

APPENDIX B

DIRAC NOTATION AND REPRESENTATIONS

This appendix is meant for reference. See elementary texts such as Merzbacher (1970) and Gottfried (1966) for a proper introduction, and Chapters 5–7 for applications.

B.1 Dirac Notation

A **state** is represented by the *ket* $|\psi\rangle$. This state is a ray in a linear vector space of infinite dimension, that is, an abstract vector in a Hilbert space.

A **dual or adjoint-space** state is represented by the *bra* $\langle\psi|$. The 1:1 correspondence between the spaces of kets and bras is shown by the adjoint operation:

$$\langle\psi| = |\psi\rangle^\dagger. \quad (\text{B.1})$$

The **scalar or inner product** of states $|\phi\rangle$ and $|\psi\rangle$ is given by the juxtaposed “bra-ket” = *braket*,

$$\langle\phi|\psi\rangle \equiv (\phi, \psi) = \langle\psi|\phi\rangle^*. \quad (\text{B.2})$$

General operators O or \hat{O} are objects which transform one state into another:

$$O|\psi\rangle = |\phi\rangle = |O\psi\rangle. \quad (\text{B.3})$$

Accordingly, $O|\psi\rangle$ is *not* proportional to $|\psi\rangle$ —although it may look that way.

An **operator** is formed by the juxtaposition $|\psi\rangle\langle\phi|$ of a ket and a bra. This is an operator and not a scalar product because it changes one ket into another.

A **complete set of states** is obtained as the eigenstates of any Hermitian operator H ,

$$H|\phi_a\rangle = a|\phi_a\rangle, \quad a = 1, \infty. \quad (\text{B.4})$$

A **basis** is formed by a complete set such as ϕ_a . Any state can be expanded as a sum of the ϕ_a 's:

$$|\psi\rangle = \sum_a c_a |\phi_a\rangle, \quad c_a = \langle\phi_a|\psi\rangle. \quad (\text{B.5})$$

Here the sum is for discrete states and the integral is for continuum states. When integrating, there is a phase space factor, $\int \rightarrow \int d^3k / (2\pi)^3$. The quantity c_a is the probability amplitude for $|\psi\rangle$ to “contain” $|\phi_a\rangle$ or to be “at” a .

The **orthogonality relation** is

$$\langle \phi_a | \phi_{a'} \rangle = \begin{cases} \delta_{aa'}, & \text{discrete states,} \\ \delta(a - a') / \rho_a, & \text{continuum states.} \end{cases} \quad (\text{B.6})$$

In (B.6) the ρ_a is the *density-of-states factor*:

$$\rho_a \stackrel{\text{def}}{=} \frac{dN}{da} = \begin{cases} 1, & \text{for } \phi_a = \exp(i\mathbf{k} \cdot \mathbf{r}) / (2\pi)^{3/2}, \quad (\text{our choice}), \\ (2\pi)^{-3}, & \text{for } \phi_a = \exp(i\mathbf{k} \cdot \mathbf{r}), \quad (\text{others}). \end{cases} \quad (\text{B.7})$$

The **α representation** of a state is the expansion of that state:

$$|\psi\rangle = \sum_a c_a |\phi_a\rangle, \quad c_a = \langle \phi_a | \psi \rangle. \quad (\text{B.8})$$

The **completeness relation** follows from the preceding expansion,

$$|\psi\rangle = \sum_a c_a |\phi_a\rangle = \sum_a |\phi_a\rangle \langle \phi_a | \psi \rangle \quad (\text{B.9})$$

$$\Rightarrow \tilde{1} = \sum_a |\phi_a\rangle \langle \phi_a|, \quad (\text{B.10})$$

where $\tilde{1}$ is the *unit operator*.

The **matrix representation** of an operator O in the a representation is the bracket $\langle a' | O | a \rangle$. By changing basis we change the representation of an operator:

$$\langle b' | O | b \rangle = \sum_{a', a} \langle b' | a' \rangle \langle a' | O | a \rangle \langle a | b \rangle. \quad (\text{B.11})$$

The **complex conjugate** of a bracket can take different forms:

$$\langle \phi | O | \psi \rangle = \langle O^\dagger \phi | \psi \rangle = \langle \psi | O^\dagger \phi \rangle^* = \langle \psi | O^\dagger | \phi \rangle^*. \quad (\text{B.12})$$

The **wave function** in Dirac notation is

$$\psi(\mathbf{r}) \equiv \langle \mathbf{r} | \psi \rangle, \quad (\text{B.13})$$

which is just the probability amplitude for finding the state ψ at \mathbf{r} , that is, its projection onto the \mathbf{r} basis (see too next section).

B.2 Explicit Representations

Examples of *explicit representations* include $|\mathbf{r}\rangle$, $|\mathbf{k}\rangle$, and $|klm\rangle$; that is, coordinate, momentum, and energy plus angular momentum space. These are developed in Chapters 5–8.

Coordinate Space

$$\langle \mathbf{r} | \psi \rangle \equiv \psi(\mathbf{r}) = \langle \psi | \mathbf{r} \rangle^* = \text{probability amplitude to be at } \mathbf{r} \quad (\text{B.14})$$

$$\langle \mathbf{r} | \mathbf{r}' \rangle = \delta(\mathbf{r} - \mathbf{r}') \quad (\text{B.15})$$

$$\int d^3\mathbf{r} |\mathbf{r}\rangle \langle \mathbf{r}| = \bar{1} \Rightarrow |\psi\rangle = \int d^3\mathbf{r} |\mathbf{r}\rangle \langle \mathbf{r} | \psi \rangle = \int d^3\mathbf{r} \psi(\mathbf{r}) |\mathbf{r}\rangle \quad (\text{B.16})$$

Momentum Space

$$|\phi_{\mathbf{k}}\rangle \equiv |\mathbf{k}\rangle = \text{plane wave ray} \quad (\text{B.17})$$

$$\langle \mathbf{r} | \phi_{\mathbf{k}} \rangle \equiv \langle \mathbf{r} | \mathbf{k} \rangle = \frac{e^{i\mathbf{k}\cdot\mathbf{r}}}{(2\pi)^{3/2}}, \quad \langle \mathbf{k} | \mathbf{r} \rangle = \frac{e^{-i\mathbf{k}\cdot\mathbf{r}}}{(2\pi)^{3/2}} \quad (\text{B.18})$$

$$\langle \mathbf{k} | \mathbf{k}' \rangle = \langle \mathbf{k} | \phi_{\mathbf{k}'} \rangle = \langle \phi_{\mathbf{k}} | \phi_{\mathbf{k}'} \rangle = \delta(\mathbf{k}' - \mathbf{k}) \quad (\text{B.19})$$

$$\bar{1} = \int d^3\mathbf{k} |\mathbf{k}\rangle \langle \mathbf{k}| \quad (\text{B.20})$$

$$\psi(\mathbf{k}) \equiv \langle \mathbf{k} | \psi \rangle = \text{probability amplitude to contain } \mathbf{k} \quad (\text{B.21})$$

Change of representations occur via insertion of completeness relations:

$$\psi(\mathbf{r}) = \langle \mathbf{r} | \psi \rangle = \int d^3\mathbf{k} \langle \mathbf{r} | \mathbf{k} \rangle \langle \mathbf{k} | \psi \rangle = \int d^3\mathbf{k} \langle \mathbf{r} | \mathbf{k} \rangle \psi(\mathbf{k}) \quad (\text{B.22})$$

$$= \int d^3\mathbf{k} \frac{e^{i\mathbf{k}\cdot\mathbf{r}}}{(2\pi)^{3/2}} \psi(\mathbf{k}) \quad (\text{B.23})$$

$$\psi(\mathbf{k}) = \langle \mathbf{k} | \psi \rangle = \int d^3\mathbf{r} \langle \mathbf{k} | \mathbf{r} \rangle \langle \mathbf{r} | \psi \rangle = \int d^3\mathbf{r} \frac{e^{-i\mathbf{k}\cdot\mathbf{r}}}{(2\pi)^{3/2}} \psi(\mathbf{r}) \quad (\text{B.24})$$

$$\langle \mathbf{r} | klm \rangle \equiv \langle \mathbf{r} | \phi_{klm} \rangle = i^l \frac{F_l(kr)}{kr} Y_{lm}(\Omega_r) \quad (\text{B.25})$$

$$\langle \mathbf{r} | \psi_{klm} \rangle = i^l \frac{u_l(kr)}{kr} Y_{lm}(\Omega_r) \quad (\text{B.26})$$

$$\langle k'lm | \psi_k \rangle = \frac{(\pi/2)^{1/2} Y_{l,m}^*(\Omega_k)}{kk'} u_l(k'; E_k) \quad (\text{B.27})$$

K and *G* Operators (Nonrelativistic)

$$\langle \mathbf{r}' | K | \mathbf{r} \rangle = \delta(\mathbf{r} - \mathbf{r}') \frac{-\nabla_r^2}{2\mu} \quad (\text{kinetic energy}) \quad (\text{B.28})$$

$$\langle \mathbf{k}' | K | \mathbf{k} \rangle = \frac{\delta(\mathbf{k}' - \mathbf{k})}{2\mu} k^2 \quad (\text{B.29})$$

$$\langle \mathbf{r}' | G_E^{(+)} | \mathbf{r} \rangle = \frac{-m}{2\pi} \frac{e^{i\mathbf{k}|\mathbf{r}-\mathbf{r}'|}}{|\mathbf{r}-\mathbf{r}'|} \quad (\text{Green's function}) \quad (\text{B.30})$$

$$\langle \mathbf{k}' | G_E | \mathbf{k} \rangle = \langle \mathbf{k}' | \frac{1}{E - K} | \mathbf{k} \rangle = \frac{\delta(\mathbf{k} - \mathbf{k}')}{E - k^2/2\mu} \quad (\text{B.31})$$

$$\langle p|l m\rangle G_E^{(+)} |p' l' m'\rangle = \frac{\pi \delta(p-p')}{2} \frac{\delta_{ll'} \delta_{mm'}}{p^2 E - E_p + i\epsilon} \quad (\text{B.32})$$

$$g_i^{(+)}(r, r'; E) = \frac{-2\mu}{k} F_i(kr_{<}) H_i^{(+)}(kr_{>}) \quad (\text{B.33})$$

Scattering Amplitude and T Matrix

$$T_E(\mathbf{k}', \mathbf{k}) = \langle \phi_{\mathbf{k}'} | T_E | \phi_{\mathbf{k}} \rangle = \langle \phi_{\mathbf{k}'} | V | \psi_{\mathbf{k}}^{(+)} \rangle \quad (\text{B.34})$$

$$f_E(\theta, \phi) = -4\pi^2 \mu T_E(\mathbf{k}', \mathbf{k}) \Big|_{\mathbf{k}'=\mathbf{k}} = -4\pi^2 \mu \langle \phi_{\mathbf{k}'} | V | \psi_{\mathbf{k}}^{(+)} \rangle \Big|_{\mathbf{k}'=\mathbf{k}} \quad (\text{B.35})$$

Energy and Angular Momentum Basis

Plane Wave

$$\langle \mathbf{r} | \mathbf{k} \rangle = \frac{e^{i\mathbf{k}\cdot\mathbf{r}}}{(2\pi)^{3/2}} = \sum_{l=0}^{\infty} i^l j_l(kr) \frac{(2l+1) P_l(\cos\theta)}{(2\pi)^{3/2}} \quad (\text{B.36})$$

$$\sum_{l,m} Y_{lm}^*(\Omega_{\mathbf{k}}) Y_{lm}(\Omega_{\mathbf{r}}) 4\pi = \sum_l (2l+1) P_l(\cos\theta_{kr}) \quad (\text{B.37})$$

Distorted Wave

$$\psi_{\mathbf{k}}^{(+)}(\mathbf{r}) = \langle \mathbf{r} | \psi(\mathbf{r}) \rangle = \sum_{l,m} i^l \frac{u_l(kr)}{kr} \frac{Y_{lm}^*(\Omega_{\mathbf{k}}) Y_{lm}(\Omega_{\mathbf{r}}) 4\pi}{(2\pi)^{3/2}} \quad (\text{B.38})$$

Angular Momentum and Energy Eigenstate

$$\phi_{klm}(\mathbf{r}) \equiv \langle \mathbf{r} | klm \rangle = i^l \frac{F_l(kr)}{kr} Y_{lm}(\Omega_{\mathbf{r}}) \quad (\text{B.39})$$

Free Waves

$$F_l(kr) \equiv kr j_l(kr) = \begin{cases} (kr)^{l+1} / (1 \cdot 3 \cdot 5 \cdots (2l+1)), & \text{when } r \rightarrow 0 \\ \sin(kr - l\pi/2), & \text{when } r \sim \infty \end{cases} \quad (\text{B.40})$$

$$G_l(kr) \equiv -kr n_l(kr) = \begin{cases} 1 \cdot 3 \cdot 5 \cdots (2l-1) / (kr)^l, & \text{when } r \rightarrow 0 \\ \cos(kr - l\pi/2), & \text{when } r \sim \infty \end{cases} \quad (\text{B.41})$$

Momentum Ket Expansion

$$|\mathbf{k}\rangle = \sqrt{\frac{2}{\pi}} \sum_{l,m} Y_{lm}^*(\Omega_{\mathbf{k}}) |klm\rangle \quad (\text{B.42})$$

Completeness Relation, Identity Operator

$$\bar{1} = \frac{2}{\pi} \int_{l,m} dk k^2 |klm\rangle \langle klm| = \frac{2\mu}{\pi} \int_{l,m} dE_k k |klm\rangle \langle klm| \quad (\text{B.43})$$

T and V Matrix Expansions, p Space

$$\langle \mathbf{k}' | \begin{pmatrix} V \\ T \end{pmatrix} | \mathbf{k} \rangle = \frac{2}{\pi} \sum_{l,m} \begin{pmatrix} V_l(k', k) \\ T_l(k', k) \end{pmatrix} Y_{lm}^*(\Omega_{k'}) Y_{lm}(\Omega_k) \quad (\text{B.44})$$

$$= \frac{1}{2\pi^2} \sum_{l,m} (2l+1) \begin{pmatrix} V_l(k', k) \\ T_l(k', k) \end{pmatrix} P_l(\cos \theta_{kk'}) \quad (\text{B.45})$$

Rotational Invariance

$$\langle k'l'm' | \begin{pmatrix} V \\ T \end{pmatrix} | klm \rangle = \delta_{ll'} \delta_{mm'} \begin{pmatrix} V_l(k', k) \\ T_l(k', k) \end{pmatrix} \quad (\text{B.46})$$

T and V Matrix Expansions, r Space

$$\langle \mathbf{r}' | \begin{pmatrix} V \\ T \end{pmatrix} | \mathbf{r} \rangle = \sum_{l,m} \begin{pmatrix} V_l(r', r) \\ T_l(r', r) \end{pmatrix} Y_{lm}^*(\Omega_{r'}) Y_{lm}(\Omega_r) \quad (\text{B.47})$$

Local Potential

$$V_l(r', r) = \frac{\delta(r - r')}{r^2} V(r) \quad (\text{all } l\text{'s}) \quad (\text{B.48})$$

Wave function Transform, Non-Local Potential

$$V_l(k', k) = \frac{1}{k'k} \int_0^\infty dr \int_0^\infty dr' r r' F_l(k'r') V_l(r', r) F_l(kr) \quad (\text{B.49})$$

Wave function Transform, Local Potential

$$V_l(k', k) = \frac{1}{k'k} \int_0^\infty dr F_l(k'r) V(r) F_l(kr) \quad (\text{B.50})$$

T matrix

$$T_l(k', k; E_k) = \frac{1}{k'k} \int_0^\infty dr \int_0^\infty dr' r r' F_l(k'r') V_l(r', r) u_l(kr) \quad (\text{B.51})$$

On-Energy-Shell Values

$$T_l(k, k; E_k) = -\frac{e^{2i\delta_l} - 1}{2i\rho_T} = \frac{R_l}{1 + i\rho_T R_l} \quad (\text{B.52})$$

$$R_l(k, k; E_k) = -\frac{\tan \delta_l(k)}{\rho_T}, \quad \rho_T = 2\mu k \quad (\text{B.53})$$

$$S_l(E[k]) = e^{2i\delta_l} \quad (\text{only defined on shell}) \quad (\text{B.54})$$

Scattering Amplitude

$$f_E(\theta, \phi) = -4\pi^2 \mu \langle \mathbf{k}' | T_E | \mathbf{k} \rangle |_{k'=k=k_0} \quad (\text{B.55})$$

$$= \sum_l (2l+1) \frac{e^{2i\delta_l} - 1}{2ik} P_l(\cos \theta_{kk'}) \quad (\text{B.56})$$

One-Dimensional Integral Equations

$$u_l(kr) = F_l(kr) + 2\mu \int_0^\infty dr' F_l(kr_{<}) H_l^{(+)}(kr_{>}) V(r') u_l(kr') \quad (\text{B.57})$$

$$u_l(k'; E_k) = \delta(k' - k) + \frac{2k' \int_0^\infty dp p V_l(k', p) u_l(p; E_k)}{\pi(E_k - E_{k'} + i\epsilon)} \quad (\text{B.58})$$

$$T_l(k', k; E) = V_l(k', k) + \frac{2}{\pi} \int_0^\infty dp p^2 \frac{V_l(k', p) T_l(p, k)}{E + i\epsilon - E_p} \quad (\text{B.59})$$

$$R_l(k', k) = V_l(k', k) + \frac{2}{\pi} \mathcal{P} \int_0^\infty dp p^2 \frac{V_l(k', p) R_l(p, k)}{E - E_p} \quad (\text{B.60})$$