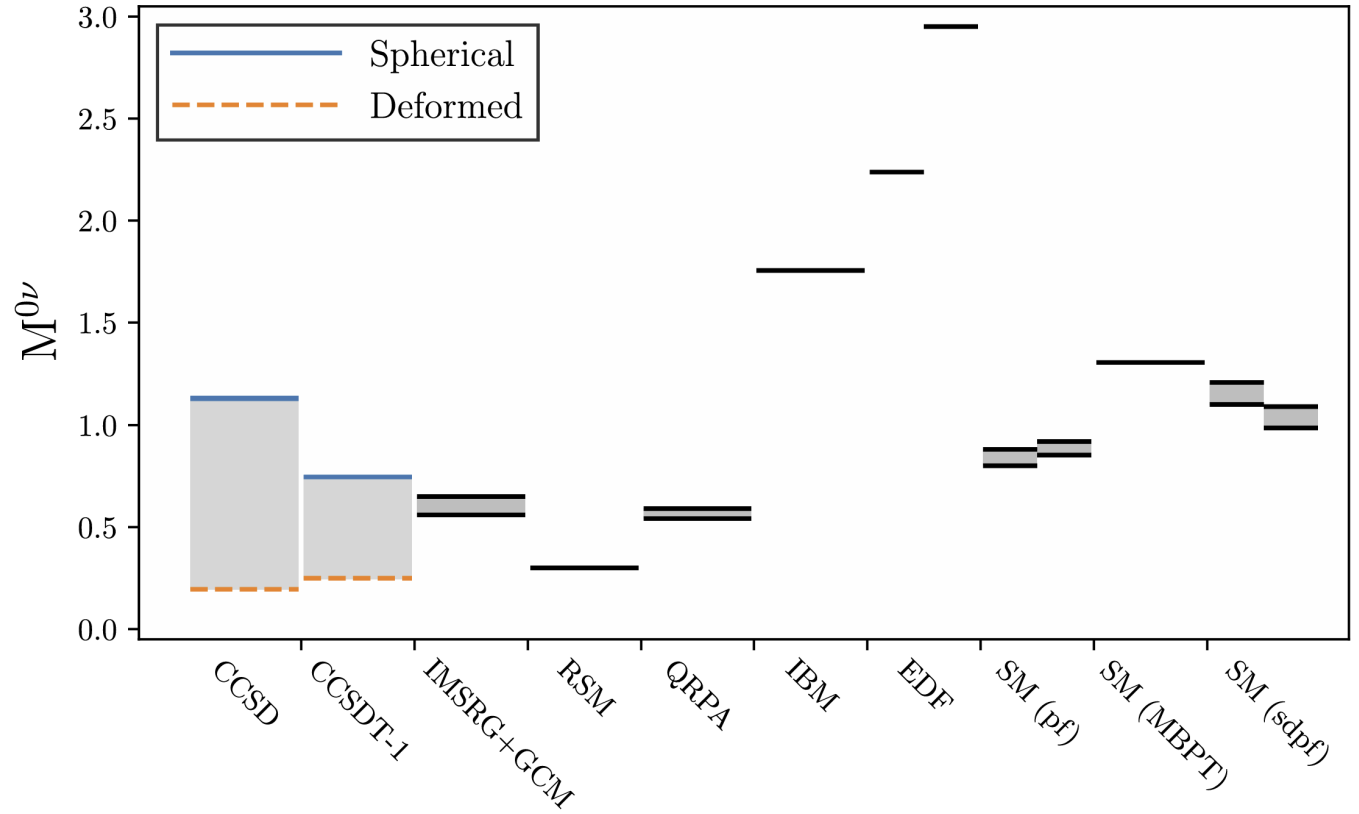
Higher-rank clusters systematically approach exact CI

Coupled-cluster for the $0\nu\beta\beta$ NMEs

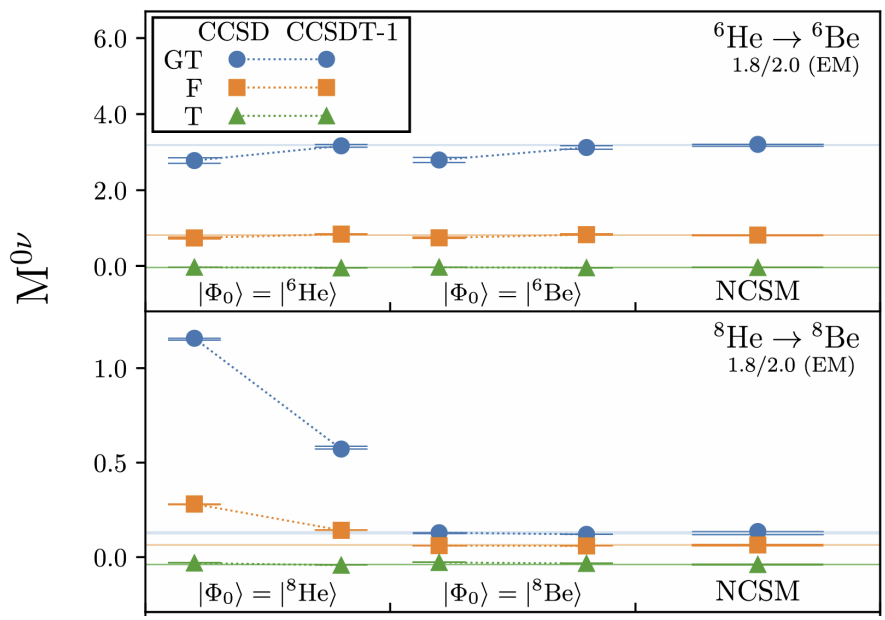
Basis:

HF: Spherical, Axial
 CCSD(T): Spherical, Axial

Comparison of the NME for the $0\nu\beta\beta$ decay of ^{48}Ca



Reference dependence



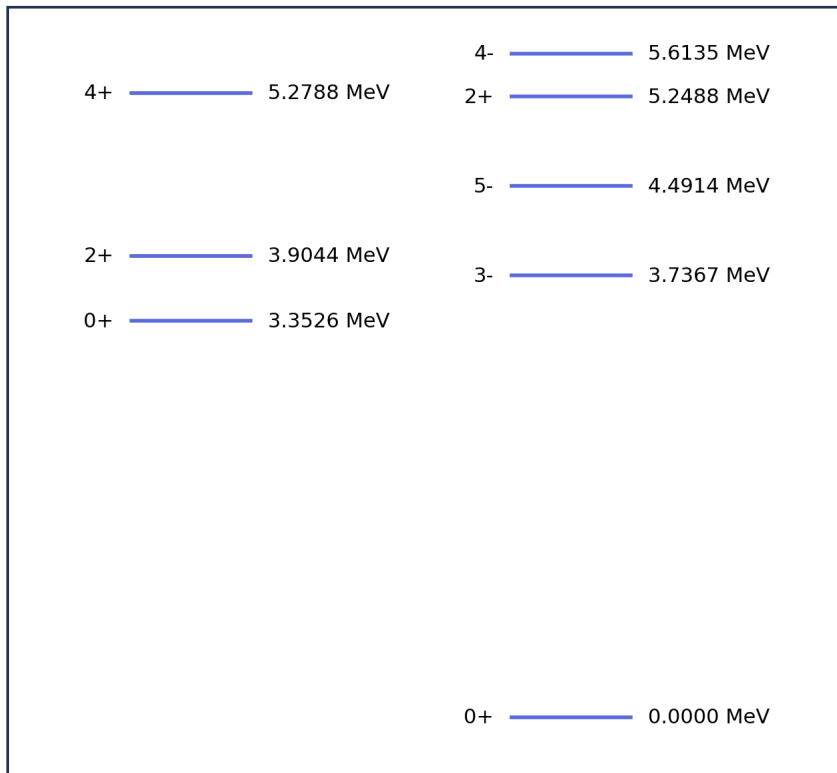
Novario et al., PRL 126, 182502 (2021)

Developing:

HF/CCSD(T): Triaxiality, J-projection

Preliminary results from triaxial CC for ^{40}Ca

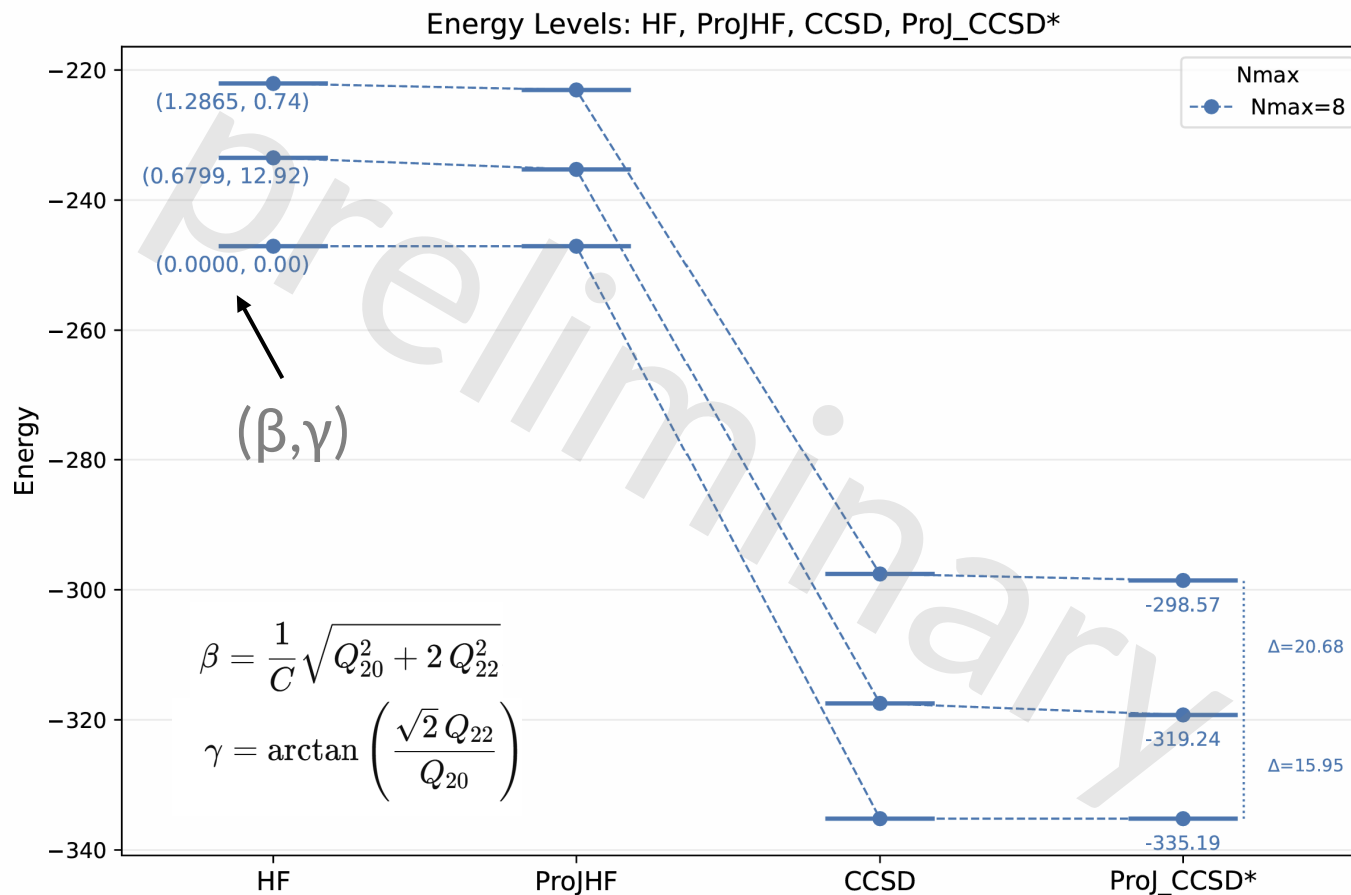
Exp.



$$H = H_0 - \lambda_{q20} \cdot Q_{20} - \lambda_{q22} \cdot Q_{22}$$

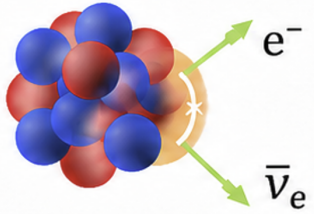
$$\langle H_0 \rangle \langle Q_{20} \rangle \langle Q_{22} \rangle$$

Projected triaxial HF and triaxial CC



Toward NMEs with triaxial reference states

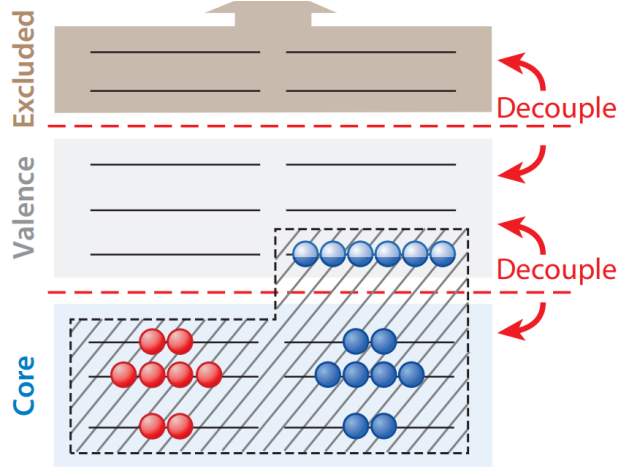
EM 1.8/2.0 with $e_{\max} = 8$



Outline:

- Status of Coupled-cluster development
- **Preliminary results from VS-IMSRG(3f2)**
Alex Todd, Antoine Belley, J. D. Holt, S. R. Stroberg
- Application to superallowed beta decay

VS-IMSRG: Valence Space In-medium similarity renormalization group



flow parameter

$$\frac{dH(s)}{ds} = [\eta(s), H(s)]$$

flow equation

$$H(s) = U(s) H U^\dagger(s)$$

Baker-Campbell-Hausdorff

IMSRG(2) Two-body truncation
($\approx O(N^6)$ cost)

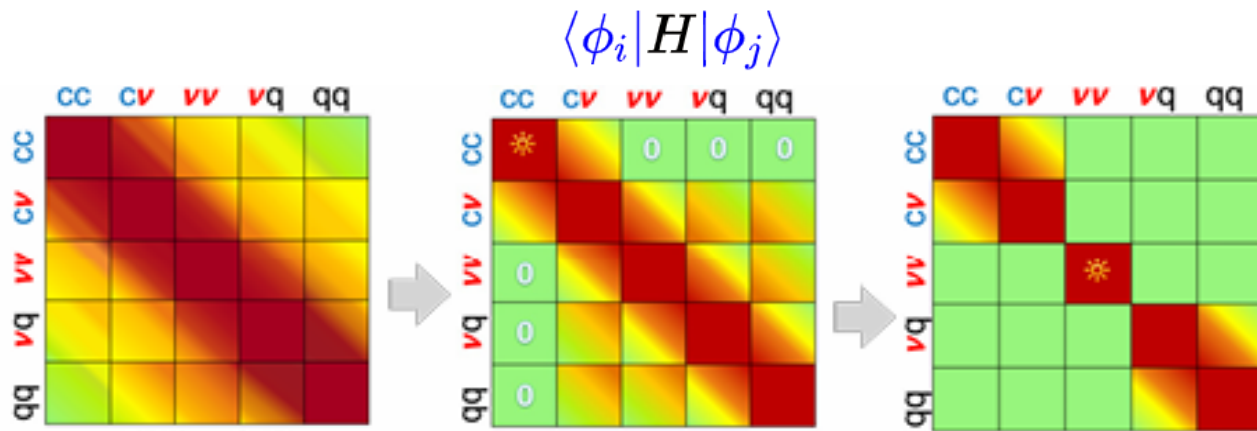


IMSRG(3n7)
Complexity scale
($\approx O(N^7)$ cost)

IMSRG(3) Three-body truncation
($\approx O(N^9)$ cost)



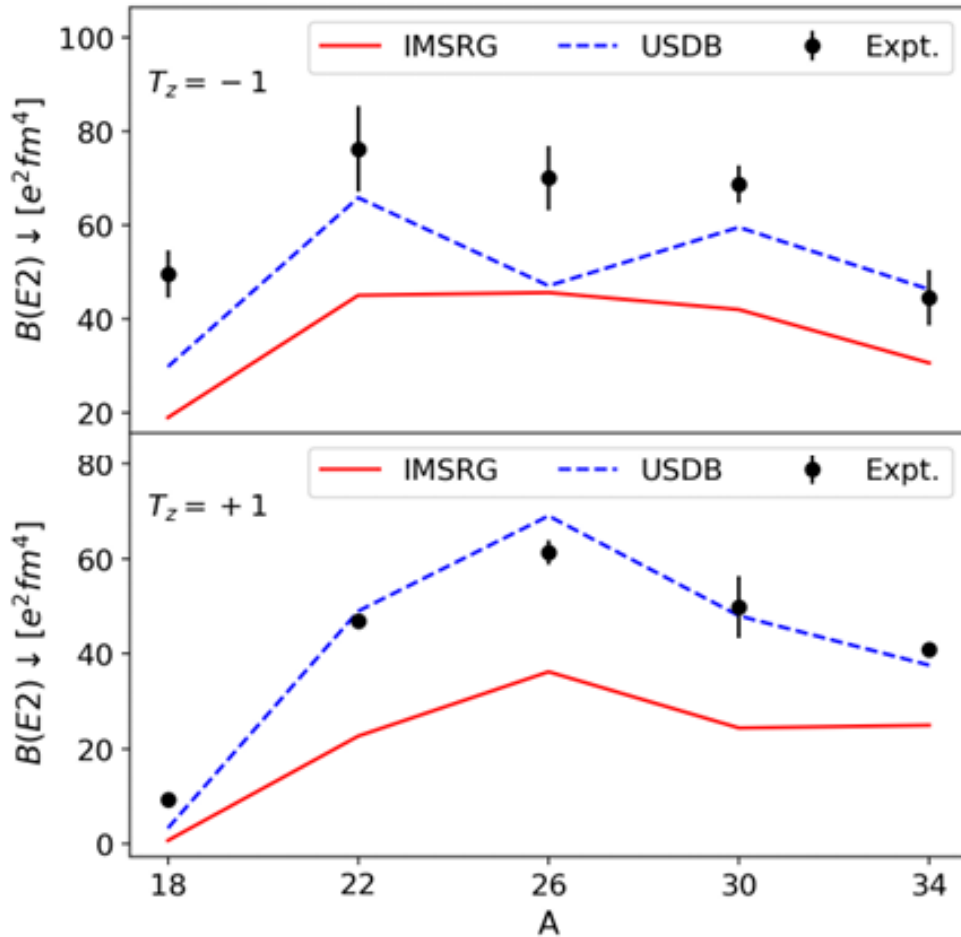
IMSRG(2A) A-body truncation
Exact solution



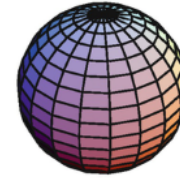
Initial Hamiltonian → Evolving Hamiltonian → Decoupled Hamiltonian

Problem: IMSRG(2) underestimates B(E2)

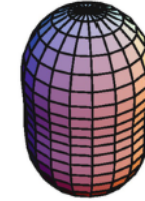
B(E2) ↓ values for (top) $T_z = -1$ and (bottom) $T_z = +1$ nuclei.



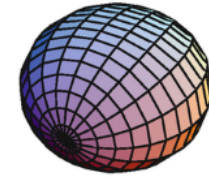
spherical



prolate



oblate



E2 transitions provide sensitive probes of nuclear deformation and collective motion.

Possible reason:

- Interaction
- E2 operator
- **Many-body truncation: IMSRG(2)**

Motivates introducing full IMSRG(3) treatment

Stroberg et al. (2022), Phys. Rev. C 105, 034333

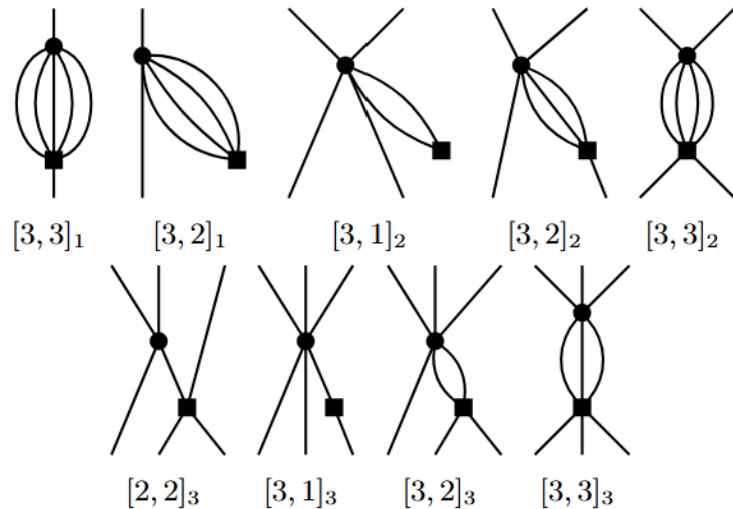
Full IMSRG(3) treatment of Hamiltonians and Observables

Effective Hamiltonian (scalar)

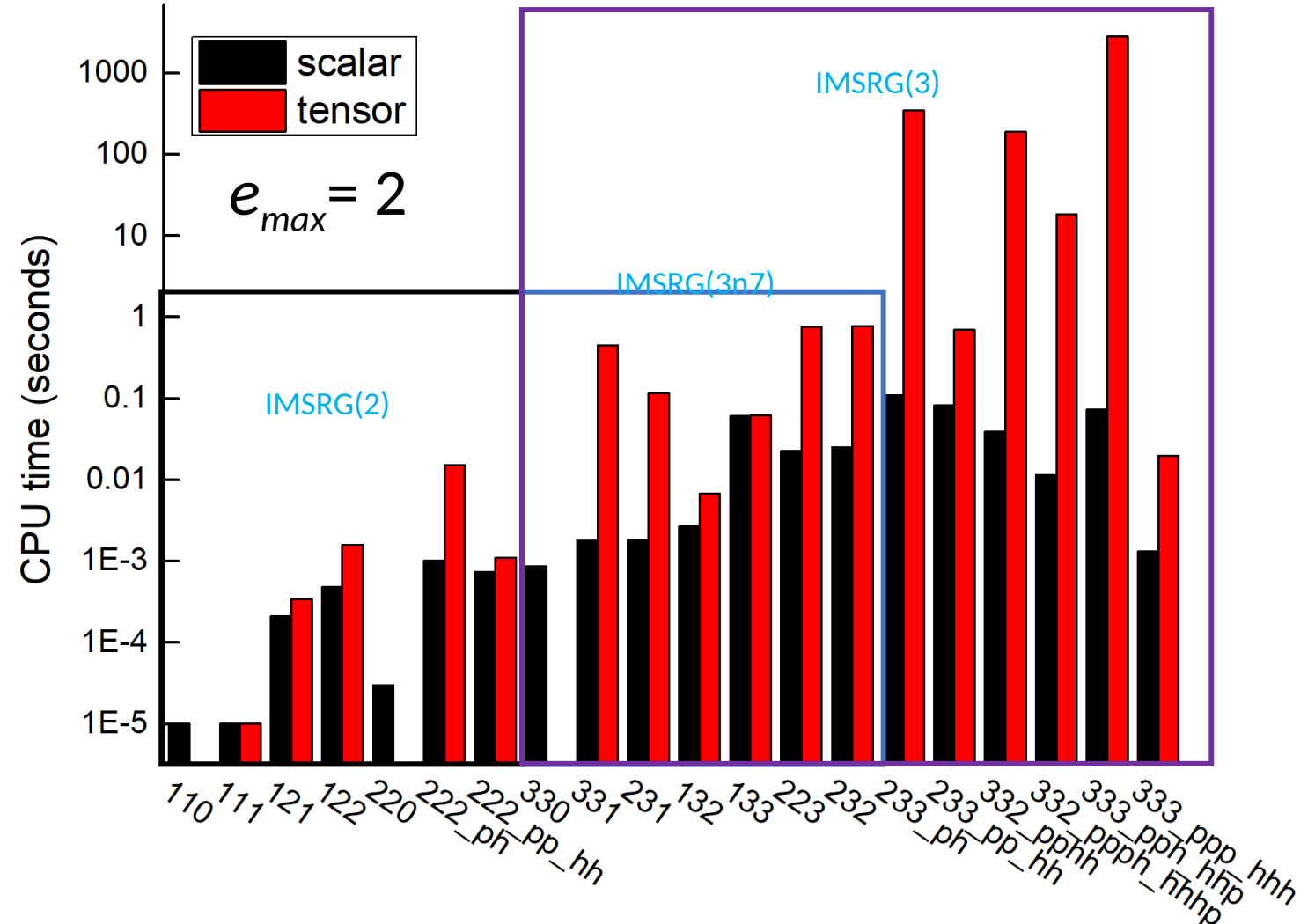
$$\mathcal{H}_{eff} = e^{\Omega} \mathcal{H} e^{-\Omega}$$

Effective operators (tensor)

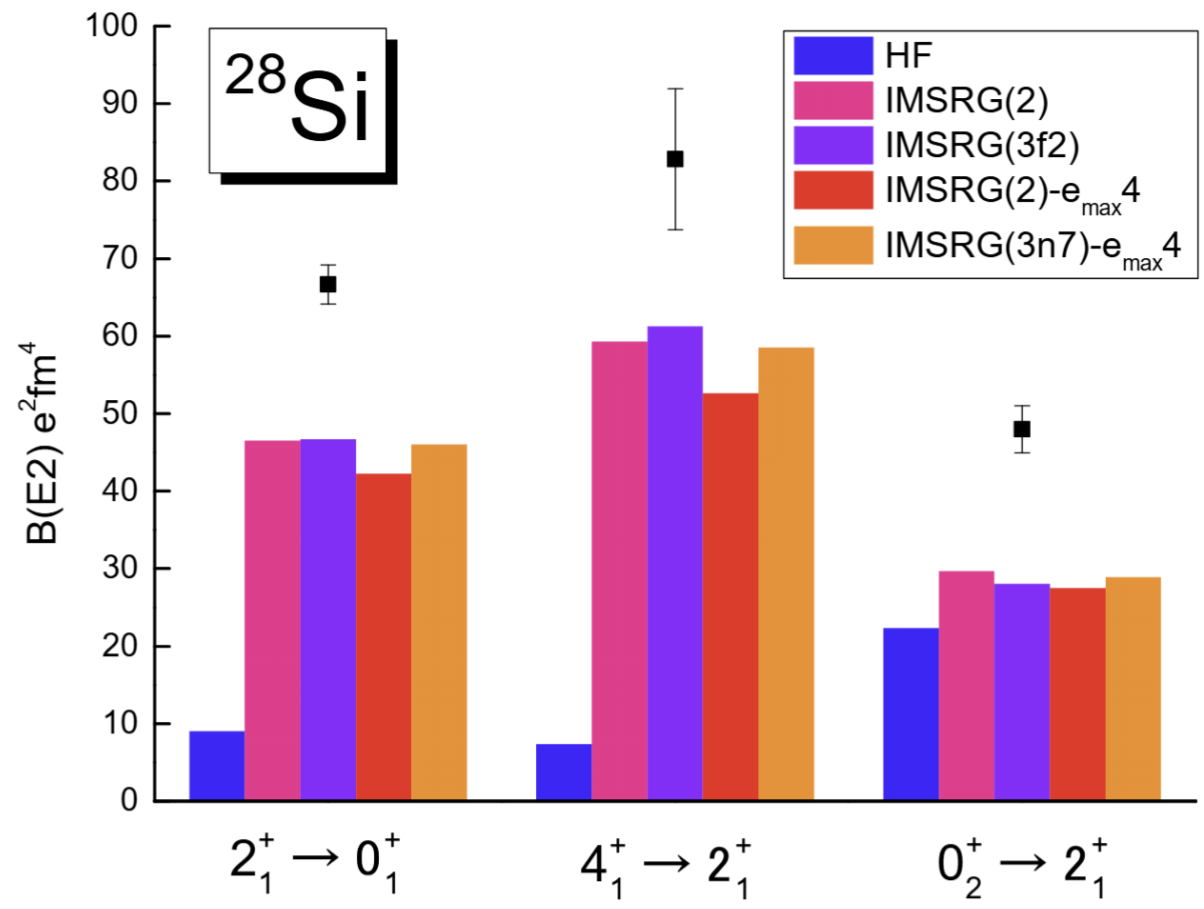
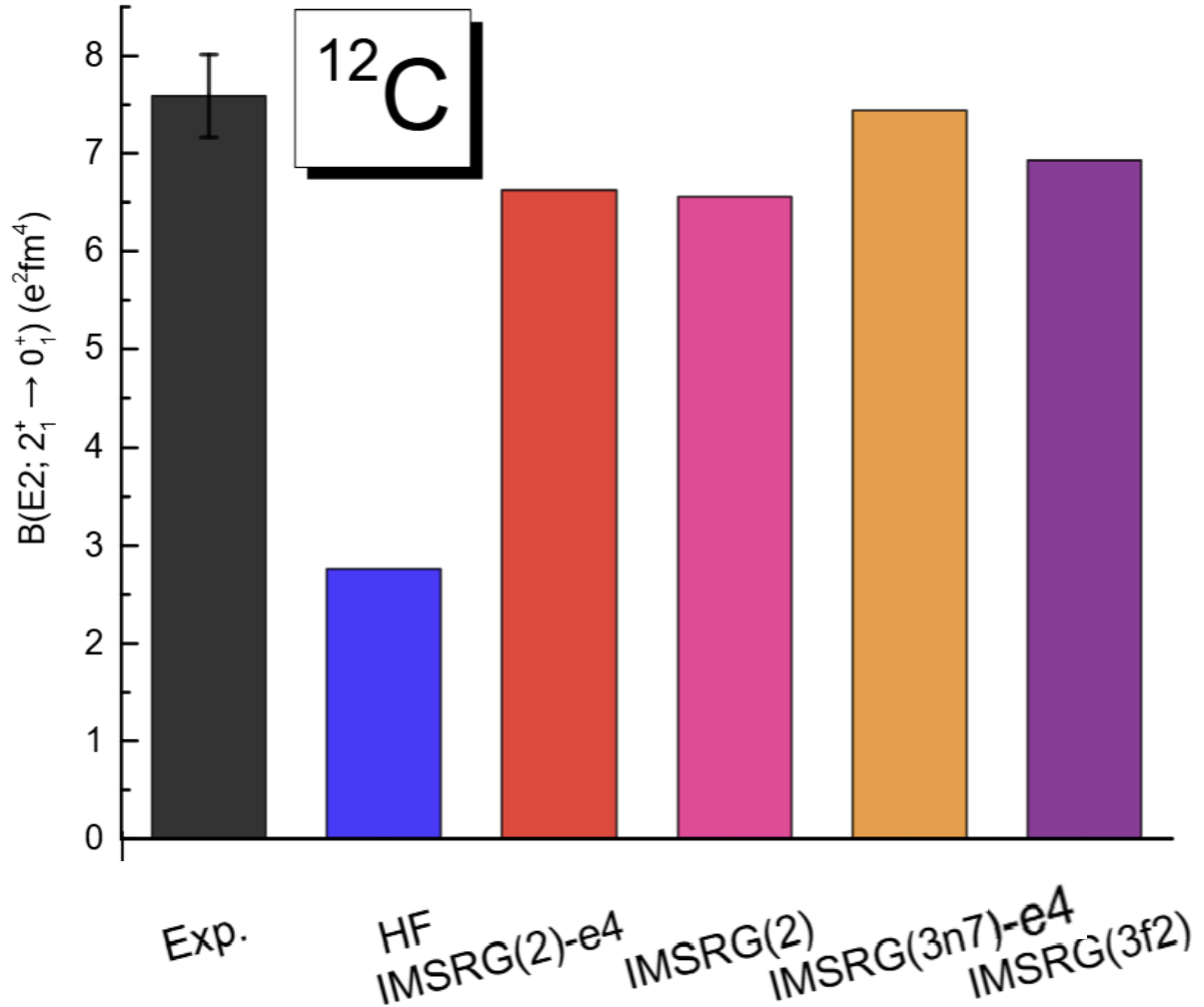
$$\mathcal{O}_{eff} = e^{\Omega} \mathcal{O} e^{-\Omega} \quad (\text{E2, M1, etc.})$$



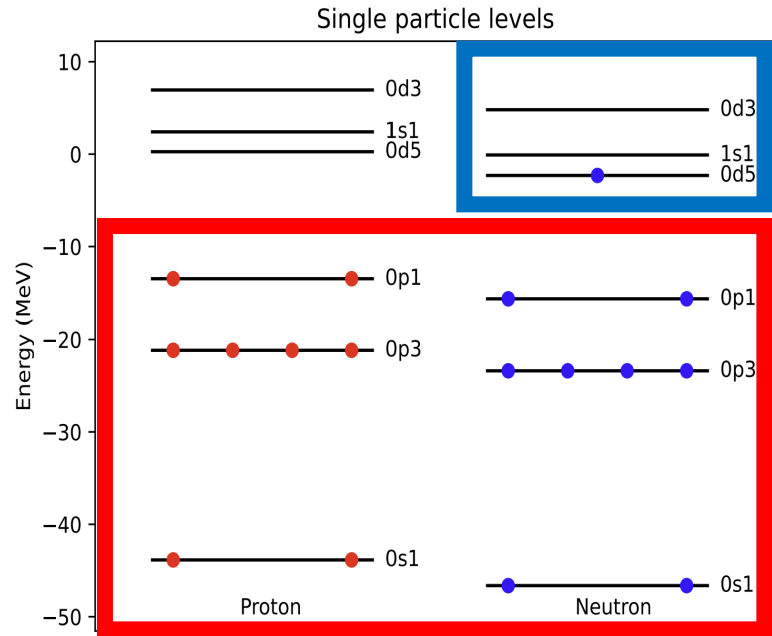
CPU time for one commutator $[O, \Omega]$



Transitions in ^{12}C and ^{28}Si



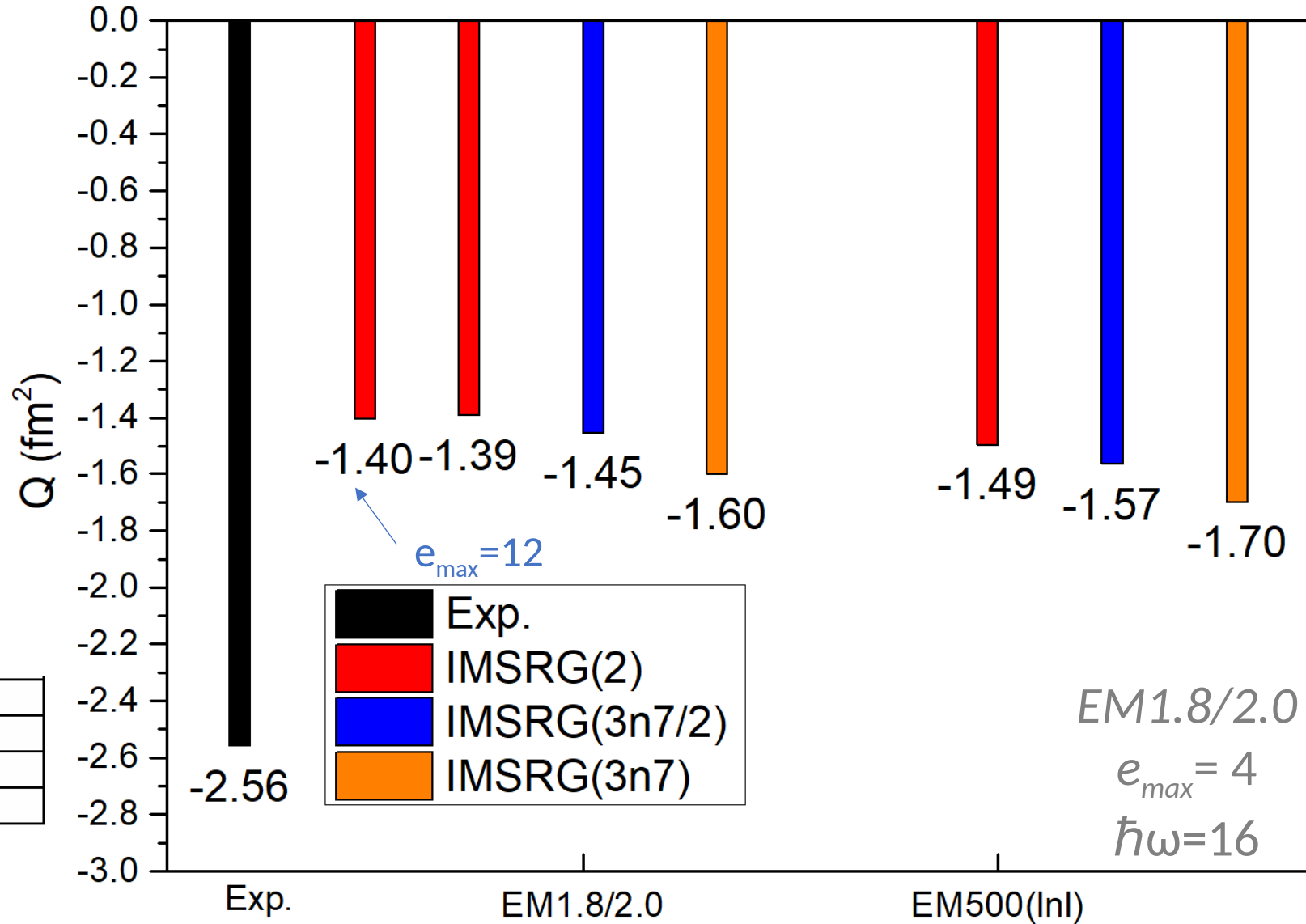
Quadrupole Moment of ^{17}O : Sensitivity to Induced 3-Body operator



Ground state energy (MeV)

Exp.	-131.750
IMSRG(2)_ $e_{\max}=12$	-130.777
IMSRG(2)	-121.359
IMSRG(3n7)	-121.453

EM1.8/2.0



Quadrupole observables remain puzzling in VS-IMSRG

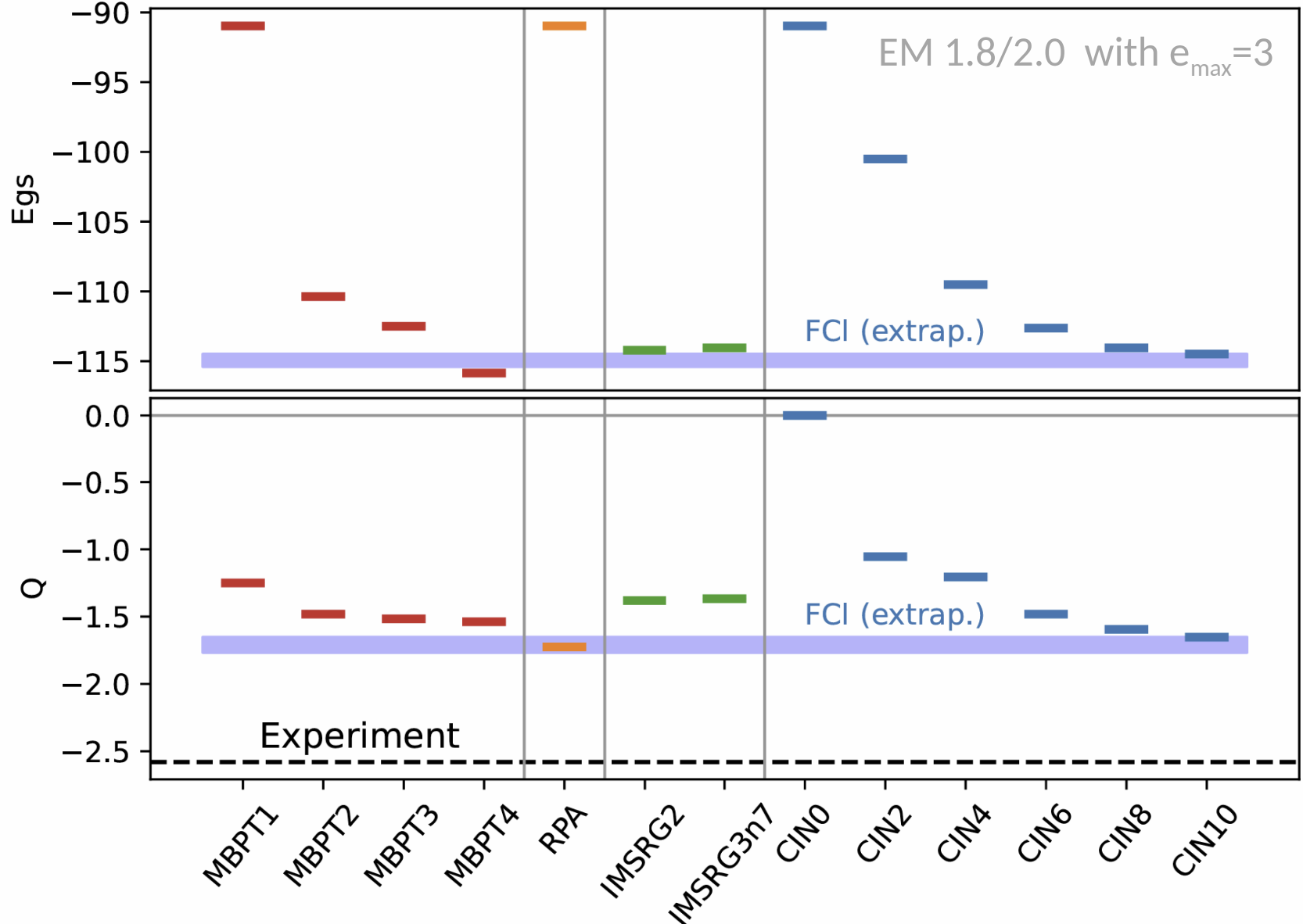


Figure courtesy of Ragnar Stroberg and Zhonghao Sun

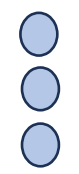
Improving VS-IMSRG: IMSRG(3f2)

IMSRG(2) Two-body truncation
($\approx O(N^6)$ cost)

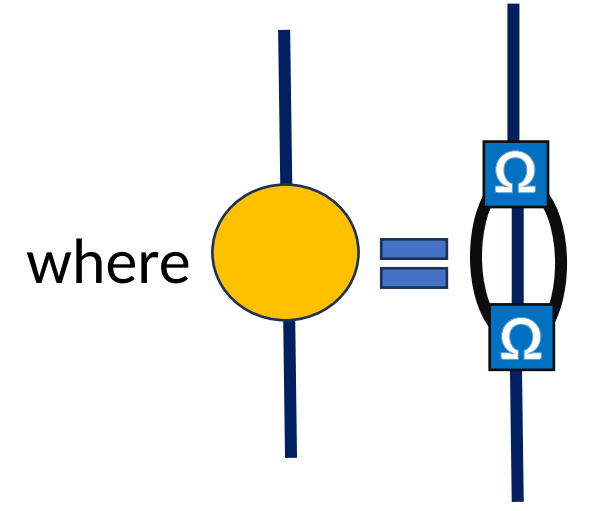
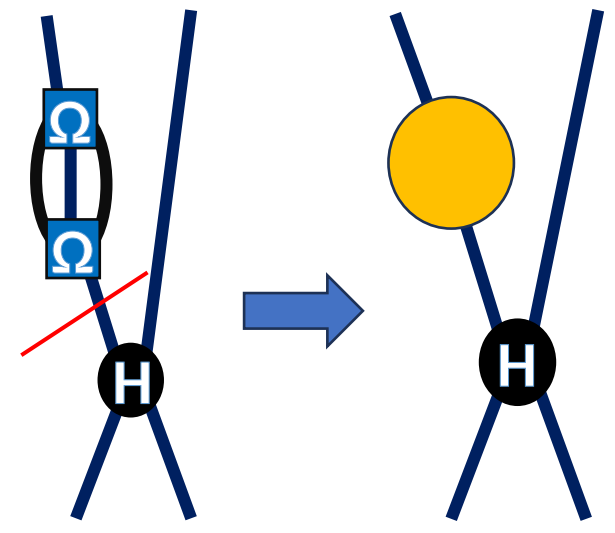
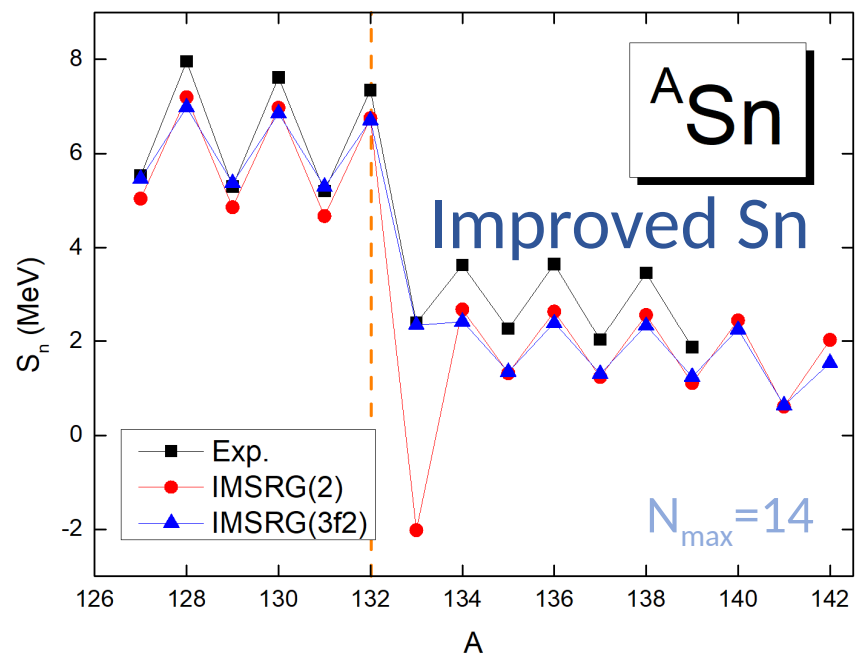
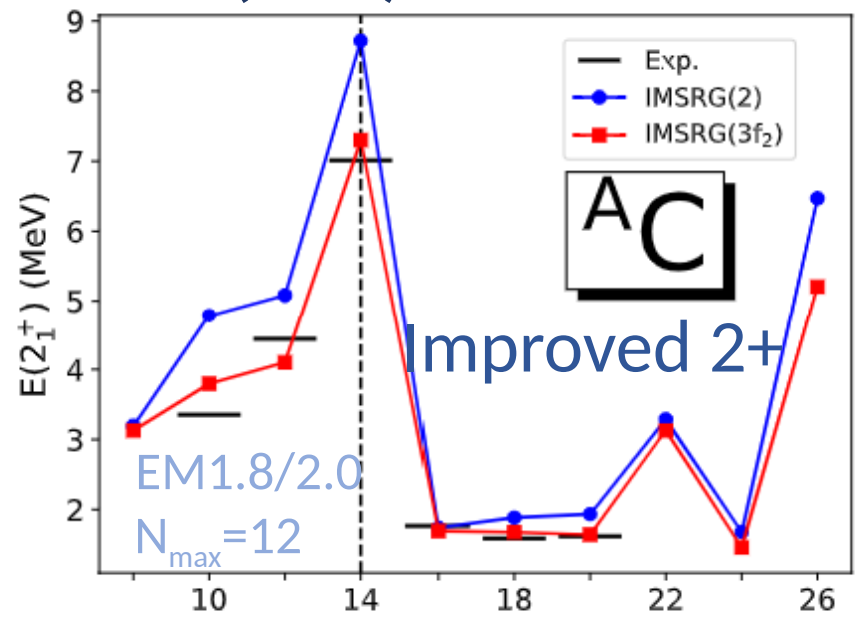
IMSRG(3f2)
Factorized double commutators

IMSRG(3n7)
Complexity scale
($\approx O(N^7)$ cost)

IMSRG(3) Three-body truncation
($\approx O(N^9)$ cost)



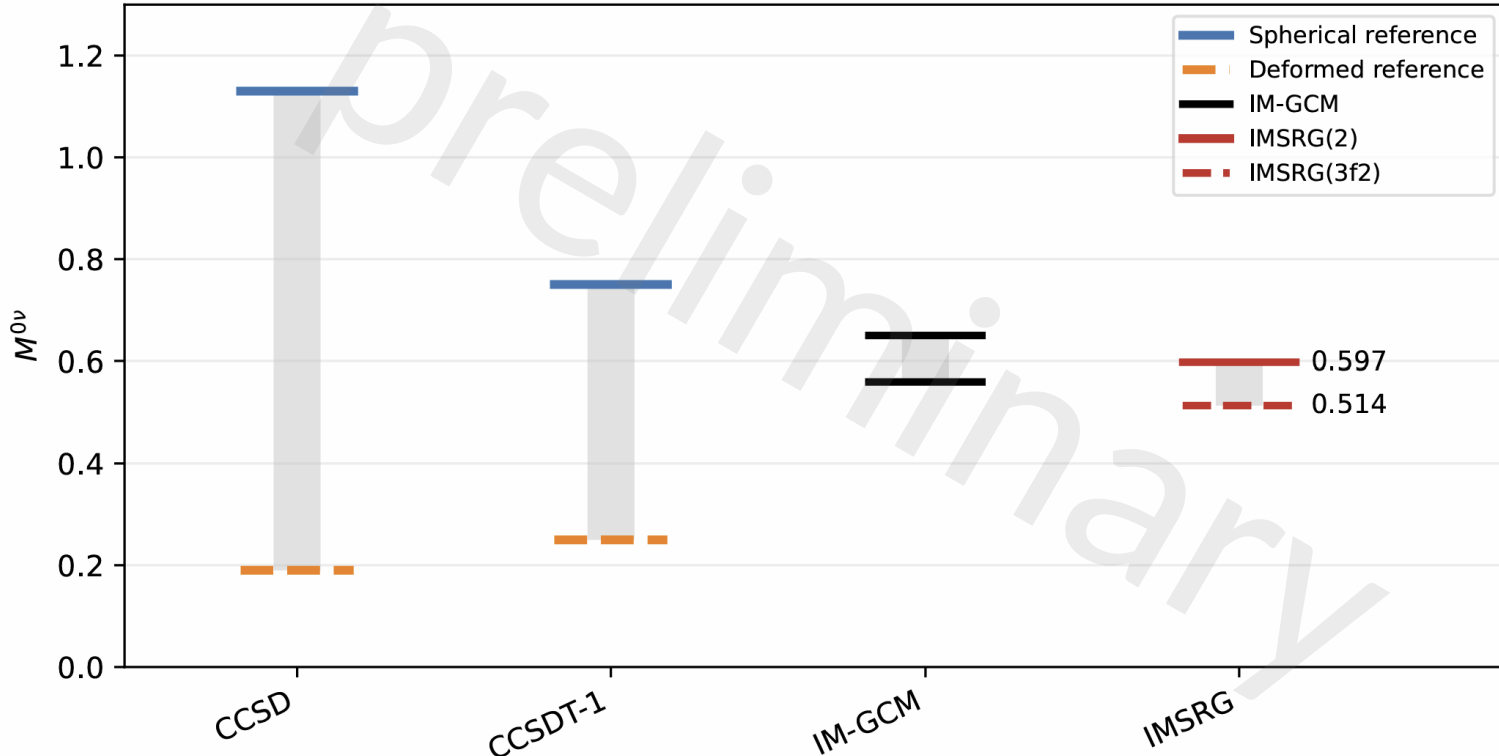
IMSRG(2A) A-body truncation
Exact solution



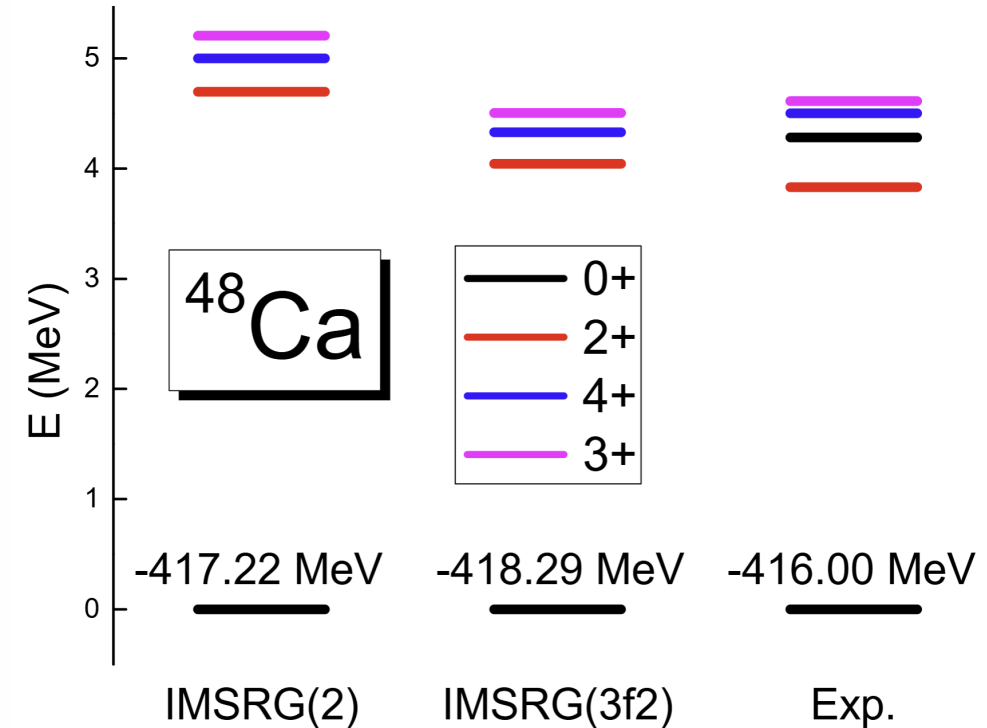
Intermediate 1b

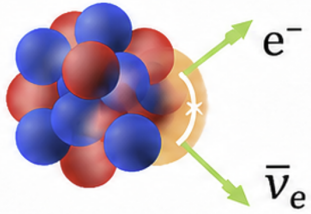
Preliminary results of ^{48}Ca from VS-IMSRG(3f2)

^{48}Ca $0\nu\beta\beta$ NME: CC, IM-GCM and IMSRG



EM 1.8/2.0 with $e_{\text{max}}=12$





Outline:

- Status of Coupled-cluster development
- Preliminary results from VS-IMSRG(3f2)
- **Application to superallowed beta decay**

BCH, Mikhail Gorchtein , Matthias Heinz, Ben Ohayon , Lucas Platter, Chien-Yeah Seng

arXiv:2605.13985

Help on testing of CKM unitarity

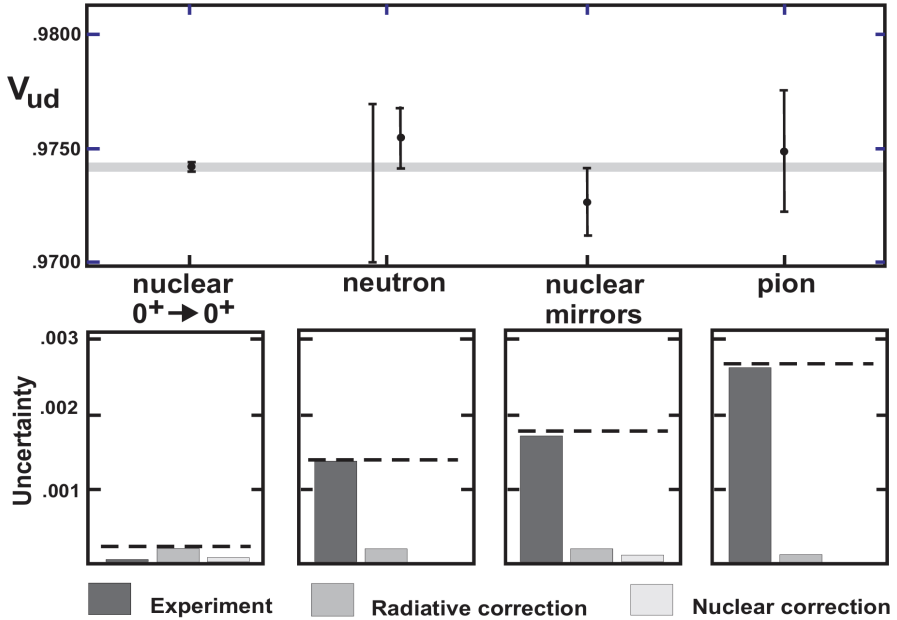
In SM, CKM matrix is unitary, describing the strength of flavor-changing weak interaction



Cabibbo Kobayashi Maskawa

$$\begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix}$$

$$|V_{ud}|^2 + |V_{us}|^2 + |\cancel{V_{ub}}|^2 = 0.9985(6)_{V_{ud}}(4)_{V_{us}} \sim 0.95 \quad \sim 0.05 \quad \sim 10^{-5}$$




sub-permille level

$$\mathcal{F}t \equiv ft(1 + \delta'_R)(1 + \delta_{NS} - \delta_C)$$

statistical rate function

$$= \frac{K}{2G_F^2 V_{ud}^2 (1 + \Delta_R^v)}$$

How to determine the statistical rate function f

$$f = m_e^{-5} \int_{m_e}^{E_0} pE(E_0 - E)^2 F(E) C(E) Q(E) R(E) r(E) dE$$


Fermi function
shape factor
atomic screening
kinematic recoil
atomic overlap

Nuclear-size and shape dependence enters through $F(E)$ and $C(E)$:

- The Fermi function $F(E)$ solving the Dirac equation $\rho_{\text{ch}}(r)$
- The shape factor $C(E)$, computed from a charged weak density $\rho_{\text{cw}}(r)$

Model-Independent Determination of Nuclear Weak Form Factors

$$\rho_{\text{cw}} = \rho_{\text{ch}}^1 + Z_0(\rho_{\text{ch}}^0 - \rho_{\text{ch}}^1) = \rho_{\text{ch}}^1 + (Z_{-1}/2)(\rho_{\text{ch}}^{-1} - \rho_{\text{ch}}^1)$$

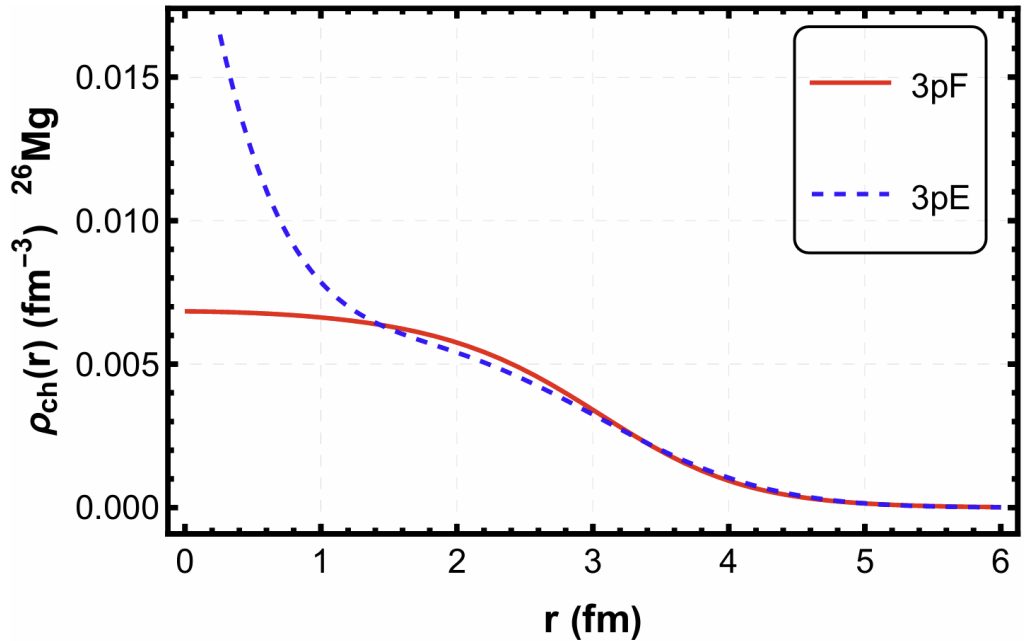
$$2Z_0\rho_{\text{ch}}^0 = Z_1\rho_{\text{ch}}^1 + Z_{-1}\rho_{\text{ch}}^{-1}$$

Chien-Yeah Seng, PRL, 130, 152501 (2023)

The statistical rate function f depends on nuclear size.

Nuclear charge density for ^{26}Mg in two different models with the first three moments fixed:

$$\langle r^2 \rangle = 9.1809 \text{fm}^2, \langle r^4 \rangle = 125.521 \text{fm}^4, \langle r^6 \rangle = 2204.11 \text{fm}^6$$



The three-parameter Fermi (**3pF**) model:

$$\rho_{3pF}(r; c, a, w) = \rho_0 \frac{1 + wr^2/c^2}{1 + \exp[(r - c)/a]}$$

Three-parameter exponential (**3pE**) model:

$$\rho_{3pE}(r; R_1, R_2, R_3) = C_1 \rho_{1pE}(r; R_1) + C_2 G_2(r) + C_3 G_3(r)$$

$$G_i(r) \equiv \frac{\exp\left[-\frac{(r-R_i)^2}{\gamma^2}\right] + \exp\left[-\frac{(r+R_i)^2}{\gamma^2}\right]}{2\pi^{3/2}\gamma(2R_i^2 + \gamma^2)}$$

$$\rho_{1pE}(r; R) = \frac{3\sqrt{3}}{\pi R^3} \exp\left(-\frac{2\sqrt{3}r}{R}\right)$$

Fix $\langle r^2 \rangle, \langle r^4 \rangle$ and $\langle r^6 \rangle \rightarrow f$ model independent

f values:

$$^{10}\text{C} \rightarrow ^{10}\text{B}^* : 2.30160 \text{ (3pF) vs. } 2.30161 \text{ (3pE) } \leq 0.001\%$$

$$^{26m}\text{Al} \rightarrow ^{26}\text{Mg} : 478.027 \text{ (3pF) vs. } 478.034 \text{ (3pE) } \leq 0.001\%$$

The precision goal for V_{ud} is **0.01%**..

Computing r moments from ab initio methods

It's hard for VS-IMSRG to evaluate r^4 and r^6 directly:

$$\mathcal{H}_{eff} = e^{\Omega} \mathcal{H} e^{-\Omega} \quad \mathcal{O}_{eff} = e^{\Omega} \mathcal{O} e^{-\Omega}$$

Extracting charge moments from low-q form factors:

$$F_{ch}(q^2) = e \sum_{i=1}^A \left\{ G_E^i(q^2) \left(1 - \frac{q^2}{8m^2} \right) j_0(qr_i) - \frac{q^2}{2m^2} \left[G_M^i(q^2) - \frac{1}{2} G_E^i(q^2) \right] (\ell_i \cdot \sigma_i) \frac{j_1(qr_i)}{qr_i} \right\}$$

$$\langle r^2 \rangle_{ch} = -6 \left. \frac{d\tilde{F}_{ch}}{dx} \right|_{x=0}, \quad \tilde{F}_{ch}(q) \equiv \frac{F_{ch}(q)}{F_{ch}(0)}$$

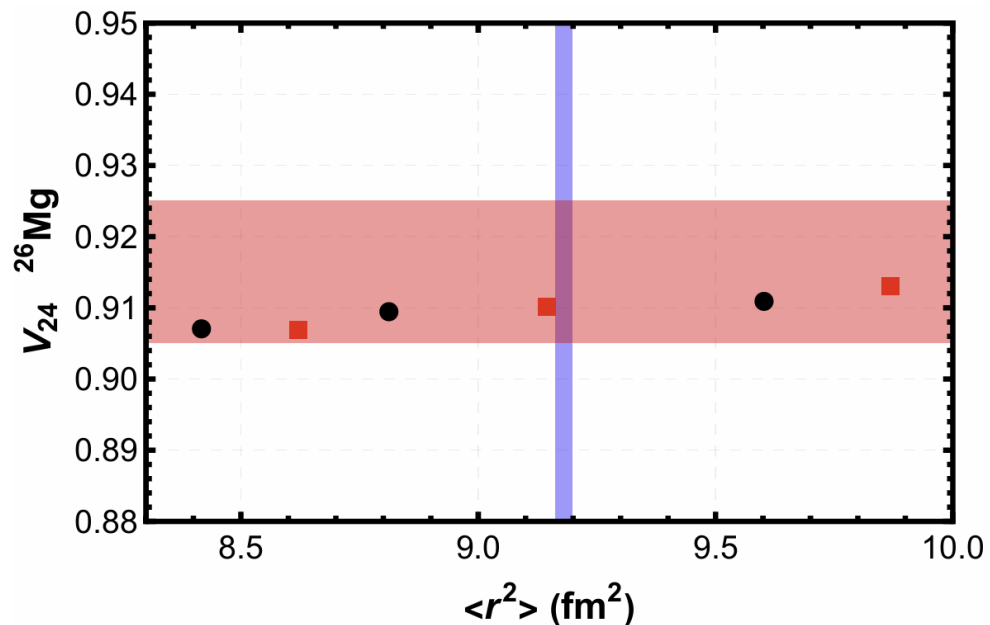
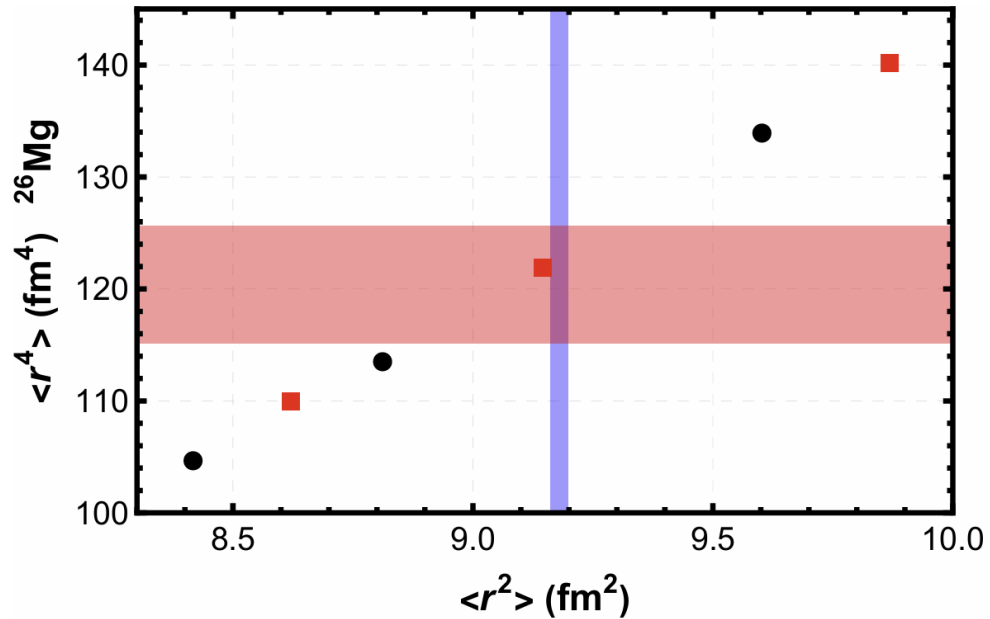
$$\langle r^4 \rangle_{ch} = 60 \left. \frac{d^2\tilde{F}_{ch}}{dx^2} \right|_{x=0}, \quad \text{center-of-mass contamination}$$

$$\langle r^6 \rangle_{ch} = -840 \left. \frac{d^3\tilde{F}_{ch}}{dx^3} \right|_{x=0}. \quad F_{ch}^{int}(q^2) = e^{q^2 b_{cm}^2/4} F_{ch}(q^2)^2$$

Mesh grid size:

- IMSRG(2) q goes up to 1 GeV
- IMSRG(3f2) q < 200 MeV

R moments and V factors



Many-body methods:

- IMSRG(2)
- IMSRG(3f2)

Nuclear interactions:

- 1.8/2.0 (EM)
- 1.8/2.0 (EM7.5)
- $\Delta\text{N}2\text{LO}_{\text{GO}}$ (394)

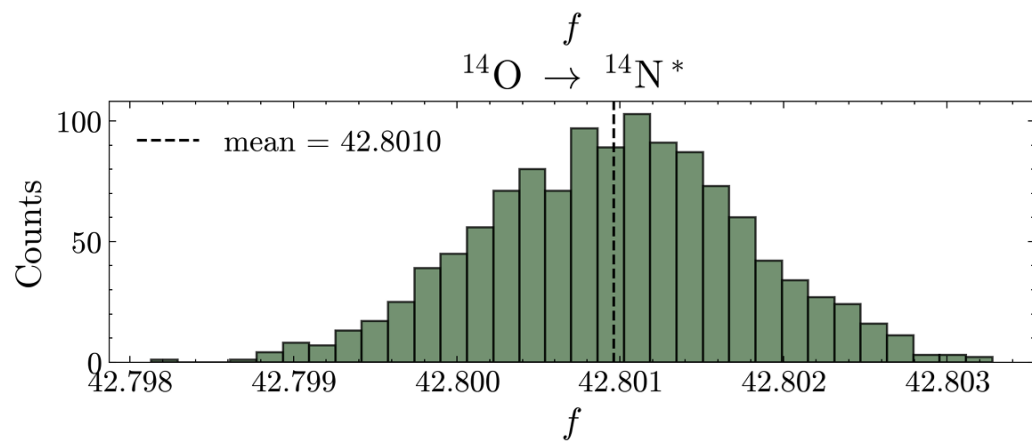
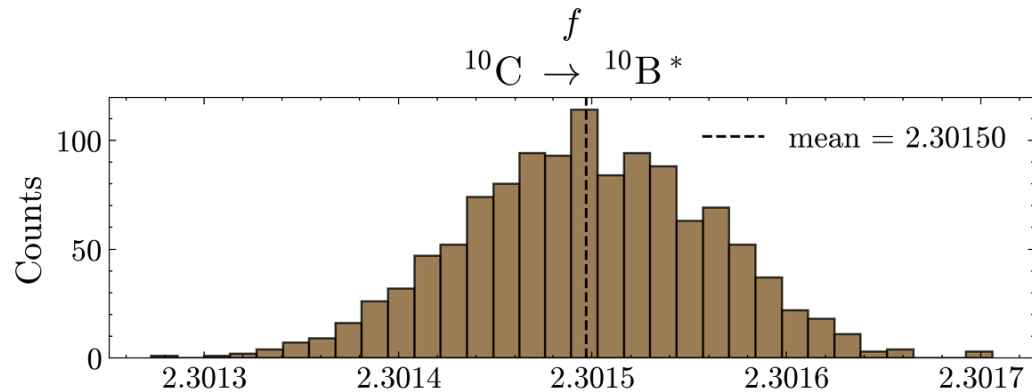
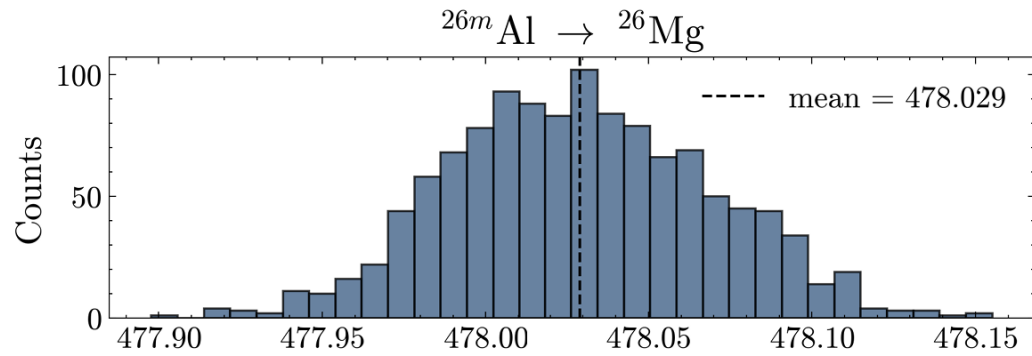
Dimensionless moment ratios:

$$V_{2\ 2n} \equiv \langle r^2 \rangle^{1/2} / \langle r^{2n} \rangle^{1/2n}$$

Moment ratios are more robust than absolute moments:

$$\langle r^2 \rangle_{\text{exp}} + V_{24}^{\text{th}} + V_{26}^{\text{th}} \Rightarrow \langle r^4 \rangle, \langle r^6 \rangle$$

Determining the statistical rate function f



Method 1:

$$r_{\text{ch}}^{(k)} \sim \text{experimental radius uncertainty}$$

Method 2:

$$r_{\text{ch}}^{(k)} \sim \text{experimental radius uncertainty}$$

$$V_{24}^{(k)}, V_{26}^{(k)} \sim \text{ab initio uncertainty.}$$

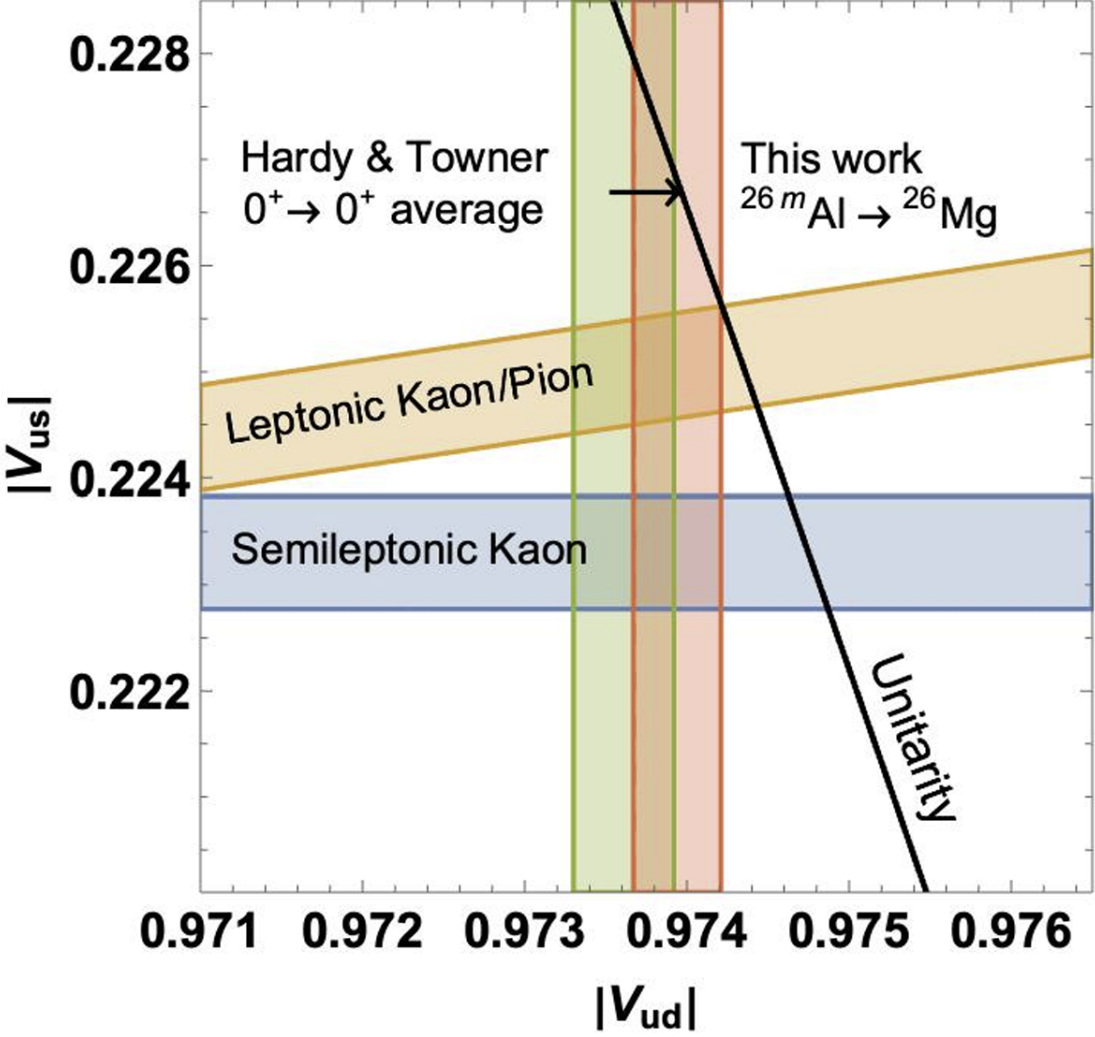
$$^{10}\text{C} \rightarrow ^{10}\text{B}^* : f = 2.30150(71)_{Q_{\text{EC}}} (43)_{\text{scr}} (6)_{\text{den}}$$

$$^{14}\text{O} \rightarrow ^{14}\text{N}^* : f = 42.8010(77)_{Q_{\text{EC}}} (63)_{\text{scr}} (8)_{\text{den}}$$

$$^{26m}\text{Al} \rightarrow ^{26}\text{Mg} : f = 478.029(101)_{Q_{\text{EC}}} (82)_{\text{scr}} (40)_{\text{den}}$$

“den” is the charge density uncertainty, dominated by the charge radii. The V-factor contribution is O(0.001%) or smaller

Reduced nuclear-size uncertainty in V_{ud} for ^{26}Al



The green vertical band

$$|V_{ud}| = 0.97373(31)$$

The red vertical band represents our new result

$$|V_{ud}| = 0.97394(27)$$

$$|V_{ud}|^2 = \frac{2984.431(3) \text{ s}}{\mathcal{F}t(1 + \Delta_R^V)}$$

$$\mathcal{F}t \equiv ft(1 + \delta'_R)(1 + \delta_{NS} - \delta_C)$$

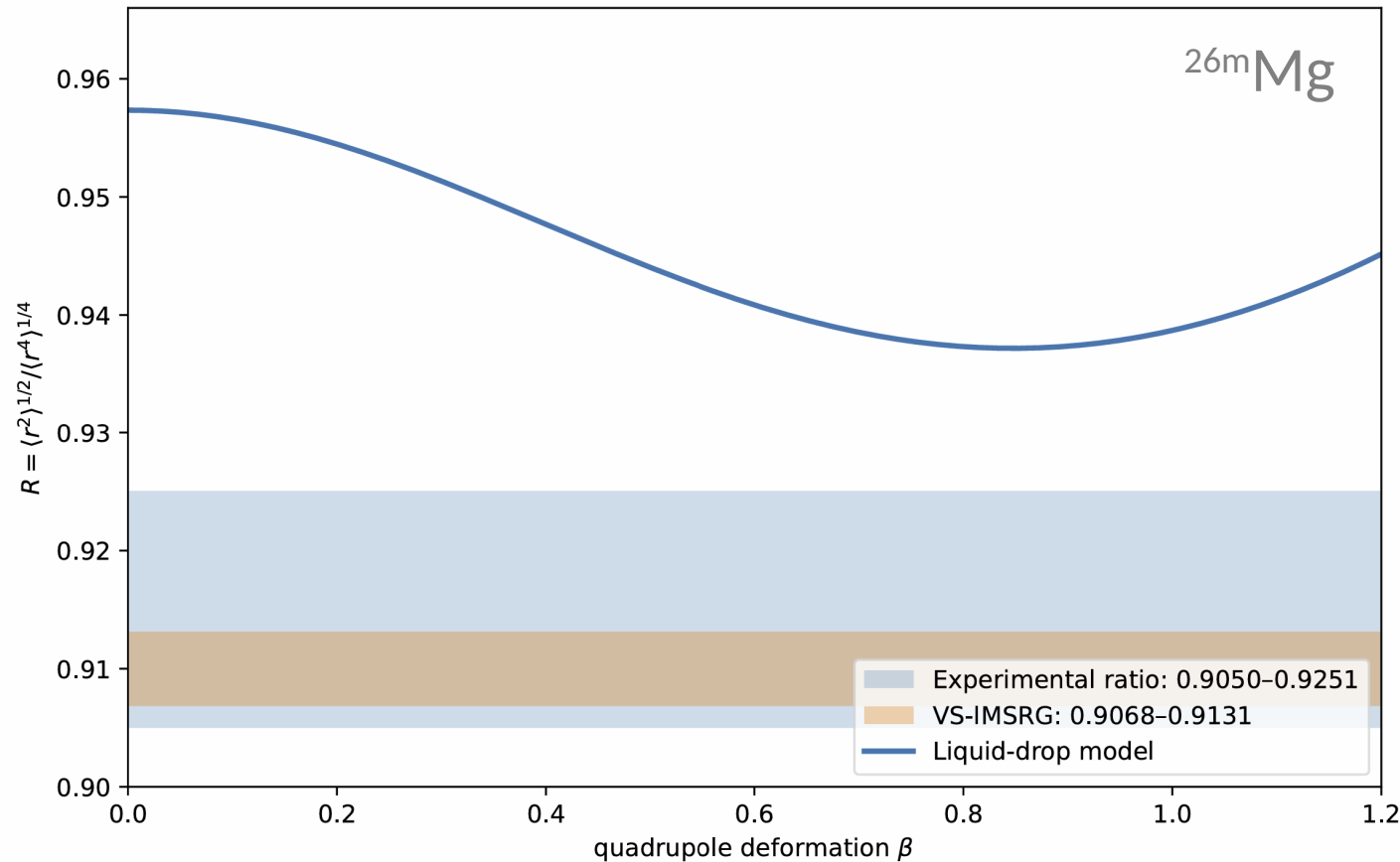
$$\Delta_{CKM} \equiv |V_{ud}|^2 + |V_{us}|^2 + |V_{ub}|^2 - 1$$

Using $Ft = 3070.16(1.68)$ from ^{26}mAl decay.

Thinking about the moment ratios

$$V_{2,2n}[\rho_{\text{ch}}] = \frac{\left[4\pi \int_0^\infty dr r^4 \rho_{\text{ch}}(r)\right]^{1/2}}{\left[4\pi \int_0^\infty dr r^{2n+2} \rho_{\text{ch}}(r)\right]^{1/(2n)}}$$

Density is the only quantity we need



$$R(\theta, \phi) = R_0 \left[1 + \sum_{\lambda\mu} \alpha_{\lambda\mu} Y_{\lambda\mu}(\theta, \phi) \right]$$

$$\mathcal{R}(\beta) \equiv \frac{\langle r^2 \rangle^{1/2}}{\langle r^4 \rangle^{1/4}} = \frac{\left[\frac{3}{5} \left(1 + \frac{5}{4\pi} \beta^2 \right) \right]^{1/2}}{\left[\frac{3}{7} \left(1 + \frac{7}{2\pi} \beta^2 \right) \right]^{1/4}}$$

Ongoing project to understand the ratio in ab initio

Summary

- **Triaxial CCSD** framework is developing for $0\nu\beta\beta$ NMEs
 - **VS-IMSRG(3f2)** enables practical inclusion of leading induced three-body effects in NME calculations
 - Ab initio developments also benefit super-allowed β decay
-

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IMSRG Collaboration

Alex Todd (McGill), Antoine Belley (MIT), Jason Holt (TRIUMF), Ragnar Stroberg (Notre Dame)

Finite size correction for Superallowed beta decay

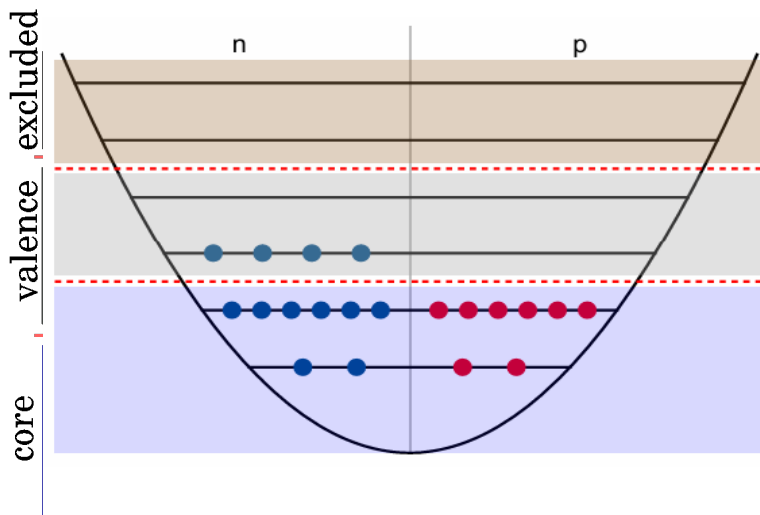
Mikhail Gorchtein (Johannes Gutenberg-Universit), Matthias Heinz (ORNL), Ben Ohayon (Israel Institute of Technology), Lucas Platter (UTK), Chien-Yeah Seng (UTK)

Thank you!

Many-body truncations in the IMSRG

$$H(s) = e^{\Omega(s)} H(0) e^{-\Omega(s)} \quad \text{Baker-Campbell-Hausdorff}$$

$$= H + [\Omega, H] + \frac{1}{2!} [\Omega, [\Omega, H]] + \dots$$



$$[\Omega, H]_{2b}$$

IMSRG(2)

$$[\Omega, H]_{3b}$$

IMSRG(3)

...

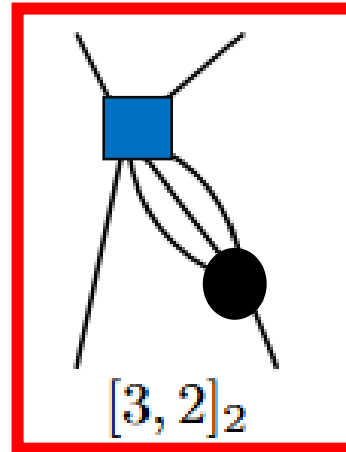
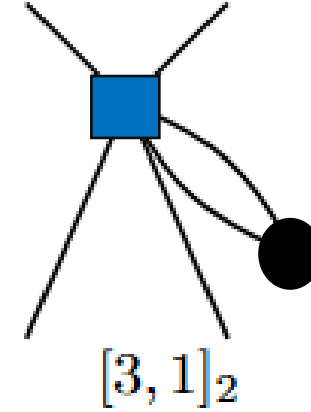
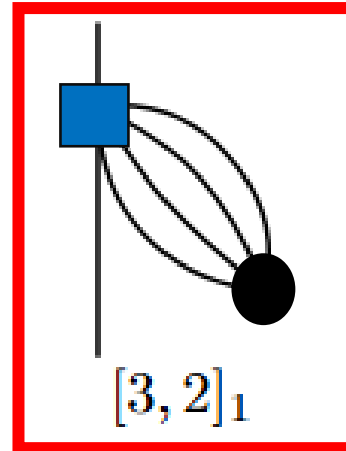
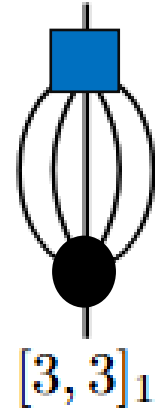
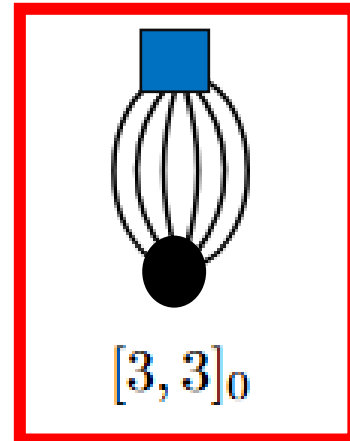
IMSRG(A)

Step 1: Decouple core

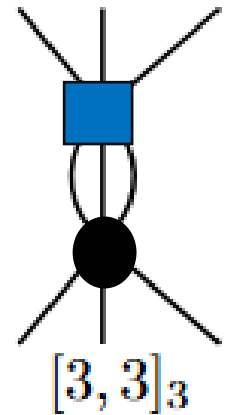
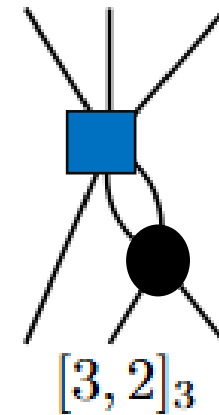
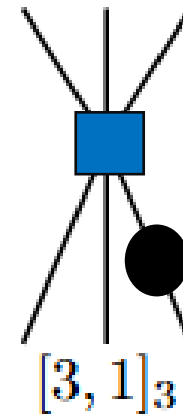
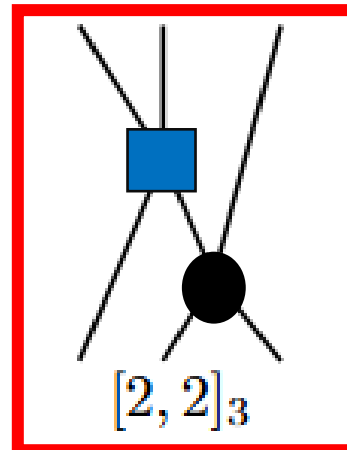
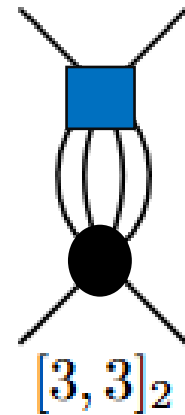
Step 2: Decouple valence space

How to truncate IMSRG(3)

$$e^{\Omega} \mathcal{H} e^{\Omega}$$



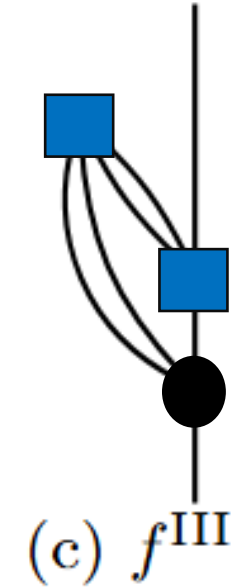
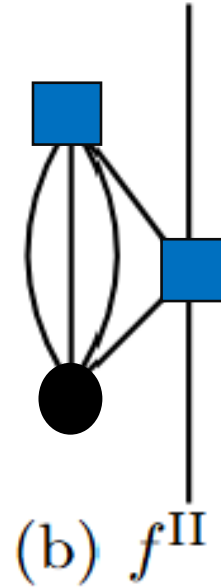
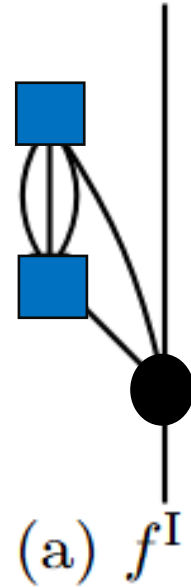
$$[\Omega, H]$$



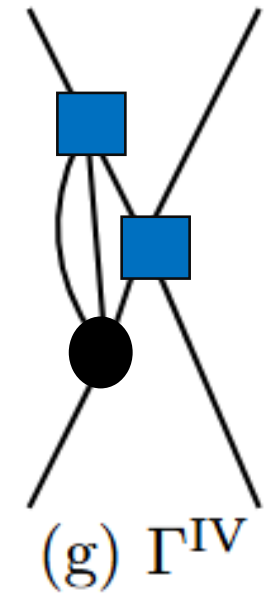
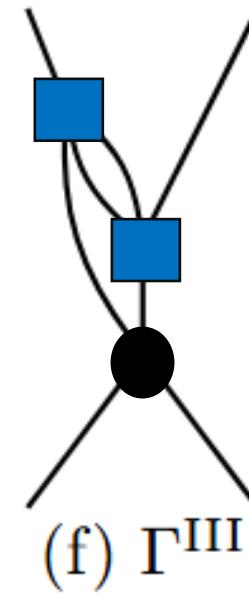
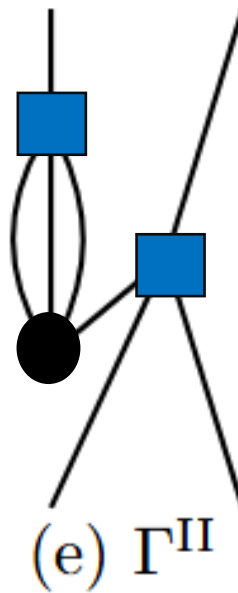
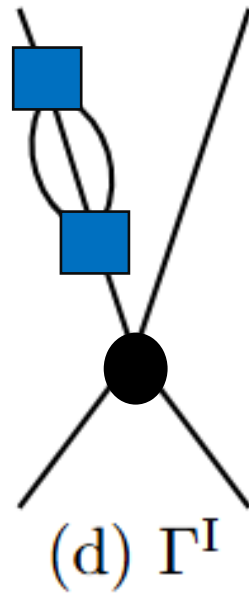
$$[\Omega, [\Omega, H]_{3b}]_{1b, 2b}$$

Factorized double commutators

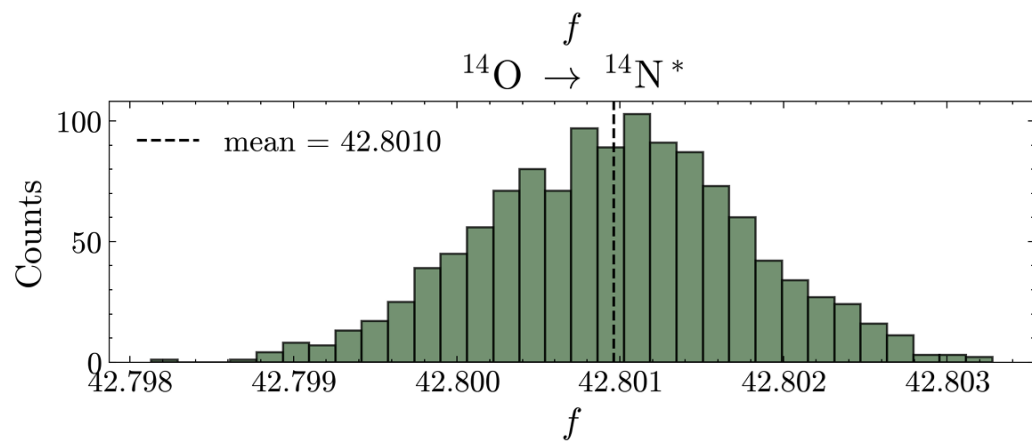
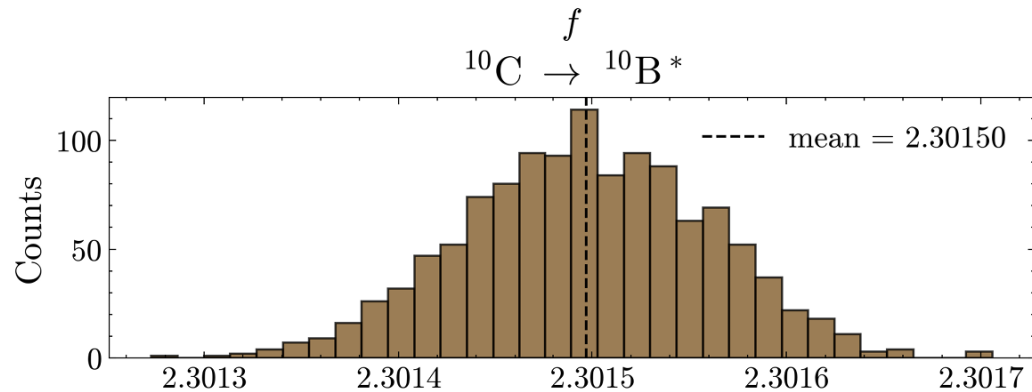
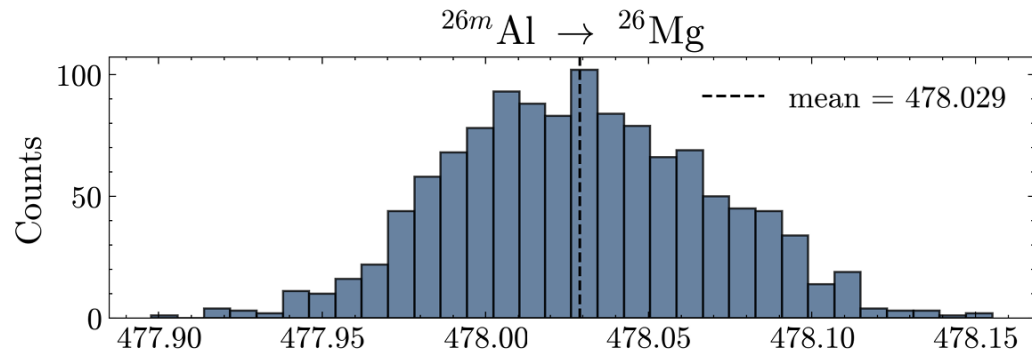
$$[\Omega, [\Omega, H]_{3b}]_{1b}$$



$$[\Omega, [\Omega, H]_{3b}]_{2b}$$



Determining the statistical rate function f



Method 1:

With V-factors fixed, we then vary the radii between the central and maximum values. This provides a straightforward assessment of uncertainty.

Method 2:

We randomly sample a large ensemble of charge densities by varying both radii and V-factors within their uncertainties, compute f for each sample, and take the standard deviation of the resulting distribution as the combined uncertainty from the radii and ab initio calculations.

$$^{10}\text{C} \rightarrow ^{10}\text{B}^* : f = 2.30150(71)_{Q_{\text{EC}}} (43)_{\text{scr}} (6)_{\text{den}}$$

$$^{14}\text{O} \rightarrow ^{14}\text{N}^* : f = 42.8010(77)_{Q_{\text{EC}}} (63)_{\text{scr}} (8)_{\text{den}}$$

$$^{26m}\text{Al} \rightarrow ^{26}\text{Mg} : f = 478.029(101)_{Q_{\text{EC}}} (82)_{\text{scr}} (40)_{\text{den}}$$

“den” is the charge density uncertainty, dominated by the charge radii. The V-factor contribution is $O(0.001\%)$ or smaller