



The recent measurement of the neutrino electron correlation coefficient  $a$  in free neutron beta decay with the aSPECT spectrometer

**Stefan Baeßler**



N.B.: I thank W. Heil, U. Schmidt, C. Schmidt, and G. Konrad for slides.

# The problem

Neutron beta decay can inform unitarity test of Cabbibo-Kobayashi-Maskawa-Matrix.

... and speaking on a Friday of a dedicated conference, I am skipping a few pages of introduction here.

$V_{ud}$  from neutron beta decay:

$$|V_{ud}|^2 = \frac{5099.33 \text{ s}}{\tau_n(1 + 3\lambda^2)(1 + \Delta_R)}$$

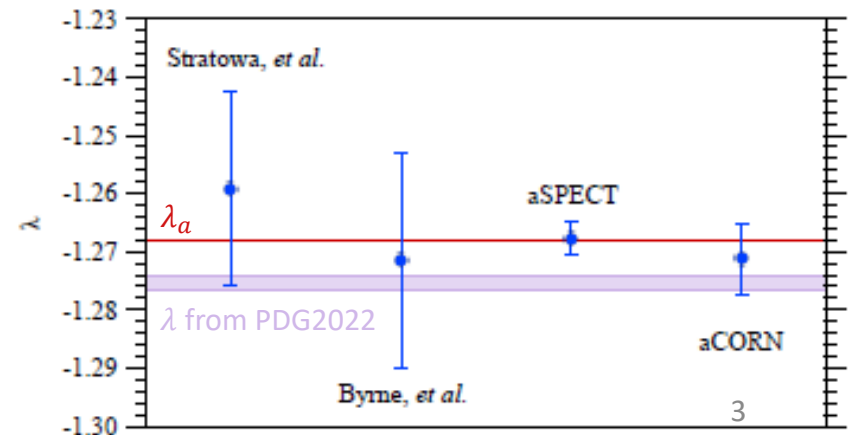
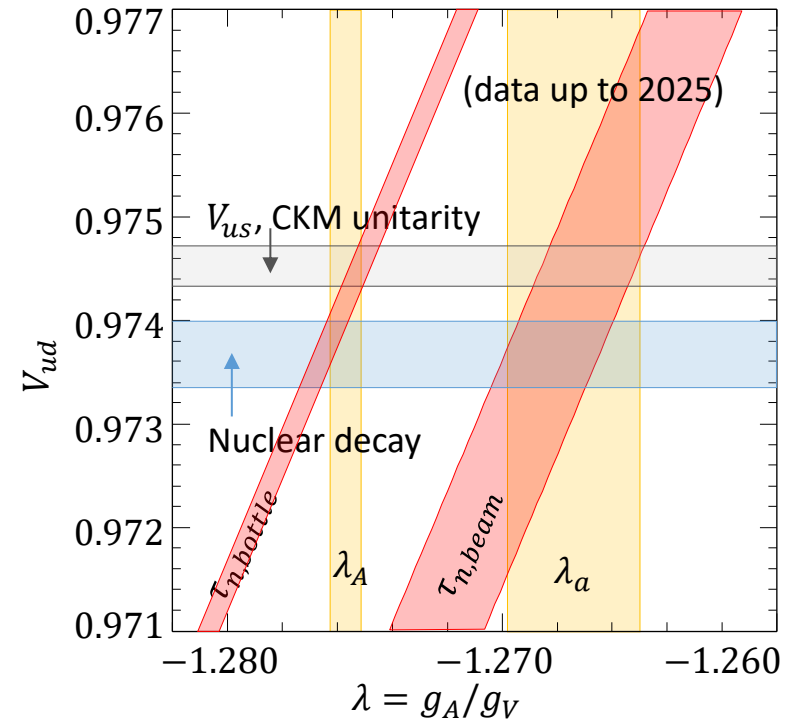
Chen-Yu Liu discussed the neutron lifetime:

- Thanks to her and collaborators recent work, we know it quite well.
- The bottle vs. beam discussion is unresolved.

This talk is about the  $\lambda$  value from  $\alpha$ SPECT.

- Dominant uncertainty in  $V_{ud}$  determination
- Discrepancy between measurements, albeit “only” at the 3 sigma level.

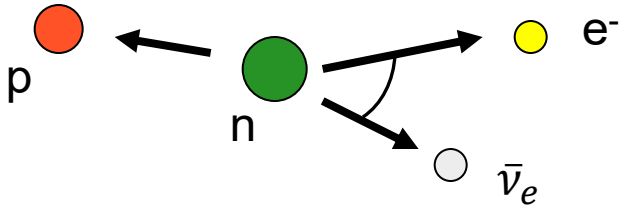
K. Kleinknecht: “One needs to discuss the results one has, not the ones one wants to have...”



# The Neutrino Electron Correlation and the Proton Spectrum in Neutron Decay

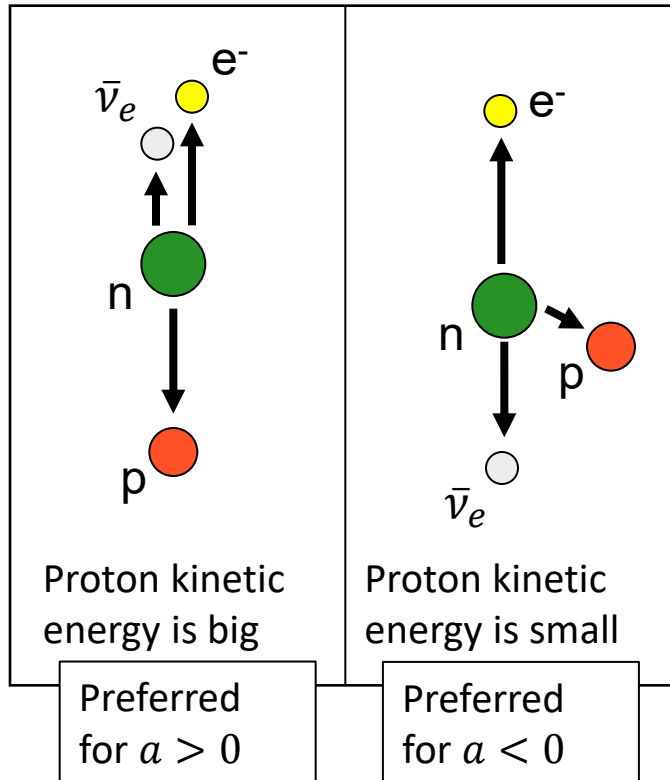


## The correlation coefficient $a$

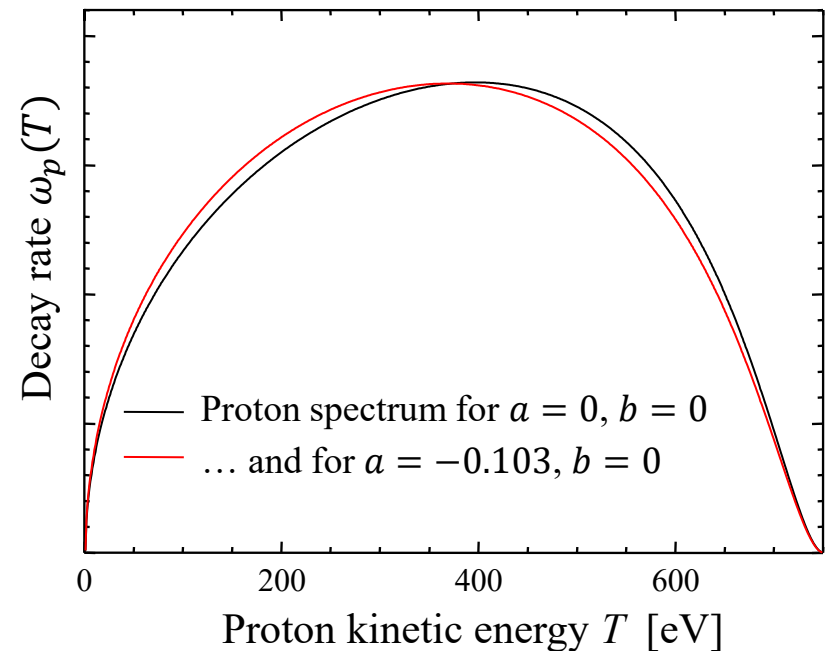


$$d\Gamma \propto \rho(E_e) \left( 1 + a \frac{\vec{p}_e \cdot \vec{p}_\nu}{E_e E_\nu} + b \frac{m_e}{E_e} \right)$$

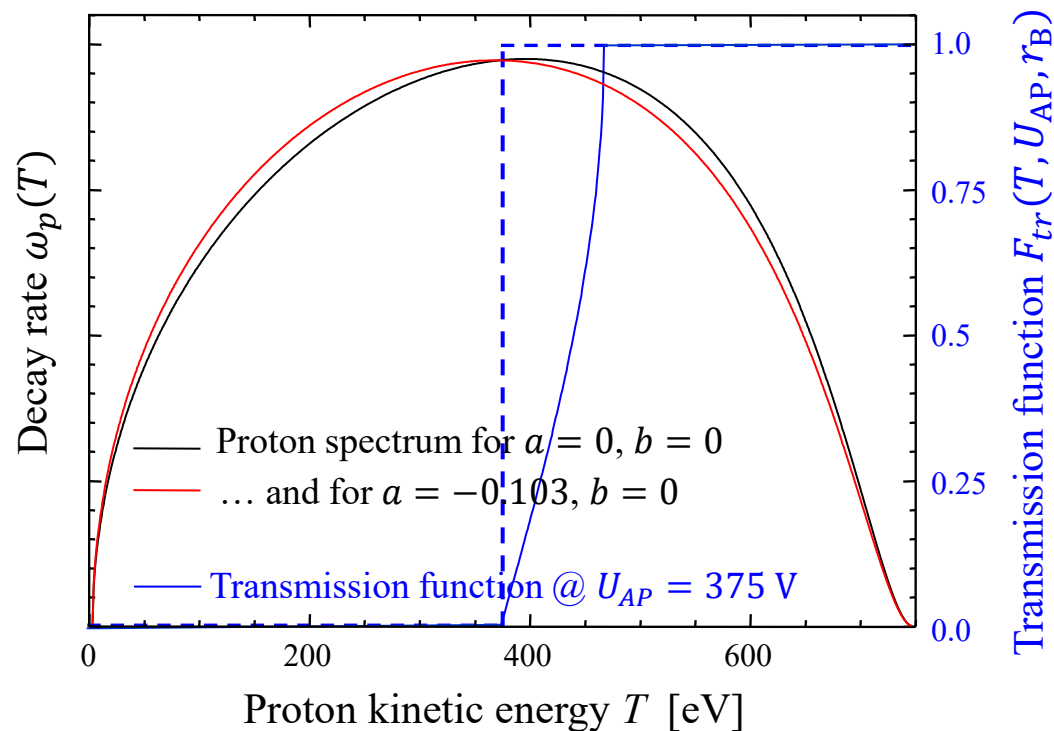
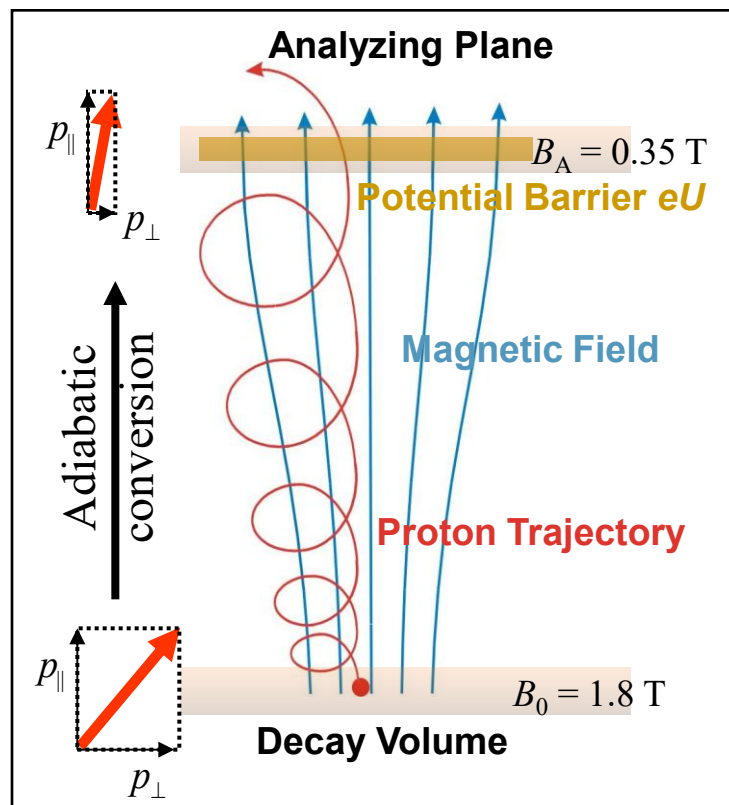
C.F. v. Weizsäcker, Z. f. Phys. 102,572 (1936), M. Fierz, Z. f. Phys. 104, 553 (1937), J.D. Jackson et al., PR 106, 517 (1957)



## Sensitivity of the proton spectrum to $a$ and $b$ :



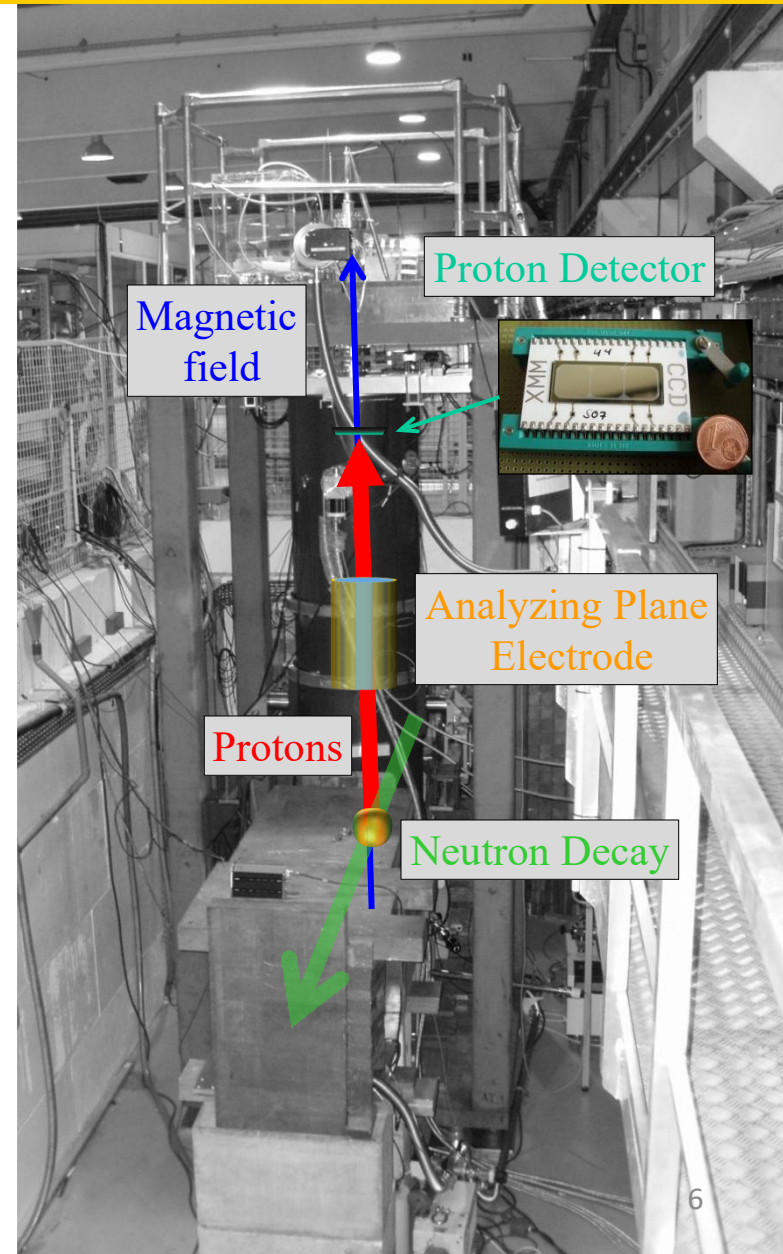
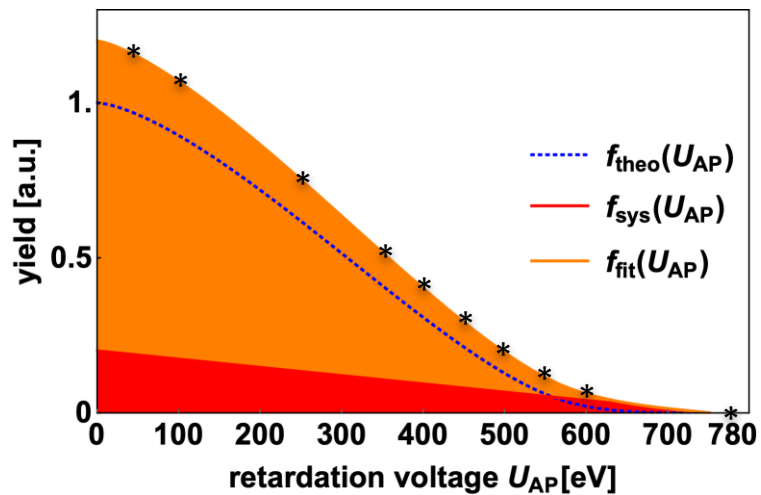
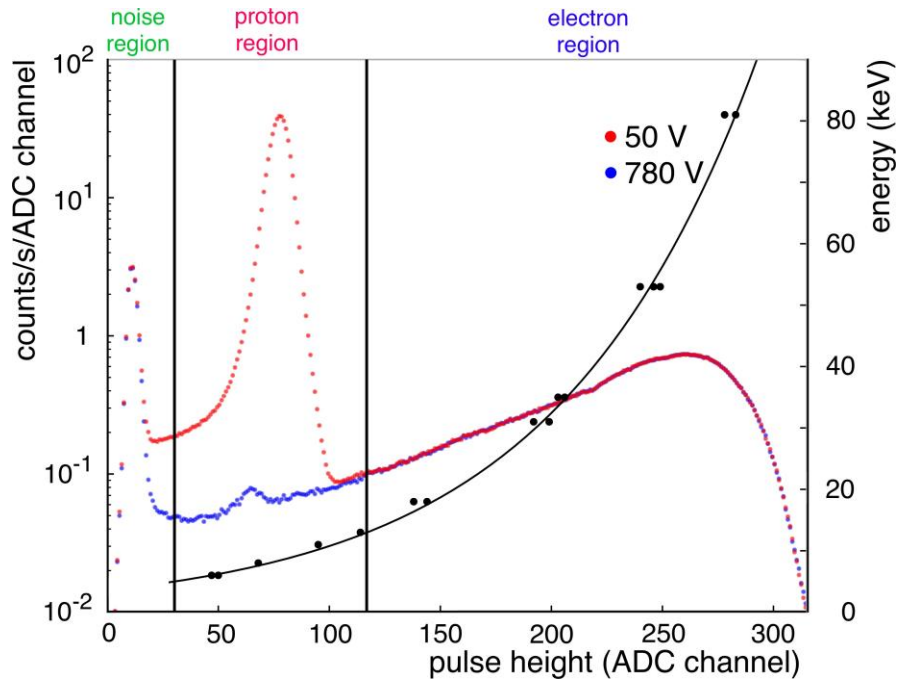
# Principle of a Retardation Spectrometer



Transmission function  $F_{tr}(T, U_{AP}, r_B = B_A/B_0)$  in the adiabatic limit:

$$F_{tr}(T, U_{AP}, r_B) = \begin{cases} 0 & T < eU_{AP} \\ 1 - \sqrt{1 - r_B^{-1} \left(1 - \frac{eU_{AP}}{T}\right)} & \text{otherwise} \\ 1 & T > eU_{AP}/(1 - r_B) \end{cases}$$

# Principle of $\alpha$ SPECT spectrometer





# Global fit (also called grand $\chi^2$ )



$$f_{fit}(U_{AP}) = f_{theo}(U_{AP}) + \sum f_{sys}^j(U_{AP})$$

$$\propto \int w_p(T, a) F_{tr}(T, U_{AP}, r_B) dT$$

$$\sum f_{sys}^j(U_{AP}, r_B, a, N_0, \{fpar_j\})$$

U. Schmidt, U. Heidelberg

This is the usual fit to the model function  
after inclusion of systematic corrections

Minimization of global  $\chi^2$ :

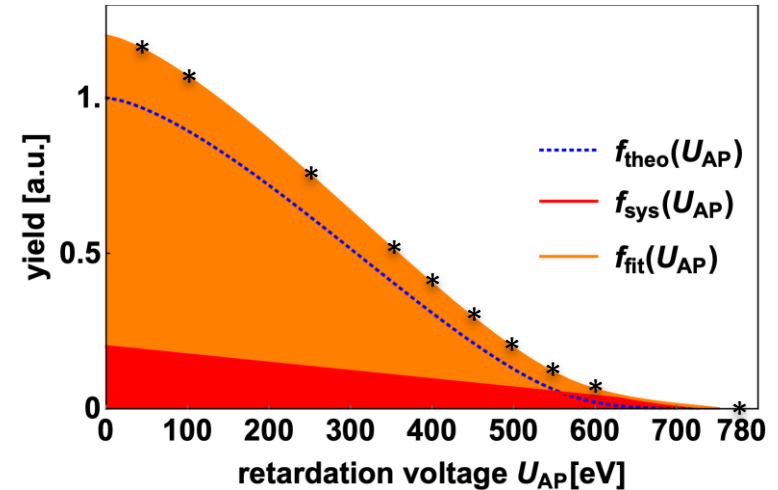
$$\chi_{global}^2 = \sum_{configs, pads} \left( \sum_i^n \frac{(y_{exp,i} - f_{fit}(U_{AP}, r_B, a, N_0, \{fpar_j\}))^2}{(\Delta y_{exp,i})^2} + \sum_j \sum_k^{n_j} \frac{(y_{sys,k}^j - g_{sys}^j(U_{AP}, T, r_B, \{gpar_j\}))^2}{(\Delta y_{sys,k}^j)^2} \right)$$

These are fits to the results of auxiliary  
measurements and simulations, e.g. to  
the measurements of  $r_B = B_A/B_0$

# Systematic effects



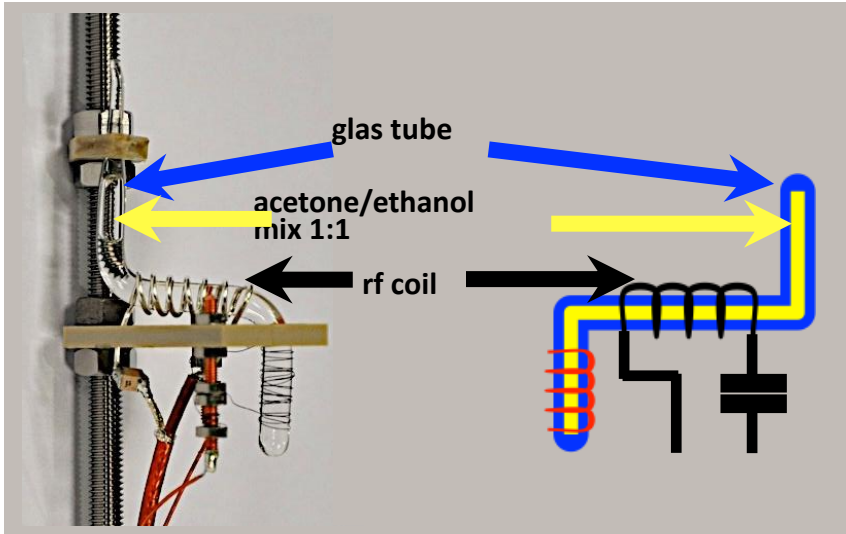
- A. Temporal stability and normalization
- B. Magnetic field ratio  $\langle r_B \rangle$
- C. Retardation voltage  $\langle U_{AP} \rangle^*$
- D. Background
- E. Edge effect
- F. Backscattering and below-threshold losses<sup>\*)</sup>
- G. Dead time and pile-up
- H. Proton traps in the DV region



$$f_{fit}(U_{AP}) = f_{theo}(U_{AP}) + f_{sys}(U_{AP})$$

<sup>\*)</sup> Systematic effects that were improved on in 2024 analysis

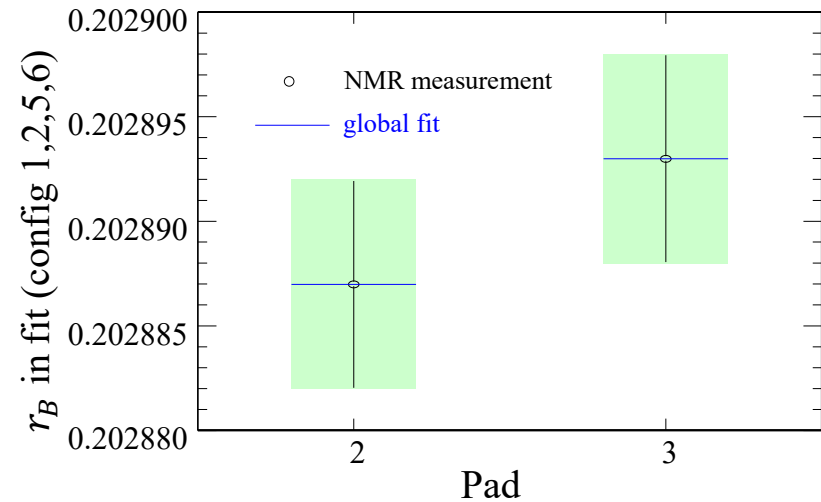
# Magnetic field ratio $r_B = B_A/B_0$



Two probes mounted at electrode system:

- high field probe (92 MHz / 2.2 T)
- low field probe (18 MHz / 0.44 T)

For fit:  $\Delta r_B / r_B \sim 2.4 \cdot 10^{-5}$



## NMR measurement

- statistical  $\Delta B/B < 10^{-6}$
- with systematics  $\Delta B/B \sim 10^{-5}$

## Systematic investigations

- Long-term stability
- Reproducibility
- Hysteresis of superconducting magnet
- Hysteresis of air-coils
- Influence of stainless steel detector cup
- Magnetic field drift due to energy dissipation



# Retardation potential $U_{AP}$



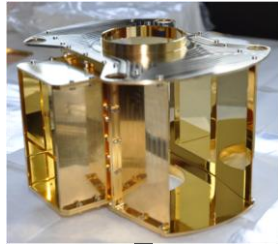
Sensitivity:

$$\Delta U_{AP} = 10 \text{ mV}$$

results in

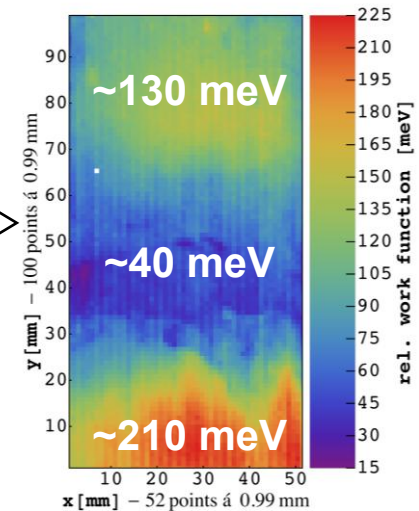
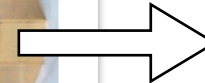
$$\Delta a/a \sim 10^{-3}$$

decay volume

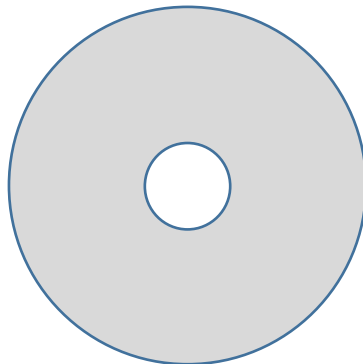


?

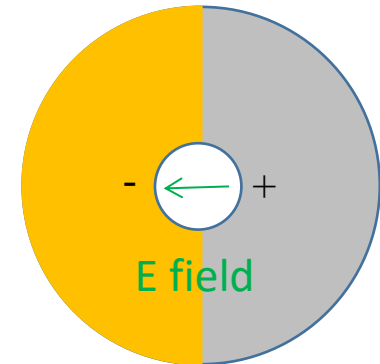
analysing plane  
electrode



Most  
undergraduate  
textbooks teach: No  
electric field in  
empty hole inside  
conductor...



...and I have no  
objection if the  
conductor is  
homogeneous.



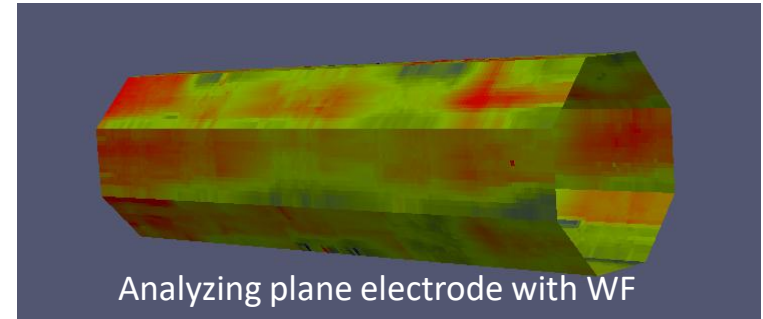
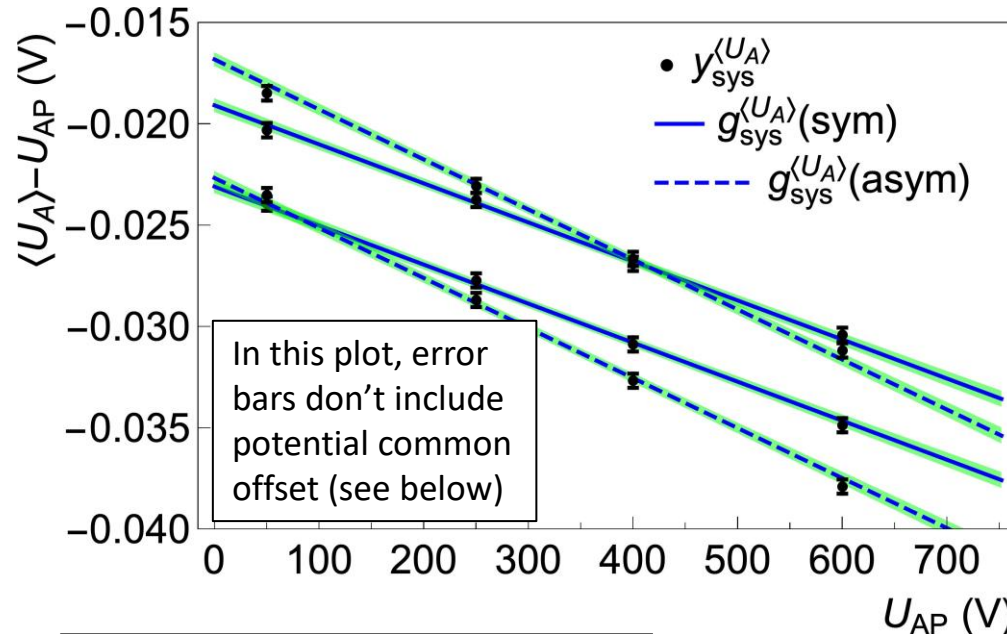
However, if not:

No conductor is perfectly uniform. Impurities,  
crystal orientation, unwanted adsorbates on  
surface matter if sub-volt accuracy is desired.

# Retardation potential $U_{AP}$ (2)



Shift of the effective retardation voltage from particle tracking simulations:



Beamtime with aSPECT

2013

Electrodes at  $\sim 120$  K and  $10^{-9}$  mbar

Measurements of work function too difficult in situ. Instead, cut up electrodes electrodes in pieces were studied at ambient conditions, and HV, until 2017

Additional uncertainties from multimeter ( $< 13$  mV, included for 2024 analysis) and workfunction offsets:

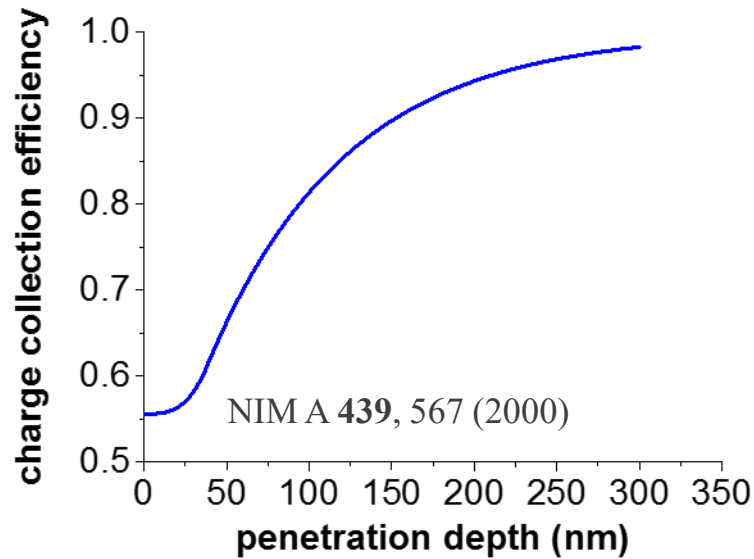
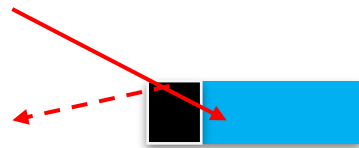
- Aging effects:  $< 20$  mV
- Temperature effects:  $< 10$  mV
- Air-Vacuum difference:  $< 11$  mV

# Proton detection efficiency (updated 2024)

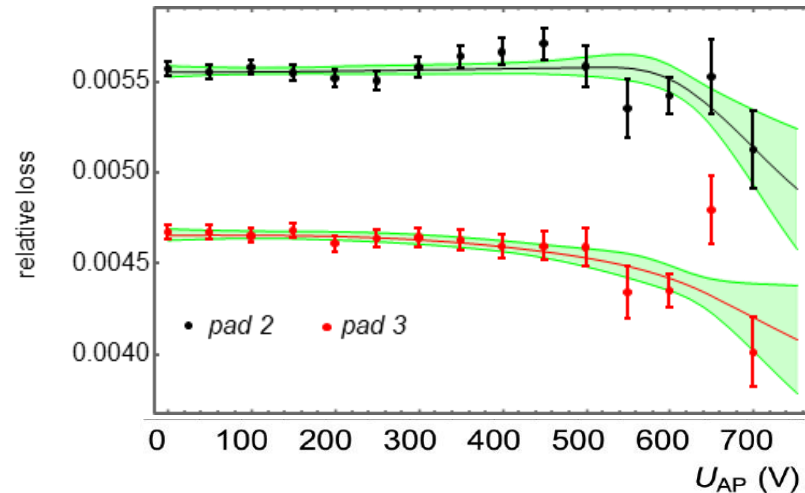
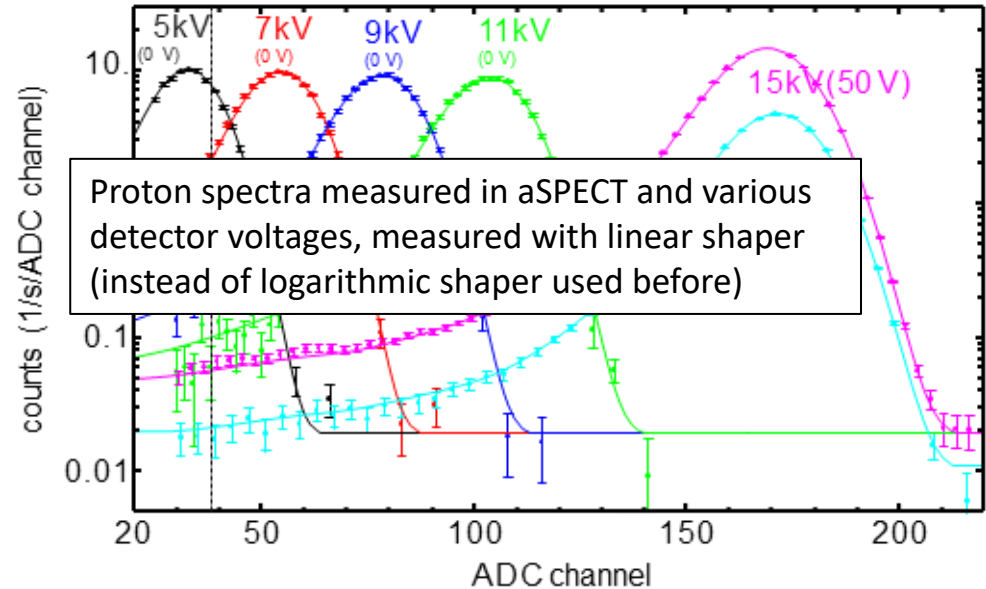


## Silicon drift detector (SDD)

Protons with  $E_p, \vartheta_p$

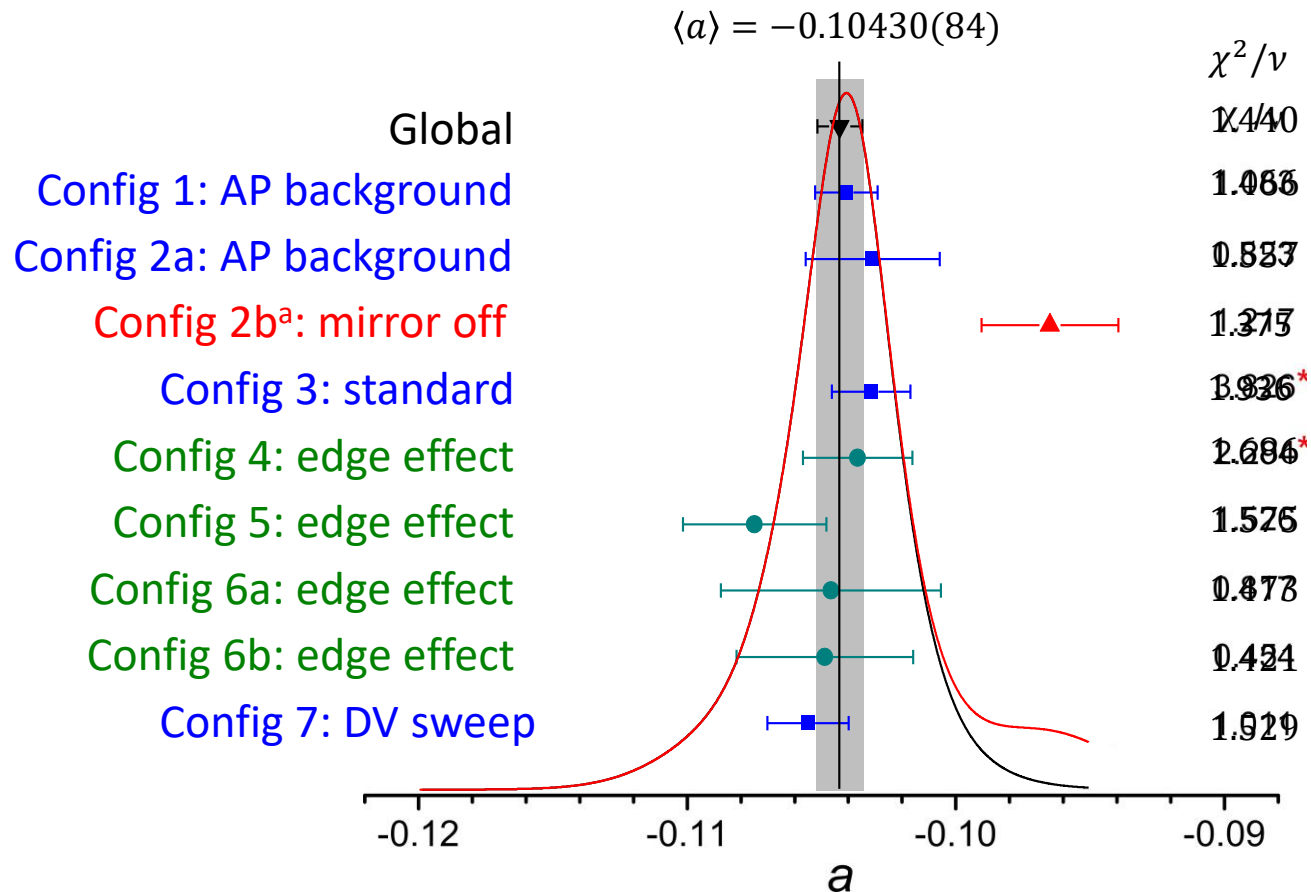


Charge collection efficiency in active layer of similar detector (Simulation with SRIM)



(2024: modeling includes channeling and neutralization)

# Global fit results (2020)



<sup>a</sup> Config 2b is not used in fit, as corrections from proton backscattering from bottom flange or eventual unwanted neutron beam polarization are undetermined.

# aSPECT result

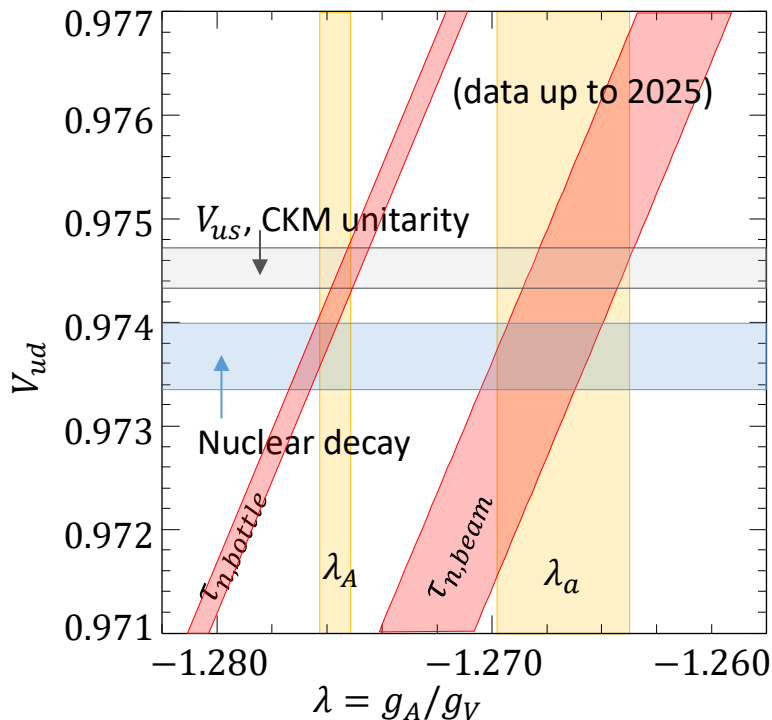


**Final result:**

**1<sup>st</sup> result: Most precise measurement of  $a$  in neutron beta decay**

	$a$	$\Delta a$	$b$	$\Delta b$	$\chi^2_{\text{global}}/\nu$	$p$ -value
aSPECT 2020 (SM)	-0.10430	0.00084	—	—	1.44 ( $\nu = 268$ )	$3.1 \cdot 10^{-6}$
Reanalysis 2024, SM	-0.10402	0.00082	—	—	1.25 ( $\nu = 264$ )	$4.1 \cdot 10^{-3}$
Reanalysis 2024, BSM	-0.10459	0.00139	-0.0098	0.0193	1.25 ( $\nu = 263$ )	$3.7 \cdot 10^{-3}$

Re-analyzed aSPECT result: M. Beck et al., PRL 132, 102501 (2024)  
(uncertainties rescaled to reflect low  $p$  value)



**2<sup>nd</sup> result: This result constitutes the present best determination of the Fierz term in neutron beta decay. The Fierz term found ( $b = -0.0098(193)$ ) is consistent with the SM prediction ( $b = 0$ ).**

**Previous work:**

UCNA ( $b = 0.066(41)(24)$ )

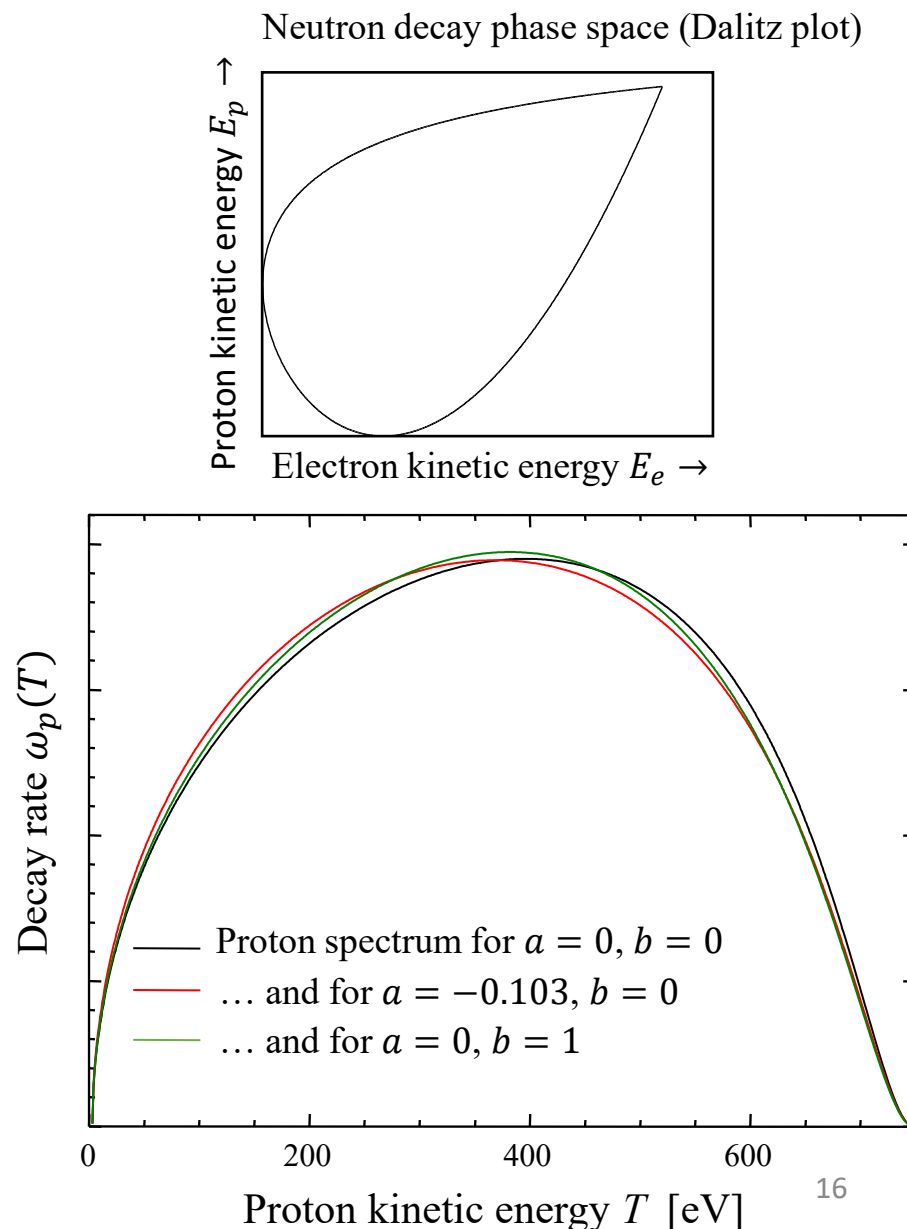
PERKEO III ( $b = 0.017(20)(3)$ )



Inspection of effect of positive Fierz term  $b$  on (normalized) proton spectrum:

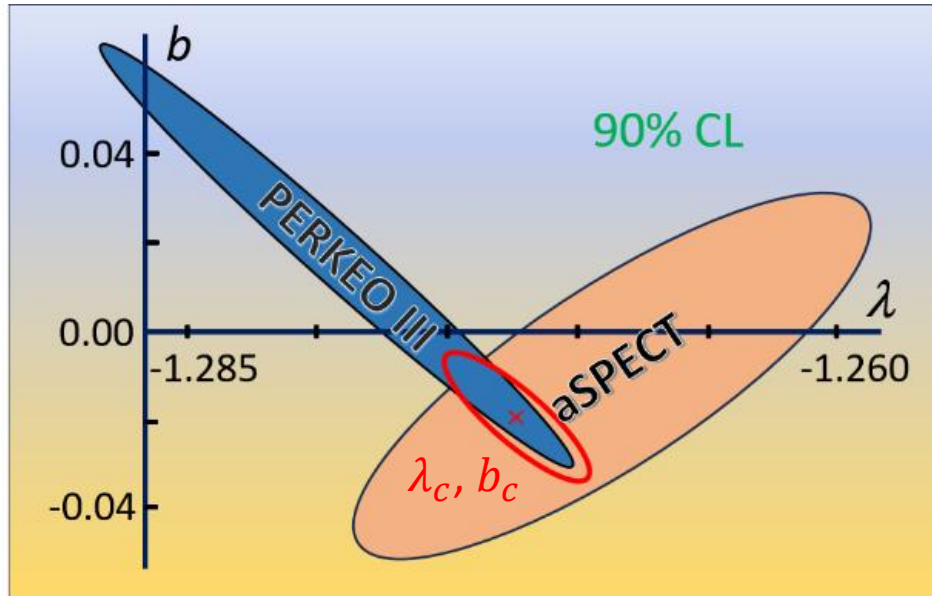
- A spectral shape measurement distinguishes the effect of  $a$  and  $b$  on the proton spectrum
- However, a positive  $b$  looks pretty similar to a more negative value of  $a$  (compare red and green curves).
- Unfortunately, we are not able to vary  $a$  or  $b$ . If we assume a positive value for  $b$  and take the experimental data as is, we expect a larger fit value for  $a$  to compensate. That is also a larger value for  $\lambda$ .

That is: We expect a positive correlation between  $\lambda$  and  $b$ .





# aSPECT result, cont.

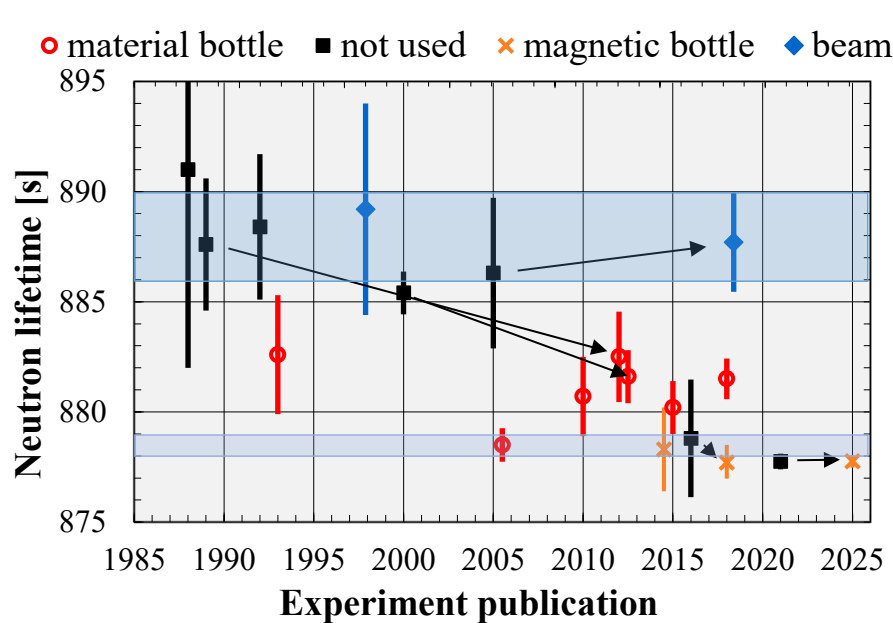


W. Heil (Mainz)

Re-analyzed aSPECT result: M. Beck et al., PRL 132, 102501 (2024)

- (Again): aSPECT alone gives a Fierz term consistent with SM.
- Our issue in the SM analysis,  $\lambda_a \neq \lambda_A$ , could be explained with a non-zero Fierz term of  $b_c = -0.0184(65)$ . However, as one reviewer has put it: “we are replacing one  $3\sigma$  problem with another  $3\sigma$  problem.”

# Discussion of $(\lambda_c, b_c)$ : Neutron lifetime puzzle



$$\tau_c = 894.2(42) \text{ s};$$

from  $\lambda_c, b_c, V_{ud}$

NB: this is consistent with Ivanov et al., Nucl. Phys. B 938, 114 (2019)

Could be exciting. There is a series of papers about the possibility that the trap lifetime is really lower than the beam lifetime due to neutron decay into dark particles:

Fornal & Grinstein, PRL 120, 191801 (2018)

- $n \rightarrow \chi\gamma$  ( $m_n > m_\chi > m_p$ ;  $0.8 \text{ MeV} < E_\gamma < 1.7 \text{ MeV}$ , BR  $\sim 1\%$ ): undetected in UCNA.
- $n \rightarrow \chi\phi$  (not necessarily detectable)
- $n \rightarrow \chi e^+ e^-$  (undetected in PERKEO II and UCNA)

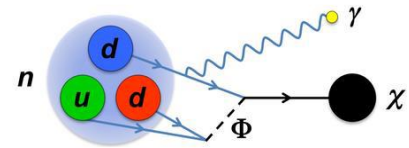
Z. Tang et al, PRL Phys. Rev. Lett. 121, 022505, X. Sun et al., PRC 97, 052501 (2018), M. Klopff et al., PRL 122, 222503 (2019)

In SM analysis,  $V_{ud}$  and  $\lambda$  favor the lower neutron lifetime from bottles, inconsistent with the simpler dark decay explanations. Possible fixes:

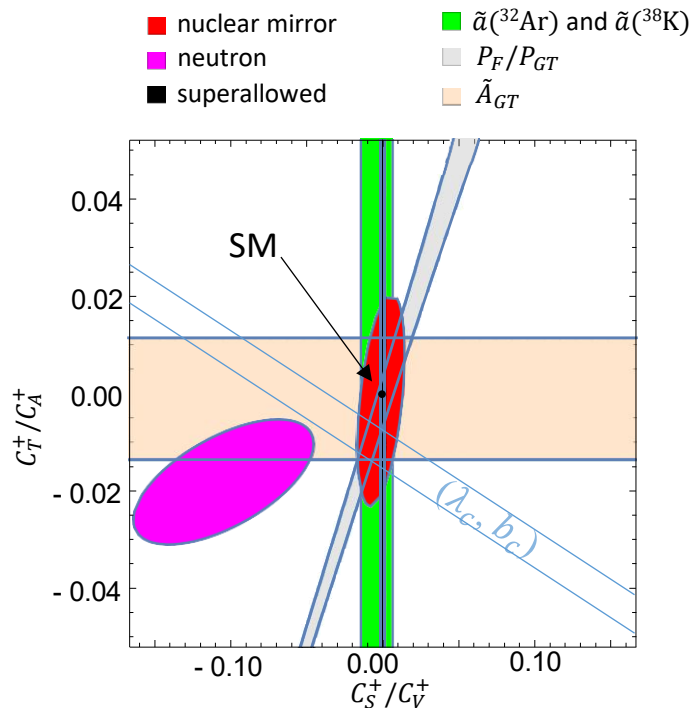
- Neutron oscillations into mirror neutrons, may be turned off (or on) with magnetic field

Z. Berezhiani, EPJC 79,484 (2019), A. Serebrov et al., PLB 663, 181 (2008), L.J. Broussard et al., PRL 128, 212503 (2022), ...

- In the scenario given by  $\lambda_c$  and  $b_c$ , neutron decay into dark particles is viable.



# Limits for scalar (S) and tensor (T) interactions



Plot on the left shows searches for beta decay processes where the charged lepton has anormal helicity (S and T interactions with coupling constants  $C_S^+$  and  $C_T^+$ , respectively). From A. Falkowski et al, JHEP 04, 126 (2021)

From Falkowski: “We encourage once again experimental groups to analyze the data including the Fierz term  $b$ , especially once higher precision is reached.” – Point taken. Their neutron ellipse, which is said to be away from SM due to aSPECT and  $B_n$ , was drawn before our BSM analysis was available, and needs correction.

We add a band for  $b_c \approx 0.34 \frac{C_S^+}{C_V^+} + 1.66 \frac{C_T^+}{C_A^+}$ .

Our  $(\lambda_c, b_c)$  is not excluded by other nuclear decay studies, including the more recent study on Li-8  $\beta$

Decay. M.T. Burkey, PRL 128, 202502 (2022)

As we have a very tight limit on  $C_S^+$  from superallowed decays, it makes sense to discuss our

result as a coupling at the quark level:  $\left| \frac{C_T^+}{C_A^+} \right| = 4g_T \left| \frac{\epsilon_T}{g_A} \right|$

- We need the form factor, most precisely from Lattice QCD:  $g_T = 0.989(33)$

R. Gupta et al., PRD 98, 034503 (2018)

- Alternatively,  $g_T$  can be tied to data from electron scattering A. Courtoy et al., PRL 115, 162001 (2015)

Our  $\epsilon_T$  can compared to limits from radiative pion decay M. Bychkov et al., PRL 103, 051802 (2009)

... or to LHC limits for  $p + p \rightarrow e^+ + e^- + X$  R. Gupta et al., PRD 98, 034503 (2018)

Both of them favor  $b = 0$  at the relevant level of accuracy.

# Summary



- The aSPECT collaboration determined the neutrino electron correlation coefficient  $a$ :  
 $a = -0.10430(84)$  (SM fit),  $\lambda = -1.2668(27)$  PRL 132, 102501 (2024)
- The SM result for  $\lambda$  is in tension with the one from PERKEO III by  $3.4\sigma$  and therefore casts doubt on the current ability to test CKM unitarity with neutrons despite being theoretically advantageous.
- A BSM analysis which allows for a non-zero Fierz term finds  $b = -0.0098(193)$
- A combined BSM analysis of aSPECT and PERKEO III which allows for a non-zero Fierz term finds  $b_c = -0.0184(65)$ , disfavored by other experiments, and a sensation if confirmed.
- The neutron community needs to verify the result. At ORNL, LANL and in Europe, follow-up experiments are being worked on. Nab and pNAB offer the possibility to obtain  $A$  and  $a$  in the same instrument.

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