Causality and instability of the relativistic hydrodynamics from holography

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What holography can add to turbulence?

 \implies How do we compute entropy production in decaying turbulence (see G.Falkovich, part2)?

• <u>Answered:</u> in "Holographic turbulence" by Adams-Chesler-Liu (ACL, arXiv:1307.7267)

• Imagine you have $T^{\mu\nu}$ of a theory of relativistic hydrodynamics (truncation+transport coefficients) D + 1 spacetime dimensions:

$$T^{\mu\nu}(t,\boldsymbol{x}) = \underbrace{T^{\mu\nu}_{(0)}}_{\mathcal{O}(\partial^0 u)} + \underbrace{T^{\mu\nu}_{(1)}}_{\mathcal{O}(\partial^1 u)} + \underbrace{T^{\mu\nu}_{(2)}}_{\mathcal{O}(\partial^1 u)^{2}} + \cdots$$

• You simulate the turbulent hydro from the theory:

$$\nabla_{\mu}T^{\mu\nu} = 0$$

- The holographic magic is **gravity-fluid** correspondence:
 - given $T^{\mu\nu}(t, \boldsymbol{x})$ we can reconstruct geometry of a boosted black hole in D + 1 dimensions, $X = \{ t, \boldsymbol{x} = x^i, r \},\$

$$ds_{D+1}^2 = \Sigma^2(X)\hat{g}_{ij}(X) \ dx^i dx^j + 2dt \left[dr - A(X) \ dt - F_i(X) \ dx^i \right]$$

where as $r \to \infty$, e.g.,

$$\Sigma^{2}(X)\hat{g}_{ij}(X) = \frac{r^{2}}{L^{2}} \left[\delta_{ij} + \frac{1}{r^{D}} \langle T_{ij} \rangle + \cdots \right] , \ -2A = \frac{r^{2}}{L^{2}} \left[-1 + \frac{1}{r^{D}} \langle T_{00} \rangle + \cdots \right]$$

- The reconstruction is more accurate the more accurate is the truncation in gradients
- \Rightarrow large Reynolds numbers + inverse cascade ($\nabla u \ll T$)

• Given a geometry, we can find an **apparent (dynamical) horizon** (AH) of the black hole:

$$(\partial_t + A(X) \ \partial_r) \Sigma \Big|_{r_h} = -\frac{1}{2} \Sigma' F^2 - \frac{1}{D-1} \Sigma \nabla \cdot F \Big|_{r_h}$$

 Associate with the apparent horizon, there is a non-equilibrium entropy density s and an entropy current s^μ

$$s \equiv \underbrace{\frac{2c}{\pi}}_{\# DOF} \Sigma^{D-1} \Big|_{r_h}, \qquad s^{\mu} = s \ u^{\mu}, \qquad T^{\mu}_{\ \nu} u^{\nu} = -\mathcal{E} u^{\mu}$$

which has non-negative divergence

$$\nabla_{\mu}s^{\mu} \ge 0$$

 \implies You can study what the entropy does in a turbulent regime (ACL paper)

\implies <u>To summarize</u>:

- Holography defines all-gradient relativistic hydrodynamics
- Computes all-order transport coefficients (there is some leverage in engineering the hydro)
- Gives an out-of-equilibrium definition of the entropy

 \implies In this talk I want to discuss some general properties of all-gradient theories of relativistic hydrodynamics from holography

Outline:

- Instability and causality violation in first-order dissipative relativistic fluids
- Why holography?
 - Gauge theory plasma EOS
 - Transport coefficients from holography
 - Beyond hydrodynamics QNM of black branes
- Holographic models with controlled causality violation
- All-order hydrodynamic instabilities in acausal plasma
 - Minimal boost velocity
- Conclusion and future directions

\implies Hiscock and Lindblom (1985):

Consider *general* theory of 1st-order **relativistic hydrodynamics**

• EF of conserved stress-energy tensor $T^{\mu\nu}$ and a current J^{μ}

$$\nabla_{\mu}T^{\mu\nu} = 0, \qquad \nabla_{\mu}J^{\mu} = 0$$

• constitutive relations $(\Delta^{\mu\nu} \equiv \eta^{\mu\nu} + u^{\mu}u^{\nu})$

$$T^{\mu\nu} = \underbrace{\mathcal{E}u^{\mu}u^{\nu} + P\Delta^{\mu\nu}}_{\mathcal{O}(\partial^{0}u)} + \underbrace{\left[\tau\Delta^{\mu\nu} + q^{\mu}u^{\nu} + q^{\nu}u^{\mu} + \tau^{\mu\nu}\right]}_{\mathcal{O}(\partial^{1}u)} + \underbrace{\left[\cdots\right]}_{\mathcal{O}(\partial^{2}u,(\partial u)^{2})} + \cdots$$

$$J^{\mu} = \underbrace{\rho u^{\mu}}_{\mathcal{O}(\partial^{0}u)} + \underbrace{\nu^{\mu}}_{\mathcal{O}(\partial^{1}u)} + \cdots$$

- energy and charge diffusion currents $\{q^{\mu}, \nu^{\mu}\}$
- bulk and shear viscosity stresses $\{\tau, \tau^{\mu\nu}\}$

• The 1st order-in-gradients terms are fixed from the positivity of the entropy production current

- : shear and bulk viscosities $\{\eta, \zeta\}$ - : thermal conductivity κ and charge diffusion σ must be non-negative

- First-order hydrodynamics is frame-dependent: no local definition of $\{T(x), \mu(x), u^{\mu}(x)\}$
- "Eckart frame": $\nu_{\mu} = 0$ (no charge flow in local rest frame, effectively $\sigma = 0$)
- "Landau-Lifshitz" frame (LL): $q_{\mu} = 0$ (no energy flow in the local rest frame, effectively $\kappa = 0$)
- one can stay in the general frame where $\sigma \cdot \kappa \neq 0$

 \implies Consider linearized perturbations $\delta Q \propto \exp(-i\omega t + i\mathbf{kx})$

• The dispersion relations are found from

 $\boldsymbol{M} \cdot \boldsymbol{\vec{Q}} = 0 \qquad \Longrightarrow \qquad \det \boldsymbol{M} = (\det \boldsymbol{M}_{sound}) \cdot (\det \boldsymbol{M}_{shear})^2 = 0$

where determinants are polynomials of $(\omega, |\mathbf{k}|^2)$, e.g.,

$$\det \boldsymbol{M}_{shear} = \mathcal{P}_{shear}(\omega, |\boldsymbol{k}|^2)$$

• In the shear channel:

$$-i\omega_{\pm} = \frac{1}{2\kappa T} \left[P + \mathcal{E} \pm \sqrt{(P + \mathcal{E})^2 + 4\eta\kappa T \mathbf{k} \cdot \mathbf{k}} \right]$$

- Im [ω₊] > 0 the mode is always unstable in a frame with κ ≠ 0; it is gapped (as |k| → 0)
- In the Landau-Lifshitz frame, ω_+ mode disappears from the spectrum $(\operatorname{Im}[\omega_+] \to +\infty \text{ as } \kappa \to 0_+)$; the remaining mode is stable

$$\omega_{-} = -i \frac{\eta}{\mathcal{E} + P} |\mathbf{k}|^{2} + \mathcal{O}(|\mathbf{k}|^{4})$$

• Similar situation in the sound channel: $\kappa \neq 0 \iff$ instability; no-instabilities in LL frame

 \implies So, LL frame is stable?

 \implies NO!, but to see the instability one needs to look in the boosted frame

• consider a boosted frame of an equilibrium fluid flow in the direction \boldsymbol{k}

$$\tilde{t} = \gamma \ t - v\gamma \ x \,, \qquad \tilde{x} = -v\gamma \ t + \gamma \ x \,, \qquad \gamma = \frac{1}{\sqrt{1 - v^2}} \,, \qquad v < 1$$

 \implies Consider linearized perturbations $\delta Q \propto \exp(-i\tilde{\omega}\tilde{t} + i\tilde{k}\tilde{x})$

• The appropriate boosted polynomials can be determined from the unboosted one, with the corresponding Lorentz transformation on (ω, \mathbf{k}) :

$$\tilde{\mathcal{P}}(\tilde{\omega}, (\tilde{k})^2) = \mathcal{P}\left(\omega \equiv \gamma \tilde{\omega} - v\gamma \ \tilde{k} \qquad , \qquad (k \equiv \gamma \tilde{k} - v\gamma \tilde{\omega})^2\right) = 0$$

• The dispersion relation produces two complex roots for ω with the property:

 \Longrightarrow

$$\operatorname{Im}[\omega_1] \cdot \operatorname{Im}[\omega_2] = -\left[\pm \operatorname{Re}[\omega_1] - \frac{\tilde{k}}{v}\right]^2 < 0, \qquad \operatorname{Im}[\omega_1] + \operatorname{Im}[\omega_2] = \frac{P + \mathcal{E}}{\gamma v^2 \eta} > 0$$

- \blacksquare when $v \neq 0$ (no matter how small), there is always an unstable mode at finite \tilde{k}
- in the limit $v\to 0$ the unstable mode is removed from the spectrum as ${\rm Im}\,[w]\to +\infty$
- There are instabilities in the sound channel even at $\tilde{k} = 0$, as long as $v \neq 0$.

Moral of the story: sometimes we must boost the fluid to see the instability

- \implies Causality violation of the 1st-order relativist hydrodynamics
 - Consider 2nd-order relativistic conformal hydrodynamics (MIS like, with the relaxation time τ_{Π} , LL frame)

$$T^{\mu\nu} = \underbrace{\mathcal{E}u^{\mu}u^{\nu} + P\Delta^{\mu\nu}}_{\mathcal{O}(\partial^{0}u)} + \underbrace{\tau^{\mu\nu}}_{\mathcal{O}(\partial^{1}u)} + \underbrace{\tau_{\Pi}\left[\langle u^{\lambda}\nabla_{\lambda}\tau^{\mu\nu}\rangle + \frac{1}{3}\nabla_{\lambda}u^{\lambda}\tau^{\mu\nu}\right] + \cdots}_{\mathcal{O}(\partial^{2}u,(\partial u)^{2})}$$

• The dispersion relation of the shear channel:

$$0 = -\mathfrak{w}^2 \ \tau_{\Pi}T - \frac{i\mathfrak{w}}{2\pi} + \mathfrak{q}^2 \ \frac{\eta}{s}$$

where $\mathfrak{w} = \omega/(2\pi T)$ and $\mathfrak{q} = k/(2\pi T)$

• Speed with which a wave-front propagates out from a discontinuity in any initial data is governed by

$$\lim_{|\mathfrak{q}| \to \infty} \left. \frac{\operatorname{Re}(\mathfrak{w})}{\mathfrak{q}} \right|_{[\text{shear}]} = \sqrt{\frac{\eta}{s \tau_{\Pi} T}} \equiv v_{[\text{shear}]}^{front} \leq 1$$
$$\tau_{\Pi} T \geq \frac{\eta}{s}$$

 \implies reverting back to first order hydrodynamics

 $\tau_{\Pi}T \to 0$

results in causality violation

<u>**Thus</u>**: 1st-order hydrodynamics (in either Eckart or LL frames) are both acausal and unstable</u>

\implies <u>Objections</u>:

- The results are frame dependent (because we consider derivative truncation of the all-order in gradients relativistic hydrodynamics)
 there exist frames (BDNK) where 1st order hydro is causal and stable
- We never really established that

acausal theory \iff relativistic hydro unstable

- instabilities are the gapped (non-hydro modes), and thus outside the expected regime of validity of hydro
- likewise, the causality criteria

$$\lim_{|\mathfrak{q}|\to\infty} \frac{\operatorname{Re}(\mathfrak{w})}{\mathfrak{q}} < 1$$

is sensitive to large $\mathfrak{q},$ hence high-order gradients of the truncation

 \implies To resolve all the above objections is the reason

Why holography?

 \implies Specifically:

- We can study all-orders in gradients hydrodynamics
- We can study theories with tunable parameter that controls there causality

\implies AdS/CFT correspondence — a primer

- conformal models: $\mathcal{N} = 4$ supersymmetric Yang-Mills theory
- SU(N) gauge theory A_{μ} + bosons ϕ_i + fermions ψ_a = maximally supersymmetric and scale invariant $\Longrightarrow \mathcal{L}_{SYM}[A_{\mu}, \psi_a, \phi_i]$

$$Z_{SYM}[\mathcal{M}_4] \equiv \underbrace{\int [dAd\psi d\phi] \epsilon^{i \int_{\mathcal{M}_4} d^4 x \mathcal{L}_{SYM}}}_{\text{gauge theory}} = \underbrace{e^{i S_5[\partial \mathcal{M}_5 = \mathcal{M}_4]}}_{\text{dual "gravity" in 5-dim}}$$

classical gravity approximation:

 $\begin{cases} \text{'t Hooft limit:} & N \to \infty, g_{YM}^2 \to 0 \text{ with } \lambda \equiv g_{YM}^2 N = \text{const} \\ \text{strong coupling:} & \lambda \to \infty \end{cases}$ $\implies S_5 = \frac{1}{16\pi G_5} \int_{M_*} \mathrm{d}^5 x \sqrt{-g} \left(R + 12\right), \qquad G_5 = \frac{\pi}{2N^2} \end{cases}$

• AdS-Schwarzschild black hole:

$$ds_5^2 = \frac{r_0^2}{u} \left(-(1-u^2)dt^2 + dx^2 \right) + \frac{du^2}{4u^2(1-u^2)}$$

•
$$u \to 1$$
 BH horizon
• $u \to 0 \iff \mathcal{M}_5 \to \partial \mathcal{M}_5 = \mathcal{M}_4 = \mathbb{R}^{3,1};, \qquad ds_{\mathcal{M}_4}^2 = -dt^2 + dx^2$

• BH temperature T and the entropy density s:

$$T = \frac{r_0}{\pi}$$
, $s \equiv \frac{\text{horizon area density}}{4G_5} = \frac{r_0^3}{4G_5}$

 \implies Thermal properties of BH are interpreted as thermal properties of strongly coupled $\mathcal{N} = 4$ SYM plasma (trade $r_0 \leftrightarrow T$):

• the energy density

$$\mathcal{E} = \frac{3}{8}\pi^2 N^2 T^4 = \frac{3}{4}\epsilon_{SB}$$

• the pressure

$$P = \frac{1}{8}\pi^2 N^2 T^4$$

• the entropy density

$$s = \frac{1}{2}\pi^2 N^2 T^3$$

all - order hydrodynamic mode spectra

 \uparrow

$\rm QNMs$ of $\rm AdS-Schwarzschild$ black holes

Specifically:

- $g_{ab} \to g_{ab}^{\text{AdS-Schwarzschild}} \left(1 + \frac{h_{ab}(u)}{h_{ab}(u)} e^{-i\omega t + ikz} \right)$
 - (scalar channel): $\{h_{xy}\}$, $\{h_{xx} h_{yy}\}$
 - (shear channel): $\{h_{tx}, h_{xz}, h_{xr}\}, \{h_{ty}, h_{yz}, h_{yr}\}$
 - (sound channel): $\{h_{tt}, h_{tz}, h_{zz}, h_{xx} + h_{yy}, h_{tr}, h_{zr}, h_{rr}\}$
- in each channel, the decoupled fluctuations can be combined in a single gauge-invariant variable Z(u), leading to a QNM equation:

$$0 = \frac{d^2 Z}{du^2} + C_1(u, \mathfrak{w}^2, \mathfrak{q}^2) \ \frac{dZ}{du} + C_2(u, \mathfrak{w}^2, \mathfrak{q}^2)$$

- Dirichlet condition at AdS boundary: $\lim_{u\to 0} Z = 0$
- Incoming-wave boundary condition at the horizon: $Z \propto (1-u)^{-iw/2}$

- Solving QNM eqs produce the spectra w = w(q), e.g., in the hydro limit, {w, q} → 0,
 - shear channel:

$$\mathfrak{w} = -\frac{i\mathfrak{q}^2}{2} - \frac{i(1-\ln 2)\mathfrak{q}^4}{4} + \mathcal{O}(\mathfrak{q}^6)$$

when interpreted in LL frame hydro leads to

$$\frac{\eta}{s} = \frac{1}{4\pi}$$

sound channel:

$$\mathfrak{w} = \pm \frac{\mathfrak{q}}{\sqrt{3}} - \frac{i\mathfrak{q}^2}{3} \pm \frac{(3 - 2\ln 2)\mathfrak{q}^3}{6\sqrt{3}} + \mathcal{O}(\mathfrak{q}^4)$$

when interpreted in LL frame hydro leads to

$$c_s^2 = \frac{1}{3}, \qquad \frac{\zeta}{s} = 0, \qquad T\tau_{\Pi} = \frac{2 - \ln 2}{2\pi}$$

 \implies **Important:** holography provides <u>full</u> spectral relation $\mathfrak{w} = \mathfrak{w}(\mathfrak{q})$, not just a few terms of hydro approximation!

• We can construct boosted black hole solution:

$$ds^{2} = -2u_{\mu}dx^{\mu}dr - r^{2}f(br) \ u_{\mu}u_{\nu}dx^{\mu}dx^{\nu} + r^{2} \ P_{\mu\nu}dx^{\mu}dx^{\nu}$$
$$f(r) = 1 - \frac{1}{r^{4}}, \qquad P_{\mu\nu} = u_{\mu}u_{\nu} + \eta_{\mu\nu}, \qquad u_{\mu}u^{\mu} = -1$$
where $T = \frac{1}{\pi b}$, and u_{μ} is a boost 4-velocity:
$$u_{\mu} = \gamma \left(-1, \vec{\beta}\right), \qquad \gamma \equiv (1 - \vec{\beta} \cdot \vec{\beta})^{-1/2}$$

• As in boosted hydro,

where $(\tilde{\mathfrak{w}}, \tilde{\mathfrak{q}})$ and $(\mathfrak{w}, \mathfrak{q})$ are related by the Lorentz transformation, producing the boost (as in hydro)

 \implies How do we produce the theories with controlled causality violation?

• Consider a CFT in curved-space time (on \mathcal{M}_4):

$$\langle T_{\mu}^{\ \mu} \rangle = \frac{c}{16\pi^2} \left(\underbrace{\operatorname{Riem}^2 - 2\operatorname{Ric}^2 + \frac{1}{3}\operatorname{R}^2}_{I_4 - \operatorname{Weyl \ curvature}} \right) - \frac{a}{16\pi^2} \left(\underbrace{\operatorname{Riem}^2 - 4\operatorname{Ric}^2 + \operatorname{R}^2}_{E_4 - \operatorname{Euler \ density}} \right)$$

where $\{c, a\}$ are the central charges, and the curvatures inv are those of \mathcal{M}_4

- $\mathcal{N} = 4$ SYM: $c = a = \frac{N^2}{4}$
- from Hofman and Maldacena (2008): the causal conformal theories must have

$$-\frac{1}{2} \le \frac{c-a}{c} \le \frac{1}{2}$$

 \implies Holography of $c \neq a$ theories:

• the Gauss-Bonnet model

$$S_{5} = \frac{1}{16\pi G_{5}} \int_{\mathcal{M}_{5}} d^{5}x \sqrt{-g} \left[R + 12 + \frac{\lambda_{\text{GB}}}{2} \left(R^{2} - 4R_{\mu\nu}R^{\mu\nu} + R_{\mu\nu\rho\sigma}R^{\mu\nu\rho\sigma} \right) \right]$$

with

$$\frac{c-a}{c} = \frac{2}{\sqrt{1-4\lambda_{\rm GB}}} - 2$$

$$-\frac{1}{2} \le \frac{c-a}{c} \le \frac{1}{2} \qquad \Longleftrightarrow \qquad -\frac{7}{36} \le \lambda_{\rm GB} \le \frac{9}{100}$$

 \implies We can now explore causality and hydrodynamic stability of Gauss-Bonnet models for general $\lambda_{\rm GB}$

 \implies the scalar channel (Brigante-Liu-Myers-Shenker-Yaida (2008) and Buchel-Myers (2009)):

• the QNM equation:

 \Longrightarrow

$$0 = Z'' + C_1(u, \mathfrak{w}^2, \mathfrak{q}^2, \lambda_{\text{GB}}) \ Z' + C_2(u, \mathfrak{w}^2, \mathfrak{q}^2, \lambda_{\text{GB}})$$

• QNM equation \rightarrow 1d bound-state QM problem:

$$\frac{dy}{du} = Y(u, \lambda_{\rm GB}), \qquad Z \equiv \frac{1}{\mathcal{B}(u, \lambda_{\rm GB})} \psi$$

$$-\frac{1}{\mathfrak{q}^2} \; \partial_y^2 \psi(y) + \left(U_0^{scalar} + \frac{1}{\mathfrak{q}^2} \; U_1^{scalar} \right) \; \psi(y) \; = \; \frac{\mathfrak{w}^2}{\mathfrak{q}^2} \; \psi(y)$$

where $U_i^{scalar} = U_i^{scalar}(u, \lambda_{\text{GB}})$

 \implies Causality:

$$\lim_{\mathfrak{q}\to\infty}\frac{\operatorname{Re}\left[\mathfrak{w}\right]}{\mathfrak{q}}\equiv\alpha\leq1$$

• Effective
$$\hbar \equiv \frac{1}{\mathfrak{q}}; \hbar \to 0,$$

 $-\hbar^2 \ \partial_y^2 \psi(y) + U_0 \ \psi(y) = E \ \psi(y), \qquad E \equiv \alpha^2$

where $\hbar^2 U_1$ can be ignored, except as $u \to 0$, where its role is to set an impenetrable wall

• For **causality violation** we search for bound state with

$$\operatorname{Re}[E] > 1$$

• $U_0(u, \lambda_{\text{GB}})$ crucially depends on λ_{GB} :



NO bound state with E > 1**NO** causality violation **YES** bound state with E > 1**YES** causality violation \implies Instability in the boosted frame (boost velocity v):

 $\mathrm{Im}[\tilde{\mathfrak{w}}] > 0$

• We search for modes with (real) $\Gamma > 0$

$$\left(\tilde{\mathfrak{w}} \equiv i\Gamma + 0, \tilde{\mathfrak{q}}^2 = 0\right) \qquad \Longleftrightarrow \qquad \left(\mathfrak{w} \equiv \frac{i\Gamma}{\sqrt{1 - v^2}}, \mathfrak{q}^2 = -\frac{\Gamma^2 v^2}{(1 - v^2)}\right)$$

• Effective
$$\hbar^2 \equiv -1/\mathfrak{q}^2$$
; consider $\hbar \to 0 \ (\Gamma \to +\infty \text{ or } v \to 0)$
 $-\hbar^2 \ \partial_y^2 \psi(y) + \left(-U_0\right) \ \psi(y) = E \ \psi(y) \ , \qquad E \equiv -\frac{1}{v^2}$

• For **instability** we search for bound state with

$$E < -1$$

• note that in this problem the 1D QM potential is **minus** the potential of the causality problem!



NO bound state with E < -1

 \mathbf{NO} instability

YES bound state with E < -1**YES** instability \implies If the bound state (\equiv the instability) exists, it must be







The left panel: unstable QNMs of the metric fluctuations in the helicity-2 (the scalar) sector of the boosted GB black branes with the boost velocity v = 0.999. The right panel: the boost velocity dependence of the red dot of the left panel.

Conclusions and future directions:

- We established a precise correspondence between all-derivative causality-violating relativistic theories of hydrodynamics and instabilities of the corresponding fluids
- All channels (scalar, shear and sound) must be considered to fully identify acausal/unstable regime
- Instability of acausal theories can be seen only if the equilibrium plasma fluid is sufficiently boosted

- The only way to fix the causality of such theories is to add additional fields of spin J > 2 (go to String Theory!)
- **Question:** Can such causality violation can also be seen as an instability?

[•] A particular class of discussed holographic models exhibit causality violation in scattering of plasma shock-waves for **any finite** λ_{GB} (Camanho-Edelstein-Maldacena-Zhiboedov (2014))