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# Staggered Chiral Perturbation Theory and the Fourth-Root Trick

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# Outline

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- What is **SXPT** and is it valid with  $\sqrt[4]{\text{Det}}$  ?
- Replica trick & notation
- Four flavors
  - Degenerate case
  - Expanding around degenerate case
  - Assumptions on analyticity & consequences
- Fewer than four flavors
  - Decoupling in chiral theory and in lattice QCD
  - Assumptions needed to connect the above
- One-flavor & three-flavor paradoxes, and their resolution
- Consequences if schpt is valid:
  - Implications for health of rooted theory
  - Is rooted staggered a mixed theory?
- Conclusions, remarks, speculations

- Chiral perturbation theory ( $\chi$ PT) provides a nice framework for thinking about the fourth root
- Much simpler than lattice QCD itself
  - Low energy constants (LECs) are taken as unknowns (“mod them out” from the corresponding QCD theory)
  - Most info in  $\chi$ PT is in the order by order chiral expansion (perturbative)
  - But gives nonperturbative info about QCD
  - Lowest energy, longest distance sector: any problems from rooting (unitarity violations, nonlocality) ought to show up here

# SXPT

- Lee & Sharpe found the LO chiral theory for a single unrooted staggered field including  $a^2$  taste violations  
*nomenclature: 1 staggered field = 1 flavor (4 tastes if unrooted; 1 taste if rooted)*
- Aubin & C.B.:
  - Generalized Lee-Sharpe to many flavors
  - Proposed taking into account fourth root by locating sea-quark loops and multiplying each by  $\frac{1}{4}$
  - Sea-quark loops found by quark-flow approach [Sharpe]
  - Replica trick is equivalent, but systematic and algebraic — better here
  - Staggered chiral perturbation theory (SXPT) is defined as this chiral theory for staggered quarks, including discretization errors and the above procedure for taking  $\sqrt[4]{\text{Det}}$  into account
- Question: is SXPT the correct chiral theory?

# Overview

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- We know (trivially) how fourth root works when we have 4 degenerate flavors:  $\left(\sqrt[4]{\text{Det}}\right)^4 = \text{Det}$ 
  - Get 1-flavor, unrooted theory
  - Local lattice action & known chiral theory [Lee & Sharpe]
- To get non-degenerate 4-flavor theory, expand around degenerate point
  - Need non-trivial assumptions about mass dependence (analyticity, absence of phase transition)
- To get theory with 3 flavors, decouple a quark (“charm”)
  - Need another assumption about how decoupling works
- I claim assumptions are “plausible.”
  - Plausibility is in eye of beholder!
  - Assumptions at least not obviously wrong
  - These assumptions are **necessary** for **SxPT** to work

# Replica trick

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- Systematic & algebraic way to find sea-quark loops and multiply by  $1/4$
- Introduced for partially quenched theory by **Damgaard and Splittorff**
- First used for **S $\chi$ PT** by **Aubin & CB, Lattice '03**
- Replicate the sea-quark flavors, replacing each field by  $n_R$  identical copies ( $n_R =$  positive integer)
- Calculate order by order in corresponding (unrooted) chiral theory
- Take  $n_R \rightarrow 1/4$  at end
  - Dependence on  $n_R$  is polynomial at any finite order in **S $\chi$ PT**, so  $n_R \rightarrow 1/4$  is well-defined
  - Treat LECs as free parameters for each  $n_R$  — LECs are taken independent of  $n_R$  in this procedure

# Replica trick

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- Difficult to give meaning to replica trick at QCD level:
  - Beyond weak-coupling perturbation theory, dependence on  $n_R$  almost certainly non-polynomial
  - Analytic continuation from integers not unique
  - $\exists$  ideas by Shamir for defining a version of replica trick for QCD, but not used here
- In  $SXPT$  replica trick also only meaningful order by order
  - Will **assume** no phase change as we move away from degenerate point, where phase of chiral theory is known

# $(n_F, n_T, n_R)$ notation

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- $(n_F, n_T, n_R)_{LQCD}$  is generating functional for lattice QCD theory with:
  - $n_F$  flavors
  - $n_T$  tastes
  - $n_R$  replicas of each flavor
- $(n_F, n_T, n_R)_\chi$  is corresponding generating functional for chiral theory
- Omit  $n_R$  if it is trivially equal to 1 (because replica trick not relevant)
- Sources for generating functionals to be discussed later



# $(n_F, n_T, n_R)$ notation

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Relevant theories:

- $(1, 4)_{LQCD}$  and  $(1, 4)_\chi$ 
  - Single unrooted staggered field
  - $(1, 4)_\chi$  is SXPT of Lee & Sharpe.
  - No replica trick necessary
- $(n_F, 4, n_R)_{LQCD}$  and  $(n_F, 4, n_R)_\chi$ 
  - $n_F$  staggered fields,
  - $n_R$  indicated explicitly  $\Rightarrow$  integer only
  - $(n_F, 4, n_R)_\chi$  is SXPT of Aubin & CB for  $n_R \cdot n_F$  sea-quark flavors (still no rooting)

# $(n_F, n_T, n_R)$ notation

Relevant theories (continued):

- $(n_F, \text{“1”})_{LQCD}$  and  $(n_F, \text{“1”})_\chi$ 
  - $n_F$  staggered fields with  $\sqrt[4]{\text{Det}}$  taken
  - Quotes on “1” taste  $\Rightarrow$  don’t assume fourth root works
  - $(n_F, \text{“1”})_\chi$  is by definition the chiral theory generated by  $(n_F, \text{“1”})_{LQCD}$
  - Want to find  $(n_F, \text{“1”})_\chi$  unambiguously
- $(n_F, 4, \frac{1}{4})_\chi$ 
  - Chiral theory  $(n_F, 4, n_R)_\chi$  with the replica trick  $n_R \rightarrow 1/4$
  - Defines **SXPT** for rooted theory
  - Does  $(n_F, \text{“1”})_\chi = (n_F, 4, \frac{1}{4})_\chi$  ?
  - Avoid “ $(n_F, 4, \frac{1}{4})_{LQCD}$ ” because replica trick ambiguous at QCD level

# Remarks

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- Chiral theories  $(n_F, 4, n_R)_\chi$  are key objects
- $(n_F, 4, n_R)_{LQCD}$ , in particular  $(4, 4, n_R)_{LQCD}$ , introduced for convenience
  - Used formally; help keep track of  $n_R$  factors relating valence- to sea-quark matrix elements
  - Almost certainly can be eliminated at the expense of less intuitive argument at the chiral level
  - Unnecessary that the standard, broken realization of chiral symmetry assumed in  $(4, 4, n_R)_\chi$  actually occurs in  $(4, 4, n_R)_{LQCD}$
  - Unpleasant fact that asymptotic freedom & spontaneous chiral symmetry breaking(?) is lost for  $n_R > 1$  in  $(4, 4, n_R)_{LQCD}$  is irrelevant
  - Worried? — just increase  $n_c$  (number of colors) [Heller]

# $n_F = 4$ basics

- Want to show:

$$(4, \text{"1"})_\chi \doteq (4, 4, \frac{1}{4})_\chi$$

- Use “ $\doteq$ ” to compare two chiral theories: same functions of the LECs
  - True equality only if adjust LECs to be the same
- Start with degenerate 4-flavor theory:  $\mathcal{M} = \bar{m}I$ , where  $I$  is identity matrix in flavor space:

$$(4, \text{"1"})_{LQCD} \Big|_{\mathcal{M}=\bar{m}I} = (1, 4)_{LQCD} \Big|_{\bar{m}}$$
$$(4, \text{"1"})_\chi \Big|_{\mathcal{M}=\bar{m}I} \doteq (1, 4)_\chi \Big|_{\bar{m}} \doteq (4, 4, \frac{1}{4})_\chi \Big|_{\mathcal{M}=\bar{m}I}$$

- Last equivalence manifest order by order in **S $\chi$ PT**
  - Taking  $4n_R$  degenerate flavors and then putting  $n_R = 1/4$   
 $\iff$  one-flavor theory

# $n_F = 4$ : expansion around degenerate point

- To move away from degenerate limit, add taste-singlet scalar sources for sea-quark fields:

$$\mathcal{L}_{(4, "1")} = \dots + \bar{m} \bar{\Psi}_i(x) \Psi_i(x) + \bar{\Psi}_i(x) s^{ij}(x) \Psi_j(x) + \dots$$

$$\mathcal{L}_{(4,4,n_R)} = \dots + \bar{m} \bar{\Psi}_i^r(x) \Psi_i^r(x) + \bar{\Psi}_i^r(x) s^{ij}(x) \Psi_j^r(x) + \dots$$

*[sum over  $i, j$  (flavor indices) and  $r$  (replica index)]*

- When  $s \neq 0$ , we don't yet know that  $(4, 4, \frac{1}{4})_\chi$  is right chiral theory
- Define  $V[s]$  as amount of mismatch:

$$(4, "1"; s)_\chi \doteq (4, 4, \frac{1}{4}; s)_\chi + V[s]$$

- $V[s] = 0$  when  $s=0$  or whenever flavor symmetry is exact

# $n_F = 4$ : expansion around degenerate point

- Example of possible term in  $V[s]$ :

$$V_1 = \bar{m}^2 \int d^4x d^4y \left( \frac{1}{\square + M^2} \right)_{x,y} \left( \text{Tr} [s(x)s(y)] - \frac{1}{4} \text{Tr} [s(x)] \text{Tr} [s(y)] \right)$$

*with  $1/M$  a distance scale that might not vanish when  $a \rightarrow 0$*

- Claim:

$$\prod_n \frac{\partial}{\partial s^{i_n j_n}(x_n)} (4, \text{"1"}; s) \chi \Big|_{s=0} \doteq \prod_n \frac{\partial}{\partial s^{i_n j_n}(x_n)} (4, 4, \frac{1}{4}; s) \chi \Big|_{s=0}$$
$$\Rightarrow \prod_n \left( \frac{\partial}{\partial s^{i_n j_n}(x_n)} V[s] \right) \Big|_{s=0} = 0$$

- Prove by relating sea Green's functions to valence Green's functions in partially quenched theory
- Then can keep  $s = 0$ , where equivalence is known

# $n_F = 4$ : partial quenching argument

- Add  $n_V$  staggered valence fields with sources  $\sigma^{\alpha\beta}$  to all LQCD theories

$$cL = \dots + \bar{m}\bar{q}_\alpha(x)q_\alpha(x) + \bar{q}_\alpha(x)\sigma^{\alpha\beta}(x)q_\beta(x) + \dots$$

- $n_V$  ghost fields also added, but not coupled to  $\sigma^{\alpha\beta}$ : cancel valence Det when  $\sigma = 0$

$$(4, \text{"1"}; s=0, \sigma)_{LQCD} = (1, 4; s=0, \sigma)_{LQCD}$$

$$\Rightarrow (4, \text{"1"}; s=0, \sigma)_\chi \doteq (1, 4; s=0, \sigma)_\chi \doteq (4, 4, \frac{1}{4}; s=0, \sigma)_\chi$$

- Last equivalence again manifest order by order in **S $\chi$ PT**
  - Should be safe from any subtlety of type discussed by **Golterman, Sharpe & Singleton**
  - e.g. non-trivial saddle point for ghost mesons

# $n_F = 4$ : partial quenching argument

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- Relate derivatives w.r.t.  $s$  to derivatives w.r.t.  $\sigma$
- Derivatives w.r.t.  $s$  in rooted theory bring down factors of  $1/4$  from

$$\sqrt[4]{\text{Det}(D + \bar{m} + s)} = \exp \frac{1}{4} \text{tr} \ln(D + \bar{m} + s)$$

- Different terms ( $\equiv$  different contractions) associated with different powers of  $1/4$ 
  - power of  $1/4$  is just the number of quark loops implied by corresponding contractions
- Derivatives w.r.t.  $s$  in replicated theory produce corresponding powers of  $n_R$  from sea-quark counting
- But with arbitrary  $n_V$ , can always adjust valence flavor indices on  $\sigma$  derivatives so only one contraction possible



# $n_F = 4$ : partial quenching argument

- Examples ( $i \neq j$ ,  $\alpha \neq \beta$ , no sums):

$$\begin{aligned} \frac{\partial}{\partial s^{ij}(x)} \frac{\partial}{\partial s^{ji}(y)} (4, \text{"1"}; s, \sigma=0)_{LQCD} \Big|_{s=0} &= \frac{1}{4} \langle \text{tr} \left( G_j(x, y) G_i(y, x) \right) \rangle \\ &= \frac{1}{4} \frac{\partial}{\partial \sigma^{\alpha\beta}(x)} \frac{\partial}{\partial \sigma^{\beta\alpha}(y)} (4, \text{"1"}; s=0, \sigma)_{LQCD} \Big|_{\sigma=0} \end{aligned}$$

$$\begin{aligned} \frac{\partial}{\partial s^{ii}(x)} \frac{\partial}{\partial s^{ii}(x)} (4, \text{"1"}; s, \sigma=0)_{LQCD} \Big|_{s=0} &= \\ &= \frac{1}{4} \langle \text{tr} \left( G_i(x, y) G_i(y, x) \right) \rangle + \left( \frac{1}{4} \right)^2 \langle \text{tr} \left( G_i(x, x) \right) \text{tr} \left( G_i(y, y) \right) \rangle \\ &= \left[ \frac{1}{4} \frac{\partial}{\partial \sigma^{\alpha\beta}(x)} \frac{\partial}{\partial \sigma^{\beta\alpha}(y)} + \left( \frac{1}{4} \right)^2 \frac{\partial}{\partial \sigma^{\alpha\alpha}(x)} \frac{\partial}{\partial \sigma^{\beta\beta}(y)} \right] (4, \text{"1"}; s=0, \sigma)_{LQCD} \Big|_{\sigma=0} \end{aligned}$$

- For  $(4, 4, n_R)$  theory, just replace  $1/4 \rightarrow n_R$

# $n_F = 4$ : partial quenching argument

- Can therefore write:

$$\begin{aligned} \prod_n \frac{\partial}{\partial s^{i_n j_n}(x_n)} (4, \text{"1"}; s, \sigma=0)_{LQCD} \Big|_{s=0} &= \\ &= \sum_C \left(\frac{1}{4}\right)^{L_C} \prod_n \frac{\partial}{\partial \sigma^{\alpha_n^C \beta_n^C}(x_n)} (4, \text{"1"}; s=0, \sigma)_{LQCD} \Big|_{\sigma=0} \\ \prod_n \frac{\partial}{\partial s^{i_n j_n}(x_n)} (4, 4, n_R; s, \sigma=0)_{LQCD} \Big|_{s=0} &= \\ &= \sum_C (n_R)^{L_C} \prod_n \frac{\partial}{\partial \sigma^{\alpha_n^C \beta_n^C}(x_n)} (4, 4, n_R; s=0, \sigma)_{LQCD} \Big|_{\sigma=0} \end{aligned}$$

- $C$  labels a contraction with  $L_C$  valence quark loops
- Valence indices  $\alpha_n^C, \beta_n^C$  adjusted so only one contraction
- Same arrangements of valence flavor indices & powers  $L_C$  work in both cases

# $n_F = 4$ : partial quenching argument

- Pass to corresponding chiral theories:

$$\begin{aligned} \prod_n \frac{\partial}{\partial s^{i_n j_n}(x_n)} (4, \text{"1"}; s, \sigma=0) \chi \Big|_{s=0} &= \\ &= \sum_C \left(\frac{1}{4}\right)^{L_C} \prod_n \frac{\partial}{\partial \sigma^{\alpha_n^C \beta_n^C}(x_n)} (4, \text{"1"}; s=0, \sigma) \chi \Big|_{\sigma=0} \end{aligned}$$

$$\begin{aligned} \prod_n \frac{\partial}{\partial s^{i_n j_n}(x_n)} (4, 4, n_R; s, \sigma=0) \chi \Big|_{s=0} &= \\ &= \sum_C (n_R)^{L_C} \prod_n \frac{\partial}{\partial \sigma^{\alpha_n^C \beta_n^C}(x_n)} (4, 4, n_R; s=0, \sigma) \chi \Big|_{\sigma=0} \end{aligned}$$

- At any finite order in chiral perturbation theory both sides of last eqn are polynomial in  $n_R$ . Can take  $n_R \rightarrow 1/4$

# $n_F = 4$ : partial quenching argument

- After  $n_R \rightarrow 1/4$  in second eqn:

$$\begin{aligned} \prod_n \frac{\partial}{\partial s^{i_n j_n}(x_n)} (4, \text{"1"}; s, \sigma = 0)_\chi \Big|_{s=0} &= \\ &= \sum_C \left(\frac{1}{4}\right)^{L_C} \prod_n \frac{\partial}{\partial \sigma^{\alpha_n^C \beta_n^C}(x_n)} (4, \text{"1"}; s=0, \sigma)_\chi \Big|_{\sigma=0} \end{aligned}$$

$$\begin{aligned} \prod_n \frac{\partial}{\partial s^{i_n j_n}(x_n)} (4, 4, \frac{1}{4}; s, \sigma = 0)_\chi \Big|_{s=0} &= \\ &= \sum_C \left(\frac{1}{4}\right)^{L_C} \prod_n \frac{\partial}{\partial \sigma^{\alpha_n^C \beta_n^C}(x_n)} (4, 4, \frac{1}{4}; s=0, \sigma)_\chi \Big|_{\sigma=0} \end{aligned}$$

- Right sides equal since  $(4, \text{"1"}; s=0, \sigma)_\chi \doteq (4, 4, \frac{1}{4}; s=0, \sigma)_\chi$
- So left sides equal, which is what we wanted to show

# $n_F = 4$ : analyticity assumptions

- So all derivatives of  $V[s]$  vanish at  $s = 0$
- If  $V[s]$  analytic in  $s$  — up to possible isolated singularities — it vanishes everywhere
- Strong assumption; is it obviously too strong?
  - “Don’t expect convergent expansions in QFT”
  - Factorial growth of large orders in perturbation theory: expansion at best asymptotic
  - But here every order is zero!
- How could analyticity go wrong?
  - Line of singularities, domain boundary
    - Ground state for  $(4, “1”)_{\chi}$  changes discontinuously from state assumed by  $(4, 4, \frac{1}{4})_{\chi}$
    - Inside the range of  $m$  &  $a$  studied by MILC, such a singularity would have probably been detected
    - No evidence outside MILC range, though

# $n_F = 4$ : analyticity assumptions

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- How could analyticity go wrong? (continued)
  - Essential singularity at  $s = 0$ 
    - Term like  $\exp(-1/V_1^2)$  is logically possible
    - Best I can say right now is there's no reason to expect it (no obvious IR problem; expanding around massive theory)
    - Speculations later
  - NB: Not assuming that  $(4, "1")_\chi$  and  $(4, 4, \frac{1}{4})_\chi$  are separately analytic, only that difference is
  - If  $V[s]$  **not** analytic, then **SXPT** is wrong

# $n_F = 3$ : decoupling

- Try to get to  $n_F = 3$  by taking one mass (“charm”) large
- Take  $m_c$  large as possible w/o leaving region where **SXPT** applies
  - Nominally, say  $m_c \sim 2m_s^{\text{phys}}$
- Take other masses small for clean separation ( $m_s \ll m_s^{\text{phys}}$ )
- Integrate out  $m_c$  from  $(4, 4, \frac{1}{4})_\chi$ 
  - Should get  $(3, 4, \frac{1}{4})_\chi$
  - Since perturbative, there is little doubt here
  - Explicit check is planned (**CB & X. Du**)
- So charm has decoupled from low energy physics when  $m_c \sim 2m_s^{\text{phys}}$
- **Assume** it remains decoupled from low energy physics as  $m_c$  increases to  $\gg 1/a$

# $n_F = 3$ : decoupling

- When  $m_c \gg 1/a$ , it is much larger than all eigenvalues of  $D$ 
  - $\sqrt[4]{\text{Det}(D + m_c)}$  independent of gauge field
  - charm decouples from  $(4, "1")_{LQCD}$ , leaving  $(3, "1")_{LQCD}$

$\Rightarrow (3, "1")_{\chi} \doteq (3, 4, \frac{1}{4})_{\chi}$

- If true for small  $u, d, s$  masses, then analyticity assumption implies still true for physical ones
- Can repeat to argue  $(2, "1")_{\chi} \doteq (2, 4, \frac{1}{4})_{\chi}$  and  $(1, "1")_{\chi} \doteq (1, 4, \frac{1}{4})_{\chi}$
- Decoupling assumption not only sufficient but also necessary for  $n_F = 3$  **SXPT**:
  - Any new physical effects entering for  $2m_s^{\text{phys}} \lesssim m_c \lesssim 1/a$  automatically violate chiral theory



# One-flavor paradox

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- Theory with 1 flavor should have only heavy pseudoscalar,  $\eta'$ , no light pseudo-Goldstone bosons
- **S $\chi$ PT** for 1 rooted-staggered flavor has 16 pseudoscalars (“pions”); only the taste-singlet is heavy
- Different weightings (factors of 1/4) in rooted case compared to unrooted case, but otherwise similar — all pions contribute at  $a \neq 0$
- For consistency, light pions must decouple from pure-gluon correlation functions when  $a \rightarrow 0$
- Work by **CB, DeTar, Fu, Prelovsek**; more details in **DeTar’s talk** tomorrow

# One-flavor paradox

- Mock up the kind of pure-gluon correlation function that can persist in continuum limit: add taste-singlet scalar source to rooted one-flavor theory:

$$\mathcal{L}_{\text{source}} = s(z) \bar{\Psi}(z) \Psi(z)$$

- To show factors resulting from rooting, take the  $R^{\text{th}}$  power of the determinant; set  $R = 1/4$  at end

$$(1, \text{“1”})_{LQCD} = \frac{\int \mathcal{D}A \exp\{-S_G(A) + R \text{tr}(\ln(D + m + s))\}}{\int \mathcal{D}A \exp\{-S_G(A) + R \text{tr}(\ln(D + m))\}}$$

- Look at connected part of

$$G(x-y) = \left( \frac{\partial}{\partial s(x)} \frac{\partial}{\partial s(y)} (1, \text{“1”})_{LQCD} \right)_{s=0}$$

["connected"  $\Rightarrow$  subtract  $\langle \bar{\Psi} \Psi \rangle^2$ ]

# One-flavor paradox

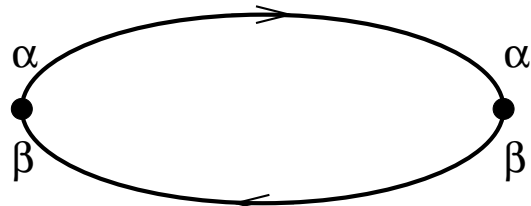
- Calculate  $G(x-y)$  for large  $|x - y|$  in LO  $S\chi PT$
- First rewrite in terms of valence Green's functions

$$G(x-y) = R \left( \frac{\partial}{\partial \sigma^{\alpha\beta}(x)} \frac{\partial}{\partial \sigma^{\beta\alpha}(y)} (1, "1")_{LQCD} \right)_{\sigma=0} \\ + R^2 \left( \frac{\partial}{\partial \sigma^{\alpha\alpha}(x)} \frac{\partial}{\partial \sigma^{\beta\beta}(y)} (1, "1")_{LQCD} \right)_{\sigma=0}$$

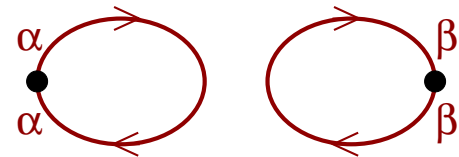
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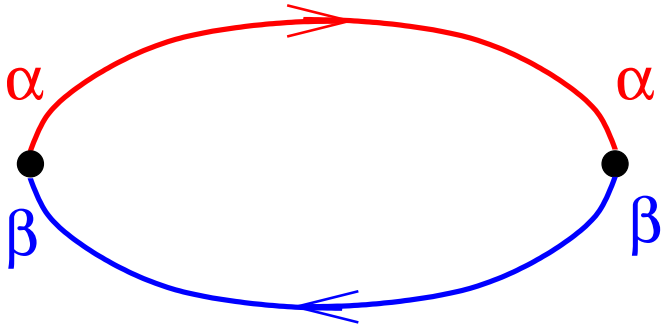
R term



$R^2$  term

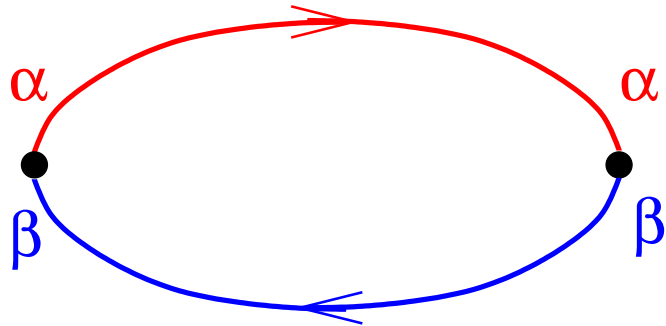
# One-flavor paradox: diagrams

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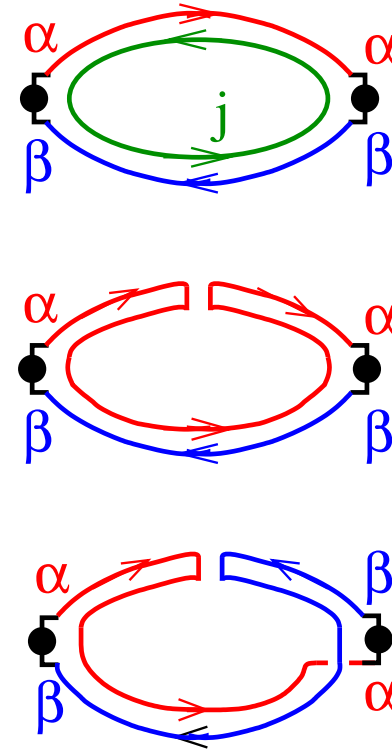
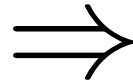


QCD valence contraction  
(term proportional to  $R$ )

# One-flavor paradox: diagrams



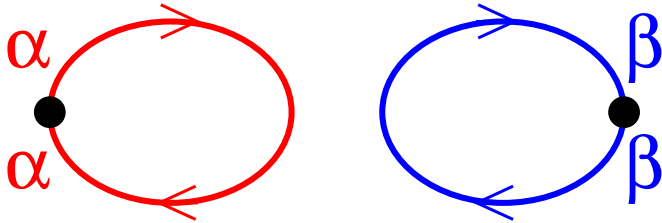
QCD valence contraction  
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chiral quark flow (note hairpins)

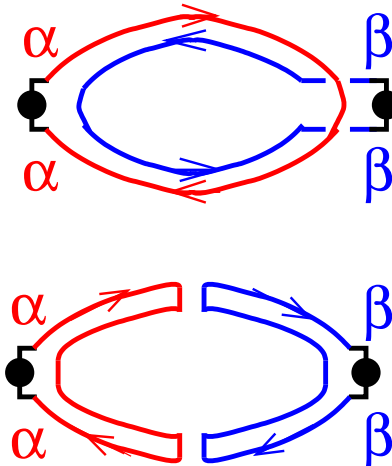
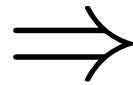
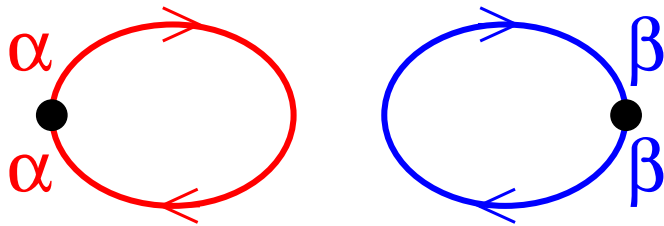
# One-flavor paradox: diagrams

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QCD valence contraction  
(term proportional to  $R^2$ )

# One-flavor paradox: diagrams



QCD valence contraction  
(term proportional to  $R^2$ )

chiral quark flow (note hairpins)



# One-flavor paradox: resolution

$$\begin{aligned}
 \tilde{G}(q) = & \mu^2 \int \frac{d^4 p}{(2\pi)^4} \left\{ \frac{2R^2}{n_R^2} \frac{1}{(p^2 + M_{\eta'_I}^2) \left( (p+q)^2 + M_{\eta'_I}^2 \right)} + \right. \\
 & + (Rn_R + R^2) \sum_{\Xi} \frac{1}{(p^2 + M_{\Xi}^2) \left( (p+q)^2 + M_{\Xi}^2 \right)} - \left( \frac{4R}{n_R} - \frac{2R^2}{n_R^2} \right) \frac{1}{(p^2 + M_I^2) \left( (p+q)^2 + M_I^2 \right)} \\
 & \left. + \left( \frac{2R}{n_R} - \frac{2R^2}{n_R^2} \right) \left( \frac{1}{(p^2 + M_I^2) \left( (p+q)^2 + M_{\eta'_I}^2 \right)} + \frac{1}{(p^2 + M_{\eta'_I}^2) \left( (p+q)^2 + M_I^2 \right)} \right) \right\}
 \end{aligned}$$

- $M_{\eta'}$  heavy
- $M_{\Xi}$  light (for all  $\Xi$ ; including  $M_I$ )
- Setting  $R = 1/4 = n_R$ , **red terms** vanish
- When  $a \rightarrow 0$ , all 16 of  $M_{\Xi}$  degenerate  $\Rightarrow$  **blue terms** vanish
- So only  $\eta'$  left in intermediate state in continuum  $\checkmark$

# Three-flavor paradox

- **Creutz**: Continuum QCD with  $n_F = 3$  (or any odd  $n_F$ ) is **not** even under  $m \rightarrow -m$ , but rooted staggered determinant **is** even
  - staggered  $D$  is anti-hermitian, eigenvalues of  $D + m$  come in pairs  $m \pm i\lambda$ , so  $\text{Det}(D + m)$  is function of  $m^2$
- In standard continuum  **$\chi$ PT**, mass term (take degenerate for simplicity) is

$$-m \text{Tr}(\Sigma + \Sigma^\dagger)$$

- For  $n_F = 3$ ,  $m \rightarrow -m$  cannot be rotated away by non-anomalous chiral transformation
  - for  $m < 0$  ground state is  $\Sigma = \exp(\pm 2\pi i/3)$  instead of  $\Sigma = 1$
  - theory with  $m < 0$  is physically different from  $m > 0$
  - $m < 0$  violates parity
- In finite volume, expansion of QCD level theory around  $m = 0$  must have odd powers of  $m$  as well as even

# Three-flavor paradox: resolution

- In **SXPT** for  $n_F = 3$ , there are an even number of flavors  $\times$  tastes for any integer  $n_R$ 
  - Can rotate  $-m \rightarrow m$  for each  $n_R$
  - $(3, 4, \frac{1}{4})_\chi$  **SXPT** is a function of  $|m|$  only
  - But, in continuum limit,  $(3, 4, \frac{1}{4})_\chi$  reproduces continuum **XPT** correctly, as long as  $m > 0$
- At LQCD level,  $\sqrt[4]{\text{Det}(D + m)}$  means that theory does not have to be analytic function of  $m$  around  $m = 0$ , even in finite volume
  - Can be function of  $\sqrt[4]{m^4} = |m|$
  - Can be even under  $m \rightarrow -m$ , and yet not just depend on even powers of  $m$
  - Perfectly possible that gives correct odd powers of  $m$  for  $m > 0$  (as **SXPT** says it does) without getting the  $m < 0$  case right ✓

# Consequences: health of rooted theory

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- If **S** $\chi$ **P****T** is correct, what are the implications for validity of rooted theory itself?
- When  $a \rightarrow 0$ ,  $(n_F, 4, n_R)_\chi$  becomes ordinary  $\chi$ **P****T** for  $4n_F \cdot n_R$  “flavors”
  - For given flavor combo, all 16 taste pions become degenerate in continuum (before including anomaly effects)
  - Anomaly affects only taste singlet, flavor singlet meson, as always
- Taking  $n_R \rightarrow 1/4$  order by order produces standard, continuum  $\chi$ **P****T** for  $n_F$  flavors
  - NB: assumes vacuum of  $(n_F, 4, n_R)_\chi$  ( $\Sigma = 1$ ) is same as vacuum of continuum  $\chi$ **P****T**— why  $m < 0$  doesn't work
- Existing **S** $\chi$ **P****T** calculations all show this behavior explicitly

# Consequences: health of rooted theory

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- Since  $S\chi PT \rightarrow \chi PT$  in continuum, low energy sector of  $n_F$ -flavor lattice QCD with rooted staggered quarks becomes indistinguishable in structure from ordinary  $n_F$ -flavor QCD
  - No violations of unitarity
  - No unphysical nonlocal scales
- Says nothing about sectors not described by  $\chi PT$ , but
  - can probably extend to heavy-light physics using  $S\chi PT$  for heavy-lights (Aubin & CB)
  - in  $n_F = 4$  case, can probably extend to baryons with heavy-baryon  $S\chi PT$  (Bailey & CB)
    - baryon mass scale might give difficulties in decoupling to get to  $n_F < 4$ , though

# Consequences: health of rooted theory

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- Note: saying **S $\chi$ PT** is valid doesn't necessarily  $\Rightarrow$  LECs are correct
  - For  $n_F = 4$ , LECs are correct in degenerate case (locality  $\Rightarrow$  universality)
  - LECs mass independent, so also correct for four nondegenerate flavors (if **S $\chi$ PT** right)
  - For  $n_F < 4$ , decoupling assumptions not strong enough to guarantee correct LECs
    - Would need universality at the lattice QCD level (hope **Shamir** succeeds)
    - Agreement of simulations with experiment is nice; agreement between different lattice fermions would be better!

# Consequences: mixed theory?

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- “Mixed” theories have different lattice actions for sea and valence quarks
  - Sea and valence mass renormalizations different  $\Rightarrow$  no simple way to enforce  $m_S = m_V$
  - Continuum symmetries that rotate valence and sea quark into each other are violated by discretization effects
  - If quark masses adjusted to make meson masses  $M_{SS} = M_{VV}$ , then  $M_{SV}$  still differs by terms  $\mathcal{O}(a^n)$
  - Such terms show up as new operators in mixed theory  $\chi$ PT (Bär, Rupak, Shoresh, ...)

# Consequences: mixed theory?

- Some (e.g. **Kennedy**) have suggested that rooted staggered sea + staggered valence (“rooted staggered”) is a mixed theory
- But not hard to show that perturbative renormalization of sea and valence masses are the same
- Also does not look like a mixed theory non-perturbatively, at least in context of **SXPT**
  - $(n_F, 4, \frac{1}{4})_\chi$  obtained order by order from  $(n_F, 4, n_R)_\chi$
  - $(n_F, 4, n_R)_\chi$  have symmetries interchanging valence and sea quarks
  - full symmetry group:  
 $SU(4n_R n_F + 4n_V | 4n_V)_L \times SU(4n_R n_F + 4n_V | 4n_V)_R$ .
  - Taste symmetries broken on lattice at  $\mathcal{O}(a^2)$
  - But flavor subgroup (“residual chiral group”)  
 $U(n_R n_F + n_V | n_V)_\ell \times U(n_R n_F + n_V | n_V)_r$  is exact (up to mass terms)



# Consequences: mixed theory?

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- Chiral ops that split  $M_{SV}$  from  $M_{VV}$  &  $M_{SS}$  (when  $m_V = m_S$ ) are forbidden by flavor subgroup in  $(n_F, 4, n_R)_\chi$
- Corresponding sea-sea, valence-valence, and valence-sea mesons degenerate (when quark masses degenerate) in  $(n_F, 4, n_R)_\chi$ , and therefore in  $(n_F, 4, \frac{1}{4})_\chi$
- Within **SXPT**, rooted staggered behaves like partially quenched theory, **not** like mixed theory
- NB: valence sector “richer” than sea sector
  - Valence sector includes particles in continuum limit whose sea-sector analogues have decoupled from physical theory
  - In normal partially quenched theory, can take more valence quarks than sea quarks & create valence states with no sea-quark analogues
  - Here, there’s no choice: physical sea-quark states are always a proper subspace of valence states

# Conclusions, Remarks, Speculations

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- Most important assumptions:
  - 1) Taste symmetry restored in continuum limit of unrooted staggered theory
  - 2) Difference  $V[s]$  between **SχPT** theory  $(4, 4, \frac{1}{4})_\chi$  and true chiral theory  $(4, "1")_\chi$  is analytic in  $s$  (for space-time independent  $s$ ), up to possible isolated singularities
  - 3) As “charm” mass increases from  $2m_s^{\text{phys}}$ , when it has decoupled from chiral theory, to  $\gg 1/a$ , it remains decoupled from low energy physics
- Assumption 1) unproven but “non-controversial”
- Assumption 2) could be violated by essential singularities at  $s = 0$  or by phase boundaries away from  $s = 0$ 
  - Some numerical evidence against phase boundaries in regions of mass (and  $a$ ) investigated by MILC
  - So essential singularity issue seems more pressing

# Conclusions, Remarks, Speculations

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- Assumption 3) will be tested by MILC in near future (I hope)
  - Simulate  $n_F = 4$  theory in region  $2m_s^{\text{phys}} \lesssim m_c \lesssim 1/a$
  - See if describable by  $(3, 4, \frac{1}{4})_\chi$  at low energy
- Assumptions  $\implies$  S $\chi$ PT
- But assumptions  $\longleftarrow$  S $\chi$ PT, so testing assumptions tests S $\chi$ PT
- One-flavor and three-flavor theories do **not** provide counter-examples to validity of S $\chi$ PT or the fourth-root trick itself
  - But phase with odd number of negative masses not amenable to this approach (luckily QCD is not in that phase)

# Conclusions, Remarks, Speculations

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- If **S** $\chi$ **P****T** valid, then
  - Rooted theory ok at low energy (pseudoscalar meson sector)
  - Rooted theory not “mixed” (at least as far as  $\chi$ **P****T** can tell)
- Looks like almost all of my arguments would go through for **third** root of theory with  $n_F \leq 3$  !
  - $\Rightarrow (n_F, \text{“}4/3\text{”})_\chi \doteq (n_F, 4, \frac{1}{3})_\chi$
  - But that **S** $\chi$ **P****T** has no sensible continuum limit

# Conclusions, Remarks, Speculations

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- Can the essential singularity be eliminated as a possibility?
- Try to show that all **complex** derivatives of  $V[s]$  vanish at  $s = 0$ , not just the real derivatives
  - Essential singularity doesn't have well defined complex derivatives: think of  $\exp(-1/z^2)$  when  $z = iy$
  - Formally, all arguments from before go through if  $s$  is complex
  - But big issue is now that Det is complex — can we choose phase of  $\sqrt[4]{\text{Det}}$  consistently and continuously?
    - See [Golterman, Shamir, & Svetitsky, hep-lat/0602026](#); [Golterman's talk](#)

# Some final thoughts

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2) “There is something fascinating about science.



# Some final thoughts

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1) “Staggered quarks are the worst way to simulate QCD... except for all the other ways.”

—Anonymous

2) “There is something fascinating about science. One gets such wholesale returns of conjecture out of such a trifling investment of fact.”

—Mark Twain