

## Lattice QCD for nuclear physics

outline:

- Direct lattice QCD output?
- physical observables of interest?
  - connection to lattice data?
  - A no-go theorem and Luscher's solution
- Derivation of Luscher formula
  - S-wave two-particle interactions
  - Higher partial waves?
- Bound state from finite volume (FV) formalism?
  - Deuteron?

• Some recent results from NPLQCD collaboration:

- $\pi\pi$  scattering phase shift
- Nucleon-nucleon scattering parameters
- Multi-nucleon systems
- Hyperon-nucleon scattering

• Lattice QCD output:

1) Create the state with a given quantum number

↓  
number of particles, spin, isospin, ...

using a suitable interpolating operator: "source"  
at time zero.

2) Annihilate the state with the corresponding interpolating operator at some later time  $t$ : "sink"

3) Take the vacuum expectation value of the sink-source

operator: "correlator" by "importance sampling"

- averaging over many number of gauge configurations  $\checkmark$

→ the higher the number of configuration: the lower the statistical uncertainty of the calculation.

5) Obtain the time evolution of your correlator using an

"effective mass plot". Identify the plateau in the EMP

and therefore read off the ground state energy of the state.

Example: Two-pion energy eigenvalues

$$C_{\pi^+\pi^+}(t, \vec{x}, \vec{y}) = \langle 0 | \pi^-(t, \vec{x}) \pi^-(t, \vec{y}) \pi^+(0, \vec{0}) \pi^+(0, \vec{0}) | 0 \rangle$$

$$\text{with } \pi^+(x, t) = \bar{u}(\vec{x}, t) \gamma_5 d(\vec{x}, t)$$

project into a two-pion state with total momentum  $\vec{P}$  and relative momentum  $\vec{q}$ :

$$\text{Fourier transform of } C_{\pi^+\pi^+}(t, \vec{x}, \vec{y}) = C_{\pi^+\pi^+}(\vec{q}, t) = \sum_{\vec{x}, \vec{y}} e^{i\vec{q} \cdot (\vec{x} - \vec{y})} \langle 0 | \pi^-(t, \vec{x}) \pi^-(t, \vec{y}) \pi^+(t, \vec{0}) \pi^+(t, \vec{0}) | 0 \rangle$$

for zero total momentum  $\vec{P} = 0$ .

Now insert a complete set of energy eigenstates → the large

time behavior of  $C_{\pi^+\pi^+}$  is given by:  $A_2 e^{-E_{\pi^+\pi^+} t}$

on the other hand the large time behavior of one pion correlator

is given by:  $A_1 e^{-E_{\pi^+}^0 T}$

Take the ratio:  $\frac{C_{\pi^+\pi^+}(\vec{q}, t)}{C_{\pi^+}(t) C_{\pi^+}(t)} \xrightarrow{\text{large time}} B_0 e^{-\Delta E_0 t}$

Define:  $a m_{\text{eff}} = -\log \left[ \frac{C_{\pi^+\pi^+}(\vec{q}, t)}{C_{\pi^+}(t) C_{\pi^+}(t-1)} \right] (= \Delta E_0)$



$T$ : total number of time slices

This procedure seems pretty straightforward, but why are LQCD calculations complicated and costly?

- Gauge configuration production - Monte Carlo sampling  
need sufficient statistics  
many measurements required
- Wick contractions become more complicated when more and more hadrons are added.

Wick contractions? Example: single pion correlator

$$C_{\pi^+}(\vec{p}, t) = \sum_{\vec{z}} e^{i\vec{p}\cdot\vec{z}} \langle 0 | \underbrace{\bar{d}(x) \gamma_5 u(x)}_{\pi^+(x)} \underbrace{\bar{u}(0) \gamma_5 d(0)}_{(\pi^+(x))^{\dagger}} | 0 \rangle$$

$d, u$  carry spin and color indices as well

$$= - \sum_{\vec{z}} e^{i\vec{p}\cdot\vec{z}} \langle 0 | \text{Tr} [ \underbrace{D_d^{-1}(0, \vec{z})}_{\downarrow} \gamma_5 \underbrace{D_u^{-1}(x, 0)}_{\downarrow} \gamma_5 ] | 0 \rangle$$

point to all propagator  $\rightarrow$  easier to compute

$D^{-1}$  denotes the inverse of the Dirac operator or simply

fermion propagator.

note that this is not the only place where fermions appear.

There are fermionic terms in QCD action as well. It is then

easy to see that in taking vacuum expectation value, one

can replace them with  $[\det D]^N_f \rightarrow$  number of flavors

$$\begin{aligned} \text{Explicitly: } & \langle 0 | \text{Tr} [D_d^{-1}(0,x) \gamma_5 D_u^{-1}(x,0) \gamma_5] | 0 \rangle \\ &= \frac{\int \mathcal{D}U [\det D]^N_f e^{-S_{\text{gauge}}} \text{Tr} [D_d^{-1}(0,x) \gamma_5 D_u^{-1}(x,0) \gamma_5]}{\int \mathcal{D}U [\det D]^N_f e^{-S_{\text{gauge}}}} \end{aligned}$$

- The Dirac operator matrix is a big matrix  $\rightarrow$  Inverting it is quite costly. Its determinant also needs to be evaluated when preparing gauge configurations that are needed to make measurements.

- Signal to noise issue for nucleonic systems.

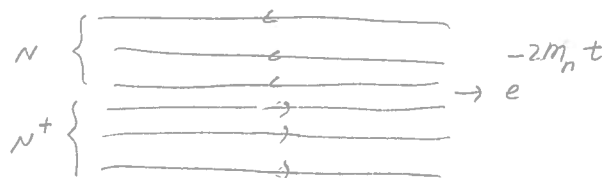
Lepage argument for why the signal in LQCD calculations of nucleonic correlators degrade quickly?

Single nucleon: Remember that  $\sigma^2(C) = \langle CC^\dagger \rangle - |\langle C \rangle|^2$

variance  $\rightarrow$  a measure of stat uncertainty

$$\langle CC^\dagger \rangle \sim \langle NN^\dagger \cdot NN^\dagger \rangle \xrightarrow{t \rightarrow \infty} ?$$

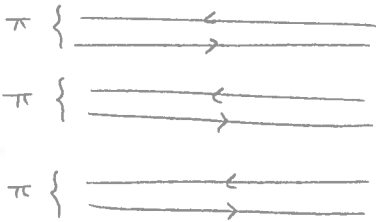
Pictorially:



But this is not the only possible scenario! In fact this correlation function at large time will approach its ground state which is  $3\pi$ 's

and not  $2N$ ! Note that:  $m_{3\pi} = 3 \times m_{\pi} \approx 3 \times 140 = 420 \text{ MeV}$

$$m_{2N} = 2 \times m_n \approx 2 \times 980 = 1960 \text{ MeV}$$

pictorially:  $\pi$  {  } therefore:  $\frac{\text{Signal}}{\text{noise}} \sim e^{-(m_n - \frac{3}{2}m_{\pi})t}$

□ Question? what is the signal to noise ratio for a LQCD calculation of the mass of a nucleus with A number of nuclei?

□ Question? It is often said that LQCD calculations at lighter pion masses are quite challenging. can you explain why this is the case based on the signal/noise issue?

$\sim$ PLQCD<sup>2012</sup> calculation:  $m_{\pi} \approx 800 \text{ MeV}$ ,  $m_n \approx 1.8 \text{ GeV}$ . Comment on signal/noise in this calculation

Given these difficulties, is there any hope to get spectral info on multi-nuclear systems from LQCD?

$\sim$ PLQCD recent progress: - Much algorithm development: contractions are now available up to  $^{28}\text{Si}$ !

- there is a golden window of plateau just before the signal/noise issue becomes severe.

That's because the overlap factors on multi- $N$  states are big enough to compensate for the signal degradation due to noise.

→ slides: npl QCD calculation of spectrum at  $SU(3)$  flavor symmetric point with  $m_{\pi} \approx 800$  MeV + Demonstration of plateaus and golden window

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① Nucleon-nucleon,  $NN$  interactions from LQCD:

LQCD is performed on Euclidean space-time  $\rightarrow$  only spectral info + matrix elements

How about interactions? Can we obtain for example  $NN$  or  $YN$  phase shifts directly from LQCD? How about three-body force parameters?

We saw on Monday's lecture that there is an approach by HAL QCD collaboration that aims to calculate the potentials out of NBS wavefunctions calculated with LQCD. Since these potentials are scheme dependent and the specification of errors is not possible in this approach, the phase shift predictions based on this method can be arbitrarily wrong and is not a direct prediction of QCD. Today we want to learn a method based on Luscher's finite volume formalism.

One might ask why can't we evaluate the elements of  $S$ -matrix from

LQCD in Euclidean space-time and analytically continue the result back to Minkowski space with  $\tau \rightarrow it$ ? The answer is in fact no. As stated by Maiani-Testa's no-go theorem, one can not obtain the elements of S-matrix from Lattice <sup>Euclidean</sup> Green's functions <sup>in infinite volume</sup> except at kinematic threshold. Since we are not interested in only kinematic threshold, we should think of a way to get around this no-go theorem. This leads us to the Luscher formalism.

Statement of Luscher formula:

The energy shift of two interacting scalar particles in a finite cubic volume with periodic BC is related to the s-wave scattering phase shift of infinite volume via:

$$q \cot \delta(q) = \frac{1}{\pi L} S\left(\frac{L^2 q^2}{4\pi^2}\right)$$

$\swarrow$  phase shift       $\searrow$

$$S(z) \equiv \sum_{\vec{n}} \frac{1}{|\vec{n}|^2 - z^2} - 4\pi \Lambda_{\vec{n}}$$

$\downarrow$   
 integer valued vectors

$E_{NR} = E - 2m = \frac{q^2}{m}$   
 non-relativistic interacting energies

Derivation of Luscher formula:

There are many derivations presented for Luscher formula. Here we follow the derivation given by Beane, et. al. in 0312.004

But before getting into the details of derivation, let's discuss some

preliminary points:

→ periodic boundary condition:

$$\psi(\vec{x}) = \psi(\vec{x} + \vec{n}L), \quad n_i \in \mathbb{Z}$$



Fourier transform  $\vec{p} = \frac{2\pi\vec{n}}{L}, \quad n_i \in \mathbb{Z}$

→ As a result the energy spectrum of the system is discretized.

□ Discussion point: How does the energy spectrum of two interacting particles look like in infinite volume?

Discuss both bound-state and scattering states.

In FV the non-interacting case is trivial: Two-particle waves with

$$\text{energy} = E_{NR} = \frac{\vec{p}_1^2}{2m} + \frac{\vec{p}_2^2}{2m} = \frac{\vec{P}^2}{4m} + \frac{\vec{q}^2}{m} \quad \text{with: } \begin{cases} \vec{P} = \vec{p}_1 + \vec{p}_2 \\ \vec{q} = \frac{\vec{p}_1 - \vec{p}_2}{2} \end{cases}$$

$\vec{p}_1$  and  $\vec{p}_2$  are both integer multiples of  $\frac{2\pi}{L}$ :

Ground state:  $\vec{p}_1 = 0, \vec{p}_2 = 0 \Rightarrow E_{NR}^{(0)} = 0$

Constraining to  $\vec{P} = 0$

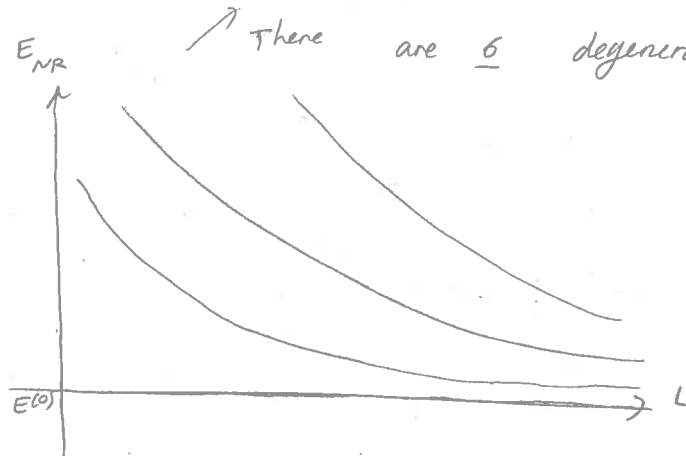
First excited state:  $\vec{p}_1 = \frac{2\pi}{L}(1,0,0), \vec{p}_2 = \frac{2\pi}{L}(-1,0,0) \Rightarrow E_{NR}^{(1)} = \frac{4\pi^2}{mL^2}$

There are 6 degenerate states

As a function of volume:

They all approach zero at

infinite volume.



What happens if you turn on the interactions? The levels will be shifted,

but one expects that the volume dependence of scattering states remains



power-law - How about bound-states? we get back to this question when we discuss the Lüscher formula in more detail.

Derivation: So the goal is to find the energy shift the two-particle state experiences when the interactions are present.

The claim is that this energy shift can be related to the scattering phase shift of infinite volume (and in general the elements of S-matrix).

Let's evaluate the scattering amplitude of a two nucleon system in s-wave using the pionless EFT that we've become familiar with in the previous lectures:

$$i\mathcal{T} = \frac{4\pi}{m_n} \frac{i}{q \cot \delta_s - iq} = \text{diagram 1} + \text{diagram 2} + \text{diagram 3} + \dots$$

$\text{diagram 1} = C_0$       $\text{diagram 2} = C_0 I_0(q, \Lambda) C_0$       $\text{diagram 3} = C_0 I_0(q, \Lambda)^2 C_0$

$$= C_0 + C_0 I_0(q, \Lambda) C_0 + \dots = C_0 (1 + I_0(q, \Lambda) C_0 + \dots)$$

$$= \frac{C_0}{1 - I_0(q, \Lambda) C_0} = \mathcal{T}$$

Inverting this gives:

$$C_0 = \frac{\mathcal{T}}{1 + I_0(q, \Lambda) \mathcal{T}}$$

How about finite volume? Note that the loop that we calculated before is an integral over the momentum running in the loop. Since in FV all momenta are discretized, the integral over continuous momenta is replaced

with sum over discrete momenta. Explicitly:  $\int \frac{d^3k}{(2\pi)^3} \rightarrow \frac{1}{L^3} \sum_{\vec{k}}$

$$I_0^V = \text{Diagram} = \frac{1}{L^3} \sum_{\vec{k}} \frac{1}{E_{NR} - \frac{\vec{k}^2}{m_n}}$$

Diagram: A circle with an arrow pointing clockwise. At the top, it is labeled  $(k_0, \vec{k})$ . At the bottom, it is labeled  $(E_{NR} - k_0, -\vec{k})$ .

$$\text{while: } I_0 = \int \frac{d^3k}{(2\pi)^3} \frac{1}{E_{NR} - \frac{\vec{k}^2}{m_n}}$$

Note that as  $|\vec{k}| \rightarrow \infty$ , this sum diverges the same way as  $I_0$  diverges and therefore one can choose a momentum cutoff just as in infinite volume to regularize this. But as we will see, the final result only depends on the difference between  $I_0$  and  $I_0^V$  and the cutoff dependent parts of sum cancel. Now finite volume version of scattering amplitude is:

$$T^V = C_0 + C_0 I_0^V(q, \Lambda) C_0 + \dots = \frac{C_0}{1 - I_0^V(q, \Lambda) C_0}$$

replacing  $C_0$  in terms of  $I_0(q, \Lambda)$  and  $T$  gives:

$$T^V = \frac{T}{1 + I_0(q, \Lambda) T} = \frac{T}{1 - \frac{T}{1 + I_0(q, \Lambda)} I_0^V(q, \Lambda)} \quad \text{with } \delta I_0^V(q) = I_0^V(q, \Lambda) - I_0^V(q)$$

The poles of this object correspond to isolated energy eigenvalues in

the FV volume:  $1 - \delta I_0^V T = 0 \Rightarrow \frac{1}{T} + \delta I_0^V = 0 \Rightarrow q \cot \delta_s = \frac{1}{\pi L} S\left(\frac{qL}{4\pi^2}\right)$  (\*)

$\sim q \cot \delta_s - iq$        $\sim iq + \dots$

what is calculated from LQCD?  $E_{NR}^{(-1)}, E_{NR}^{(0)}, E_{NR}^{(1)}, \dots$

Bound state energy      The first excited state

now input  $q$  into (\*) and get  $\delta(q)$

This is a direct calculation of S-wave nucleon phase shift from LQCD.

Understanding Luscher formula better:

- Systematics of Luscher: It only applies to elastic scattering

and is valid up to pion production threshold:  $2m_\pi$

- Even below the inelastic threshold there are exponential correction to the Luscher formula that scale like:

$$e^{-m_\pi L}$$

□ Discussion point: How large the lattice volume should be to get phase shifts from Luscher formula up to 1% error? at physical pion mass? How about  $m_\pi = 800 \text{ MeV}$  and  $m_\pi = 300 \text{ MeV}$ ?

- If interactions are turned off, Luscher formula gives  $\delta = 0$ .

□ How can you see this directly from Luscher formula?

- Luscher formula indicate that the volume dependence of bound states is governed by exponential and not power-law.

$$k^{(V)} = \underset{\downarrow}{k^{(0)}} + A \frac{e^{-k^{(0)} L}}{L} + \dots$$

infinite volume value for binding momentum

You can easily check this from  $S$  function by analytically

continuing to  $q^2 \rightarrow -k^2$ .

□ Discussion point: How large the lattice volume should be to get deuteron binding momentum up to 2% level? (Note:  $k_d^{(0)} = 45.7 \text{ MeV}$ )

- Luscher formula has been generalized to arbitrary CM momentum, higher partial-wave, unequal masses - two-particle coupled channel, two-nucleon systems with arbitrary spin and parity and so on.
- The other systematic in Luscher calculation is that due to broken rotational symmetry, higher partial-waves contribute to for example s-wave phase shift. So as long as the energy is low, one can neglect those higher partial-waves. (For a system of two identical particles with zero CM boost,  $l=4$  scattering contaminates the s-wave scattering in this FV formula.)

Slides: The plot of S-function

NPLQCD extraction of  $NN$  phase shifts

" " of the light nuclei spectra

" Study of Hyperon ( $\Lambda$ )-Nucleon ( $N$ ) interaction phase shifts

NPLQCD and lab extraction of  $\pi\pi$  phase-shifts