

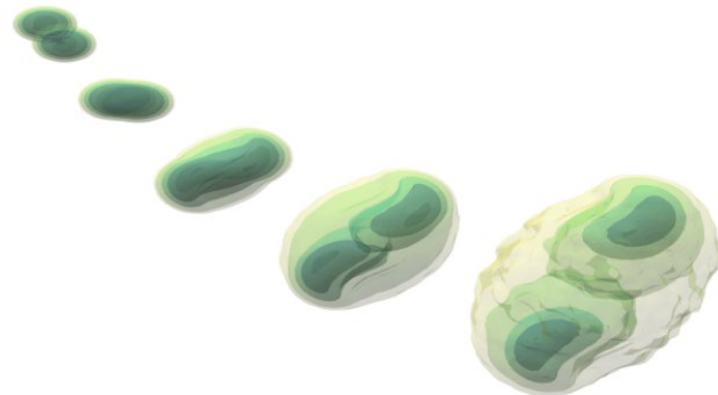
# Fragmentation mechanisms in heavy-ion collisions and stochastic transport models

**Maria Colonna**

 **INFN** *Laboratori Nazionali del Sud (Catania)*

Dense Nuclear Matter Equation of State  
From Heavy-Ion Collisions

December 5-9, 2022 INT Seattle (USA)



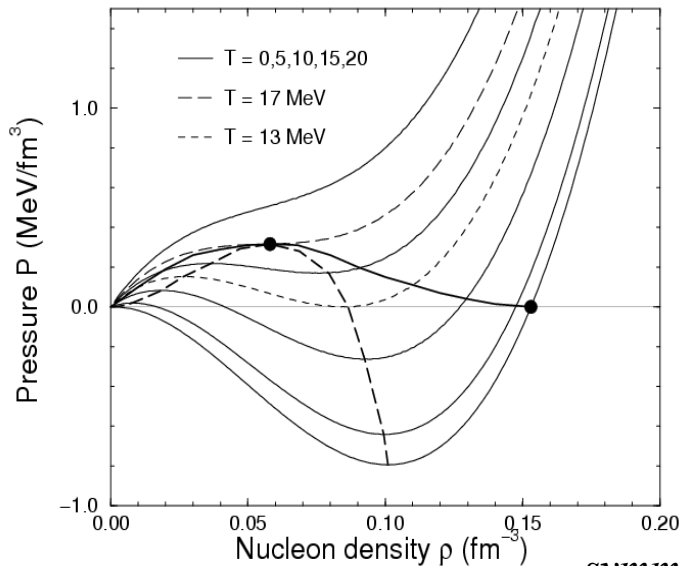
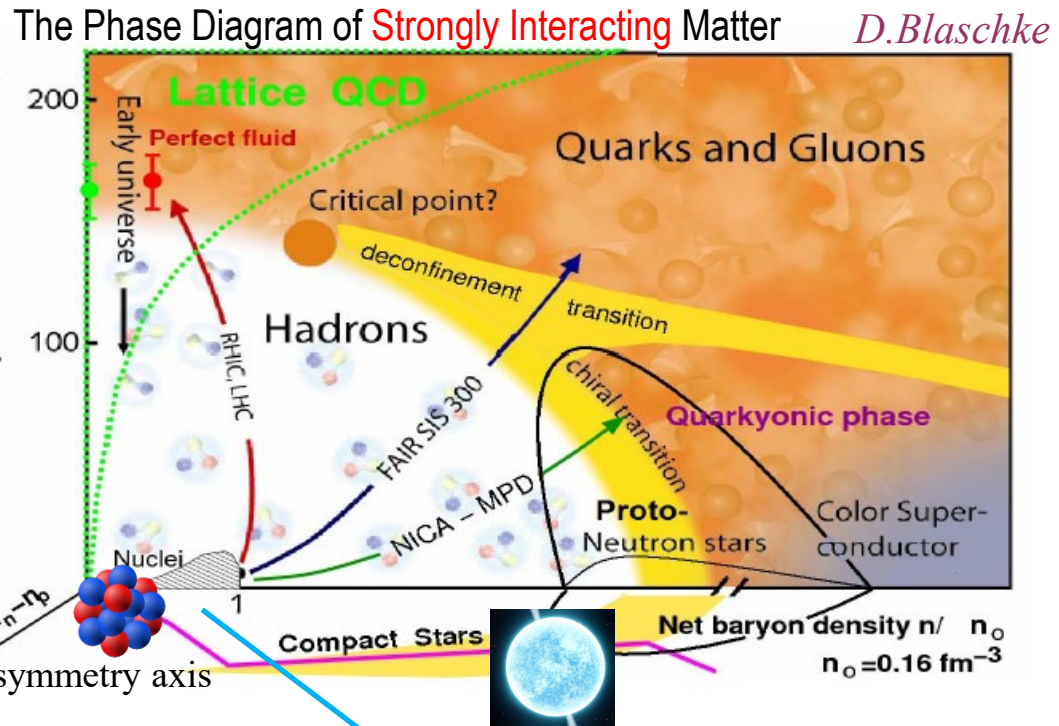
# Outline

- Liquid-gas phase transitions, spinodal instabilities and fragmentation mechanisms in HIC at Fermi energies
- The tool: (stochastic) transport theories and effective interactions  
→ Transport Model Evaluation Project (TMEP)
- Sensitivity of selected observables to the specific treatment of n-n correlations

# Tentative “Paths” with Heavy Ion Collisions:

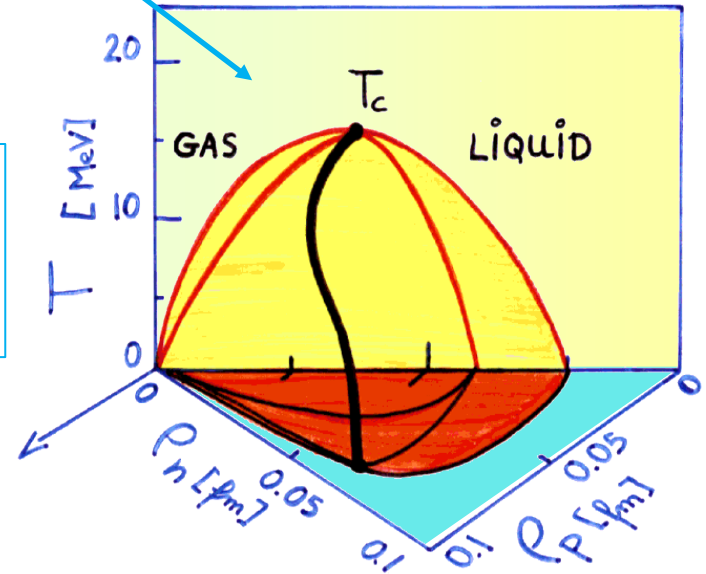
- From the dilute (liquid-gas) phase to high baryon and isospin density

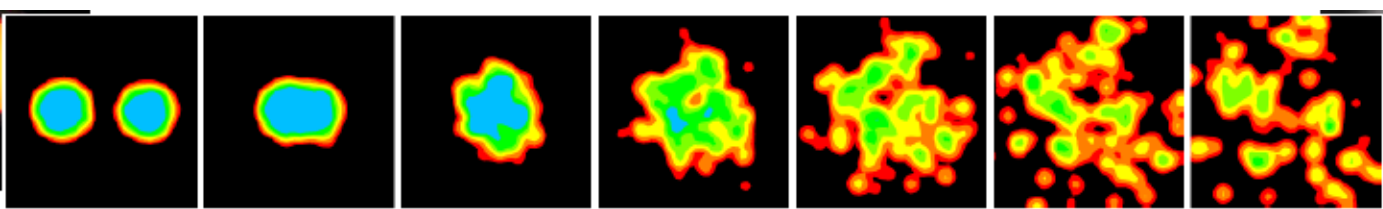
→ HIC at beam energies below 100 A MeV



*symm. matter*

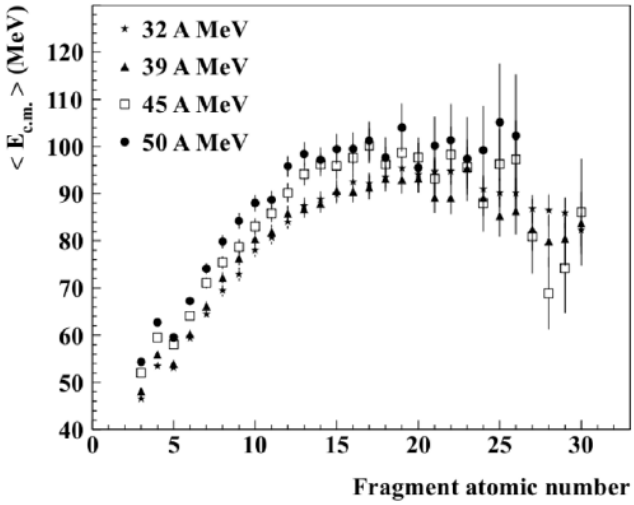
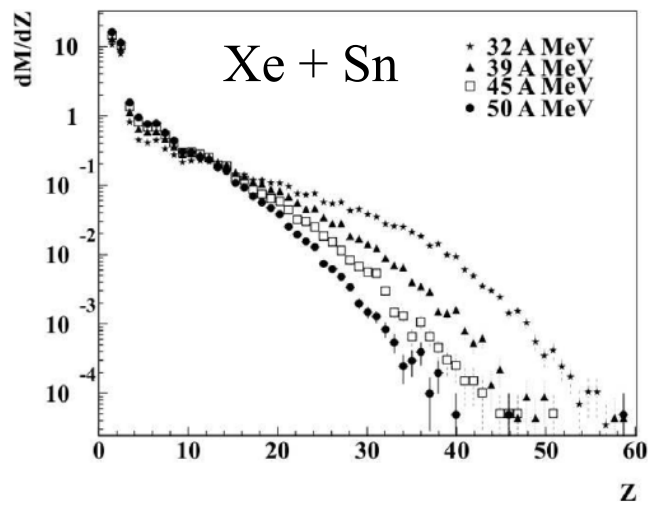
Probe the EoS of (asymmetric) nuclear matter





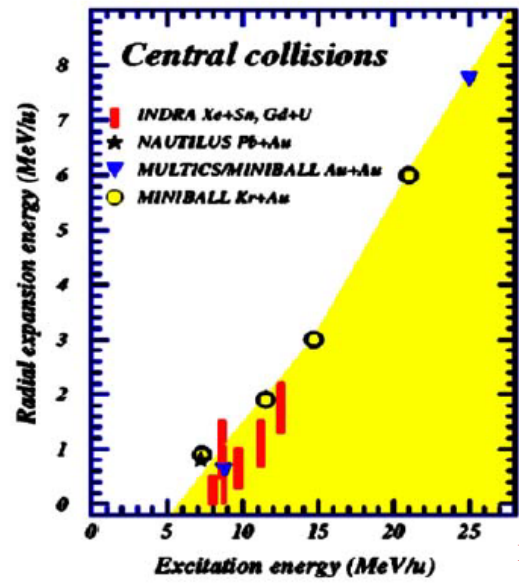
**Experimental evidences**

*A. Ono*



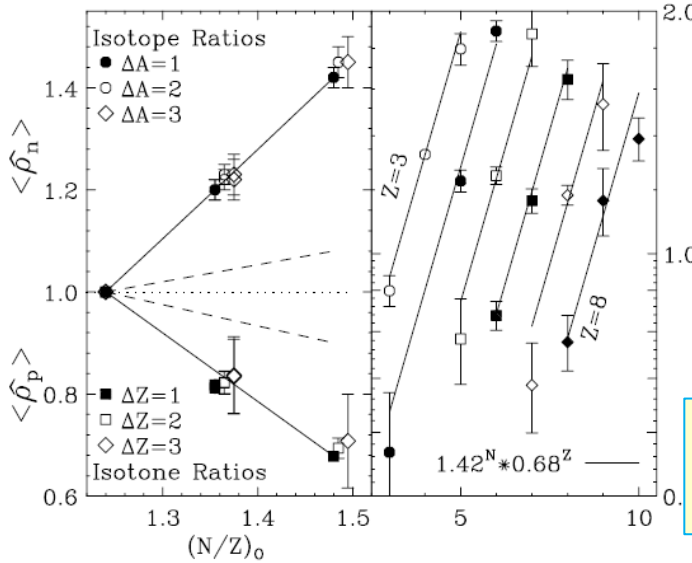
**Charge distribution and average kin. energy (Indra data)**

*S. Hudan, et al., PRC 67 (2003) 064613*



**Compilation of data on radial flow**

*D. Durand, E. Suraud, B. Tamain, Institute of Physics, 2000*

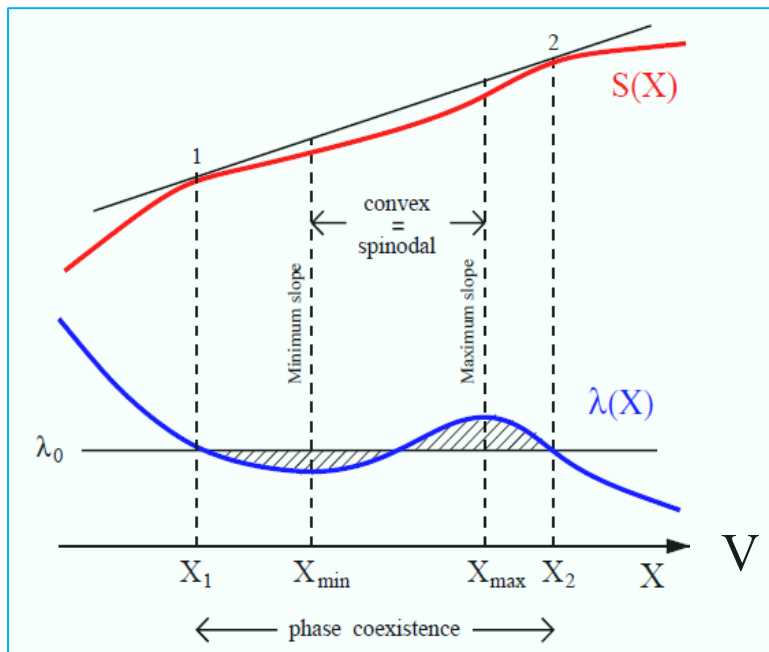


**Sn + Sn 50 A MeV**

$\gamma_{124}^{124}(N,Z) / \gamma_{112}^{112}(N,Z)$

**n-enrichment of «gas» phase**

*H.Xu et al., PRL 85 (2000)*



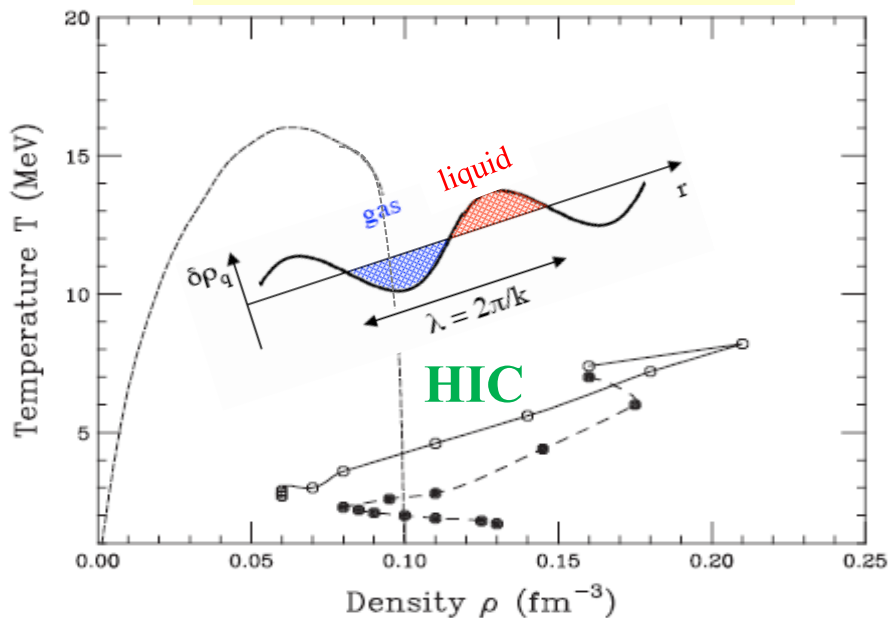
- Phase co-existence and spinodal instabilities:  $\rightarrow$  signatures in HIC

- Nuclear caloric curve**

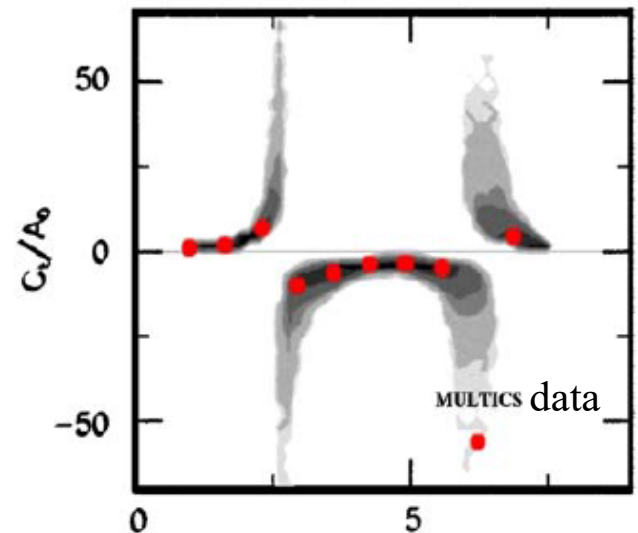
J. Pochodzalla, et al., PRL 75 (1995) 1040

- Negative specific heat in Au + Au at 35 A MeV**

HIC trajectories at 50 A MeV



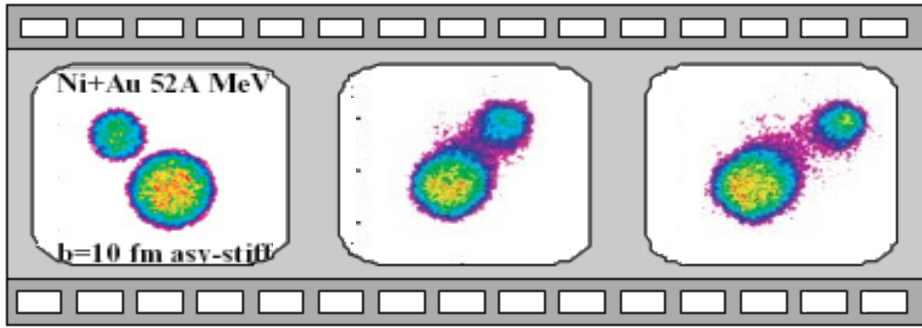
Peripheral Au+Au events



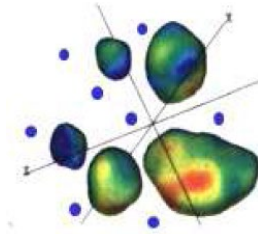
D'Agostino, et al., NPA 699 (2002) 795.

Excitation energy (MeV)

# The nuclear many-body problem



- Mean-field (one-body) dynamics
- Two-body correlations



two-body density matrix

*one-body  
density matrix*

$$\rho_2(12,1'2') = \underbrace{\rho_1(1,1')\rho_1(2,2')}_{\text{one-body}} + \delta\sigma(12,1'2')$$

$$H = H_0 + V_{1,2}$$

Mean-field

Residual interaction

Time evolution  $\rightarrow$   
 $\rho_1$  : one-body density

$$i\hbar \frac{\partial}{\partial t} \rho_1(t) = [H_{\text{eff}}, \rho_1(t)] + K(\rho_1) + \delta K(\rho_1, \delta\sigma)$$

TDHF
ETDHF

$$K = F(\rho_1, |v|^2)$$

Average effect of the residual interaction

$$\delta K = F(v, \delta\sigma)$$

$$\langle \delta K \rangle = 0$$

$$\langle \delta K \delta K \rangle \rightarrow \text{Fluctuations}$$

# Modeling the many-body dynamics

## Quantum Stochastic Mean Field (QSMF)

$$i\hbar \frac{d\rho^{(n)}}{dt} = \left[ h(\rho^{(n)}), \rho^{(n)} \right]$$

**TDHF +**

→ Fluctuations in the initial conditions:

$$\overline{\rho_{ij}^{(n)}(t_0)} = \delta_{ij} n_i,$$

$$\overline{\delta\rho_{ij}^{(n)}(t_0)\delta\rho_{kl}^{(n)}(t_0)} = \frac{1}{2}\delta_{il}\delta_{jk} [n_i(1-n_j) + n_j(1-n_i)]$$

Lacroix, Ayik, Yilmaz, PRC(2012)  
Lacroix et al., EPJA52(2016)  
Simenel, EPJA(2012)

## Boltzmann-Langevin (BL) approach

Collision integral

$$K = g \sum_{234} W(12; 34) \left[ \bar{f}_1 \bar{f}_2 f_3 f_4 - f_1 f_2 \bar{f}_3 \bar{f}_4 \right]$$

Transition rate W  $\bar{f} = 1 - f$   
interpreted in terms of  
hard 2-body scattering

-when statistical fluctuations larger than quantum ones

$$\langle \delta K(p, t) \delta K(p', t') \rangle = C \delta(t - t')$$

$$C(\mathbf{p}_a, \mathbf{p}_b, \mathbf{r}, t) = \delta_{ab} \sum_{234} W(a2; 34) F(a2; 34)$$

$$F(12; 34) \equiv f_1 f_2 \bar{f}_3 \bar{f}_4 + \bar{f}_1 \bar{f}_2 f_3 f_4.$$

Abe, Ayik et al., Phys. Rep. 275 (1996)  
Chomaz, Colonna, Randrup, Phys. Rep. 389 (2004)  
M. Colonna, PNP 113 (2020)

## Main ingredients:

- Effective interaction (self consistent mean-field)  
ex: Skyrme, Gogny ...

- Residual interaction (NN correlations and fluctuations) → In-medium nucleon cross section

# Boltzmann-Langevin dynamics: *Semi-classical approximation*

**Transport equation** for the one-body distribution function  $f$

(semi-classical analog of Wigner function)

$$\frac{df(r, p, t)}{dt} = \underbrace{\frac{\partial f(r, p, t)}{\partial t}}_{\text{Vlasov}} + \underbrace{\{f, h\}}_{\text{BUU}} = \underbrace{k \cdot [f] + \delta k}_{\text{SMF, BLOB models}}$$

Vlasov

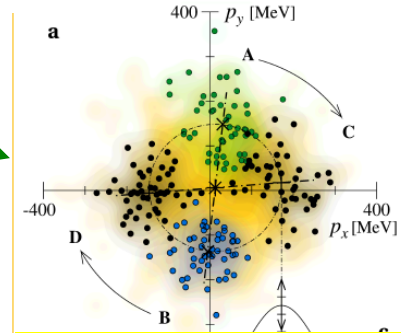
BUU

SMF, BLOB models: **Napolitani, Colonna**  
PLB726(2013)

Chomaz, Colonna, Randrup  
Phys. Rep. 389 (2004)

Baran, Colonna, Greco, Di Toro  
Phys. Rep. 410, 335 (2005)

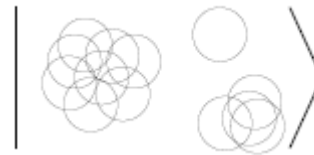
Lin and Danielewicz, PRC 99 (2019)  
M. Colonna, PPNP 113 (2020)



**Residual interaction:  
stochastic NN collisions**

# Molecular Dynamics approaches (AMD, QMD, UrQMD,...)

$$|\Phi(Z)\rangle = \det_{ij} \left[ \exp \left\{ -v \left( \mathbf{r}_j - \frac{\mathbf{Z}_i}{\sqrt{v}} \right)^2 \right\} \chi_{\alpha_i}(j) \right]$$



A. Ono, *Phys. Rev. C* 59, 853 (1999)  
Zhang and Li, *PRC* 74, 014602 (2006)  
J. Aichelin, *Phys. Rep.* 202, 233 (1991)  
M. Papa et al., *PRC* 64, 024612 (2001)  
Jun Xu, *PPNP* 106 (2019)

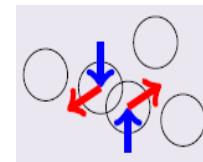
$\chi_{\alpha_i}$  : Spin-isospin states =  $p \uparrow, p \downarrow, n \uparrow, n \downarrow$

$$\mathbf{Z}_i = \sqrt{v} \mathbf{D}_i + \frac{i}{2\hbar \sqrt{v}} \mathbf{K}_i$$

$v$  : Width parameter =  $(2.5 \text{ fm})^{-2}$

**Stochastic** equation of motion for the wave packet centroids  $Z$ :

$$\frac{d}{dt} \mathbf{Z}_i = \{ \mathbf{Z}_i, \mathcal{H} \}_{\text{PB}} + \text{stochastic NN collisions}$$





# The nuclear effective interaction

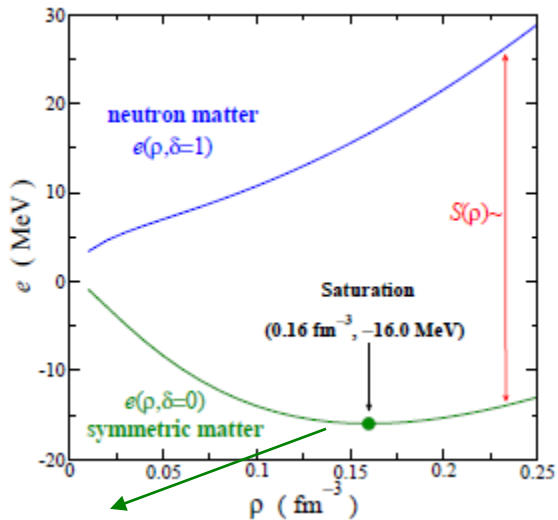
$\longrightarrow$  • Energy density  $\varepsilon = E/V$  *ex: Skyrme eff. interaction*

$$\mathcal{E} = \frac{\hbar^2}{2m}\tau + C_0\rho^2 + D_0\rho_3^2 + C_3\rho^{\alpha+2} + D_3\rho^\alpha\rho_3^2 + C_{eff}\rho\tau + D_{eff}\rho_3\tau_3 +$$

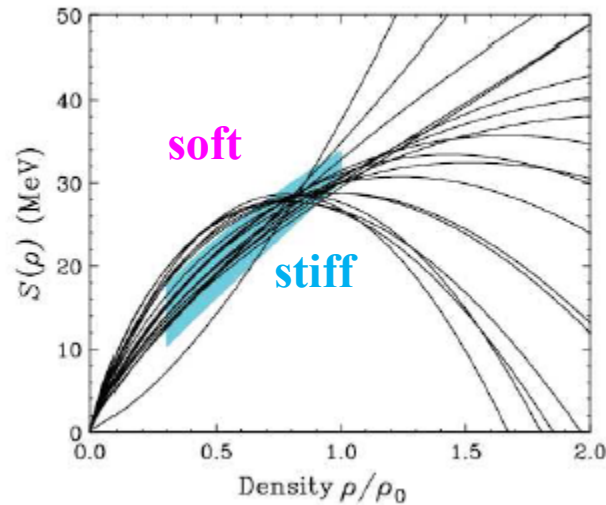
$$+ C_{surf}(\nabla\rho)^2 + D_{surf}(\nabla\rho_3)^2 + \text{S.O.} + \text{pairing}$$

$\rho = \rho_n + \rho_p$      $\rho_3 = \rho_n - \rho_p$      $\tau = \tau_n + \tau_p, \tau_3 = \tau_n - \tau_p$     *Kinetic energy densities*

• For homogeneous matter at equilibrium  $\longrightarrow$  EOS



compressibility K



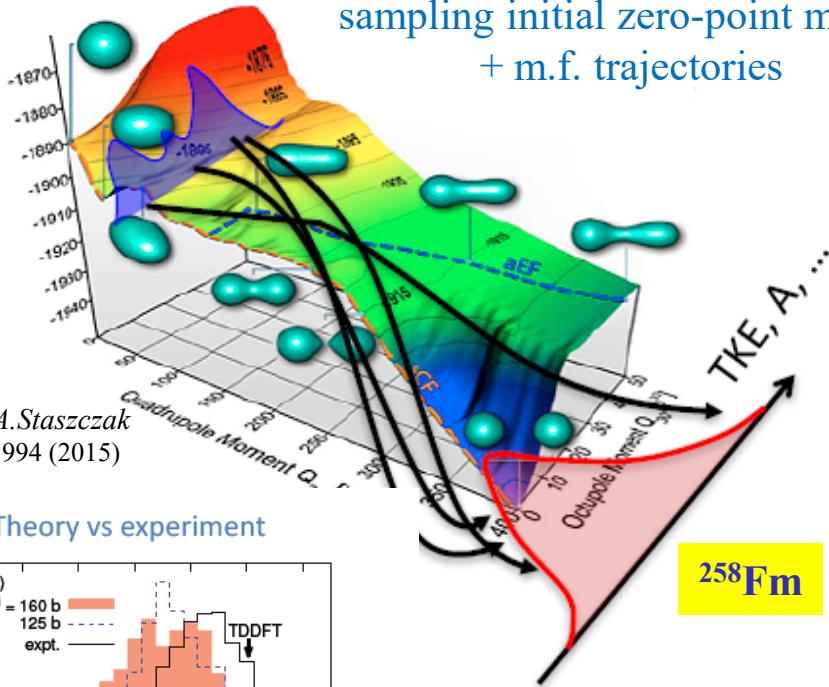
Symmetry Energy  
 $S(\rho) = C_{sym}(\rho)$

$$E/A(\rho, \delta) = E/A(\rho, \delta=0) + S(\rho)\delta^2 + O(\delta^4)$$

$$\delta = \frac{\rho_n - \rho_p}{\rho}$$

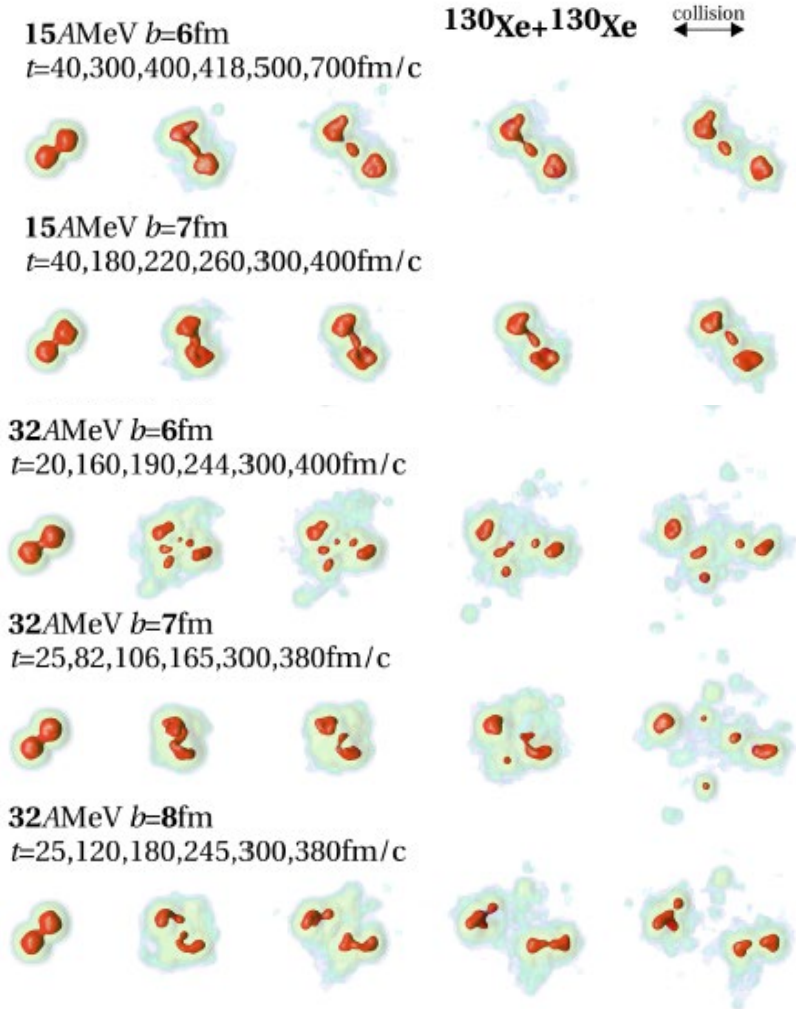
# Beyond the independent particle picture: from **fission** to fragmentation at Fermi energies

**Quantum SMF:**  
sampling initial zero-point motion  
+ m.f. trajectories



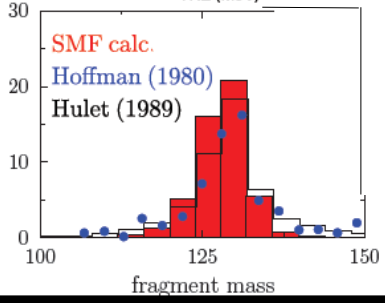
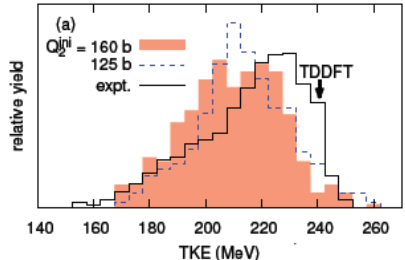
Fragment evolution  
@ Fermi energies (**BLOB**)

*surface + volume instabilities !*



from A. Staszczak  
NPA 994 (2015)

Theory vs experiment



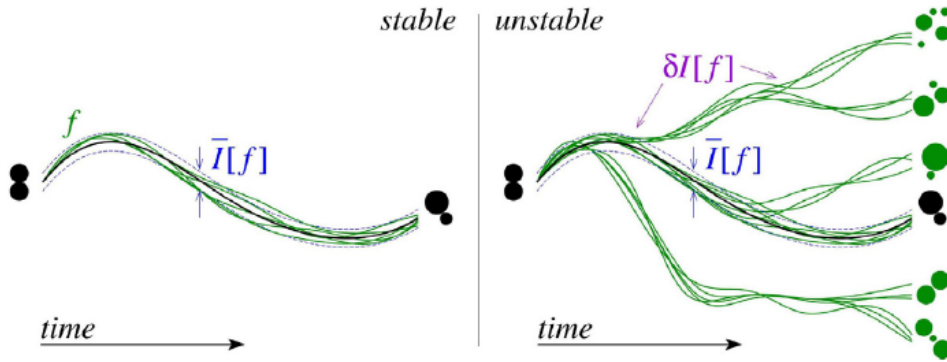
*Kinetic energy and  
mass distribution  
for fission  
of superfluid  $^{258}\text{Fm}$*

Tanimura, Lacroix, Ayik  
Phys. Rev. Lett. (2017), EPJA52(2016)

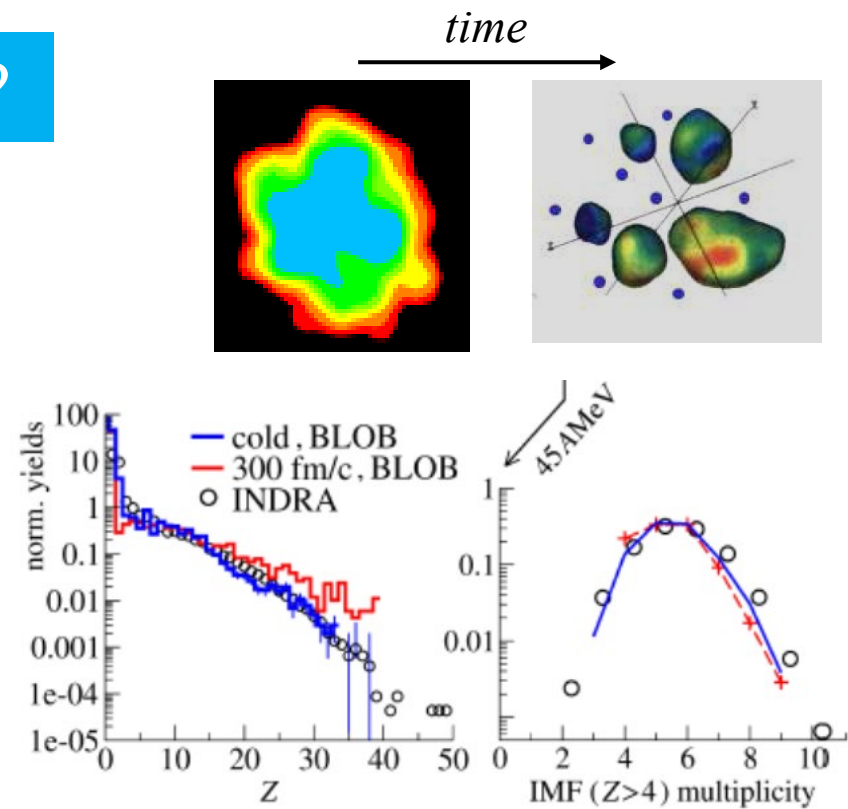
M. Bender et al., JPG 47 (2020)  
Ren, Vretenar et al., PRL 128 (2022)

# Why are fluctuations important ?

- Crucial role in presence of instabilities: Symmetry breaking and fragment formation  
 → Sensitivity to incompressibility  $K$



Napolitani, Colonna  
 EPJ,117 (2016)  
 M.Colonna, PPNP113 (2020)



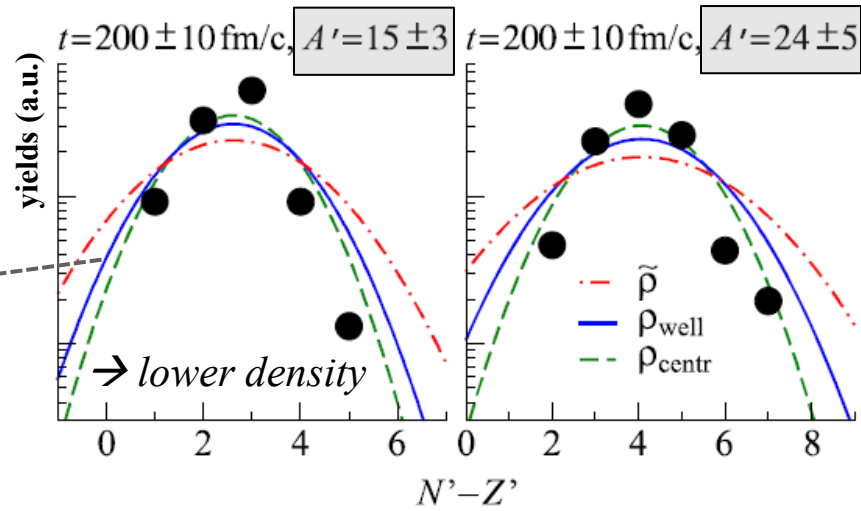
$^{136}\text{Xe} + ^{124}\text{Sn}$ , central  $E/A = 45 \text{ MeV/A}$

**BLOB** results

- Fluctuations lead to variances for fragment distributions (ex: isotopic distributions)

$$Y \approx \exp[-(\delta^2 / A') C_{\text{sym}}(\rho) / T]$$

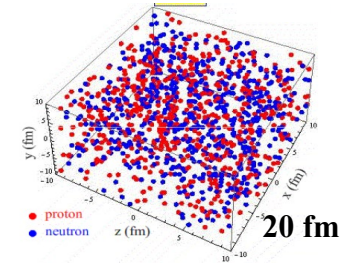
$\delta = N - Z$       **symmetry energy**



Napolitani, Colonna  
 PRC96, 054609 (2017)

# Transport model comparison (TMEP): *where do we stand?*

- Box simulations: test of **mean-field dynamics** (only Vlasov)
- Symmetric matter,  $T = 0$ , compressibility  $K = 500$  MeV



Sinusoidal perturbation:

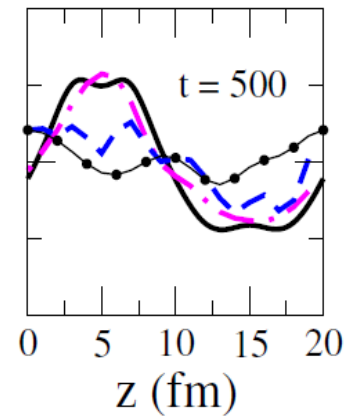
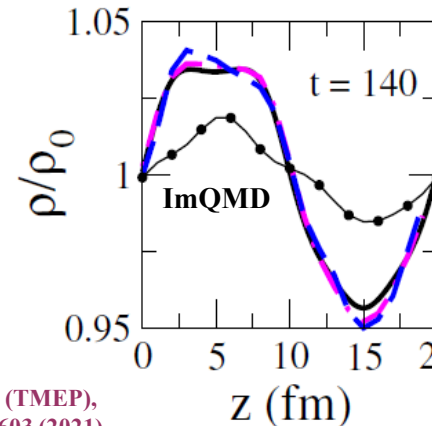
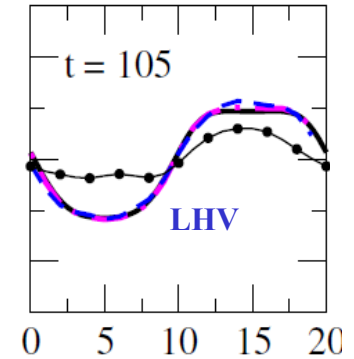
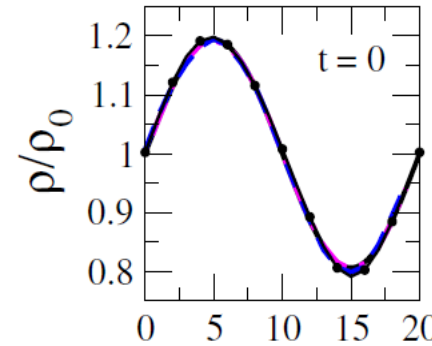
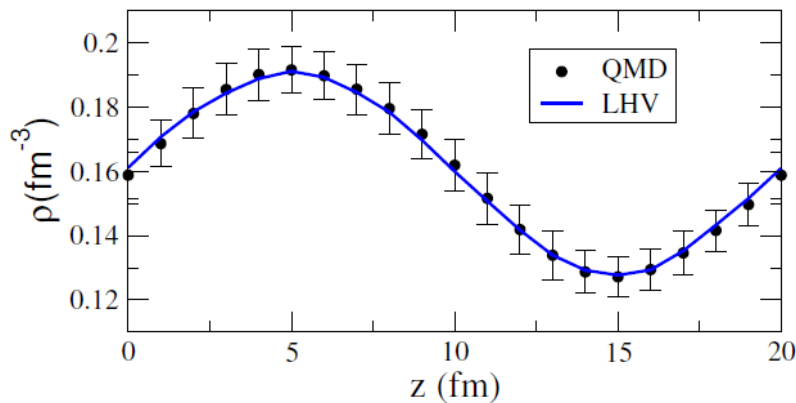
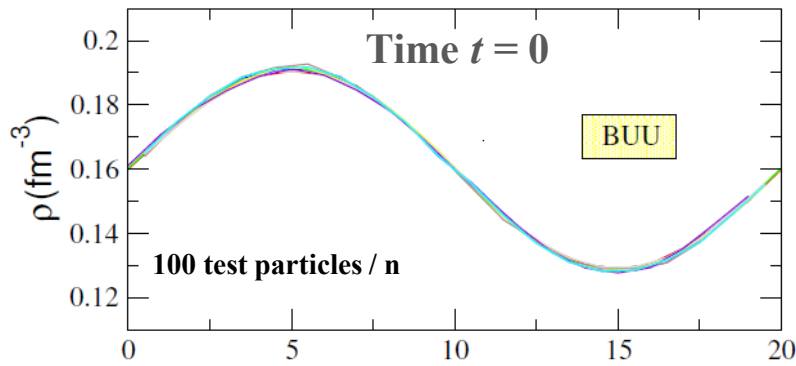
$$\rho(z, t=t_0) = \rho_0 + a_\rho \sin(kz)$$

$$k = 2\pi/L, \quad L = 20 \text{ fm} \quad a_\rho = 0.2 \rho_0$$

➤ *Time propagation*: Large **damping** in QMD !

----- Exact solution (Deformed Fermi Sphere – A.Ono)

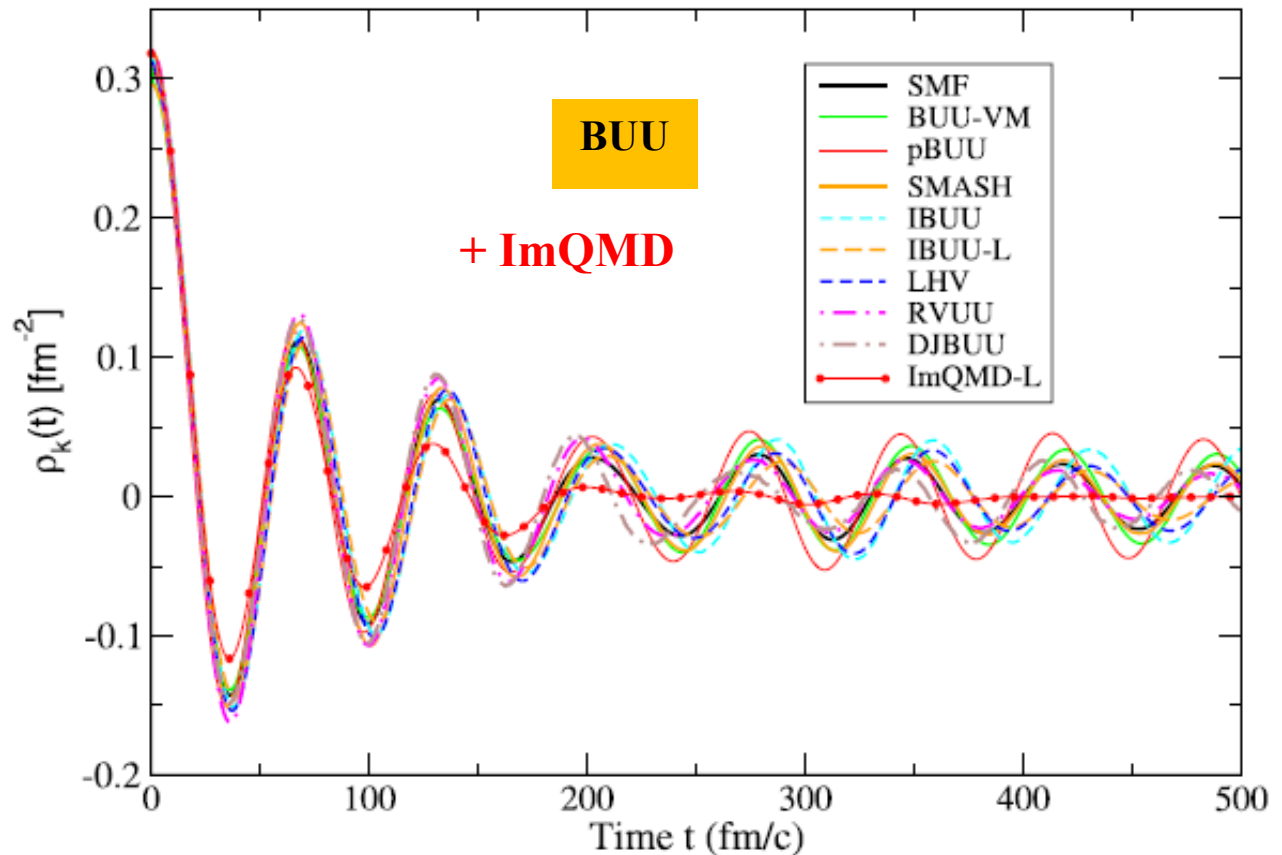
--- LHV (BUU-Like) 100 TP --- LHV 2500 TP



# Oscillation frequency and damping effects

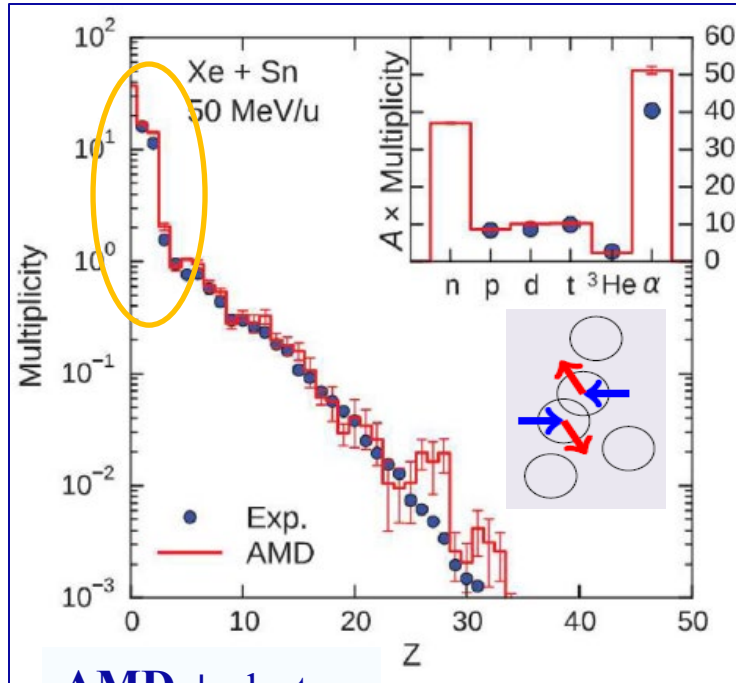
- **BUU**: dynamics is sensitive to the details of the **effective interaction** (*Skyrme or covariant formulation...*), though EoS is the same !
- **QMD**: the **Gaussian width** can be **tuned** to reproduce the analytical expectation for the m-f potential

*Fourier transform of  
density oscillations*



# Fragmentation mechanisms: role of fluctuations / correlations

*central collisions*



AMD + clusters

- IMF charge distribution well reproduced by **QMD** models

K. Zbiri, et al., PRC 75 (2007) 034612

- and *stochastic mean-field* models

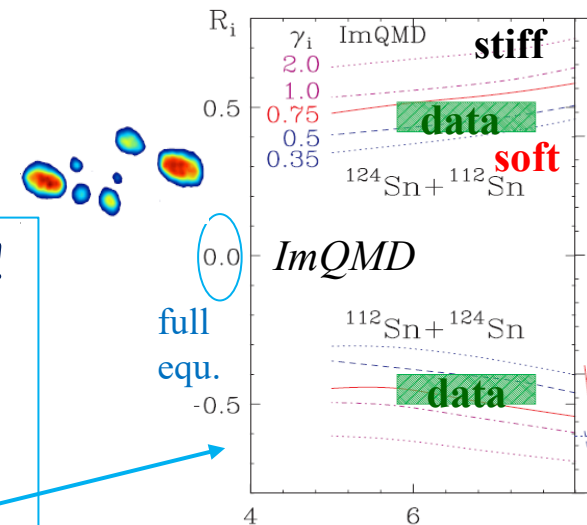
Napolitani, Colonna EPJ,117 (2016)

- Light cluster production is sensitive to the treatment of (higher order) **n-n correlations**

A. Ono, Il Nuovo Cimento C 39 (2016) 390  
A. Ono, PPNP 105 (2019)

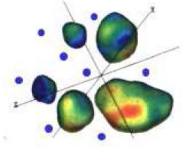
- Isospin features** provide more stringent constraints !

- $N/Z$  of «gas» and «liquid» phases
- Fragment  $\langle N \rangle / Z$  vs.  $Z$ , isotopic distributions
- *Charge equilibration between projectile and target*



B. Tsang et al., PRL 102 (2009)  
Lynch & Tsang PLB 137098 (2022)

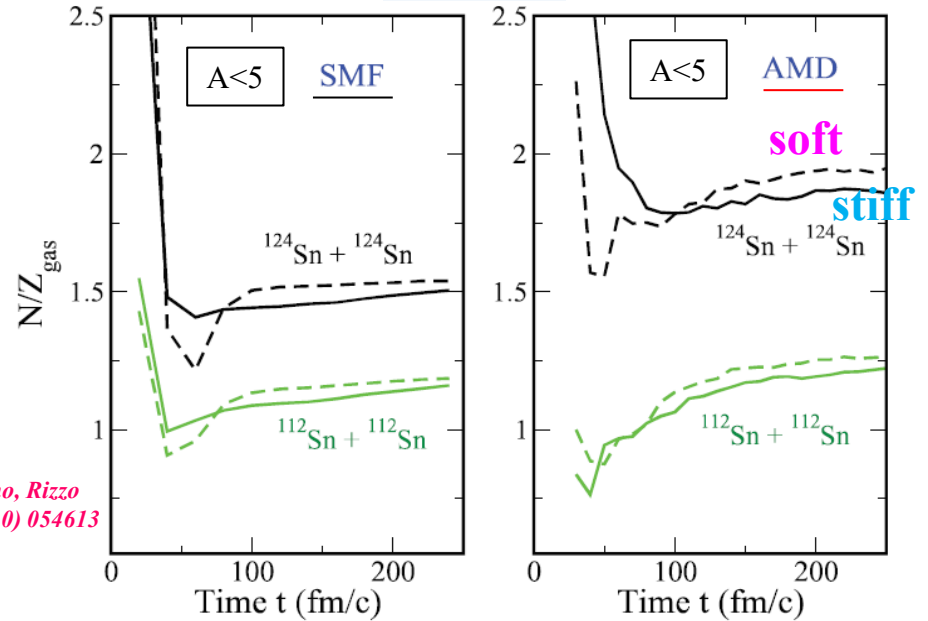
# Effects of clustering on fragment features



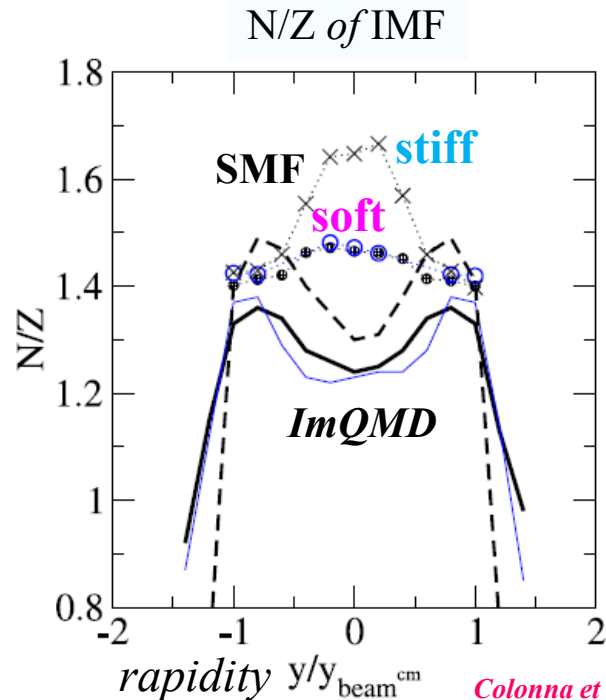
- Clustering effects more pronounced in QMD  $\rightarrow$  protons are bound in heavier clusters
- $\rightarrow$  Larger  $N/Z$  of the «gas» phase
- $\rightarrow$  IMF are less  $n$ -rich in AMD

$N/Z_{\text{gas}}$

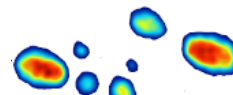
central



Colonna, Ono, Rizzo  
PRC 82 (2010) 054613

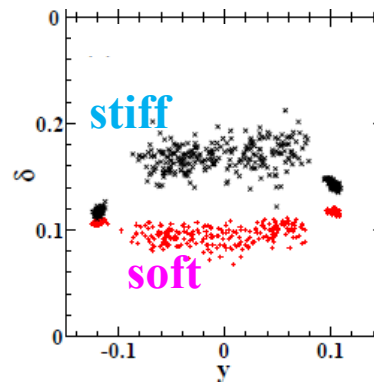


$b = 6 \text{ fm}$



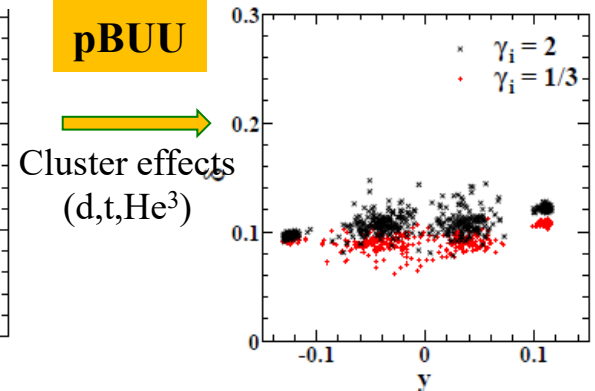
$\Delta I$

w/o clusters



$^{124}\text{Sn} + ^{112}\text{Sn}, 50 \text{ A MeV}$

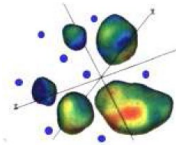
(clusters)



Colonna et al, EPJ. A 50 (2014) 30

Coupland et al., PRC 84, 054603 (2011)

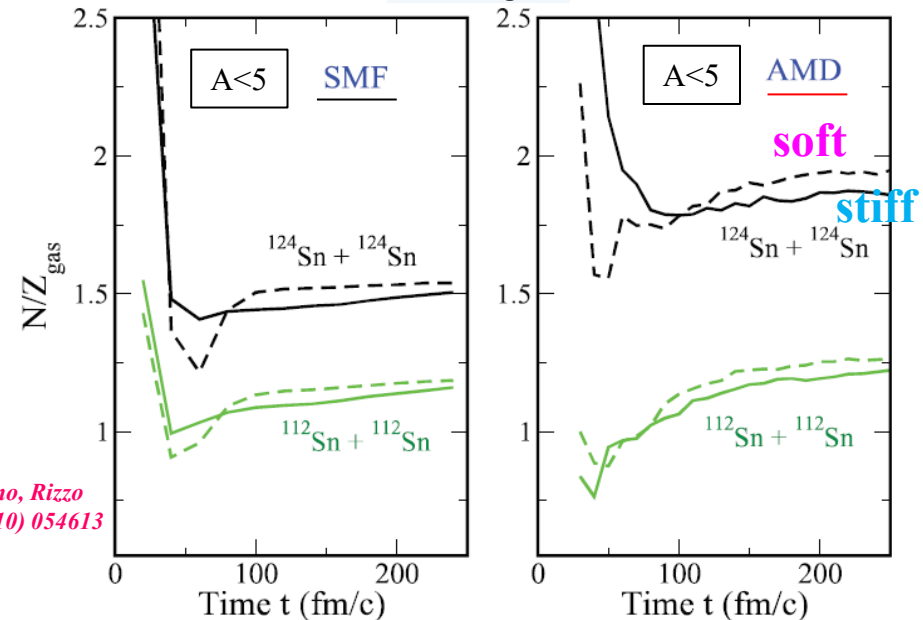
# Effects of clustering on fragment features



- Clustering effects more pronounced in QMD  $\rightarrow$  protons are bound in heavier clusters
- $\rightarrow$  Larger  $N/Z$  of the «gas» phase
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$N/Z_{\text{gas}}$

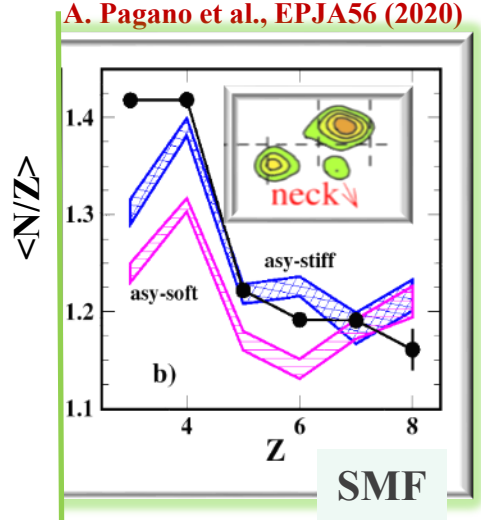
central



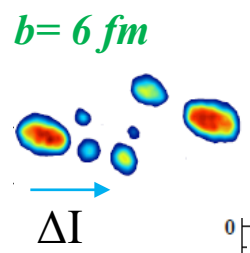
Colonna, Ono, Rizzo  
PRC 82 (2010) 054613

$N/Z$  of IMF

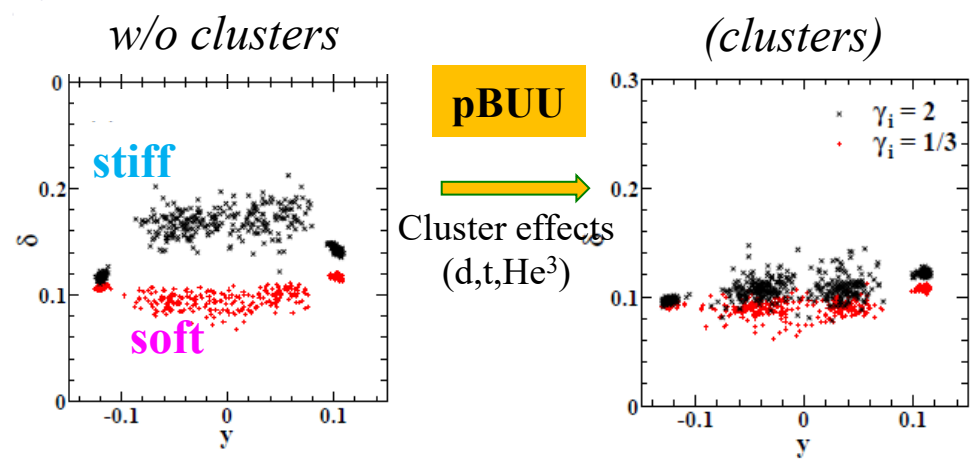
E. De Filippo et al., PRC(2012)  
A. Pagano et al., EPJA56 (2020)



Sn + Ni, 35 A MeV (LNS data)



$^{124}\text{Sn} + ^{112}\text{Sn}, 50 \text{ A MeV}$



Coupland et al., PRC 84, 054603 (2011)



# Summary and perspectives

- Transport theories are crucial tools to link the nuclear effective interaction (and **EoS**) to physical observables emerging from the **HIC phenomenology**  
→ *Strong synergy between **theory** and **experiments***

- *What improvements on the constraints of the EoS can we expect from future HI experiments ?*

**HIC at Fermi energies** (explore the *liquid-gas region* of the **EoS**, *fragmentation* and the role of the *symmetry energy*) → *new experiments* are planned with new generation  $4\pi$  detectors (ex: FAZIA@GANIL).  
→ *New facilities* for exotic beams could be exploited.

- *What development is necessary for transport codes to address the above question ?*

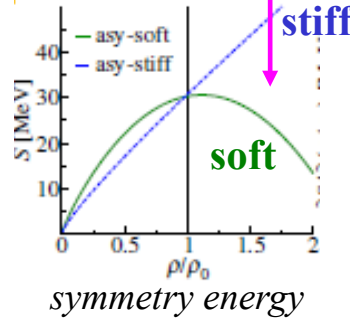
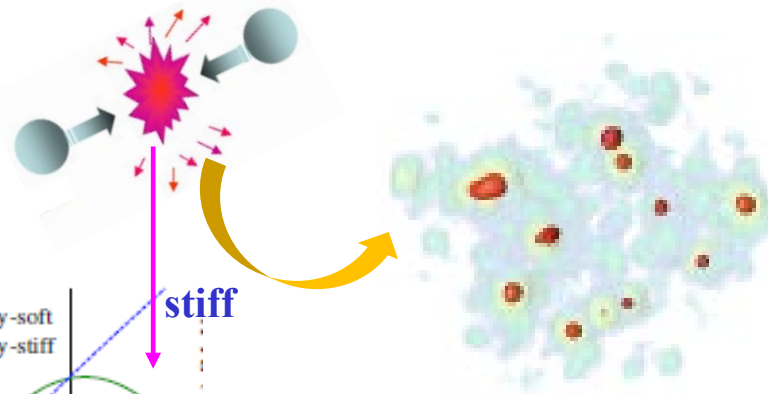
Test (higher order) **n-n correlations** in transport codes → **TMEP** + *comprehensive comparisons* with available and new *experimental data* (*light cluster emission, fragment N/Z as a function of rapidity, charge equilibration...*):

- **formation mechanisms of light clusters**
- **short range correlations** (off-shell transport dynamics ?)

Back-up slides

# Impact of clustering on reaction dynamics at relativistic energies

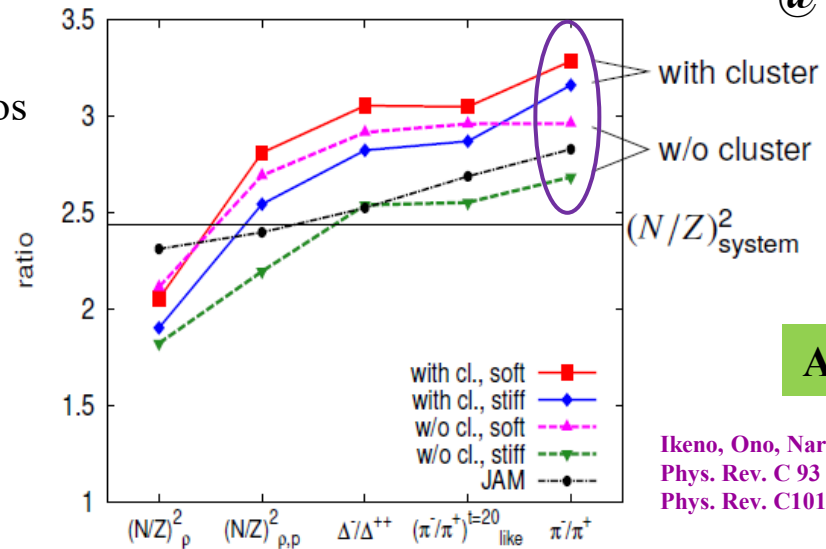
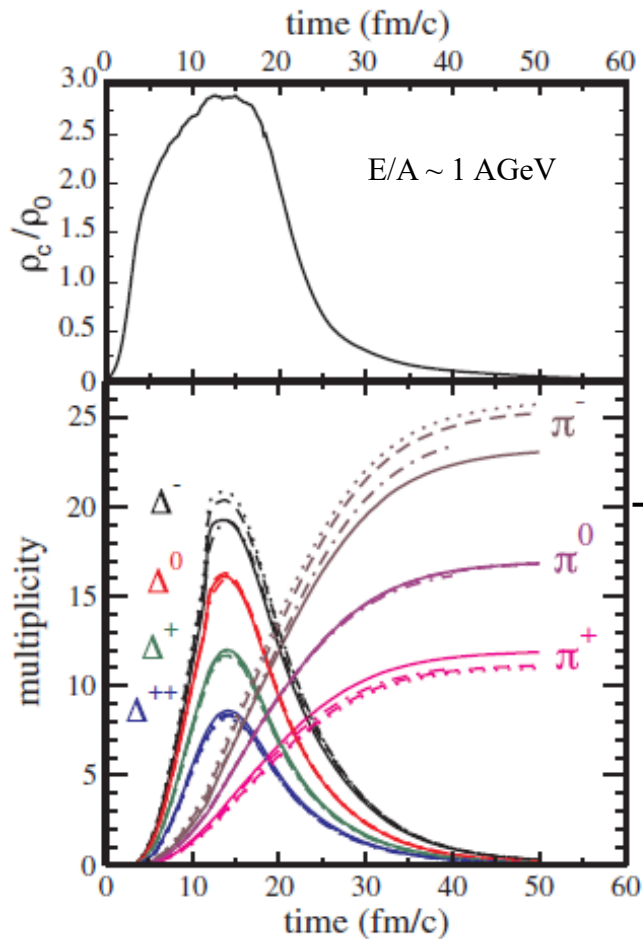
- Meson production can probe the **high density phase**
- Interplay with *cluster emission* !



$^{132}\text{Sn} + ^{124}\text{Sn}, 270 \text{ A MeV}$



@ RIKEN



AMD

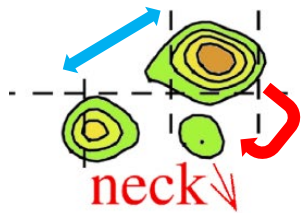
Ikeno, Ono, Nara, Onishi,  
Phys. Rev. C 93 (2016)  
Phys. Rev. C 101 (2020)

## Conclusions and outlook

- Transport theories provide a suitable description of the rich **HIC phenomenology**, linking the nuclear effective interaction to physical observables.
- **Synergy between theory and experiments:**  
more refined theories and more selective experiments can improve the present constraints on the **symmetry energy** from Heavy Ion Collisions
- *Comparison of transport models:* TMEP project
- Merging **constraints** from **structure**, **HIC** and **astrophysics**

**Collaborators: P.Napolitani (IPN, Orsay), TMEP collaboration**

# ➤ Isospin transport at Fermi energies



Difference between neutron and proton flow:

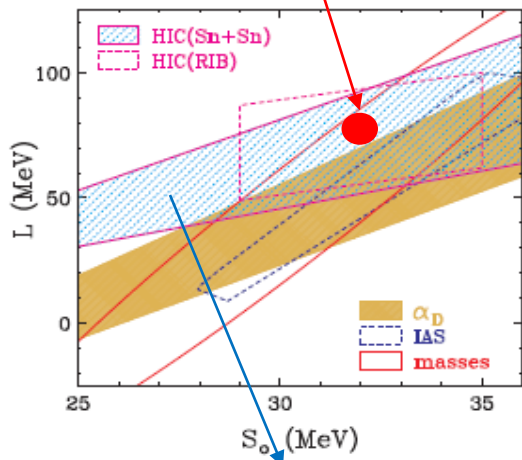
$$j_n - j_p \propto \delta \left( \frac{\partial E_{sym}}{\partial \rho} \right) \nabla \rho - \rho E_{sym} \nabla \delta$$

$$\delta = \frac{\rho_n - \rho_p}{\rho}$$

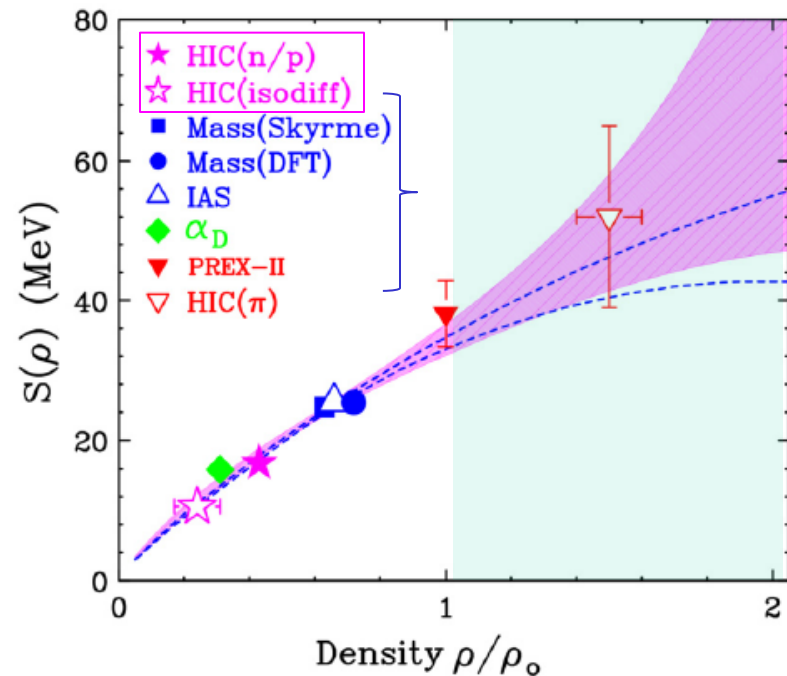
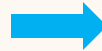
➔ Isospin **diffusion** and **migration**

*Symmetry Energy:  
heavy ion constraints*

from isospin migration:  
**L = 75 MeV**



from isospin diffusion

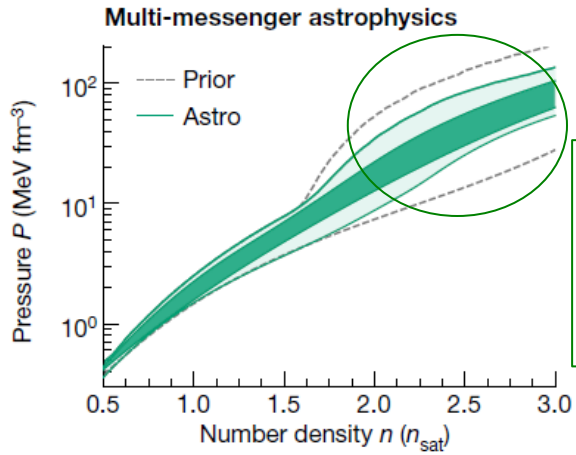


# ➤ Bayesian Inference on the EoS of Neutron Star Matter

➔ *Merging nuclear structure, reactions and astro constraints*

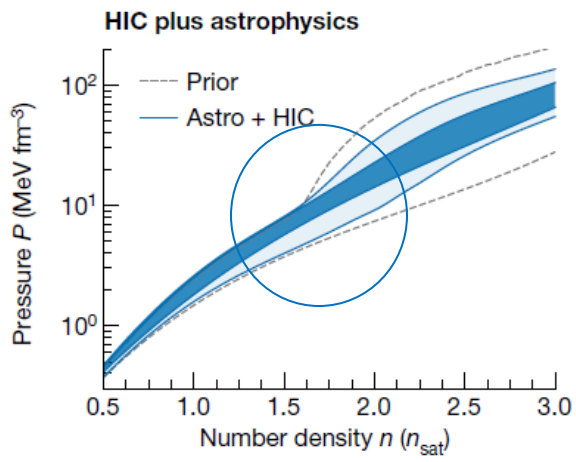
S. Huth et al., Nature 606, 276 (2022)

**Astro constraints +  $\chi$ EFT**



**NS masses and radii (NICER)  
GW signals  
NS mergers**

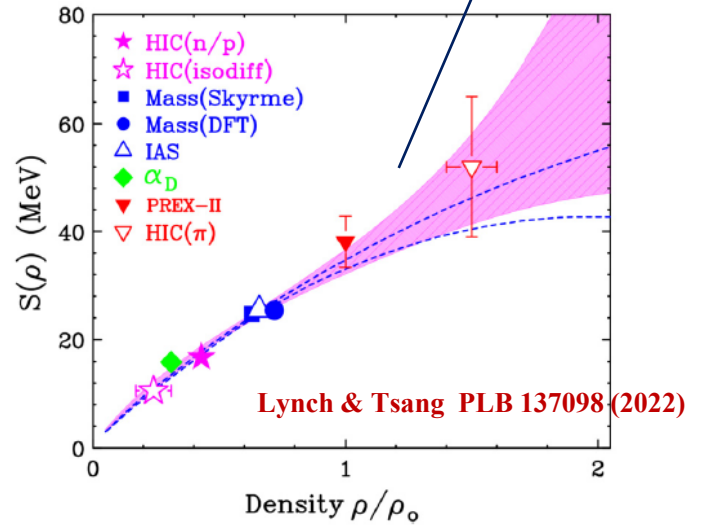
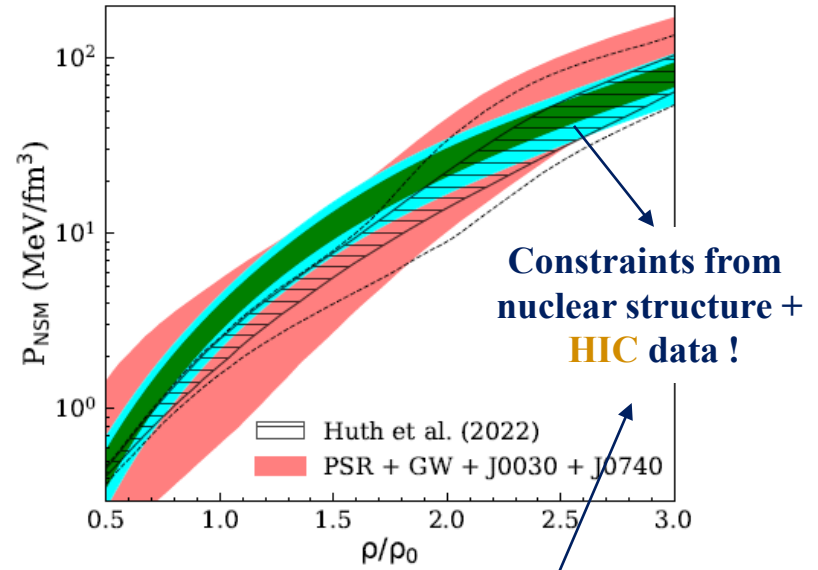
**T. Dietrich et al.,  
Science 370 (2020)**



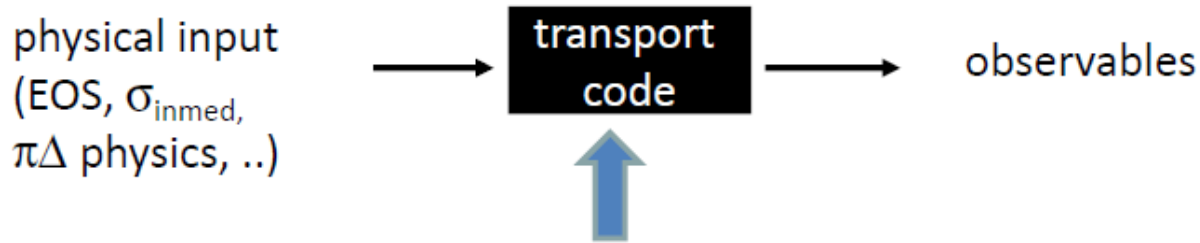
**+ collective flows from HIC**

**P. Russotto et al.,  
PRC 94 (2016)**

From C.Y. Tsang, NuSym2022



# ➤ Challenges for transport theories



- Quite complex: simulations with many technical details
- Model dependence for some observables

→ Investigate the role of fluctuations and correlations in the description of HIC

→ Establish a sort of systematical theoretical error

→ **Transport Model Evaluation (Comparison) Project -- TMEP**

- About 30 participants

## Core group:

MC (Catania)

Dan Cozma (Bucharest)

Pawel Danielewicz & Betty Tsang (MSU)

C-M Ko and Z.Zhang (Texas A&M)

Akira Ono (Sendai)

Jun Xu (Shanghai)

Herman Wolter (Munich)

Yingxun Zhang (Beijing)

→ Calculations of **Nuclear Matter**  
(box with periodic boundary conditions)

test separately ingredients in a transport approach:

a) collision term without and with blocking (Cascade)

Y.X. Zhang, et al., Phys. Rev. C 97, 034625 (2018)

b) mean field propagation (Vlasov)

c) pion,  $\Delta$  production in Cascade

d) instabilities, fragmentation

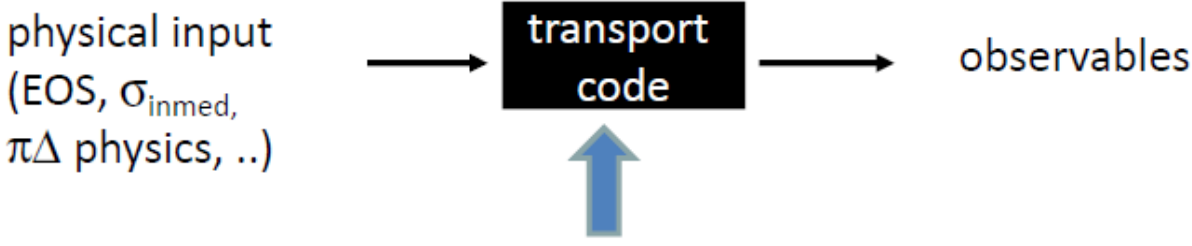
e) momentum dependent fields

.....

} A.Ono et al., PRC 100, 044617 (2019)  
M. Colonna et al., PRC, 104, 024603 (2021)

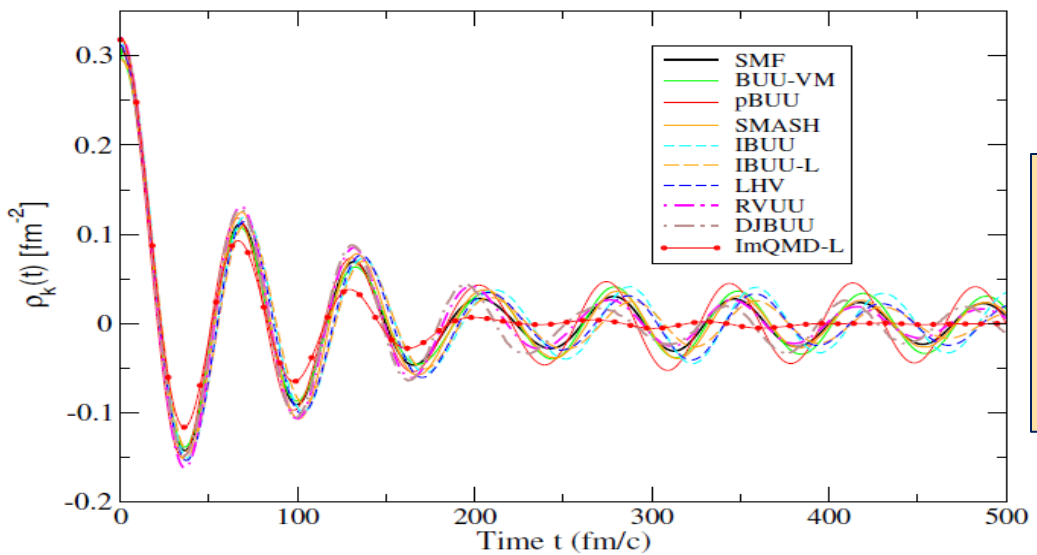
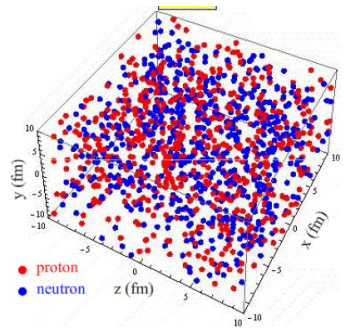
} **in progress**

# Challenges for transport theories



- Quite complex: simulations with many technical details
- Model dependence for some observables

- Investigate the role of fluctuations and correlations in the description of HIC
- Establish a sort of systematical theoretical error
- Transport Code Evaluation (Comparison) Project -- TMEP



*Time evolution of density perturbations for nuclear matter in a box*

→ **Good agreement between several transport codes !**



# Oscillation frequency and damping effects

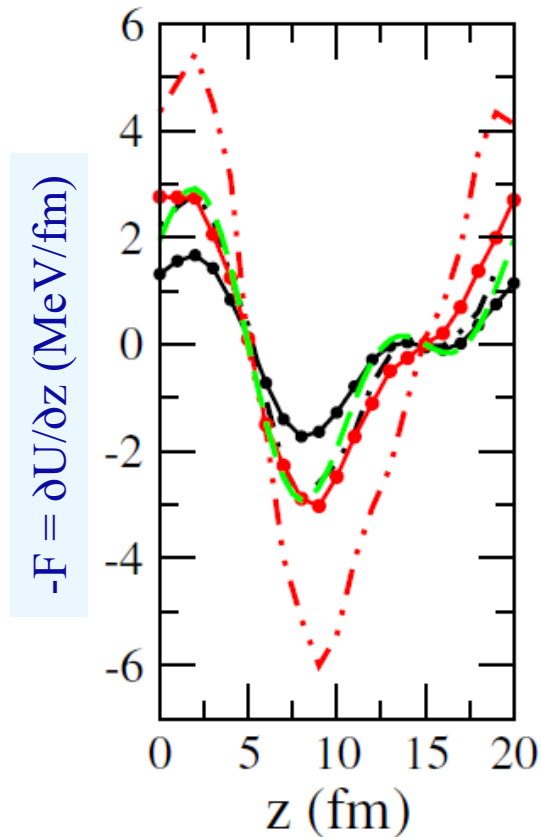
## → Mean-field gradient

Ex:  $U(\rho) = a(\rho/\rho_0) + b(\rho/\rho_0)^\sigma$

— **ImQMD-L**  $\Delta x = 1.4$  fm

- · - **ImQMD-L**  $\Delta x = 0.9$  fm

- - - **analytical**



- **BUU**: dynamics is sensitive to the details of the **effective interaction** (*Skyrme or covariant formulation...*), though EoS is the same !
- **QMD**: **fluctuations** can be **tuned** to reproduce the analytical expectation for the m-f gradient

## Fourier transform of density oscillations

