



# Ab initio PGCM and applications to light nuclei

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INT PROGRAM INT-23-1A

Intersection of nuclear structure and high-energy nuclear collisions

# 1 Context

*Theoretical description of nuclear systems*

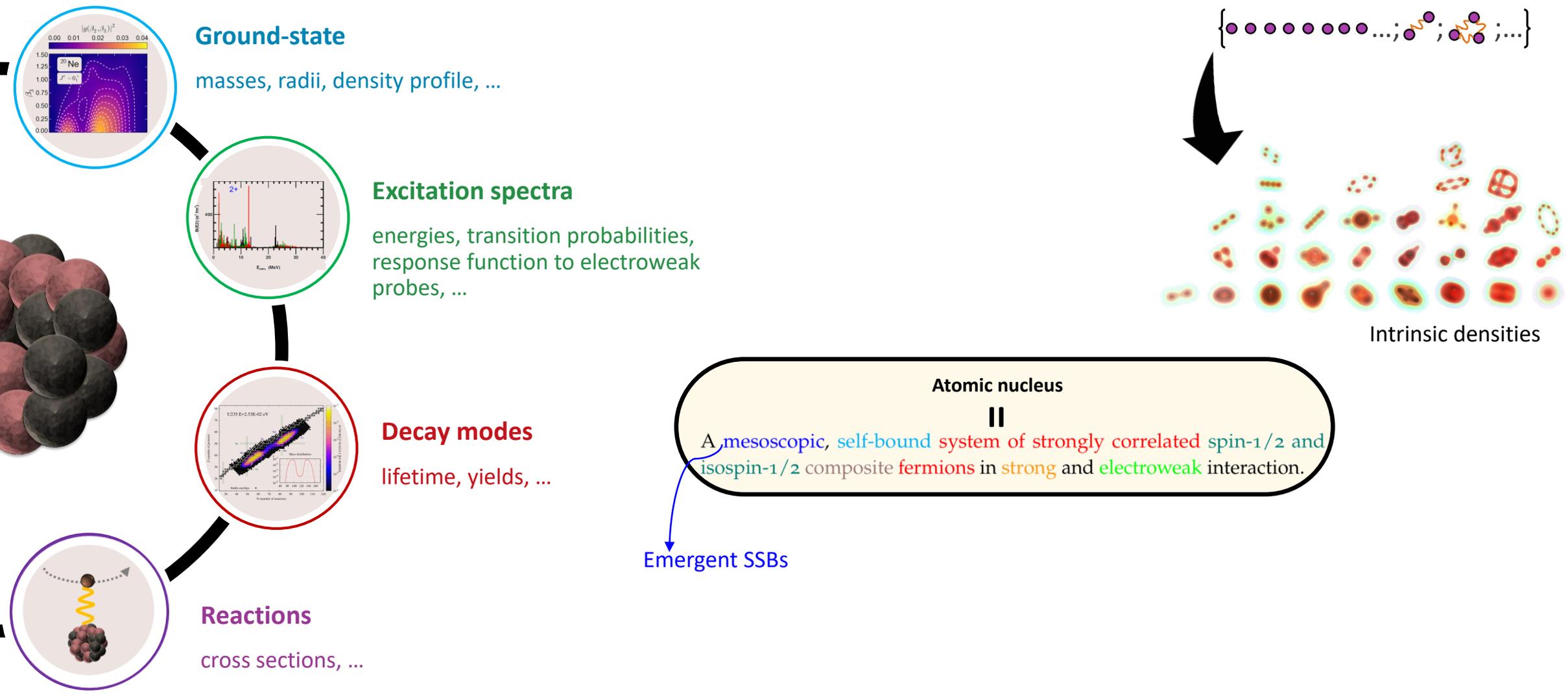
# 2 PGCM

*How to best account for nucleons correlations*

# 3 Application

# 1 Context : General goal of nuclear structure theory

Starting from the hadronic level of organization (nucleons + interactions), what novel structures emerge and how they evolve with  $E_{\text{ex}}$ ,  $N$ ,  $Z$ , ...

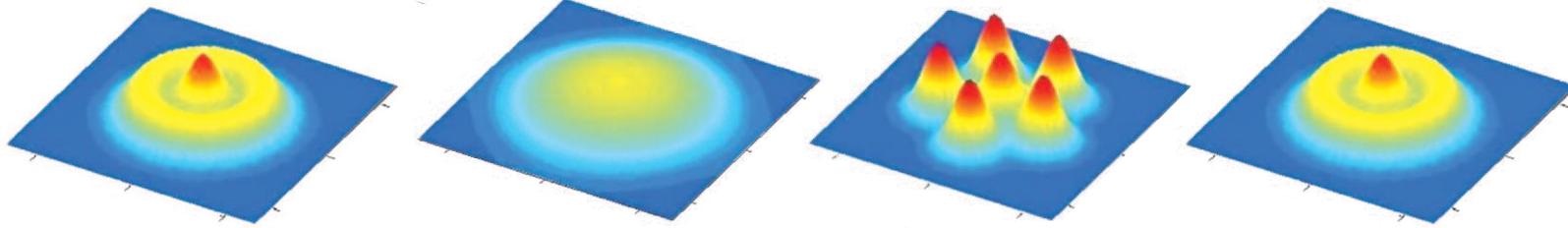


# 1 Context : General goal of nuclear structure theory

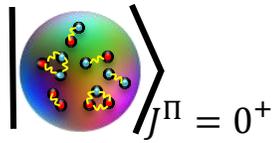
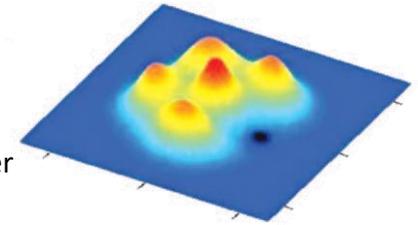
Emergent SSBs : SSBs obscured by non-negligible fluctuations of order parameters but still leave traces

2-point correlation function

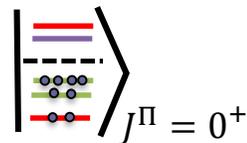
Density profile



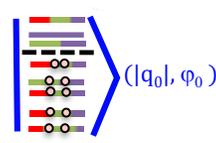
Revealing back long-range order



Exact WF



Approx :  
Symmetry-preserving HF WF



Approx :  
Symmetry-broken HFB WF

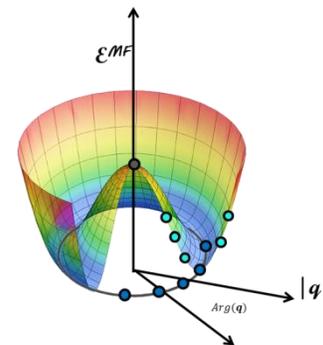
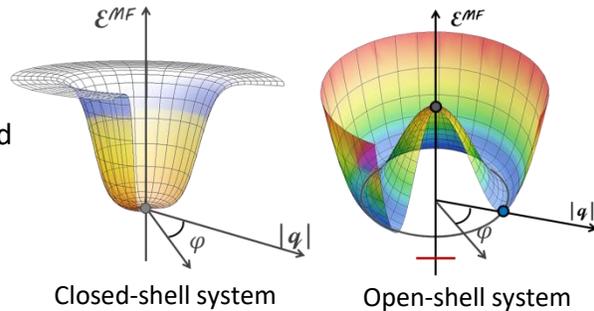
$$\int dq f(q) | \text{Nucleus} \rangle(q)$$

Approx :  
PGCM WF



Spectroscopy

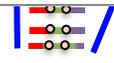
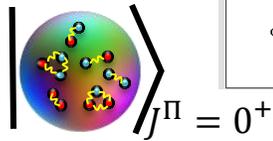
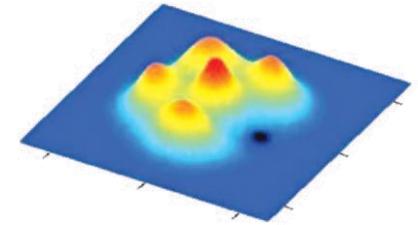
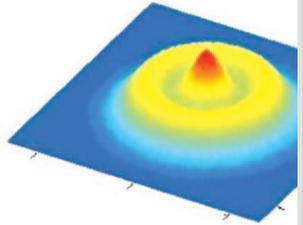
Selection rules satisfied  
Symmetries of the Hamiltonian realized in the ground and excited states  
BUT ALSO  
Long-range order/collectivity



# 1 Context : General goal of nuclear structure theory

## 2-point correlation function

### Emergent SSB



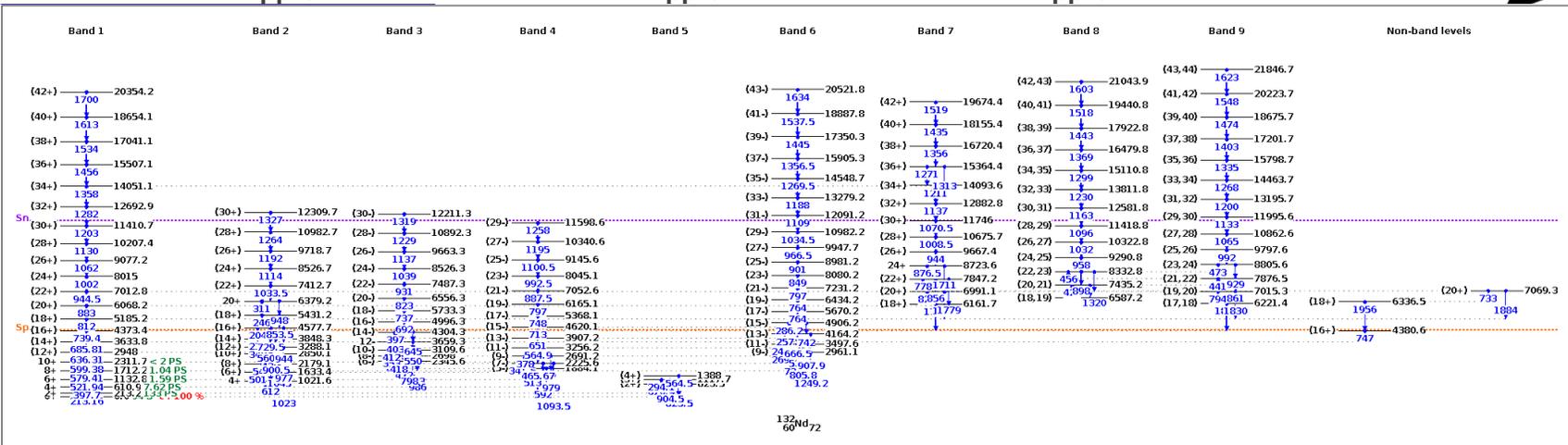
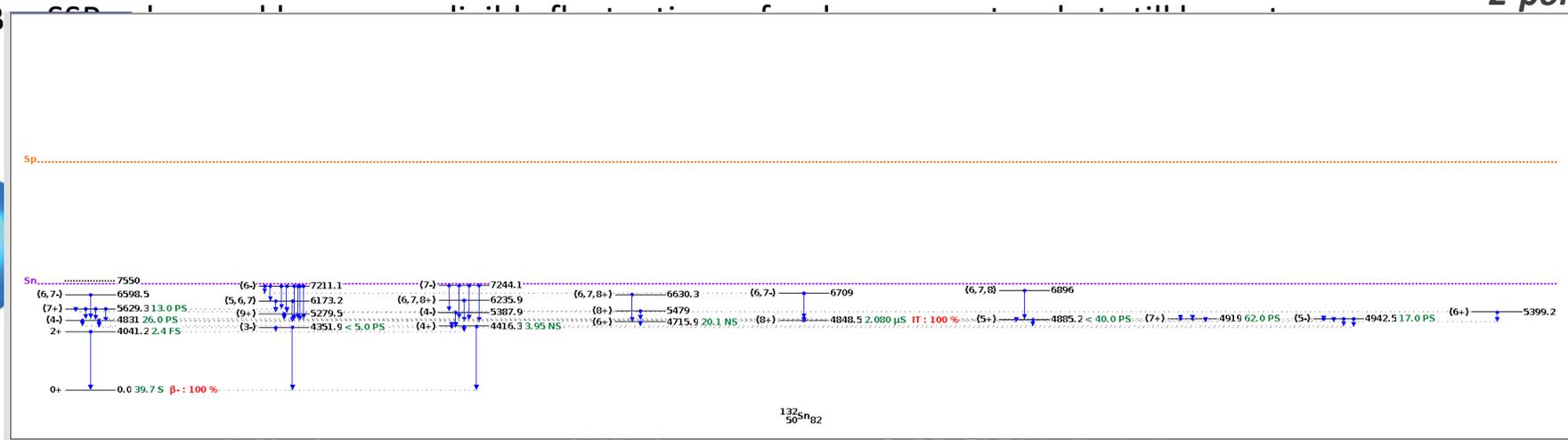
Exact WF

Approx :

Approx :

Approx :

Spectroscopy

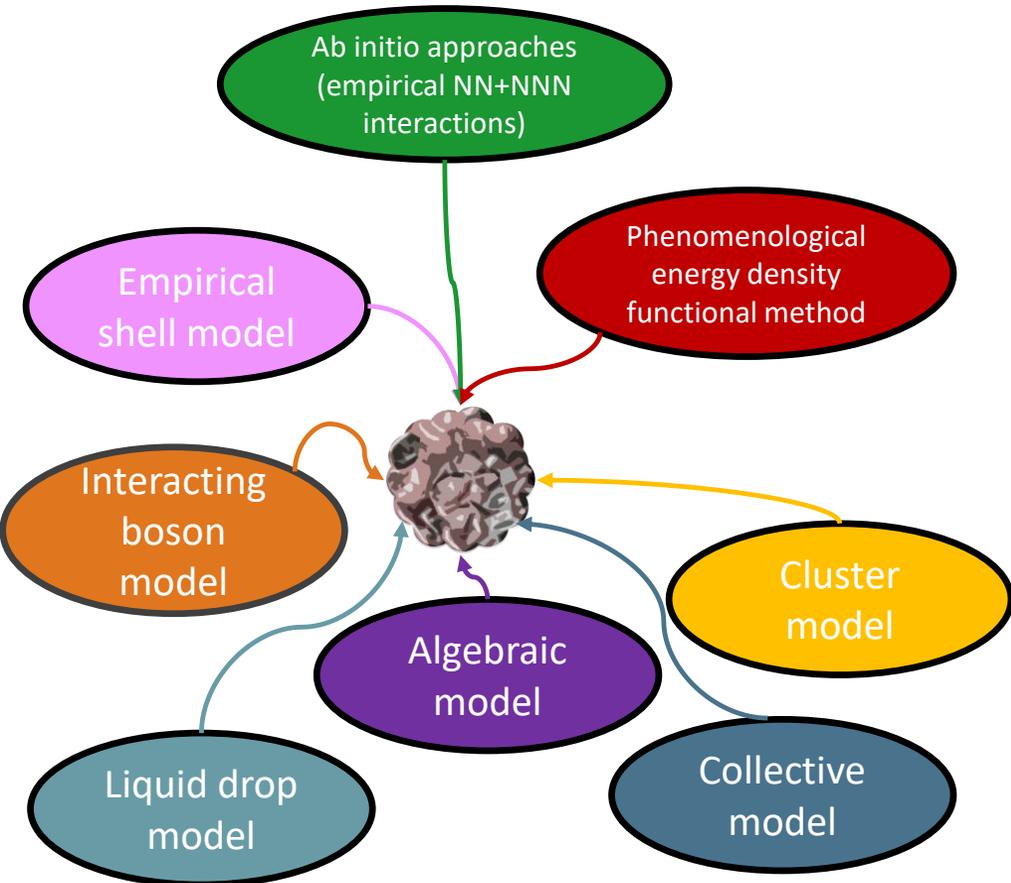


Selection rules satisfied  
Symmetries of the Hamiltonian realized in the ground states  
BUT ALSO  
Long-range order/collective

Yannouleas & Landman, 20

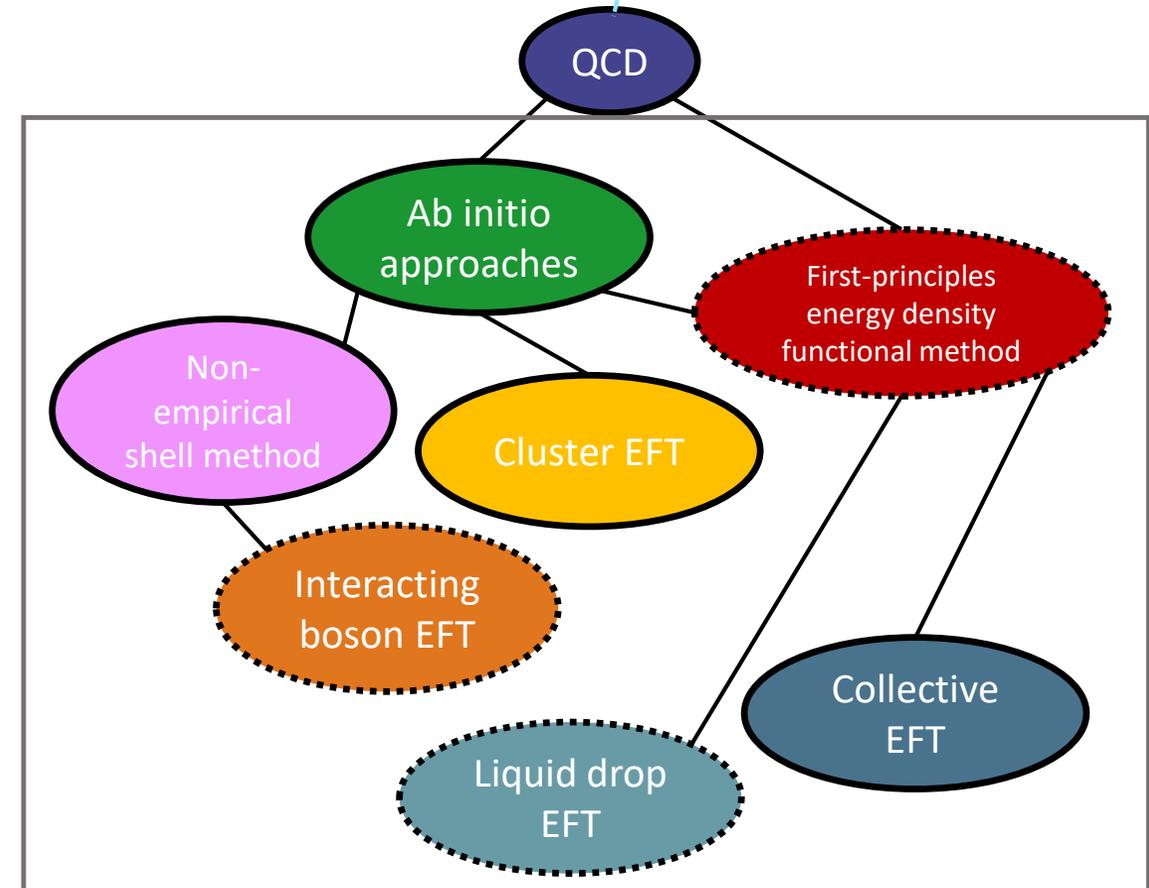
accurate  
predictive  
computationally affordable

## Era of models



- ✓ Gives insight about relevant scales/dofs
- ✓ Ready to be used
- ✗ Lack of control  
⇒ double counting issues, error compensation, no error assessment

## Era of effective (field) theories



- ✓ Full control ⇒ systematically improvable, no error compensation, no double counting, possibility of error estimation, ...
- ✓ ✗ Force you to step back and rethink



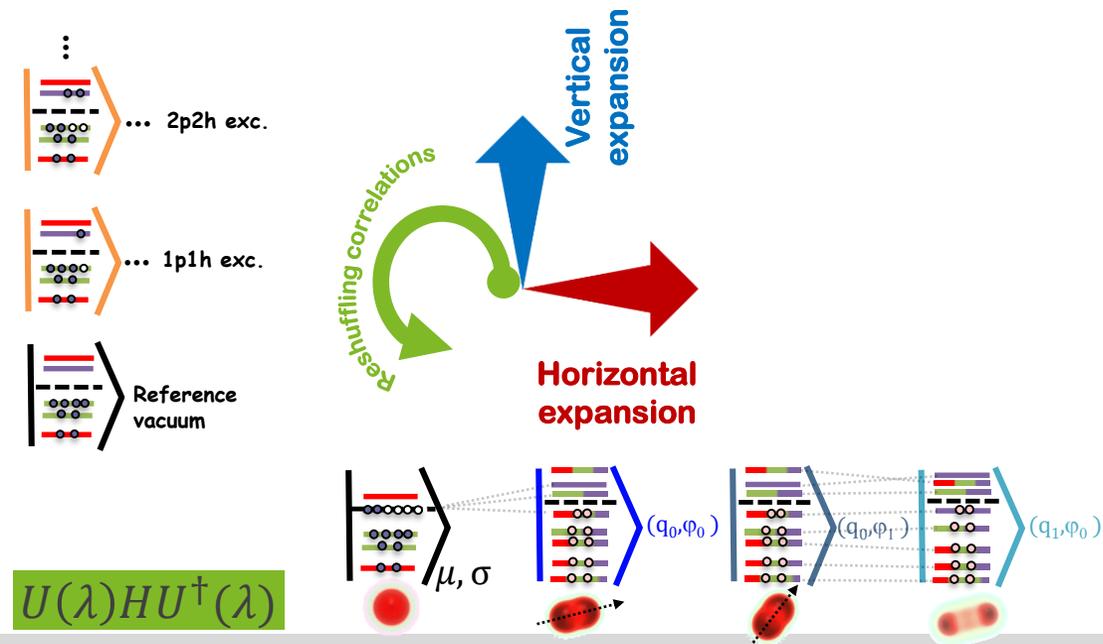
# 1 Context : Nuclear structure from a microscopic viewpoint

- 1) Nucleus:  $A$  interacting, structure-less nucleons
- 2) Structure & dynamic encoded in Hamiltonian, Functional, ...
- 3) Solve  $A$ -nucleon Schrödinger/Dirac equation to desired accuracy

$$H(\text{wavy}, \text{wavy}, \dots) |\Psi_{\mu, \sigma}\rangle = E_{\mu\sigma} |\Psi_{\mu, \sigma}\rangle$$

Strongly correlated WF  $\leftarrow$   $|\Psi_{\text{gs}}\rangle = \sum_{i_1 < \dots < i_A}^L C_{i_1 \dots i_A} |\phi_{i_1} \dots \phi_{i_A}\rangle \equiv \sum_I^{N_{\text{FCI}}} C_I |\Phi_I\rangle$

## Rationale for grasping nucleon correlations



## Ab initio

- ⊙ Systematically improvable free-space Hamiltonian in  $\chi$ EFT
- ⊙ Solving Schrödinger equation
  - ◇ Pre-processing H
  - ◇ Refined many-body schemes with controlled uncertainties
    - $\rightarrow$  CI (full space diag.) : exponential scaling
    - $\rightarrow$  Hybrids (valence space diag.) : mixed scaling
    - $\rightarrow$  Expansion methods (partition, expand and truncate) : polynomial scaling
- ⊗ How to challenge ab initio frontiers

## EDF

- ⊙ Effective pseudo-Hamiltonian
  - Free-space interactions  $\rightarrow$  Effective in-medium interactions
  - $|\Psi_{\mu, \sigma}\rangle$  Complicated WF  $\rightarrow$   $|\Theta_{\mu, \sigma}\rangle$  Simplified auxiliary WF
- ⊙ Various levels of realization
  - $\rightarrow$  Hartree-Fock-Bogoliubov (HFB)
  - $\rightarrow$  Projected Generator Coordinate Method (PGCM)
  - $\rightarrow$  Quasiparticle Random Phase Approximation (QRPA)
- ⊗ How to improve current EDFs
- ⊗ How to turn EDF in EFT?

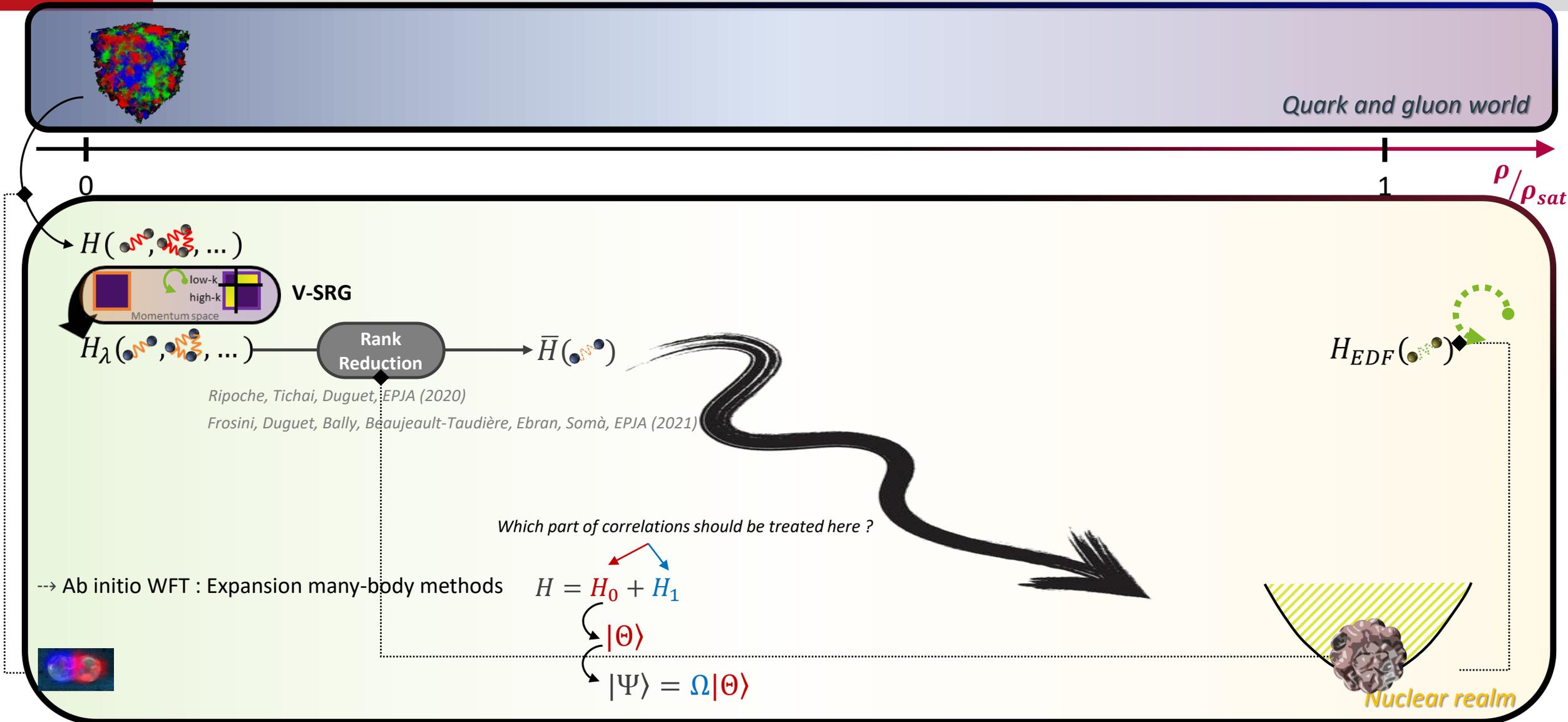
# 1 Context

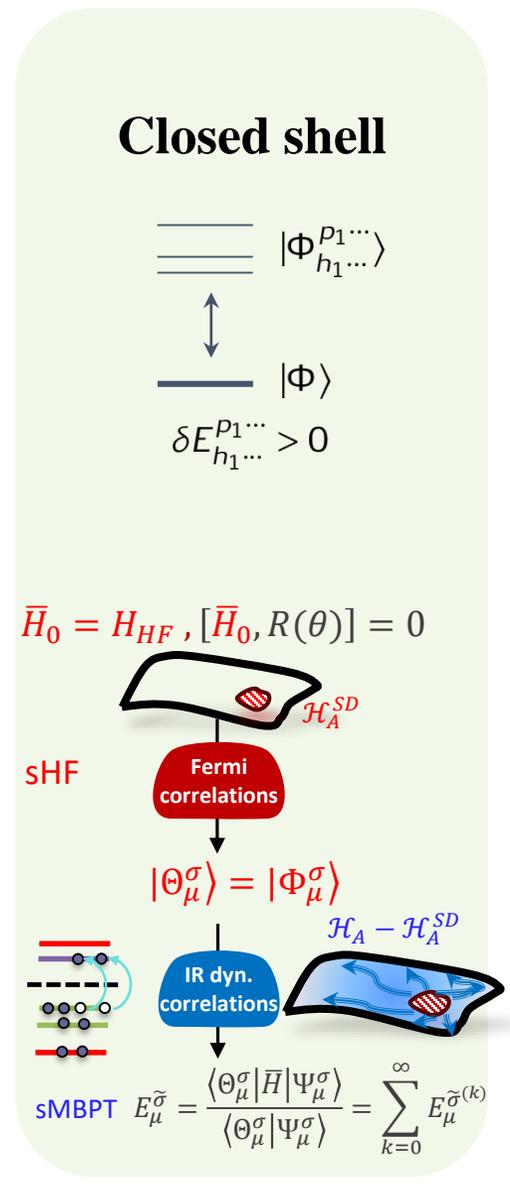
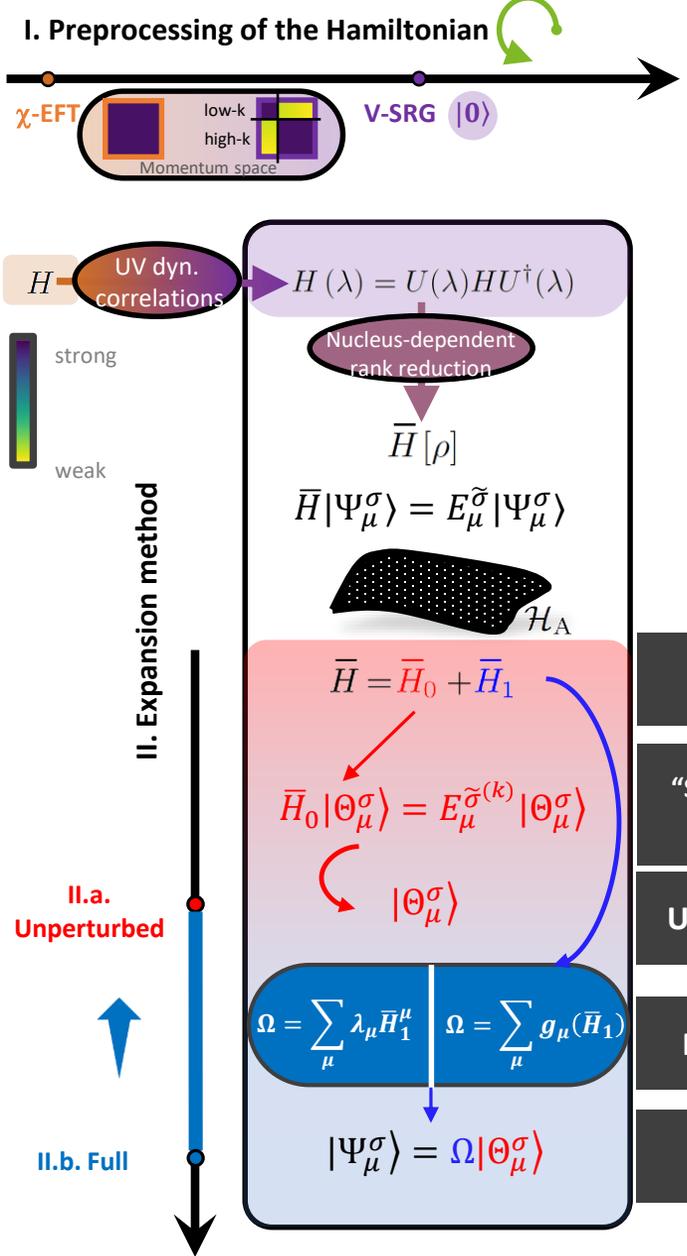
*Theoretical description of nuclear systems*

# 2 PGCM

*How to best account for nucleons correlations*

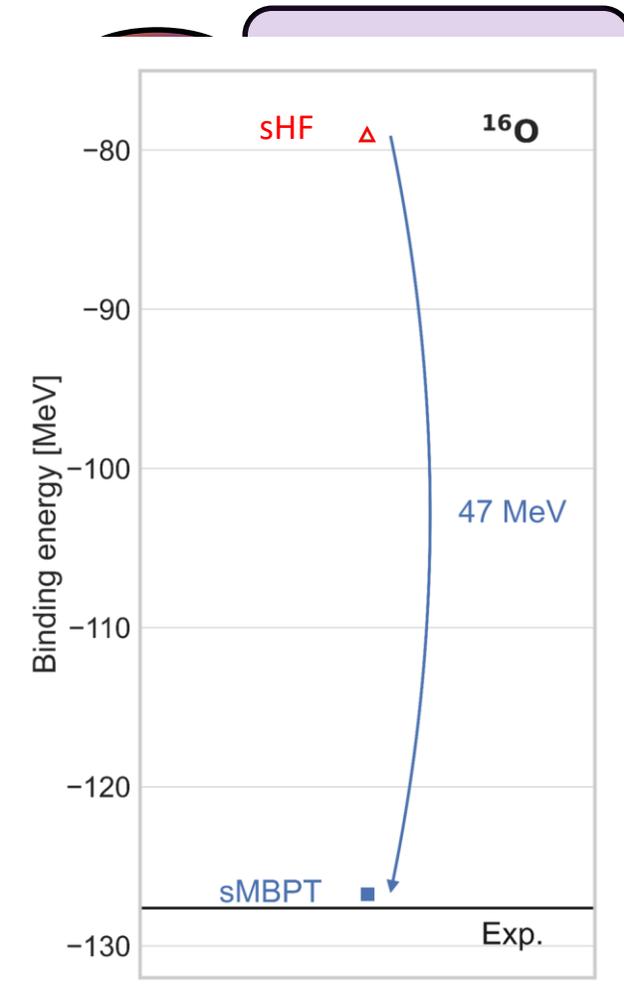
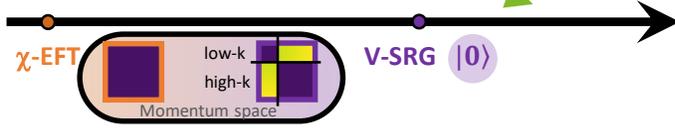
# 3 Application





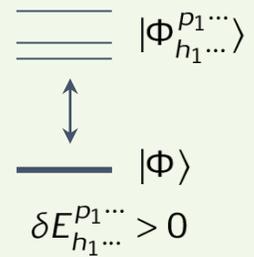
Tichai, Langhammer, Binder, Roth PLB(2016)

I. Preprocessing of the Hamiltonian

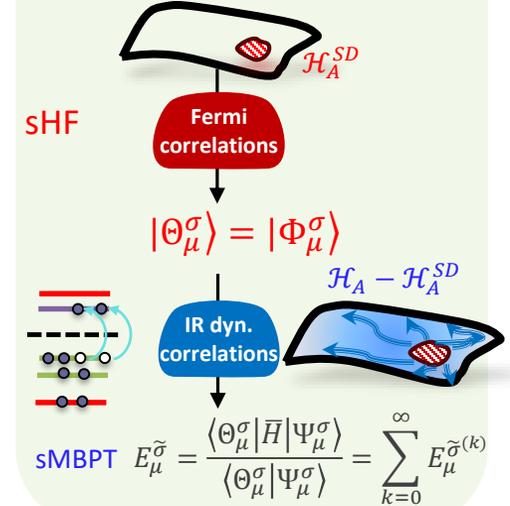


- Partitioning
- "Simple" Schrödinger equation
- Unperturbed state
- Expansion series
- Correlated WF

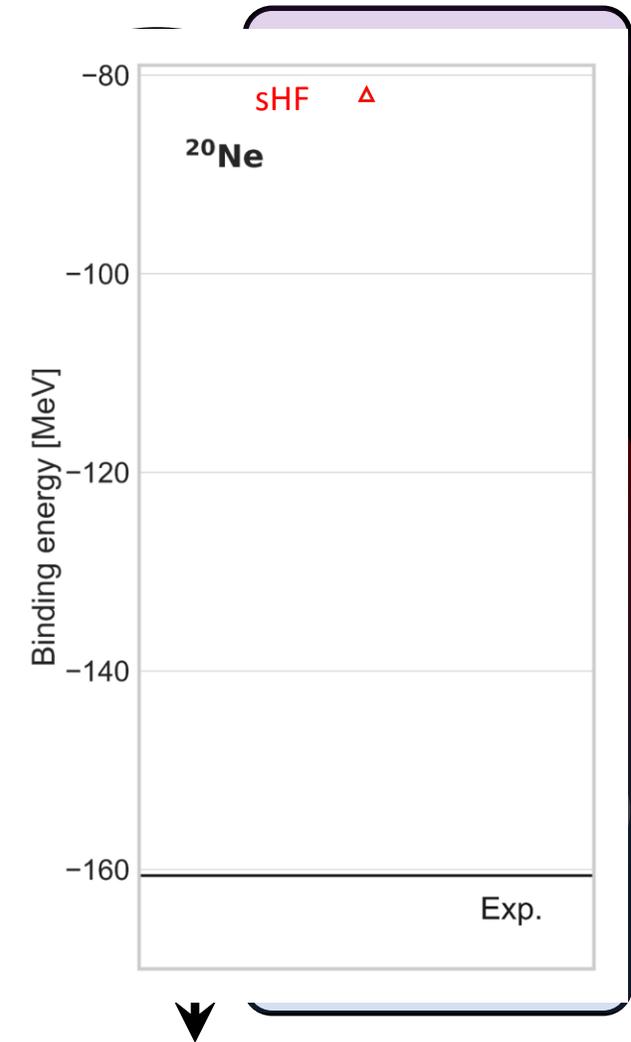
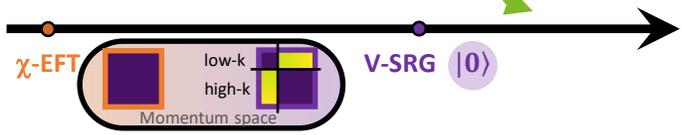
Closed shell



$$\bar{H}_0 = H_{HF}, [\bar{H}_0, R(\theta)] = 0$$

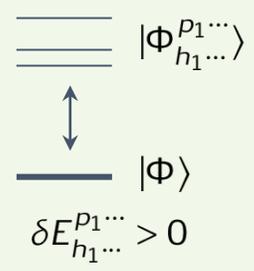


# I. Preprocessing of the Hamiltonian

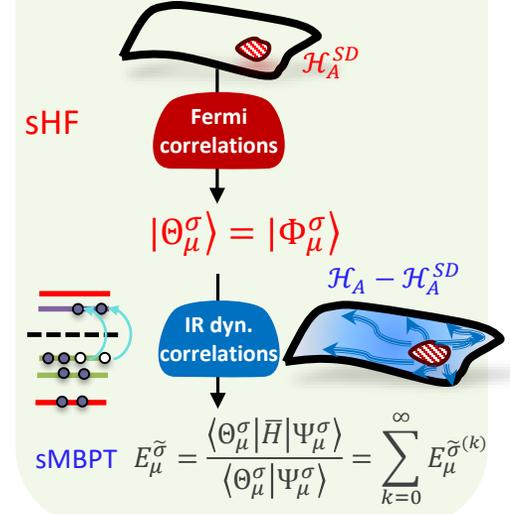


# 2 Simple expansion scheme

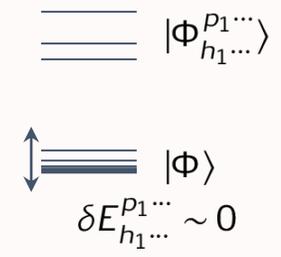
## Closed shell



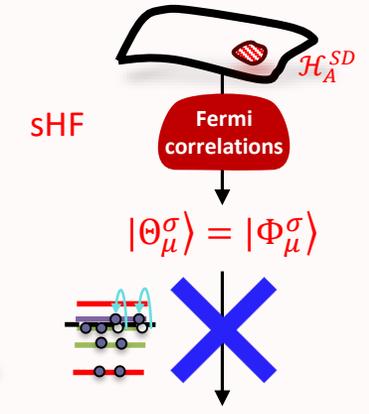
$$\bar{H}_0 = H_{HF}, [\bar{H}_0, R(\theta)] = 0$$



## Open shell

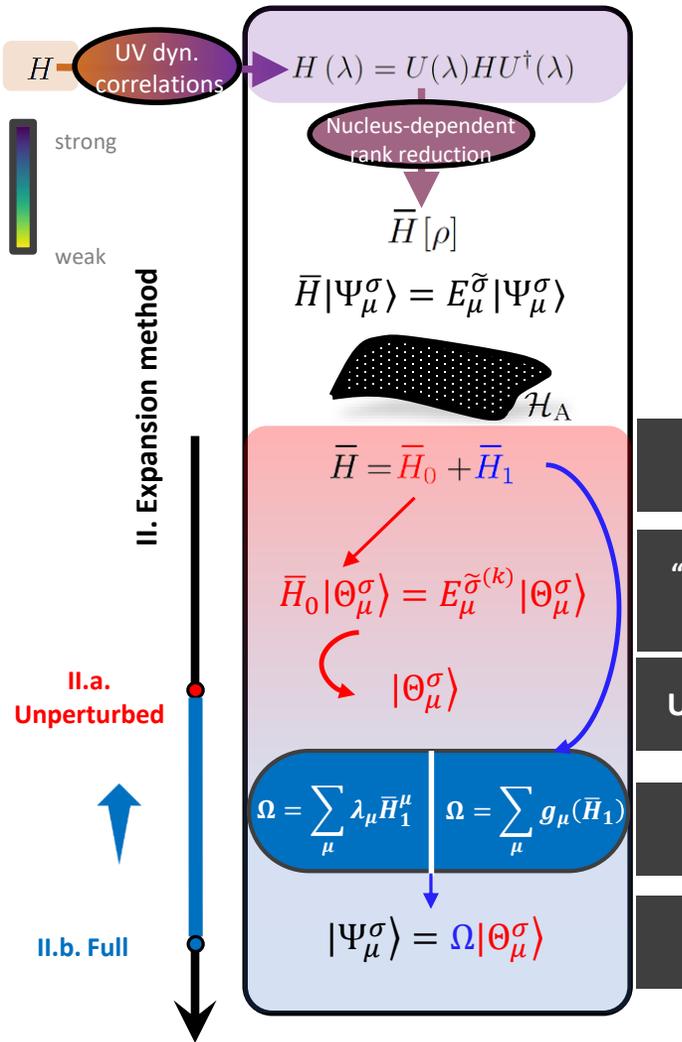
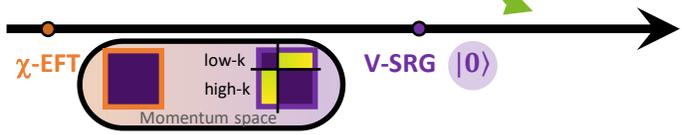


$$\bar{H}_0 = H_{HF}, [\bar{H}_0, R(\theta)] = 0$$

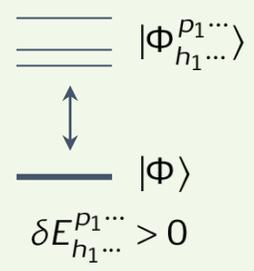


Need to include static correlations from the 0<sup>th</sup> order !

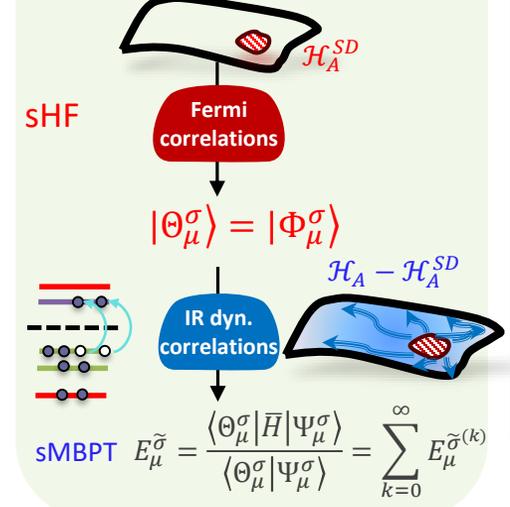
# I. Preprocessing of the Hamiltonian



## Closed shell

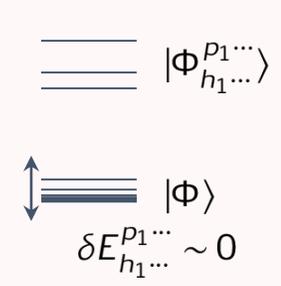


$$\bar{H}_0 = H_{HF}, [\bar{H}_0, R(\theta)] = 0$$

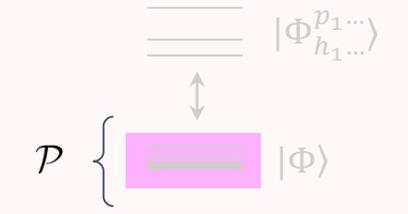
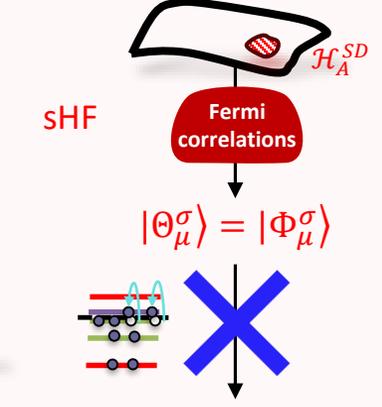


# 2 Expansion scheme with hybrid cost

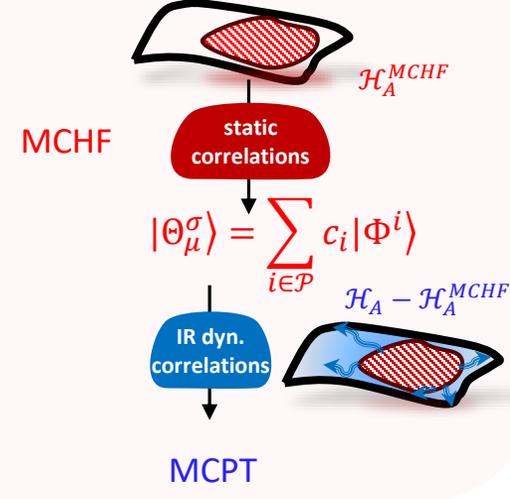
## Open shell



$$\bar{H}_0 = H_{HF}, [\bar{H}_0, R(\theta)] = 0$$

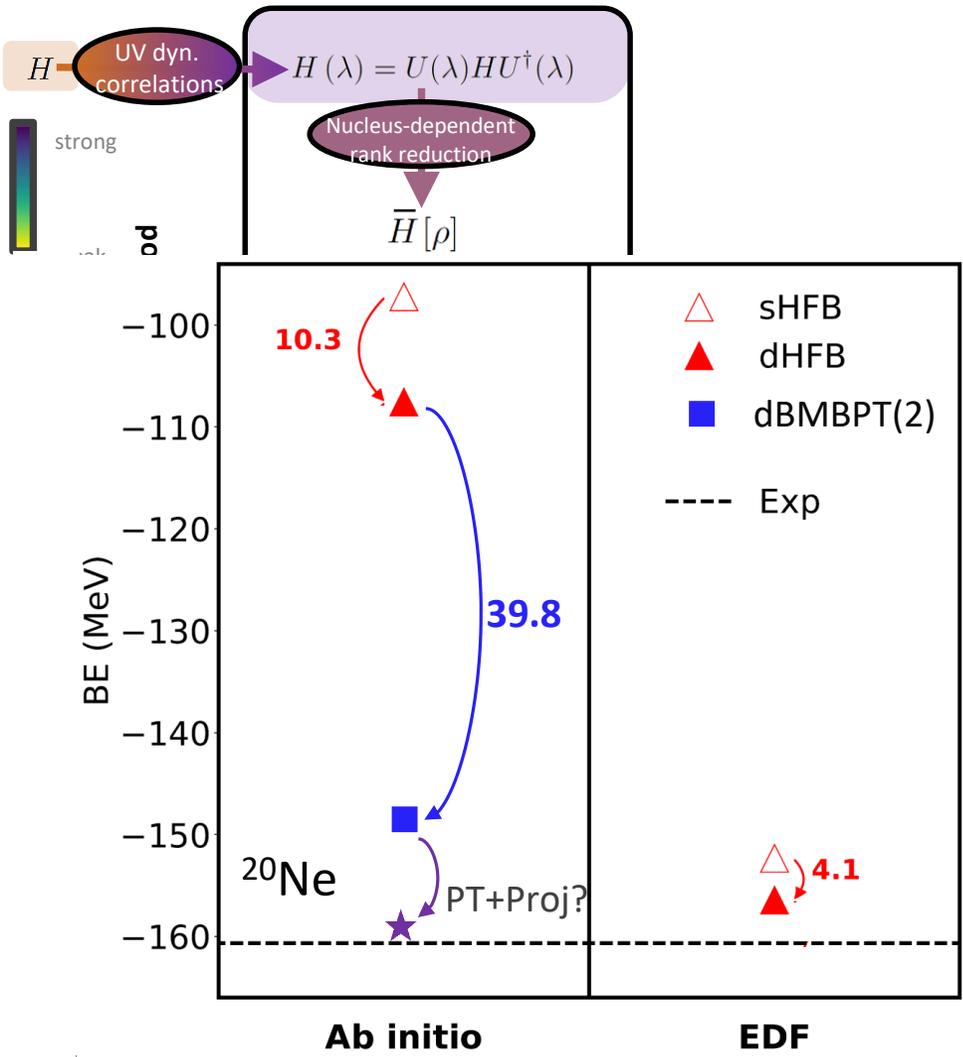


$$\bar{H}_0 = H_{MCHF}, [\bar{H}_0, R(\theta)] = 0$$

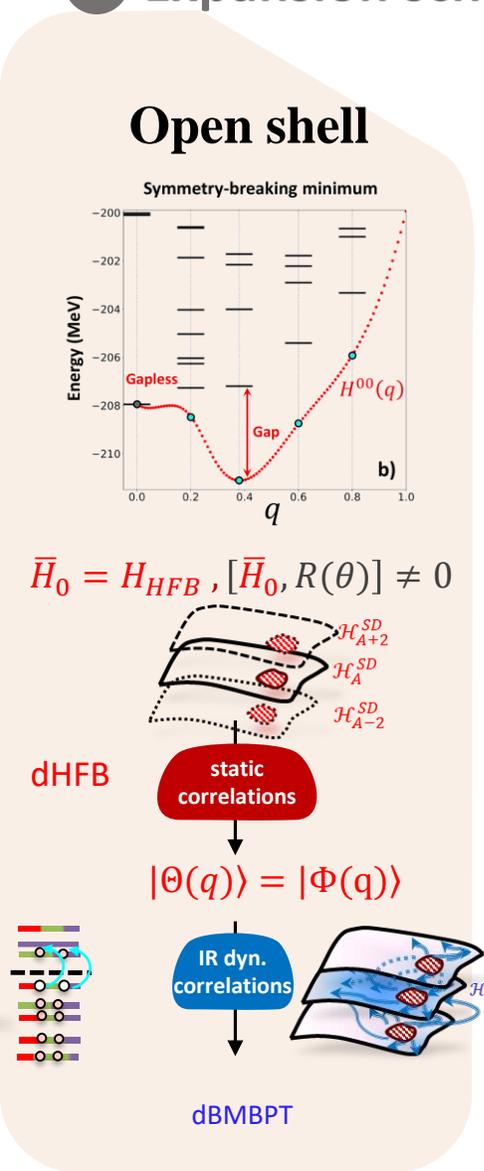
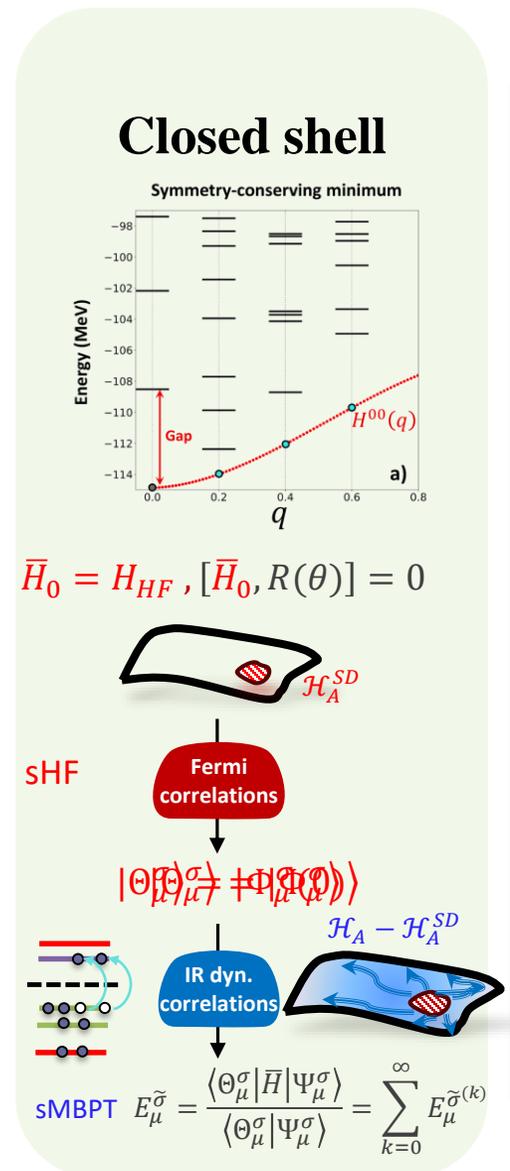


Tichai, Gebrerufael, Vobig, Roth, PLB (2018)

# I. Preprocessing of the Hamiltonian



# 2 Expansion scheme & SSB version 1



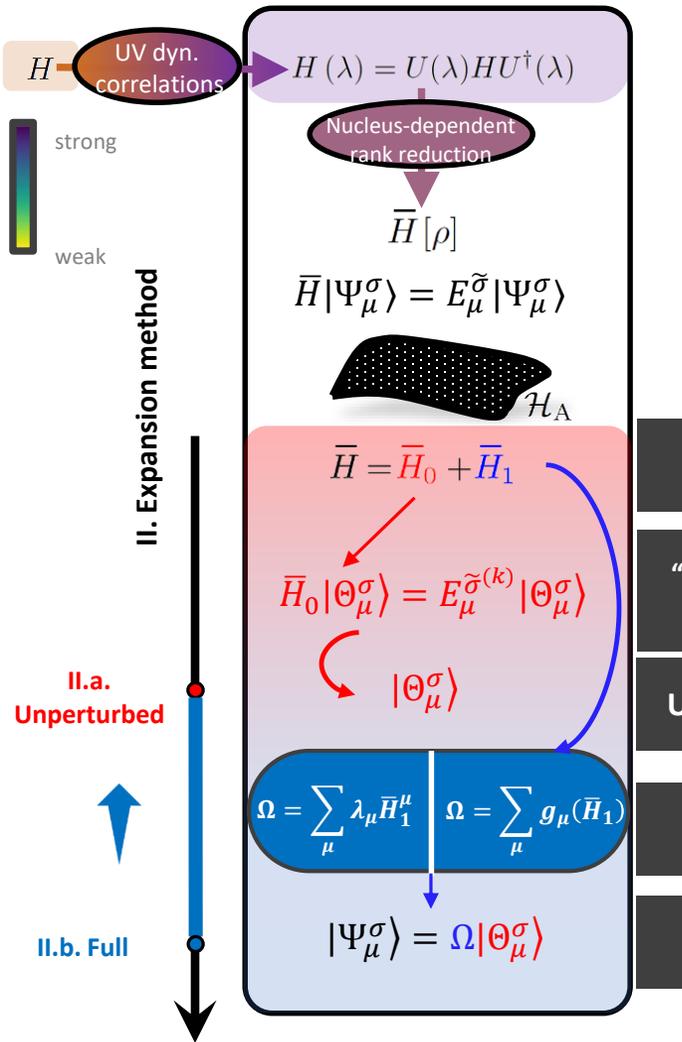
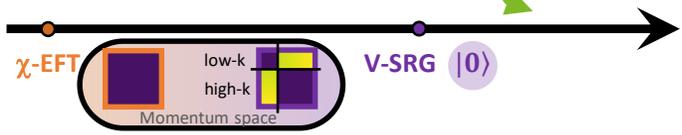
**Need symmetry restoration !!**

Duguet, Signoracci JPG (2017)  
Hagen et al (2022)

Tichai, Arthuis, Duguet, Hergert, Somà, Roth PLB (2018)

**Expand & Project**

# I. Preprocessing of the Hamiltonian



# 2 Expansion scheme & SSB version 2

## Closed shell

Symmetry-conserving minimum

$\bar{H}_0 = H_{HF}, [\bar{H}_0, R(\theta)] = 0$

SHF → Fermi correlations →  $|\Theta_\mu^\sigma\rangle = |\Phi_\mu^\sigma(0)\rangle$  → IR dyn. correlations →  $\mathcal{H}_A - \mathcal{H}_A^{SD}$  → sMBPT →  $E_\mu^{\tilde{\sigma}} = \frac{\langle \Theta_\mu^\sigma | \bar{H} | \Psi_\mu^\sigma \rangle}{\langle \Theta_\mu^\sigma | \Psi_\mu^\sigma \rangle} = \sum_{k=0}^{\infty} E_\mu^{\tilde{\sigma}(k)}$

## Open shell

Symmetry-breaking minimum

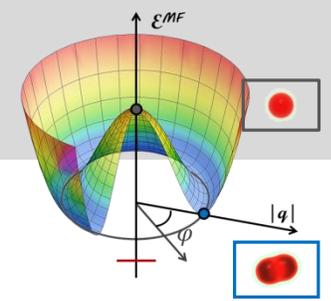
$\bar{H}_0 = H_{HFB}, [\bar{H}_0, R(\theta)] \neq 0$

dHFB → static correlations →  $|\Theta(q)\rangle = |\Phi(q)\rangle$  → IR dyn. correlations →  $\mathcal{H}_A - \mathcal{H}_A^{SD}$  → dMBPT

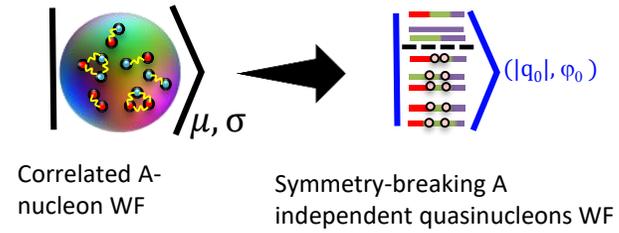
PGCM → static correlations →  $|\Theta^{(0)}\rangle = \sum_q f(q)P|\Phi(q)\rangle$

Expand & Project

Project & Expand



◆ dHFB treatment



*dHFB constrained calculations*

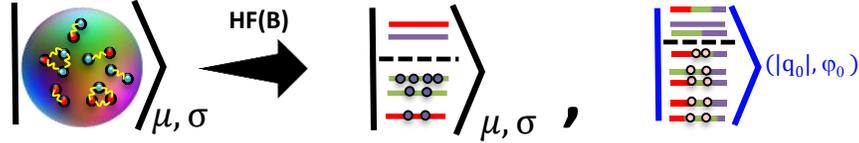
◆ Post-HFB treatment : PGCM

--> Symmetry-conserving (non orthogonal) mixture of symmetry-breaking HFB vacua

$|\Theta_{\mu\sigma}\rangle = \int d\mathbf{q} f(\mathbf{q}) \left| \dots \right\rangle(\mathbf{q})$

● Traitement HF(B)

→ Problème à  $A$  nucléons →  $A$  problèmes à 1 nucléon

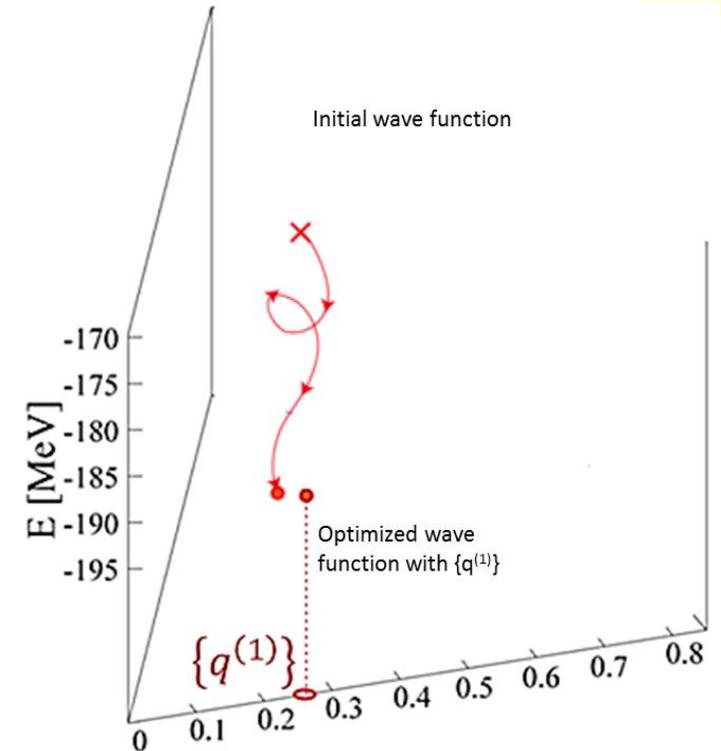


Calculs HFB constraints

◆ Post-HFB treatment : PGCM

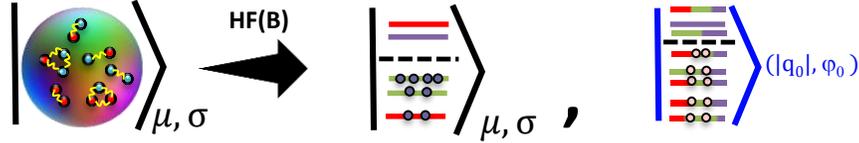
→ Symmetry-conserving (non orthogonal) mixture of symmetry-breaking HFB vacua

$$|\Theta_{\mu\sigma}\rangle = \int dq f(q) |q\rangle$$



● Traitement HF(B)

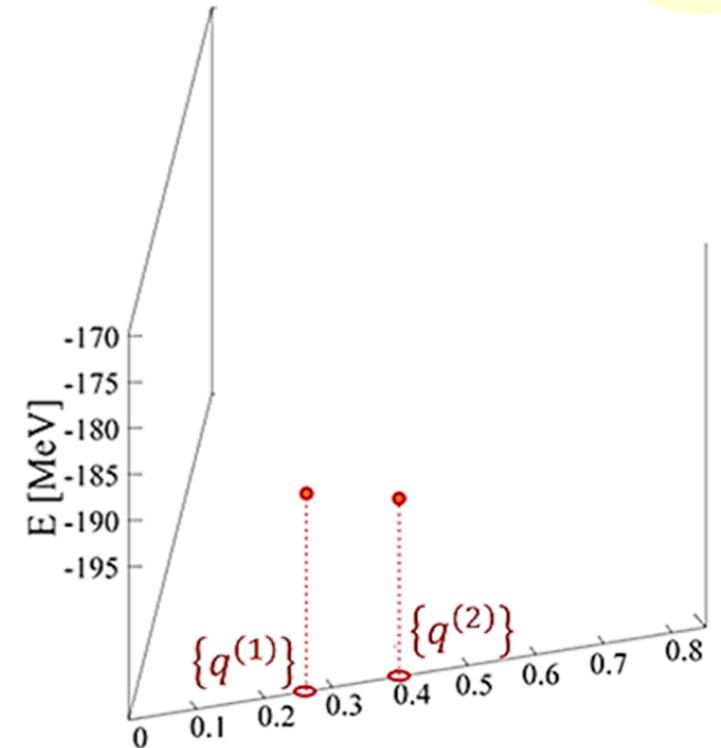
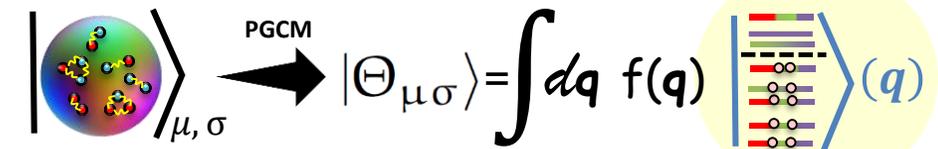
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Calculs HFB constraints

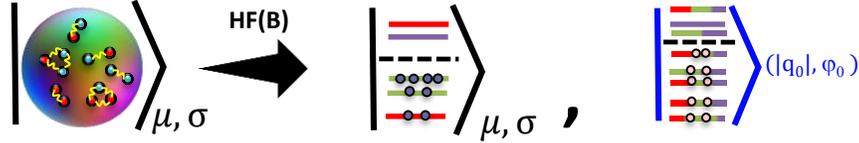
◆ Post-HFB treatment : PGCM

→ Symmetry-conserving (non orthogonal) mixture of symmetry-breaking HFB vacua



● Traitement HF(B)

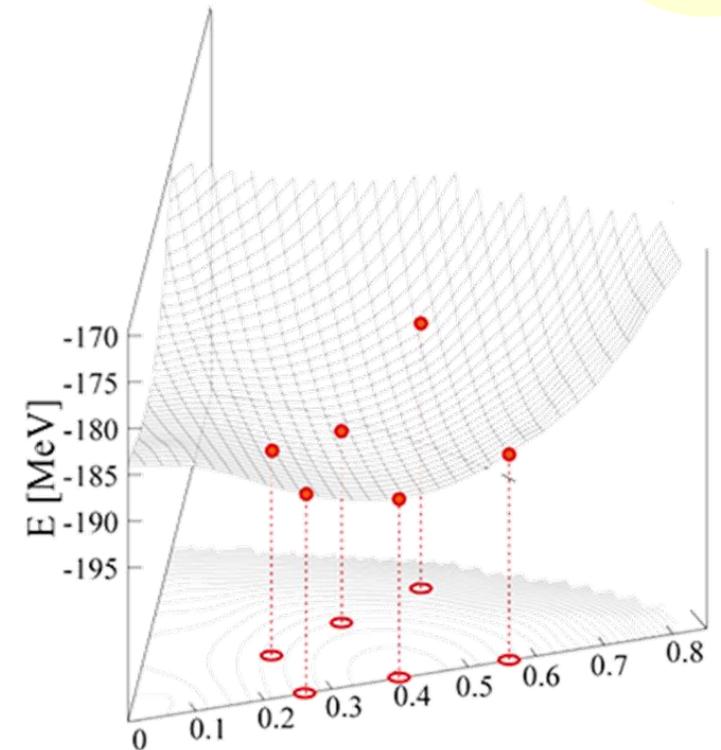
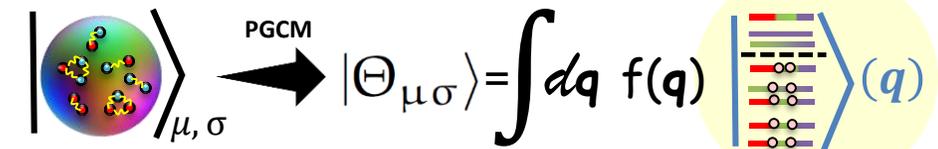
→ Problème à  $A$  nucléons →  $A$  problèmes à 1 nucléon



Calculs HFB constraints

◆ Post-HFB treatment : PGCM

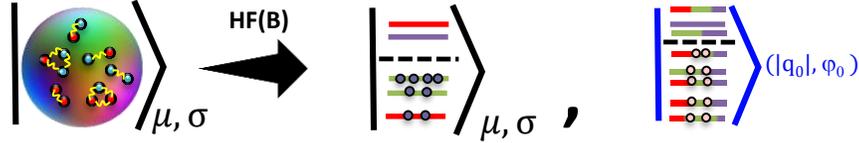
→ Symmetry-conserving (non orthogonal) mixture of symmetry-breaking HFB vacua



### 3 Développements au niveau post-HFB : PGCM, généralités

#### ⊙ Traitement HF(B)

→ Problème à  $A$  nucléons →  $A$  problèmes à 1 nucléon

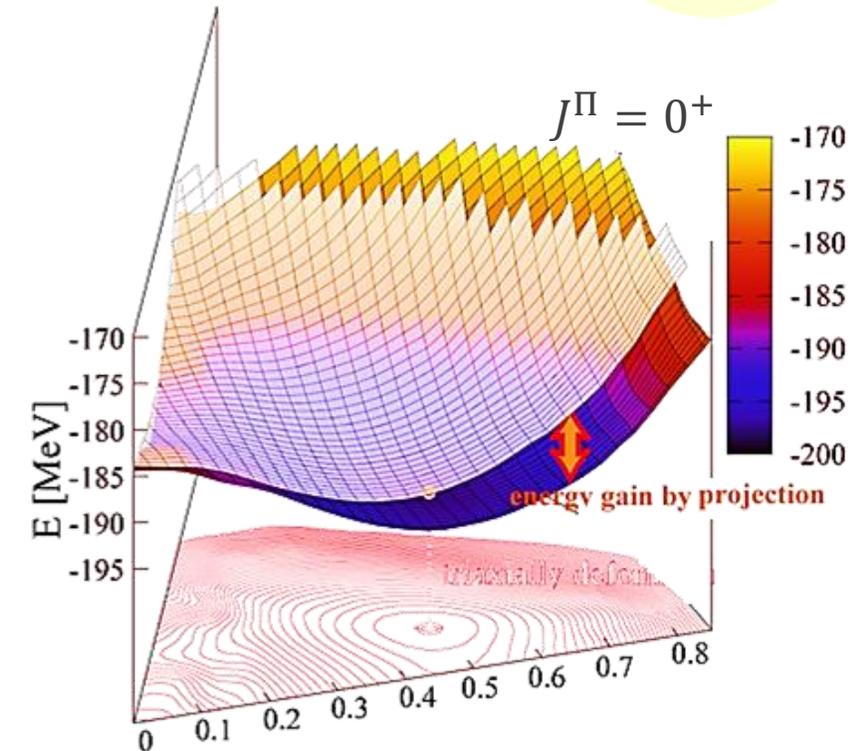
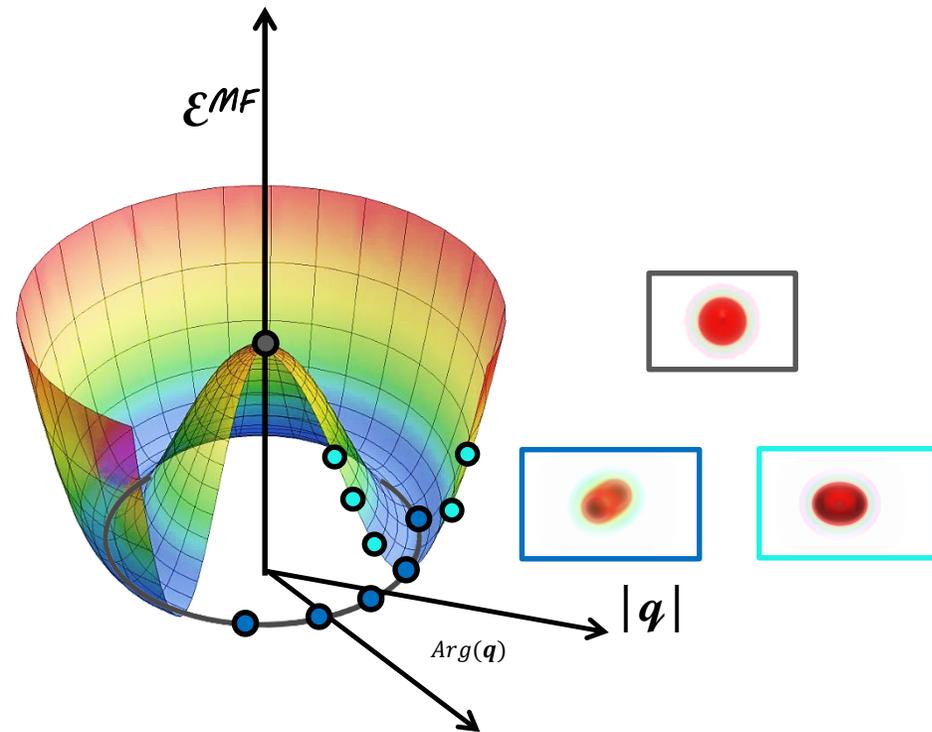


Calculs HFB contraints

#### ◇ Post-HFB treatment : PGCM

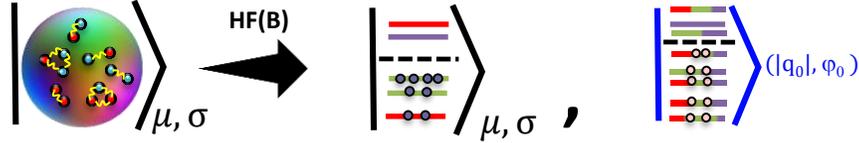
→ Symmetry-conserving (non orthogonal) mixture of symmetry-breaking HFB vacua

$$|\mu, \sigma\rangle \xrightarrow{\text{PGCM}} |\Theta_{\mu\sigma}\rangle = \int dq f(q) | \dots \rangle (q)$$



⊙ Traitement HF(B)

→ Problème à  $A$  nucléons →  $A$  problèmes à 1 nucléon

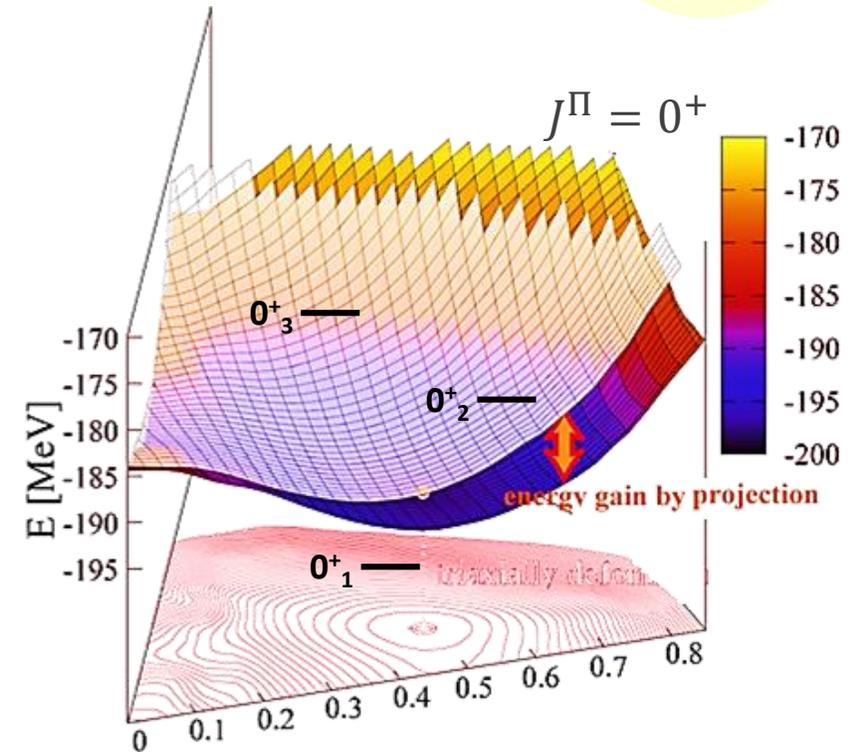
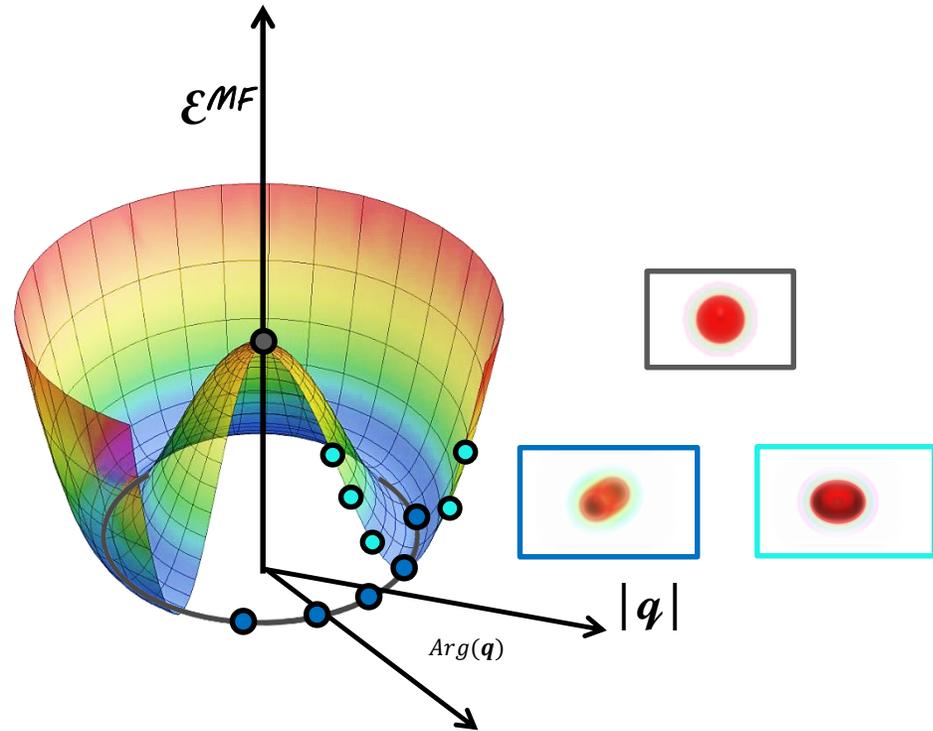


$(q_0, \varphi_0)$  Calculs HFB constraints

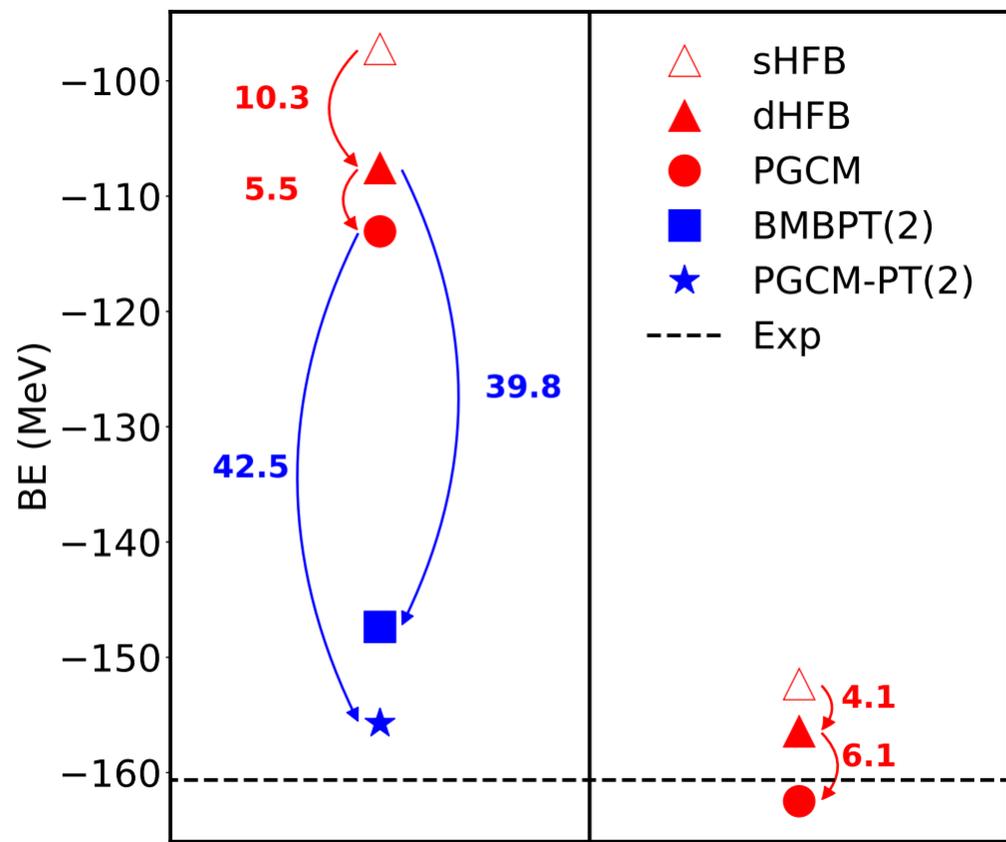
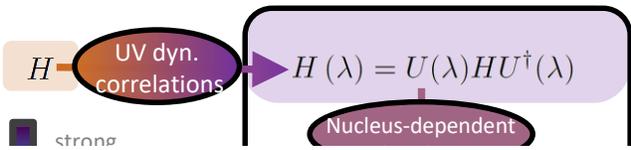
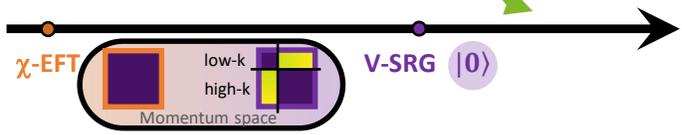
◇ Post-HFB treatment : PGCM

→ Symmetry-conserving (non orthogonal) mixture of symmetry-breaking HFB vacua

$$|\mu, \sigma\rangle \xrightarrow{\text{PGCM}} |\Theta_{\mu\sigma}\rangle = \int dq f(q) | \dots \rangle (q)$$



# I. Preprocessing of the Hamiltonian

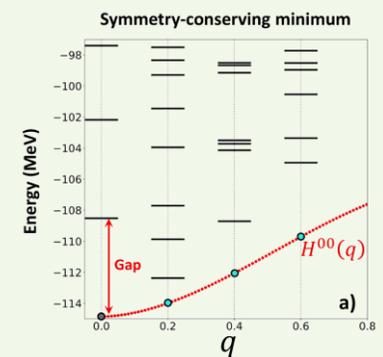


Ab initio

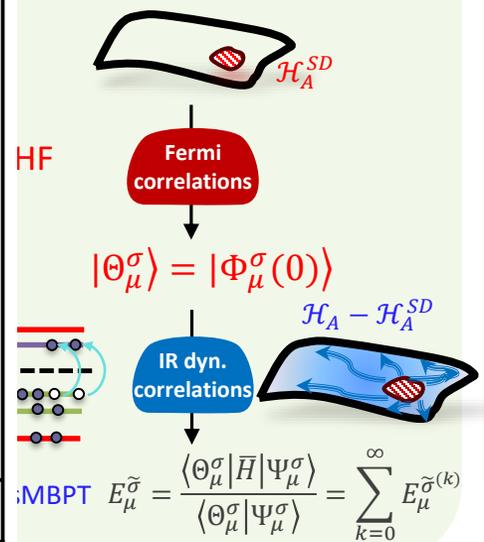
EDF

# 2 Expansion scheme & SSB version 2

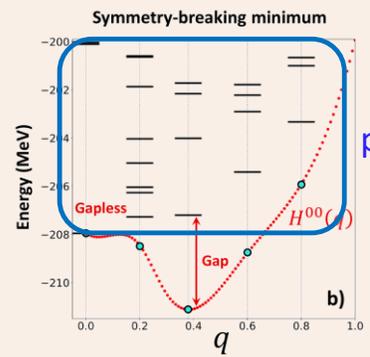
## Closed shell



$$\bar{H}_0 = H_{HF}, [\bar{H}_0, R(\theta)] = 0$$

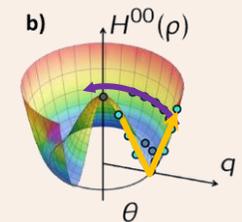
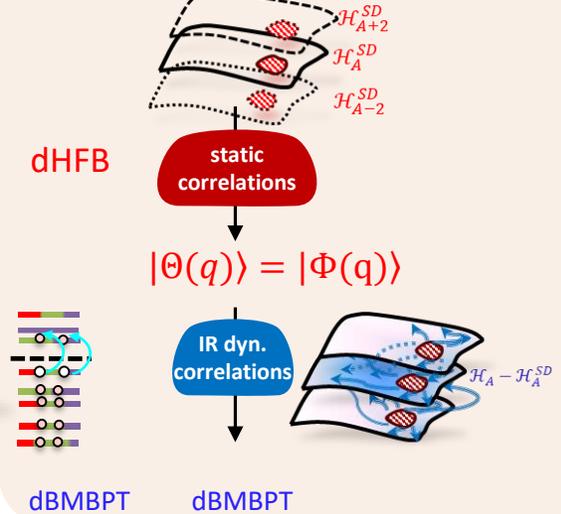


## Open shell

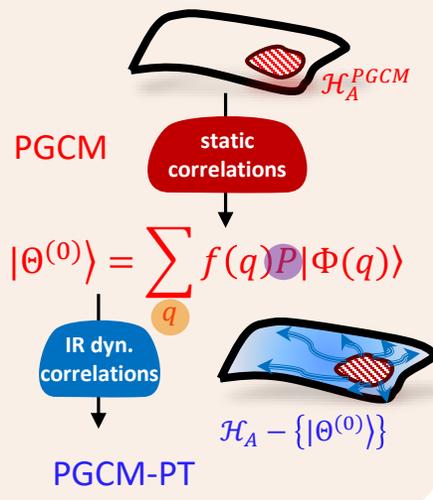


no simple ph/qp picture

$$\bar{H}_0 = H_{HFB}, [\bar{H}_0, R(\theta)] \neq 0$$



$$\bar{H}_0 = H_{PGCM}, [\bar{H}_0, R(\theta)] = 0$$



Expand & Project

Project & Expand

Frosini, Duguet, Ebran, Somà, EPJA (2022)

# 1 Context

*Theoretical description of nuclear systems*

# 2 PGCM

*How to best account for nucleons correlations*

# 3 Application

### 3 Application of PGCM-PT

$$h_{ac}^q \equiv \sum_{bd} V_{abcd}^{(a)} \rho_{db}^q$$

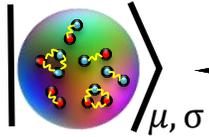
$$\Delta_{ac}^q \equiv \sum_{bd} V_{acbd}^{(a)} \kappa_{bd}^q$$

$$\rho_{ij}^q = \langle \Phi(\mathbf{q}) | c_j^\dagger c_i | \Phi(\mathbf{q}) \rangle$$

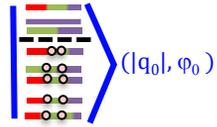
$$\kappa_{ij}^q = \langle \Phi(\mathbf{q}) | c_j c_i | \Phi(\mathbf{q}) \rangle$$

$$\begin{pmatrix} h^q - \lambda^q & \Delta^q \\ -\Delta^{q*} & -h^{q*} + \lambda^q \end{pmatrix} \begin{pmatrix} U^q \\ V^q \end{pmatrix}_\mu = E_\mu^q \begin{pmatrix} U^q \\ V^q \end{pmatrix}_\mu$$

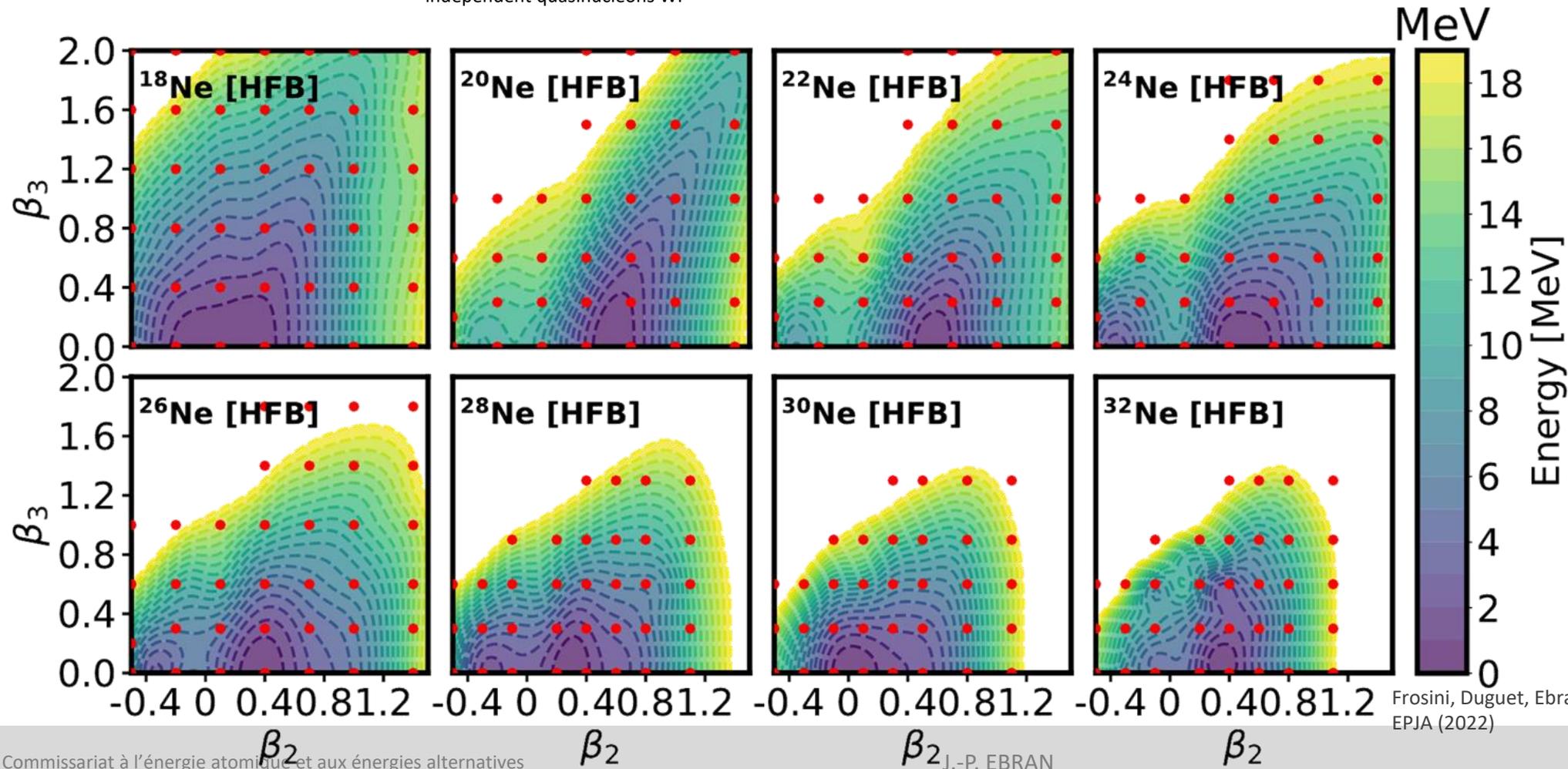
◆ dHFB treatment



Correlated A-nucleon WF

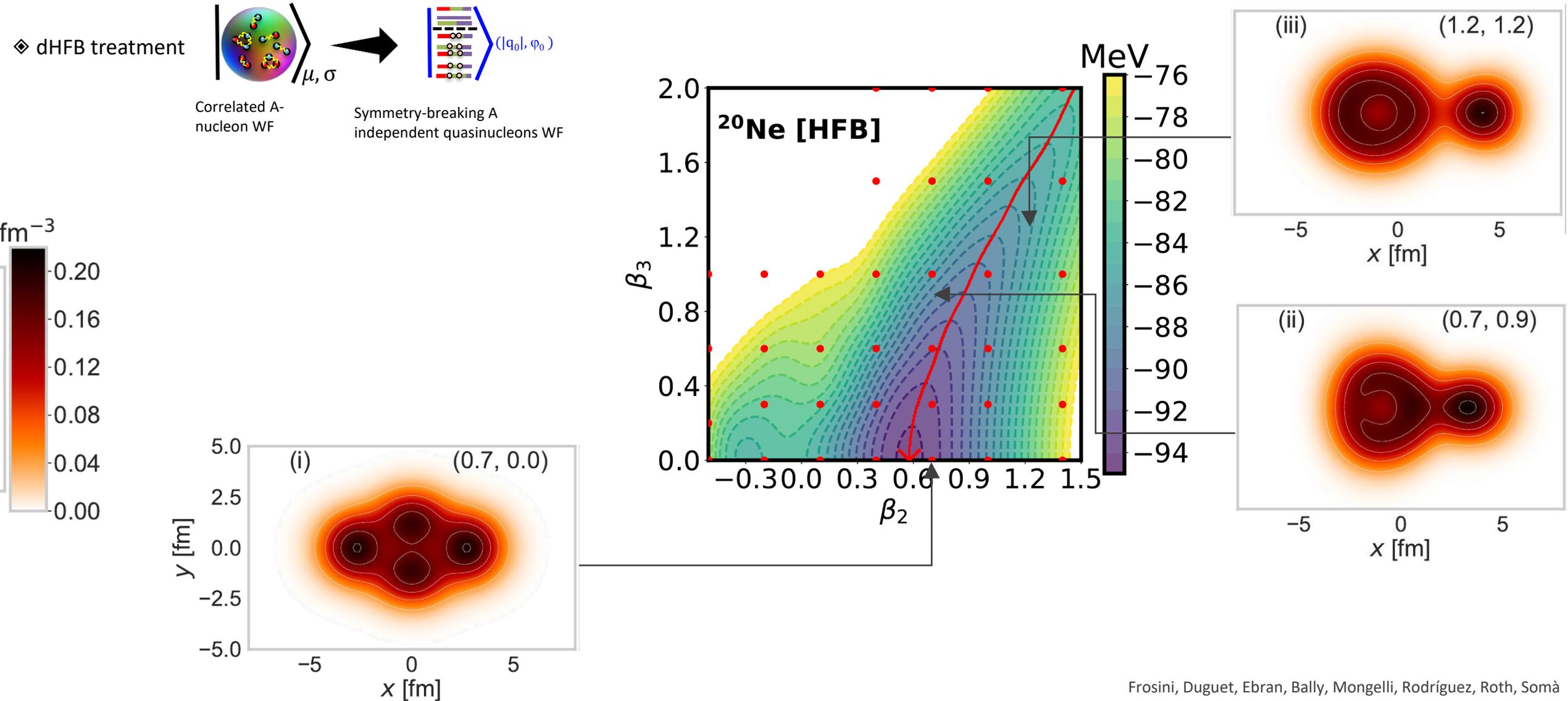


Symmetry-breaking A independent quasineutrons WF



Frosini, Duguet, Ebran, Bally, Mongelli, Rodríguez, Roth, Somà EPJA (2022)

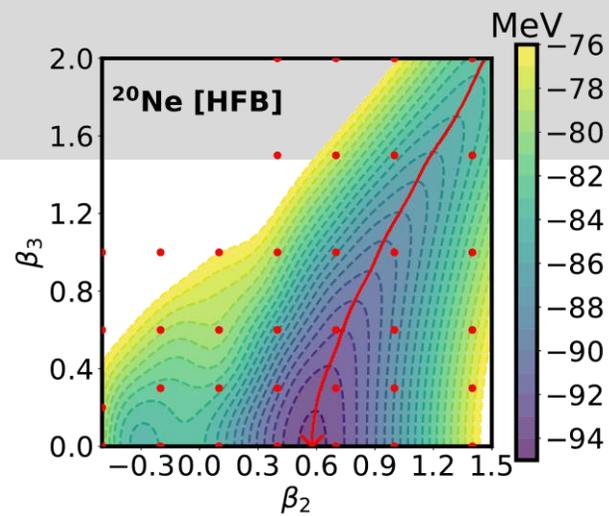
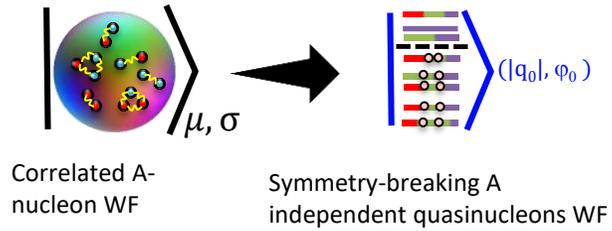
### 3 Application of PGCM-PT



Frosini, Duguet, Ebran, Bally, Mongelli, Rodríguez, Roth, Somà  
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### 3 Application of PGCM-PT

◆ dHFB treatment



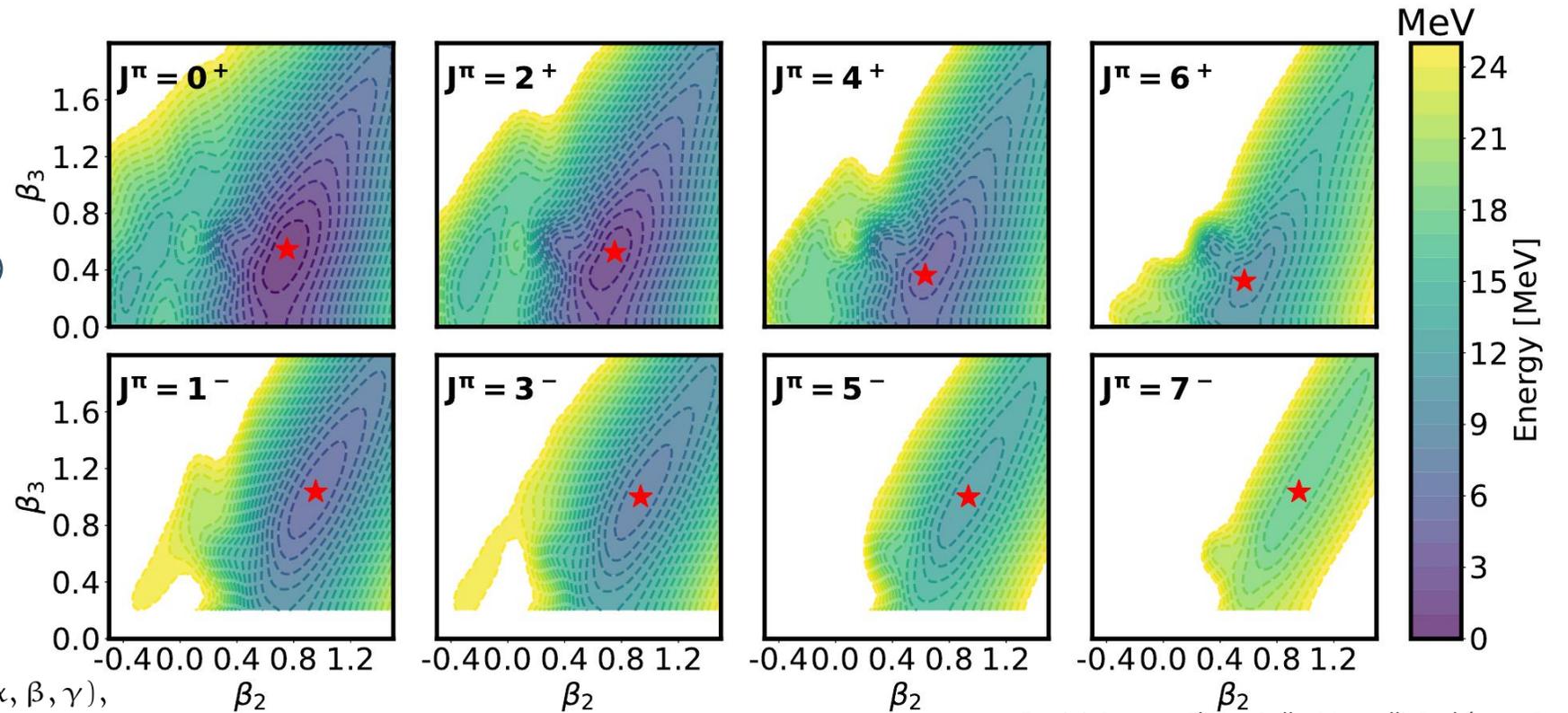
◆ Projection on good quantum numbers  $N, Z, J, \pi$

$$|\Theta_{\mu\sigma}\rangle = \sum_{\mathbf{q}} \sum_{\mathbf{K}} f_{\mu\mathbf{K}}^{\tilde{\sigma}}(\mathbf{q}) P_{\mathbf{MK}}^{\tilde{\sigma}}(\mathbf{q}) |\Phi(\mathbf{q})\rangle$$

$$P_{\mathbf{MK}}^{\tilde{\sigma}} = P_{\mathbf{MK}}^J P^{N_0} P^{Z_0}$$

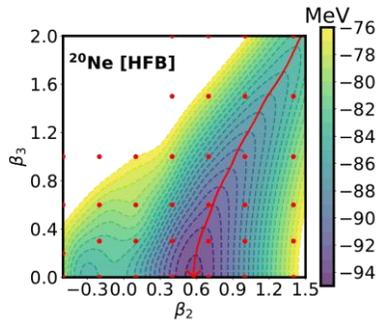
$$P^{X_0} = \frac{1}{2\pi} \int_0^{2\pi} d\phi e^{-i\phi(X-X_0)}$$

$$P_{\mathbf{MK}}^J = \frac{2J+1}{16\pi^2} \int_0^{2\pi} d\alpha \int_0^\pi d\beta \sin(\beta) \int_0^{4\pi} d\gamma D_{\mathbf{MK}}^{J*}(\alpha, \beta, \gamma) R(\alpha, \beta, \gamma),$$



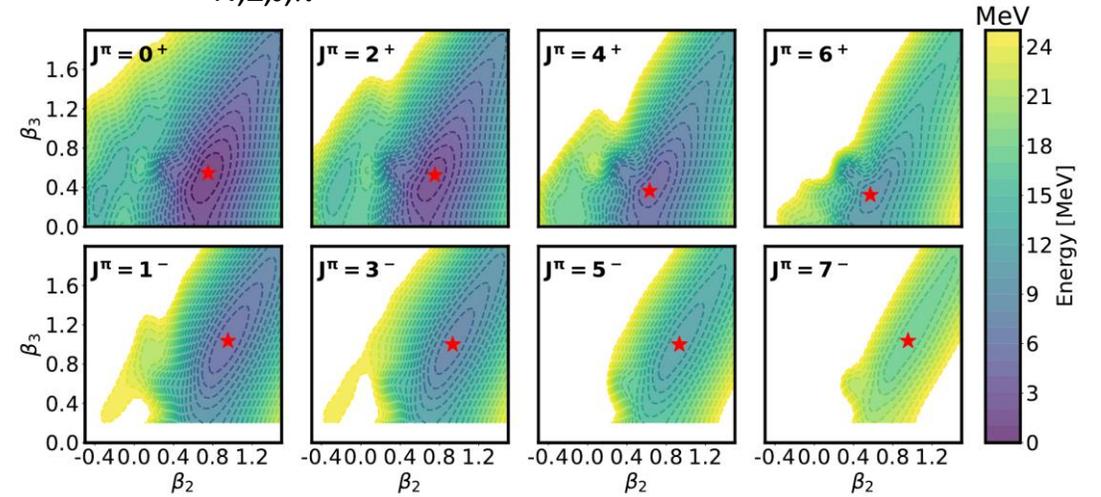
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EPJA (2022)

◆ dHFB treatment



◆ Projection on good quantum numbers

$N, Z, J, \pi$



◆ PGCM treatment  $|\Theta_{\mu\sigma}\rangle = \sum_{\mathbf{q}} \sum_{K} f_{\mu K}^{\tilde{\sigma}}(\mathbf{q}) P_{MK}^{\tilde{\sigma}}(\mathbf{q}) |\Phi(\mathbf{q})\rangle$

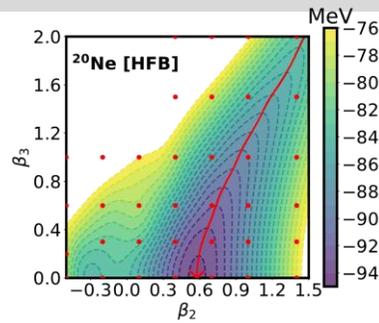
$$\sum_{\mathbf{q}'K'} \left[ \mathcal{H}_{\mathbf{q}K\mathbf{q}'K'}^{JN_0Z_0} - E_{\mu;JN_0Z_0} \mathcal{N}_{\mathbf{q}K\mathbf{q}'K'}^{JN_0Z_0} \right] f_{\mu\mathbf{q}'K'}^{JN_0Z_0} = 0.$$

$$\mathcal{T}_{\mathbf{q}K\mathbf{q}'K'}^{\lambda\mu;JN_0Z_0} = \frac{1}{2\pi} \int_0^{2\pi} d\varphi_N e^{i\varphi_N N_0} \frac{1}{2\pi} \int_0^{2\pi} d\varphi_Z e^{i\varphi_Z Z_0} \\ \frac{2J+1}{16\pi^2} \int_0^{4\pi} d\alpha \int_0^{\pi} d\beta \sin(\beta) \int_0^{2\pi} d\gamma D_{KK'}^{J*}(\alpha, \beta, \gamma) \mathcal{T}_{\varphi_N \varphi_Z \alpha \beta \gamma \mathbf{q} \mathbf{q}'}^{\lambda\mu}$$

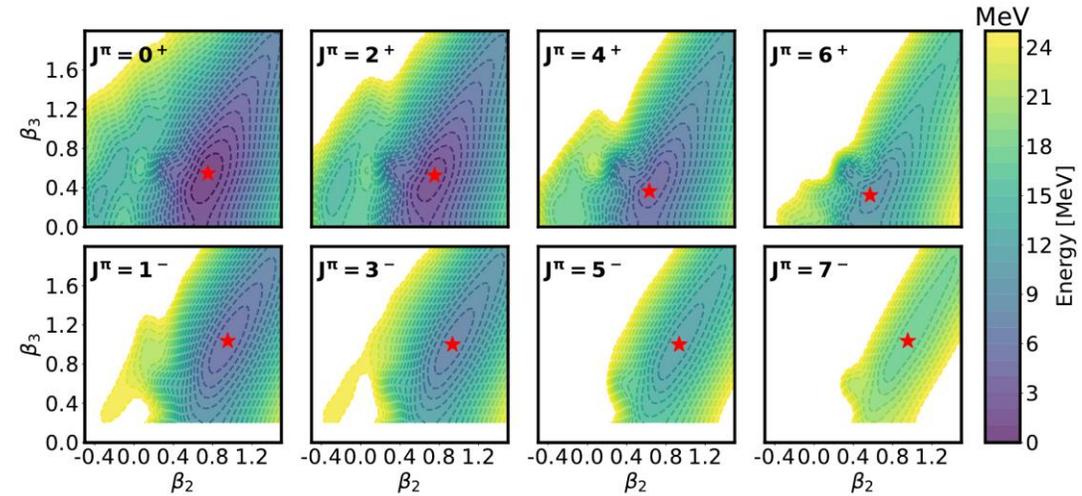
$$\mathcal{T}_{\theta \mathbf{q} \mathbf{q}'}^{\lambda\mu} = \langle \Phi(\mathbf{q}) | T^{\lambda\mu} R(\theta) | \Phi(\mathbf{q}') \rangle$$

### 3 Application of PGCM-PT

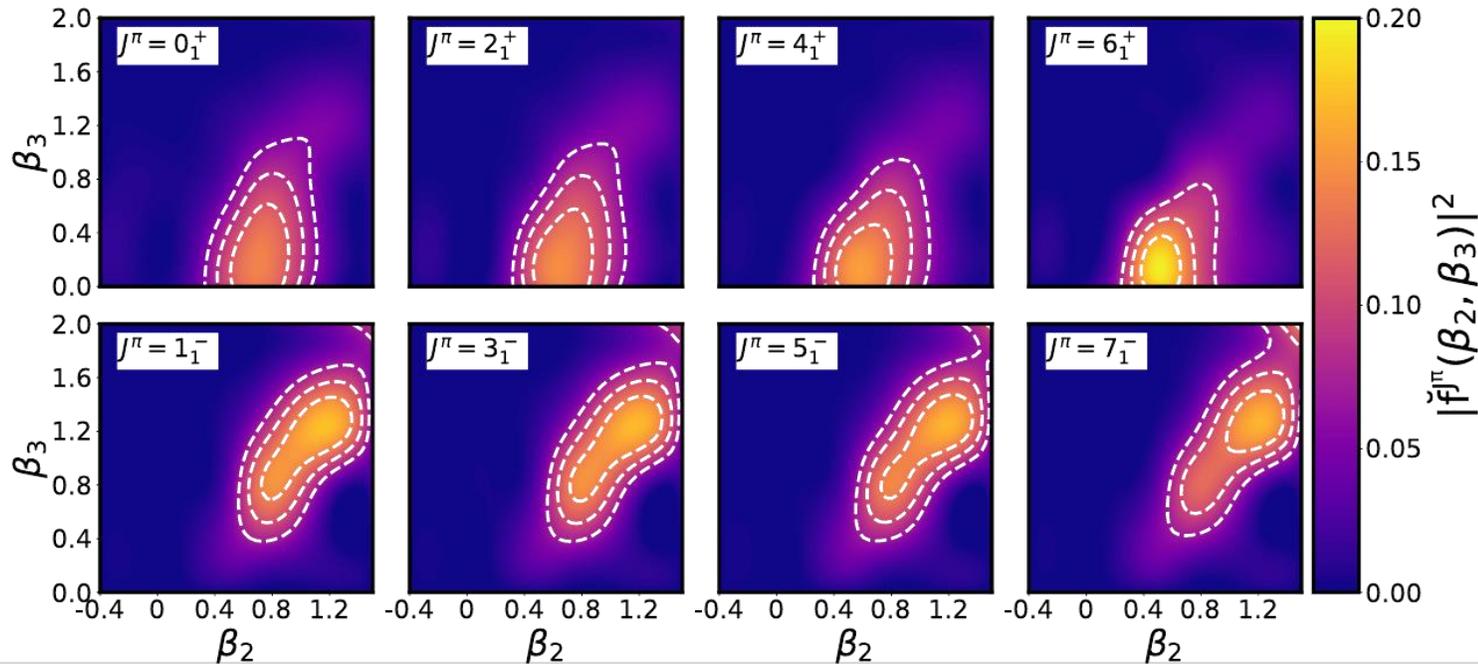
◆ dHFB treatment



◆ Projection on good quantum numbers  
N,Z,J, $\pi$

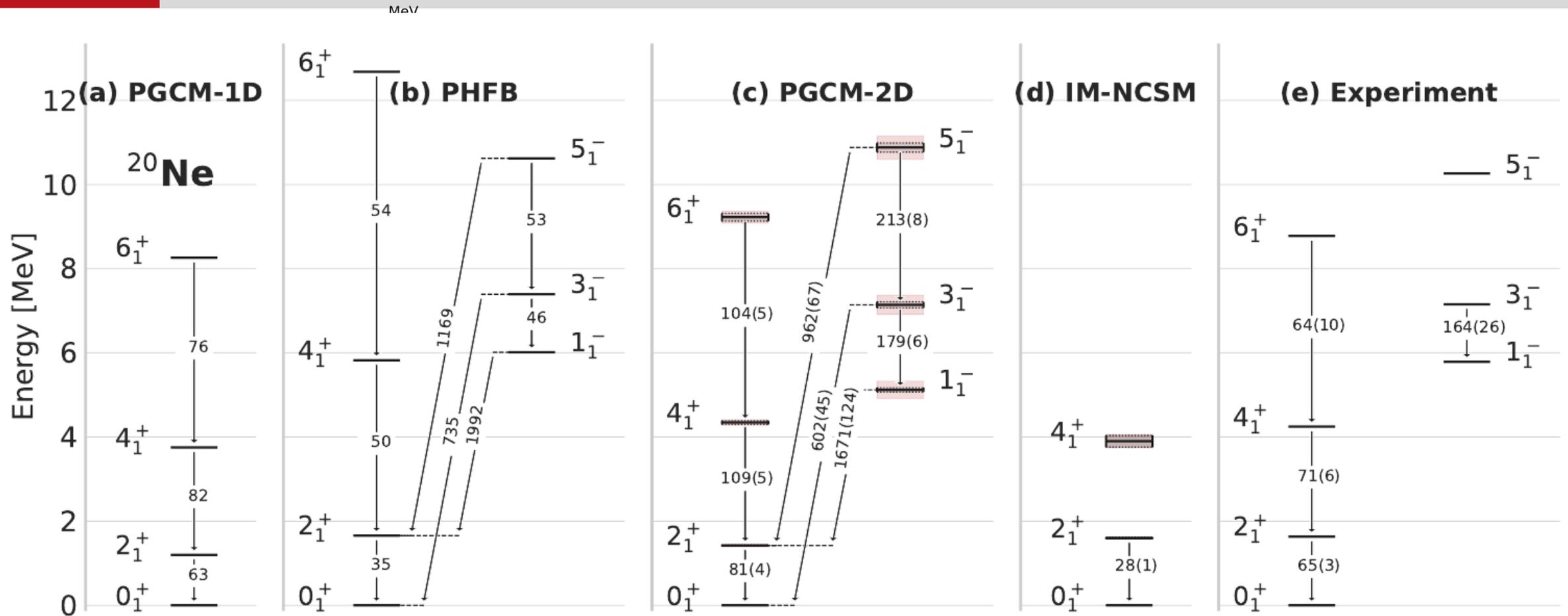


◆ PGCM treatment  $|\Theta_{\mu\sigma}\rangle = \sum_{\mathbf{q}} \sum_{\mathbf{K}} f_{\mu\mathbf{K}}^{\tilde{\sigma}}(\mathbf{q}) P_{\mathbf{M}\mathbf{K}}^{\tilde{\sigma}}(\mathbf{q}) |\Phi(\mathbf{q})\rangle$



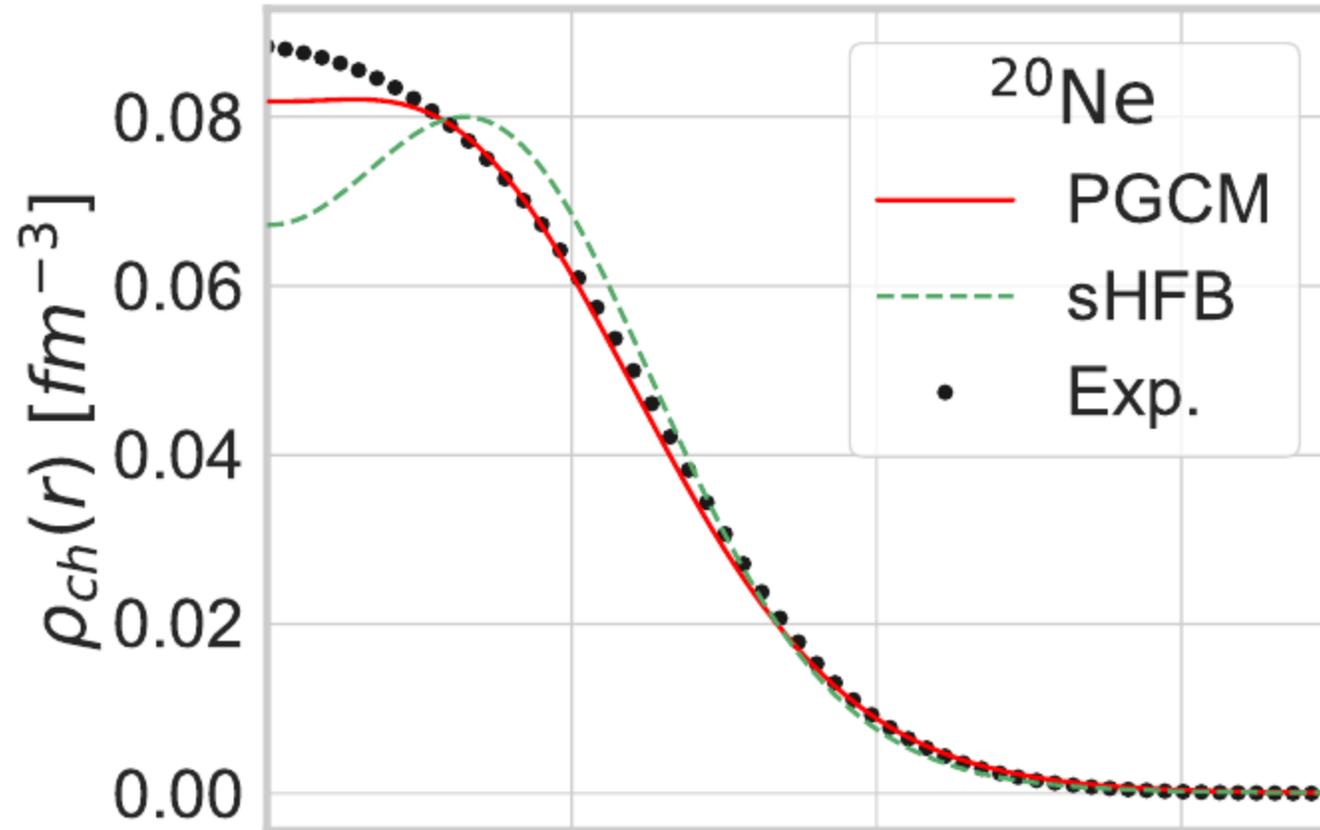
$$\sum_{\mathbf{q}'\mathbf{K}'} \left[ \mathcal{H}_{\mathbf{q}\mathbf{K}\mathbf{q}'\mathbf{K}'}^{J^{\pi} N_0 Z_0} - E_{\mu; J^{\pi} N_0 Z_0} \mathcal{N}_{\mathbf{q}\mathbf{K}\mathbf{q}'\mathbf{K}'}^{J^{\pi} N_0 Z_0} \right] f_{\mu\mathbf{q}'\mathbf{K}'}^{J^{\pi} N_0 Z_0} = 0.$$

Frosini, Duguet, Ebran, Bally, Mongelli, Rodríguez, Roth, Somà  
EPJA (2022)

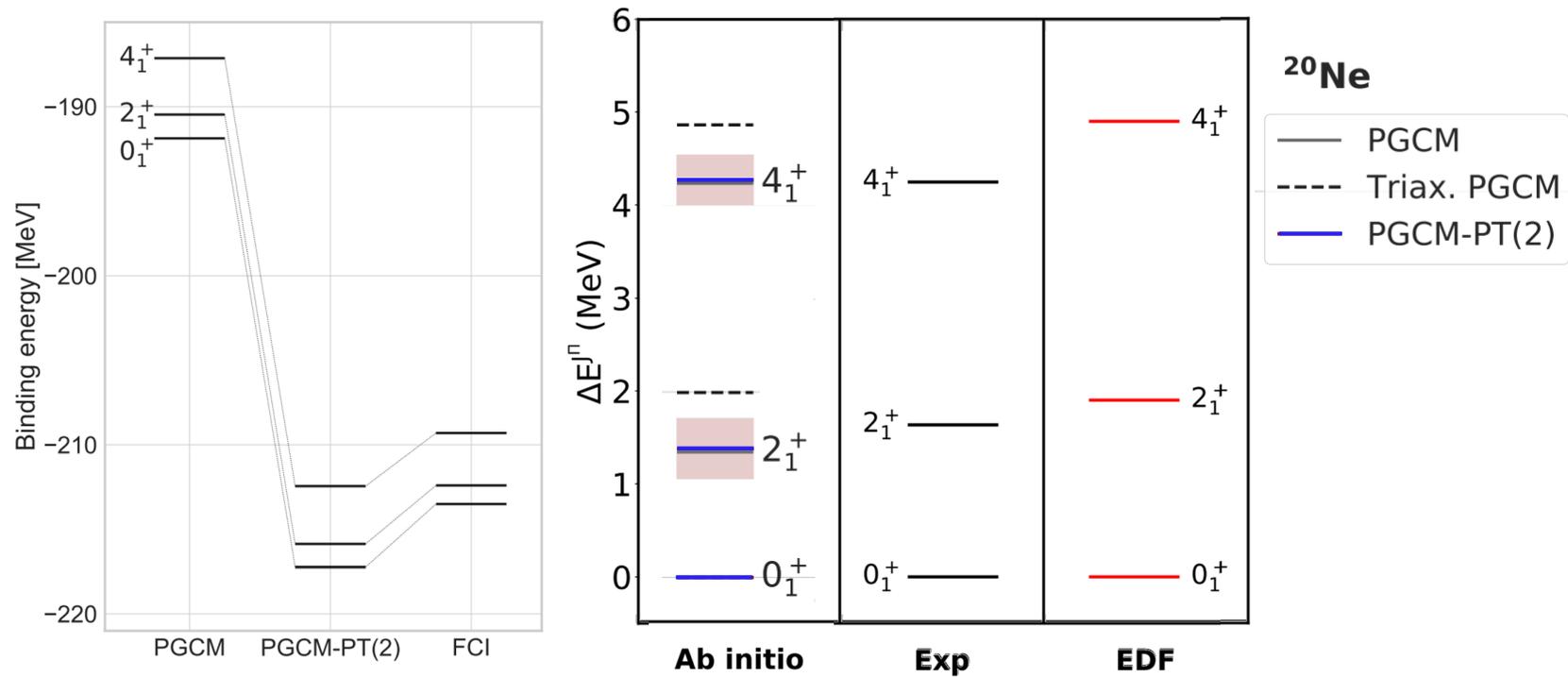


$$\sum_{q'K'} \left[ \mathcal{H}_{qKq'K'}^{JN_0Z_0} - E_{\mu; JN_0Z_0} \mathcal{N}_{qKq'K'}^{JN_0Z_0} \right] f_{\mu q'K'}^{JN_0Z_0} = 0.$$

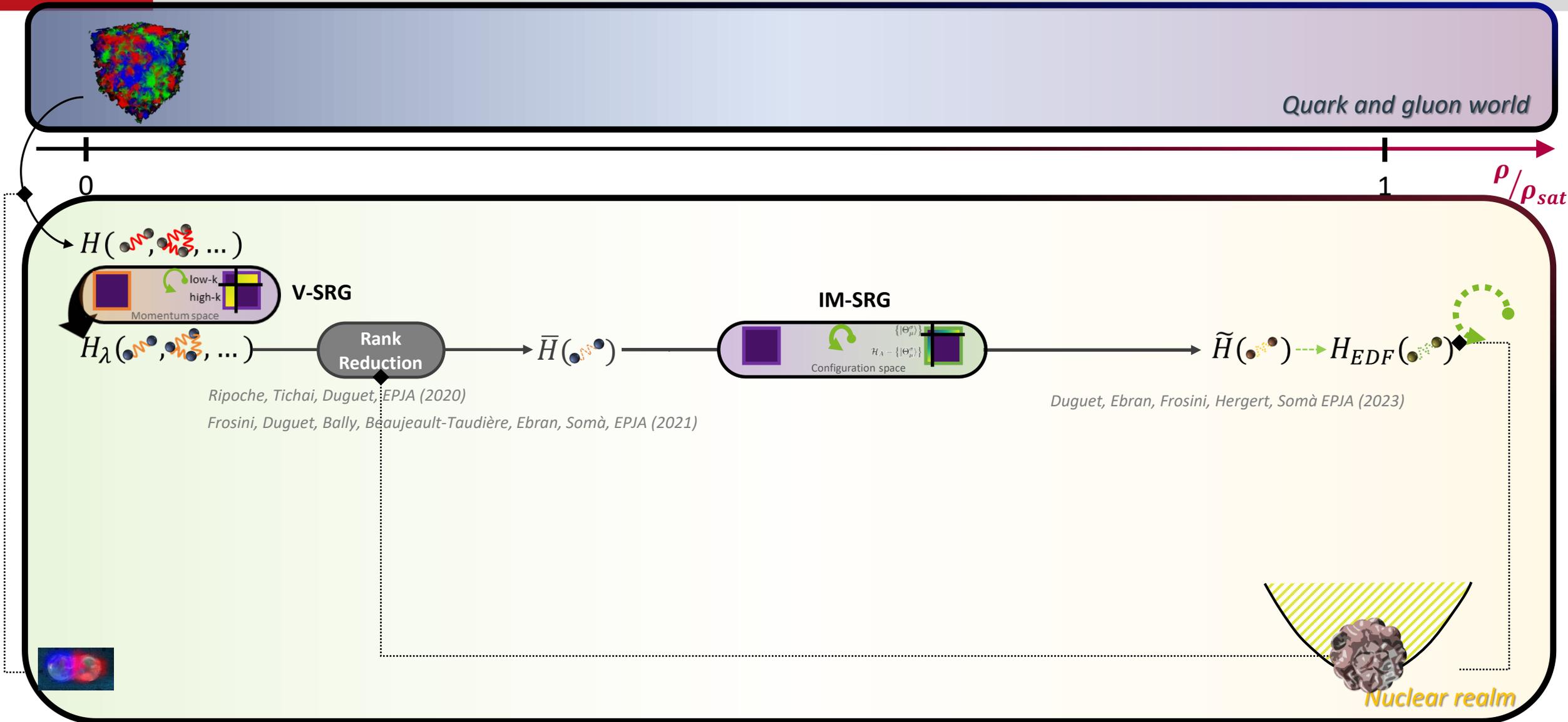
Frosini, Duguet, Ebran, Bally, Mongelli, Rodríguez, Roth, Somà  
EPJA (2022)

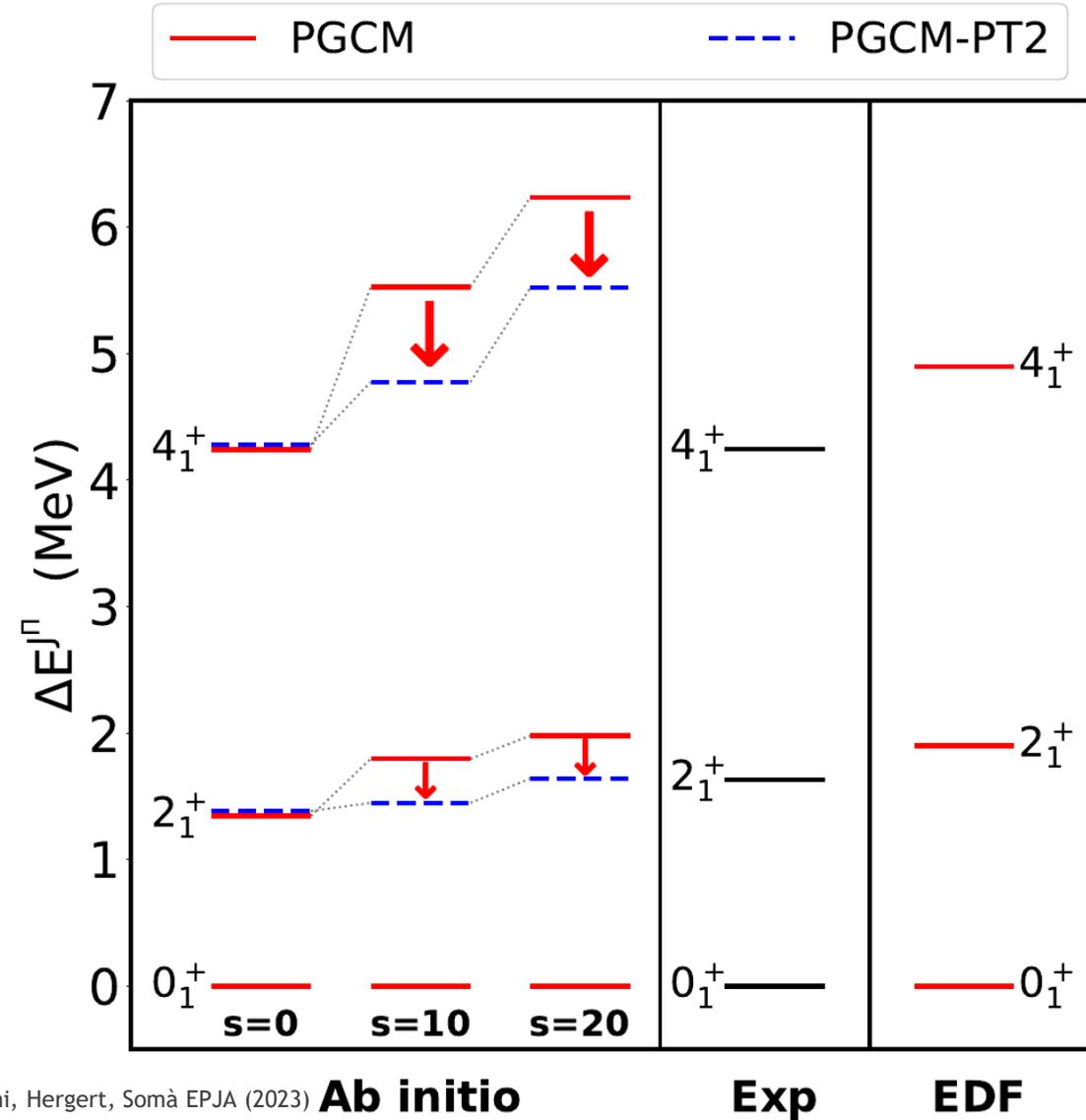
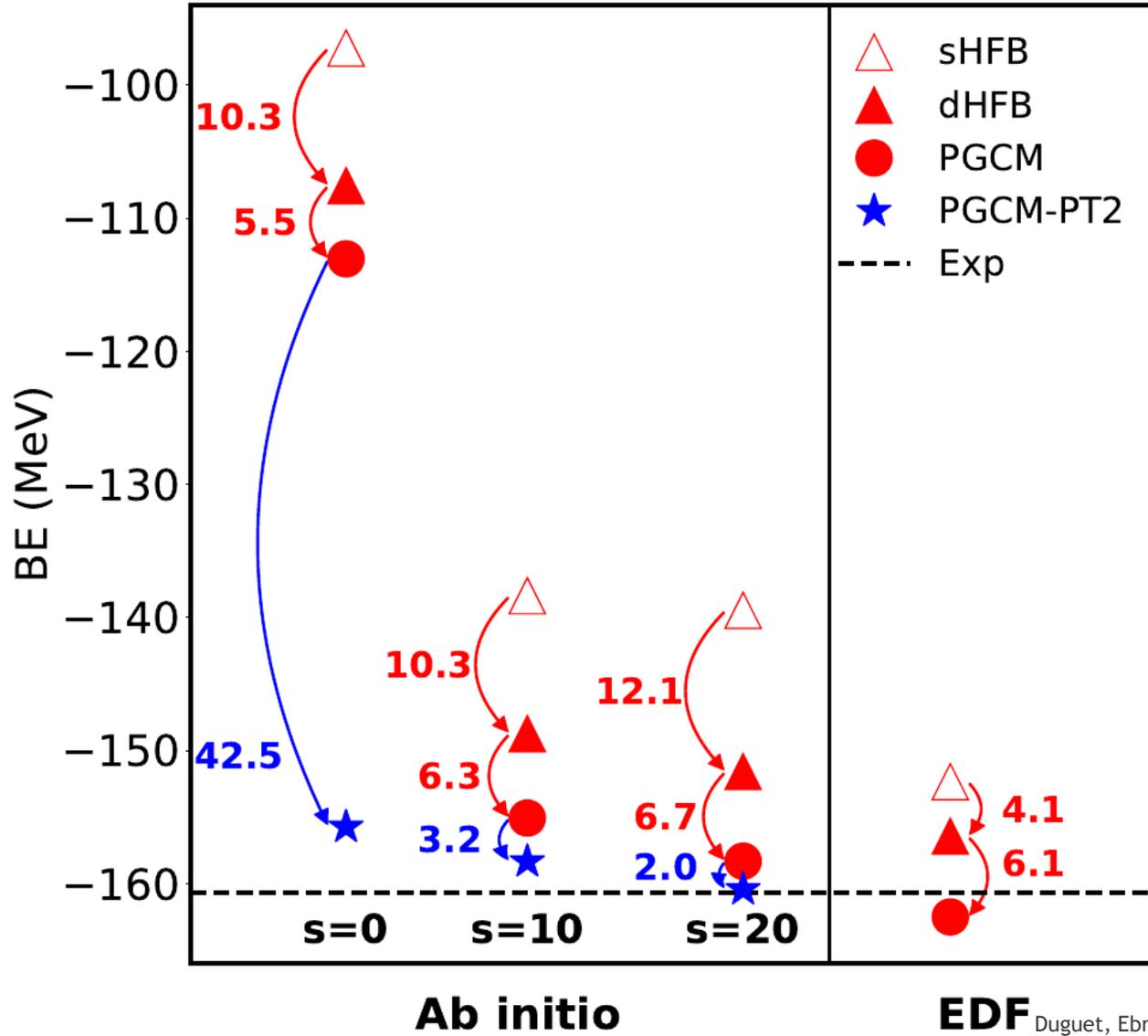


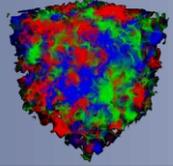
- PGCM : relevant ab initio tool for spectroscopy
- PGCM-PT : eventually needed for absolute energies + accuracy



Frosini, Duguet, Ebran, Bally, Hergert, Rodríguez, Roth, Yao, Somà, EPJA (2022)







Quark and gluon world

$$H(\dots)$$

V-SRG

Rank  
Reduction

$$\bar{H}(\dots)$$

IM-SRG

$$\tilde{H}(\dots) \rightarrow H_{EDF}(\dots)$$

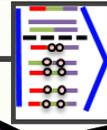
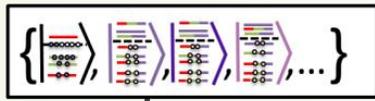
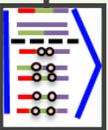
Ripoche, Tichai, Duguet, EPJA (2020)

Frosini, Duguet, Bally, Beaujeault-Taudière, Ebran, Somà, EPJA (2021)

Duguet, Ebran, Frosini, Hergert, Somà EPJA (2023)

(d)HF(B)

PAN@CEA



PGCM

QRPA

DSCGF

Expansion  
method

Beaujeault-Taudière, Frosini, Ebran, Duguet, Roth, Somà PRC (2023)

Frosini, Duguet, Ebran, Somà, EPJA (2022)

Frosini, Duguet, Ebran, Bally, Mongelli, Rodriguez, Roth, Somà, EPJA (2022)

Frosini, Duguet, Ebran, Bally, Hergert, Rodriguez, Roth, Yao, Somà, EPJA (2022)

Nuclear realm

 $\rho/\rho_{sat}$