



INPP

INSTITUTE OF NUCLEAR & PARTICLE PHYSICS

@OHIO UNIVERSITY

**Application of Three-Body methods in
Direct Nuclear Reactions:
 ${}^6\text{He} (p,p) {}^6\text{He}$**

Ch. Elster

S.P. Weppner

8/9/2011

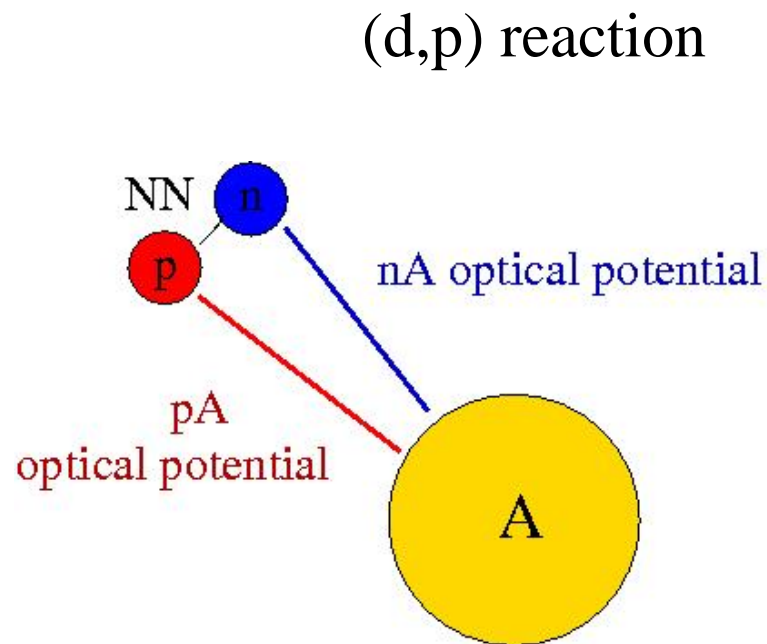
Supported by: U.S. DOE & TORUS



Direct Reactions:

- Elastic & inelastic scattering
- Few-particle transfer (stripping, pick-up)
- Charge exchange
- Knockout

Three-Body Problem



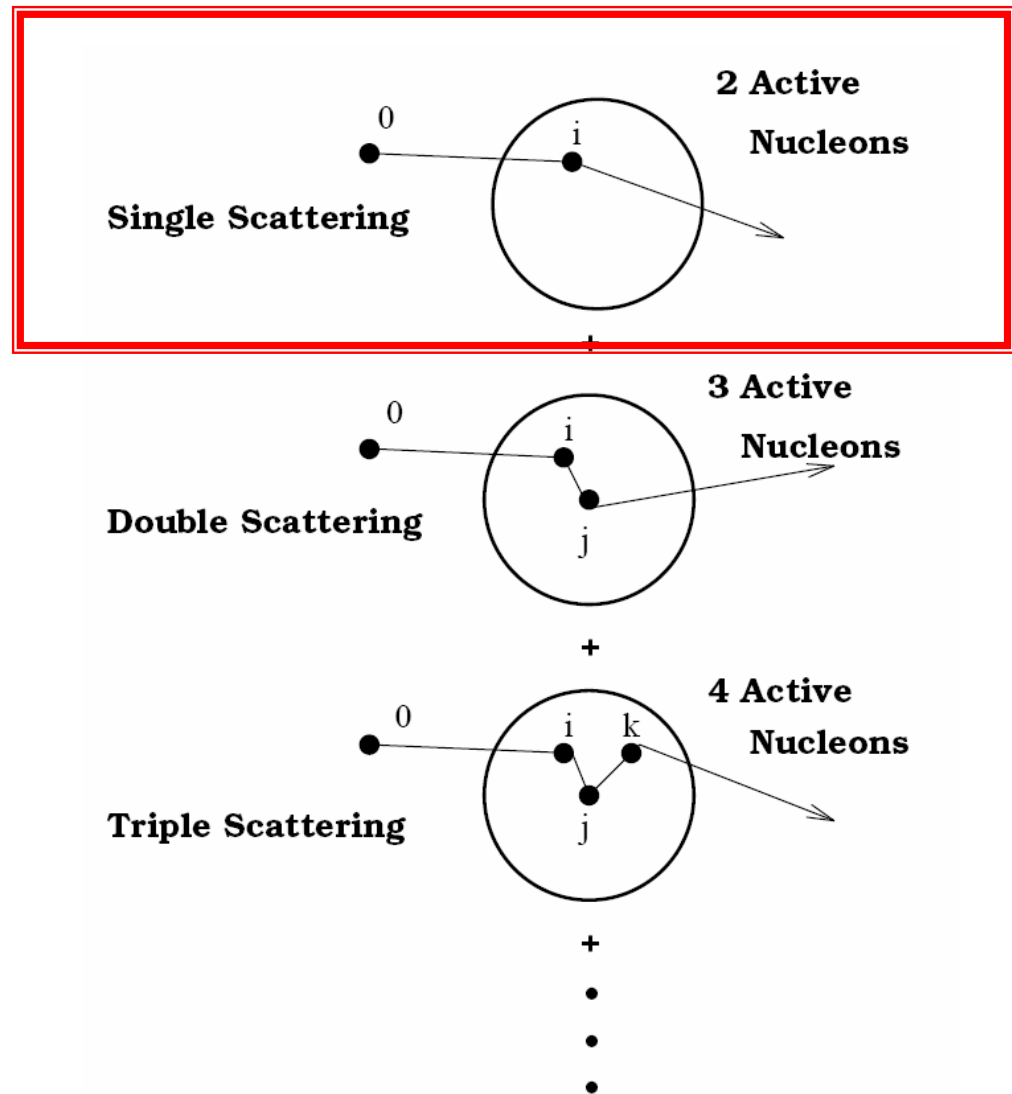
p+A Scattering

Spectator Expansion:

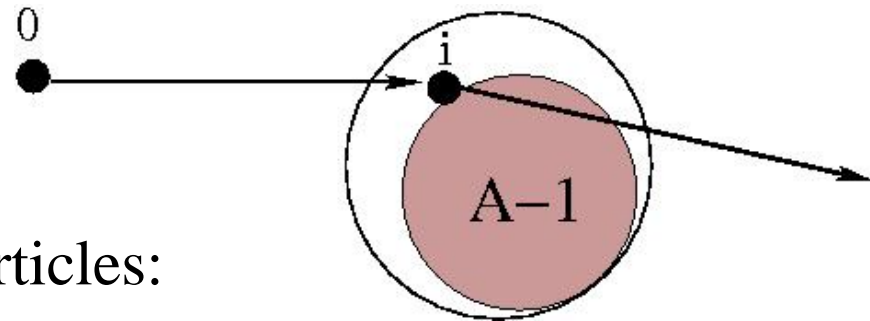
Written down by

Siciliano, Thaler (1977)

Picklesimer, Thaler (1981)



Single Scattering



Three-body problem with particles:

$o - i - (A-1)\text{-core}$

$o - i$: NN interaction

$i - (A-1)$ core : e.g. mean field force

Phenomenological Optical Potentials parameterize single scattering term

Microscopic Optical Potentials

“Folding Models” for closed shell nuclei

- Watson Multiple Scattering
 - Elster, Weppner, Chinn, Thaler, Tandy, Redish
 - Separation of p-A and n-A optical potential
 - Based on NN t-matrix as interaction input
 - Treating of interaction with (A-1)-core via mean field and as implicit three-body problem
- Kerman-McManus-Thaler (KMT)
 - Crespo, Johnson, Tostevin, Thompson
 - Based on NN t-matrix as input
 - Couple explicitly to (A-1) core
 - Introduce cluster ansatz for halo targets within coupled channels
- G-matrix folding
 - Arellano, Brieva, Love
 - Based on NN g-matrix
 - Improving local density approximation
 - Picked up by Amos, Karataglidis and extended to exotic nuclei

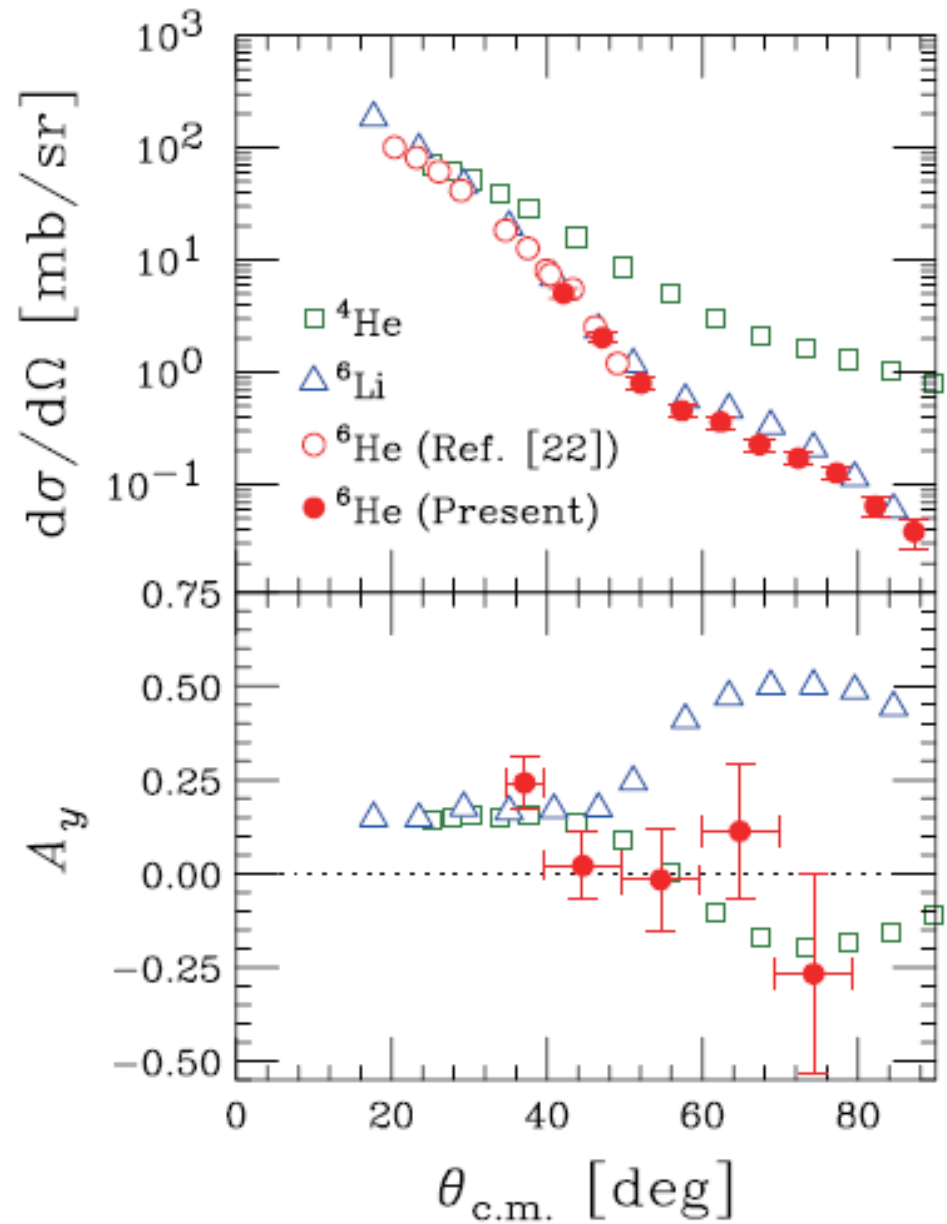
RIKEN:
 ${}^6\text{He}(p,p){}^6\text{He}$
@ 71 MeV

S. Sakaguchi et al.

arXiv: 1106.3903

[nucl-exp]

Task:
Optical Potential
for Halo Nucleus



RIKEN: ${}^6\text{He}(p,p){}^6\text{He}$

S. Sakaguchi et al.

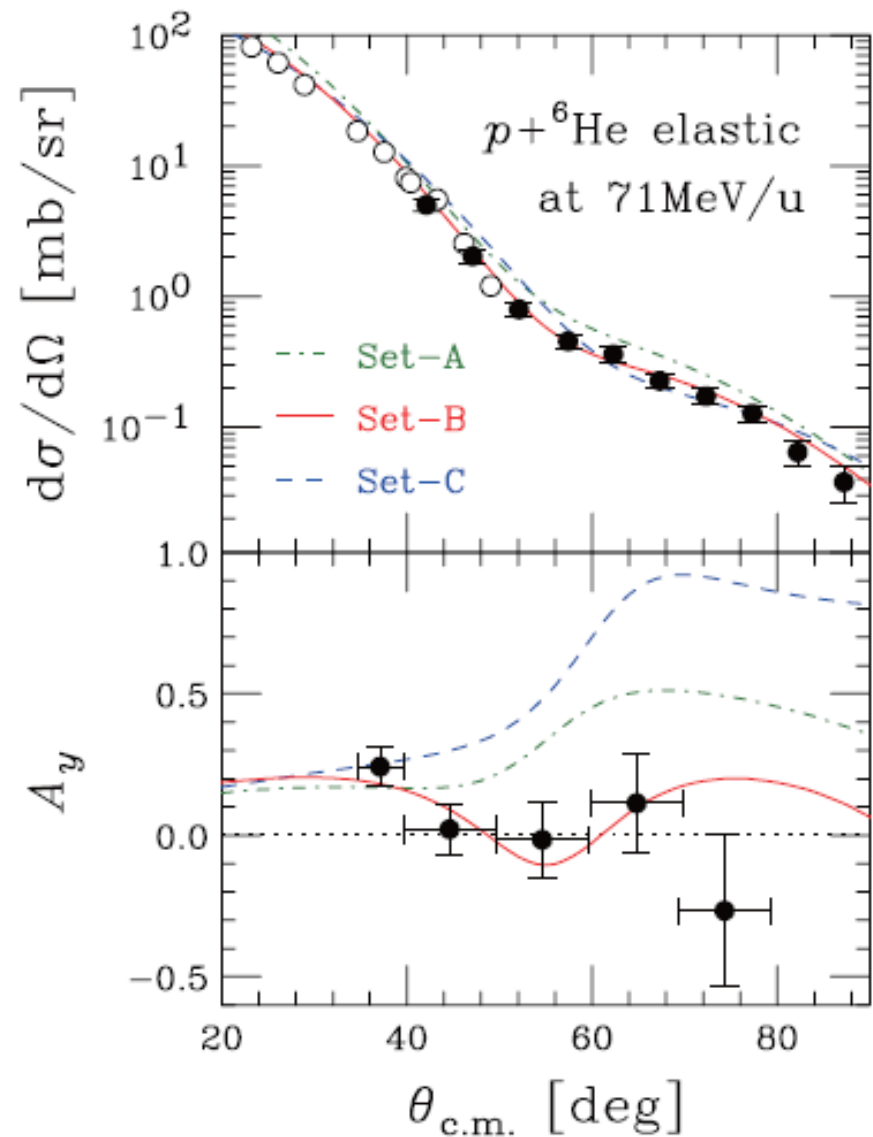
arXiv: 1106.3903

We adopted a standard Woods-Saxon optical potential with a spin-orbit term of the Thomas form:

$$\begin{aligned}
 U_{\text{OM}}(R) = & -V_0 f_r(R) - iW_0 f_i(R) \\
 & + 4i a_{id} W_d \frac{d}{dR} f_{id}(R) \\
 & + V_s \frac{2}{R} \frac{d}{dR} f_s(R) \mathbf{L} \cdot \boldsymbol{\sigma}_p + V_C(R) \quad (1)
 \end{aligned}$$

with

$$\begin{aligned}
 f_x(R) = & \left[1 + \exp\left(\frac{R - r_{0x} A^{1/3}}{a_x}\right) \right]^{-1} \quad (2) \\
 (x = & r, i, id, \text{ or } s).
 \end{aligned}$$



Scattering: Lippmann-Schwinger Equation

- LSE: $T = V + V G_0 T$
- Hamiltonian: $H = H_0 + V$
- Free Hamiltonian: $H_0 = h_0 + H_A$
 - h_0 : kinetic energy of projectile '0'
 - H_A : target hamiltonian with $H_A |\Phi\rangle = E_A |\Phi\rangle$
- V : interactions between projectile '0' and target nucleons 'i' $V = \sum_{i=0}^A V_{0i}$
- Propagator is $(A+1)$ body operator
 - $G_0(E) = (E - h_0 - H_A + i\varepsilon)^{-1}$

Elastic Scattering

- In- and Out-States have the target in ground state Φ_0
- Projector on ground state $P = |\Phi_0\rangle\langle\Phi_0|$
 - With $1=P+Q$ and $[P,G_0]=0$
- For elastic scattering one needs
- $P T P = P U P + P U P G_0(E) P T P$
- Or

$$\begin{aligned}
 & - \mathbf{T} = \mathbf{U} + \mathbf{U} \mathbf{G}_0(\mathbf{E}) \mathbf{P} \mathbf{T} \\
 & - \mathbf{U} = \mathbf{V} + \mathbf{V} \mathbf{G}_0(\mathbf{E}) \mathbf{Q} \mathbf{U} \quad \Leftarrow \text{optical potential}
 \end{aligned}$$

Standard: $\mathbf{U}^{(1)} \approx \sum_{i=0}^A \tau_{0i}$ (1st order)

with

$$\tau_{0i} = \mathbf{v}_{0i} + \mathbf{v}_{0i} \mathbf{G}_0(\mathbf{E}) \mathbf{Q} \tau_{0i}$$

$$\tau_{0i} = v_{0i} + v_{0i} \mathbf{G}_0(\mathbf{E}) \mathbf{Q} \tau_{0i}$$

- $\mathbf{G}_0(\mathbf{E}) = (\mathbf{E} - h_0 - H_A + i\varepsilon)^{-1} == (A+1)$ body operator
 - Standard “**impulse approximation**”:
 - Average over $H_A \Rightarrow$ c-number
 - $\rightarrow \mathbf{G}_0(\mathbf{e}) ==$: two body operator
- Deal with \mathbf{Q}
 - Define “two-body” operator $\mathbf{t}_{0i}^{\text{free}}$ by
 - $\mathbf{t}_{0i}^{\text{free}} = v_{0i} + v_{0i} \mathbf{G}_0(\mathbf{e}) \mathbf{t}_{0i}^{\text{free}}$
 - and relate via integral equation to τ_{0i}
 - $\tau_{0i} = \mathbf{t}_{0i}^{\text{free}} - \mathbf{t}_{0i}^{\text{free}} \mathbf{G}_0(\mathbf{e}) \tau_{0i}$ [integral equation]
 - Important for keeping correct iso-spin character of optical potential
 - $\mathbf{U}^{(1)} = \sum_{i=1}^A \tau_{0i} =: \mathbf{N} \tau_n + \mathbf{Z} \tau_p$

First order Watson optical potential

$$U^{(1)} = \sum_{i=1}^A \tau_{oi} =: \sum_{i=1}^N \tau_n + \sum_{i=1}^P \tau_p$$

- Important for treating $N \neq Z$ nuclei
- Be sensitive to proton vs. neutron scattering
- In general
 - $t_{pp} \neq t_{np}$ and $\rho_p \neq \rho_n$
- These differences enter in a non-linear fashion into first order Watson optical potential

$$\tau_\alpha = t_\alpha - t_\alpha G_0^\alpha(\mathbf{e}) \tau_\alpha, \quad \alpha=n,p$$

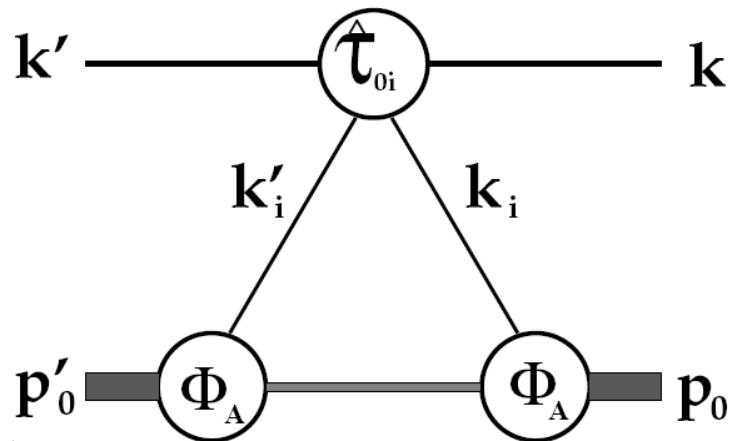
- **The Watson ansatz allows introducing a cluster ansatz for a nucleus very naturally**

Isospin effects in elastic $p+A$ scattering, Chinn, Elster, Thaler, PRC47, 2242 (1993)

More formal:

- Elastic scattering : $T_{el} = PUP + PUPG_0(E)PT_{el}$.
- First order Watson O.P.:

$$\langle \mathbf{k}' | \langle \phi_A | PUP | \phi_A \rangle \mathbf{k} \rangle \equiv U_{el}(\mathbf{k}', \mathbf{k}) = \sum_{i=n,p} \langle \mathbf{k}' | \langle \phi_A | \hat{\tau}_{0i}(\mathcal{E}) | \phi_A \rangle \mathbf{k} \rangle$$



$$\langle \hat{\tau}_{01} \rangle \equiv \langle \mathbf{k}' | \langle \phi_A | \hat{\tau}_{01}(\mathcal{E}) | \phi_A \rangle \mathbf{k} \rangle$$

Proton scattering: $U_{el}(\mathbf{k}', \mathbf{k}) = Z \langle \hat{\tau}_{01}^{pp} \rangle + N \langle \hat{\tau}_{01}^{np} \rangle$

Calculate:

$$\langle \hat{\tau}_{01} \rangle \equiv \langle \mathbf{k}' | \langle \phi_A | \hat{\tau}_{01}(\mathcal{E}) | \phi_A \rangle | \mathbf{k} \rangle$$

$$\langle \mathbf{k}_1 \mathbf{k}_2 \mathbf{k}_3 \mathbf{k}_4 \dots \mathbf{k}_A | \phi_A \rangle = \delta(\mathbf{k}_1 + \mathbf{k}_2 + \mathbf{k}_3 + \mathbf{k}_4 \dots + \mathbf{k}_A - \mathbf{p}_0) \langle \zeta_1 \zeta_2 \zeta_3 \zeta_4 \dots \zeta_{A-1} | \phi_A \rangle.$$

$$\begin{aligned} \langle \hat{\tau}_{01} \rangle &= \int \prod_{j=1}^A d\mathbf{k}'_j \int \prod_{l=1}^A d\mathbf{k}_l \langle \phi_A | \zeta'_1 \zeta'_2 \zeta'_3 \zeta'_4 \dots \zeta'_{A-1} \rangle \delta(\mathbf{p}' - \mathbf{p}_0) \langle \mathbf{k}' \mathbf{k}'_1 | \hat{\tau}_{01}(\mathcal{E}) | \mathbf{k} \mathbf{k}_1 \rangle \\ &\prod_{j=2}^A \delta(\mathbf{k}'_j - \mathbf{k}_j) \delta(\mathbf{p} - \mathbf{p}_0) \langle \zeta_1 \zeta_2 \zeta_3 \zeta_4 \dots \zeta_{A-1} | \phi_A \rangle, \end{aligned} \quad (2.48)$$

With single particle density matrix :

$$\rho(\zeta'_1, \zeta_1) \equiv \int \prod_{l=2}^{A-1} d\zeta'_l \int \prod_{j=2}^{A-1} d\zeta_j \langle \phi_A | \zeta'_1 \zeta'_2 \zeta'_3 \zeta'_4 \dots \zeta'_{A-1} \rangle \langle \zeta_1 \zeta_2 \zeta_3 \zeta_4 \dots \zeta_{A-1} | \phi_A \rangle.$$

$$\begin{aligned} \langle \hat{\tau}_{01} \rangle &= \int d\zeta'_1 \int d\zeta_1 \langle \mathbf{k}' \zeta'_1 + \frac{\mathbf{p}'_0}{A} | \hat{\tau}_{01}(\mathcal{E}) | \mathbf{k} \zeta_1 + \frac{\mathbf{p}_0}{A} \rangle \rho(\zeta'_1, \zeta_1) \\ &\delta\left(\frac{A-1}{A} \mathbf{p}'_0 - \zeta'_1 - \frac{A-1}{A} \mathbf{p}_0 + \zeta_1\right). \end{aligned}$$

Better Variables:

$$\mathbf{k} = \mathbf{K} - \frac{1}{2}\mathbf{q}$$

$$\zeta_1 = \mathbf{P} + \frac{A-1}{2A}\mathbf{q}$$

$$\mathbf{k}' = \mathbf{K} + \frac{1}{2}\mathbf{q}$$

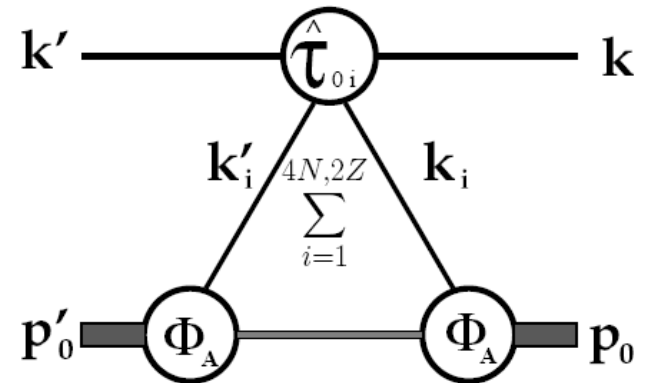
$$\zeta_1' = \mathbf{P} - \frac{A-1}{2A}\mathbf{q}$$

$$\langle \hat{\tau}_{01} \rangle = \left\langle \frac{1}{2} \left(\mathbf{K} - \mathbf{P} + \frac{2A-1}{2A}\mathbf{q} - \frac{\mathbf{p}'_0}{A} \right) \middle| \hat{\tau}_{01}(\hat{\mathcal{E}}) \middle| \frac{1}{2} \left(\mathbf{K} - \mathbf{P} - \frac{2A-1}{2A}\mathbf{q} - \frac{\mathbf{p}_0}{A} \right) \right\rangle$$

$$\rho \left(\mathbf{P} - \frac{A-1}{2A}\mathbf{q}, \mathbf{P} + \frac{A-1}{2A}\mathbf{q} \right). \quad (2.59)$$

$$U_{el}(\mathbf{q}, \mathbf{K}) = \sum_{i=n,p} \int d\mathbf{P} \hat{\tau}_{0i} \left(\mathbf{q}, \frac{1}{2} \left(\frac{A+1}{A}\mathbf{K} - \mathbf{P} \right), \hat{\mathcal{E}} \right) \rho_i \left(\mathbf{P} - \frac{A-1}{2A}\mathbf{q}, \mathbf{P} + \frac{A-1}{2A}\mathbf{q} \right)$$

$$\hat{\mathcal{E}} = E_{NA} - \frac{(\mathbf{k} + \mathbf{k}_1)^2}{4m} = E_{NA} - \left(\frac{(\frac{A-1}{A}\mathbf{K} + \mathbf{P})^2}{4m} \right)$$



Cluster Folding Optical Potential (n+n+α)

Jacobi momenta

$$\mathbf{p}_{ji} = \frac{1}{A}(A_{si}\mathbf{p}_i - A_i\mathbf{p}_{si})$$

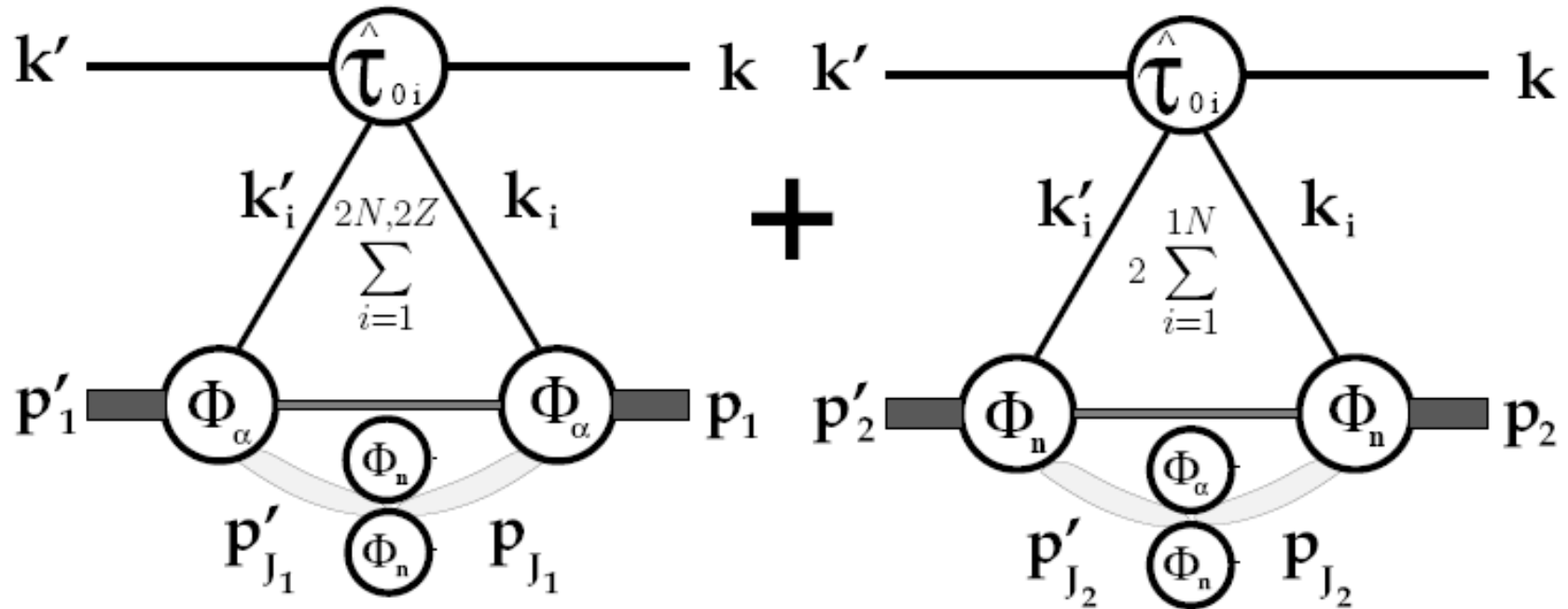
Correlation Density

$$\rho_{corr}(\mathbf{P}_{j_1}, \mathbf{P}_{j_1}') \equiv \int \prod_{l=2}^{N_c} d\mathbf{p}_{j_l}' \int \prod_{m=2}^{N_c} d\mathbf{p}_{j_m} \langle \phi_A | \mathbf{P}_{j_1}' \mathbf{P}_{j_2}' \cdots \mathbf{P}_{j_{N_c}}' \rangle \langle \mathbf{P}_{j_1} \mathbf{P}_{j_2} \cdots \mathbf{P}_{j_{N_c}} | \phi_A \rangle$$

Cluster optical potential

$$U_{el}(\mathbf{q}, \mathbf{K}) = \sum_{c=1, N_c} \sum_{i=n_c, p_c} \int d\mathbf{P} d\mathcal{P}_{j_c} \rho_{corr}(\mathcal{P}_{j_c}) \hat{\tau}_{0i} \left(\mathbf{q}, \frac{1}{2} \left(\frac{A+1}{A} \mathbf{K} - \mathbf{P} \right), \mathcal{E} \right) \rho_{ci} \left(\mathbf{P} - \frac{A-1}{2A} \mathbf{q}, \mathbf{P} + \frac{A-1}{2A} \mathbf{q} \right)$$

Optical Potential for ${}^6\text{He}$ as cluster $\alpha+n+n$



Cluster folding potential for ${}^6\text{He}+p$

$$\begin{aligned} {}^6\text{He}U_{el}(\mathbf{q}, \mathbf{K}) &= U_\alpha + 2U_n = \\ &\sum_{i=n,p} \int d\mathbf{P} d\mathcal{P}_{j_\alpha} \rho_{corr}(\mathcal{P}_{j_\alpha}) \hat{\tau}_{0i} \left(\mathbf{q}, \frac{1}{2} \left(\frac{A+1}{A} \mathbf{K} - \mathbf{P} \right), \mathcal{E} \right) \rho_{\alpha i} \left(\mathbf{P} - \frac{A-1}{2A} \mathbf{q}, \mathbf{P} + \frac{A-1}{2A} \mathbf{q} \right) \\ &+ 2 \int d\mathbf{P} d\mathcal{P}_{j_n} \rho_{corr}(\mathcal{P}_{j_n}) \hat{\tau}_{0n} \left(\mathbf{q}, \frac{1}{2} \left(\frac{A+1}{A} \mathbf{K} - \mathbf{P} \right), \mathcal{E} \right) \rho_n \left(\mathbf{P} - \frac{A-1}{2A} \mathbf{q}, \mathbf{P} + \frac{A-1}{2A} \mathbf{q} \right). \end{aligned}$$

For calculation:

NN t-matrix: Nijmegen II potential

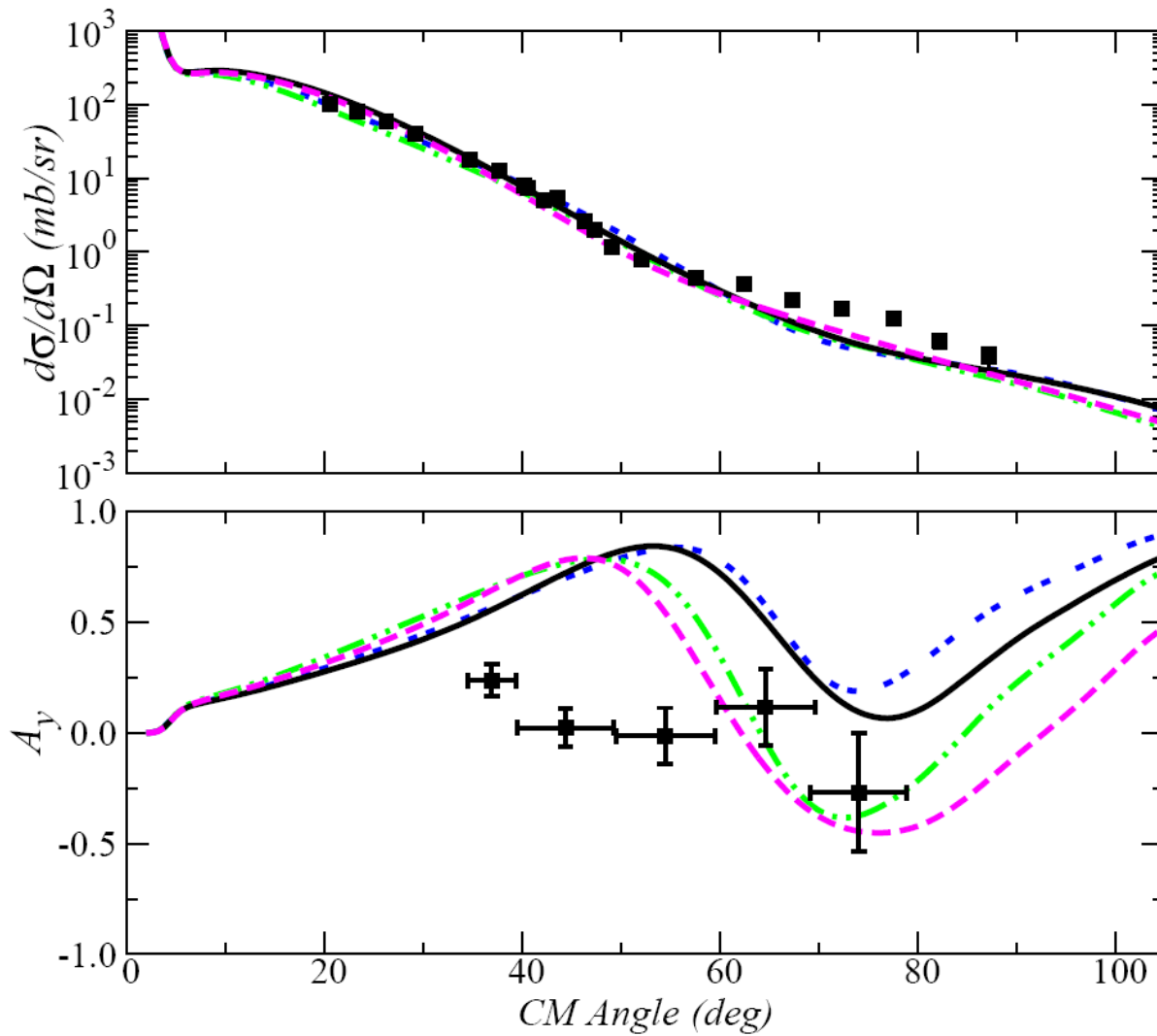
Densities:

COSMA density == s & p- shell harmonic oscillator
wave functions

Fitted to give rms radius of ${}^6\text{He}$

and for ${}^4\text{He}$: Gogny density

${}^6\text{He} (p,p) {}^6\text{He} @ 71 \text{ MeV}$



COSMA single
particle OP

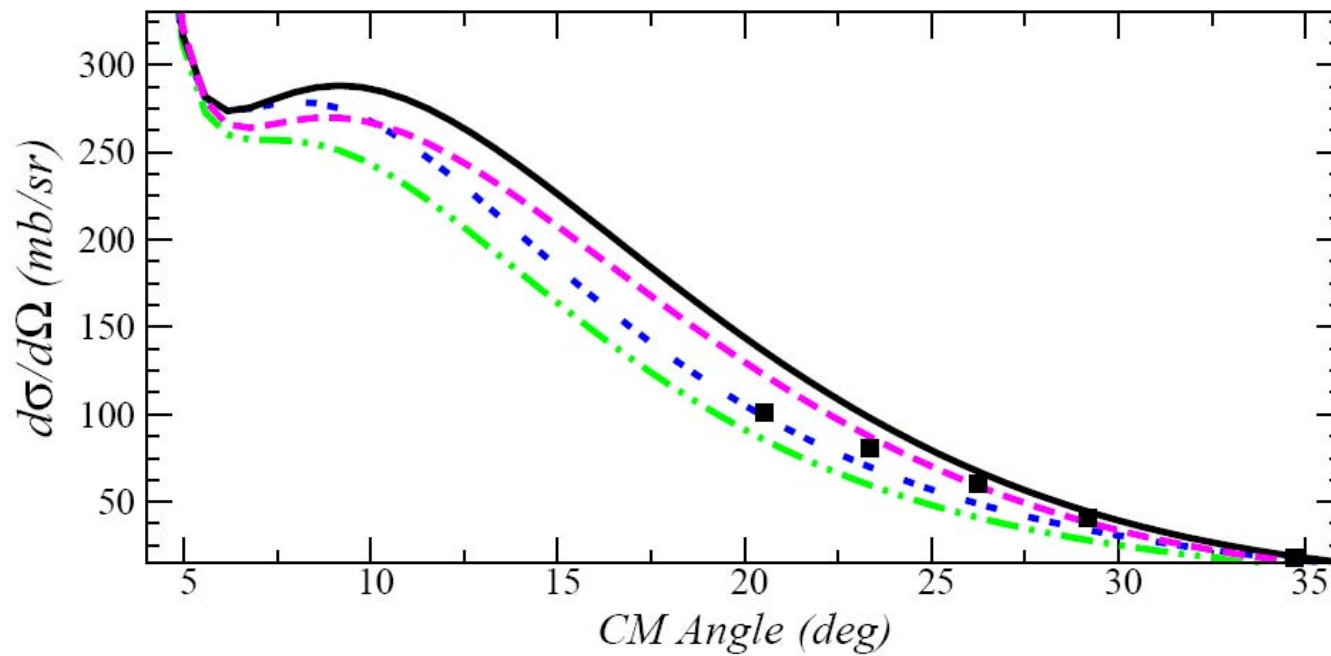
COSMA
cluster OP

α -HFB

n - COSMA

α - HFB
n - COSMA
no correlations

${}^6\text{He} (p,p) {}^6\text{He}$ @ 71 MeV



**COSMA single
particle OP**

**COSMA
cluster OP**

α -HFB

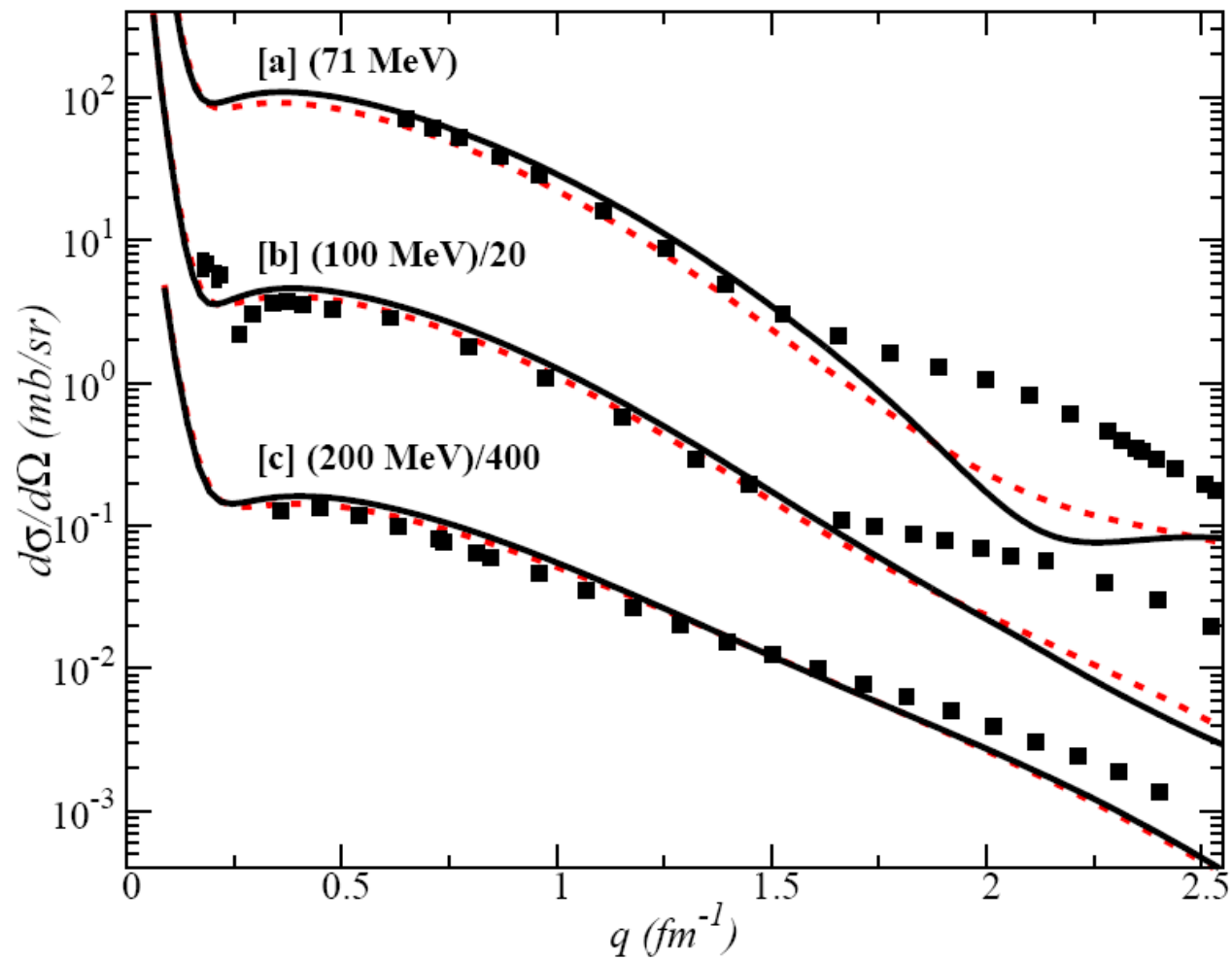
n - COSMA

α - HFB

n - COSMA

no correlations

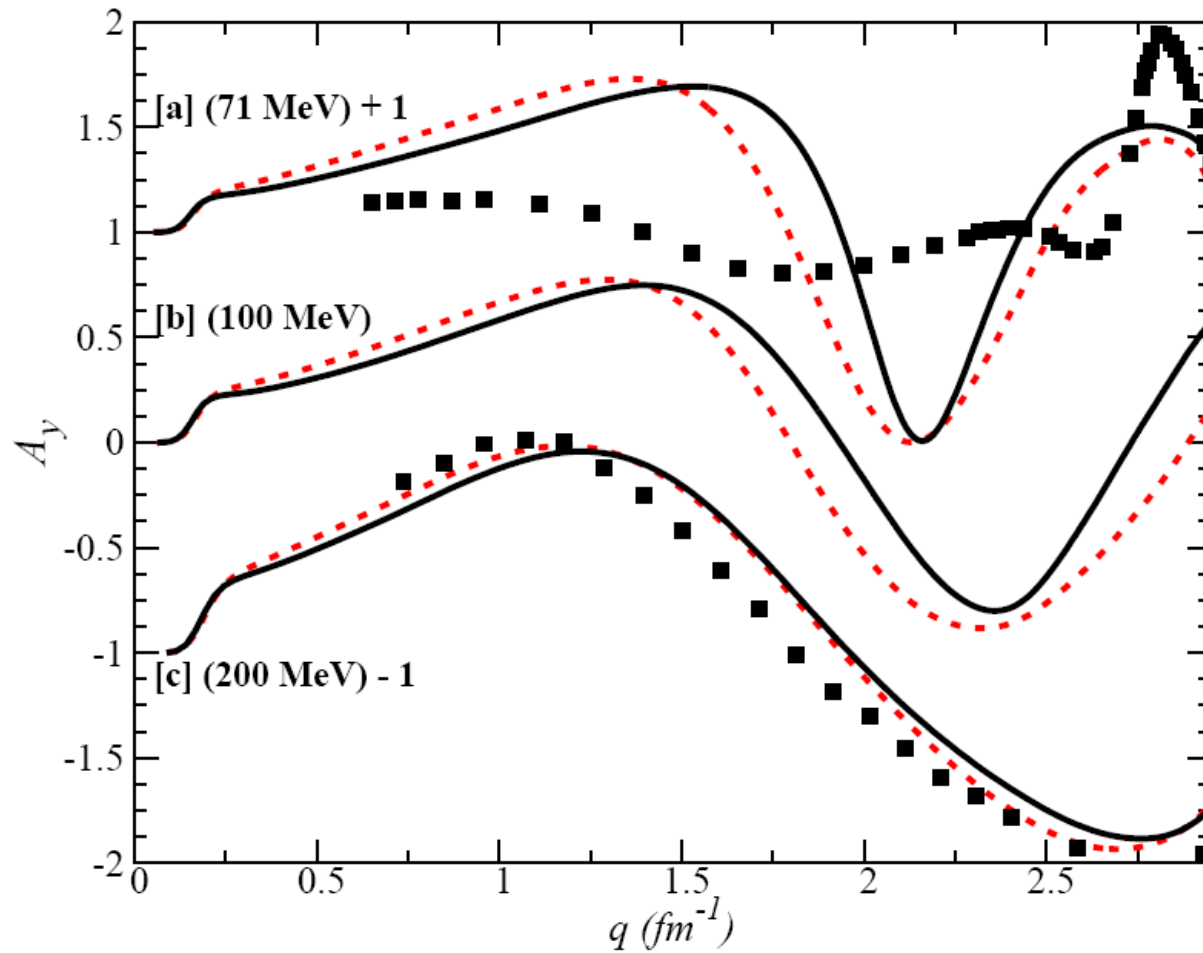
${}^4\text{He} (p,p) {}^4\text{He}$



Black:
Free NN-
matrix

Red: HFBC
mean field
included

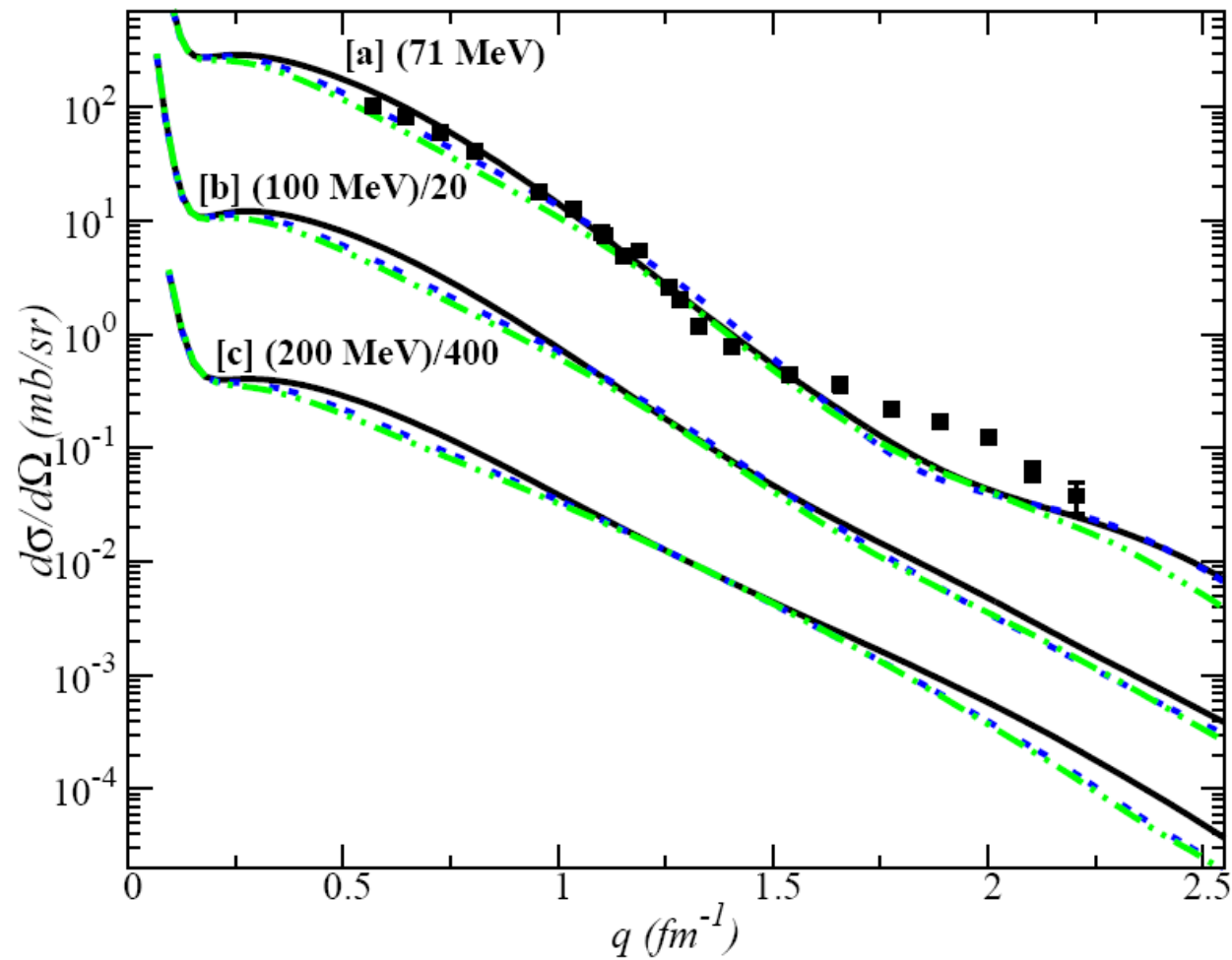
${}^4\text{He} (p,p) {}^4\text{He}$



Black: Free NN-tmatrix

Red: HFB mean field included

${}^6\text{He} (p,p) {}^6\text{He}$

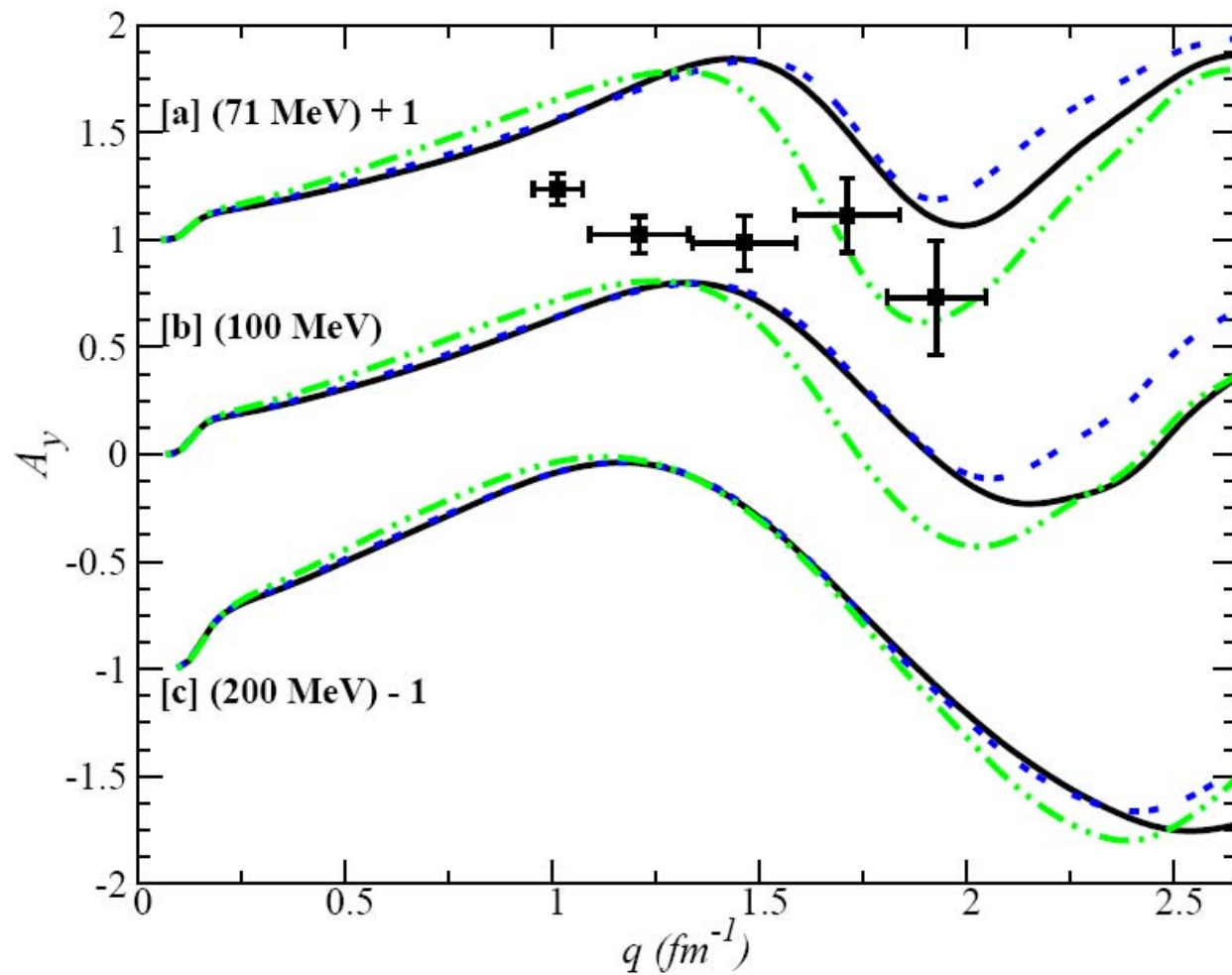


Black: COSMA
single particle

Blue: Cosma
Cluster

Green: ${}^4\text{He}$ - HFB

${}^6\text{He} (p,p) {}^6\text{He}$



RIKEN: ${}^6\text{He}(p,p){}^6\text{He}$

S. Sakaguchi et al.

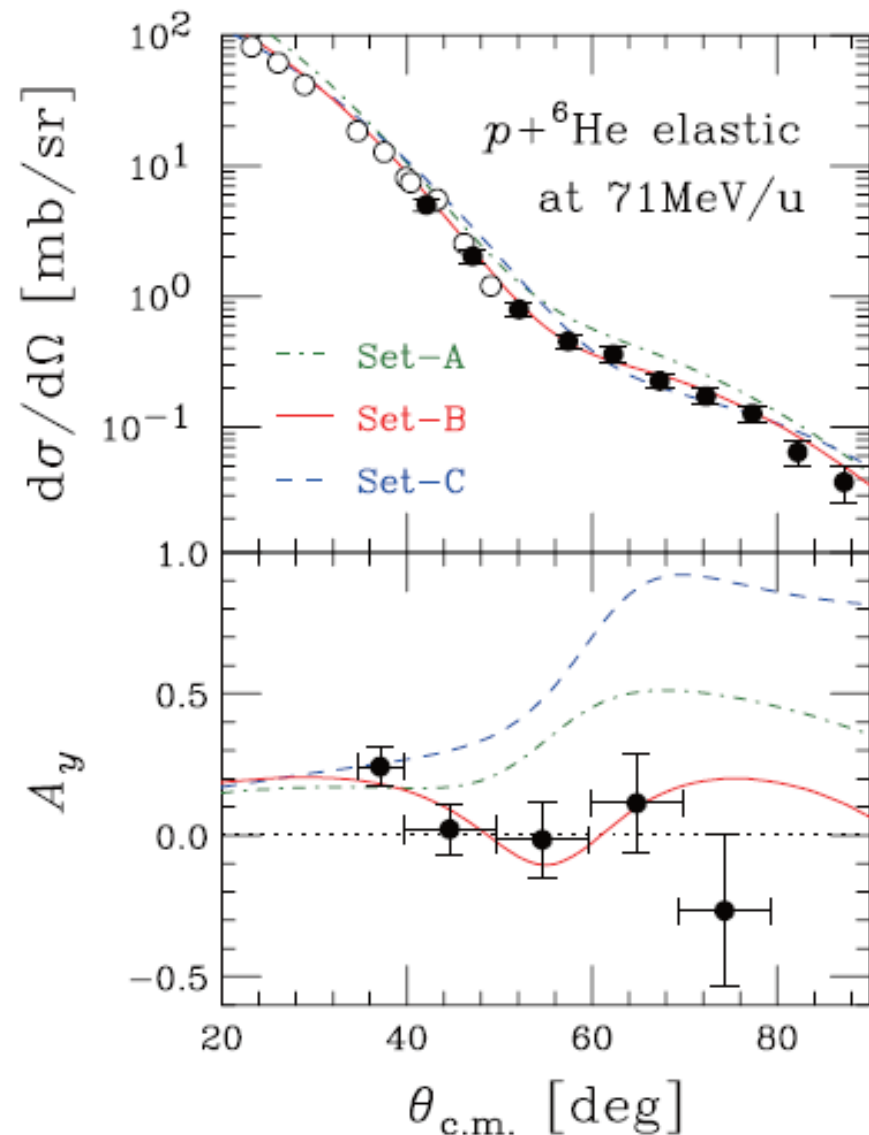
arXiv: 1106.3903

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$$\begin{aligned}
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 & + V_s \frac{2}{R} \frac{d}{dR} f_s(R) \mathbf{L} \cdot \boldsymbol{\sigma}_p + V_C(R) \quad (1)
 \end{aligned}$$

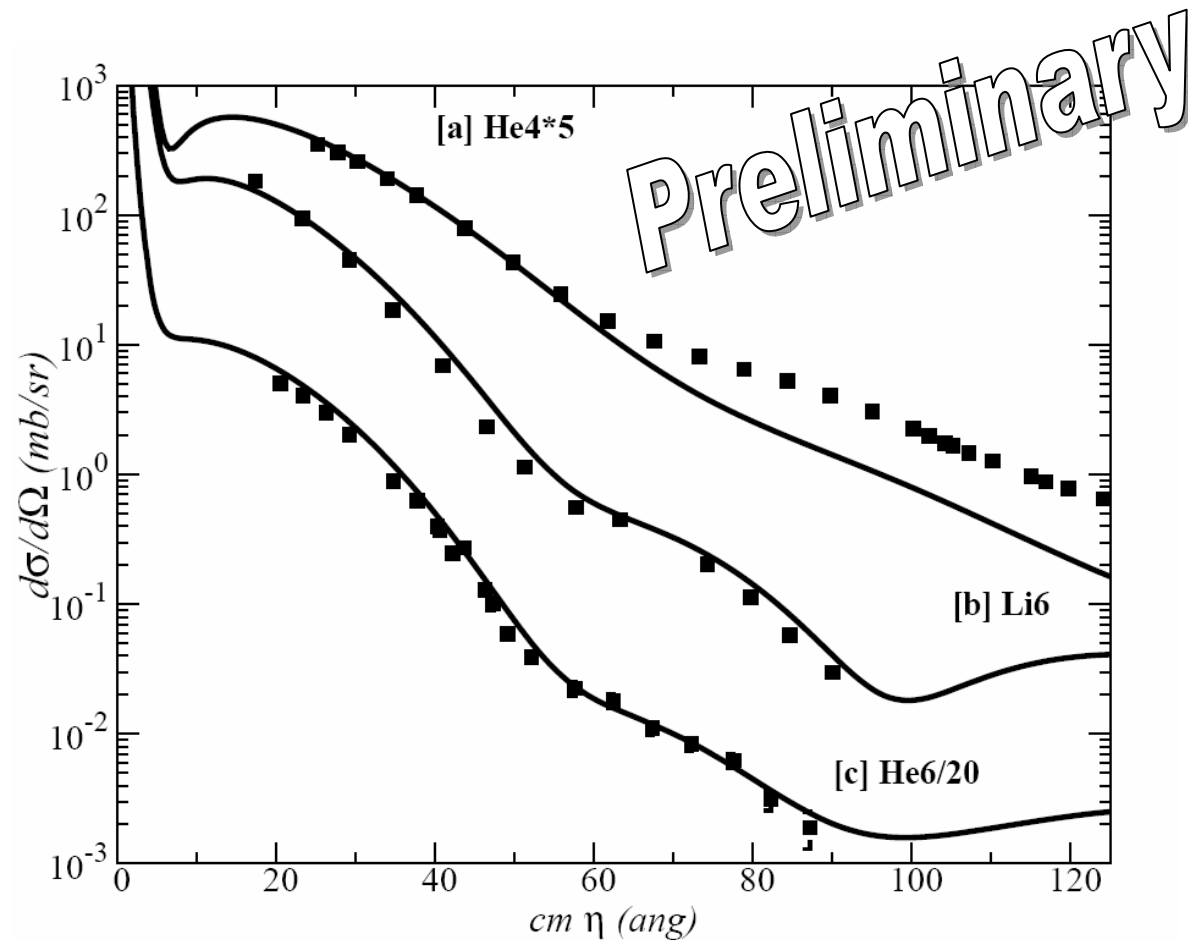
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 \end{aligned}$$



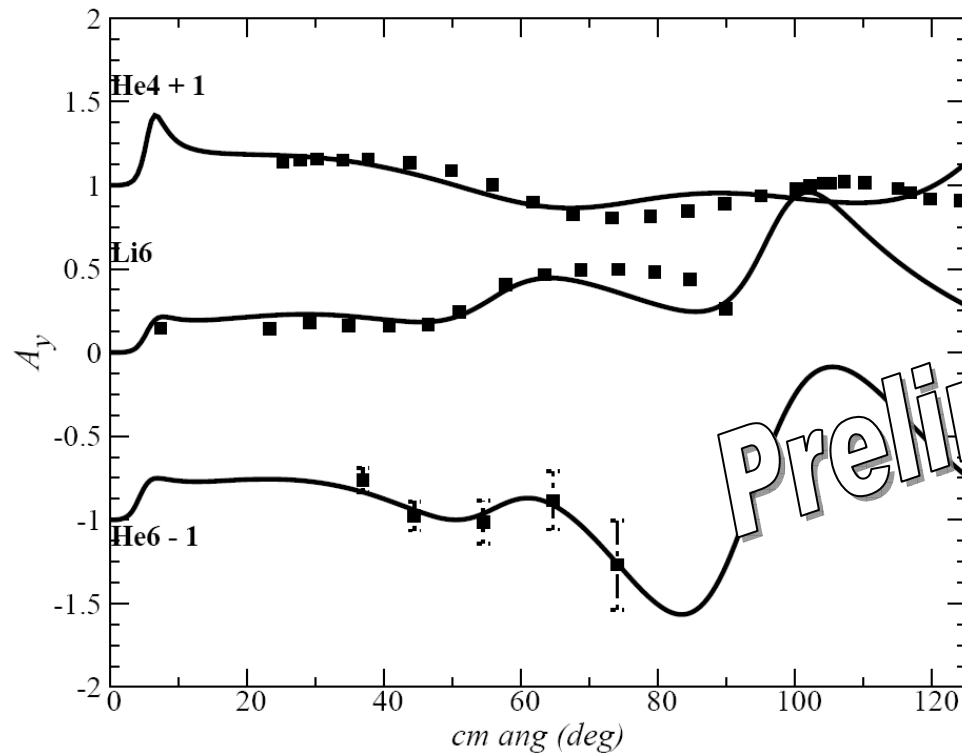
S.P. Weppner (preliminary): Phenomenological OP for ${}^4\text{He}$, ${}^6\text{Li}$, ${}^6\text{He}$

Ansatz for parameters: $V_i \rightarrow V_i (A_0 + (A-4) B_0 + (N-Z)C_0)$



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Finding: surface term for ${}^6\text{He}$ is negative.

Non-standard

Back to derivation of optical potential:

$$\text{NN amplitude } f_{\text{NN}}(\mathbf{k}'\mathbf{k};\mathbf{E}) \approx \langle \mathbf{k}' | t_{\text{NN}}(\mathbf{E}) | \mathbf{k} \rangle$$

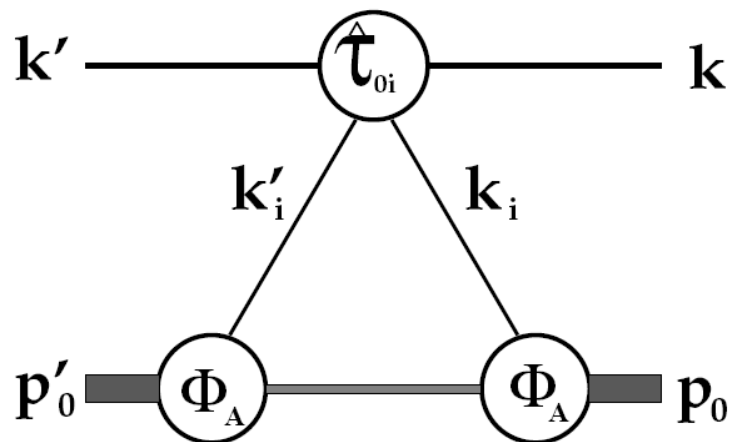
Invariant J_α	Amplitude A_α
\perp	$A(q, \mathcal{X}, \vec{q} \cdot \vec{\mathcal{X}})$
$(\vec{\sigma}_1 + \vec{\sigma}_2) \cdot \vec{w}$	$C(q, \mathcal{X}, \vec{q} \cdot \vec{\mathcal{X}})$

How do those enter the optical potential?

More formal:

- Elastic scattering : $T_{el} = PUP + PUPG_0(E)PT_{el}$.
- First order Watson O.P.:

$$\langle \mathbf{k}' | \langle \phi_A | PUP | \phi_A \rangle \mathbf{k} \rangle \equiv U_{el}(\mathbf{k}', \mathbf{k}) = \sum_{i=n,p} \langle \mathbf{k}' | \langle \phi_A | \hat{\tau}_{0i}(\mathcal{E}) | \phi_A \rangle \mathbf{k} \rangle$$



$$\langle \hat{\tau}_{01} \rangle \equiv \langle \mathbf{k}' | \langle \phi_A | \hat{\tau}_{01}(\mathcal{E}) | \phi_A \rangle \mathbf{k} \rangle$$

Proton scattering: $U_{el}(\mathbf{k}', \mathbf{k}) = Z \langle \hat{\tau}_{01}^{pp} \rangle + N \langle \hat{\tau}_{01}^{np} \rangle$

More precisely:

(A+1) state: $|\phi_n(A)\varphi_k\rangle = |\omega_n(A-1)\chi_n\varphi_k\rangle$

$$\begin{aligned} \langle \varphi_{k'}\phi_n(A)|t|\phi_n(A)\varphi_k\rangle &= \langle \varphi_{k'}\chi_n(p')\omega_n(A-1)|t|\omega_n(A-1)\chi_n(p)\varphi_k\rangle \\ &+ \langle \varphi_{k'}\chi_n(p')|t|\chi_n(p)\varphi_k\rangle \langle \omega_n(A-1)|\omega_n(A-1)\rangle \end{aligned}$$

e.g. L·S term
=Wolfenstein C : $i [(\boldsymbol{\sigma}(1) + \boldsymbol{\sigma}(2)) \cdot \hat{n}] C(\mathbf{q}, \mathbf{Q})$ $\hat{n} = \frac{\mathbf{q} \times \mathbf{Q}}{|\mathbf{q} \times \mathbf{Q}|}$

$$\begin{aligned} iC(\mathbf{q}, \mathbf{Q}) \langle \varphi_{k'}\chi_n(p') | [(\boldsymbol{\sigma}(1) + \boldsymbol{\sigma}(2)) \cdot \hat{n}] | \varphi_k\chi_n(p) \rangle &= \\ = iC(\mathbf{q}, \mathbf{Q}) \langle \varphi_{k'}\chi_n(p') | \boldsymbol{\sigma}(1) \cdot \hat{n} | \varphi_k\chi_n(p) \rangle + iC(\mathbf{q}, \mathbf{Q}) \langle \varphi_{k'}\chi_n(p') | \boldsymbol{\sigma}(2) \cdot \hat{n} | \varphi_k\chi_n(p) \rangle & \\ = iC(\mathbf{q}, \mathbf{Q}) \langle \varphi_{k'} | \boldsymbol{\sigma}(1) \cdot \hat{n} | \varphi_k \rangle \langle \chi_n(p') | \chi_n(p) \rangle + iC(\mathbf{q}, \mathbf{Q}) \langle \varphi_{k'} | \varphi_k \rangle \langle \chi_n(p') | \boldsymbol{\sigma}(2) \cdot \hat{n} | \chi_n(p) \rangle & \\ = iC(\mathbf{q}, \mathbf{Q}) \underbrace{\boldsymbol{\sigma} \cdot \hat{n}}_{\text{Regular spin-orbit}} \rho(\mathbf{q}, \mathbf{Q}) + iC(\mathbf{q}, \mathbf{Q}) \underbrace{\langle \chi_n(p') | \boldsymbol{\sigma}(2) \cdot \hat{n} | \chi_n(p) \rangle}_{\text{Only zero for closed shell nuclei}} & \quad (5) \end{aligned}$$

Regular spin-orbit

Only zero for closed shell nuclei

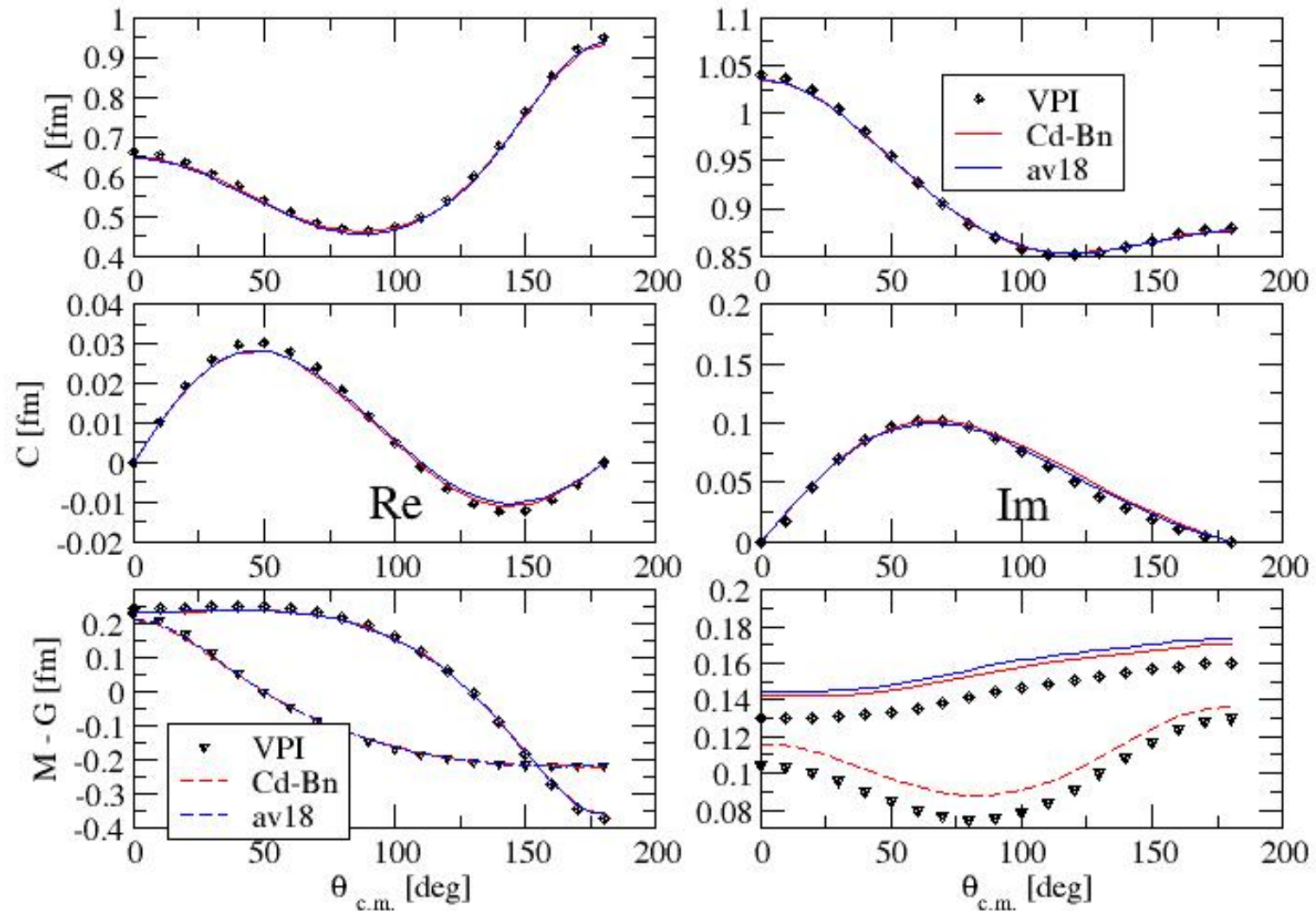
NN amplitude $f_{NN}(k'k;E) \approx \langle k' | t_{NN}(E) | k \rangle$

6 invariant amplitudes off-shell (5 on-shell)

Invariant J_μ	Amplitude A_μ
$\mathbb{1}$	$A(q, X, \vec{q}, \vec{X})$
$(\vec{\sigma}_1 + \vec{\sigma}_2) \cdot \vec{w}$	$C(q, X, \vec{q}, \vec{X})$
.....
$\left. \begin{array}{l} \sigma_1 \cdot \vec{w} \quad \bar{\sigma}_2 \cdot \vec{w} \\ \sigma_1 \cdot \hat{q} \quad \sigma_2 \cdot \hat{q} \\ \sigma_1 \cdot \hat{X} \quad \bar{\sigma}_2 \cdot \hat{X} \end{array} \right\} \text{Contribute to spin-orbit}$	$B(q, X, \vec{q}, \vec{X})$ $M(\dots)$ $E(q, X, \vec{q}, \vec{X})$ σ $G(\dots)$ $F(q, X, \vec{q}, \vec{X})$ $H(\dots)$ (Wolfenstein) (Hoshizaki)
$(\vec{\sigma}_1 \cdot \hat{q} \bar{\sigma}_2 \cdot \hat{X} + \bar{\sigma}_1 \cdot \hat{X} \sigma_2 \cdot \hat{q}) \vec{q} \cdot \vec{X}$	$D(q, X, \vec{q}, \vec{X}) = 0 \text{ on-shell}$

Wolfenstein Amplitudes np

$E_{\text{lab}} = 50 \text{ MeV}$



Status

- Cluster ansatz implemented into Watson optical potential for ${}^6\text{He}$
 - Correlation visible in $d\sigma/d\Omega$ at forward angles
 - Good description of ${}^4\text{He}$ important
 - Cluster ansatz can be implemented for ${}^8\text{He}$ in similar fashion
- Good global phenomenological optical potential fit to ${}^4\text{He}$, ${}^6\text{Li}$ and ${}^6\text{He}$ with non-standard surface term
 - Guidance from microscopic calculations needed
- For non-closed shell nuclei all NN Wolfenstein amplitudes contribute to the optical potential
 - Calculations with COSMA p-shell neutrons under way
- On CE wishlist: $d\sigma/d\Omega$ and A_y at another energy (150 or 200 MeV/nucleon)